



Board of



TRUSTEES

VOL. 214 OCTOBER 28, 2020

**TELEPHONE MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

Meeting held by Telephone

October 28, 2020

Public Call In Number:

(415) 655-0002 US Toll

Access Code: 629 930 823 ##

Public Access Link:

<http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

BOARD OF TRUSTEES SCHEDULE

9:00 a.m.	Academic Affairs Committee Meeting
9:30 a.m.	Financial Affairs Committee Meeting
9:50 a.m.	Board of Trustees Meeting

BOARD MEETING AGENDA

Call to order at **9:50 a.m.**

1. Public Participation*

* If members of the public wish to address the **Board of Trustees** during the Public Participation portion of the meeting, you must submit a request in writing 30 minutes prior to the start of the meeting (by **9:20 a.m.**) to the following email address: boardoftrustees@uconn.edu. Please indicate your **name, telephone number, and topic** to be discussed. Per the University By-Laws, the Board may limit public comment to a maximum of 30 minutes. As an alternative, you may also submit your comments via email which will be shared with the Board.

2. Chairman's Report

- (a) Matters outstanding
- (b) Minutes of the meeting of September 30, 2020
- (c) Consent Agenda Items:
 - (1) Contracts and Agreements (Attachment 1)
Presentation: UConn/CT DOT ~ Transit Partnerships
 - (2) Sabbatical Leave Recommendations (Attachment 2)
 - (3) Appointment of Ali Gokirmak, PhD, as the Charles H. Knapp Associate Professor in Electrical Engineering in the School of Engineering (Attachment 3)

3. President's Report

4. Academic Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Informational Item:
 - (1) Update to the Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit (Attachment 4)
5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Project Budget (Final) for North and South Parking Garages Restoration, Phase 1 (Attachment 5)
 - (2) Project Budget (Final) for Tasker Admissions Building Roof Restoration (Attachment 6)
6. UConn Health Report
 - (a) Report on UConn Health activities
7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
10. Student Life Committee Report
 - (a) Report on Committee activities
11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
12. Committee on Compensation Report
 - (a) Report on Committee activities
13. Committee for Research, Entrepreneurship and Innovation Report
 - (a) Report on Committee activities
14. Committee for Diversity, Equity and Inclusion Report
 - (a) Report on Committee activities
15. Other business
16. Executive Session anticipated
17. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

**CONTRACT AGREEMENTS
FOR APPROVAL
OCTOBER 28, 2020**

PROCUREMENT - NEW										
JANITORIAL SERVICES - REGIONAL CAMPUSES										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
1	Building Maintenance Enterprises, LLC	LM110119	\$12,500,000	01/01/21-06/30/25	Operating Funds - Auxiliary Services	Michael Jednak, AVP Facilities Operations & Building Services	Janitorial services for all University Regional Campuses (excludes Storrs, Depot and UCH). This is the result of a publicly advertised RFQ/RFP. Five terms of one year each remain.			
TRANSPORTATION SERVICES										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
2	Department of Transportation (CTDOT)	Memorandum of Agreement (MOA)	\$8,855,738	07/01/20-06/30/23	Operating Funds	Michael Jednak, AVP Facilities Operations & Building Services	To merge operations of the UConn Husky Go transportation system with the Windham Region Transit District (WRTD) fixed route operations and to transfer the University's fleet of nineteen transit buses to the Connecticut Department of Transportation. Extensions to this MOA may be exercised by written agreement of all parties.			
TURBINE GENERATORS - CENTRAL UTILITY PLANT										
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose			
3	Solar Turbines	28486-2021	\$10,000,000	01/01/21-12/31/26	Operating Funds - Auxiliary Services	Michael Jednak, AVP Facilities Operations & Building Services	Contractor is the manufacturer of the 3 turbine/generator packages in the Co-generation (Cogen) facility on the Storrs Campus and is to provide a 5-year full service/maintenance contract, with the option for a 5-year renewal term, as required by Cogen Plant lease agreement. Contract includes all regular scheduled maintenance services, remote performance monitoring, scheduled rebuilds and replacements, and exchange of equipment found to be operating outside of performance specifications. Covered equipment includes the gas turbine engines, gearboxes, generators, starting systems, fuel systems, lubricating systems, battery chargers, air inlet and exhaust systems, enclosures, fire suppression systems, and vibration reduction systems. Other services directly related to other ancillary equipment, but not covered by the agreement may be performed by Solar at the University's request. Sourced through a sole source agreement, replacement contract for the current agreement, which expires on 12/31/20. One extension of five years remains.			
PROCUREMENT - AMENDMENTS										
COPIERS, HP PRINTERS AND FAX MACHINES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 09/30/20	Expenditures FY19	Expenditures FY18	Purpose
4	A&A Office Systems, Inc.	12PSX0026	\$1,000,000; [Contract Value Previously \$2,802,536; Total New Contract Value \$3,802,536]	08/01/12-12/31/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services & Chief Procurement Officer	\$1,049,554	\$59,711	\$107,826	Printers and multi-function devices for all University campuses. Amend to increase contract value \$1,000,000, for total new contract value of \$3,802,536. Amend to extend contract term one year, through 12/31/21. This is a State of Connecticut contract. Future extensions may be exercised at the discretion of the State.

**CONTRACT AGREEMENTS
FOR APPROVAL
OCTOBER 28, 2020**

ELECTRICAL SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 09/30/20	Expenditures FY19	Expenditures FY18	Purpose
5	Northeast Electrical Distributors	19PSX0239	\$3,000,000; [Contract Value Previously \$1,600,000; Total New Contract Value \$4,600,000]	01/01/20-01/01/24	Multiple Sources	Michael Jednak, AVP Facilities Operations & Building Services	\$1,200,000	\$43,392	\$0	Electrical supplies for all University campuses. Amend to increase contract value \$3,000,000, for total new contract value of \$4,600,000. Contract term remains the same. This is a State of Connecticut contract. Future extensions may be exercised at the discretion of the State.
IT HARDWARE AND RELATED SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 09/30/20	Expenditures FY20	Expenditures FY19	Purpose
6	Presidio Holdings, Inc.	UC-16-SF071116-J	\$3,000,000; [Contract Value Previously \$5,000,000; Total New Contract Value \$8,000,000]	05/26/17-05/26/23	Operating Funds	Michael Mundrane, Vice President and Chief Information Officer	\$4,978,390	\$2,423,728	\$1,044,677	Operating system, network, and security hardware and services for all University campuses. Amend to increase contract value \$3,000,000, for total new contract value of \$8,000,000. Contract term remains the same. One extension of three years remains.
TRAVEL AND EXPENSE SOFTWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 09/30/20	Expenditures FY20	Expenditures FY19	Purpose
7	Concur Technologies, Inc.	UC-18-KA010417-8	\$0 [Contract Value Previously \$2,611,195; Contract Value Remains the Same]	06/14/19-06/30/24	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services & Chief Procurement Officer	\$526,806	\$425,040	\$101,767	A complete end-to-end travel system from travel planning through trip reimbursement. System provides robust reporting and oversight capabilities, as well as a seamless overall experience for the traveler and administrators. Amend to extend term one year, through 06/30/24. Contract value remains the same. Zero extensions remain.



UConn / CTDOT Memorandum of Agreement

TRANSIT PARTNERSHIPS

– UPASS Memorandum of Agreement

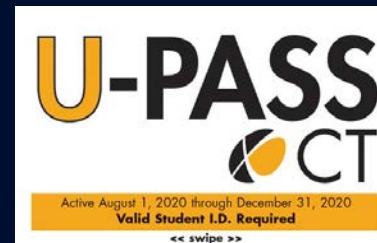
July 2017 (\$880,000 per year)

- 913 Express Route (Manchester-Buckland-Storrs)
- 25,000+ passenger trips per month
- 67% of passengers were U-Pass users
- Benefits extend to graduate students

	2019 August	September	October	November	December	2020 January	February
Current Month							
Route 903 Passenger Trips	8,224	8,070	9,224	7,364	5,727	8,686	7,900
Change vs Prior Year	-1,351 -14.1%	82 1.0%	-155 -1.7%	-681 -8.5%	-614 -9.7%	-413 -4.5%	-28 -0.4%
Route 913 Passenger Trips	12,263	22,375	25,058	19,691	11,341	14,903	21,061
Change vs Prior Year*	3,167 34.8%	6,221 38.5%	5,510 28.2%	3,812 24.0%	333 3.0%	3,931 35.8%	4,491 27.1%
Corridor Total Passenger Trips	20,487	30,445	34,282	27,055	17,068	23,589	28,961
Change vs Prior Year	1,816 9.7%	6,303 26.1%	5,355 18.5%	3,131 13.1%	-281 -1.6%	3,518 17.5%	4,463 18.2%
Storrs Passenger Trips	7,466	18,182	20,166	15,355	7,902	8,983	15,100
Change vs Prior Year	2,649 55.0%	6,070 50.1%	5,635 38.8%	3,764 32.5%	695 9.6%	2,725 43.5%	2,482 19.7%
U-PASS Ridership*	3,471	16,280	17,886	13,443	6,563	6,447	13,928
Farebox Ridership*	8,697	21,701	24,171	18,139	10,243	11,801	19,144
U-PASS % of Total	39.9%	75.0%	74.0%	74.1%	64.1%	54.6%	72.8%
Prior Year Month							
Route 903 Passenger Trips	9,575	7,988	9,379	8,045	6,341	9,099	7,928
Route 913 Passenger Trips	9,096	16,154	19,548	15,879	11,008	10,972	16,570
Corridor Total Passenger Trips	18,671	24,142	28,927	23,924	17,349	20,071	24,498
Storrs Passenger Trips	4,817	12,112	14,531	11,591	7,207	6,258	12,618

* Fare enforcement/on-board collection suspended March 20 due to a public health emergency

CT DOT



With service to...

- UCONN East Campus
- Downtown Hartford
- Buckland Park & Ride

– UPASS Program

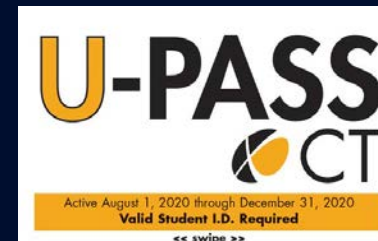
DOT / OPM Proposal

(August 2020)

- \$967,280 FY21
 - \$20 /Per Student
- Savings \$1,045,000
 - \$880,000 – no longer funding CTtransit Route 913
 - \$165,000 – no longer funding the Stamford shuttle
- The cost of UPASS Program participation under the proposed agreement is unchanged



CT DOT





Leaving the bus business...

