

**University of Connecticut & UConn Health  
Joint Audit & Compliance Committee Meeting**

**September 14, 2017**

9:00 am – 9:45 am - Executive Session

9:45 am – 11:00 am - Public Session

University of Connecticut, Lewis B. Rome Commons Ballroom, 626 Gilbert Road Extension, Storrs, CT

**REVISED**

Topic	Proposed Action	Tab
<b>Executive Session to discuss:</b> <ul style="list-style-type: none"> <li>• C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)]</li> <li>• C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)]</li> <li>• C.G.S. 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)]</li> <li>• C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]</li> </ul>	Review	None
<b>Opportunity for Public Comments</b>		None
<b>Minutes of the May 16, 2017 JACC Meeting</b>	Approval	1
<b>Storrs &amp; UConn Health Significant Compliance Activities</b> <ul style="list-style-type: none"> <li>• Clinical Conflict of Interest at UConn Health</li> </ul>	Update	2
<b>HealthONE – Electronic Medical Record Implementation</b>	Update	
<b>Storrs &amp; UConn Health Significant Audit Activities</b> <ul style="list-style-type: none"> <li>• Status of Audit Assignments</li> <li>• Audit Follow-up Activity</li> </ul>	Update	3
<b>Draft Audit Plans for 2018</b>	Approval	4
<b>External Engagements</b> <ul style="list-style-type: none"> <li>• Status of External Engagements</li> <li>• BKD – Fee for FY17 Annual Agreed Upon Procedures to the Statements of Revenues and Expenses for Athletics Programs</li> <li>• CohnReznick – Fee for FY17 Annual Audit and Agreed Upon Procedures, UConn 2000 Construction Projects Expenditures</li> </ul>	Update  Approval  Approval	5
<b>Informational/Educational Items</b> <ul style="list-style-type: none"> <li>• Compliance Newsletters – UConn &amp; UConn Health</li> </ul>	Information Only	6
<b>Conclusion of Full Meeting</b>		
<b>Information Session with OACE and External Auditors</b>		

The next meeting of the JACC will be held on Thursday, December 19, 2017 at 10:00 am  
University of Connecticut, Lewis B. Rome Commons Ballroom, Storrs, CT