

UConn

UNIVERSITY OF CONNECTICUT

Board of



TRUSTEES

VOL. 207 APRIL 29, 2020

REVISED

TELEPHONE MEETING OF THE BOARD OF TRUSTEES UNIVERSITY OF CONNECTICUT

AGENDA

Meeting held by Telephone

April 29, 2020

Public Call In Number:

(415) 655-0002 US Toll

Access Code: 647 939 273##

(Note that the meeting will be recorded.)

BOARD OF TRUSTEES SCHEDULE

9:00 a.m. Academic Affairs Committee Meeting
9:30 a.m. Financial Affairs Committee Meeting
9:45 a.m. Board of Trustees Meeting

BOARD MEETING AGENDA

Call to order at **9:45 a.m.**

1. Public Participation
2. Chairman's Report
 - (a) Matters outstanding
 - (b) Minutes of the meetings of March 25 and April 16, 2020
 - (c) Consent Agenda Items:
 - (1) Contracts and Agreements for the Storrs-based Programs and UConn Health (Attachment 1)
 - (2) Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2019 – 2020 (Attachment 2)
 - (3) Promotion and Tenure (Attachment 3)
 - (4) Designation of Emeritus Status (Attachment 4)
 - (5) Sabbatical Leave Recommendations (Attachment 5)
 - (6) Modification of Naming Recommendation for Rose and Julius Urman (Attachment 6)
 - (7) Approval of Proposed Changes to the *By-Laws of the University of Connecticut* (Attachment 7)
 - (8) Naming Recommendation for the Richard H. Schimmelpfeng Gallery in the Thomas J. Dodd Center (Attachment 8)
 - (9) Naming Recommendation for the Margaret E. "Peggy" Sczesny '69 '79 Auditorium in the School of Nursing (Attachment 9)
 - (10) Travel and Entertainment Policies and Procedures – Revised (Attachment 10)
3. President's Report
4. Academic Affairs Committee Report
 - (a) Report on Committee activities

- (b) Informational Items:
 - (1) Academic Program Inventory (Attachment 11)
 - (2) Faculty Consulting Program (Attachment 12)
 - The University of Connecticut Consulting Program FY 19 Annual Report
 - Report on the University of Connecticut's Compliance with CGS 1-84(r) Faculty Consulting Program: Report Issued by the Faculty Consulting Oversight Committee
 - (3) Tenure-Track Reappointments (Attachment 13)
- 5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Deferral of Fiscal Year 2021 Self-Supporting Program Fees for the University of Connecticut, Storrs and Regional Campuses (Attachment 14)
 - (2) Project Budget (Final) for Academic and Research Facilities – STEM Research Center Science 1 (Attachment 15)
 - (3) Project Budget (Final) for the Northwest Quad – Science 1 – Site Improvements and Tunnel Phase 2 (Attachment 16)
- 6. UConn Health Report
 - (a) Report on UConn Health activities
- 7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
- 8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
- 9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
- 10. Student Life Committee Report
 - (a) Report of Committee activities
- 11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
- 12. Committee on Compensation Report
 - (a) Report on Committee activities
- 13. Committee for Research, Entrepreneurship and Innovation Report
 - (a) Report on Committee activities
- 14. Other business
- 15. Executive Session anticipated
- 16. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

**CONTRACT AGREEMENTS
FOR APPROVAL
APRIL 29, 2020**

PROCUREMENT - NEW							
DESKTOP AND LAPTOP COMPUTERS, PERIPHERALS, SOFTWARE AND IT HARDWARE							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	CDW Government, LLC	UC-19124	\$8,000,000	05/01/20-08/31/25	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	Desktops, laptops, peripherals, software and IT hardware for all University campuses. This is the result of a public solicitation on which UConn partnered with CSCU. Five extensions of one year each remain.
ELECTRICAL SUPPLIES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
2	Northeast Electric	10PSX0239	\$1,500,000	01/01/20-12/31/24	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	Various electrical supplies for all University campuses. This is a State of Connecticut contract. Any future extensions may be exercised by the State.
IT CONSULTING SERVICES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
3	Tri-Com Consulting Group, LLC	SO19132	\$4,500,000	08/16/19-07/31/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	IT Professional Services for strategic planning and related services, project management, process analysis, infrastructure and related services, software/application development, and IT security support services
IT HARDWARE							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
4	Ergonomic Group	SO19112	\$2,000,000	07/15/19-06/30/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	Operating system, network, and security hardware and services for all University campuses. This is a CSCU contract which resulted from a publicly advertised solicitation. Future extensions may be exercised at the discretion of CSCU.
LAB SUPPLIES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
5	Bio-Rad Laboratories, Inc.	UC-17-CGP012016-E	\$2,100,000	07/01/20-06/30/27	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	Laboratory supplies for research and educational use for all University campuses. This is the result of a publicly advertised solicitation. Zero extensions remain.
6	Illumina, Inc.	UC-17-CGP012016-F	\$3,000,000	07/01/20-06/30/27	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	Laboratory supplies for research and educational use for all University campuses. This is the result of a publicly advertised solicitation. Zero extensions remain.

**CONTRACT AGREEMENTS
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LAB SUPPLIES (Continued)							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
7	Life Technologies	UC-17-CGP012016-D	\$2,100,000	07/01/20-06/30/27	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	Laboratory supplies for research and educational use for all University campuses. This is the result of a publicly advertised solicitation. Zero extensions remain.
8	Sigma Aldrich (dba Millipore Sigma)	UC-17-CGP012016-G	\$3,000,000	07/01/20-06/30/27	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	Laboratory supplies for research and educational use for all University campuses. This is the result of a publicly advertised solicitation. Zero extensions remain.

PROCUREMENT - AMENDMENTS

CELLULAR TELECOMMUNICATIONS SERVICES AND SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
9	AT&T Mobility	WSCA-1907	\$1,000,000; [Contract Value Previously \$2,445,745; Total New Contract Value \$3,445,745]	12/01/11-12/31/21	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	\$2,042,513	\$252,779	\$247,160	Cellular network service and supplies for all University campuses, excluding UCH. Amend to increase contract value \$1,000,000, for total new contract value of \$3,445,745. to extend contract term eighteen months, through 12/31/21. Sourced through the Eastern States Contracting Alliance (WSCA). Zero extensions remain. Future extensions may be exercised at the discretion of WSCA.

CONVENIENCE HARDWARE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
10	Mansfield Supply	DS030316-1	\$410,000; [Contract Value Previously \$900,000; Total New Contract Value \$1,310,000]	07/01/16-06/30/22	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	\$834,241	\$210,929	\$260,040	Convenience hardware for all University campuses. Amend to increase contract value \$410,000, for total new contract value of \$1,310,000. Amend to extend contract term two years, through 06/30/22. Zero extensions remain.

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DESKTOP COMPUTERS, LAPTOPS AND PERIPHERALS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
11	GovConnection, Inc.	R160202	\$1,000,000; [Contract Value Previously \$4,225,710; Total New Contract Value \$5,255,710]	10/01/17-07/31/21	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	\$3,134,169	\$1,362,292	\$775,109	Personal computers, peripherals, software and support services for all University campuses. Amend to increase contract value \$1,000,000, for total new contract value of \$5,255,710. Contract term remains the same. Sourced through National IPA Consortia. Zero extensions remain. Future extensions may be exercised at the discretion of the consortia.
HAZARDOUS WASTE REMOVAL										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
12	Triumvirate Environmental, Inc.	UC-14-CGP030513	\$132,000; [Contract Value Previously \$2,300,000; Total New Contract Value \$2,432,000]	07/01/16-06/30/20	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	\$2,297,003	\$392,461	\$442,029	Hazardous waste removal services for all University campuses. Amend to increase contract value \$132,000, for total new contract value of \$2,432,000. Amend to extend term six months, through 06/30/20. Zero extensions remain.
HVAC/PLUMBING SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
13	F.W. Webb	DS020116-1-3	\$600,000; [Contract Value Previously \$1,000,000; Total New Contract Value \$1,600,000]	07/01/16-06/30/22	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	\$925,854	\$259,129	\$161,775	HVAC and plumbing supplies for all University campuses. Amend to increase contract value \$600,000, for total new contract value of \$1,600,000. Contract term remains the same. Zero extensions remain.

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INTERNET SERVICE PROVIDER & TELECOMMUNICATIONS GOODS AND SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
14	Southern New England Telephone Company a/k/a Frontier Communications Corporations	UC-19-SF071917	\$1,000,000; [Contract Value Previously \$1,565,873; Total New Contract Value \$2,565,873]	07/01/16-06/30/21	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$1,480,578	\$576,479	\$0	Operating system, network, security hardware and services for all University campuses. Amend to increase contract value \$1,000,000, for total new contract value of \$2,565,873. Seven extensions of one year each remain.
15	Southern New England Telephone Company	17PSX0072	\$1,000,000; [Contract Value Previously \$2,041,549; Total New Contract Value \$3,041,549]	10/01/17-09/30/21	Operating Funds	Michael Mundrane, Vice President and Chief Information Officer	\$1,870,790	\$481,561	\$204,846	Telecommunications network services for all University campuses. Amend to increase contract value \$1,000,000, for total new contract value of \$3,041,549. Contract term remains the same. This is a State of Connecticut contract. Future extensions may be exercised at the discretion of the State.
IT HARDWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
16	ePlus Technology, Inc.	UC-16-SF071116-G	\$1,360,812; [Contract Value Previously \$5,165,605; Total New Contract Value \$6,526,417]	05/15/17-05/14/23	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$2,526,417	\$664,529	\$872,681	Operating system, network, and security hardware and services for all University campuses. Amend to increase contract value \$1,360,812, for total new contract value of \$6,526,417. Amend to extend contract term three years, through 05/14/23. One extension of three years remains.
17	Integrated Partners Corporation	UC-16-SF071116-D	\$1,384,012; [Contract Value Previously \$4,200,000; Total New Contract Value \$5,584,012]	04/24/17-04/23/23	Operating Funds	Michael Mundrane, Vice President and Chief Information Officer	\$1,584,012	\$440,521	\$377,307	Operating system, network, and security hardware and services for all University campuses. Amend to increase contract value \$7,500,000, for total new contract value of \$8,300,000. Contract term remains the same. One extension of three years remains.

**CONTRACT AGREEMENTS
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IT HARDWARE (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
18	Lighthouse Computer Services	UC-16-SF071116-E	\$7,500,000; [Contract Value Previously \$800,000; Total New Contract Value \$8,300,000]	03/23/17-03/23/23	Operating Funds	Michael Mundrane, Vice President and Chief Information Officer	\$173,714	\$59,273	\$58,415	Operating system, network, and security hardware and services for all University campuses. Amend to increase contract value \$7,500,000, for total new contract value of \$8,300,000. Contract term remains the same. One extension of three years remains.
19	Presidio Holding, Inc.	UC-16-SF071116-J	\$450,000; [Contract Value Previously \$8,000,000; Total New Contract Value \$8,450,000]	05/26/17-05/25/23	Operating Funds	Michael Mundrane, Vice President and Chief Information Officer	\$4,443,678	\$1,044,677	\$137,779	Operating system, network, and security hardware and services for all University campuses. Amend to increase contract value \$450,000, for total new contract value of \$8,450,000. Contract term remains the same. One extension of three years remains.
JANITORIAL SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
20	Building Maintenance Enterprises, LLC f.k.a Suvan LLC	UC-15-LM011014-1B	\$500,000; [Contract Value Previously \$11,178,360; Total New Contract Value \$11,678,360]	06/01/15-12/31/20	Operating Funds	Michael Jednak, AVP Facilities Operations & Building Services	\$9,748,930	\$2,300,190	\$1,584,352	Janitorial services for all Regional campuses (excludes Storrs, Depot and UCH). Amend to increase contract value \$500,000, for total new contract value of \$11,678,360. Amend to extend term six months, through 12/31/20. Zero extensions remain.

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MEDIA BUYING SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
21	Communications Corporation of Connecticut (d/b/a Makiaris Media)	UC-19-MF112618	\$0; [Contract Value Previously \$13,500,000; Contract Value Remains the Same]	07/01/19-06/30/21	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	\$3,614,782	\$0	\$0	Advertising services for all University campuses to be used to promote programs such as the clinical enterprise at UConn Health for very competitive graduate programs including EMBA and MBA, Law School recruitment, specialty programs, and media buying activities. Amend to extend term one year, through 06/30/20. Contract value remains the same. Three extensions of one year each remain.
SOFTWARE & RELATED SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
22	Blackboard, Inc.	CSU-0379	\$1,000,000; [Contract Value Previously \$1,651,104; Total New Contract Value \$2,651,104]	06/15/12-06/30/21	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$1,604,589	\$434,278	\$434,278	Learning management system (LMS) software and related services for all University campuses to support HuskyCT. Amend to increase contract value \$1,000,000, for total new contract value of \$2,651,104. Amend to extend contract term one year, through 06/30/21. Sourced through a CSU contract. Future extensions may be exercised at the discretion of CSU.
23	IBI, Inc.	82ITZ0019MA/A-82-019	\$1,000,000; [Contract Value Previously \$3,200,000; Total New Contract Value \$4,200,000]	07/01/05-12/31/50	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$922,883	\$84,096	\$101,733	WebFocus software and professional services for multiple University departments for data storage, reporting, extraction and analysis from production applications for all University campuses. Amend to contract value \$1,000,000, for total new contract value of \$4,200,000. Contract term remains the same. This is a State of Connecticut contract. Future extensions may be exercised at the discretion of the State.

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SOFTWARE & RELATED SERVICES (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
24	Oracle America, Inc.	A-94-005/94ITZ0005MB	\$2,000,000 [Contract Value Previously \$21,319,975 Total New Contract Value \$23,319,975]	11/27/07-12/31/50	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$12,663,211	\$1,902,152	\$1,801,315	Software licenses, maintenance and support for Oracle IT systems for all University campuses. Amend to increase contract value \$2,000,000, for total new contract value of \$23,319,975. Contract term remains the same. This is a State of Connecticut contract. Future extensions may be exercised at the discretion of the State.
25	Touchnet Information Systems, Inc.	WH110804	\$350,000 [Contract Value Previously \$1,753,013; Total New Contract Value \$2,103,013]	06/01/05-06/30/21	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$845,054	\$355,097	\$132,688	Credit card processing services and payments integration with PeopleSoft Student Administration system. Amend to increase contract value \$350,000, for total new contract value of \$2,103,013. Contract term remains the same. Zero extensions remain.
SLUDGE CAKE HAULING AND DISPOSAL SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
26	Willimantic Waste Paper Co., Inc.	DS030116-1	\$190,000; [Contract Value Previously \$900,000; Total New Contract Value \$1,090,000]	07/01/16-06/30/21	Multiple Sources	Michael Jednak, AVP Facilities Operations	\$783,769	\$241,346	\$212,313	Cake sludge hauling and disposal services for the University's Water Pollution Control Facility at Storrs Campus. Amend to increase contract value \$190,000, for total new contract value of \$1,090,000. Amend to extend contract term one year, through 06/30/21. Zero extensions remain.

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TELECOMMUNICATIONS AND NETWORK HARDWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
27	Anixter, Inc.	PA R161701/R170501	\$1,000,000; [Contract Value Previously \$1,586,625; Total New Contract Value \$2,586,625]	07/01/18-06/30/21	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	\$852,240	\$421,717	\$0	Telecommunications hardware and supplies for all University campuses. Amend to increase contract value \$1,000,000, for total new contract value of \$2,586,625. Amend to extend contract term one year, through 06/30/21. Sourced through National IPA consortia. Zero extensions remain. Future extensions may be exercised at the discretion of the consortia.
LEASES FOR APPROVAL										
UNIVERSITY AS LANDLORD										
No.	Tenant	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose				
1	GSCT Owner LLC	No Change	06/07/19-06/06/2117 (No Change)	Operating Fund - General	Scott Jordan, Executive Vice President for Administration and Chief Financial Officer	Amendment to the Ground Lease for the Nathan Hale Inn/Graduate Hotel on the Storrs Campus. The hotel was closed pursuant to Governor Lamont's Executive Order 7T, preventing it from meeting certain deadlines and obligations set forth in the Ground Lease. This amendment creates a "Suspension Period" until at least July 31, 2020 for the Tenant to complete its Initial Improvements and suspends certain operational provisions in the Ground Lease to keep the Tenant out of default. If the Storrs Campus is not open as of that date, the Suspension Period shall be extended until the date that is five (5) days after UConn notifies Tenant that the Campus is reopened.				
2	Eastern Connecticut Conservation District	\$2733.33 (2020) / \$3167.25 (2021)	10/01/12-12/31/21	Operating Fund - General	Indrajeet Chaubey, Dean and Director, College of Agriculture and Natural Resources	Renewal and expansion of a lease of office space of approximately 297 square feet in the Windham County Agricultural Extension Building located at 139 Wolf Den Road, Brooklyn, Connecticut. The current in-force lease is for two offices; the amendment would add one more office, for a total of three. The rent covering the period until December 31, 2020 will increase pro rata due to the addition of the office, and will increase by 3% for the additional term of January 1, 2021 to December 31, 2021. The pro rata rent increase attributable to the additional office will be paid in a lump sum for balance of 2020, and the annual rent payment for 2021 will be paid in a lump sum.				

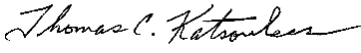
**CONTRACT AGREEMENTS
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LEASES FOR APPROVAL						
UNIVERSITY AS TENANT						
No.	Landlord	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	CLP Prospect Street, LLC	Maximum of \$70,000 per month	07/01/18-06/15/23	Operating Fund - General	Michael Gilbert, VP for Student Affairs	Second Amendment to Lease for no fewer than 9 and no greater than 24 units in the Townhouse Apartments, located at 65 Prospect Street, Stamford. This amendment: a) revises the end of the Lease Period from May 31, 2021 to June 15, 2023; b) modifies Exhibit A to reflect new unit types and monthly rents; and c) increases the maximum payable amount to \$3,050,000.
2	Nine West Broad Property, LLC	\$175,833 for Year 1, increasing to \$202,592 in Year 5	08/01/20-07/31/25 exclusive of renewal option. Terminable at the end of Year 3 in the event grant funding ceases.	Grant Funding (with Operating Fund match)	Radenka Maric, Vice President for Research	Lease for 5,685 square feet of office space located at 9 West Broad Street, Stamford, CT. This space will be used to house Technology Incubation Program (TIP) offices; data science research offices; and a student entrepreneurial accelerator. Base Rent includes parking, janitorial and electric, and excludes proportional share of building operating expenses and real estate taxes. There is a free rent period of three months before the rent commences.
3	21 South Road LLC	\$1,063,801	04/1/21-03/31/31	Operating Fund - General	Anne Horbatuck, VP UMG Admin.	UConn Health Lease renewal at 21 South Road, Farmington for 26,876 sf on the 1st and 2nd floors, and 752 sf of basement space. Base rent is full service gross excluding metered utilities paid by UConn Health.
4	Eagleville Partners LLC	\$23,100	07/01/20-06/30/21	Operating Fund - General	Donna McKenty, Sr Director Dean's Ofc Medical	UConn Health Residential lease of property at 66 Indian Spring Road, Woodstock, CT. The property will house up to three 3rd-year medical students at a time while
5	Charles Orefice	\$25,200	05/01/20-04/30/20	Operating Fund - General	Donna McKenty, Sr Director Dean's Ofc Medical	UConn Health Residential lease of property at 190 South Thames Street, Unit #20, Norwich, CT. The property will house up to two 3rd-year medical students at a time while they are completing their 6-week clinical rotations at Backus Hospital.

ATTACHMENT 2

April 29, 2020

TO: Members of the Board of Trustees

FROM: Thomas C. Katsouleas 

RE: Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2019-2020

RECOMMENDATION:

That the Board of Trustees accept the recommendation of the Distinguished Professor Review Committee and designate the following faculty members as University of Connecticut Board of Trustees Distinguished Professors: S. Pamir Alpay (Department of Materials Science and Engineering), Katharina von Hammerstein (Department of Literatures, Cultures, and Languages), and C. Michael White (Department of Pharmacy Practice).

BACKGROUND:

On November 10, 1998, the Board of Trustees voted to establish the title of Board of Trustees Distinguished Professor. This designation is the University's highest academic honor.

Pursuant to the *By-Laws of the University of Connecticut*, the Board of Trustees Distinguished Professor award is reserved exclusively to recognize faculty who have achieved exceptional distinction in scholarship, teaching, and service while at the University of Connecticut. Faculty chosen must have distinguished themselves in all three of these categories. The designation process occurs annually, as a result of a peer review process.

After careful deliberations, the Review Committee recommended the three individuals named above. I am recommending that the Board of Trustees designate these faculty as its Distinguished Professors.

S. Pamir Alpay

Pamir Alpay is the General Electric Professor in Advanced Manufacturing in the Department of Materials Science and Engineering and the Executive Director of UConn Tech Park, where he serves as UConn's chief ambassador to industry and government agencies in building industry-responsive and economically important initiatives based on UConn's strengths in applied research. In this role, he has excelled in outreach, having hosted workshops and symposia connecting over 500 professionals and government leaders on current topics ranging from sustainability, cybersecurity, energy, advanced manufacturing and support for small/medium size businesses.

In research program development, Dr. Alpay has led efforts to establish centers that offer infrastructure to support, develop, and expand research and has secured external funding of over \$16M, as PI or Co-PI, for multidisciplinary initiatives supporting dozens of faculty and students. Prof. Alpay's research focuses on discovering new phenomena in diverse material systems. Prof. Alpay employs tools from quantum mechanical computations to basic thermodynamic models to advance understanding of materials properties across multiple length scales. In recognition of his contributions, Dr. Alpay has been elected to the rank of Fellow of the American Physical Society and American Ceramic Society. He has over 200 publications which are internationally recognized and extensively cited.

Prof. Pamir Alpay is a committed teacher and advisor to both graduate and undergraduate students. He has excelled at graduate student advising, particularly in mentoring minority students and women, and over half of his advisees have received awards either from the University and/or from national and international organizations. Consistent with UConn's vision to provide experiential learning opportunities for undergraduates, Prof. Alpay has been exceptionally effective at connecting students with research and hands-on experiences. He is the recipient of the NSF CAREER Award, UConn School of Engineering Outstanding Faculty Advisor Award, and the AAUP Excellence in Career Research and Creativity Award. He received his PhD in 1999 from the University of Maryland. Dr. Alpay joined UConn's Materials Science and Engineering (MSE) in 2001 and from 2013 to 2017 he served as the Head of the Department.

