

February 22, 2023

TO: Members of the Board of Trustees

FROM: Kimberly Fearney  
Associate Vice President and Chief Compliance Officer

RE: Approval of the Policy on Instruction and Training for Newly Appointed and Elected Members of the Board of Trustees

RECOMMENDATION:

That the Board of Trustees approve the Policy on Instruction and Training for Newly Appointed and Elected Members of the Board of Trustees.

BACKGROUND:

In accordance with Public Act 22-16, the Executive Secretary to the Board of Trustees will provide training, mandated for all newly appointed and elected members of the Board, within twelve (12) months of the Trustee's appointment or election. This Policy aims to establish uniform and consistent training for newly appointed and elected members of the Board of Trustees in areas related to the University's academic, physical, and financial operations.

Newly appointed and elected Trustees will receive instruction or training on duties to the state and the University, including, but not limited to, methods for meeting associated statutory, regulatory, and fiduciary obligations; the functions and purviews of all the Board's Committees; professional accounting and reporting standards; applicable provisions of the Freedom of Information Act; institutional and statutory ethical responsibilities and obligations; student tuition, mandatory fees, and student debt trends; institutional advancement; and student welfare issues.

The Joint Audit and Compliance Committee approved this Policy on December 16, 2023.

# UConn

<b>Title</b>	Instruction and Training for Newly Appointed and Elected Members of the Board of Trustees, Policy On
<b>Policy Owner</b>	Office of the Board of Trustees
<b>Applies to</b>	Appointed or Elected Trustees
<b>Applicability</b>	The Board of Trustees
<b>Effective Date</b>	January 3, 2023
<b>For More Information Contact</b>	Executive Secretary to the Board of Trustees
<b>Contact Information</b>	<a href="mailto:boardoftrustees@uconn.edu">boardoftrustees@uconn.edu</a>
<b>Official Website</b>	<a href="http://boardoftrustees.uconn.edu">http://boardoftrustees.uconn.edu</a>

## PURPOSE

To establish uniform and consistent training for newly appointed and elected members of the Board of Trustees in areas related to the University's academic, physical, and financial operations.

## APPLIES TO

This policy applies to all members of the Board of Trustees appointed by the Governor or elected by student or alumni constituencies.

## POLICY STATEMENT

In accordance with Public Act 22-16, the Executive Secretary to the Board of Trustees will provide training, mandated for all newly appointed and elected members of the Board, within twelve (12) months of the Trustee's appointment or election.

As mandated by the public act, Trustees will receive instruction or training in the following:

1. Duties to the state and the University, including methods for meeting associated statutory, regulatory, and fiduciary obligations.
2. The functions and purviews of all the Board's Committees.
3. Professional accounting and reporting standards.
4. Applicable provisions of the Freedom of Information Act, as defined in section 1-200 of the general statutes.
5. Institutional and statutory ethical responsibilities and obligations, including the Board's Policy on Conflicts of Interest.
6. University process for the development and implementation of policies.
7. Higher education business and administrative operations, including budgeting, financing, financial reporting and services, and endowment management.
8. Student tuition, mandatory fees, and student debt trends.
9. Planning, construction, maintenance, expansion, renovation, and oversight related to projects and plans that impact the infrastructure, physical facilities, and natural environment under the Board's jurisdiction.
10. Workforce planning, strategy, and investment.
11. Institutional advancement, including philanthropic giving, fundraising initiatives, alumni engagement and programming, communications and media, government and public relations, and community affairs.

12. Student welfare issues, includes academic studies, curriculum, residence life, student governance and activities, and the general and overall physical and mental well-being of students.
13. Current and anticipated issues in higher education.
14. Other topics as the Board Chair, Executive Secretary, or President deem necessary and appropriate.

The Executive Secretary to the Board of Trustees, in consultation with General Counsel, may update this policy to reflect any future statutory changes.

**POLICY HISTORY**

**Policy created:** 12/16/2022 *(Approved by Joint Audit & Compliance Committee)*  
XX/XX/XXXX *(Approved by the Board of Trustees)*