

University of Connecticut & UConn Health
Joint Audit & Compliance Committee Meeting
Minutes of the September 10, 2020 Meeting

Telephone Meeting

Attendees	
Trustees/ Directors:	Chair: M. Boxer, F. Archambault, R. Carbray, A. Dennis-Lavigne, J. Gouin, T. Holt, D. Toscano
Staff:	A. Agwunobi, J. Blumenthal, P. Casey, N. Fuerst, N. Gelston, J. Geoghegan, S. Jordan, T. Katsouleas, A. Keilty, R. Maric, R. Rubin, J. Simpson, J. Shoulson, O. Andujar, T. Dyer, K. Fearney, M. Gendreau, K. Goss, H. Hildebrandt, K. Hill, D. Hook, M. Kennedy, F. LaRosa, C. Murray, L. Neal, G. Perrotti, A. Quaresima, E. Vitullo, E. Zincavage, K. Bailot, C. Bernard, W. Byerly, R. Corbett, L. Cruickshank, A. Cunningham, G. Daniels, C. Delello, M. Frank, M. Glasgow, C. Gray, L. Hansen, M. Jednak, S. Kelmelis, M. Lucas, K. Metcalf, M. Mundrane, C. Podesta, H. Rhyhart, A. Wilder, B. Woodaman
State Auditors	J. Drozdowski, J. Harrison, A. Phung

The meeting of the Joint Audit and Compliance Committee (JACC) was called to order at 10:05 a.m. by Committee Chair Boxer.

ON A MOTION made by Committee Chair Boxer, approved by Trustee Dennis-Lavigne and seconded by Director Holt, the JACC voted to go into executive session to discuss:

- C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)]
- C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims
- C.G.S. 1-200(6)[E] – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)]
- C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]

Executive Session was attended by the following: JACC, BOT and BOD members: M. Boxer, F. Archambault, R. Carbray, A. Dennis-Lavigne, J. Gouin, T. Holt, D. Toscano; **President and Cabinet members:** A. Agwunobi, N. Fuerst, N. Gelston, S. Jordan, T. Katsouleas, R. Maric, R. Rubin **Senior Staff:** J. Blumenthal, J. Geoghegan, A. Keilty, J. Simpson, J. Shoulson, P. Casey; **Audit and Compliance Staff:** O. Andujar, T. Dyer, K. Fearney, M. Gendreau, K. Goss, H. Hildebrandt, K. Hill, D. Hook, M. Kennedy, F. LaRosa, C. Murray, L. Neal, G. Perrotti, A. Quaresima, E. Vitullo, E. Zincavage; **Portions of Executive Session were also attended by:** C. Bernard, M. Mundrane, C. Podesta.

The Executive Session ended at 10:36 a.m. **ON A MOTION** made by Committee Chair Boxer, approved by Trustee Dennis-Lavigne and seconded by Director Holt, the JACC returned to public session at 10:37 a.m. There were no public comments.

Tab 1 - General

ON A MOTION made by Committee Chair Boxer, approved by Director Carbray and seconded by Director Holt the minutes of the June 3, 2020 JACC meeting were approved.

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Tab 2 – External Audit Activities

M. Boxer reviewed and provided the committee with an update on ongoing external engagements.

ON A MOTION made by Committee Chair Boxer, approved by Trustee Archambault and seconded by Trustee Dennis-Lavigne, BDK- NCAA agreed upon procedures performed on athletics program– fee was approved.

ON A MOTION made by Committee Chair Boxer, approved by Trustee Dennis-Lavigne and seconded by Director Holt, the Pharmacy Optimization Consultants LLC, dba 340B Compliance Partners – Appointment of UConn Health’s two covered entities for 340b Drug pricing program was approved.

A. Phung from the Auditors of Public Accounts presented the UConn Health Departmental Audit report for fiscal years 2017 and 2018

Tab 3 – Significant Internal Audit Activities

F. LaRosa provided an update on the status of audit assignments.

ON A MOTION made by Committee Chair Boxer, approved by Trustee Dennis-Lavigne and seconded by Trustee Archambault, the draft audit plan for fiscal year 2021 was approved.

Tab 4 – Compliance Activities

K. Fearney provided an update on compliance activities.

ON A MOTION made by Committee Chair Boxer, approved by Trustee Archambault and seconded by Trustee Gouin, the draft compliance plan for fiscal year 2021 was approved.

H. Rynhart presented on behind the scenes public safety support during COVID19.

Tab 5 – Information Technology Updates

C. Podesta provided an update on UConn Health IT projects.

M. Mundrane and C. Bernard provided an update on the UConn IT projects.

There being no further business, **ON A MOTION** made by Committee Chair Boxer, approved by Trustee Dennis-Lavigne and seconded by Trustee Gouin, the meeting was adjourned at 11:21 a.m.

Respectfully submitted,

Molly Kennedy