Katharina von Hammerstein

Dr. von Hammerstein (Ph.D. UCLA) is Professor of German Studies in the Department of Literatures, Cultures and Languages and member of the Human Rights Institute's leadership team at the University of Connecticut. For her scholarship on German literature in Europe and Africa as well as on interdisciplinary curriculum development in the United States she received national and international grants from the National Endowment for the Humanities (NEH), the American Council on Education (ACE), the U.S. Department of Education's Fund for the Improvement of Postsecondary Education (FIPSE), the German National Research Foundation (DFG), the German Academic Exchange Service (DAAD) and the Ministry for Science, Research and the Arts of the State of Baden-Wuerttemberg, Germany. At UConn, she received numerous large and small grants to support curricular innovation and her scholarly production.

Professor von Hammerstein's interdisciplinary and international research is located at the intersections of Literary Criticism, Postcolonial Studies, Gender Studies, War Studies and Human Rights. Her twelve book publications and more than seventy articles about German, Austrian and Namibian literature between the late 1700s and early 2000s analyze textual representations of human rights issues, autobiographical writings as political voices, and questions of gender and "race". Currently she is investigating diverse testimonies on Germany's first genocide (1904-1908) in the former colony of German Southwest Africa (today's Namibia). She has given numerous presentations in the United States (incl. Harvard), Canada and Germany as well as the UK (incl. Oxford), France (incl. the Sorbonne), Austria, Italy, Spain, Romania, Russia, Namibia, and South Africa.

Professor von Hammerstein's teaching is inspired by her scholarship and creativity and earned her the university-wide Faculty Teaching Award. Her courses range from large General Education lectures on German Film to upper-division and graduate courses on German Romanticism, German Culture since Martin Luther, and German-African Connections. She inspired many a student to add a global component to their studies, not least by introducing grant-supported programs to UConn (and other universities) to help students use their knowledge of second languages & cultures in their disciplinary majors and career preparation. She has been major graduate advisor to approximately 75 MAs and PhDs.

Serving her profession and the University, Professor von Hammerstein has contributed to UConn's national and international reputation. In her capacities as member of the University Senate (1999-2021) and Senate Executive Committee (2016-2019), Chair of the university-wide Global Citizenship and General Education Oversight committees, and Chair and Graduate Director of German Studies, she has helped develop support for students' and faculty's needs in an ever changing world. At the (inter)national levels, she has served on editorial boards, organized conferences and was elected Delegate of New England and Eastern Canada at the National Assembly of the Modern Language Association. Most recently, she co-founded and is co-directing (w/UConn's Sebastian Wogenstein) the interdisciplinary and inter-institutional transatlantic Connecticut/Baden-Wuerttemberg Human Rights Research Consortium.

C. Michael White

Dr. White is Chair and Professor of Pharmacy Practice at the University of Connecticut, Storrs. His previous awards include the American Society of Health-System Pharmacists Drug Therapy Research Award (2005, 2008, 2019) and the Award for Sustained Contributions to the Literature (2016), American College of Clinical Pharmacy Young Investigator of the Year Award (2004), and American Association of Colleges of Pharmacy Lyman Award (2008). He is a Fellow of the American College of Clinical Pharmacology (2006) and American College of Clinical Pharmacy (2005).

Professor White has been the Director of one of only 13 Evidence-based Practice Centers designated by the Federal Healthcare Research and Quality (2007-Present). His is a national authority on the prevention of post-cardiothoracic surgery complications and determining the safety and effectiveness of both medications and dietary supplements. His work has strongly influenced national coverage decisions and clinical practice guidelines. He has published over three hundred fifty peer-reviewed publications with almost 12,000 citations and delivered countless presentations before professional groups around the world. OpEds stemming from his research have over 735,000 views and his research has been covered by NBC Nightly News, Good Morning America, NY Times, Washington Post, the Today Show, and The Dr Oz Show. In the 2019-2020 time frame, he had three articles with Almetric Scores over 200 and three of the Top Ten Most Read articles in the Annals of Pharmacotherapy.

Professor White is an accomplished teacher. He was selected as a Center for Excellence in Teaching and Learning Teaching Fellow (2006) and was a Finalist for the University of Connecticut Outstanding Advisor (2003). He was selected the School of Pharmacy's Teacher of the Year four times in twenty years (2001, 2004, 2010, 2019) and featured in a Hartford Courant article on the use of comedy in instructional excellence (2006). He has mentored twenty-nine post-doctoral fellows, three visiting scholars and fifteen students who graduated with honors or distinction.

Professor White has a long history of public engagement and service to the University of Connecticut. He received the Provost's Award for Public Engagement (2010) for his work with major media and underserved populations. He has been quoted in media articles for many major national and international media sites including USA Today, Huffington Post, BBC, CBS, NPR, LA Times, and Chicago Tribune in addition to a recurring TV segment (NBC30, 2004-2013 & FOX61 2013-Present) in Connecticut. He is on the national research advisory board of the American Society of Health-System Pharmacists, has reviewed grants for the NIH and VA, and was the Chair and Vice Chair of the University of Connecticut Institutional Review Board. Since 2011, he has been the Head of the Department of Pharmacy Practice.

ATTACHMENT 3

PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – COLLEGE OF AGRICULTURE, HEALTH, AND NATURAL
RESOURCES
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2020

TENURE AS ASSOCIATE PROFESSOR

Tatiana Andreyeva

Agricultural and Resource Economics

PROMOTION TO PROFESSOR

Ock Chun

Nutritional Sciences

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Nathan Fiala

Agricultural and Resource Economics

Molly Waring

Allied Health Sciences

Young Tang

Animal Science

Anita Morzillo

Natural Resources and the Environment

Steven Szczepanek

Pathobiology and Veterinary Science

Rosa Raudales

Plant Science and Landscape Architecture

PROMOTION TO ASSOCIATE PROFESSOR IN RESIDENCE (Non-Tenure Track)

Deborah Bubela

Kinesiology

PROMOTION TO EXTENSION EDUCATOR (Non-Tenure Track)

Jennifer Martin

Extension

Victoria Wallace

Extension

PROMOTION TO ASSOCIATE COOPERATIVE EXTENSION EDUCATOR (Non-Tenure Track)

Dawn Pettinelli

Plant Science and Landscape Architecture

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF BUSINESS
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2020**

TENURE AS ASSOCIATE PROFESSOR

Guojin Gong Accounting

PROMOTION TO PROFESSOR

Assaf Eisdorfer Finance

Greg Reilly Management

Robert Day Operations and Information Management

Cuihong Li Operations and Information Management

Xinxin Li Operations and Information Management

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Fan Yang Finance

Travis Grosser Management

PROMOTION TO ASSOCIATE PROFESSOR IN RESIDENCE (Non-Tenure Track)

Liping Qiu Finance

Kevin Thompson Management

PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – NEAG SCHOOL OF EDUCATION
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2020

TENURE AS ASSOCIATE PROFESSOR

Eric Loken	Educational Psychology
Diandra Prescod	Educational Psychology

PROMOTION TO PROFESSOR

Jason Irizarry	Curriculum and Instruction
Alan Marcus	Curriculum and Instruction

Morgaen Donaldson	Educational Leadership
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Lisa Sanetti	Educational Psychology
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PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Joseph Abramo	Curriculum and Instruction
Michele Back	Curriculum and Instruction

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF ENGINEERING
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2020**

PROMOTION TO PROFESSOR

Jeffrey McCutcheon	Chemical & Biomolecular Engineering
Rainer J. Hebert	Materials Science and Engineering
Tianfeng Lu	Mechanical Engineering

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Kazunori Hoshino	Biomedical Engineering
Sabato Santaniello	Biomedical Engineering
Yongku Cho	Chemical and Biomolecular Engineering
Kristina M. Wagstrom	Chemical and Biomolecular Engineering
Christine J. Kirchhoff	Civil and Environmental Engineering
Song Han	Computer Science and Engineering
Seok-Woo Lee	Materials Science and Engineering
Julián Andrés Norato Escobar	Mechanical Engineering

PROMOTION TO ASSOCIATE PROFESSOR IN RESIDENCE (NON-TENURE TRACK)

Jennifer Pascal	Chemical and Biomolecular Engineering
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**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – SCHOOL OF FINE ARTS
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2020**

PROMOTION TO PROFESSOR

Monica Bock

Art and Art History

Louis Hanzlik

Music

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

John O'Donnell

Art and Art History

Edward Weingart

Dramatic Arts

Rod Nelman

Music

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT - SCHOOL OF LAW
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2020**

Effective: August 1, 2020

TENURE AS PROFESSOR
Ebony Nelson

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – LAW LIBRARY
PRESENTED TO THE BOARD OF TRUSTEES – APRIL 29, 2020**

MISCELLANEOUS PROMOTIONS

Timothy Dannay	FROM: Librarian 1	TO: Librarian 2
Tanya Johnson	FROM: Librarian 1	TO: Librarian 2
Adam Mackie	FROM: Librarian 1	TO: Librarian 2

PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – COLLEGE OF LIBERAL ARTS AND SCIENCES
PRESENTED TO THE BOARD OF TRUSTEES — April 29, 2020

TENURE AS PROFESSOR

Gang George Yin Mathematics

Carl Wilbourne Lejeuz Psychological Sciences

TENURE AS ASSOCIATE PROFESSOR

Rachel Chazan Cohen Human Development and Family Sciences

Jeffrey Burke Psychological Sciences

PROMOTION TO PROFESSOR

Mary Burke English

Ellen Carillo English

Gregory Kneidel English

Christopher Vials English

Keith Bellizzi Human Development and Family Sciences

Lisa Eaton Human Development and Family Sciences

Jon Sprouse Linguistics

Rosa Helena Chinchilla Literatures, Cultures and Languages

Ana Maria Diaz-Marcos Literatures, Cultures and Languages

Avinoam Patt Literatures, Cultures and Languages

Manuela Wagner Literatures, Cultures and Languages

Lan-Hsuan Huang Mathematics

Dmitriy Leykekhman Mathematics

Alvaro Lozano-Robledo Mathematics

Adam Zweifach Molecular and Cell Biology

Susan Schneider Philosophy

Lionel Shapiro Philosophy

Jeffrey Dudas Political Science

Jane Gordon Political Science

Shareen Hertel Political Science

Andrew Deener Sociology

Matthew Hughey Sociology

Bradley Wright Sociology

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

César Abadía-Barrero Anthropology

Dimitris Xygalatas Anthropology

Jie He Chemistry

Anne Oeldorf-Hirsch Communication

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – COLLEGE OF LIBERAL ARTS AND SCIENCES
PRESENTED TO THE BOARD OF TRUSTEES — April 29, 2020**

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE (cont'd)

David Simon	Economics
Ricardo Salazar-Rey	History
Laura Mauldin	Human Development and Family Sciences
Hassanally Ladha	Literatures, Cultures and Languages
Hannes Baumann	Marine Sciences
Jeffrey Connors	Mathematics
Guojun Gan	Mathematics
Zhongyang Li	Mathematics
Oleksii Mostovyi	Mathematics
Alexander Jackson	Physiology and Neurobiology
Elizabeth Schifano	Statistics
Yuping Zhang	Statistics

PROMOTION TO PROFESSOR IN RESIDENCE (Non-Tenure Track)

Gail MacDonald	Journalism
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PROMOTION TO ASSOCIATE PROFESSOR IN RESIDENCE (Non-Tenure Track)

Priya Pradhan	Chemistry
Stephen Stifano	Communication
Julie Serkosky	Journalism
Myron Minn-Thu-Aye	Mathematics
Kathleen Feldman	Molecular and Cell Biology
Richard Luddy	Physics
Kimberly Bergendahl	Political Science
Jamie Kleinman	Psychological Sciences
Jennifer Dineen	Public Policy

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – UCONN LIBRARY
PRESENTED TO THE BOARD OF TRUSTEES – APRIL 29, 2020**

MISCELLANEOUS PROMOTIONS

Jennifer Chaput FROM: Librarian 1 TO: Librarian 2

Renee Walsh FROM: Librarian 1 TO: Librarian 2

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT - SCHOOL OF PHARMACY
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2020**

PROMOTION TO PROFESSOR

Bodhisattwa Chaudhuri

Pharmaceutical Sciences

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Diana Sobieraj

Pharmacy Practice

PROMOTION TO CLINICAL PROFESSOR (Non-Tenure Track)

Philip Hritcko

Pharmacy Practice

**PROMOTION AND TENURE RECOMMENDATIONS
UNIVERSITY OF CONNECTICUT – VICE PRESIDENT FOR RESEARCH
PRESENTED TO THE BOARD OF TRUSTEES – April 29, 2020**

PROMOTION TO ASSOCIATE PROFESSOR IN RESIDENCE (Non-Tenure Track)
Diana Payne Connecticut Sea Grant

ATTACHMENT 4

**University of Connecticut Department of Human Resources
Emeritus Retirees
April 29, 2020 Board of Trustees Meeting**

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>RETIRED</u>	<u>HIRED</u>
Thomas Cooke	Professor	Geography	Liberal Arts & Sciences	02/01/2020	09/01/1994
Robert Gallo	Professor	Physiology and Neurobiology	Liberal Arts & Sciences	02/01/2020	09/01/1982
James Marsden	Dist. Prof.	Operations and Information Mgmt.	School of Business	02/01/2020	09/01/1993

ATTACHMENT 5

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
April 29, 2020 Board of Trustees Meeting

SABBATICAL MODIFICATIONS AND POSTPONEMENTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Adler, Daniel S	Associate Professor	Anthropology	Liberal Arts and Sciences Change to	Half Full	AY 2020 - 2021 Spring 2020
Altmann, Gerald T	Professor	Psychological Sciences	Liberal Arts and Sciences Change to	Half Half	AY 2020 - 2021 AY 2021 - 2022
Chon, Ki H	Department Head & Professor	Biomedical Engineering	Engineering Change to	Half Full	AY 2020 - 2021 Fall 2020
Cohen, Mathilde	Professor	Law	Law Change to	Half Full	AY 2020 - 2021 Fall 2020
Cramer, Patricia Morgne	Associate Professor	English	Liberal Arts and Sciences Change to	Full Full	Spring 2020 Spring 2021
Demurjian, Steven A	Professor	Computer Science and Engineering	Engineering Change to	Full Half	Spring 2021 AY 2020 - 2021
Dunne, Gerald V	Professor	Physics	Liberal Arts and Sciences Change to	Half Full	AY 2020 - 2021 Spring 2021
Gajewski, Jon	Department Head & Associate Professor	Linguistics	Liberal Arts and Sciences Change to	Full Full	Spring 2021 Fall 2021
<i>Gilson, Lucy*</i>	<i>Associate Dean & Professor</i>	<i>Management</i>	<i>Business</i> <i>Change to</i>	<i>Full</i> <i>Full</i>	<i>Fall 2020</i> <i>Fall 2022</i>
Landi, Nicole Marie	Associate Professor	Psychological Sciences	Liberal Arts and Sciences Change to	Full Half	Fall 2020 AY 2020 - 2021
Li, Cuihong	Director & Associate Professor	Operations and Information Management	Business Change to	Full Full	Spring 2020 Fall 2020
Li, Xinxin	Associate Professor	Operations and Information Management	Business Change to	Full Full	Fall 2020 Fall 2021
Maric, Radenka	Vice President for Research, Innovation, and Entrepreneurship	Research	Change to	Full	Fall 2020 TBD

**Administrative correction from February 26, 2020 agenda*

Read, Heather	Professor	Psychological Sciences	Liberal Arts and Sciences Change to	Half Half	AY 2020 - 2021 AY 2021 - 2022
Thorson, Robert M	Professor	Ecology and Evolutionary Biology	Liberal Arts and Sciences Change to	Full Full	Spring 2021 Spring 2022
Xygalatas, Dimitrios	Assistant Professor	Anthropology	Liberal Arts and Sciences Change to	Full Full	Fall 2020 Spring 2021


SABBATICAL LEAVE REQUESTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Barreca, Regina R	Professor	English	Liberal Arts and Sciences	Full	Fall 2021
Berthelot, Anne	Professor	Literatures, Cultures, and Languages	Liberal Arts and Sciences	Full	Spring 2021
Cardetti, Fabiana A	Professor	Mathematics	Liberal Arts and Sciences	Full	Fall 2020
Fischl, Richard M	Professor	Law	Law	Full	Spring 2021
Gascon, Jose	Professor	Chemistry	Liberal Arts and Sciences	Full	Spring 2021
He, Jie	Assistant Professor	Chemistry	Liberal Arts and Sciences	Full	Spring 2021
Leykekhman, Dmitriy	Associate Professor	Mathematics	Liberal Arts and Sciences	Full	Spring 2021
Malla, Ramesh B	Professor	Civil and Environmental Engineering	Engineering	Full	Spring 2021
Punj, Girish N	Professor	Marketing	Business	Full	Spring 2021
Yan, Xiaodong	Professor	Mathematics	Liberal Arts and Sciences	Full	Spring 2021
Zhang, Wei	Associate Professor	Civil and Environmental Engineering	Engineering	Full	Fall 2020

ATTACHMENT 6

April 29, 2020

TO: Members of the Board of Trustees

FROM: Thomas C. Katsouleas 

RE: Modification of Naming Recommendation for Rose and Julius Urman

RECOMMENDATION:

That the Board of Trustees, as requested by the donor, authorize the removal of the naming of the Rose and Julius Urman Professorship for Excellence in the School of Pharmacy, previously approved by the Board of Trustees on October 23, 2019, and redirect the gift to provide scholarship support for students enrolled in the School.

BACKGROUND:

On December 4, 2018 Dr. Jeffrey D. Urman pledged a gift in the amount recommended under the University's *Named Gift Guidelines* to fund an endowed professorship. The purpose of the fund was to provide financial support for a professorship and related academic activities for a nationally or internationally recognized researcher, scholar and teacher who has made significant contributions in the field of pharmacy.

On February 13, 2020, the donor requested, and the University and Foundation agreed, to modify the purpose of this gift to provide scholarship support for students enrolled in the School of Pharmacy rather than to support a named professorship.

At its April 14, 2020, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 7

April 29, 2020

TO: Members of the Board of Trustees

FROM: John A. Elliott, Ph.D. 
Interim Provost and Executive Vice President for Academic Affairs

RE: Bylaw Change to Provide Tenure-Track Faculty with a Tenure Clock Extension

RECOMMENDATION:

That the Board of Trustees consider a change to the University bylaws stating the following:

“Given the disruptions presented by the COVID-19 pandemic and how they impact scholarly productivity, faculty holding tenure-track appointments are offered a one-year extension to the tenure clock. This extension shall apply to tenure-track faculty members who were employed by the University on March 1, 2020 and whose tenure case is scheduled to be reviewed in the 2020-2021 academic year or later. The Provost’s Office shall implement a procedure for eligible faculty to request the extension. Faculty members shall receive only one tenure clock extension between March 1, 2020 and December 31, 2020, regardless of the reason(s) for which a faculty member may qualify for an extension.”

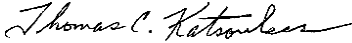
BACKGROUND:

The COVID-19 pandemic has caused significant disruption to normal University operations, slowing down the progress of research in many cases and creating additional workloads to rapidly transition in-person classes to an online mode of teaching. In recognition, a one-time circumstantially-driven change to the bylaws is recommended, which will provide currently employed tenure-track faculty who have not yet submitted their dossier for consideration with the possibility for an additional year before they are considered for tenure.

ATTACHMENT 8

April 29, 2020

TO: Members of the Board of Trustees

FROM: Thomas C. Katsouleas 

RE: Naming Recommendation for the Richard H. Schimmelpfeng Gallery in the Thomas J. Dodd Center on the Storrs Campus

RECOMMENDATION:

That the Board of Trustees authorizes the naming of Room 146 in the Thomas J. Dodd Center as the Richard H. Schimmelpfeng Gallery.

BACKGROUND:

Richard Schimmelpfeng joined the staff of the University of Connecticut Library in 1966 and retired as head of the Special Collections Department in 1992. The day after his retirement, he returned to Special Collections as a volunteer, helping process, catalog, and make these rich resources available to the public – a commitment he maintained until early 2017.

During the course of his service to the UConn Library, he oversaw the exponential growth of Archives and Special Collections (ASC), acquiring manuscripts, rare books, and other unique materials in a wide variety of fields, including some of ASC's most important and enduring scholarly collections.


Mr. Schimmelpfeng's service and dedication to Archives & Special Collections and the UConn Library has left a profound and lasting legacy. Upon his death in 2017, he left Archives & Special Collections a generous bequest which will have a significant impact on ASC's future success, providing sustaining support for the development of new collecting efforts, the expansion of research and teaching programs, and the creation of new programming to engage the scholarly community and the general public. Mr. Schimmelpfeng's estate contribution is in an amount consistent with the amounts recommended for naming this area under the University's *Named Gift Guidelines*.

At its April 14, 2020, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 9

April 29, 2020

TO: Members of the Board of Trustees

FROM: Thomas C. Katsouleas 

RE: Naming Recommendation for the Margaret E. "Peggy" Sczesny '69 '79 Auditorium in the School of Nursing

RECOMMENDATION:

That the Board of Trustees authorizes the naming of the Margaret E. "Peggy" Sczesny '69 '79 Auditorium located in the School of Nursing Widmer Wing of Storrs Hall.

BACKGROUND:

The late Margaret "Peggy" E. Sczesny earned both BS and MS degrees in nursing from the University of Connecticut. Ms. Sczesny was also a loyal, 26-year donor to the School of Nursing Dean's Fund. She was also an ardent UConn Women's Basketball fan.

Ms. Sczesny was recruited to VCU-Health in 1984 and served as Director of Pediatric Nursing in Virginia, practicing as a registered nurse until her retirement in 2011. Ms. Sczesny loved being a nurse, and in the course of her career served countless children and their families. In her leadership roles, she was instrumental in designing programs that enhanced the care of children and facilitated the work of the nurses who cared for them.

The proposed space to be named in recognition of her contribution is an existing 3184 square foot room in the Widmer Wing of Storrs Hall on the Storrs campus. Ms. Sczesny's estate contribution is in an amount consistent with the amounts recommended for naming this area under the University's *Named Gift Guidelines*.


At its April 14, 2020, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 10

April 29, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer



RE: Travel and Entertainment Policies and Procedures – Revised

RECOMMENDATION:

That the Board of Trustees approve the attached revised Travel and Entertainment Policies and Procedures to become effective April 29, 2020.

BACKGROUND:

The Travel and Entertainment Policies and Procedures (the “Policies”) govern all University-sponsored travel for faculty, staff and students. They recognize and support the need for travel for conferences, events, and other purposes that further the reputation of the University and enhance the productivity of the University community. The Policies also apply in those instances where faculty and staff find it important to entertain guests.