➤ *CTtransit*

- 913 Express Route Manchester-Buckland-Storrs
- UConn Stamford Connector

➤ WRTD

- Hunting Lodge Road
-



CT DOT

- Improves service within the Windham / Willimantic Region
- Advancement toward State transit electrification goals
- Maintenance facility supporting Regional transit growth
- Public partnership with Connecticut's flagship University
- Capitalizes upon inter-agency synergies
- Establishes of WRTD as a Regional transit hub
- Federal formula funding eligibility increased

Partner Benefits



Partner Benefits

UConn

- HuskyGo transit buses transferred to CT DOT
 - Aging fleet of 19 diesel buses (2005 – 2016)
- Transfer of VW Nox Mitigation Grant to CT DOT
 - Electric Bus Charging Infrastructure
 - Expedites introduction of Electric Buses
 - Three electric buses within UConn service area



- Experienced regional transit provider
- Shared Leadership
 - Executive Director
 - Fiscal Director
 - Transportation Director
 - Transportation Planner
- Board of Directors representation

Partner Benefits



SHUTTLE OPERATIONS



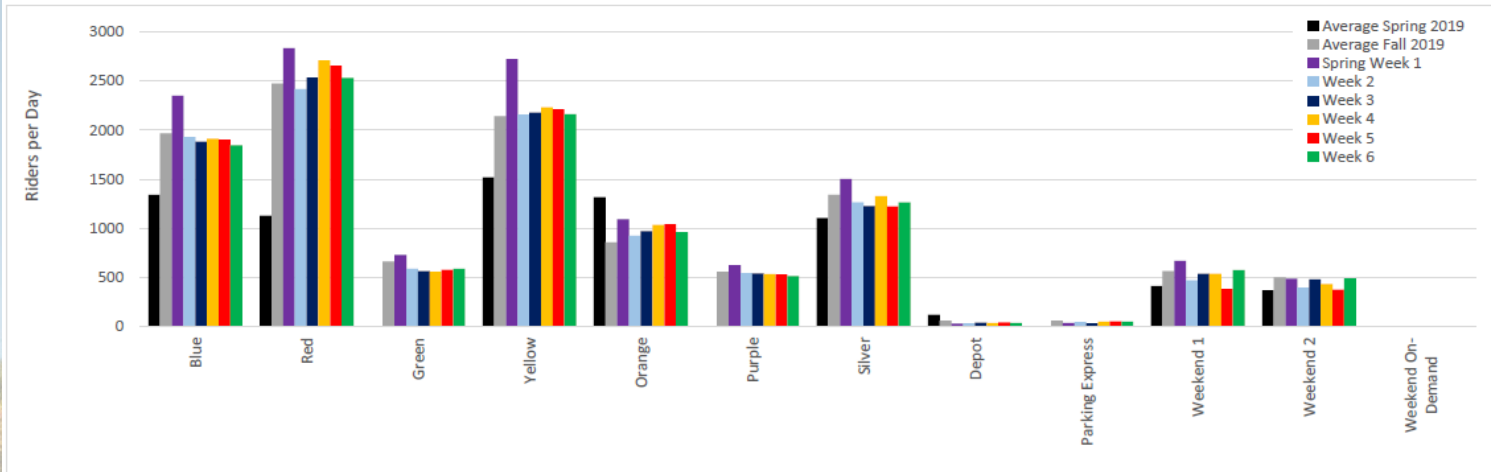
- 1.1 million riders 2017/18
- Students parking moved to campus periphery
- Revised shuttle routes (Fall 2018)
- New Technology (AVL/APC | Spring 2019)
- TransLoc “Rider” Application (Spring 2019)
- 1.7 million riders 2019/20
- Essential transportation service
- Student Drivers → First Transit (June 2022)

HuskyGO →

Rider Counts for Fixed Route Services by Route Spring 2020 Semester

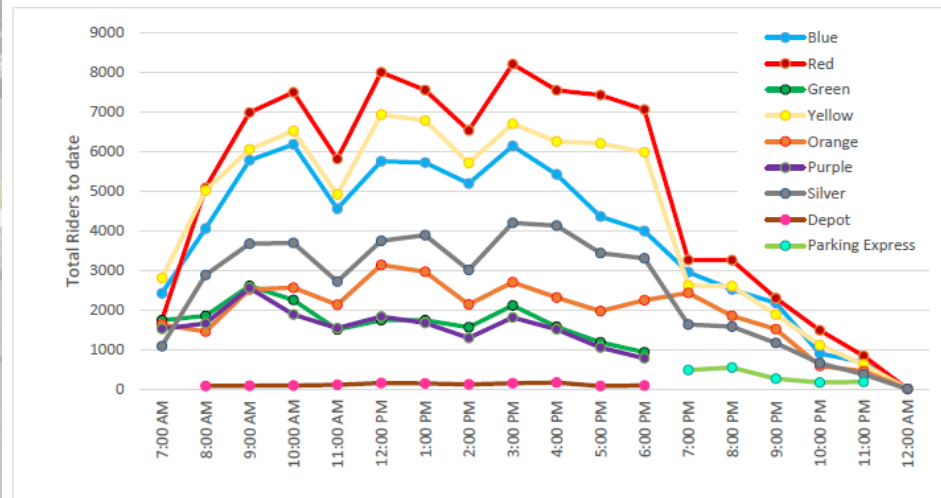
Last Updated 3/2/2020

Average Daily Ridership By Route



- Customer focused
- Instant service notifications
 - Automatic vehicle location
 - Predictive arrivals
 - Advanced notifications
 - Current occupancy
- Performance based planning
- Real-time analysis

Total Ridership By Route and Time of Day (Weekdays)



HuskyGO →

Model Year	Make	Year of Replacement	Replacement Age in Years	Comment	Per Vehicle Cost Diesel	Per Vehicle Cost Electric	Fiscal Year Totals	Year
2005	Gillig	2021	16	VW Grant		\$ 1,000,000	\$	1
2005	Gillig	2021	16	VW Grant		\$ 1,000,000	1,400,000	
2008	Gillig	2021	13		\$ 450,000	\$ 1,000,000	\$	
2008	Gillig	2021	13		\$ 450,000	\$ 1,000,000	2,000,000	2
2008	Gillig	2022	14		\$ 450,000	\$ 1,000,000	\$	
2008	Gillig	2022	14		\$ 450,000	\$ 1,000,000	2,000,000	
2011	Gillig	2023	12		\$ 450,000	\$ 1,000,000	\$ 3,000,000	3
2011	Gillig	2023	12		\$ 450,000	\$ 1,000,000		
2011	Gillig	2023	12		\$ 450,000	\$ 1,000,000		
2016	New Flyer	2026	10		\$ 1,000,000	\$ 1,000,000	\$ 3,000,000	4
2016	New Flyer	2026	10		\$ 1,000,000	\$ 1,000,000		
2016	New Flyer	2026	10		\$ 1,000,000	\$ 1,000,000		
2016	New Flyer	2027	11		\$ 1,000,000	\$ 1,000,000	\$ 4,000,000	5
2016	New Flyer	2027	11		\$ 1,000,000	\$ 1,000,000		
2016	New Flyer	2027	11		\$ 1,000,000	\$ 1,000,000		
2016	New Flyer	2028	12		\$ 1,000,000	\$ 1,000,000	\$ 3,000,000	6
2016	New Flyer	2028	12		\$ 1,000,000	\$ 1,000,000		
2016	New Flyer	2028	12		\$ 1,000,000	\$ 1,000,000		
					\$ 13,150,000		\$ 18,400,000	

UCONN

Payments to WRTD

- shuttle operating costs ~\$2.4M/year *unchanged*
- Leadership salaries and maintenance facility ~\$400k/year

Cost Avoidance

- Electric Bus Charging Infrastructure (~1.5M) *first year*
- Indoor Bus maintenance facility ---
- Transit bus replacements (~3M/year)

CT DOT

- Maintenance Facility Buildout ~\$10M
- Electric buses (2) and chargers ~\$2.6M *VW Grant*
- Transit Bus Purchases (each) ~\$450 Diesel/ ~1M Electric

Financials

ATTACHMENT 2

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
October 28, 2020 Board of Trustees Meeting

SABBATICAL MODIFICATIONS/POSTPONEMENTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Alexandrescu, Andrei T	Professor	Molecular and Cell Biology	Liberal Arts and Sciences Change to	Half Half	CY 2021 CY 2022
Cole, James L	Professor	Molecular and Cell Biology	Liberal Arts and Sciences Change to	Half Half	CY 2021 AY 21-22
Fendrich, Michael	Associate Dean & Professor	Social Work	Social Work Change to	Full Full	Spring 2021 Spring 2022
Fisher, Timothy S	Professor	Law	Law Change to	Full Full	Fall 2020 Spring 2021
Hasenfratz, Robert J	Department Head & Professor	English	Liberal Arts and Sciences Change to	Full Full	Spring 2021 Fall 2021
Raissian, Kerri M	Associate Professor	Public Policy	Liberal Arts and Sciences Change to	Full Full	Spring 2021 Spring 2022
Simien, Evelyn M	Professor	Political Science	Liberal Arts and Sciences Change to	Full Full	Spring 2021 Fall 2021
Wang, Xiaojing	Assistant Professor	Statistics	Liberal Arts and Sciences Change to	Half Half	AY 20-21 CY 2021

SABBATICAL LEAVE REQUESTS


<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Baumann, Hannes	Associate Professor	Marine Sciences	Liberal Arts and Sciences	Half	AY 21-22
Bedore, Pamela	Associate Professor	English	Liberal Arts and Sciences	Full	Fall 2021
Burke, Jeffrey D.	Associate Professor	Psychological Sciences	Liberal Arts and Sciences	Full	Fall 2021

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Casa, Douglas J	Professor	Kinesiology	Agriculture, Health, and Natural Resources	Full	Fall 2021
Marsden, Jean I	Professor	English	Liberal Arts and Sciences	Half	AY 21-22
Mostovyi, Oleksii	Associate Professor	Mathematics	Liberal Arts and Sciences	Full	Fall 2021
Singe, Stephanie Mazerolle	Associate Professor	Kinesiology	Agriculture, Health, and Natural Resources	Full	Fall 2021

ATTACHMENT 3

October 28, 2020

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D.
Provost and Executive Vice President for Academic Affairs 

RE: Appointment of Ali Gokirmak, PhD, as the Charles H. Knapp Associate Professor in Electrical Engineering in the School of Engineering

RECOMMENDATION:

That the Board of Trustees approve the appointment of Ali Gokirmak as the Charles H. Knapp Associate Professor in Electrical Engineering in the School of Engineering.

BACKGROUND:

This Professorship was established by an endowment from Robert Knapp dated June 20, 2012 to support a nationally or internationally recognized research, scholar and teacher at the level of Associate Professor, who has made significant contributions to the field of electrical engineering.

This recommendation is made by Dean Kazem Kazerounian along with Dean's Council for Promotion, Tenure and Reappointment.


Prof. Gokirmak received his Ph.D. in Electrical and Computer Engineering from Cornell University in 2006. He joined the faculty of the Department of Electrical and Computer Engineering at the University of Connecticut as an Assistant Professor in August 2006, and was promoted with the award of tenure in August 2013.

Prof. Gokirmak has attracted a funding as PI or Co-PI from federal sources, such as NSF (including a CAREER and GOALI), Department of Energy, AFOSR (part of a large MURI), and the DoD. He has published 44 journal articles, 2 book chapters, 30 full conference proceedings papers, 127 conference presentations, and has made presentations in a number of invited colloquia and seminars. He has received the ECE Department award for Excellence in Teaching, has graduated four Ph.D. students as sole Major Advisor and three more as co-Major Advisor, and currently advises four Ph.D. students. Dr. Gokirmak is active in service at the Department and School levels, and indeed has shown key initiative in organizing the weekly "Teaching Conversations" (Thursdays, with ECE and CSE faculty) and "Coffee Hour" (Fridays, faculty and grad students).

ATTACHMENT 4

October 28, 2020

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D.
Provost and Executive Vice President for Academic Affairs 

RE: Update to the Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit

BACKGROUND:

Revisions to the Consulting Procedures must be presented to the Board of Trustees as an informational item. These revisions outline practices of the Faculty Consulting Offices regarding consulting by management-exempt employees with a faculty title.

