## University of Connecticut & UConn Health
### Joint Audit & Compliance Committee Meeting

**February 3, 2016**  
9:00 am – 9:45 am - Executive Session  
9:45 am – 11:00 pm - Public Session

## AGENDA

<table>
<thead>
<tr>
<th>Topic</th>
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| **Executive Session to discuss:**  
| • C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)]  
| • C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)]  
| • C.G.S 1-200(6)[E] – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)]  
| • C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]  
| **Opportunity for Public Comments** | None |
| **Minutes of the December 1, 2015, JACC Meeting** | Approval | 1 |
| **Storrs & UConn Health Significant Compliance Activities**  
| • Minors Protection Update  
| • ICD-10  
| **Update** | 2 |
| **Storrs & UConn Health Significant Audit Activities**  
| • Status of Audit Assignments  
| • Audit Follow-up Activity  
| • Status of Corrective Actions – Health Information / Record of Care Management  
| **Update** | 3 |
| **Charter Review**  
| • Executive Risk Management Compliance Committee – Storrs  
| **Update** | 4 |
| **External Engagements**  
| • Audited Financial Statements - University of Connecticut Health Center John Dempsey Hospital (JDH), UConn Medical Group (UMG) and Finance Corporation, FY15 – Marcum  
| • UConn 2000 Construction Program, Audit Timeline - RMS (Formerly McGladrey)  
| **Presentation** | 5 |
| **Auditor of Public Accounts**  
| • University of Connecticut and the University of Connecticut Health Center Audited Financial Statements for the year ended June 30, 2015  
| • Auditors of Public Accounts – University of Connecticut Health Center for the Fiscal Years Ended June 30, 2013 and 2014  
| **Presentation** | 6 |
| **Information/Educational Items**  
| • Compliance Newsletters – Storrs  
| • Current Issues in Compliance Newsletters – Storrs & UConn Health  
| **Information Only** | 7 |
| **Conclusion of Full Meeting** | |
| **Information Session with OACE and External Auditors** | |

*The next meeting of the JACC will be held on Tuesday, May 17, 2016 at 10:00 am  
Rome Commons Ballroom, Storrs*