The Policies seek to balance the University’s legitimate business needs with sound stewardship of public resources; along with the goal to respect and safeguard our travel privileges and funds by practicing fiscal, ethical, and public responsibility. The Policies were last revised in 2015.

The attached revised Policies have been reviewed and recommended for approval by the President’s Cabinet as well as the Board of Trustees Joint Audit and Compliance Committee.

Attachment

Draft



The University of Connecticut Travel and Entertainment Policies and Procedures



University of Connecticut

University Business Services
and Accounts Payable
3 Discovery Drive, Unit 6220
Storrs, CT 06269-6220

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PURPOSE

The University of Connecticut (University), here defined as all campuses and programs except for UConn Health, recognizes and supports the need for faculty, staff, and students (undergraduate and graduate) to travel for conferences, events, and other purposes that further the reputation of the University and enhance the productivity of the University community. Likewise, the University may also find it important to entertain guests.

University business includes travel and entertainment related to activities such as program accreditation, student assessment, academic programming, clinical placements, presentations at or participation in conferences, professional development, fundraising, continuing education, travel related to an employee's official duties, and many other important activities that support the intellectual and operational endeavors of the University.

At the same time, as a State agency, we must respect and safeguard our travel privileges and funds. We must practice fiscal, ethical, and public responsibility. This policy sets forth rules and procedures that balance the University's business travel and entertainment needs with sound stewardship of public resources.

This policy addresses the most common aspects of University business travel and entertainment. It cannot contemplate every situation that might arise, however. Travelers and administrators with questions should contact their supervisor or University Business Services for guidance before they arrange their travel.

This policy applies to all University employees, students, and guests, including but not limited to recruits and job candidates. The policy shall also apply to the travel and entertainment expenses of contractors, unless the applicable contract provides terms inconsistent with this policy. Where the policy is intended to apply differently to any of the above University business travelers, it will be so stated in the appropriate section of the policy. This policy covers all types of University travel for individuals, groups, and team travel. Employees covered under a collective bargaining agreement ("CBA") may be subject to additional and/or different policies and procedures regarding travel and entertainment. For such employees, in the event of a conflict between this policy and the applicable CBA, the CBA shall control.

GENERAL GUIDELINES

Employees can be reimbursed for certain travel and entertainment expenses related to University business. University business expenses are the ordinary and necessary expenses of conducting your job, are not lavish or extravagant, and are not for personal purposes. To be reimbursable, such expenses must be reasonable and appropriate to the circumstances, and in the case of federal grant and/or grant-based sponsored travel, conform to the sponsor requirements as described below under “Sponsored Program Travel” and highlighted in specific sections of this policy. All reimbursements are subject to final review and approval from Accounts Payable.

Students should follow the same policies for University employees, with the exception of those provisions in Collective Bargaining Agreements that apply to particular employees. Students are reimbursed at the same rate as unclassified employees. Travelers should also be aware of the Protection of Minors policy (<https://policy.uconn.edu/2016/03/29/protection-of-minors-and-reporting-of-child-abuse-and-neglect-policy/>).

Any scanned receipts or documents attached in Concur may be disposed of after final approval of the expense report, but it is recommended that the traveler retain the receipts for a minimum of 60 days.

ELIGIBLE FOR REIMBURSEMENT

With appropriate justification and documentation, travelers can be reimbursed for airfare, railroad tickets, conference registrations, mileage, tolls, lodging, meals, car rental, parking, and other relevant business expenses.

Travelers may receive reimbursement for expenses incurred on travel day(s) as follows:

- Preceding a conference, meeting, etc.
 - Outbound flight segments less than eight (8) hours in duration – one day
 - Outbound flight segments exceeding eight (8) hours in duration – two days
- Following a conference, meeting, etc. – one day

This policy explains in more detail what may be reimbursed and how, and also sets forth exceptions and restrictions that may apply. Travelers and administrators should contact University Business Services if they have any questions about what may be reimbursed.

A primary goal of these policies is to generate cost savings for the University to the greatest extent possible, while simultaneously maintaining the convenience and practicality of the traveler. If a traveler can document a cost saving measure, which would otherwise be in violation of these policies, Accounts Payable will review the expenditure and, most often, support and reimburse the cost saving measure.

In situations where individuals may share expenses related to travel or entertainment, it is the policy of the University to reimburse the individual who can document having paid the shared expense. The University is not a party to agreements between individuals to loan or share expenses, and individuals who enter such agreements are responsible for settlement between themselves.

In special circumstances, exceptions to these policies may be warranted. The President or Provost, or their authorized designees, may review and grant exceptions, as may the Executive Vice President for Administration and Chief Financial Officer, or the Athletic Director. Exceptions are subject to final review and approval by Accounts Payable. Additionally, individuals who require accommodations for reasons of health or disability may seek reasonable exceptions to this policy. Individuals who seek such accommodations may seek the assistance of the Department of Human Resources.

The University reimburses travel and entertainment expenses pursuant to the rules applicable to accountable plans under Section 1.62-2(c)(1) of the Treasury Regulations and, for certain independent contractors, the rules applicable to working condition fringe benefits under Section 132(a)(3) of the Internal Revenue Code and the Treasury Regulations corresponding thereto. Accordingly, all reimbursable expenditures must have a business purpose and must be supported by documentation. Whenever this policy is silent or ambiguous about the sufficiency of documentation of expenses, the terms of the tax authorities cited above shall control. For further guidance, travelers may consult IRS Publication 463.

NOT ELIGIBLE FOR REIMBURSEMENT

Employees are responsible for getting to and from work each workday. Employees will not be reimbursed for mileage associated with their normal commutes, regardless of the location of their home in relation to their official duty station at the University. Moreover, when an employee travels from their home to an off-site business destination (including an airport or a rail station), only the difference between the mileage to the off-site destination and the mileage of the employee's normal commute is eligible for reimbursement. In other words, employees must deduct the mileage of their normal commute when they claim mileage for travel from their homes to business destinations.

Travelers will not be reimbursed for personal items, including but not limited to newspapers, magazines, toiletries, laundry services, childcare costs, pet boarding fees, credit card interest or late fees, hotel and airline membership fees, airline upgrades, pillows, blankets, headsets, or parking for personal travel.

Travelers will not be reimbursed for parking fines, traffic violation tickets, towing charges, or other vehicular fines.

Travelers will not be reimbursed for trip cancellation insurance or other private insurance. For this reason, as well as administrative considerations, travelers are strongly encouraged to book their flights through the University's contracted and preferred agency. For international travel only, medical insurance and emergency evacuation is provided by the University.

Travelers will be responsible for no-show fees (e.g., airfare, hotel, etc.), unless in rare circumstances the no-show fee relates to a business reason or an emergency that prevented the traveler from cancelling. The justification must be documented and provided to Accounts Payable, which may require approval from the appropriate Department Head, Director, or Dean. These fees are typically not reimbursable in the case of federal grant and/or grant-based sponsored travel.

Expenses paid with credits received from rebates, points, vouchers, etc., are not eligible for reimbursement regardless of how the credits were earned.

In addition, travelers will not receive travel reimbursement for participation in events that are not related to their University work or activities.

REIMBURSEMENT APPROVAL

To avoid actual or apparent conflicts of interest, and to ensure proper separation of duties, employees may not approve payment or reimbursement for their own expenses, expenses of a close relative, expenses related to an event in which they participated, or expenses of an individual to whom they directly or indirectly report.

Employees' travel and reimbursement requests are subject to approval by supervisors, the Fiscal Officer of the account(s) from which the expenses are paid and Accounts Payable. These constituencies share responsibility in enforcing this policy and confirming that all reimbursements are supported with a business purpose and clear

documentation. Additionally, travel expenses funded by sponsored awards administered by the University will be reviewed by Sponsored Program Services and the Principal Investigator (PI). Final approval of the expenses is subject to review by Accounts Payable.

To be reimbursed, travelers must submit receipts for: (i) expenses greater than \$50 that are paid for using the University Travel Card; and (ii) expenses greater than \$25 that are paid for by means other than University Travel Card. Further specific requirements for airfare, rental car, meal, lodging, and entertainment reimbursement are provided in the applicable Policies and Procedures section. The reimbursement approval for non-employees, students, and student athletes follows the same reporting line approval of the funding source.

Procedure

To be reimbursed, travelers are requested to submit a Concur expense report, along with all supporting documentation, within fifteen days of returning from a trip. The University is committed to the timely processing of financial transactions as an integral part of operations, and is also observant of the tax rules applicable to employee reimbursements. Therefore, reimbursements submitted later than 60 days after travel is complete will be paid only at the discretion of the appropriate Department Head, Director, or Dean, subject to final review and approval by Accounts Payable. In no event will reimbursements submitted later than 120 days after travel is complete be reimbursed, even with approval from the appropriate Department Head, Director, or Dean.

PART 1: PLANNING BUSINESS TRAVEL

1a. AUTHORIZATION TO TRAVEL

The University requires pre-approval for travel that involves any travel segment (Airfare, Hotel or Car Rental) for employees, students and guests. Individuals who incur travel expenses relating to any travel segment (Airfare, Hotel or Car Rental) without prior approval from their supervisor bear the risk that their expenses may not be reimbursed upon return. The decision to reimburse a traveler in connection with travel that had not been pre-approved will be at the sole discretion of the President, Provost, Executive Vice President for Administration and Chief Financial Officer, or Athletic Director (or their designees).

Further, travelers are strongly encouraged to secure a pre-approval through Concur's Travel Request module for travel that does not involve a travel segment (e.g., day trip to Boston or New York City), as this will enable monitoring in the event of an emergency. See Section 1g below.

Travelers should make every effort to reserve travel as soon as practicable, in order to achieve the most cost-effective travel rates.

Procedure

Submit a Travel Request through the Concur system.

1b. TRAVEL ADVANCES AND PAYROLL DEDUCTIONS

It is possible to request an advance for certain travel expenses. However, such advances will only be issued in certain limited circumstances, and may not be used for expenses that can be charged to the employee's University Travel Card or that may be paid directly by the University. Advances must be \$500 or more, and may not exceed 75% of estimated travel expenses, excluding those expenses that are eligible to be paid directly by University Travel Card or by the University (such as air tickets, conference registration fees, meals, hotel, or rental cars). Advances will not be issued more than 10 days prior to the employee's departure date. If the amount of an advance exceeds the final reimbursement to which the employee is entitled, the employee will return the excess amount, by check, to Accounts Payable within 15 days from expense report approval.

To obtain an advance, employees must sign a travel advance agreement that permits the University to recover, through a payroll deduction, any amount of an advance that exceeds the final reimbursement to which the employee is entitled. However, this deduction from payroll is only used when the payment is not received from the employee within 15 days from expense report approval. Similarly, payroll deductions may be used to recover any personal expenses incurred using University funds (including travel card or procurement card, or other). Students may also obtain travel advances, but student advances are the responsibility of the sponsoring department. In all cases, in the event that Accounts Payable is ultimately unable to recover a travel advance from the Student, the unrecovered balance will be charged to the traveler's department.

Failure to submit a reimbursement claim or to repay the balance of an advance that exceeds the allowable reimbursement for a trip in a timely manner may jeopardize an employee's ability to obtain future advances. Advances will not be issued when an employee has an outstanding advance balance for more than 15 business days or an existing cash advance for which a reimbursement request has been due for more than 15 business days. Once the outstanding balance has been paid or a reimbursement request has been filed with Accounts Payable, the advance request may be processed.

Procedure

To request an advance, a traveler must complete the travel advance section of the Travel Request in Concur.

1c. OFFICIAL TRAVEL PARTIES

Formal groups, such as intercollegiate athletic teams, student organizations, and academic groups or classes on field experiences, may be designated as “Official Travel Parties” by a Department Head, Director or Dean.

For the purposes of this subsection, a “non-official travel party person” is any UConn employee not included on the Official Travel Party roster, who has approval to accompany the Official Travel Party on their field experience or athletic competition.

“Non-university affiliated individuals” are persons who are not on the Official Travel Party roster and do not have an employment or contractual agreement with the University. Such persons may travel on official business if they receive prior written approval from the President, Provost (or their authorized designees) or the Athletic Director. All costs associated with the non-university affiliated individual are the sole responsibility of the non-university affiliated individual.

Non-Athletics Division personnel identified as a part of an athletic team’s Official Travel Party (such as team physicians and CPIA, NCAA FAR, and University Public Safety personnel) must have a business purpose for being included and require approval by the President or his or her authorized designee.

When a student or student athlete who is on the Official Travel Party roster requires travel arrangements separate from the Official Travel Party, the appropriate Department Head, Director, Dean or designee must approve the request and the additional costs incurred prior to the student’s or student athlete’s departure.

Procedure

Groups must submit an “Official Travel Party” roster to the relevant Department Head, Director, or Dean who will determine the Official Travel Party for each group by semester or season, as appropriate. Departments and Divisions must submit their Official Travel Party roster(s) (including PeopleSoft ID Numbers) to Accounts Payable at least one week prior to the first travel event of the semester or season.

Non-university affiliated individuals must receive prior written approval from the President, Provost (or their authorized designees) or the Athletic Director. The justification submitted for approval must include the reason for the individual(s) to travel, the cost incurred by the University for the individual(s) to travel, how that cost was calculated, and how the non-university affiliated individuals will reimburse the University. The repayment from a non-university affiliated individual for charter airfare expenses, incurred on their behalf by the University, will be calculated and based on the Standard Industry Fare Level (SIFL) method, as published by the IRS.

In the event that a student or student athlete requires travel arrangements that are separate from their Official Travel Party, the appropriate Department Head, Director, Dean or designee must approve the request in writing. A written request for approval should include the reason for the separate travel arrangements and comparisons of various modes of alternate transportation. The Department Head, Director, Dean or designee should approve lowest reasonable cost that meets the time constraints of the event or athletic competition. Departments or Divisions must then submit written documentation of cost comparisons and the rationale used to select the method of travel to University Business Services. University Business Services and Department or Division should maintain all documentation for subsequent review and audit.

1d. SPOUSAL/PARTNER TRAVEL AND ENTERTAINMENT PARTICIPATION

The travel or entertainment expenses of a spouse, partner, or dependent generally fall within one of three classifications. (Such expenses are not allowed on sponsored awards.)

Bona Fide Business Purpose

If the attendance of a spouse or partner serves a bona fide business purpose for the University, the University may pay the travel or entertainment expenses of the spouse or partner. To meet the high standard of a bona fide business purpose, the presence of the spouse or partner must be compelling or essential (and not just beneficial) to carry out the business interests and functions of the University.

Employment Agreement

Absent a bona fide business purpose, if an individual's University employment arrangement or contract permits his or her spouse to travel, or provides that his or her spouse may attend University events, then the cost of such travel or event, or any reimbursement therefore, will be considered compensation to the employee and will be included in the taxable wages of the employee.

Accompaniment with No Business Purpose

The travel and entertainment expenses of a spouse, partner, or dependent who has no official role for the University and is simply accompanying an individual on University-related travel are the personal responsibility of the traveler. Such individuals may, however, accompany the traveler with the permission of the appropriate Department Head, Director or Dean. If the University incurs any costs associated with such individuals, the traveler shall reimburse the University in a timely manner.

Procedure

The payment or reimbursement of the travel expenses for spouses or partners having a bona fide business purpose requires the written approval of the President, Provost or their authorized designees and is subject to review by Accounts Payable.

1e. COMBINED BUSINESS AND PERSONAL TRAVEL

Employees may combine travel for personal and business reasons, subject to the guidelines below.

If weekends, holidays, or necessary standby days fall between business travel days the traveler may be reimbursed for a hotel where a cost savings can be demonstrated. Example – if a traveler attends a two-week conference and there is a cost savings by remaining at the conference location over the weekend versus flying home and back during the weekend.

If a traveler chooses to arrive early or to stay longer for non-business reasons, the University will not pay for expenses incurred during additional personal days or any other personal travel expense. The only exception and in special cases, travelers will be able to combine their business and personal travel at a cost lower than if a single trip were only for business. In these special cases, the University will reimburse the traveler for the lower-cost business and personal trip; provided that the traveler has clearly documented the cost savings to the University.

Procedure

Travelers who wish to combine personal pursuits with University business on a single trip must indicate the dates and location of the personal time on the Travel Request and expense report Concur.

The traveler must provide supporting documentation and economic justification from the time of booking, including cost comparisons from the University's contracted and preferred agency on the date of booking.

- If the cost of the combined business and personal airfare is higher than the airfare comparison provided from the preferred travel agency, then the airfare will be capped at the comparison provided.
- If the cost of the combined business and personal airfare is lower than the airfare comparison provided from the contracted and preferred travel agency, then the University will reimburse the traveler the lower cost of the actual airfare that included business and personal.

Failure to obtain these cost comparisons from the University's contracted and preferred agency on the date of booking may result in the University not reimbursing the cost of the airfare.

1f. FELLOWSHIP TRAVEL

Travel by University Fellows, to be reimbursed or otherwise paid by the University, must adhere to the guidelines contained throughout this policy. Such travel may be taxable to the Fellow under IRS guidance.

1g. MONITORING IN EVENT OF EMERGENCY

The University considers the wellbeing of its employees and students to be paramount during travel. As such, the University is providing a travel monitoring and communication tool for all who are undertaking travel as part of their role at the University. This tool enables the University to know the location of employees and students while traveling, and in the case of an emergency, provides the ability to communicate with travelers, ensuring their safety and/or providing safety instructions. Travelers should complete and maintain their profile information, including mobile phone numbers and contact details within Concur to ensure immediate and effective communication is available when needed.

Support in the event of an emergency can be extended to travelers in a variety of ways, the most efficient of which is through knowledge of the dates, times, and locations of University travelers as entered in a Travel Request in Concur. For travel where pre-approval is not required, travelers are highly encouraged to submit a Travel Request in Concur prior to their departure. This procedure applies to all University travel, regardless of destination, duration (including in-state and out-of-state day trips), or source of funding. Failure to submit a Travel Request in Concur prior to departure will delay communication to the traveler in the event of an emergency.

Further, international travelers are encouraged to register international travel destinations with the U.S. Department of State prior to departure. International travelers must adhere to UConn's Policy for Education Abroad and Related Activities in Sites with U.S. Department of State Travel Warning/Travel Alert and UConn's Student International Travel Policy when applicable.

1h. SPONSORED PROGRAM TRAVEL

Travel is a direct cost to a sponsored project when such travel will provide direct benefit to the award and is:

- **Allowable:** The cost must be allowable under both the provisions of the Office of Management and Uniform Guidance and under the terms of a specific award.
- **Allocable:** The cost must be allocable; that is, the expense can be associated to a project with a high degree of accuracy.

- **Reasonable:** The cost must be reasonable; that is, the cost reflects what a “prudent person” would pay in a like circumstance.

If federally funded, sponsored awards are subject to certain federal laws and the guidelines set forth in the Uniform Guidance, 2 CFR Part 200—uniform administrative requirements, cost principles, and audit requirements for federal awards. All sponsored project awards may be subject to specific agency restrictions, as well as the remainder of this policy. The terms and conditions of the individual agreement should be reviewed prior to incurring and/or submitting any travel for approval or for reimbursement. The terms of this travel policy apply to all sponsored programs unless the sponsor’s requirements are more restrictive; if they are, then the sponsor rules must be followed. The Principal Investigator is responsible for the financial stewardship of their award and for adhering to sponsor and University requirements for travel, and the spending of sponsored funds in general.

The following list highlights some common sponsored travel restrictions. Some awards **may**:

- Prohibit foreign travel
- Require pre-authorization by Sponsor for each trip
- Restrict the number of trips that can be taken
- Restrict the number of travelers on an authorized trip
- Set a maximum dollar value per trip
- Allow attendance to a conference to present research, but not for the purpose of “staying current in the field”
- Limit travel to a specific destination or purpose
- Specify maximum meal, mileage or other cost rates.

Sponsored travel must be justified, well-documented, in compliance with the sponsor requirements, and incurred within the period of the award. Travelers on sponsored funds must provide sufficient explanation so that anyone reviewing the transaction can verify that it is allowable, allocable, and reasonable to the project charged. Charges that are split between two or more projects must demonstrate how the trip specifically benefited each project respectively.

Entertainment costs are not allowable to a sponsored project without explicit prior approval from the sponsor and Sponsored Program Services.

Federal airfare

The federal Fly America Act mandates that travel sponsored by the federal government must be on U.S. airlines or a foreign air carrier that code shares with a U.S. flag carrier on the flight taken, subject to certain limited exceptions. This includes flights within the U.S. If there is no U.S. carrier to your destination, you must travel on a U.S. carrier as far as possible. By law, additional cost for U.S. carrier flights is not sufficient justification to fly on foreign carriers. Please note that the same rules apply to a foreign visitor’s flights.

One exception to this requirement is transportation provided under a bilateral or multilateral air transport agreement called “Open Skies Agreements.” There are currently four Open Skies Agreements that permit traveling on a European Union, Norwegian, Icelandic, Australian, Swiss, or Japanese carrier under specific circumstances.

Though the federal Open Skies policies allow the use of foreign airlines, the easiest and safest way to comply with Federal air travel regulations is to continue to book flights only on U.S. airlines. The use of a U.S. air carrier is required on Department of Defense (DoD) awards even when there is an Open Skies Agreement in place.

Fly American and Open Skies are covered further in the Air Travel section of this policy.

PART 2: AIR TRAVEL

2a. TRANSPORTATION TO AND FROM AN AIRPORT

Travelers should use the most reasonable and cost-effective mode of travel to airports. Except in cases where a traveler provides a written explanation of special circumstances, travelers must use a personal vehicle to travel to and from the airport of departure. For travel to and from the destination airport, the appropriate means of travel to the airport may include a personal vehicle, a rented vehicle, a ride-sharing service (e.g., Uber or Lyft), a taxi, or a shuttle service. Private livery services (defined herein to mean all private vehicles for hire, except for taxi services) are not to be used by employees, students, consultants, or gratis appointments unless the traveler provides a written explanation of a special need for a private vehicle. Acceptable special needs for a private vehicle shall be evaluated by University Business Services and may include, but not be limited to cost saving, accommodation for a health or medical concern, the unavailability of other options, or the need to transport an important University guest. Exceptions or additional review may be granted or conducted by the appropriate Department Head, Director, or Dean subject to final review and approval by Accounts Payable.

Travelers may be reimbursed for personal vehicle mileage in accordance with this policy. However, travelers will receive reimbursement for the difference in mileage between their trip to the airport from their home or official duty station (where the employee is permanently assigned) and their normal commute to work. In other words, if a traveler lives closer to Bradley International Airport than to his or her official duty station, and if the traveler travels directly from his or her home to the airport, the University will not reimburse the traveler for the trip to the airport.