A section regarding consulting by management-exempt employees with a faculty title has been added to the Procedures, replacing a stand-alone document related to this group. This section now reads:

“10. CONSULTING FOR UCONN AND UCONN HEALTH MANAGEMENT-EXEMPT EMPLOYEES¹ WITH A FACULTY TITLE

State legislation permits consulting activities for UConn and UConn Health faculty provided these activities are carried out in accordance with the regulations set out in the legislation and the University Faculty Consulting Policy. Management-exempt employees with a faculty title may consult following the same procedures as all other faculty. In addition, they must document that if they perform approved consulting work during normal business hours (*i.e.* Monday – Friday, 8 a.m. – 5 p.m.), that they have worked an equivalent number of hours performing University work outside of normal business hours. If this is not possible, the consulting work should be performed on approved vacation, personal, comp, or holiday leave time.”

This procedure updates a previous stand-alone procedure related to these faculty and normalizes procedures related to consulting by management-exempt employees with a faculty title across both UConn and UConn Health.

Attached is a copy of the Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit, with indications of the proposed revisions.

¹ Management-exempt faculty are not eligible to be members of the AAUP collective bargaining units and the terms and conditions of their employment are not governed by the AAUP contracts.

PROCEDURES ON CONSULTING FOR FACULTY AND MEMBERS OF THE FACULTY BARGAINING UNIT

~~March 19, 2014~~October 28, 2020

1. PERMISSION

Prior to engaging in consulting activities, faculty employed 50% or more time must complete and submit the “Request for Approval of Consulting Activities” form¹ as approved by the Provost and the Executive Vice President for Health Affairs at UCHC. For the purpose of this policy, faculty on Board approved sabbatical leaves are considered to be full-time faculty. Such request must be submitted sufficiently in advance of the start of the consulting activity to allow for its appropriate review. Further, new requests must be completed and approved prior to making substantial changes to a previously approved activity. Consulting requests must be based on the faculty member’s professional expertise or prominence in his/her field.

Faculty paid less than 50% time by the University of Connecticut and/or University of Connecticut Health Center may voluntarily elect to obtain prior approval to consult. Once such a faculty member has requested approval to consult for a single consulting activity, all subsequent consulting activities in that same fiscal year must also obtain such approval.

2. INFORMATION

Information to be provided on this form must include the following: The name and address of the payer (contracting entity); a description of the consulting activity with enough detail so that the approver may determine whether such activities conflict with one’s state responsibilities; description of interaction or responsibilities in one’s official capacity, if any, with respect to the contracting entity; estimated amount of remuneration in ranges established by the Provost; an indication whether the faculty member holds an equity interest in the contracting entity of 5% or more, the total number of days expected to complete the consulting activity; maximum total number of days during normal work time, and disclosure of material use of state resources. Additional information regarding remuneration or other matters must be provided, if requested.

It is understood at the time a request form is being considered for approval that all the dates and times of the consulting activity might not be known. As these dates and times become known, the faculty member is expected to provide them to his/her department head. In all cases these notifications should be at least one day in advance of any consulting work so that the department head can ensure that the faculty member’s assigned job duties are fully addressed. Such notifications must be made in writing to the

¹ Currently this is completed and transmitted using an on-line approval system.

department heads and emails are acceptable. The need for such prior notification of such dates and times only applies if such dates and times are during normal work time.

3. SIGNATORIES

Requests to consult must be approved by the member's Department Head and Dean. For members employed in Storrs and the Regional campuses, the requests must also be reviewed and approved by the Provost or the Provost's designee. For members at the University Health Center, the request must be reviewed and signed by the Executive Vice President for Health Affairs or the Executive Vice President's designee. For members employed by the Division of Athletics, the Athletic Director must approve in place of a Dean and forward the form to the Provost's designee for review. Subsequent to review and pursuant to NCAA regulations, the President will approve as the Provost's designee.

The Storrs and Regional Faculty Consulting Office adheres to the practice that consulting should not exceed an average of one normal work day per week during periods of appointment. The University Health Center Faculty Consulting Office utilizes the annual evaluation for assessing the negative impact of consulting on a faculty member's duties. Further, the department chair must give approval in advance for each day of consulting during normal work time, and in making this decision, the chair must consider the impact of such consulting on the faculty member's duties (such as teaching, office hours, attending clinics, attending important meetings, etc.).

4. ACCELERATED APPROVAL PROCESS

There is a set of purely academic activities that faculty members are normally expected and encouraged to undertake. Such activities would include, but are not limited to, reviewing books, articles and research proposals (i.e. federal grants), presenting occasional lectures, speeches, and colloquia to non-profit entities, refereeing of manuscripts, creation of works of art, serving as a member of thesis committee, or case-review (medical-legal review of cases). The nature of such activities will vary from one discipline to another – but the underlying principle is that they are part of faculty member's expected academic professional development. For activities of this type, and for which the compensation does not exceed \$5,000 (per contracting entity per year), the Provost and Executive Vice-President for Health Affairs delegate to the faculty member's department head the sole approving authority. This means that the faculty member should fill out the required on-line request form sufficiently in advance of the activity to allow adequate time for the Department head to review and approve it.

5. SUMMER PRIOR APPROVAL PROCESS

Faculty members may participate in "academic related consulting activities" (as described below) during the summer without advance filing of a consulting request form. Eligible consulting activities are pre-approved.

Eligible faculty are those who hold (9, 10, or 11 month contracts). The consulting activity must occur in the summer and, during this time, the faculty member must ensure that adequate time has been allocated to fully complete their assigned, contractual or grant related job responsibilities for which they are paid by the University. While employed 100% on a federal grant during the summer, faculty members should comply with all federally related guidelines. Due to time and effort reporting, faculty members should avoid being employed 100% on federal grants during the summer, if they anticipate consulting.

“Academic related activities” are those normally considered to enhance a faculty member’s professional expertise or prominence in their field and include the following:

- Educational and scientific presentations;
- Giving occasional lectures and speeches;
- Participation in colloquia, symposia, site visits, study sections and similar gatherings;
- Ad hoc refereeing of manuscripts (not to include paid editorships);
- Activities deemed by the Department Head to be similar to the activities described above. The nature of these activities will be reviewed by the Faculty Consulting Offices.

To be eligible for summer prior approval, remuneration for any one academically related activity may not exceed \$1,000 with a total limit over the summer of \$10,000 for such activities.

By September 15 each year, faculty must submit a list and description of the academically related activities they participated in during the previous summer to their department head and to the appropriate Faculty Consulting Office. Such activities will be included in the year end reconciliation report.

The normal approval process that requires approval prior to the start of the consulting activity may also be used during the summer and is not subject to the financial caps on the Summer Prior Approval process.

6. REPORTING

By the end of each fiscal year, members must complete and submit a year-end reconciliation of all consulting activities, due not later than September 15, on a form² developed by the Provost and the Executive Vice President for Health Affairs at the UCHC. Such form must include verification that the University has been appropriately reimbursed for material use of state resources.

7. MANAGEMENT COMMITTEE

² Currently this is completed and transmitted using an on-line system.

A University Consulting Management Committee must be formed. Two members must be designated by the Provost and two must be designated by the Executive Vice President of the UCHC. One member must be designated by the President. The Committee must elect a chair. The University's ethics officer will serve ex-officio as a non-voting member to serve in an advisory capacity only.

Any of the individuals responsible for approving such consulting activities may request advice from Consulting Management Committee. The Committee shall have the ability to recommend that the proposed activity be denied, approved, or to suggest a plan to manage the potential conflict. The Committee will be authorized to request any other information that it deems necessary to assist it in this determination. Some examples of conditions or restrictions that may be recommended include, but are not limited to: recusal for making decisions in one's state capacity regarding the payer; review of decisions regarding a payer by a superior; change in required work schedules; permission to work on consulting limited to nights and/or weekends. The Committee may develop generic guidelines for approving requests to consult.

8. SANCTIONS

Any member who intentionally provides misleading or false information during the course of the approval process will be subject to disciplinary action in accordance with such member's collective bargaining agreement or employment agreement. Such disciplinary action may include, but not be restricted to: letter of reprimand; loss of the privilege to continue to engage in consulting activities; suspension; or, dismissal. The Provost will develop and publish (consulting.uconn.edu) a sanctions policy relating to non-compliance with this policy on consulting.

9. TRAINING

The Faculty Consulting Offices will offer training sessions on an on-going basis as well as maintain a web site with training materials. Such training will include reminders to the Department Heads and Deans as to their responsibilities related to their review and approval of requests to consult.

10. CONSULTING FOR UCONN AND UCONN HEALTH MANAGEMENT-EXEMPT EMPLOYEES³ WITH A FACULTY TITLE

State legislation permits consulting activities for UConn and UConn Health faculty provided these activities are carried out in accordance with the regulations set out in the legislation and the University Faculty Consulting Policy. Management-exempt employees with a faculty title may consult following the same procedures as all other faculty. In addition, they must document that if they perform approved consulting work during normal business hours (i.e. Monday – Friday, 8 a.m. – 5 p.m.), that they have worked an equivalent number of hours performing University work outside of normal

³ Management-exempt faculty are not eligible to be members of the AAUP collective bargaining units and the terms and conditions of their employment are not governed by the AAUP contracts.

business hours. If this is not possible, the consulting work should be performed on approved vacation, personal, comp, or holiday leave time.

~~11~~10. REVISIONS

These procedures may be revised by mutual consent of the Provost and the Executive Vice President for Health Affairs with notification to the University's Board of Trustees.

~~12~~11. APPEALS


As articulated in the Policy on Consulting for Faculty and Members of the Faculty Collective Bargaining Unit, the Procedures outlined above are to be implemented in accordance with the applicable provisions of the Collective Bargaining agreement or the employment agreement of the faculty member and are subject to any appeal rights that may be available.


ATTACHMENT 5



October 28, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for North and South Parking Garages Restoration, Phase 1
(Final: \$1,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$1,000,000 as detailed in the attached project budget, for North and South Garages Restoration, Phase 1, for Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$1,000,000 in University funds for North and South Garages Restoration, Phase 1 project; and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

BACKGROUND:

The University of Connecticut owns two precast concrete parking garages at the Storrs Campus. The North Garage, located adjacent to the Jorgensen Center for Performing Arts, is a five-level structure constructed in 1997. The South Garage, located adjacent to the Harry A. Gampel Pavilion, is a six-level structure constructed in 2000. The University commissioned a condition assessment and structural evaluation for each structure, which concluded that, while the structures are both in good condition, certain repairs were recommended. The University intends to utilize a phased approach to completing the repairs. This project comprises the first phase of the repairs and will focus primarily on the top level of each of the two garages at the Storrs Campus.

Repairs that will be required as part of this project include the following:

- Replacement of joint sealants that have reached the end of their service life to include removal of existing sealants, cleaning of adjacent surfaces, preparation of adjacent surfaces, and installation of new sealants.
- Repair of deteriorated or damaged concrete on floors, beams, columns, walls, curbs, and stairs to include removal of deteriorated or damaged, concrete, preparation of surfaces, and installation of concrete patch.
- Repair and/or sealing of cracks using epoxy injection or other specified techniques.
- Repair or replacement of shear connectors.
- Repair of damaged door hardware.
- Power-washing of deck and painting of new line striping.
- Miscellaneous cleaning and repairs of drainage system.
- Painting of miscellaneous surfaces and items.

The Final Budget is based on the consultant's preliminary opinion of construction cost during Design. Portions of the scope may be deferred to the following phase in order to maintain the annual budget cap.

