

University of Connecticut & UConn Health Joint Audit & Compliance Committee Meeting

March 5, 2020

10:00 am – 10:30 am - Executive Session

10:30 am – 12:00 pm - Public Session

University of Connecticut, Wilbur Cross, North Reading Room, Mansfield Way, Storrs, CT

Topic	Proposed Action	Tab
Executive Session to discuss: <ul style="list-style-type: none"> • C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] • C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims [1-210(b)(4)] • C.G.S. 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] • C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)] 	Review	None
Opportunity for Public Comments		None
Minutes of the December 20, 2019 JACC Meeting	Approval	1
External Engagements <ul style="list-style-type: none"> • Status of External Engagements • Marcum LLP – FY20-21 Extension and Fee 	Update Approval	2
Marcum LLP 2019 Financial Statements <ul style="list-style-type: none"> • John Dempsey Hospital (JDH) • UConn Medical Group (UMG) • Finance Corporation 	Presentation	3
Auditor of Public Accounts <ul style="list-style-type: none"> • UConn Financial Reports for the Year Ended June 30, 2019 • UConn Health Financial Reports for the Year Ended June 30, 2019 	Presentation	4
UConn Health – EPIC UConn – IT Projects	Update	5
University of Connecticut Revised Travel and Entertainment Policy and Procedures	Approval	6
UConn & UConn Health Significant Compliance Activities <ul style="list-style-type: none"> • Research Compliance 	Update	7
UConn & UConn Health Significant Audit Activities <ul style="list-style-type: none"> • Status of Audit Assignments • Follow Up Activities • Audit Updates, if necessary 	Update	8
Informational/Educational Items <ul style="list-style-type: none"> • Compliance Matters 	Information Only	9
Conclusion of Full Meeting		
Information Session with AMAS, University Compliance and External Auditors		

The next meeting of the JACC will be held on June 3, 2020 at 10:00 am
University of Connecticut, Wilbur Cross, North Reading Room, Mansfield Way, Storrs, CT