Denis Nayden’s term as a member of the UConn Board of Trustees and Chairman of the JACC ends on June 30, 2019. R. Rubin publicly thanked Trustee Nayden for his years of service.

The meeting of the Joint Audit and Compliance Committee (JACC) was called to order at 10:03 a.m. by Trustee Nayden. **ON A MOTION** made by Trustee Nayden and seconded by Trustee Gouin, the JACC voted to go into executive session to discuss:

- C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)]
- C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims
- C.G.S. 1-200(6)[E] – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)]
- C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]

**Executive Session was attended by the following:** Joint Audit & Compliance Committee members: M. Boxer, T. Freedman, J. Gouin, T. Holt, D. Nayden; Audit Staff members: C. Chiaputti, T. Dyer, K. Goss, H. Hildebrandt, A. Marsh, G. Perrotti, and E. Zincavage; Compliance Staff members: K. Fearney, K. Hill, and E. Vitullo; Senior Staff: A. Agwunobi, N. Fuerst, J. Geoghegan, S. Jordan, R. Maric, R. Rubin, and J. Shoulson; General Counsel: J. Blumenthal, N. Gelston; Portions of Executive Session were also attended by: C. Gray, A. Jankowski, M. Jednak, K. Metcalf, B. Metz, and M. Mundrane.

The Executive Session ended at 11:07 a.m. and the JACC returned to open session at 11:08 a.m. There were no public comments.

**Tab 1 – Minutes of the Meeting**

**ON A MOTION** made by Trustee Nayden and seconded by Director Holt the minutes of the March 7, 2019 JACC meeting were approved.

**Tab 2 – External Engagements**

C. Chiaputti provided the committee with an update on ongoing external engagements.

**ON A MOTION** made by Trustee Nayden and seconded by Jeanine Gouin the request for the retroactive appointment of Vizient to conduct the audit of UConn Health’s John Dempsey Hospital (JDH) 340B Drug Pricing Program contract pharmacy services was approved.

K. Metcalf provided a summary of the audit report prepared by Vizient.
Tab 3 – Auditor of Public Accounts

Tab 4 – Significant Compliance Activities
K. Fearney provided an update on compliance activities.

W. Byerly provided an overview of compliance requirements for federally funded research recipients engaging in international collaborations.

Tab 5 – UConn Health – Epic (HealthONE) Update
B. Metz provided the committee with an update on the status of the electronic health record project, Epic.

Tab 6 – UConn & UConn Health Significant Audit Activities
C. Chiaputti provided the JACC with an update on the status of audit assignments (UConn and UConn Health). The JACC reviewed six audits, accepted four audits, and deferred two audits to the September meeting. In addition, Audit and Management Advisory Services had thirteen audits in progress at the end of this reporting period.

The committee received updates on the status of corrective actions.

N. Fuerst reported on the steps taken to address the recommendations in the 2018 internal audit of UConn Health’s Financial Aid Program.

Tab 7 – Informational / Educational Items

• Compliance Chatter;
• Article – Healthcare Business Continuity Management and Disaster Recovery;
• Article – Department of Justice, Evaluation of Corporate Compliance Programs.

There being no further business, ON A MOTION made by Trustee Nayden and seconded by Trustee Boxer, the meeting was adjourned at 11:39 p.m.

Respectfully submitted,

Angela Marsh