This project has multiple phases. The cost of the entire project, at this time, is estimated at \$5.5M. The sequence of construction for the rest of the phases will vary depending upon the procurement method and funding availability.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: NORTH & SOUTH PARKING GARAGES RESTORATION, PHASE
1**

	APPROVED PLANNING 2/24/2020	PROPOSED FINAL 10/28/2020
<u>BUDGETED EXPENDITURES</u>		
	PRC	
CONSTRUCTION	\$ 110,000	\$ 728,000
DESIGN SERVICES	150,000	172,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 260,000	\$ 900,000
PROJECT CONTINGENCY	40,000	100,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 300,000</u>	<u>\$ 1,000,000</u>
<u>SOURCE(S) OF FUNDING*</u>		
UNIVERSITY FUNDS	<u>\$ 300,000</u>	<u>\$ 1,000,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 300,000</u>	<u>\$ 1,000,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 10.28.20

FO500056

NORTH AND SOUTH GARAGE PARKING RESTORATION – PHASE I
Project Budget (FINAL)
October 28, 2020



South Garage




North Garage


ATTACHMENT 6



October 28, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Tasker Admissions Building Roof Restoration
(Final: \$662,040)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$662,040 as detailed in the attached project budget, for Tasker Admissions Building Roof Restoration for Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$662,040 in University funds for Tasker Admissions Building Roof Restoration project; and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

BACKGROUND:

The Gordon W. Tasker Administration Building is a two-story brick building on Hillside Road at the Storrs Campus across the street from the Jorgensen Center for Performing Arts. It was built in 1993. The service life of the roof has expired. Therefore, the University commissioned an engineer to evaluate the condition of the roof and provide contract documents for its replacement.

Repairs that will be required in this project include the following:

- Removal and disposal of the existing roofing, insulation and eaves.
- Installation of new code-compliant insulation and roofing membrane.
- Rebuilding the perimeter eaves and fascia, as well as the knee wall between roof levels.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070
www.evpacfo.uconn.edu

An Equal Opportunity Employer

- Replacement of skylight.
- Replacement of and installation of additional roof drains.
- Abatement of asbestos-containing waterproofing membrane at eaves.

The Final Budget is based on the consultant's preliminary opinion of construction cost during Design.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: TASKER ROOF RESTORATION

	APPROVED PLANNING 2/28/2020	PROPOSED FINAL 10/28/2020
<u>BUDGETED EXPENDITURES</u>		
	PRC	
CONSTRUCTION	\$ 60,000	\$ 555,920
DESIGN SERVICES	30,000	47,080
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	10,000
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 90,000	\$ 613,000
PROJECT CONTINGENCY	10,000	49,040
TOTAL BUDGETED EXPENDITURES	<u>\$ 100,000</u>	<u>\$ 662,040</u>
<u>SOURCE(S) OF FUNDING*</u>		
UNIVERSITY FUNDS	<u>\$ 100,000</u>	<u>\$ 662,040</u>
TOTAL BUDGETED FUNDING	<u>\$ 100,000</u>	<u>\$ 662,040</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 10.28.20

FO500082

TASKER ROOF RESTORATION
Project Budget (FINAL)
October 28, 2020



Tasker Admissions Building Main



Tasker Roof seen from the southwest

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
Hires Processed from September 18, 2020 to October 18, 2020
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
Arbelo,Ulises	Research Asst 1	Physiology and Neurobiology	10/09/2020
Boss,Samuel Charles	Librarian 4	University Libraries	10/23/2020
Boucher,Diana Lee	Admin Program Support 1	Civil and Environ Engineering	10/09/2020
Coleman, Katie D	Procurement Analyst 1	Procurement Services	09/25/2020
Davidson,Christyn Sidney	Asst Coach (Specialist IA)	Athletics WI Field Hockey	10/09/2020
DeSimone,Jeremy F.	Research Asst 1	CT Transportation Institute	09/25/2020
Gedney,Emily	Admissions Officer 2	Admissions	10/23/2020
Grebinec,Melissa	Admissions Manager	Admissions	10/09/2020
Lech,Jennifer Dawn	Student Services Program Admin	Enrichment Programs	10/23/2020
McAvoy,Deirdre	Admin Program Support 3	Pharmacy	10/09/2020
Newton,Sarah D.	Postdoctoral Research Assoc	Educational Psychology	10/09/2020
Parekh De Campos,Amisha	Asst Clinical Professor	Nursing Instruct and Research	09/25/2020
Petersen,Matthew Nathan	Postdoctoral Research Assoc	Perception, Action and Cognit	10/09/2020
Ryan,Gregory Lee	Financial Assistant 2	CT Education Network ASC	10/09/2020
Seward,Luke Jordan	Visiting Asst Professor	Art and Art History	08/23/2020
Shirani Bidabadi,Niloufar	Postdoctoral Research Assoc	CT Transportation Institute	10/09/2020

University of Connecticut Department of Human Resources
Separations Processed from September 18, 2020 to October 18, 2020
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Bartholomew,Candace L	EXTENSION EDUCATOR	Retirement	Dept of Extension Hartford	10/01/2020
Beall,J C	DISTINGUISHED PROFESSOR	Separation	Philosophy	08/23/2020
Brandon,Laurel Eileen	Postdoctoral Research Assoc	Separation	Ed Psychology Gift and Talent	10/07/2020
Buckley,Thomas E	Assoc Clinical Professor	Retirement	Pharmacy Practice	10/01/2020
Burgess,Kayla Evelyn	Financial Analyst 1	Separation	Budget and Planning	09/11/2020
Carty,Andrew R	Computer Support Technician 1	Retirement	Campus Technology Services	09/01/2020
Cole,Kristen	University Director	Separation	University Communications	09/08/2020
Dergunov,Sergey	Asst Research Professor	Separation	Chemistry	09/21/2020
Fisher,Cera Ruth-Marie	Postdoctoral Research Assoc	Separation	Ecology and Evolutionary Bio	09/01/2020
Fretz,Priscilla M.	U Staff Professional 2	Separation	Privacy Protection and Mgmt	10/09/2020
Halpert,James R	Professor	Separation	Pharmaceutical Science	10/09/2020
Hebert,Raymond	Facilities Manager 2	Separation	Facilities Trade Services	08/28/2020
Kerr,Susan Pendleton	U Staff Professional 2	Separation	Privacy Protection and Mgmt	10/09/2020
Khairallah,Meghan M	UCP 09-Network Consultant 3	Retirement	Network Engr and Design	09/01/2020
Kimber,Addison Louise	Research Asst 1	Separation	InCHIP	10/01/2020
Levin,Milton J	Assoc Research Professor	Separation	Pathobiology	09/14/2020
Lim,Nehemy	Visiting Asst Professor	Separation	Statistics	08/23/2020
Marrouch,Natasza	Postdoctoral Research Assoc	Separation	Psychological Sciences	09/12/2020
Mechtenberg,Hannah	Research Asst 1	Separation	Speech, Lang and Hearing Sci	08/23/2020
Nguyen,Phuong Ha	Postdoctoral Research Assoc	Separation	Electrical and Computer Engr	09/14/2020
Nigro,Lisa M	Postdoctoral Research Assoc	Separation	Marine Sciences	10/09/2020
Noren,Pattie D	Admin Program Support 2	Separation	Dining Services	09/18/2020
Norris,Maxwell F	Software Developer 3	Separation	Nursing-UConn AIMS	09/23/2020
Pierce,Joshua C	Research Asst 2	Separation	Social Work Instruct and Rsrch	09/01/2020

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Pratt, Lauri A	Laboratory Technician 1	Separation	Chemistry	09/06/2020
Samei, Siavash N/A	Postdoctoral Research Assoc	Separation	Humanities Institute	07/01/2020
Soler, Barbie J	Accountant	Separation	Accounting Office	09/19/2020
Summers, William	Network Administrator 2	Separation	Network Engr and Design	09/01/2020
Van Der Woude, Katherine Grace	Research Asst 2	Separation	Cooperative Extension System	09/15/2020
Wang, Qing	Visiting Asst Professor	Separation	Educational Psychology	10/01/2020

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 10/15/20
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Batta Lona, Paola	Postdoctoral Research Associate	Marine Sciences	9/29/2020	10/13/2020	Maternity Leave	Unpaid
Dogruer Akgul, Sahinde	Adjunct Faculty	Mathematics	9/11/2020	9/27/2020	Maternity Leave	Unpaid
Rada, Rosa	Research Assistant 2	Social Work Instruct & Research	7/1/2020	9/29/2020	Personal Leave	Unpaid

COMMITTEE AGENDAS

**University of Connecticut Board of Trustees
Institutional Advancement Committee**

Teleconference

Tuesday, October 13, 2020
1:00 p.m.

1. Public Participation..... Dr. Dennis-LaVigne
2. Acceptance of September 22, 2020 Meeting Minutes.....Dr. Dennis-LaVigne
Attachment 1
3. UConn Foundation Update.....Mr. Roberts / Ms. Cotton Kelly
4. Connecticut Commitment Update.....Mr. Roberts / Mr. Fuerst
5. University Communications Update.....Mr. Kendig
6. Legislative Update.....Ms. Lombardo

Executive session as needed

Dial 1-415-655-0002

Access code: 629 930 823 ##

(Please note, meeting will be recorded)

University of Connecticut Board of Trustees
Institutional Advancement Committee

SPECIAL MEETING
Teleconference

Tuesday, October 27, 2020
12:00 p.m.

1. Public Participation.....Dr. Dennis-LaVigne
2. Acceptance of
September 22 (Revised) & October 13, 2020 Meeting Minutes.....Dr. Dennis-LaVigne
Attachment 1
3. Connecticut Commitment Update.....President Katsouleas
Attachment 2

Executive session as needed

Dial 1-415-655-0002

Access code: 629 930 823 ##

(Please note, meeting will be recorded.)

MEETING OF THE STUDENT LIFE COMMITTEE
October 28, 2020 at 11:00 a.m.*
University of Connecticut
Conference Call

Conference call in: 1-888-370-9168

Participant Passcode: 1867348

This meeting will be recorded.

1. Call to Order
2. Public Participation
3. Approval of the Minutes of the Student Life Committee Meeting of April 21, 2020 as circulated
4. COVID-19 Update and Discussion
5. Mental Health Initiative Updates and Discussion
6. Office of Diversity and Inclusion
7. Other Business
8. Adjournment

* or following the regularly scheduled Board of Trustees meeting.

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, October 28, 2020
9:00 a.m.

Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 629 930 823 ##

Public Access Link:

<http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **9:00 a.m.**

1. Public Participation *

* If members of the public wish to address the Committee during the Public Participation portion of the meeting, you must submit a request in writing 30 minutes prior to the start of the meeting (by 8:30 a.m.) to the following email address: boardoftrustees@uconn.edu. Please indicate your **name, telephone number, and topic** to be discussed. Per the University By-Laws, the Board may limit public comment. As an alternative, you may also submit your comments via email which will be shared with the Board.