Travelers will not be reimbursed for airport hotel costs prior to the day of departure or after return.

2b. AIRPORT PARKING

Fourteen-day parking permits are available to employees on University business for Bradley International Airport. The permits allow state employees to park in designated lots. If a traveler does not request a parking permit or fails to secure the permit from Accounts Payable before departure, the traveler will be responsible for his or her own parking expenses and the parking expenses may not be reimbursed.

If the business travel extends beyond the permit's fourteen-day limit, the employee is responsible for the additional fees, but may be reimbursed for the additional parking expense.

If the state-designated lot is at capacity, employees should park at the lowest-cost economy parking lot. Employees will be reimbursed for parking expenses incurred when the state-designated lot is at capacity.

Students who are on payroll are eligible to receive parking permits for Bradley International Airport provided that the business travel is related to their employment. Students who are not on payroll should park at the lowest-cost economy parking lot available, and may be reimbursed for these expenses at the discretion of their departments.

Individuals who are parking at an airport other than Bradley International Airport should park at the lowest-cost economy parking lot.

Individuals who park in Short-Term Parking across from the airport terminals in order to pick up arriving University travelers may have these expenses reimbursed.

Procedure for Parking at Bradley International Airport

Prior to Travel:

To obtain a parking permit, the traveler must select yes on the Bradley Parking Pass field in the Concur request. After the Concur request is approved, a parking permit will be mailed if the departure is greater than 5 business days away. Travelers that are departing sooner than 5 business days may pick up their parking permits at the front desk of Accounts Payable. It is the traveler's responsibility to obtain the parking pass before departure.

Travelers should review and follow the instructions and information printed on the permit prior to parking.

At the Airport:

The employee must present the parking permit to the attendant when they exit the state employee designated parking lot. If the state-designated lot is at capacity, travelers should park at the lowest-cost economy parking lot.

After travel:

To receive reimbursement if the state-designated lot was at capacity or if the traveler incurs any charge for parking for a period greater than fourteen days, the traveler must submit the paid receipt and the original parking permit with a brief explanation in the "comments" section of the Concur expense report.

Those picking up arriving University travelers (including, on rare instances, graduate students) may submit paid parking receipts for reimbursement.

2c. COMMERCIAL AIR TRAVEL

Employees should generally purchase the lowest commercial airfare available, but may also make reasonable allowances for practicality and preferences such as safety, scheduling and any need for special accommodations. Travelers are strongly urged, but not required, to use the University's contracted and preferred agency to book airline travel. Additionally, individuals who require accommodations for reasons of health or disability may seek reasonable exceptions to this policy. Individuals who seek such accommodations may seek the assistance of the University's Office of Diversity and Equity.

As an agency of the State of Connecticut, the University has a duty to promote economic development within the state by using Connecticut facilities such as Bradley International Airport. Accordingly, employees are directed to use Bradley International Airport, or another Connecticut-based airport, for commercial air travel whenever it is cost-effective. In determining whether travel is cost-effective, travelers should consider additional costs that would be incurred in traveling to an airport in a different state (e.g., mileage and/or meals). Travelers should also consider the fact that the Connecticut Airport Authority provides free parking to state employees traveling on state business, whereas travelers might otherwise pay for parking at an airport in a different state.

Travelers may not insist on non-stop flights. In determining the lowest and most practical commercial fare, the traveler should consider not only non-stop flights, but also flights with one connection (both departing and/or returning).

Travelers should purchase non-refundable tickets unless a refundable ticket is documented to be within \$50 of the non-refundable ticket for the same flight.

First class air travel rates will not be paid for or reimbursed by the University. The University will allow business class travel only on flights with at least one flight segment exceeding eight (8) hours in duration. All business class ticketing must be booked through the University's contracted and preferred agency. Business class airfare may not

be charged to a federal sponsored award, and the cost in excess of the coach fare must be charged to an account other than the federal grant/contract, except when traveling coach would: (1) require circuitous routing; (2) require travel during unreasonable hours; (3) excessively prolong travel; (4) result in additional costs that would offset the transportation savings; or (5) offer accommodations not adequate for the traveler's medical needs. The traveler is responsible for documenting the foregoing exceptions.

Note that the "Fly America Act," 49 U.S.C. 40118, requires all University travelers to use United States air carriers for all air travel and cargo transportation services supported by Federal funds. One exception to this requirement is transportation provided under a bilateral or multilateral "Open Skies" air transport agreement, to which the United States government and the government of a foreign country are parties, and which the Department of Transportation has determined meets the requirements of the Fly America Act. More information is available at <http://www.gsa.gov/portal/content/103191>.

Travelers should make every effort to reserve airfare as soon as practicable, in order to achieve the most cost-effective fares. Contact the University's contracted and preferred agency or University Business Services if you have any questions on how to secure the most cost-effective airfares. Accounts Payable reserves the right to question unreasonable airline travel costs.

Procedure

Travelers may make commercial air travel arrangements in one of two ways:

Contracted (Preferred Method)

Travelers are strongly encouraged to make travel reservations and ticket purchases with the University's contracted and preferred agency, available through the link available at <http://travel.uconn.edu>.

Non-Contracted

Travelers may make travel arrangements through an agency or airline of his/her choice and pay the agency or airline directly. However, travelers will not be reimbursed for web or travel agency fees. Additionally, reimbursement requests for airline tickets that are not booked through the preferred agency must be accompanied by documentation or confirmation that the trip actually took place. Acceptable documentation will vary from case to case, but may include, for example, a hotel receipt, restaurant receipt, or receipts for other business expenses demonstrating that the Traveler reached his or her destination.

Airfare that is booked outside of the University's contracted and preferred agency is not eligible for business class travel. If a traveler chooses business class travel for a flight booked through channels other than the University's contracted and preferred agency, the traveler will be reimbursed at the lowest reasonable coach class airfare.

Travelers using a federal grant to fund their travel must be in compliance with the Fly America Act and Open Skies Agreement. The Office of Vice President for Research, Sponsored Program Services may be contacted for more information regarding compliance with the Fly America Act and Open Skies Agreement.

To be reimbursed for special arrangements that involve extra expenses, travelers must document that the total cost to the University is lower by providing economic justification with the Concur expense report and attaching supporting documentation of their calculations (e.g., copies of airfare quotations from the travel agency when the travel was booked).

By requesting payment or reimbursement for airfare expenses, each traveler certifies that he or she has made best efforts to choose the lowest commercial fare available, giving due consideration for nonmonetary factors such as practicality, safety, scheduling and any need for special accommodations. Payment or reimbursement amounts may be subject to additional review by departments and administrative offices, subject to the final review and

approval by Accounts Payable.

2d. BAGGAGE FEES

If there is a charge for checked baggage, the cost for the first two bags checked will be reimbursed. Additional baggage fees will only be reimbursed if the traveler provides an appropriate written business justification for the excess baggage.

Procedure

Travelers should attach receipts or documentation, if applicable, for baggage fees, specifically detailing the traveler's name on the Concur expense report.

2e. UNUSED TICKETS

The University will not reimburse a traveler for an unused ticket purchased by the traveler.

Procedure

If the traveler purchased a ticket using the University's contracted and preferred agency, who billed the University, then the traveler's department should contact the agency to determine if the ticket is reusable. If the ticket is reusable, the department will need to track the ticket and use it for future business travel. If the ticket is refundable, the agency will apply the credit to the University's procurement-card number on file. Tickets purchased by the University remain University property, and may not be used for personal purposes.

Unused tickets may not be charged to sponsored awards unless the tickets are reused, and such reuse inures to the benefit of the same sponsored award.

2f. CHARTER AIR TRAVEL FOR OFFICIAL TRAVEL PARTIES

Under limited circumstances, University staff and students may use a charter airplane when it supports University business, if approved by the President or his or her authorized designee.

Procedure

Departments and Divisions that want to charter air travel must perform a comparative analysis with commercial air travel and obtain the President's or his or her designee's approval prior to requesting a chartered flight. The request for charter air travel must include a justification statement, the Official Travel Party roster, the number of additional people traveling, and their affiliation with the University.

If approved, University Business Services will conduct a competitive bid process. The selection of a charter airplane must reasonably align with the size of the official travel party, taking into account the travel distance, necessary cargo space or capacity, and the available aircraft for the date and location. In the event that the seating capacity of the airplane significantly exceeds the size of the travel party, the Department or Division must provide a clear explanation.

The requesting Department or Division must provide all documentation of the rationale for using this mode of travel, the approval obtained, and the procedure followed to secure the charter to University Business Services.

PART 3: GROUND TRAVEL

3a. GROUND VERSUS AIR TRAVEL

A traveler may use ground transportation (vehicle or rail) for personal reasons, even if air travel is a more time-effective mode of transportation. Under these circumstances, the cost for the ground transportation, meals and lodging, parking, mileage, tolls, taxis, and ferries may be reimbursed as long as these costs do not exceed the cost of airfare, based on the lowest reasonable commercial fare available from a standard commercial air carrier, plus transportation costs to and from the airport.

Procedure

To obtain reimbursement for ground transportation when air travel is more time-effective (taking into consideration additional time requirements of air travel such transportation to and from airports, parking, and connections), travelers must document the cost comparisons of ground travel versus air travel using itineraries from University's contracted and preferred agency, and include the documentation on Concur's expense report.

3b. RAIL TRAVEL

The University will pay for or reimburse rail travel in accordance with this policy, even if air travel is a more time-effective mode of transportation. Business class accommodations are available for rail journeys longer than four hours. Travelers will not receive reimbursement for first class travel rates.

Procedure

Travelers should book rail travel independently. Travelers who book rail travel independently should attach all original and applicable receipts, tickets and itineraries to the Concur expense report.

3c. STATE-OWNED VEHICLES

If the University issues an employee or department a state-owned vehicle, the employee or department should use the state-owned vehicle for in-state business travel whenever possible. Charges for tolls and parking are reimbursable when using a State-owned vehicle. Further Information is available from the UConn Motor Pool: <https://fo.uconn.edu/departments/motor-pool/>. Also, the Connecticut Department of Administrative Services provides a "Policy for Motor Vehicles Used for State Business": <https://portal.ct.gov/-/media/DAS/Fleet-Operations/DAS-GL-115.pdf?la=en>.

3d. PERSONALLY OWNED VEHICLES

When a department authorizes an employee to use his or her personal vehicle for University business, the University will reimburse the employee at an established mileage rate, which is periodically updated and located on the University Business Services website. Travelers will be reimbursed for trip related tolls and parking.

Travelers will not receive reimbursement for travel from their homes to their official duty stations, such as commuting expenses, unless provided under the applicable collective bargaining agreement. In those limited cases where commuting expenses are reimbursed, the tax laws require that the reimbursement be treated as taxable income to the employee, and the University will withhold the appropriate taxes.

For the purposes of this policy, the term “official duty station” has the same meaning as his or her “tax home,” as that term is used in IRS authorities related to travel expenses. Generally, an individual’s official duty station is his or her regular office or post of duty. If an individual has more than one office or post of duty at the University, then the official duty station of that individual is generally the location at which the individual spends the most time.

When an employee’s official duty station is not a physical location at the University, or if the employee’s official duty station has changed from its original location, the employee may be eligible for travel reimbursements based on his or her official duty station if he or she first provides documentation, such as an employment letter or signed memorandum from his or her Department. The documentation must be received by Accounts Payable before the reimbursement may be processed.

Travelers will not receive travel reimbursement for participation in events that are not related to their University work or activities.

Consistent with requirements of Connecticut State Agencies, it is the responsibility of the traveler to maintain automobile insurance in the minimum amounts of \$50,000/\$100,000 (third party liability) and \$25,000 (property damage liability), if using his or her personal vehicle for business purposes.

Unless permitted by collective bargaining agreements or exception to policy, travelers will not receive reimbursement for travel on the Storrs Campus, between the Storrs and Depot Campuses, between the Storrs campus and local buildings in which University employees are housed (e.g., 28 Professional Park), or between the Storrs campus and Storrs Center.

Procedure

If an employee uses his or her personal vehicle for business travel, the employee should calculate the mileage sought to be reimbursed by deducting their normal commuting mileage from the total mileage of their trip. The trip mileage should start from home and end at home.

3e. RENTAL VEHICLES

Travelers may rent vehicles from the preferred vendors (currently, Enterprise and National) for domestic travel when needed for the business trip, at the discretion of each traveler’s reporting line and/or funding source.

The size and class of the rented vehicle should be reasonable and appropriate for the number of intended passengers. Travelers should only rent vehicles in the following classes: economy, compact, intermediate, standard, full size, mini-van, cargo van, and pick-up truck. Travelers may not rent vehicles in the following classes without justification and approval from the appropriate Department Head, Director, or Dean and subject to review and approval from Accounts Payable: premium, luxury, small sport utility, or large sport utility.

University-contracted rental rates for domestic travel already include the following insurances: collision, third party liability, and property damage liability insurances. This coverage is required, and is available at an additional charge for international renters and young renters. The University will not pay for or reimburse the traveler for additional insurance. However, additional charges for GPS and roadside assistance may be reimbursed at the discretion of the Department Head, Director, or Dean subject to final review and approval by Accounts Payable. Travelers are encouraged to obtain the authorization of their respective departments prior to incurring such additional charges.

Travelers are responsible for returning the vehicle with a full tank of fuel to avoid surcharges. Travelers may not be reimbursed for the fuel service option, whereby a renter agrees to pay for a full tank of gas at a set price instead of returning the vehicle with a full tank of gas.

The University will not pay for penalties, fines, fees, or extra cost options not discussed above.

When a rental vehicle is needed for international travel, the University's preferred agencies (currently, Enterprise and National) should be utilized to obtain the best rates. For international travel, it is recommended that travelers maintain the minimum coverages for insurance through the rental company.

All efforts to secure the preferred vendor pricing for University guests are to be made by the host department. When a guest uses a non-preferred vendor at a higher cost, a justification must be documented. In these cases, Accounts Payable may require approval from the appropriate Department Head, Director, or Dean.

Procedure

Reservations using the University's preferred rental car vendors (currently Enterprise and National) can be secured by using the University's preferred and contracted agency.

Travelers who do not reserve their vehicle rentals through the University's contracted and preferred agency are still eligible for reimbursement of their vehicle rental expenses; provided that the expenses do not exceed the preferred vendor's comparable cost. Travelers who rent a vehicle for University business should still use the University-contracted agencies (currently, Enterprise and National), unless a competitor is less expensive. If the traveler chooses a competitor, the traveler must justify the selection with documentation of the cost savings, including a comparison of comparable rentals from the University-contracted agencies (including insurance costs), when submitting the Concur expense report. When a non-preferred vendor is selected on the basis of cost savings, the traveler should maintain the required minimum insurance coverage (\$50,000/\$100,000 third party liability and \$5,000 property damage liability, or the closest coverage available). Travelers are personally responsible for any costs associated with not carrying the minimum required insurance coverage.

Note that, in all cases, travelers will be required to present a credit card and driver's license when picking up their vehicles.

All rental car reimbursement requests must be submitted with an itemized paid receipt. Credit card receipts, by themselves, are not sufficient for reimbursement.

PART 4: LODGING

When overnight accommodations are required to conduct University business, reimbursement for lodging will be the actual cost of lodging at the lowest reasonable cost available, as determined by the trip's circumstances.

4a. OUT-OF-STATE LODGING

Overnight accommodations of less than 30 consecutive nights.

Such lodging may be reserved by following the procedure section below. Any lodging expense that exceeds the federal per diem lodging rate by more than fifty percent (excluding taxes) requires the approval of the Department Head, Director, or Dean, subject to final review and approval by Accounts Payable. Federal per diem lodging rate can be found at www.gsa.gov/perdiem.

Exception for certain conference lodging expenses: rates for lodging provided through a conference may exceed the federal per diem rate by more than fifty percent (excluding taxes), without Department Head, Director, or Dean approval. Travelers are encouraged to reserve conference lodging as early as practicable, and before any lodging discount offered to conference participants expires.

Overnight accommodations of 30 consecutive nights or more.

In order to secure the most cost-effective long term accommodations, when University business requires lodging for 30 consecutive nights or more, the traveler is strongly encouraged to work with the Procurement Services Department to arrange these long term accommodations. Procurement Services will make efforts to secure long term accommodations with meal preparation facilities, which will allow the traveler the option to purchase groceries and prepare meals, generating meal cost savings.

Procedure

Overnight accommodations of less than 30 consecutive nights.

Travelers and travel arrangers are encouraged, but not obligated, to reserve lodging through the University's contracted and preferred agency, as a number of discounted rates are available. When special conference or event lodging rates are available, special rate information must be provided to the University's contracted and preferred agency at the time of booking.

Travelers must justify lodging expenses that exceed the federal per diem lodging rate by more than fifty percent by destination and obtain approval from their Department Head, Director, or Dean.

Travelers are encouraged to ask providers of lodging for discounts provided to governmental employees.

Federal per diem lodging rates are available through the University Business Services Website or from the GSA at www.gsa.gov/perdiem.

Overnight accommodations of 30 consecutive nights or more.

Travelers are strongly encouraged to work with the Procurement Services Department to arrange accommodations.

4b. IN-STATE LODGING

Subject to prior approval from the appropriate Department Head, Director, or Dean, travelers on University business may reserve in-state lodging when the distance they are required to travel is more than 75 miles, one-way, from both their official duty station and their personal residence. For example, if the traveler's destination is less than 75 miles from his or her personal residence, the traveler may not reserve in-state lodging even if the mileage from the traveler's official duty station is more than 75 miles away.

Travelers may reserve in-state lodging when attending a conference within the State of Connecticut, regardless of distance from official duty station, provided the applicable procedures to attend the conference were followed.

In-state lodging may be reserved by following the procedures applicable to out-of-state lodging included in Section 4a.

4c. USE OF LOCAL HOTELS

The University recognizes that, from time to time, it may be important and in the best interests of the University to provide on campus or local lodging to certain individuals. UConn encourages the use of contracted preferred hotels in the local area.

University Business Guests, Candidates, Recruits and Affiliates

Lodging at local hotels can be provided to University business guests, such as candidates, recruits and affiliates.

University Employees

In rare circumstances, a University employee may require local lodging (within 10 miles of Storrs or assigned duty station) in order to carry out his or her duties as a University employee. In these cases, the employee must receive prior approval for the stay from the Office of the President, the Provost, the Executive Vice President for Administration and Chief Financial Officer, or Athletic Director, based on the reporting relationship of the employee. Please note such lodging will generally be taxable to the University employee.

4d. GROUP LODGING

"Group lodging" is defined, for the purposes of this policy, as the booking of 10 rooms or more for a specified University travel need.

Group lodging having a total cost of \$10,000 or more must be arranged through University Business Services. Business units arranging group travel in excess of \$10,000 are also required to solicit competing bids from a minimum of three providers in the proximity of the travel destination. For all other group lodging arrangements, business units are still urged, but not required, to contact the Procurement Services Department for assistance.

Procedure

Group Lodging (under \$10,000): Travelers should contact the University's contracted and preferred agency directly via phone for assistance with booking needs. If personal funds are not used, then the request must be processed through the Procurement Services Department as a purchase order. Even if not required, Procurement's expertise should be utilized for group hotel contracts consisting of 10 rooms even if under \$10,000.

Group Lodging (over \$10,000): Group lodging in excess of \$10,000 requires a purchase order to be processed through the Procurement Services Department. A minimum of three written quotes must be secured directly from the hotels or from the University's contracted and preferred agency. These quotes must itemize all foreseeable group expenses for the group's stay and related functions. If the unit does not select the lowest bidder, or if three properties are not available, the unit must provide a written explanation to justify the choice of lodging. The unit must process a requisition and attach the justification and relevant documentation with the contract. The Procurement Services Department will issue a purchase order upon full compliance.

PART 5: MEALS

5a. MEALS FOR UNCLASSIFIED EMPLOYEES (WHILE TRAVELING)

Travelers belonging to one or more of the groups below may claim reimbursement for the actual cost of their meals incurred while traveling on University business (substantiated with original receipts) or they may claim reimbursement up to the meal per diem rates published by the GSA / U.S. Department of State. Deans of Schools and Colleges and other administrative leaders (e.g., President, Provost, Vice Presidents, Vice Provosts, or Athletic Director) may set restrictions on per diem reimbursements that are lower than those specified in this policy, which they should document and communicate to travelers in their units. Meals or per diems for travelers related to sponsored research will be reimbursed in line with the funding agency's guidelines or their approved budgets. Travelers requesting reimbursement for meals using the per diem method should not use their University Travel Card to purchase meals.

- AAUP
- UCPEA
- Managerial and Confidential NP Plan
- Managerial and Confidential
- UConn Non-Represented (Dining Services)
- Special Payroll Employees
- Students

The maximum reimbursement for actual meal costs, including tax and tips, over the course of travel may not exceed the meal per diem applicable to the course of travel, based on the meal per diem rates published by the GSA / U.S. Department of State (Please note that GSA and U.S. Department of State published per diem rates include both meal and incidental components.). In calculating the meal per diem applicable to the course of travel, the first and last day of travel will be 75% of the daily meal per diem.

Additionally, if a meal was provided, the meal per diem applicable to the course of travel is reduced by the per diem applicable to the meal provided (see Section 5d below).

Travelers must use one method of reimbursement for the entire trip. The total reimbursement for actual meal costs over the course of travel may not exceed the amount that would have been reimbursable based on daily per diem rates over the same time period.

The provisions and procedures of this section also apply generally to athletic team travel. However, due to the special nutritional needs of the University's athletes and the demands of their training and schedules, additional meals or per diems for student athletes, if made in accordance with NCAA rules and limits, are allowable under this policy without the need for an exception or higher level approval.

Procedure

Reimbursement of actuals:

Submit actuals on the Concur expense report along with the related receipts. Receipts are required for meals of \$50 or greater purchased on a University Travel Card or \$25 or greater if purchased by means other than University Travel Card. See Section 5d below for procedures regarding meals that are provided to the traveler.

Submission of meal per diems:

Submit a Concur expense report and choose meal per diem within the report.

5b. MEALS FOR CLASSIFIED EMPLOYEES (WHILE TRAVELING)

By statute, employees, through their legally designated representatives, have the right to bargain with the State concerning travel expenses. Accordingly, some collective bargaining contracts may contain provisions that vary from the reimbursement policies contained herein. For such cases, collective bargaining contracts take precedence. For more information about what travel rules apply under what circumstances, travelers should contact Human Resources. Travel reimbursement rates for employees in P-2, NP-5, NP-3 and NP-2 bargaining units are found within each current bargaining unit contract at: <https://hr.uconn.edu/labor-contracts-unions/>.

