

University of Connecticut & UConn Health
Joint Audit & Compliance Committee Meeting

February 16, 2017

10:00 am – 10:45 am - Executive Session

10:45 am – 12:00 pm - Public Session

Topic	Proposed Action	Tab
Executive Session to discuss: <ul style="list-style-type: none"> • C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] • C.G.S 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] • C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)] 	Review	None
Opportunity for Public Comments		None
Minutes of the December 14, 2016 JACC Meeting	Approval	1
Storrs & UConn Health Significant Compliance Activities <ul style="list-style-type: none"> • Export Controls 	Update	2
Storrs & UConn Health Significant Audit Activities <ul style="list-style-type: none"> • Status of Audit Assignments • Audit Follow-up Activity • UConn Health Travel Audit Update 	Update	3
External Engagements <ul style="list-style-type: none"> • Appointment of an independent audit firm to perform an audit of UConn Health’s John Dempsey Hospital, University Medical Group and Finance Corporation Financial Statements for FY 2017, 2018 and 2019. • Status of External Engagements 	Approval Update	4
Informational/Educational Items <ul style="list-style-type: none"> • Compliance Newsletters – UConn & UConn Health 	Information Only	5
Conclusion of Full Meeting		
Information Session with OACE and External Auditors		

*The next meeting of the JACC will be held on Tuesday, May 16, 2017 at 10:00 am
Rome Commons Ballroom, Storrs*