COMMITTEE ATTACHMENT

- | | |
|---|---|
| 1) Minutes of the Academic Affairs Committee Meeting of September 30, 2020, as circulated | A |
|---|---|

ACTION ITEMS:

- | | |
|---|---|
| 2) Sabbatical Leave Recommendations | 2 |
| 3) Appointment of Ali Gokirmak, PhD, as the Charles H. Knapp Associate Professor in Electrical Engineering in the School of Engineering | 3 |

INFORMATIONAL ITEMS:

- | | |
|--|---|
| 4) Update to the Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit | 4 |
|--|---|

PRESENTATIONS:

- 5) Carl Lejuez, Provost & Executive Vice President for Academic Affairs;
Covid-19 Planning Updates

ATTACHMENT A

DRAFT MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
September 30, 2020

Committee Trustees: Dennis-LaVigne, Fang, Gouin, Lobo and Rubin

Additional Trustees: Bessette, Boxer, Bunnell, Cantor, Gandara, O'Connor, Pollard, Ritter, Toscano, and Werstler

University Senate: Bansal, Bird, Boyer, Chafouleas, Polifroni, and Van Heest

Staff: Agwunobi, Blanchard, Bradford, Croucher, Cruickshank, Daugherty, Delello, Fuerst, Gelston, Geoghegan, Gilbert, Henderson, Jordan, Katsouleas, Kirk, Lejuez, Locke, Maric, Rubin, and Salafia

Committee Chairwoman Gouin convened the meeting at 9:00 a.m. via phone call.

No public comment was volunteered on any of the agenda items.

On a motion by Trustee Dennis-LaVigne, seconded by Trustee Rubin, the minutes of the June 23, 2020, meeting were approved as circulated.

Provost Lejuez introduced **Action Item #1 Tenure at Hire**. Moved by Trustee Rubin, seconded by Trustee Dennis-LaVigne, the Committee recommended approval to the full Board.

Provost Lejuez introduced **Action Items #2 Designation of Emeritus Status**. Moved by Trustee Dennis-LaVigne, seconded by Trustee Rubin, the Committee recommended approval to the full Board.

Provost Lejuez introduced **Action Item #3 Sabbatical Leave Recommendations**. Moved by Trustee Dennis-LaVigne, seconded by Trustee Rubin, the Committee recommended approval to the full Board.

Provost Lejuez shared **Informational Item #4, Academic Program Inventory**.

Trustee Dennis-LaVigne called a motion to close the meeting, seconded by Trustee Fang. Chairwoman Gouin adjourned the meeting at 9:31 a.m.

Respectfully submitted,

Sarah K. Croucher
Committee Secretary

Financial Affairs

Meeting of the
FINANCIAL AFFAIRS COMMITTEE
October 28, 2020 at 9:30 a.m.
University of Connecticut
Conference Call

Meeting held by Telephone

Public Call In Number:

(415) 655-0002 US Toll

Access Code: 629 930 823 ##

Public Access Link:

<http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **9:30 a.m.**

1) Public Participation *

* If members of the public wish to address the Committee during the Public Participation portion of the meeting, you must submit a request in writing 30 minutes prior to the start of the meeting (by 9:00 a.m.) to the following email address: boardoftrustees@uconn.edu. Please indicate your **name, telephone number, and topic** to be discussed. Per the University By-Laws, the Board may limit public comment. As an alternative, you may also submit your comments via email which will be shared with the Board.

ACTION ITEMS:

ATTACHMENT

- | | |
|--|---|
| 2) Approval of the Minutes of the Financial Affairs Committee Meeting of September 30, 2020, as circulated | A |
| 3) Contracts and Agreements for Approval
Presentation: UConn/CT DOT ~ Transit Partnerships | 1 |

CAPITAL PROJECT BUDGETS FOR APPROVAL:

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	
4) North and South Parking Garages Restoration, Phase 1	Final	\$1,000,000	5
5) Tasker Admissions Building Roof Restoration	Final	\$662,040	6

INFORMATION ITEMS:

- | | |
|---|---|
| 6) Project Budget Map | B |
| 7) Contracts and Agreements for Information | C |
| 8) Capital Project Expenditure Report – All Funding Sources
Fiscal Year 2020 as of 09/30/20
https://budget.uconn.edu/capital-project-expenditure-reports/ | D |

INFORMATION ITEMS (Continued):

- 9) Construction Project Status Report
<https://budget.uconn.edu/wp-content/uploads/sites/1441/2020/10/CSR-10.28.20.pdf>
- 10) Executive Session (*As Needed*)
- 11) Adjournment

ATTACHMENT A

D R A F T
MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE

University of Connecticut
Telephone Meeting
September 30, 2020

TRUSTEES PARTICIPATING: Governor Lamont, Andy Bessette, Mark Boxer, Charles Bunnell, Shari Cantor, Miguel Cardona, Sanford Cloud, Andrea Dennis-LaVigne, Justin Fang, Marilda Gandara, Jeanine Gouin, Bryan Hurlburt, Rebecca Lobo, Kevin O'Connor, Bryan Pollard, Thomas Ritter, Philip Rubin, Daniel Toscano and Ethan Werstler

STAFF PARTICIPATING: Andy Agwunobi, David Benedict, Lloyd Blanchard, Michael Bradford, Debbie Carone, Patricia Casey, Robert Corbett, Laura Cruickshank, Greg Daniels, Christopher Delello, Elly Daugherty, Nathan Fuerst, Nicole Gelston, Jeffrey Geoghegan, Michael Gilbert, Michael Jednak, Scott Jordan, Thomas Katsouleas, Michael Kirk, Carl Lejuez, Radenka Maric, Michael Mundrane, Rachel Rubin, and Leslie Salafia

SENATE REPRESENTATIVES PARTICIPATING:
Rajeev Bansal, Robert Bird, Sandra Chafouleas,
Carol Polifroni, Anji Seth, and Jaci Van Heest

Vice-Chair Bessette convened the meeting of the Financial Affairs Committee via teleconference at 9:31 a.m. He asked for a roll call of those on the telephone and asked if there was anyone from the public who wished to speak. He requested a motion and a second to add the following items to the agenda: ***Deficiency Request for Fiscal Year 2021 and Biennium State Appropriation Request for Fiscal Years 2022 and 2023 for the University of Connecticut, Storrs and Regional Campuses; and Deficiency Request for Fiscal Years 2020, 2021 and State Appropriation Request for the Biennium Fiscal Years 2022 and 2023 for UConn Health.*** On a motion by Trustee Rubin and seconded by Trustee Cantor the items were added to the agenda.

Trustee Bessette asked for a motion and a second to defer agenda ***Item #2, Operating Budget Update*** to the full Board meeting due to the importance of the discussion to the Trustees. On a motion by Trustee Cantor and seconded by Trustee Werstler the item was deferred.

Trustee Bessette directed the committee to agenda ***Item #3, Approval of the Minutes of the Financial Affairs Committee Meetings of June 12, 2020, June 24, 2020, and August 19, 2020.*** On a motion by Trustee Rubin and seconded by Trustee Cantor, the item was approved as circulated.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, detailed the added agenda items, ***Deficiency Request for Fiscal Year 2021 and Biennium State Appropriation Request for Fiscal Years 2022 and 2023 for the University of Connecticut,***

Storrs and Regional Campuses; and the Deficiency Request for Fiscal Years 2020, 2021 and State Appropriation Request for the Biennium Fiscal Years 2022 and 2023 for UConn Health. These are statutorily required requests. Dr. Agwunobi and Jeffrey Geoghegan reviewed the Appropriation request submitted for UConn Health. On a motion by Trustee Rubin and seconded by Trustee Cantor the “***Deficiency Request for Fiscal Year 2021 and Biennium State Appropriation Request for Fiscal Years 2022 and 2023 for the University of Connecticut, Storrs and Regional Campuses***” was recommended to the full Board for approval. On a motion by Trustee Rubin and seconded Trustee Cantor the “***Deficiency Request for Fiscal Years 2020, 2021 and State Appropriation Request for the Biennium Fiscal Years 2022 and 2023 for UConn Health***” was recommended to the full Board for approval.

Mr. Jordan presented agenda ***Item #4, Contracts and Agreements for Approval.*** Mr. Jordan noted that the term of contract #3, Vault Medical Services, was incorrectly listed as 8/1/20-7/31/20; the correct contract term should be 8/1/20-7/31/21. On a motion by Trustee Cantor and seconded by Trustee Rubin the item was recommended to the full Board for approval, as amended.

Vice Chairman Bessette welcomed Governor Lamont to the meeting.

Mr. Jordan detailed agenda ***Item #5, Annual Report of the Endowed Chair Program Entitled, “Aetna English Chair of Writing”.*** On a motion by Trustee Cantor and seconded by Trustee Rubin the item was recommended to the full Board for approval.

Agenda ***Item #6, FY20 Capital Expenditures*** was reviewed by Mr. Jordan. On a motion by Trustee Rubin and seconded by Trustee Cantor the item was recommended to the full Board for approval.

Mr. Jordan briefed the Trustees on the capital project budgets presented for approval. On a motion by Trustee Cantor and seconded by Trustee Rubin agenda ***Items 7-12, Agricultural Biotechnology Laboratory Phoenix Upgrade (Final: \$592,350); Chemistry Building Roof Snow Guard (Final: \$916,000); Y & Z Parking Lots, Repaving and Electrical Restoration, Phase 1 & 2 (Final: \$2,377,000); Andover Infrastructure and Software Upgrade, Phase 1 (Final: \$996,000); North Eagleville Road and Discovery Drive Intersection Improvements (Design: \$750,000); and UConn Hockey Arena (Revised Design: \$4,600,000)*** were recommended to the full Board for approval.

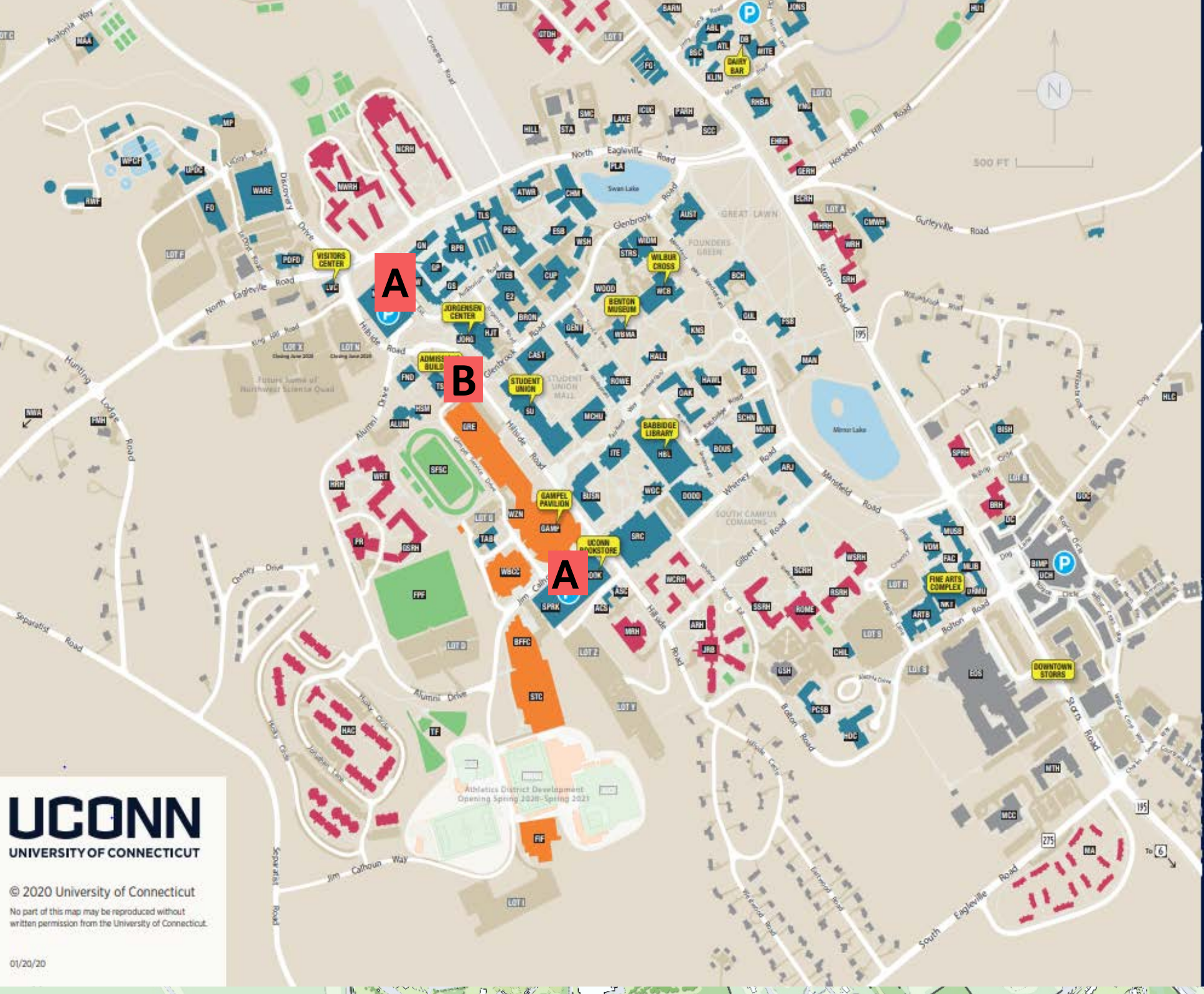
The Committee was directed to review the Information Items listed on the agenda, at their convenience. There being no additional agenda items for approval the meeting was adjourned at 10:01 a.m. on a motion by Trustee Rubin and seconded by Trustee Tumu.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT B



