

University of Connecticut & University of Connecticut Health Center
Joint Audit & Compliance Committee Meeting

September 20, 2018

10:00 a.m. – 10:30 a.m. - Executive Session

10:30 a.m. – 12:00 p.m. - Public Session

University of Connecticut, Lewis B. Rome Commons Ballroom, 626 Gilbert Road Extension, Storrs, CT

| Topic | Proposed Action | Tab |
|---|--------------------------------|------|
| Executive Session to discuss: <ul style="list-style-type: none"> • C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] • C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims [1-210(b)(4)] • C.G.S. 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] • C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)] | Review | None |
| Opportunity for Public Comments | | None |
| Minutes of the June 6, 2018 JACC Meeting | Approval | 1 |
| UConn and UConn Health Significant Compliance Activities <ul style="list-style-type: none"> • Healthcare and Regulatory Compliance Update • Athletics Update | Update | 2 |
| UConn and UConn Health Significant Audit Activities <ul style="list-style-type: none"> • Status of Audit Assignments • Follow Up Activities • Audit Updates | Update | 3 |
| UConn Health – HealthONE Update | Update | 4 |
| Draft Compliance Plans – UConn and UConn Health Draft Audit Plans – UConn and UConn Health | Approval | 5 |
| External Engagements <ul style="list-style-type: none"> • Status of External Engagements • CohnReznick – FY2018 Fee Notification, UConn 2000 Audit and Agreed upon Procedures • BKD – FY2018 Fee Notification, NCAA Financial Agreed Upon Procedures | Update Approval Approval | 6 |
| Informational/Educational Items <ul style="list-style-type: none"> • Compliance Chatter Update • UConn Health Compliance Matters Newsletter – Summer 2018 | Information Only | 7 |
| Conclusion of Full Meeting | | |
| Information Session with AMAS and OUC and External Auditors | | |

The next meeting of the JACC will be held on Tuesday, December 18, 2018 at 10:00 am
 University of Connecticut, Wilbur Cross, North Reading Room, Mansfield Way, Storrs, CT