Travelers in the above-referenced categories may claim reimbursement up to the amount defined in the appropriate bargaining unit contract, less any meals provided and/or any amount funded directly by the collective bargaining unit.

All expenses are only eligible for reimbursement based upon funding. Departments are still allowed to request that travelers hand in receipts in order to limit expenses.

Procedure

See Section 5a above for procedures for claiming meal reimbursements.

5c. MEAL EXPENSES FOR SINGLE-DAY TRAVEL (NO OVERNIGHT)

Unless otherwise provided under Collective Bargaining Agreements, travelers who are required to travel for University business without an overnight stay may be eligible for meal reimbursement if the traveler is away from his or her home and official duty station for more than ten hours and the reimbursement requests are occasional and non-routine. Consistent with IRS guidance, such reimbursements will be treated as taxable income to the employee and will be included in the employee's W-2 wages.

Travelers seeking reimbursement of actual meal costs must submit receipts for meals of \$50 or greater purchased on a University Travel Card or \$25 or greater if purchased by means other than University Travel Card. Travelers seeking reimbursement of meal per diem do not need to submit meal receipts.

In these instances, the actual meal expense or per diem cannot exceed 75% of the GSA per diem rate in effect for the destination of travel.

5d. CONFERENCE AND OTHER MEALS PROVIDED

All travel related to conferences (also called seminars, workshops, retreats, conventions, etc.) must have itineraries/brochures attached to the expense report relating to the conference. At the time of pre-approval, travelers must provide a description of the conference details, including dates and subject matter of conference. If available, a conference brochure should be attached. Conferences frequently provide meals to attendees as part of the registration fee. As discussed below, the traveler is generally not entitled to a meal per diem allowance or actuals for meals that are provided as part of the registration fee. The same is true for any meetings or group travel event in which a meal is provided or where the traveler has been included on a list of attendees for a business expense, or where meals are provided complimentary as part of the transportation or hotel accommodation. See section 5a above.

Procedure

When completing the Concur expense report, travelers who attend conferences or other functions must indicate which meals were provided to them, if any. A traveler should note, however, that he or she may be reimbursed for meals that were offered, but not accepted for reasons such as:

- The traveler has a special dietary need or restriction, including religious;
- The traveler had to attend a business meeting during the time that the meal was offered; or
- The meal was not an open event, and the traveler was not invited.

5e. BUSINESS MEALS

From time to time, it is necessary to the interests of the University to host or provide meals to University guests such as job candidates, visiting scholars or donors. Likewise, a meal may be an essential or important part of an event, such as a conference or workshop, which is conducted by the University. All meals, other than those provided to an individual travelling on University business, must qualify as a “business meal” under the requirements and procedures of this section, in order to be provided or reimbursed by the University.

As a public agency, the University has an obligation to students, taxpayers and benefactors to use all of its funds as prudently as possible. Therefore, all employees and individuals with authority to request, control or approve University funds, including but not limited to travelers, shall use their best judgment in applying those funds towards business meals only when justified with a business purpose and a clearly identifiable benefit to the University. The act of requesting, using or approving a business meal constitutes an individual’s official determination that, to the best of such individual’s knowledge, the expense was actually incurred, is justified by a business purpose, and serves the best interests of the University.

The University’s policy of paying or reimbursing for business meals is subject to the following general rules:

1. University employees are generally responsible for paying for their own meals when they are not traveling.
2. University funds may not be used for meals at social functions, such as parties or summer outings, attended entirely or primarily by University employees and/or their personal guests.
3. The number of University-affiliated persons (employees and spouses, where appropriate) attending business events (including meals) with University guests should be limited to those essential to the University’s business
4. University funds may not be used to purchase alcoholic beverages.
5. In most cases, business meals may not be charged to sponsored awards (the responsible OVPR, SPS Grant Manager may provide more information or grant exceptions where appropriate). See section 1h.

See also the University’s policy re: “Use of University Funds for Gifts, Social Functions, Sponsorships & Donations.”

Meals between faculty/staff and students, while allowable when there is a business purpose, should be infrequent. In addition, Departments may purchase group business meals when a group meal is essential to the effectiveness and efficiency of the meeting. This is especially the case when multiple Departments are called together for a substantial meeting, or when stopping the meeting to allow employees to leave for a normal meal would be disruptive and inconvenient for the University.

For the avoidance of doubt, this policy shall extend fully to business meals that are paid for by interdepartmental transactions, such as meals purchased through Dining Services.

While University Business Services does not require written pre-approval for business meals, University staff are strongly encouraged to obtain written departmental approval before incurring any business meal expenses. Individuals who incur business meal expenses without the approval of their departments bear the risk that their expenses will not be reimbursed.

For all business meals, including group meals, organizers should limit attendance to essential guests only. Without proper justification, the University will not reimburse expenses for spouses, partners or non-essential guests. Further, the number of University-affiliated persons (employees and spouses, where appropriate) attending business events (including meals) with University guests should be limited to those essential to the University's business. Under no circumstances may the cost of the meal for each guest (including taxes and tip) exceed three times the appropriate GSA or U.S. Department of State Per Diem meal amount for the location. It is recommended that the most senior-ranking UConn employee in attendance pay for the business meal.

For purposes of this policy, business meals shall not include refreshments, such as snacks or nonalcoholic beverages, which are made available to guests outside of the context of a meal. Such refreshments may be provided in appropriate business contexts, provided that the cost of providing refreshments, when combined with any meals served, is less than the applicable GSA or U.S. Department of State meal rate (inclusive of incidental costs, such as set up, delivery, and service charges). For example, refreshments provided before a morning meeting at the Storrs campus cannot exceed the allowed breakfast per diem expense. Refreshment transactions must also be justified by a business purpose and require the attendee list, and per person breakdown before the costs may be paid or reimbursed.

Note that the provisions in this section shall not be construed as to supersede the provisions of any collective bargaining agreement.

Procedure

As with any business expense, the Concur expense report for business meal reimbursements must document the date, place, and business purpose of the meal, as well as the individuals in attendance and each individual's affiliation to UConn.

All catering needs requiring a deposit and/or an agreement/contract must be submitted to Procurement Services on a requisition. Catering needs under \$2,500 may be submitted on a Disbursement Voucher.

Departments with the ability to control or request Foundation funds are encouraged to consider use of these funds as the primary reimbursement method to cover the expense of business meals. If Foundation funds are to be used and the expense is permissible and reimbursable under University policy, these expenses can be submitted through Concur on an expense report using the appropriate 6 ledger Foundation account. If the expense is not permissible and reimbursable under the University's policy (e.g., it includes alcohol) then Concur cannot be used and the expense will have to be submitted directly to the Foundation for reimbursement.

5f. GRATUITIES

Meals

Reimbursement for actual meal gratuities will be limited to 20% of the pre-tax meal cost.

Incidental expenses

Travelers using the actual expense method will be reimbursed for incidental expenses (gratuities for baggage handling, maid service, etc.) not to exceed the published GSA or U.S. Department of State Incidental Expense per diem amount.

Other Gratuities

Gratuities for other travel services, including but not limited to taxis and rideshare, are reimbursable at a rate not to exceed 20% of the pre-tax cost of the service.

PART 6: OTHER EXPENSES

6a. REGISTRATION FEES

Travelers may use University funds to pre-pay conference registration fees. Alternatively, travelers may be reimbursed for registration fees. Travelers are encouraged to reserve conference as early as practicable and in time to secure any early booking discounts.

Sometimes meals, hotel accommodations, or additional fees for other goods and services may be included in a registration fee. Travelers will not be reimbursed separately for these—that is, travelers will not be reimbursed twice for the same thing. See Sections 5d and 5e for further details on meals provided with conference registration and business meals.

Procedure

Travelers may use the following methods to pay registration fees:

- 1) Payment with University Travel Card (Preferred Method)
- 2) Payment with University Procurement Card
- 3) Personal Payment
- 4) If no other options are available, the Department can submit a DV in HuskyBuy to prepay the registration fees.

Regardless of how registration fees are paid, travelers must attach applicable receipts and conference agendas to the Concur expense report in order to be reimbursed. If other fees, meals, hotel accommodations, or other goods and services are included in the registration fee, the documentation should reflect this. If a program or schedule is not available, then the traveler may submit a simple written statement indicating whether and to what extent such additional goods or services were provided as part of the conference.

6b. TELEPHONE, INTERNET, COMPUTER, AND FACSIMILE CHARGES WHILE TRAVELING

Charges for telephone calls made for University business while traveling are reimbursable, unless the employee has a University issued cell phone or cell phone stipend that includes a voice tier option.

Travelers may receive reimbursement for charges for internet access, use of a fax machine, use of a computer, and other similar business services while traveling on University business. Translation charges are also eligible for reimbursement, provided however, that the traveler must additionally provide a documentation establishing the charges as having actually been paid (e.g., a credit card statement).

Procedure

Travelers should submit documentation and receipts for expenses incurred for these services with Concur expense report. When available, individual call destination and rates must be supplied with only business related calls claimed for reimbursement. For international travel, flat rate of data, text, and voice is charged by many service providers. The full amount is eligible for reimbursement, prorated by any personal travel during the trip.

6c. FOREIGN CURRENCY RATES

Travelers will be reimbursed for expenses paid in foreign currencies, and for currency exchange fees, based on the exchange rate for the date marked on their receipts.

Procedure

Concur will translate certain foreign currencies as part of the expense report.

For currencies not converted by Concur, travelers may account for currency conversion in one of two ways:

1. Converting each receipt to U.S. dollars using the exchange rate for the date marked on their receipts.
 2. Including the transaction detail that documents the actual U.S. dollar amount charged from a debit or credit account statement.
-

6d. PRESCRIPTIONS AND MEDICAL EXPENSES FOR INTERNATIONAL TRAVEL

When preparing to travel internationally on University business, travelers are eligible to be reimbursed for any out-of-pocket medical expenses related to the trip such as prescriptions, vaccinations, medical co-pays or additional insurance costs.

Procedure

Travelers should submit documentation and receipts for expenses incurred for these services with the Concur expense report.

ATTACHMENT 11

April 29, 2020

TO: Members of the Board of Trustees

FROM: John A. Elliott, Ph.D.
Interim Provost and Executive Vice President for Academic Affairs

RE: Academic Program Inventory

BACKGROUND:

The Office of Higher Education maintains an inventory of approved academic programs offered by public and independent colleges and universities in Connecticut. Those listings are available to the general public through the Office's web site and provide the most accurate up-to-date information about programs of study in our state.

The information on the Inventory of Approved Academic programs is an important resource and is used to convey educational information to a broad range of constituencies, both in Connecticut and across the country. Additionally, in order for veterans to receive their earned educational benefits, they must be enrolled in a program that is accredited.

The following non-substantive changes and updates are provided to the Board for informational purposes.

Non-Substantive Changes and Updates

- Addition of American Sign Language (ASL) to the Neag School of Education World Languages Education Integrated Bachelor's/Master's (IBM) Teacher Education Program and to the World Languages Education Teacher Certification Program for College Graduates (TCPCG).
- Change in CIP code for the MA and PhD in Human Development and Family Sciences from 19.0704 (Family Systems) to 19.0701 (Human Development and Family Studies, General).
- Addition of concentrations to the MS in Business Analytics and Project Management (MSBAPM). New concentrations consist of; Marketing Analytics Concentration, Actuarial Science Concentration, Talent Analytics Concentration, and Health Care Analytics Concentration.

ATTACHMENT 12

THE UNIVERSITY OF CONNECTICUT CONSULTING PROGRAM FY 2019 ANNUAL REPORT

SUMMARY

FY 19 (July 1, 2018 – June 30, 2019) represented the eleventh full year of operation of the University’s consulting program that was implemented in mid FY 08. The policies and procedures remained materially the same throughout the year. In the first quarter, the primary administrative staff of both the UConn and UConn Health faculty consulting offices were filled by interim help with permanent staff¹ being hired and trained in the second quarter. In the 3rd quarter, Dr. John Elliot was appointed interim Provost.

The Faculty Consulting Offices (FCOs) continue to maintain close working relationships with the Office of the Vice President for Research, the Office of Research Compliance, and the newly convened Clinical Conflict of Interest Committee at UConn Health. Both FCOs now regularly receive updates on the establishment of new faculty affiliated companies. In addition to standard language in offer letters, the UConn Health Human Resources Department now sends each new incoming faculty member a letter from the FCO regarding the program, the need to obtain prior approval, and an offer to provide support before the first day of employment. The Storrs FCO presents information at the twice-yearly new Department Head and Dean orientations, and participates twice a year on a panel to provide information for current Deans and Department Heads about the consulting program.

A review of the information technology support for the On-line Faculty Consulting Approval System (OFCAS) was completed. The engine of the system is IBM Forms Builder. UConn has re-invested in maintaining and upgrading this system, has expanded its use to many other applications, and plans to develop more applications with it. Therefore, the long-term viability of OFCAS is secure.

As mandated by legislation, the Faculty Consulting Oversight Committee (including a member of the Citizen’s Ethics Advisory Board and members appointed by the Legislature) filed its tenth annual report with the UConn Board of Trustees and to the Legislature in the winter of 2019. This was a positive report. The Oversight Committee continues to monitor all audit reports related to the program and will issue its eleventh report in the winter of 2020.

CONSULTING MANAGEMENT COMMITTEE

The Consulting Management Committee (CMC) had an active year. It discussed consulting that involves activities related to corporate responsibility (not as yet resolved) and consulting related to faculty serving as witnesses in cases against the State of Connecticut government or defendants being represented by attorneys working for the State (recommendations pending the Provost’s final approval.)

¹ Ashley Vrabley at Storrs and Elizabeth Morrison in Farmington.

The CMC also discussed three issues raised by the auditors (see the Audit Findings section of this report.) It continued to review the audit reports and monitor the implementation of management plans.

PERFORMANCE NUMBERS: STORRS+ CAMPUS

The Faculty Consulting Office (FCO) on the Storrs+ Campus received 1,135 consulting forms from 455 individuals or 30% of those eligible to consult² (Table 1). Of these, 1,053 were approved (84.7% of the total), 14 (1.2%) were denied, 51 were withdrawn (4.5%), and 17 were “stuck” in the OFCAS system at stages below the FCO (1.5%). In FY 18, the FCO received 1,014 requests from 468 individuals with 97.2% approved, <1% denied, and 2.2% withdrawn.

All data were analyzed using the information submitted on the FY19 Annual Reconciliation Report. Reconciliation reports have been received from all individuals who engaged in consulting activities. Seven individuals (18 requests) left employment with UConn-Storrs+ and did not complete a report.

Of the 1,053 approved activities, 961 occurred (91.3%) and 74 (7.0%) were not performed. The remaining 18 (1.7%) requests were not reconciled, due to employees who left UConn employment. The Storrs+ FCO issued first-offense verbal or written sanctions to 35 faculty members. Of these, sanctions were issues for failure to submit, late submission, unanticipated compensation, and starting consultation activity prior to receiving approval. There were no known cases of other non-compliance with the consulting policy.

The mean amount of time spent consulting during normal work time was 2.13 days. No faculty members reported exceeding the Provost’s recommended maximum of an average of one day per week during normal work hours (39 days for a nine-month appointment). Seventeen faculty members (3.7% of those who consulted) indicated on their reconciliation reports (18 unique activities) that they used more time during the normal work hours than originally estimated (Table 2). The maximum number of additional days was 18 with a mean of 3.8 days.

PERFORMANCE NUMBERS: UCONN HEALTH CAMPUS

The FCO on the UConn Health Campus received 838 consulting requests from 217 individuals (Table 3). Of these, 794 were approved (94.7% of the total), 27 (3.2%) were withdrawn or system errors, and 17 (2.0%) were denied. In FY 18 the FCO received 761 requests from 201 individuals with 93.4% approved and 3.7% denied.

Reconciliation reports were received on time from all those who engaged in consulting activities and who remained on the payroll when reconciliation reports were due. Several individuals left employment with UConn Health and therefore 28 approved activities did not have reconciliation reports.

² The total number eligible faculty was obtained from the UConn 2019 Fact Sheet.

Of the 794 approved activities, 684 occurred and were reconciled (86.1%). There were 186 unique faculty members who performed at least one consulting activity during the fiscal year. The mean amount of time spent consulting normal work time per faculty member was 3.7 days with a maximum of 58.0 days and a median of 2.0 days. The UConn Health FCO issued first-offense verbal or written sanctions to 13 faculty members. Of these, all of the “offenses” were for late submissions or unanticipated compensation. It was determined that all of these requests would have been approved if submitted on time.

Five faculty members used more time during the normal work hours than originally estimated (Table 4). Based on our pre-established threshold of more than 1 day, no action was taken against these faculty members. Faculty using more than one authorized day are reported to their department chairman for appropriate action.

AUDIT FINDINGS

By State statute, the consulting program must be audited by the internal audit office of each constituent unit which is currently the Office of Audit and Management Advisory Services. The original audit schedule was twice a year, but starting in FY 13 the requirement was revised to once each year³.

Within the thirteenth audit covering the period of time from July 1, 2016 – June 30, 2017 (FY 17)⁴ the Provost agreed to the following actions:

- a) Management agreed to reconsider its policy and practices regarding the total amount of time the faculty can devote to consulting.
- b) Management agreed to reconsider its policy and practices related to whether management-exempt faculty on both campuses should be treated the same as each other or as the non-management exempt faculty.
- c) Management agreed to consider how to best prevent full-time clinical faculty from being non-compliant with the ban in the University’s By-Laws prohibiting them from engaging in private practice.

During FY 19, the CMC deliberated on each of the three issues listed above and made its recommendations to the Provost. These recommendations and the Provost’s decisions were presented at the December 18, 2018 meeting of the Board of Trustees Joint Audit and Compliance Committee. To summarize this meeting and subsequent actions:

- a) The CMC recommended that no numeric cap be applied to the total amount of time the faculty can devote to consulting. Rather, that standard should be whether the faculty member has been fully discharging his or her duties (as documented in part in annual performance ratings) and the judgement of the department head level approver

³ All finalized audit reports, FCO annual reports, and Oversight Committee annual reports are posted on the University’s consulting web site: <https://consulting.uconn.edu/>

⁴ This audit was issued on June 6, 2018.

that given the added work of the proposed consulting activity being considered for approval, the faculty member would be able to continue to fully discharge his/her duties. This recommendation applied to all campuses.

While the Provost agreed to these recommendations, he didn't want to come to a final decision until the appointment of the new Vice Provost for Health Sciences that was currently being recruited. The recruitment was targeted for completion in the spring of 2019. Due to a State Auditor's finding⁵ that will be presented to the JACC in the spring of 2020, the CMC will be reconsidering its recommendations on this matter during the winter of 2020.

- b) The CMC recommended that management-exempt faculty on both campuses be treated the same and that no requirement be imposed that they must use vacation time to consult. Similar to the recommendation above, any limitation on management-exempt faculty should be based on their demonstrated ability to fully discharge their duties and not a pre-established cap or need to use vacation days. This concept requires a reliable and valid annual evaluation takes place. Due to a State Auditor's finding⁵ that will be presented to the JACC in the spring of 2020, the CMC will be reconsidering its recommendations on this matter during the winter of 2020.
- c) Based on a review by and recommendations from the Office of the General Counsel, the CMC believes that no additional steps need to be taken regarding the faculty's participation in private practice type activities. Steps had already been taken to enhance the training of new faculty and chairs and an additional letter from the UConn Health Faculty Consulting Office to incoming clinical faculty is now being sent which highlights this issue. This finding concerns a prohibition in the University's By-Laws banning full-time faculty from engaging in private practice. The definition of private practice that has been used since 2007 was re-affirmed as well as the threshold of when this prohibition doesn't apply (95% employment or less.)

The fourteenth audit, covering the period of time from July 1, 2017 - June 30, 2018 (FY 18) was issued on June 19, 2019. The auditors' overall conclusions were that the University is in compliance with CGS 1-84(r) and the University's Policy on Consulting. The auditors also concluded that the FY 18 Annual Report of the Faculty Consulting Office was materially correct.

The auditors identified 65 requests at Storrs+ and 1 request at UConn Health which appeared to be in violation of the consulting policy but in which no entry was made in the sanctions log. While the FCOs contest some of these requests as being violations and in many other cases the appropriate sanction (verbal warning) had taken place but not been recorded, they agreed to the Auditor's recommendations⁶: 1) a common set of standard internal operating procedures was developed for identifying and handling non-compliant requests. These were

⁵ One management-exempt faculty member was found to have consulted on a large number of normal work days and did not have an annual evaluation on record. A subsequent sampling found multiple non-faculty management exempt employees in a similar situation.

⁶ These were all implemented prior to the issuance of the final audit report in June 2019.

based on each office's best practices and a copy was sent to the auditors. 2) The offices' would continue using and expand training and reminder activities, some of which had only been in place for part of FY 18. For example, the Office of the Vice President for Research began distributing a list of all new faculty-affiliated companies and the FCOs check to ensure there is an approved consulting request in each case.

The auditors identified a number of situations in which Storrs-based management exempt faculty did not take vacation days when consulting during normal work time or did not correctly list their correct term of appointment (*i.e.*, 9month, 10 month, 11 month.) They recommended enhancing our IT systems to identify such cases in advance and to that end during mid-FY18 the Storrs FCO began receiving reports on faculty appointment terms and the use of vacation by management-exempt faculty. The FCOs will convene a committee in spring 2020 to revise the OFCAS form.

The auditors raised recently stated Federal concerns related to interactions and travel involving foreign entities with a focus on the loss of intellectual property. These complex and evolving issues were unknown to the FCOs and principally concern information about research. The FCO have begun to meet with the Office of Research Compliance to develop a University-wide approach to addressing the Federal concerns. In addition, the FCO has clarified its exception list from the standard three step approval process to ensure additional review takes place for such higher risk activities. This list is now posted on the consulting website.

Other minor concerns were also raised by the auditors and management responses have been implemented including standardizing how the statistics in this report's Performance Numbers sections are calculated.

OPEN PAYMENTS

The Open Payments program mandated by the Federal Affordable Care Act has completed its sixth cycle including the public release in June 2019 of payment information for CY 18. This program requires medical/dental device manufacturers and pharmaceutical companies to report payments made to certain healthcare providers (including physicians and dentists) to the Center for Medicare and Medicaid Services (CMS). The current Open Payments web site is extremely easy to use. To date, no known negative press coverage regarding UConn Health faculty have occurred. The strong collaboration between the Communications Office and the FCO continues and as was needed in the past, we are ready to explain how the faculty consulting program and its oversight mechanisms vigorously monitor and manage possible conflicts of interest.