KEY TO PROJECTS

Final / Revised Final

- A. North and South Garage Restoration – Phase I
- B. Tasker Roof Restoration

*** Note: Campus-Wide - Location not shown on map.*

ATTACHMENT C

**CONTRACT AGREEMENTS
FOR INFORMATION
OCTOBER 28, 2020**

PROCUREMENT - NEW FOR INFORMATION							
CONSULTING SERVICES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Milone & MacBroom	CA-180757	\$596,830	07/15/20-07/14/21	Federal Grant	James O'Donnell, CIRCA Executive Director & Professor of Marine Sciences	Consulting services for UCONN CIRCA (Connecticut Institute for Resilience & Climate Adaption). Consultant is to assist CIRA with phase 2 work including identifying and mapping risk and resilience opportunities in CT related to climate change. Award is the result of a publicly advertised RFP. UConn is a subaward through CTDOT.
COVID TESTING							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
2	The Broad Institute	UC-KK-08042020	\$750,000	08/01/20-01/31/21	Operating Funds	Suzanne Onorato, Director Student Health and Wellness	Covid Testing for the Storrs Campus for Fall 2020, to meet COVID reopening and ongoing surveillance requirements from the State of Connecticut and CED for student populations. Awarded per executive order 7Z/7GG.
INSTRUMENT PURCHASE							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
3	Bruel & Kjaer	S135031720	\$985,000	10/15/20-06/30/20	Federal Grant	Radenka Maric, Vice President for Research	DURIP project to purchase instruments for work on funded research approved by the Naval Office of Research. Sourced through a sole source agreement to provide continuity of research.
MEDICAL AND LAB SUPPLIES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
4	McKesson Medical Surgical	ND0012-2014	\$950,000	05/01/14-06/31/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services & Chief Procurement Officer	Medical and lab supplies for Student Health and other departmental research and classroom needs, including instruments and test kits to supplement COVID testing requirements for Student Health & Wellness (SHaW). Sourced through a consortia contract through Omnia/Vizient. Future extensions may be implemented at the discretion of the consortia.
SERVICE CONTRACT - MRI INSTRUMENT							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
5	Siemens Medical Solutions	S135314523	\$978,866	11/01/20-06/30/27	Operating Funds	Kane Lynch, Executive Director - Shared Services	Service contract extension for an existing Siemens MRI instrument, which was purchased in 2014-2015. Sourced through a sole source agreement with original manufacturer.

ATTACHMENT D

University of Connecticut
Capital Project Expenditure Report - All Funding Sources
Fiscal Year 2021 as of 9/30/2020

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Total Fiscal Year Expenditures	Fiscal Year to Date Expenditures By Funding Source			
					UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
Storrs and Regional Campuses								
Academic and Research Facilities								
Academic & Research Facilities - Gant Building Renovations - STEM	169,827,606	104,610,636	Construction	7,227,603	7,227,603			
Academic & Research Facilities - Homer Babbidge Library Renovation	5,700,000	2,513,723	Construction	1,061,213	1,061,213			
Academic & Research Facilities - STEM Research Center Science 1	143,000,000	13,527,591	Construction	1,280,277	1,280,277			
Academic and Research Facilities Total				9,569,093	9,569,093	-	-	-
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities								
ABL Argus Software & Hardware Retrofit	350,000	170,317	Construction	34,017		34,017		
ABL Clean Steam Generator Replacement	150,000	-	Construction	-				
ABL Phoenix Upgrade Phase 1	340,000	-	Planning/Design	-				
ACE Water Heater Replacements	225,000	204,345	Substantially Complete	20,745		20,745		
Alumni Quad Life Safety System Replacement	270,000	260,555	Substantially Complete	-				
Andover Infrastructure & Software Upgrade	400,000	-	Planning/Design	-				
Arjona 203 Wall Installation	30,000	-	Planning/Design	-				
Atwater Electrical Generator Replacement	250,000	40,802	Construction	18,302		18,302		
Atwater Facade Repair	100,000	23,917	Construction	8,833		8,833		
Austin Building Interior Locks	45,000	-	Planning/Design	-				
Avery Point - Academic Building Chemistry Lab Renovation	300,000	234,890	Substantially Complete	8,480		8,480		
Avery Point Academic Building Roof Replacement	1,270,000	624,709	Substantially Complete	72,250		72,250		
Avery Point Community & Professional Building Restrooms Renovation	433,000	376,110	Substantially Complete	22,455		22,455		
Avery Point Marine Sciences Building Retro Commissioning	526,950	465,323	Substantially Complete	89,230		89,230		
Avery Point Sea Lab Floor Repair	30,000	14,000	Construction	14,000		14,000		
Babbidge Library Electrical Distribution System Upgrade	2,726,399	2,726,399	Completed	10,717	10,717			
Babbidge Library Window Cleaning	40,972	40,972	Completed	40,972		40,972		
Beach Hall Air Conditioning Repair	65,000	-	Planning/Design	-				
Beach Hall Geosciences Rock Prep Lab Renovation	25,000	16,995	Construction	1,545	1,545			
Beach Hall Lab Renovations	5,400,000	3,766,744	Substantially Complete	687	687			
Benton Art Museum Patio and Fountain Restoration	150,000	17,000	Planning/Design	-				
Bio 4 Annex Sustainability Office Relocation	142,000	137,099	Substantially Complete	1,482		(3,693)		5,175
Biology / Physics Interior Locks	99,000	-	Planning/Design	-				
Bishop Center 105-108 Offices	75,000	548	Planning/Design	548		548		
Bishop Center Roof - Electrical & HVAC Upgrade	400,000	160,630	Substantially Complete	-				
Boiler Plant Equipment Replacement and Utility Tunnel Connection	32,364,000	2,035,510	Construction	556,838	556,838			
Bronwell 201, 318, 319, 322 Renovation (TL2339)	155,000	12,342	Construction	12,342	12,342			
Bronwell Switchgear Service Replacement	100,000	30,453	Construction	22,536		22,536		
Budds Building 212/213 Interior Door Removal	3,500	931	Construction	-				
Buddy Benches	5,000	-	Planning/Design	-				
Burton Hydrotherapy Mechanical Room Restoration	250,000	42,970	Planning/Design	41,854		41,854		
Campus Drainage Master Plan	359,829	359,829	Completed	-				
Campus Insulation Program	482,000	471,425	Substantially Complete	-				
Campus Wayfinding Improvements	1,900,000	1,592,262	Substantially Complete	105,058	105,058			
Castleman 117 Computer Teaching Lab Renovation (TL2354)	160,000	103,173	Construction	103,173	101,474	1,699		
Castleman 205 SoE Renovations (TL2328)	175,000	102,728	Construction	102,649	101,225	1,424		
Castleman 306 Conference Room Renovation	69,300	58,220	Substantially Complete	378		378		
Castleman Engineering Building Chiller Replacement	400,000	329,706	Substantially Complete	-				
Central Campus Infrastructure	5,000,000	3,347,267	Substantially Complete	(391,323)	(391,323)			
Chemistry R217 Research Equipment Support	5,950	886	Planning/Design	886		886		
Chemistry R316 Renovations	275,000	23,800	Construction	-				
Chemistry Roof Snow Guard Repair	37,500	-	Planning/Design	-				
Clay Tile Sewer Pipe Relining Phase 4	485,000	397,442	Substantially Complete	-				
CRT Jorgensen Sound System Upgrade	180,500	14,834	Planning/Design	-				
CUP Equipment Replacement and Pumping Improvements	23,000,000	13,667,313	Construction	442,398	442,398			
Depot Campus Hardscape Improvements	98,130	58,000	Construction	-				
Dining Hall Facilities Ventilation Upgrades	892,700	127,003	Substantially Complete	-				
East Campus Exterior Door Security Upgrades	52,742	51,686	Substantially Complete	26,434		26,434		
Engineering II - 212 Computer Lab (TL2343)	38,000	455	Planning/Design	455	455			
Engineering II 108C New Faculty Lab	265,000	140,530	Construction	114,550		114,550		
Engineering II 202 Upgrades	38,500	25,146	Substantially Complete	19,538		19,538		
Engineering II 303 New Faculty Renovation	5,800	3,185	Substantially Complete	(46)				(46)
Engineering II Large Passenger Elevator Modernization	225,000	55,305	Construction	-				
Engineering II Roof Replacement	950,000	612,550	Substantially Complete	2,500		2,500		
Engineering II-Rm 324 Chem Bio-molecular Engineering	146,500	8,894	Planning/Design	4,944		4,944		
Engineering Science Building - M. Hann Clean Room	275,000	109,247	Construction	-				

