

University of Connecticut & UConn Health Joint Audit & Compliance Committee Meeting

May 16, 2017

10:00 am – 10:45 am - Executive Session

10:45 am – 12:00 pm - Public Session

University of Connecticut, Lewis B. Rome Commons Ballroom, Storrs, CT

Topic	Proposed Action	Tab
Executive Session to discuss: <ul style="list-style-type: none"> • C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] • C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)] • C.G.S. 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] • C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)] 	Review	None
Opportunity for Public Comments		None
Minutes of the February 16, 2017 JACC Meeting	Approval	1
Storrs & UConn Health Significant Compliance Activities <ul style="list-style-type: none"> • Storrs Compliance Monitoring Efforts • Athletics Update • HealthONE Update 	Update Presentation	2
Storrs & UConn Health Significant Audit Activities <ul style="list-style-type: none"> • Status of Audit Assignments • Audit Follow-up Activity 	Update	3
Auditors of Public Accounts <ul style="list-style-type: none"> • State of Connecticut Single Audit Report for the Fiscal Year Ended June 30, 2016 https://www.cga.ct.gov/apa/reports/statewide/STATEWIDE_20170331_FY2016.pdf (page 254-257, 260-284) 	Presentation	4
External Engagements <ul style="list-style-type: none"> • Status of External Engagements • CohnReznick – Audit of Substantially Complete UConn 2000 Construction Projects and Agreed Upon Procedures on UConn 2000 Expenditures for Fiscal Year 2016 	Update Presentation	5
Informational/Educational Items <ul style="list-style-type: none"> • Article – Defining the Meaning of ‘Auditing’ and ‘Monitoring’ and Clarifying the Appropriate Use of the Terms • Compliance Newsletters – UConn & UConn Health 	Information Only	6
Conclusion of Full Meeting		
Information Session with OACE and External Auditors		

The next meeting of the JACC will be held on Thursday, September 14, 2017 at 10:00 am
University of Connecticut, Lewis B. Rome Commons Ballroom, Storrs, CT