ISSUES FOR FY 20

- Addressing all previously raised and new audit findings.
- Continued training of the new staff assigned to the Faculty Consulting Offices.

J. Volin
S. Wetstone
January 2, 2020

Table 1 – Storrs+ Requests to Consult

Requests	Total	ATHL	BUS	CAHNR	CLAS	ED	ENG	FA	LAW	NURS	PHARM	SW	Other
#	1135	19	45	102	337	120	119	87	44	37	99	20	106
%	100%	1.7%	4.0%	9.0%	29.7%	10.6%	10.5%	7.7%	3.9%	3.3%	8.7%	1.8%	9.3%
Unique Faculty	Total	ATHL	BUS	CAHNR	CLAS	ED	ENG	FA	LAW	NURS	PHARM	SW	Other
#	457	8	30	41	152	43	55	27	17	16	31	10	27
	100%	1.8%	6.6%	9.0%	33.3%	9.4%	12.0%	5.9%	3.7%	3.5%	6.8%	2.2%	5.9%
Requests/faculty	Total	ATHL	BUS	CAHNR	CLAS	ED	ENG	FA	LAW	NURS	PHARM	SW	Other
mean	2.48	2.38	1.50	2.49	2.22	2.79	2.16	3.22	2.59	2.31	3.19	2.00	3.93
10 or more requests	12	0	0	1	3	1	2	2	0	0	1	0	2

“Other” includes faculty affiliated with the Provost’s Office and the Vice President for Research, including four UConn Health employees.

Table 2 – Storrs+ Reconciliation Report Variances for Time During Normal Work Hours

Effort During Normal Work Days	
# of faculty with extra days	17
% of faculty with extra days	(3.7%)
min	1.00
max	18.0

Table 3 – UConn Health Requests to Consult

Requests	Total	SoDM	SoM
#	838	120	718
%		13.7%	86.3%
Individual faculty	Total	SoDM	SoM
#	217	33	184
%		15.2%	84.8%
Requests/faculty	Total	SoDM	SoM
mean	3.9	3.6	3.9
median	2.0	2.0	2.0
10 or more requests	14	2	12

Table 4 – UConn Health Reconciliation Report Variances for Time During Normal Work Hours

Effort During Normal Work Days	
# of faculty with extra days	5
% of faculty with extra days	(2.3%)
min	0.3
max	1.0

**REPORT ON THE UNIVERSITY OF CONNECTICUT'S
COMPLIANCE WITH CGS 1-84(r)
FACULTY CONSULTING PROGRAM**

February 18, 2020

Report Issued by the Faculty Consulting Oversight Committee

SUMMARY

Pursuant to a change in the Connecticut State Statutes and action by the University of Connecticut Board of Trustees, new policies and procedures for approving consulting activities for the Faculty and members of the AAUP bargaining unit were implemented in December 2007. The Board of Trustees approved the latest revisions to these policies in April 2013.

Faculty Consulting Offices (FCOs) were established in Storrs and at the UCHC that have provided training to those who consult and for those who must participate in the consulting approval process, such as department heads and deans. A University-wide Consulting Management Committee (CMC) was convened to provide recommendations regarding the identification and management of potential Conflicts of Interest arising from consulting activities.

As required by CGS 1-84(r), the Faculty Consulting Oversight Committee was convened including members appointed by the Legislature/Executive branch and from the Citizens' Ethics Advisory Board. This Committee has met on a regular basis to review the implementation of the consulting program and to review the audits of the program conducted by the University's Office of Audit, Compliance and Ethics.

Implementing this program required development of policies, procedures, forms and databases; identification and training of staff; and awareness and training of faculty, department heads, and deans. The Office of Audit, Compliance and Ethics has carried out annual (and previously semiannual) audits as required and, as expected, have identified areas in which clarifications and improvements have been recommended. The University addresses each of the issues raised in a timely and appropriate manner.

The Faculty Consulting Oversight Committee has determined that the University of Connecticut is complying with CGS 1-84(r). The oversight required by the Act, including the Faculty Consulting Oversight Committee itself and the audits, has and should continue to enable ongoing review and improvement of the program.

The Oversight Committee has no explicit recommendations for improvement of the program over the next year, and has concluded that the University has made an ongoing and serious effort to implement improvements. While the Committee is aware of a pending State audit finding regarding consulting, it is satisfied this finding concerns the case of a single faculty member out of 674 who consult and the issue is being appropriately addressed by the University.

To date, the program, with its policies, procedures, and implementation, have resulted in a system that pro-actively identifies and manages potential conflicts of interest. Any individual who does not

participate with the program is subject to sanctions by the University and may also be subject to additional sanctions by the Office of State Ethics.

BACKGROUND

Public Act (PA) 07-166 (Section 12)¹, approved on June 19, 2007, created a carve-out from the portion of the State Ethics Code dealing with consulting. Participating in appropriate consulting activities is viewed as being mutually beneficial for the University and its faculty and the intent of the Act is to enable such activities.

This carve-out applies to faculty and members of the faculty bargaining unit (herein fore referenced as “faculty”) of a constituent unit of the State system of higher education. In the context of the Act, “consulting” represents situations in which faculty are compensated for services rendered while not acting as a State employee. The request to consult must be based on the faculty member’s expertise in a field or prominence in such field and not due to the State position held. Faculty must receive prior approval before such consulting begins. No other State agency requires prior approval or any such mandated disclosure of outside employment activities.

The Act transfers final authority for approval of such activities to the University and it allows management plans to be implemented for addressing perceived conflicts of interest. Specifically, the legislation allowed these individuals to enter into a consulting agreement with a public or private entity, provided such agreement or project does not conflict with the individual’s employment as determined by policies established by the Board of Trustees for such constituent unit.

This carve-out from the State Ethics Code is predicated on a set of requirements being met including significant institutional oversight. The University of Connecticut Board of Trustees (BOT) approved the University’s “Policy on Consulting for Faculty and Members of the Faculty Bargaining Unit,” and reviewed the operational procedures for implementation, on September 25, 2007. The Policy and Procedures define a consistent set of rules for consulting for all the faculty of the University. These documents have been reviewed and revised by the BOT several times since 2007 in order to make improvements to the program.²

The new consulting system became fully operational on December 15, 2007. Since that time, both the Storrs Campus and UConn Health campus have each established a Faculty Consulting Office (FCO) with reporting lines and staffing. Usage data systems have been developed by each FCO. Further, both campuses have developed on-line and live training programs.

Requests to consult must be reviewed and approved by each faculty member’s department head, dean, and the provost’s designees (one for each campus). A subset of consulting activities with very low risk of conflict of interest are eligible for an accelerated approval process that only requires the approval of the department head.

Consulting may not negatively impact the faculty member’s ability to fully and satisfactorily address his/her assigned job duties. At the end of the fiscal year, each faculty member must submit a

¹ See <http://consulting.uconn.edu/state-statutes/>

² The policy and procedures governing consulting may be found at: <http://consulting.uconn.edu/consulting-policies-procedures/>

reconciliation report indicating variances from the requested time spent consulting during the normal work time and confirming any and all appropriate reimbursements for use of University resources, if any.

If a faculty member does not adhere to the provisions described in the Act or the University's Consulting Policy and Procedures, the Office of State Ethics will retain jurisdiction over the activity and have the responsibility for determining whether it complies with the State Code of Ethics and whether sanctions should be imposed. Violations of the University's Consulting Policy and Procedures will in addition be subject to sanctions issued by the University which may result in termination.

CONSULTING MANAGEMENT COMMITTEE (CMC)

As required by the implementation procedures, a University-wide Consulting Management Committee (CMC) was first convened on December 10, 2007. The CMC is authorized to review and recommend disposition of certain consulting situations that do not have obvious resolution. The CMC also offers input on unforeseen situations put before it that may arise as a result of consulting activities. To date, the CMC provided advice to the directors of the Faculty Consulting Offices on an ad hoc basis, and has also reviewed eleven general situations resulting in formal position papers. For example, based on one position paper, participation in promotional presentations with pharmaceutical companies has been banned.³

AUDITS

As required in CGS 1-84(r), the University's Office of Audit, Compliance and Ethics has conducted audits on the University's faculty consulting program⁴. Per standard practice, management has had the opportunity to provide responses to each audit finding and recommendation. These audits are reviewed by the Joint Audit and Compliance Committee of the Board of Trustees as well as by the Faculty Consulting Oversight Committee.⁵

FACULTY CONSULTING OVERSIGHT COMMITTEE

As required in CGS 1-84(r), the Faculty Consulting Oversight committee meets on an on-going basis in order to review the University's compliance with CGS 1-84(r), and to file annual reports regarding such compliance with the University's Board of Trustees and to the Legislature. This document is the eighth of such reports.⁶ The Committee's responsibility is to ensure that the University complies with the provisions of the Act and the University's policies and procedures on consulting. It may also make recommendation for improvements to the consulting program.

The membership of the Faculty Consulting Oversight Committee is:

³ These position papers and the minutes of the CMC may be found at <http://consulting.uconn.edu/consulting-management-committee/actions/>

⁴ Initially, audits were required twice a year but the legislature changed this to a once a year audit schedule starting in FY 12.

⁵ Copies of past audits may be found at: <http://consulting.uconn.edu/reports-and-audits/>

⁶ Past reports may be found at: <http://consulting.uconn.edu/reports-and-audits/>

Name	Background
Cobb, C.	Professor, School of Education
Dennis-Lavigne, A	Member, Board of Trustees
Chiusano, C.	Citizen's Ethics Advisory Board Member
Fox, K.	Professor Emerita, School of Business
Freedman, J. (chair)	Former Legislator
Krisst, I.	Former UConn Administrator
Nair, S	Professor, School of Business
Siegle, D	Professor, School of Education

The Committee last met on January 28, 2020, and reviewed and approved this annual report by email on February 27, 2020. It has reviewed the FY 18 final internal audit report and the FY 2019 annual report of the Faculty Consulting Offices (attached). The former was presented at the Joint Audit and Compliance Committee of the University's Board of the Trustees and the latter will be reviewed at an upcoming meeting of the Board of Trustees along with this report from the Oversight Committee.

The Committee believes the program was effectively initiated and through on-going revisions has been improved. In fact, in many regards the program is more rigorous than how consulting is handled for other State employees not covered by CGS 1-84(r), especially through the requirement for approval prior to the consulting activities taking place.

The audits have led to improvements to the Consulting Program including revisions to the consulting request form, enhancements to the training program, improving the clarity and predictability of decision making, and assuring sufficient information is available to inform the decision making of the approvers. The University has developed an on-line request form/approval process that became operational in FY 12 and has been revised in FY 13, FY 14 and FY 15. This system has successfully addressed its objectives. All of these actions should ensure complete compliance with CGS 1-84(r).

The initial implementation of the consulting program, both in terms of logistics and compliance, has met initial expectations. There have been areas of different interpretations regarding the requirements of CGS 1-84(r) and the University's Policy and Procedures. The audit processes in place appear to be working to identify such areas and to report them to senior management and to the Faculty Consulting Oversight Committee. Management has demonstrated its willingness to address the audit findings in a timely manner. Management appears to be keenly aware of the need to fully comply with CGS 1-84(r).

The Committee takes note that number and severity of audit findings has decreased over time and this demonstrates management's commitment to operate the program optimally and in full compliance with the Legislature's intent for the program. The Committee also takes note that the two Faculty Consulting Offices (Storrs/regional campuses and at UConn Health) work together exceedingly well and thus present a consistent and reliable approach to consulting across all the units of the University.

The Oversight Committee did not issue recommendations for improvement for FY 20.

VOLUME OF CONSULTING ACTIVITIES

In FY 19, the eleventh full year of operations, the Faculty Consulting Office on the Storrs Campus received 1,135 requests to consult from 455 individuals. The UConn Health office received 838 requests from 217 individuals. Both campuses had a 100% response rate for those individuals required to complete reconciliation reports. The FY 19 annual report of the University's Faculty Consulting Program is attached.

ATTACHMENT 13

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2020**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Loneke Blackman Carr	Assistant Professor	Nutritional Sciences	College of Agriculture, Health & Natural Resources
Robert Fahey	Assistant Professor	Natural Resources and the Environment	College of Agriculture, Health & Natural Resources
Breno Fragomeni	Assistant Professor	Animal Science	College of Agriculture, Health & Natural Resources
Steven Harrison	Assistant Professor	Kinesiology	College of Agriculture, Health & Natural Resources
Oh Sung Kwon	Assistant Professor	Kinesiology	College of Agriculture, Health & Natural Resources
Beth Lawrence	Assistant Professor	Natural Resources and the Environment	College of Agriculture, Health & Natural Resources
Dong-Hun Lee	Assistant Professor	Pathobiology and Veterinary Science	College of Agriculture, Health & Natural Resources
Sungmin Lee	Assistant Professor	Plant Science and Landscape Architecture	College of Agriculture, Health & Natural Resources
Yangchao Luo	Assistant Professor	Nutritional Sciences	College of Agriculture, Health & Natural Resources
Jeanne McCaffery	Associate Professor	Allied Health Sciences	College of Agriculture, Health & Natural Resources
Neha Mishra	Assistant Professor	Pathobiology and Veterinary Science	College of Agriculture, Health & Natural Resources
Sohyun Park	Assistant Professor	Plant Science and Landscape Architecture	College of Agriculture, Health & Natural Resources
Sudha Srinivasan	Assistant Professor	Kinesiology	College of Agriculture, Health & Natural Resources
Sandro Steinbach	Assistant Professor	Agricultural and Resource Economics	College of Agriculture, Health & Natural Resources
Kristen Cooksey Stowers	Assistant Professor	Allied Health Sciences	College of Agriculture, Health & Natural Resources
Beth Taylor	Associate Professor	Kinesiology	College of Agriculture, Health & Natural Resources
Abhinav Upadhyay	Assistant Professor	Animal Science	College of Agriculture, Health & Natural Resources
Ran Xu	Assistant Professor	Allied Health Sciences	College of Agriculture, Health & Natural Resources
Zhe Zhu	Assistant Professor	Natural Resources and the Environment	College of Agriculture, Health & Natural Resources
Hang Bai	Assistant Professor	Finance	School of Business
Miao Bai	Assistant Professor	Operations and Information Management	School of Business
Weining Bao	Assistant Professor	Marketing	School of Business
Stephen Brown	Assistant Professor	Accounting	School of Business
Carlos Cardonha	Assistant Professor	Operations and Information Management	School of Business
Wei Chen	Assistant Professor	Accounting	School of Business
Sandra Corredor	Assistant Professor	Management	School of Business
Ugochukwu Etudo	Assistant Professor	Operations and Information Management	School of Business
Shu He	Assistant Professor	Operations and Information Management	School of Business
Kelly Herd	Assistant Professor	Marketing	School of Business
Stefan Hock	Assistant Professor	Marketing	School of Business
Alina Lerman	Assistant Professor	Accounting	School of Business
Chen Liang	Assistant Professor	Operations and Information Management	School of Business
Jing Liu	Assistant Professor	Finance	School of Business

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2020**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Jose Martinez	Assistant Professor	Finance	School of Business
Francis Murphy	Assistant Professor	Accounting	School of Business
Shane Murphy	Assistant Professor	Finance	School of Business
Kyoungjo Oh	Assistant Professor	Management	School of Business
Jing Peng	Assistant Professor	Operations and Information Management	School of Business
Cinthia Saturnino	Assistant Professor	Marketing	School of Business
Jaideep Shenoy	Assistant Professor	Finance	School of Business
Anh Tran	Assistant Professor	Finance	School of Business
Steven Utke	Assistant Professor	Accounting	School of Business
Tara Wakil	Assistant Professor	Accounting	School of Business
Alexander Van de Minne	Assistant Professor	Finance	School of Business
Lingling Wang	Assistant Professor	Finance	School of Business
Nina Xu	Assistant Professor	Accounting	School of Business
Niam Yaraghi	Assistant Professor	Operations and Information Management	School of Business
Ying Zhou	Assistant Professor	Accounting	School of Business
Milagros Castillo-Montoya	Assistant Professor	Educational Leadership	Neag School of Education
Clewiston Challenger	Assistant Professor	Educational Psychology	Neag School of Education
Danielle Filipiak	Assistant Professor	Curriculum and Instruction	Neag School of Education
Glenn Mitoma	Assistant Professor	Curriculum and Instruction	Neag School of Education
H. Kenny Nienhusser	Assistant Professor	Educational Leadership	Neag School of Education
Grace Player	Assistant Professor	Curriculum and Instruction	Neag School of Education
Derek Aguiar	Assistant Professor	Computer Science & Engineering	School of Engineering
Wendy S. Vanden Berg-Foels	Assistant Professor	Biomedical Engineering	School of Engineering
Osama Bilal	Assistant Professor	Mechanical Engineering	School of Engineering
Necmi Biyikli	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Kelly A. Burke	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Francesco Carbone	Assistant Professor	Mechanical Engineering	School of Engineering
Yupeng Chen	Associate Professor	Biomedical Engineering	School of Engineering
Caiwen Ding	Assistant Professor	Computer Science & Engineering	School of Engineering
Abhishek Dutta	Assistant Professor	Electrical & Computer Engineering	School of Engineering
Bin Feng	Assistant Professor	Biomedical Engineering	School of Engineering
Lesley Frame	Assistant Professor	Materials Science & Engineering	School of Engineering

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2020**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Benjamin Fuller	Assistant Professor	Computer Science & Engineering	School of Engineering
Martin Han	Associate Professor	Biomedical Engineering	School of Engineering
Suining He	Assistant Professor	Computer Science & Engineering	School of Engineering
Jasna Jankovic	Assistant Professor	Materials Science & Engineering	School of Engineering
Walter Krawec	Assistant Professor	Computer Science & Engineering	School of Engineering
Ying Li	Assistant Professor	Mechanical Engineering	School of Engineering
Georgios Matheou	Assistant Professor	Mechanical Engineering	School of Engineering
Malaquias Pena Mendez	Associate Professor	Civil & Environmental Engineering	School of Engineering
Fei Miao	Assistant Professor	Computer Science & Engineering	School of Engineering
Kristin Morgan	Assistant Professor	Biomedical Engineering	School of Engineering
Sheida Nabavi	Assistant Professor	Computer Science & Engineering	School of Engineering
Thanh Nguyen	Assistant Professor	Mechanical Engineering	School of Engineering
Syam Nukavarapu	Associate Professor	Biomedical Engineering	School of Engineering
Volkan Ortalan	Associate Professor	Materials Science & Engineering	School of Engineering
Alexei Poludnenko	Associate Professor	Mechanical Engineering	School of Engineering
Stefan Schaffoener	Assistant Professor	Materials Science & Engineering	School of Engineering
Sina Shahbazmohamadi	Assistant Professor	Biomedical Engineering	School of Engineering
Matthew Stuber	Assistant Professor	Chemical & Biomolecular Engineering	School of Engineering
Anna Tarakanova	Assistant Professor	Mechanical Engineering	School of Engineering
Hongyi Xu	Assistant Professor	Mechanical Engineering	School of Engineering
Qian Yang	Assistant Professor	Computer Science & Engineering	School of Engineering
Dianyun Zhang	Assistant Professor	Mechanical Engineering	School of Engineering
Xinyu Zhao	Assistant Professor	Mechanical Engineering	School of Engineering
Jin Zhu	Assistant Professor	Civil & Environmental Engineering	School of Engineering
Yuanyuan Zhu	Assistant Professor	Materials Science & Engineering	School of Engineering
Elizabeth Athens	Assistant Professor	Art and Art History	School of Fine Arts
Christina Bullard	Assistant Professor	Dramatic Arts	School of Fine Arts
Clarissa Ceglio	Assistant Professor	Digital Media & Design	School of Fine Arts
Douglas Degges	Assistant Professor	Art and Art History	School of Fine Arts
Julie Foh	Assistant Professor	Dramatic Arts	School of Fine Arts
Oscar Guerra	Assistant Professor	Digital Media & Design	School of Fine Arts
Steve Harper	Assistant Professor	Digital Media & Design	School of Fine Arts
Sue Huang	Assistant Professor	Digital Media & Design	School of Fine Arts

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2020**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Heejoo Kim	Assistant Professor	Digital Media & Design	School of Fine Arts
Emily Larned	Assistant Professor	Art and Art History	School of Fine Arts
Charles Lawry	Assistant Professor	Digital Media & Design	School of Fine Arts
Erik Lawson	Assistant Professor	Dramatic Arts	School of Fine Arts
Anna Lindemann	Assistant Professor	Digital Media & Design	School of Fine Arts
Kathryn Moore	Assistant Professor	Art and Art History	School of Fine Arts
Vu Nguyen	Assistant Professor	Music	School of Fine Arts
Samantha Olschan	Assistant Professor	Digital Media & Design	School of Fine Arts
Tanju Ozdemir	Assistant Professor	Digital Media & Design	School of Fine Arts
Joel Salisbury	Assistant Professor	Digital Media & Design	School of Fine Arts
Christopher Sancomb	Assistant Professor	Art and Art History	School of Fine Arts
Jennifer Scapetis-Tyler	Assistant Professor	Dramatic Arts	School of Fine Arts
Sophie Shao	Assistant Professor	Music	School of Fine Arts
Andre Smith	Assistant Professor	Music	School of Fine Arts
Alexander Woodward	Assistant Professor	Dramatic Arts	School of Fine Arts
Kiel Brennan-Marquez	Associate Professor		School of Law
John Cogan	Associate Professor		School of Law
Miguel de Figueiredo	Associate Professor		School of Law
Jamelia Morgan	Associate Professor		School of Law
Jessica de Perio Wittman	Associate Professor		School of Law
Emma Amador	Assistant Professor	History	College of Liberal Arts and Sciences
Daniel Angles-Alcazar	Assistant Professor	Physics	College of Liberal Arts and Sciences
Robert Bagchi	Assistant Professor	Ecology and Evolutionary Biology	College of Liberal Arts and Sciences
Cara Battersby	Assistant Professor	Physics	College of Liberal Arts and Sciences
Meina Cai	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Nicola Carpentieri	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts and Sciences
Andrea Celli	Assistant Professor	Literatures, Cultures & Languages	College of Liberal Arts and Sciences
Xiang Chen	Assistant Professor	Geography	College of Liberal Arts and Sciences
Leighton Core	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts and Sciences
Amanda Crawford	Assistant Professor	Journalism	College of Liberal Arts and Sciences
Debanuj DasGupta	Assistant Professor	Geography	College of Liberal Arts and Sciences
Shardé Davis	Assistant Professor	Communication	College of Liberal Arts and Sciences