University of Connecticut
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				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
EV Charger Installation - Lot E	5,000	-	Planning/Design	-				
Eversource Second Electrical Feed - Planning	95,000	30,016	Planning/Design	-				
Exigent Repair - Replacement of Steam & Cond Piping	2,000,000	1,875,230	Substantially Complete	74,563	74,563			
Fac Ops & Building Services - General DM	6,296,678	5,756,359	Construction	-				
Facilities Code Remediation	250,000	26,482	Planning/Design	-				
Facilities Operations Roof Repair and Restoration	626,500	542,263	Substantially Complete	-				
Fairfield-SU-Hawley-Academic-Babbidge Bollard Install	75,017	75,000	Substantially Complete	75,000		75,000		
Fenton River Well Field & Road Repair	450,000	105,711	Planning/Design	6,458	6,458			
Fine Arts - 2nd Floor Fit Out	300,000	209,594	Construction	72,737		72,737		
Fine Arts Air Conditioning	250,000	17,547	Planning/Design	2,054		2,054		
Fine Arts Dark Room	32,495	9,485	Construction	9,485		9,485		
Freitas Ice Rink Mechanical Repairs	200,000	40,132	Construction	34,186		34,186		
Gampel Electrical Generator Replacement	250,000	23,270	Planning/Design	23,270		23,270		
Gampel Pavilion Dome Ceiling and Roof Repair	11,800,000	11,409,847	Substantially Complete	65,602	65,602			
Gampel Pavilion Enabling Power Upgrade	125,000	42,644	Planning/Design	-				
Gampel Room 106 KSI Renovation	80,000	32,718	Construction	14,830		14,825		5
Gant North - Minor Upgrades for IMS New Faculty Hires	350,000	187,664	Construction	8,743		8,743		
Gelfenbien Commons Equipment Access	211,000	169,825	Substantially Complete	154,530		154,530		
Hartford - Student Academic Achievement Center Renovation	450,000	288,513	Construction	2,987		2,987		
Hartford Graphic Art & Interior Signage	250,000	56,411	Planning/Design	-				
Heating Plant Upgrade - Emergency Power System Upgrade	765,000	435,916	Planning/Design	-				
HEEP Pavilion and Pollinator Garden	50,000	-	Planning/Design	-				
High Head Emergency Generator System Replacement	1,106,040	967,270	Substantially Complete	35,972		35,972		
Homer Babbidge Library SoE Computational Labs (TL2329)	275,000	195,956	Construction	134,836	129,477	5,359		
Horse Unit & Lorentzon Stables Refurbishment	300,000	234,333	Substantially Complete	37,263				37,263
Horse Unit 2 Paddock Fence Repair	170,000	101,962	Construction	101,962		101,962		
Horsebarn Hill Pedestrian Safety Improvements	1,282,801	1,282,801	Completed	66	12,237	(12,171)		
Horsebarn Hill Sewage Pump Station Upgrade	1,500,000	1,303,595	Construction	230,438		230,438		
Human Performance Lab Prep Room	126,500	109,249	Substantially Complete	-				
I-Lot Improvements	250,000	55,208	Planning/Design	33,125	33,125			
ITEB C25 ECE Senior Design SOE (TL2344)	150,000	-	Planning/Design	-				
Jones Building Heating Replacement	350,000	294,502	Substantially Complete	37,877		37,877		
Jones Building High Voltage and Repairs	485,000	480,980	Substantially Complete	254,531		254,531		
Jorgensen Building Envelope Study	64,000	44,397	Planning/Design	-				
Jorgensen Center Chillers Replacement	489,000	380,262	Construction	380,262		380,262		
Kennedy Cottage FMBIO Conference Room Upgrade	55,000	49,507	Substantially Complete	17,150		17,150		
Kinesiology Building HALL Renovation (TL2355)	100,000	10,661	Construction	10,661	10,661			
Kirby Mills First Floor Renovation	400,000	10,310	Withdrawn	-				
Koons Hall Renovation	5,500,000	5,389,308	Substantially Complete	-				
Landscape Barn and Administrative Building Replacement Planning	1,400,000	213,879	Planning/Design	-				
Law School Knight Hall Elevator Modernization	400,000	385,920	Substantially Complete	-				
LeDoyt Road Improvements Study	18,600	14,000	Substantially Complete	4,050		4,050		
Liberman Sculpture Relocation	19,600	13,648	Substantially Complete	-				
Life Safety System Upgrade at Bookstore & South Garage	200,000	-	Construction	-				
Longley Bathroom Renovations	89,310	66,423	Planning/Design	-				
Main Campus Parking Replacements	12,000,000	9,568,782	Substantially Complete	43,439	43,439			
Main Campus Substation Switchgear Relay Replacement	565,000	107,000	Construction	56,000		56,000		
Mansfield Road Paving Phase I	150,000	-	Planning/Design	-				
Middlesex Extension Ctr Bathroom Renovations	240,000	183,480	Construction	-				
Mirror Lake Improvements	60,000	29,726	Planning/Design	14,688	14,688			
Misc Abatement and Demolitions Projects	359,000	-	Planning/Design	-				
Mold / Lead / Asbestos Remediation	2,438,216	2,243,340	Substantially Complete	121,857		121,857		
N. Eagleville Rd Area Infrastr Repair / Replace & Upgrade Phase III	57,500,000	55,970,171	Substantially Complete	17,268	17,268			
N. Eagleville Road and Discovery Drive Intersection Improvements	150,000	25,467	Planning/Design	16,429	16,429			
North and South Parking Garage Restoration	300,000	65,890	Construction	4,243		4,243		
North Campus Power & Communication Reliability Upgrades Planning	150,000	99,419	Planning/Design	-				
North Eagleville Road East Steam Repair	200,000	-	Planning/Design	-				
North East Residence Halls - Security Camera System	1,602,180	330,604	Construction	-				
North Residence Dining - Dish Room Renovation	850,000	8,729	Planning/Design	3,753		3,753		
Northeast Science Quad Site Improvements	2,000,000	1,852,137	Substantially Complete	38,433	37,291	1,142		
Northwest Quad - Science 1 - Site Improvements & Tunnel Phase II	56,000,000	4,155,455	Construction	855,336	855,336			
Northwest Science Quad Infrastructure - Phase 1	20,750,000	19,861,776	Substantially Complete	-				
Northwest Science Quad Infrastructure - Phase 3	700,000	225,245	Planning/Design	-				
Northwest Science Quad Supplemental Utility Plant	67,000,000	4,400,254	Construction	561,348	561,348			

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				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
NW Quad Residence Halls Plumbing Replacement	263,000	67,481	Planning/Design	-				
Olympic Monument	122,000	2,719	Planning/Design	-				
Philip E Austin 105,108,110 & Lecture Halls Upgrades	125,500	109,007	Substantially Complete	75,858		75,858		
Public Safety Building Improvements	4,550,000	481,106	Planning/Design	11,454		11,454		
School of Business - Roof Repairs	435,845	332,436	Construction	285,578	254,312	31,266		
School of Business 214	1,000	610	Substantially Complete	610		610		
School of Engineering ITEB Rm 140 Renovation (TL2340)	35,000	20,709	Construction	19,337	17,663	1,674		
SFA Computer Lab Renovation	75,000	-	Planning/Design	-				
Shippee/Buckley/Whitney Lock Hardware/Keying	97,476	95,540	Substantially Complete	1,647		1,647		
South Campus Commons Landscape & Pedestrian Improvement Plan	5,000,000	3,540,220	Construction	1,684,623	1,684,623			
South Campus Fire Pump Replacement	220,160	-	Construction	-				
South Campus Stair Repair	721,611	721,611	Completed	31	31			
South East Campus Infrastructure	5,000,000	4,402,062	Substantially Complete	38,879	38,879			
Southwest Campus Infrastructure Upgrade	10,000,000	8,908,288	Substantially Complete	47,897	47,897			
Stamford Abutting Property Restoration	2,500,000	1,671,554	Construction	215,338	215,338			
Stamford Campus Garage - Demolition	10,000,000	8,142,903	Substantially Complete	30,932	30,932			
Stamford Campus Police Substation Relocation	448,238	448,238	Completed	84	84			
Stamford Campus Surface Parking Lot	4,500,000	3,374,246	Substantially Complete	291,918	291,918			
Stamford Classroom 220 Renovation	425,000	378,971	Substantially Complete	-				
Steam Line Repairs - Vault 304 to Central Utility Plant	700,000	663,828	Substantially Complete	-				
Storrs Hall 001, 002, 011 Classroom Upgrades	295,900	185,560	Construction	175,845		175,845		
Storrs Hall 1st & 2nd Floor Bathroom	255,000	195,814	Substantially Complete	3,056	3,056			
Storrs Hall 215 School of Nursing Renovation (TL2318)	152,975	50,683	Construction	37,520	35,328	2,192		
Storrs LED (SLED) Lighting Upgrade	3,656,000	2,552,792	Construction	1,480,765		1,480,765		
Storrs LED (SLED) Lighting Upgrade - Athletic Facilities	1,377,772	1,103,170	Substantially Complete	-				
Student Health Services Bldg - Pre-design & Planning	447,700	-	Planning/Design	-				
Student Housing Master Plan	450,000	262,399	Planning/Design	262,118		262,118		
Student Union - QSR Dining Buildout	700,000	438,515	Substantially Complete	-				
Student Union East Elevation Curtain Wall & Masonry Repairs	1,500,000	1,424,870	Substantially Complete	-				
Student Union Office Upgrades	420,000	38,540	Planning/Design	-				
Tasker Admissions Roof Restoration	100,000	23,680	Construction	2,400		2,400		
TLS Building Cooling Tower Replacement	150,000	106,154	Substantially Complete	106,154		106,154		
Torrey Life Sciences 2nd Floor Biology Renovation	900,000	173,383	Construction	98,660	98,660			
Torrey Life Sciences 415 & 417 Lab Renovations (TL2325)	100,000	-	Planning/Design	-				
Torrey Life Sciences Facade Repair	100,000	82,668	Substantially Complete	43,609		43,609		
Torrey Life Sciences Interior Locks	11,000	-	Planning/Design	-				
Towers Dorm T-5 Heating Replacement	300,000	286,660	Substantially Complete	-				
Towers Mechanical Rooms Restoration	300,000	172,087	Construction	163,778		163,778		
UCFM Code Remediation - Campus Wide Laundry Alterations	3,300,000	2,262,331	Substantially Complete	-				
UCFM Code Remediation - Hall Building	146,200	102,681	Substantially Complete	39,395	39,395			
UCFM Code Remediation - Longley School-Depot Campus	497,000	368,424	Substantially Complete	-				
UCFM Code Remediation - South Parking Garage	238,182	238,182	Completed	-				
UCFM Code Remediation - Williams Health Services Building	135,000	99,668	Substantially Complete	-				
UConn 2000 Code Remed - Northwest Residence Halls	1,598,735	1,443,643	Construction	263,784	263,784			
UConn 2000 Code Remed - Stamford Downtown Relocation	4,000,000	1,767,133	Construction	412,450	412,450			
UConn 2000 Code Remed - Wilbur Cross Building	1,640,000	1,563,224	Substantially Complete	-				
UConn Hockey Arena	2,850,000	1,995,907	Planning/Design	244,748		244,748		
UConn School of Fine Arts - Wadsworth Athenaeum	700,000	472,425	Substantially Complete	18,671		18,671		
UConn Stamford Mill River Remediation	450,000	33,011	Planning/Design	-				
University Athletic District Development (a.k.a. Stadia)	24,300,000	21,668,300	Construction	8,520,526	8,520,526			
University Dams Evaluation and Restoration	468,000	7,339	Planning/Design	-				
UTEB Passenger Elevator Modernization	225,000	54,634	Construction	-				
Utility Infrastructure GIS Mapping	3,236,000	742,206	Substantially Complete	-				
Von Der Mehden Hall Roof Restoration	200,000	9,464	Planning/Design	399		399		
W&T Lot Electrical and Parking Restoration	2,844,000	2,824,502	Substantially Complete	-				
Waterbury Chiller 1 Replacement	300,000	249,000	Substantially Complete	-				
Waterbury Garage Line Striping and Seal Coating	7,649	-	Construction	-				
Waterbury Garage Repairs	174,007	98,535	Substantially Complete	98,535		98,535		
Werth Basketball Pantry/Dining Services	23,000	6,626	Construction	633		633		
Werth Family Basketball Champions Center Hall of Fame	3,465,000	3,456,663	Substantially Complete	882				882
West Campus Dorms Mechanical Room Repairs	300,000	94,139	Construction	92,162		92,162		
Whitney Hall Dining Renovations	4,200,000	3,670,460	Substantially Complete	76,141		76,141		
Wilbur Cross Dome and Facade Restoration	250,000	43,255	Planning/Design	14,903		14,903		
Wired Access Layer (UPDC) - Phase I	400,000	56,863	Construction	7,830	7,830			

