

University of Connecticut & UConn Health
Joint Audit & Compliance Committee Meeting
 September 25, 2019 (Special Meeting Due to Date Change)

10:00 am – 10:30 am - Executive Session

10:30 am – 12:00 pm - Public Session

University of Connecticut, Wilbur Cross, North Reading Room, Mansfield Way, Storrs, CT

Topic	Proposed Action	Tab
Executive Session to discuss: <ul style="list-style-type: none"> • C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] • C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims [1-210(b)(4)] • C.G.S. 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] • C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)] 	Review	None
Opportunity for Public Comments		None
Minutes of the June 19, 2019 JACC Meeting	Approval	1
External Engagements <ul style="list-style-type: none"> • Status of External Engagements • BKD – Approval to Extend Appointment to Perform the NCAA Agreed-Upon Procedures • CohnReznick – Approval to Extend Appointment to Perform the UConn 2000 Audit and Agreed-Upon Procedures • Pharmacy Optimization LLC, dba 340B Compliance Partners – Approval to Appoint to Perform UConn Health Covered Entities 340B Drug Pricing Program Audit • Auditrax LLC, dba Finix – Retroactive Approval to Appoint to Perform Recovery Audit Services and Accounts Payable Operations Review 	Update Approval Approval Approval Approval	2
Storrs & UConn Health Significant Compliance Activities <ul style="list-style-type: none"> • Athletics 	Update	3
Draft Compliance Plan FY20 – UConn and UConn Health Draft Audit Plan FY20 – UConn and UConn Health	Approval	4
UConn Health – EPIC Update	Update	5
Storrs & UConn Health Significant Audit Activities <ul style="list-style-type: none"> • Status of Audit Assignments • Follow Up Activities • Audit Updates 	Update	6
Informational/Educational Items <ul style="list-style-type: none"> • Compliance Newsletter – UConn • UConn Health Compliance Matters 	Information Only	7
Conclusion of Full Meeting		
Information Session with AMAS, University Compliance and External Auditors		

The next meeting of the JACC will be held on Friday, December 20, 2019 at 10:00 am
 University of Connecticut, Wilbur Cross, North Reading Room, Mansfield Way, Storrs, CT