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2020**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Ran Feng	Assistant Professor	Geosciences	College of Liberal Arts and Sciences
Julie Gillingham Fosdick	Assistant Professor	Geosciences	College of Liberal Arts and Sciences
Lendra Friesen	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts and Sciences
Aurel Mihai Fulger	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Dexter Gabriel	Assistant Professor	History	College of Liberal Arts and Sciences
Carlos Garcia-Robledo	Assistant Professor	Ecology & Evolutionary Biology	College of Liberal Arts and Sciences
Adrian Garcia-Sierra	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts and Sciences
Yuwen Gu	Assistant Professor	Statistics	College of Liberal Arts and Sciences
Aoife Heaslip	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts and Sciences
Sara Hird	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts and Sciences
J. Nathan Hohman	Assistant Professor	Chemistry	College of Liberal Arts and Sciences
Jungbin Hwang	Assistant Professor	Economics	College of Liberal Arts and Sciences
Luchang Jin	Assistant Professor	Physics	College of Liberal Arts and Sciences
Yusun Kim	Assistant Professor	Public Policy	College of Liberal Arts and Sciences
Sarah Knutie	Assistant Professor	Ecology & Evolutionary Biology	College of Liberal Arts and Sciences
Ariel Lambe	Assistant Professor	History	College of Liberal Arts and Sciences
Maria LaRusso	Assistant Professor	Human Development and Family Sciences	College of Liberal Arts and Sciences
Sean Li	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Caitlin Lombardi	Assistant Professor	Human Development and Family Sciences	College of Liberal Arts and Sciences
Robert Lupton	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Tomoyasu Mani	Assistant Professor	Chemistry	College of Liberal Arts and Sciences
Catherine Matassa	Assistant Professor	Marine Sciences	College of Liberal Arts and Sciences
Joseph McAlhany	Assistant Professor	History	College of Liberal Arts and Sciences
Daniel McCarron	Assistant Professor	Physics	College of Liberal Arts and Sciences
Karen Menuz	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts and Sciences
Chiara Mingarelli	Assistant Professor	Physics	College of Liberal Arts and Sciences
Yonatan Morse	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Jennifer Mozeiko	Assistant Professor	Speech, Language, and Hearing Sciences	College of Liberal Arts and Sciences
Christin Munsch	Assistant Professor	Sociology	College of Liberal Arts and Sciences
Melanie Newport	Assistant Professor	History	College of Liberal Arts and Sciences
Linnaea Ostroff	Assistant Professor	Physiology and Neurobiology	College of Liberal Arts and Sciences
Alexandra Paxton	Assistant Professor	Psychological Sciences	College of Liberal Arts and Sciences
Evan Perkoski	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Rebecca Quardokus	Assistant Professor	Chemistry	College of Liberal Arts and Sciences

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2020**

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Patricia Ritter	Assistant Professor	Economics	College of Liberal Arts and Sciences
Jessica Rouge	Assistant Professor	Chemistry	College of Liberal Arts and Sciences
Debapriya Sarkar	Assistant Professor	English	College of Liberal Arts and Sciences
Adam Sheya	Assistant Professor	Psychological Sciences	College of Liberal Arts and Sciences
Fumilayo Showers	Assistant Professor	Sociology	College of Liberal Arts and Sciences
Samantha Siedlecki	Assistant Professor	Marine Sciences	College of Liberal Arts and Sciences
Sara Silverstein	Assistant Professor	History	College of Liberal Arts and Sciences
Ilya Sochnikov	Assistant Professor	Physics	College of Liberal Arts and Sciences
Adrian Stegovec	Assistant Professor	Linguistics	College of Liberal Arts and Sciences
Sumarga Suanda	Assistant Professor	Psychological Sciences	College of Liberal Arts and Sciences
Clay Tabor	Assistant Professor	Geosciences	College of Liberal Arts and Sciences
Nu-Anh Tran	Assistant Professor	History	College of Liberal Arts and Sciences
Jonathan Trump	Assistant Professor	Physics	College of Liberal Arts and Sciences
Gael Ung	Assistant Professor	Chemistry	College of Liberal Arts and Sciences
Scott Wallace	Associate Professor	Journalism	College of Liberal Arts and Sciences
HaiYing Wang	Assistant Professor	Statistics	College of Liberal Arts and Sciences
Ryan Watson	Assistant Professor	Human Development and Family Sciences	College of Liberal Arts and Sciences
Jill Wegrzyn	Assistant Professor	Ecology and Evolutionary Biology	College of Liberal Arts and Sciences
Simon White	Assistant Professor	Molecular and Cell Biology	College of Liberal Arts and Sciences
Ling Xiao	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Eiling Yee	Assistant Professor	Psychological Sciences	College of Liberal Arts and Sciences
Hind Ahmed Zaki	Assistant Professor	Political Science	College of Liberal Arts and Sciences
Yao Zheng	Assistant Professor	Statistics	College of Liberal Arts and Sciences
Bin Zou	Assistant Professor	Mathematics	College of Liberal Arts and Sciences
Ruth Lucas	Assistant Professor		School of Nursing
Louise Reagan	Assistant Professor		School of Nursing
Wanli Xu	Assistant Professor		School of Nursing
Raman Bahal	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
Stephanie Gernant	Assistant Professor	Pharmacy Practice	School of Pharmacy
Adrian Hernandez	Associate Professor	Pharmacy Practice	School of Pharmacy
Na Li	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
Gregory Sartor	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy

**University of Connecticut Office of the Provost
Tenure Track Reappointments
Presented to the Board of Trustees for Information – April 29, 2020**


NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE
Caitlin Elsaesser	Assistant Professor		School of Social Work
Megan Feely	Assistant Professor		School of Social Work
Margaret Lloyd	Assistant Professor		School of Social Work
Nathanael Okpych	Assistant Professor		School of Social Work
Rupal Parekh	Assistant Professor		School of Social Work

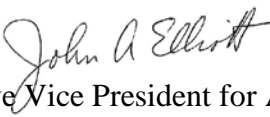
ATTACHMENT 14



April 29, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

John A. Elliott 
Interim Provost and Executive Vice President for Academic Affairs

RE: Deferral of Fiscal Year 2021 Self-Supporting Program Fees for the University of Connecticut, Storrs and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve the deferral of fee increases for certain self-supporting programs for one year.

RESOLUTION:

“Be it resolved, that the University shall defer for one year the implementation of the fee schedule for self-supporting programs approved by the Board of Trustees on December 11, 2019.”


BACKGROUND:

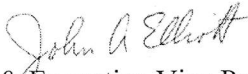
The University offers a number of professional programs through the Schools of Business, Law, and Nursing. Fees are set for these programs at market rate. The programs are self-supporting, that is, the fee revenue is sufficient to pay the expenses associated with each program. On December 11, 2019, the Board of Trustees approved the attached fee schedule for these programs that increased fees for Fiscal Year 2021, commensurate with the market. In the current COVID-19 crisis, the market for these programs has likely changed. The University administration recommends that the Board allow the deferral of implementation of the fee schedule for one year to allow a reassessment of the market before implementing fee increases.



December 11, 2019

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

John A. Elliott 
Interim Provost & Executive Vice President for Academic Affairs

RE: Fiscal Year 2021 Self-Supporting Program Fees for the University of Connecticut, Storrs and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a schedule of specific academic program and course fee adjustments effective beginning Fiscal Year 2021.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the schedule of specific academic program and course fee adjustments effective Fiscal Year 2021”

BACKGROUND:

Academic Program fees include specific program or course fees and are approved by a committee led by the Provost's Office. Academic Program fees are applied to all students within a specific program. The majority of program fees are from self-supporting programs that are at the graduate level.

See attached for the summary of recommended rates adjustments and rational by academic program.

University of Connecticut (Storrs & Regional Campuses)
Summary of Recommended Adjustments to Academic Program Fees
Fiscal Year 2021

Rates are Per Credit Hour	FY2020	FY2021 Proposed	Dollar Increase
<u>School of Business</u>			
Part Time MBA	\$975	\$1,050	\$75
MS in Business Analytics & Project Management	\$975	\$1,050	\$75
MS in Human Resource Management	\$975	\$1,050	\$75
MS Financial Risk Management	\$1,425	\$1,500	\$75
MS in Accounting	\$875	\$900	\$25
Certificates in Accounting Fundamentals and Accounting Analytics	\$875	\$900	\$25
Other Certificates	\$975	\$1,050	\$75
<u>School of Nursing</u>			
Certificate Entry in Nursing BS (a)	\$810	\$867	\$57
<u>School of Law</u>			
LL.M. Programs	\$1,215	\$1,276	\$61

(a) CEIN BS program rates begin January 2021

University of Connecticut (Storrs & Regional Campuses)
Summary of Recommended Adjustments to Academic Program Fees
Fiscal Year 2021

FEE BASED PROGRAMS

School of Business

MS in Accounting

Accounting Fundamentals Certificate

Advanced Business Certificate in Accounting Analytics

Recommendation: To approve a fee increase from \$875/credit to \$900/credit (2.9%).

Justification: An increase in program fees is necessary to deliver quality programs and offset reductions in university funding. Direct expenses to deliver programs are increasing to improve the quality of teaching faculty, enhance programmatic support, enhance marketing and recruitment efforts, and hire skilled faculty and staff. The proposed increases result in program fees that remain competitive with peer institutions.

MS in Financial Risk Management

Recommendation: To approve a fee increase from \$1,425/credit to \$1,500/credit (5.3%).

Justification: An increase in program fees is necessary to deliver quality programs and offset reductions in university funding. This program has a higher cost to deliver because it uses industry specialists to supplement classroom learning with real-world projects. Direct expenses to deliver programs are increasing to improve the quality of teaching faculty, enhance programmatic support, enhance marketing and recruitment efforts, and hire skilled faculty and staff. The proposed increases result in program fees that remain competitive with peer institutions.

Part-time MBA

MS in Business Analytics & Project Management

MS in Human Resource Management

All Other Graduate Certificates

Recommendation: To approve a fee increase from \$975/credit to \$1,050/credit (7.7%).

Justification: An increase in program fees is necessary to deliver quality programs and offset reductions in university funding. Direct expenses to deliver programs are increasing to improve the quality of teaching faculty, enhance programmatic support, enhance marketing and recruitment efforts, and hire skilled faculty and staff. The proposed increases result in program fees that remain competitive with peer institutions.

School of Law

LL.M. – U.S. Legal Studies, Energy & Environmental Law, Human Rights & Social Justice Law, and Executive LLM

Recommendation: To approve a fee increase from \$1,215/credit to \$1,276/credit (5%).

Justification: The proposed fee increase covers increases in personnel costs to administer the program, additional programming costs such as marketing and recruitment, and supports student scholarships. The total program fee remains a competitive price as compared to peers.

School of Nursing

Certificate Entry into Nursing BS Program Fee

Recommendation: To approve an increase of 7% to the CEIN_BS program fee. This would result in a total program fee increase from \$34,650 to \$39,000 for the cohort entering in January 2021. The proposed fee equates to \$867 per credit.


Justification: The cost to administer this program continues to increase due to increasing salaries for regular and adjunct faculty salaries. Clinical agencies are now requiring clinical group faculty/student ratios to move from 1:8 to 1:6, increasing the number of faculty needed to administer the program. Additional programming expenses are required to meet compliance requirements.

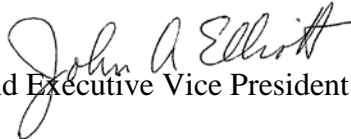
ATTACHMENT 15



April 29, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

John A. Elliott 
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Academic and Research Facilities – STEM Research Center Science 1 (Final: \$220,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$220,000,000, as detailed in the attached project budget, for Academic and Research Facilities – STEM Research Center Science 1 project, for Construction. The project will house cutting-edge materials science research facilities and core labs, including a clean room. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$220,000,000 in UCONN 2000 bond funds for the Academic and Research Facilities – STEM Research Center Science 1 project, in accordance with the statutory funding schedule for Next Generation Connecticut as amended.”

BACKGROUND:

The Board of Trustees approved the Master Plan on February 25, 2015 that provides a vision and a series of development guidelines for new construction on the Storrs campus and specifically for the advancement of the area known as the Northwest Science Quad District. The District will be the location for the expansion of UConn’s research enterprise providing an exciting opportunity to plan and design new buildings and infrastructure in support of new STEM research and teaching facilities in a distinct campus quadrangle. The new Science Quad district will be built in phases on the large area of undeveloped land located within a five-minute walk to the core of central campus. The first funded project is Academic and Research Facilities – STEM Research Center Science 1, an approximately 200,000 GSF research facility that will be designed to meet

both the current and future programmatic requirements of the University of Connecticut as it seeks to balance the anticipated rise in student enrollment in STEM programs with future research needs.

The Board approved an initial expenditure amount of \$1,000,000 in November 2013 for the planning and feasibility analysis of the STEM Research Center Science 1. The Board approved an amended amount of \$5,000,000 in June 2016 and \$15,000,000 in September 2017 for the planning and design of the STEM Research Center Science 1. The current resolution for \$220,000,000 includes the prior authorizations.

The Academic and Research Facilities – STEM Research Center Science 1 is currently in the Bidding/Negotiation Phase. Planning began in Winter 2015, conceptual design was completed in May 2017 and construction documents were bid in Fall 2019. Construction is anticipated to begin in Spring 2020 and to be complete in Fall 2022. Note that as a result of the COVID-19 pandemic, and in consultation with the Construction Manager, State government, and the Department of Administrative Services, this completion date may be adjusted.

The Academic and Research Facilities – STEM Research Center Science 1 project will conform to Connecticut High Performance Building Code regulations and will be registered as a LEED project with a target of LEED Gold.

The estimated total project cost is \$220 million. The Final Budget is based on bids received and reconciled estimates of construction costs prepared by the construction manager. The University intends to issue construction contracts after scope reviews and the development of a Guaranteed Maximum Price.

The project will be constructed utilizing a Project Labor Agreement.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: ACADEMIC AND RESEARCH FACILITIES - STEM RESEARCH CENTER SCIENCE 1

	APPROVED PLANNING 11/13/2013	APPROVED REVISED PLANNING 6/29/2016	APPROVED REVISED PLANNING 9/27/2017	APPROVED DESIGN 6/26/2019	PROPOSED FINAL 4/29/2020
<u>BUDGETED EXPENDITURES</u>					
CONSTRUCTION	\$ -	\$ 200,000	\$ 600,000	\$ 600,000	\$ 155,500,000
DESIGN SERVICES	600,000	3,840,000	11,250,000	11,250,000	16,500,000
TELECOMMUNICATIONS	20,000	-	-	-	1,750,000
FURNITURE, FIXTURES AND EQUIPMENT	20,000	-	-	-	12,000,000
CONSTRUCTION ADMINISTRATION	100,000	300,000	600,000	600,000	4,000,000
OTHER AE SERVICES (including Project Management)	125,000	150,000	850,000	850,000	8,000,000
ART	-	-	-	-	-
RELOCATION	-	-	-	-	3,000,000
ENVIRONMENTAL	-	-	150,000	150,000	1,500,000
INSURANCE AND LEGAL	5,000	7,500	20,000	20,000	50,000
MISCELLANEOUS	-	2,500	30,000	30,000	200,000
OTHER SOFT COSTS	-	-	-	-	-
SUBTOTAL	\$ 870,000	\$ 4,500,000	\$13,500,000	\$13,500,000	\$ 202,500,000
PROJECT CONTINGENCY	130,000	500,000	1,500,000	1,500,000	17,500,000
TOTAL BUDGETED EXPENDITURES	\$ 1,000,000	\$ 5,000,000	\$15,000,000	\$15,000,000	\$ 220,000,000
<u>SOURCE(S) OF FUNDING*</u>					
UCONN 2000 BOND FUNDS	\$ 1,000,000	\$ 5,000,000	\$15,000,000	\$15,000,000	\$ 220,000,000
TOTAL BUDGETED FUNDING	\$ 1,000,000	\$ 5,000,000	\$15,000,000	\$15,000,000	\$ 220,000,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

ACADEMIC AND RESEARCH FACILITIES –
STEM RESEARCH CENTER SCIENCE 1
Project Budget (FINAL)
April 29, 2020



Site Plan



View from the Woodland Walk at the East



North Elevation




South Elevation


ATTACHMENT 16



April 29, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

John A. Elliott 
Interim Provost and Executive Vice President for Academic Affairs

RE: Project Budget for the Northwest Quad – Science 1 – Site Improvements and Tunnel Phase 2 (Final: \$56,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$56,000,000, as detailed in the attached project budget, for the Northwest Quad – Science 1 – Site Improvements and Tunnel Phase 2, for Construction. The project provides a critical infrastructure connection between the aging Central Utility Plant and the new Supplemental Utility Plant, enabling the occupancy of the new STEM Research Center – Science 1 facility. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$56,000,000 in UCONN 2000 Bond funds for Northwest Quad – Science 1 – Site Improvements and Tunnel Phase 2, in accordance with the statutory funding schedule for Next Generation Connecticut as amended.”

BACKGROUND:

The Board of Trustees approved the Master Plan on February 25, 2015 that provides a vision and a series of development guidelines for new construction on the Storrs campus and specifically for the advancement of the area known as the Northwest Science Quad District. The District will be the location for the expansion of UConn’s research enterprise of buildings and infrastructure in support of new STEM research and teaching facilities in a distinct campus quadrangle. Northwest Quad Science 1 – Site Improvements and Tunnel Phase 2 consist of a series of enabling projects that support the new quad development. They include a Utility Tunnel Extension from the existing Gant tunnel, the direct burial of utilities for connections to the campus loop, stormwater management with a woodland corridor extension from the Gant

complex, a new surface parking lot, and improvements to King Hill and Hillside Road. These projects were designed concurrently with the STEM Research Center – Science 1 project.

The Board approved an initial expenditure amount of \$1,000,000 in February 2018 for planning and a feasibility analysis. The Board approved an amended amount of \$7,500,000 in June 2018 for planning and design. The current resolution for \$56,000,000 includes the prior authorizations.

The Northwest Quad – Science 1- Site Improvements and Tunnel Phase 2 is currently in the Bidding/Negotiation Phase. Planning began in Spring of 2017 and design was completed in Fall 2019. Construction is anticipated to begin in Spring 2020 and to be complete in Fall 2022. Note that as a result of the COVID-19 pandemic, and in consultation with the Construction Manager, State government, and the Department of Administrative Services, this completion date may be adjusted.

The Northwest Quad – Science 1– Site Improvements and Tunnel Phase 2 project will conform to Connecticut High Performance Building Code regulations and will be registered as a LEED project with a target of LEED Gold and SITES certified.

The estimated total project cost is \$56 million. The Final Budget is based on bids received and reconciled estimates of construction costs prepared by the construction manager. The University intends to issue construction contracts after scope reviews and the development of a Guaranteed Maximum Price.

The project will be constructed under a Project Labor Agreement and under a single Construction Manager Contract with the STEM Research Center – Science 1.

The Final Budget is attached for your information.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: NORTHWEST QUAD - SCIENCE 1 - SITE IMPROVEMENTS AND TUNNEL PHASE 2

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 10/25/2017</u>	<u>APPROVED REVISED PLANNING 2/21/2018</u>	<u>APPROVED DESIGN 6/27/2018</u>	<u>PROPOSED FINAL 4/29/2020</u>
	PRC			
CONSTRUCTION	\$ -	\$ -	\$ 120,000	\$ 41,750,000
DESIGN SERVICES	305,000	775,000	5,200,000	4,200,000
TELECOMMUNICATIONS	-	-	-	500,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	200,000
CONSTRUCTION ADMINISTRATION	-	-	-	800,000
OTHER AE SERVICES (including Project Management)	13,000	50,000	780,000	1,750,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	-	30,000	200,000	700,000
INSURANCE AND LEGAL	2,500	8,000	55,000	50,000
MISCELLANEOUS	2,000	12,000	395,000	300,000
OTHER SOFT COSTS	-	-	-	-
SUBTOTAL	\$ 322,500	\$ 875,000	\$ 6,750,000	\$ 50,250,000
PROJECT CONTINGENCY	27,500	125,000	750,000	5,750,000
TOTAL BUDGETED EXPENDITURES	\$ 350,000	\$ 1,000,000	\$ 7,500,000	\$ 56,000,000
<u>SOURCE(S) OF FUNDING</u>				
UCONN 2000 BOND FUNDS	\$ 350,000	\$ 1,000,000	\$ 7,500,000	\$ 56,000,000
TOTAL BUDGETED FUNDING	\$ 350,000	\$ 1,000,000	\$ 7,500,000	\$ 56,000,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

NORTHWEST QUAD – SCIENCE 1
SITE IMPROVEMENTS AND TUNNEL PHASE 2
Project Budget (FINAL)
April 29, 2020



Site Plan

INFORMATIONAL ITEMS

**University of Connecticut Department of Human Resources
Hires Processed from February 4th, 2020 to April 14th, 2020
Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATE
Abbott, Nathaniel A	UCP 05-Admin Coordinator	Lit, Cultures and Languages	3/27/2020
Anderson, Jason	UCP 06-Computer Prog/Analyst 1	University Libraries	3/13/2020
Bailey, James	UCP 06-Financial Aid Officer	Financial Aid	2/28/2020
Barber, Isaac	UCP 08-Program Director	Student Union	1/31/2020
Bayer, Nina	Research Asst 2	Educational Psychology	4/10/2020
Benoit, Georgina M	UCP 01-Financial Asst 1	Dining Services	2/14/2020
Berman, Marcie	Postdoctoral Research Assoc	InCHIP	2/28/2020
Biron, Lynda	UCP 06-SHS Nurse	Student Health and Wellness	4/10/2020
Boisvert, Eric	UCP 07-Comp Tech Sup Cons 2	Social Work Instruct and Rsrch	2/28/2020
Carcia, Peter F	UCP 03-Program Asst I	English	3/27/2020
Cortez, Amanda D	Postdoctoral Research Assoc	Anthropology	2/28/2020
Dasgupta, Chirasree	UCP 10-Oper Sys Prog/Analyst 4	Nursing-UConn AIMS	3/13/2020
Durodola, Karen	UCP 07-Program Specialist 2	Research Compliance	3/27/2020
Ehrhardt, Amanda R	UCP 04-Admin Serv Specialist 2	Bursar	2/14/2020
Garcia, Nicole	UCP 04-Program Asst 2	Student Activities	2/28/2020
Gehring, Julie A	UCP 06-Program Specialist 1	Werth Institute	3/27/2020
Graham, Thatcher	UCP 09-PROCURE SVCS SR SPEC	Procurement Services	3/13/2020
Gu, Lei	UCP 07-Info Systems Analyst 2	Nursing-UConn AIMS	2/14/2020
Guerrero, Lynn	UCP 06-Grants & Contr Spec 1	Research	3/13/2020
Guo, Rongxian	Postdoctoral Research Assoc	Pathobiology	3/13/2020
King, Heather	UCP 01-Asst Teacher CDL	Human Development Child Labs	1/31/2020
Kodamanchili, Hazel Rashmi	UCP 07-Info Systems Analyst 2	Nursing-UConn AIMS	4/3/2020
Le, Kim Huyen Trang	Postdoctoral Research Assoc	Mechanical Engineering	3/27/2020
Lee, Kevin X	Postdoctoral Research Assoc	Clean Energy Engineering Ctr	2/28/2020
Li, Jiqin	Postdoctoral Research Assoc	Mechanical Engineering	1/31/2020