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					UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
WPCF Chlorine Contact Chamber Rehabilitation	200,000	126,386	Substantially Complete	58,351		58,351		
Y & Z Parking Lots and Electrical Restoration	99,600	80,575	Construction	13,285		13,285		
Young 219 Office Renovation	70,000	525	Planning/Design	525		525		
Zero Carbon Planning Geothermal Study	100,000	-	Planning/Design	-				
Zero Carbon Scenario Planning	125,000	107,124	Planning/Design	107,124	107,124			
DM/Code/ADA/Infrastructure/Renovation/Utility/Administrative/Support Facilities Total				20,113,294	14,889,168	5,180,847	-	43,279
Engineering Building								
Engineering Building - Engineering & Science Building	92,529,568	92,427,818	Substantially Complete	-				
Engineering Building Total				-	-	-	-	-
Equipment, Library Collections & Telecommunications - Phase III								
Academic Capital Equipment	13,011,868	12,046,240	Underway	1,578,236	1,578,236			
eProcurement / SciQuest Software Implementation	1,401,737	974,122	Underway	-				
Gampel Audio System Replacement	600,000	593,096	Substantially Complete	-				
Gampel Pavilion Wireless Infrastructure	550,000	494,937	Underway	-				
ITS Capital Equipment	25,368,993	21,186,139	Underway	566,187	566,187			
Public Safety Capital Equipment	20,778,922	19,623,271	Underway	325,925	325,925			
Travel Management & Expense Reimbursement System Implementation	1,148,103	1,065,262	Substantially Complete	24,704		24,704		
Wired Access Layer (ITS) - Phase I	3,621,000	3,130,006	Construction	952,524	952,524			
Wired Access Layer (ITS) - Phase 2	4,200,000	31,551	Planning/Design	31,551	31,551			
Equipment, Library Collections & Telecommunications - Phase III Total				3,479,127	3,454,423	24,704	-	-
Farm Buildings Repairs/Replacement								
Farm Buildings Repair / Replace - Spring Hill Farm	3,740,000	3,603,821	Substantially Complete	5,427		5,427		
Farm Buildings Repairs/Replacement Total				5,427	-	5,427	-	-
Fine Arts Phase II								
Fine Arts Phase II - Renovation & Improvements	37,530,000	32,950,958	Substantially Complete	1,118,065	1,110,250			7,815
Fine Arts Phase II Total				1,118,065	1,110,250	-	-	7,815
Intramural, Recreational & Intercollegiate Facilities								
Central Campus Infrastructure	25,000,000	25,000,000	Substantially Complete	391,323			391,323	
Student Recreation Center	98,000,000	96,037,399	Substantially Complete	158,018			158,018	
University Athletic District Development (a.k.a. Stadia)	59,052,842	44,476,909	Construction	3,032,703		2,709,067	311,768	11,868
Intramural, Recreational & Intercollegiate Facilities Total				3,582,044	-	2,709,067	861,109	11,868
North Hillside Road Completion								
North Hillside Road Completion	18,864,790	18,588,001	Substantially Complete	-				
North Hillside Road Completion Total				-	-	-	-	-
Residential Life Facilities								
Res Life Facilities - Alumni Quad Roof & Facade Renovation	193,000	126,805	Planning/Design	-				
Res Life Facilities - Alumni Res Hall Granite Restoration	645,000	338,375	Planning/Design	-				
Res Life Facilities - Buckley Hall Facade Renovation	425,000	63,369	Planning/Design	-				
Res Life Facilities - Hicks and Grange Student Room Renovation	1,600,000	175,687	Planning/Design	99,560	99,560			
Res Life Facilities - Hilltop Apartments Upgrades	55,000	-	Planning/Design	-				
Res Life Facilities - McMahon Hall Envelope Repairs	499,000	202,867	Planning/Design	-				
Res Life Facilities - North Campus Residence Hall Reno - Phase II	2,170,000	36,534	Planning/Design	-				
Res Life Facilities - North Res Steam to Hot Water Conversion	77,000	4,944	Planning/Design	-				
Res Life Facilities - North Residence Halls Roof Refurb Phase II	1,576,000	1,286,386	Substantially Complete	32,892	32,892			
Res Life Facilities - Residence Halls Door Access Reader Upgrade	428,000	60,853	Planning/Design	17,962	17,962			
Res Life Facilities - Restroom Rehabilitation Program Phase 1	2,200,000	1,690,345	Substantially Complete	-				
Res Life Facilities - Upgrade Rome Ballroom HVAC	340,000	261,481	Planning/Design	-				
Residential Life Facilities Total				150,414	150,414			
School of Pharmacy/Biology Completion								
School of Pharmacy Medicinal Garden	915,000	694,489	Substantially Complete	-				
School of Pharmacy/Biology Completion Total				-	-	-	-	-
Technology Quadrant-Phase III								
Tech Quad Phase III - Innovation Partnership Building	162,300,000	157,688,925	Substantially Complete	40,779				40,779
Technology Quadrant-Phase III Total				40,779	-	-	-	40,779
Sub Total - Storrs & Regional Campuses:				38,058,243	29,173,348	7,920,045	861,109	103,741

University of Connecticut
Capital Project Expenditure Report - All Funding Sources
Fiscal Year 2021 as of 9/30/2020

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Total Fiscal Year Expenditures	Fiscal Year to Date Expenditures By Funding Source			
					UConn 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
UConn Health Center (UConn 2000 Funding Only)								
Def Mtn/Code & ADA Cmp/Inf Imp & Reno Lump Sum/UA&S Fac-UCHC								
UCHC Deferred Maintenance	51,165,520	49,828,417	Construction	-				
Def Mtn/Code & ADA Cmp/Inf Imp & Reno Lump Sum/UA&S Fac-UCHC Total				-	-	-	-	-
Equipment, Library Collections & Telecommunications-UCHC								
UCHC Capital Equipment	74,399,314	72,169,422	Underway	-				
Equipment, Library Collections & Telecommunications-UCHC Total				-	-	-	-	-
UCHC New Construction & Renovation								
UCHC New Construction & Renovation - Clinic Building	89,156,127	86,233,108	Substantially Complete	768,373	768,373			
UCHC New Construction & Renovation Total				768,373	768,373	-	-	-
Sub Total - UConn Health Center (UConn 2000 Funding Only):				768,373	768,373	-	-	-
Total - Storrs, Regional Campuses and UConn Health Center - Current Year Expenditures:				\$ 38,826,616	\$ 29,941,721	\$ 7,920,045	\$ 861,109	\$ 103,741
Adjustment for Transfers Between Fund Sources***:				\$ -	\$ (379,152)	\$ (15,864)	\$ 391,323	\$ 3,693
Adjusted Total - Storrs, Regional Campuses and UConn Health Center - Current Year Expenditures:				\$ 38,826,616	\$ 29,562,569	\$ 7,904,181	\$ 1,252,432	\$ 107,434

* - Current Funded Budget may be less than the approved budget, and represents the current funding available for the project

** - Other funding sources include State Bond Funds, Gifts, Grants and Federal Funds

*** - Per Capital Projects Policies and Procedures, transfers between funding sources may occur periodically, as determined necessary by the Office of Budget and Planning and approved by the Board of Trustees, if necessary. If a current period transfer captures expenses paid in a previous fiscal year, a negative balance occurs in the report. This adjustment corrects for prior year expenditures in the current year transfers.

University of Connecticut
Capital Project Financial and Funding Summary by Status
Fiscal Year 2021 as of 9/30/2020

Financial Summary of FY21 Projects by Project Status				
Project Status	Funded Budget - FY21 Projects	Cumulative Project Expenditures - FY21 Projects	Total Project Count By Status	Total Fiscal Year Expenditures
Planning / Design:	30,513,460	5,943,411	66	938,808
Construction:	671,067,814	286,347,241	63	30,938,071
Underway (Equipment Only):	135,510,833	126,494,132	6	2,470,348
Substantially Complete:	726,633,008	688,362,802	82	4,427,519
Completed:	6,218,031	5,828,341	8	51,870
Totals:	1,569,943,147	1,112,975,927	225	38,826,616

FY21 Expenditures by Status and Funding Source				
Project Status	UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding*
Planning / Design:	327,352	611,456	-	-
Construction:	24,804,775	5,809,655	311,768	11,873
Underway (Equipment Only):	2,470,348	-	-	-
Substantially Complete:	2,316,177	1,470,133	549,341	91,868
Completed:	23,069	28,801	-	-
Totals:	29,941,721	7,920,045	861,109	103,741

* - Other funding sources include State Bond Funds, Gifts, Grants and Federal Funds

University of Connecticut
Capital Project Analytics: By Status and Department
Fiscal Year 2021 as of 9/30/2020

Fiscal Year Expenditures by Status and Department						
Project Status	Departments					Totals
	UPDC	Facilities Ops	Health Center	Academic Reno	All Others**	
Planning / Design:	832,322	72,976	-	1,959	31,551	938,808
Construction:	26,483,569	3,477,030	-	24,948	952,524	30,938,071
Underway (Equipment Only):	-	-	-	-	2,470,348	2,470,348
Substantially Complete:	2,321,870	1,047,649	768,373	264,041	25,586	4,427,519
Completed:	10,898	40,972	-	-	-	51,870
Totals:	29,648,659	4,638,627	768,373	290,948	3,480,009	38,826,616

Cumulative Project Expenditures by Status and Department (includes active projects during current fiscal year only)						
Project Status	Departments					Totals
	UPDC	Facilities Ops	Health Center	Academic Reno	All Others**	
Planning / Design:	5,763,821	146,081	-	1,959	31,551	5,943,411
Construction:	220,696,251	12,584,808	49,828,417	107,760	3,130,006	286,347,241
Underway (Equipment Only):	-	-	72,169,422	-	54,324,710	126,494,132
Substantially Complete:	582,212,190	14,126,707	86,233,108	675,776	5,115,021	688,362,802
Completed:	5,787,370	40,972	-	-	-	5,828,341
Totals:	814,459,631	26,898,568	208,230,947	785,494	62,601,287	1,112,975,927

Funded Budget by Status and Department (includes active projects during current fiscal year only)						
Project Status	Departments					Totals
	UPDC	Facilities Ops	Health Center	Academic Reno	All Others**	
Planning / Design:	22,756,510	3,146,000	-	410,950	4,200,000	30,513,460
Construction:	596,531,207	19,505,313	51,165,520	244,774	3,621,000	671,067,814
Underway (Equipment Only):	-	-	74,399,314	-	61,111,519	135,510,833
Substantially Complete:	612,544,659	18,906,678	89,156,127	812,441	5,213,103	726,633,008
Completed:	6,177,059	40,972	-	-	-	6,218,031
Totals:	1,238,009,436	41,598,963	214,720,961	1,468,166	74,145,622	1,569,943,147

Project Count by Status and Department						
Project Status	Departments					Totals
	UPDC	Facilities Ops	Health Center	Academic Reno	All Others**	
Planning / Design:	39	16	0	10	1	66
Construction:	18	37	1	6	1	63
Underway (Equipment Only):	0	0	1	0	5	6
Substantially Complete:	40	27	1	11	3	82
Completed:	7	1	0	0	0	8
Totals:	104	81	3	27	10	225

** This category includes project managed by ITS and Athletics, as well as projects managed centrally.