

University of Connecticut & University of Connecticut Health Center
Joint Audit & Compliance Committee Meeting

December 18, 2018

10:00 a.m. – 10:30 a.m. - Executive Session

10:30 a.m. – 12:00 p.m. - Public Session

University of Connecticut, Wilbur Cross, North Reading Room, Mansfield Way, Storrs, CT

Topic	Proposed Action	Tab
Executive Session to discuss: <ul style="list-style-type: none"> • C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] • C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims [1-210(b)(4)] • C.G.S. 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] • C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)] 	Review	None
Opportunity for Public Comments		None
Minutes of the September 20, 2018 JACC Meeting	Approval	1
External Engagements <ul style="list-style-type: none"> • Status of External Engagements • BKD – Agreed Upon Procedures performed on the Division of Athletics as Required by NCAA Bylaw 3.2.4.15.1 for the Year Ended June 30, 2018 • Marcum – UConn Health JDH, UMG, & Finance Corporation Financial Statements for the Year Ended June 30, 2017 and 2018 	Update Presentation Presentation	2
UConn and UConn Health Significant Compliance Activities	Update	3
UConn and UConn Health Significant Audit Activities <ul style="list-style-type: none"> • Status of Audit Assignments • Follow Up Activities • Audit Updates 	Update	4
UConn Health – HealthONE Update	Update	5
2018 JACC Schedule	Update	6
Informational/Educational Items <ul style="list-style-type: none"> • Compliance Chatter Update • UConn Health Compliance Matters Newsletter • Article - Three Lines of Defense 	Information Only	7
Conclusion of Full Meeting		
Information Session with AMAS and OUC and External Auditors		

The next meeting of the JACC will be held on Thursday, March 7, 2019 at 10:00 am
 University of Connecticut, Wilbur Cross, North Reading Room, Mansfield Way, Storrs, CT