NAME	TITLE	DEPARTMENT	DATE
Li, Yaoguang	Postdoctoral Research Assoc	Ecology and Evolutionary Bio	1/31/2020
Li, Yifan	Postdoctoral Research Assoc	Clean Energy Engineering Ctr	2/14/2020
Lim, Edward Junhao	UCP 07-University Librarian 2	University Libraries	3/27/2020
Liu, Jia	Postdoctoral Research Assoc	Biomedical Engineering	4/10/2020
Lu, Xingxu	Postdoctoral Research Assoc	Material Science and Engr	2/28/2020
Marcellin, Chereace W	UCP 04-Program Asst 2	CT Entrepreneurship Innov Ctr	4/10/2020
Mariotti, Sharon E	UCP 03-Program Asst I	Mechanical Engineering	2/28/2020
Mccomas, Garrett N	Postdoctoral Research Assoc	University Libraries	2/14/2020
Medina, Kaylea A	UCP 05-Program Coordinator	Nursing	2/14/2020
Menard, Katrina L	UCP 07-Mgr Scientific Coll	Ecology and Evolutionary Bio	2/14/2020
Mensah, Phyllis	UCP 06-Academic Advisor 2	Business Undergrad Programs	2/28/2020
Mohan, Anusha	Research Asst 1	Psychological Sciences	1/31/2020
Mostofa, Md G	Postdoctoral Research Assoc	Physiology and Neurobiology	2/14/2020
Myles, Ella	UCP 06-Grants & Contr Spec 1	Sponsored Programs	3/13/2020
Narapareddy, Raja Sekhar Theja	UCP 09-Sr Comp Prog/Analyst	Enterprise Applications	3/13/2020
Nardone, Laura	UCP 05-Data Base Programmer	CT Small Bus Development Ctr	4/10/2020
Olsen, Zayne P	UCP 01-Financial Asst 1	CLAS Business Services Center	3/27/2020
Pierro, Kathleen M	UCP 03-Program Asst I	Fine Arts	3/27/2020
Pramberger, Sade V	UCP 01-Asst Teacher CDL	Human Development Child Labs	1/31/2020
Prudente, Yvonne R	UCP 07-Fiscal Manager	Fine Arts	4/10/2020
Raffia, Sara H	UCP 06-Administrative Mgr 1	Financial Aid	2/14/2020
Roberts, Anna O	UCP 04-Program Asst 2	Education	1/31/2020
Santasiere, Kristina M	UCP 04-Admin Services Asst 3	Law	2/28/2020
Schell, Baylee N	UCP 05-EH&S Specialist 1	Environmental Hlth and Safety	3/13/2020
Soler, Barbie J	UCP 07-University Accountant 2	Accounting Office	3/27/2020
Sportman, Sarah P	Asst Extension Professor	Museum of Natural History	2/14/2020
Stebbins, Kathryn	UCP 04-Comp Tech Sup Cons 1	Business	3/13/2020
Suhr, Alyssa L	UCP 05-Admin Coordinator	Stamford PMBA	3/13/2020
Teague, Daniel A	UCP 05-Admissions Officer	Admissions	3/13/2020
Wang, Dongliang	Postdoctoral Research Assoc	Materials Science Institute	1/31/2020

**University of Connecticut Department of Human Resources
 Separations Processed from February 4th, 2020 to April 14th, 2020
 Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Abdullah, Ruqayyah	UCP 05-Residence Hall Director	Separation	Residential Life	2/15/2020
Anderson, Elizabeth	UCP 07-Publicity/Mktg Manager	Retirement	Pharmacy	7/1/2019
Baer, Christina S	Postdoctoral Research Assoc	Separation	Ecology and Evolutionary Bio	1/19/2020
Baumann, Zofia A	Asst Research Professor	Separation	Marine Sciences	3/16/2020
Baumgartner, Marybeth E	Postdoctoral Research Assoc	Separation	Physiology and Neurobiology	3/1/2020
Besade, Martha	UCP 03-Program Asst I	Separation	Law	3/17/2020
Billings, Sandra	Assoc Clinical Professor	Retirement	Ed Curriculum and Instruction	3/1/2020
Buske, Karen A	UCP 04-Admin Serv Specialist 2	Death	Pharmacy	2/7/2020
Calandro, Alan P	U Staff Professional 3	Retirement	Exec VP Admin and CFO	3/1/2020
Cavallaro, David M	UCP 05-Residence Hall Director	Separation	Residential Life	3/25/2020
Coia, Julie J	U Ed Asst 3	Separation	President	4/10/2020
Cooke, Thomas J	Professor	Separation	Geography	1/22/2020
Cooper, Monique S	UCP 06-Career Consultant	Separation	Career Development Center	3/6/2020
Duncan, Marvyann M	UCP 06-Admin Services Asst 4	Separation	Social Work Instruct and Rsrch	1/29/2020
Duncan, Stuart P	UCP 07-Program Specialist 2	Separation	Graduate Education	1/18/2020
Dunkley, Fiona N	UCP 04-Admin Serv Specialist 2	Separation	Payroll	3/27/2020
Fiks, Alex	UCP 05-Residence Hall Director	Separation	Residential Life	1/23/2020
Gallo, Robert V	Professor	Retirement	Physiology and Neurobiology	2/1/2020
Gonzalez, Elsie E	Asst Vice President	Separation	Diversity and Inclusion	3/13/2020
Graves-Hoagland, Robin L	University Director	Retirement	Accounting Office	2/1/2020
Gravina, Ashley N	UCP 05-Residence Hall Director	Separation	Residential Life	1/28/2020
Harris, Michael J	UCP 05-Business Services Supv	Separation	CT Education Network ASC	3/6/2020
Harvey, Mark C	Postdoctoral Research Assoc	Separation	Environmental Sci and Engr Ctr	1/18/2020
He, Xi	Postdoctoral Research Assoc	Separation	Agricultural and Resource Econ	2/4/2020
Henderson, Jenna M	UCP 06-Program Administrator	Separation	Pharmacy	3/27/2020
Hu, Boxun	Asst Research Professor	Separation	Clean Energy Engineering Ctr	3/2/2020

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Kim, Kim A	Clinical Instructor	Separation	Nursing Instruct and Research	2/22/2020
Kim, Kyounghae	Asst Professor	Separation	Nursing Instruct and Research	2/28/2020
Kohl, Ellen M	UCP 07-Program Specialist 2	Separation	Tech Comm and Industry Rltns	3/6/2020
Laferrier, Justin Z	Asst Professor	Separation	Kinesiology	2/24/2020
Lane, Rosa M	UCP 07-Administrative Mgr 2	Separation	Law	2/13/2020
Larson, Matthew A	Assoc Vice President	Separation	Procurement Services	1/17/2020
Little, Marquis	UCP 03-Program Asst I	Separation	UConn Recreation	2/14/2020
Liu, Xi	Research Asst 3	Separation	Pharmaceutical Science	2/1/2020
Lopez, Alejandro J	UCP 05-Residence Hall Director	Separation	Residential Life	3/24/2020
Marsden, James R	DISTINGUISHED PROFESSOR	Retirement	Operations and Info Managemen	2/1/2020
Marsh, Angela M	U Ed Asst 2	Separation	Audit and Mgmt Advisory Svcs	2/14/2020
Masson, Kimberly J	UCP 05-Admin Services Spec 3	Separation	Bursar	1/31/2020
Monette, Carolyn	UCP 03-Program Asst I	Separation	UConn Recreation	1/31/2020
Morse, Michelle	UCP 04-Admin Services Asst 3	Separation	Mechanical Engineering	1/25/2020
Nalbandian, Lyndsay	UCP 04-Program Asst 2	Death	Human Rights Institute	2/7/2020
Nevins, Patrick	U Staff Professional 3	Separation	General Counsel	2/1/2020
Overturf, Kathryn L	UCP 05-Admin Coordinator	Separation	UConn Police Services	2/14/2020
Penn, Elinor K	UCP 07-U LIBRARY ASST III	Retirement	University Libraries	2/1/2020
Ray, Sulagna	Postdoctoral Research Assoc	Separation	Marine Sciences	3/16/2020
Riser, David M	Postdoctoral Research Assoc	Separation	Physics	2/21/2020
Rowland, Charles A	UCP 04-Admin Serv Specialist 2	Separation	Bursar	2/14/2020
Shapley, Michael J	UCP 07-Equip/Fac Mgr Ath	Separation	Athletics Equipment Center	3/14/2020
Soucy, Susan M	UCP 05-Admin Coordinator	Retirement	Chem and Biomolecular Engr	3/1/2020
Stanzione, Cecile M	UCP 07-Business Manager 2	Retirement	Fine Arts	3/1/2020
Wane, Robin	UCP 04-Admin Serv Specialist 2	Separation	Accounts Payable	3/21/2020
Wang, Guanhua	Assoc Professor	Retirement	History	2/1/2020
Xiao, Peng	Postdoctoral Research Assoc	Separation	Computer Sci and Engineering	1/19/2020
Zhou, Yifan	Postdoctoral Research Assoc	Separation	Eversource Energy Center	3/2/2020
Zitoun, Heytem	Postdoctoral Research Assoc	Separation	Electrical and Computer Engr	1/18/2020

**University of Connecticut Department of Human Resources
Leaves of Absence Processed through 04/03/20
Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Wood, Sarah	Visiting Assistant Professor	Literature, Cultures and Languages	12/6/2019	5/21/2020	Personal Faculty Leave	Unpaid
Mingarelli, Chiara	Assistant Professor	Physics	8/23/2020	5/22/2021	Research Leave - 50% Simon Foundation/CCA	Unpaid
Montrosse - Moorhead, Bianca	Associate Professor	Educational Psychology	1/22/2020	3/15/2020	Bonding Leave	Unpaid
Billings, Sandra	Associate Clinical Professor	Education Curriculum and Instruction	9/1/2019	2/29/2020	Medical Leave	Unpaid

COMMITTEE AGENDAS

Special Meeting of the
ACADEMIC AFFAIRS COMMITTEE
April 2, 2020 at 2:30 p.m.
University of Connecticut
Conference Call

Conference call in: +1-415-655-0002

Access code: 629 930 823

This meeting will be recorded.

ATTACHMENT:

1. Public Participation (limited to agenda items)

ACTION ITEMS:

2. Approval of the Minutes of the Academic Affairs Committee Meeting of February 26, 2020, as circulated
3. Proposed By-Law Change to Provide Tenure-Track Faculty with a COVID-19 Related Tenure Clock Extension 1

DISCUSSION ITEM:

4. Updates from Interim Provost John Elliott on academic actions related to COVID-19
5. Adjournment

AGENDA

Meeting of the
University of Connecticut
BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE
April 15, 2020

Conference Call In:

415-655-0002

Access code: 647 939 273##

Note that this meeting will be recorded.

Call to order at **10:00 a.m.**

TAB

1) Public Participation

ACTION ITEMS:

2) Approval of the Minutes of the Buildings, Grounds and Environment Committee Meetings of December 4, 2019 and February 25, as circulated 1

PRESENTATION/DISCUSSION ITEMS:

3) Office of Construction Assurance Quarterly Report – March 2020 2
➤ Presenter: James Bradley, Director of Construction Assurance

4) Update on Hospital Space Modification due to COVID 19
➤ Presenter: Thomas Trutter, Vice President for UConn Health Facilities Development & Operations

5) Project Update ~ Storrs Based Programs ~ Program Modifications due to COVID 19 3
➤ Presenter: Laura Cruickshank, Associate Vice President for University Planning, Design and Construction

6) Status of Code Correction Projects 4
▪ Construction Management Oversight Committee Quarterly Code Correction Status Report – Code Exception Report
▪ Quarterly Construction Status Report, Period Ending December 31, 2019
https://updc.uconn.edu/wp-content/uploads/sites/1525/2020/01/UConn_Quarterly_Construction_Status_Report_12312019_web.pdf

INFORMATION ITEMS:

7) Summary of Individual Change Orders Greater Than 3% of Project Cost (Storrs based projects) 5

8) Construction Project Status Report ~ as of 02/26/20
<https://budget.uconn.edu/wp-content/uploads/sites/1441/2020/02/Construction-Status-Report-2.26.20.pdf>

9) Projects Reviewed by BGE and to be presented to Financial Affairs for approval 04/29/20:

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>
Academic and Research Facilities – STEM Research Center Science 1	Final	\$220,000,000
Northwest Quad – Science 1 – Site Improvements and Tunnel Phase 2	Final	\$56,000,000

10) Executive Session (As Needed)

11) Adjournment

Special Meeting of the
FINANCIAL AFFAIRS COMMITTEE
March 23, 2020 at 2:00 p.m.
University of Connecticut
Conference Call

Conference Call In: 1-415-655-0002

Access code: 647 939 273##

Note that this meeting will be recorded.

Call to order at 2:00 p.m.

ACTION ITEM:

1. Approval of the Minutes of the Financial Affairs Committee Meeting of February 26, 2020, as circulated

DISCUSSION ITEM:

2. Update on COVID-19 Financial Impacts
3. Executive Session
4. Adjournment

**University of Connecticut Board of Trustees
Institutional Advancement Committee**

Teleconference

Tuesday, April 14, 2020
1:00 p.m.

1. Public Participation..... Dr. Dennis-LaVigne

2. Acceptance of Meeting Minutes.....Dr. Dennis-LaVigne
Attachment 1
 - February 11, 2020
 - February 26, 2020

3. Naming Recommendations.....Mr. Roberts
Attachment 2
 - Modification of Naming Recommendation for Rose and Julius Urman
 - Richard H. Schimmelpfeng Gallery
 - Margaret E. "Peggy" Sczesny '69 '79 Auditorium
 - Named Gift Opportunities for John Dempsey Hospital Psoriasis Center

4. UConn Foundation Update.....Mr. Roberts / Ms. Cotton Kelly

5. University Communications Update.....Mr. Kendig

6. Legislative Update.....Ms. Lombardo

Executive session as needed

Teleconferencing Instructions
Dial 1-415-655-0002 (US Toll Free)
Access code: 629 930 823

(Note that the meeting will be recorded.)

University of Connecticut & UConn Health Joint Audit & Compliance Committee Meeting

March 5, 2020

10:00 am – 10:30 am - Executive Session

10:30 am – 12:00 pm - Public Session

University of Connecticut, Wilbur Cross, North Reading Room, Mansfield Way, Storrs, CT

Topic	Proposed Action	Tab
Executive Session to discuss: <ul style="list-style-type: none"> • C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] • C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims [1-210(b)(4)] • C.G.S. 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] • C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)] 	Review	None
Opportunity for Public Comments		None
Minutes of the December 20, 2019 JACC Meeting	Approval	1
External Engagements <ul style="list-style-type: none"> • Status of External Engagements • Marcum LLP – FY20-21 Extension and Fee 	Update Approval	2
Marcum LLP 2019 Financial Statements <ul style="list-style-type: none"> • John Dempsey Hospital (JDH) • UConn Medical Group (UMG) • Finance Corporation 	Presentation	3
Auditor of Public Accounts <ul style="list-style-type: none"> • UConn Financial Reports for the Year Ended June 30, 2019 • UConn Health Financial Reports for the Year Ended June 30, 2019 	Presentation	4
UConn Health – EPIC UConn – IT Projects	Update	5
University of Connecticut Revised Travel and Entertainment Policy and Procedures	Approval	6
UConn & UConn Health Significant Compliance Activities <ul style="list-style-type: none"> • Research Compliance 	Update	7
UConn & UConn Health Significant Audit Activities <ul style="list-style-type: none"> • Status of Audit Assignments • Follow Up Activities • Audit Updates, if necessary 	Update	8
Informational/Educational Items <ul style="list-style-type: none"> • Compliance Matters 	Information Only	9
Conclusion of Full Meeting		
Information Session with AMAS, University Compliance and External Auditors		

The next meeting of the JACC will be held on June 3, 2020 at 10:00 am
University of Connecticut, Wilbur Cross, North Reading Room, Mansfield Way, Storrs, CT

MEETING OF THE STUDENT LIFE COMMITTEE
April 21, 2020 at 5:00 p.m.
University of Connecticut
Conference Call

Conference call in: 415-655-0002 US Toll

Access code: 629 930 823##

This meeting will be recorded.

1. Call to Order
2. Public Participation
3. Approval of the Minutes of the Student Life Committee Meeting of February 26, 2020, as circulated.
4. COVID-19 Update and Discussion
5. Mental Health Initiative Updates and Discussion
6. Constitution Updates
 - Daily Campus
 - UCTV
 - Nutmeg Publishing
 - UConn PIRG
 - Stamford Associated Student Government
 - Undergraduate Student Government
 - School of Social Work Graduate Student Organization
7. Other Business
8. Adjournment

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, April 29, 2020
9:00 a.m.

Meeting held by telephone.

Public call-in number:

(415) 655-0002 US Toll

Access Code: 647939273##

(Note that the meeting will be recorded.)

COMMITTEE **ATTACHMENT**

- | | | |
|--|---|--|
| 1) Minutes of the Academic Affairs Committee Meeting of April 2, 2020, as circulated | A | |
|--|---|--|

ACTION ITEMS:

- | | | |
|---|--|---|
| 2) Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2019-2020 | | 2 |
| 3) Promotion and Tenure | | 3 |
| 4) Designation of Emeritus Status | | 4 |
| 5) Sabbatical Leave Recommendations | | 5 |
| 6) Modification of Naming Recommendation for Rose and Julius Urman | | 6 |
| 7) Proposed By-Law Change to Provide Tenure-Track Faculty with a COVID-19 Related Tenure Clock Extension | | 7 |

INFORMATIONAL ITEMS:

- | | | |
|---|--|----|
| 8) Academic Program Inventory | | 11 |
| 9) Faculty Consulting Program | | 12 |
| • The University of Connecticut Consulting Program FY19 Annual Report | | |
| • Report on the University of Connecticut's compliance with CGS 1-84(r) | | |
| Faculty Consulting Program: Report Issued by the Faculty Consulting Oversight Committee | | |
| 10) Tenure-Track Reappointments | | 13 |

PRESENTATIONS:

- 11) UConn Engagement Initiatives

Peter Diplock, Assistant Vice Provost
Center for Excellence in Teaching and Learning
Multidisciplinary COVID-19 Course

ATTACHMENT A

DRAFT MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
April 2, 2020

Committee Trustees: Dennis-LaVigne, Fang, Gouin, and Rubin

Additional Trustees: Toscano

University Senate: Boyer and Chafouleas

Staff: Bannister, Croucher, Elliott, Rubin, and Volin

Committee Chairwoman Gouin convened the meeting at 2:31 p.m. via phone call.

In the public participation section, Michael Bailey, Executive Director, UConn AAUP, spoke about the support the AAUP has for the proposed UConn By-Law change to allow tenure-track faculty an additional year before submitting materials for review for tenure.

On a motion by Trustee Rubin, seconded by Trustee Fang, the minutes of the February 26, 2020, meeting were approved as circulated.

Interim Provost Elliott introduced ***Action Item #3 Proposed By-Law Change to Provide Tenure-Track Faculty with a COVID-19 Related Tenure Clock Extension***. Committee members discussed this proposal, including wanting to know the numbers that this would cover, the fact that the standard required for tenure would not change, and the timeline for tenure and the submission of materials.

Interim Provost Elliott then discussed some of the academic actions related to COVID-19 that have been taken within the University.

Trustee Rubin called a motion to close the meeting, seconded by Trustee Fang. Chairwoman Gouin adjourned the meeting at 3:49 p.m.

Respectfully submitted,

Sarah K. Croucher
Committee Secretary

Financial Affairs

Meeting of the
FINANCIAL AFFAIRS COMMITTEE
April 29, 2020 at 9:30 a.m.
 University of Connecticut
 Conference Call

Conference Call In: 415-655-0002
 Access code: 647 939 273##
 (Note that this meeting will be recorded.)

Call to order at 9:30 a.m.

ATTACHMENT **LOCATION**
COMMITTEE **FULL BOARD**

1. Public Participation

ACTION ITEMS:

- | | | |
|--|---|----|
| 2. Approval of the Minutes of the Special Meeting of the Financial Affairs Committee of March 23, 2020, as circulated | A | |
| 3. Contracts and Agreements for Approval | | 1 |
| 4. Travel and Entertainment Policies and Procedures – Revised | | 10 |
| 5. Deferral of Fiscal Year 2021 Self-Supporting Program Fees for the University of Connecticut, Storrs and Regional Campuses | | 14 |

CAPITAL PROJECT BUDGETS FOR APPROVAL:

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
6. Academic and Research Facilities – STEM Research Center Science 1	Final	\$220,000,000	15
7. Northwest Quad – Science 1 – Site Improvements and Tunnel Phase 2	Final	\$56,000,000	16

INFORMATION ITEMS:

- | | | |
|---|---|--|
| 8. Project Budget Map | B | |
| 9. Contracts and Agreements for Information | C | |
| 10. Capital Project Expenditure Report – All Funding Sources Fiscal Year 2020 as of 03/31/20
https://budget.uconn.edu/capital-project-expenditure-reports/ | D | |
| 11. Construction Project Status Report
https://budget.uconn.edu/construction-status-reports/ | | |
| 12. Executive Session (As Needed) | | |
| 13. Adjournment | | |

ATTACHMENT A

DRAFT
MINUTES
SPECIAL MEETING OF THE FINANCIAL AFFAIRS COMMITTEE
University of Connecticut
Teleconference
March 23, 2020

TRUSTEES PARTICIPATING: Andy Bessette, Mark Boxer, Shari Cantor, Sandy Cloud, Andrea Dennis-LaVigne, Justin Fang, Marilda Gandara, Bryan Pollard, Thomas Ritter, Philip Rubin, Daniel Toscano, and Nandan Tumu

STAFF PARTICIPATING: Andy Agwunobi, David Benedict, Lloyd Blanchard, Jeremy Campbell, Debbie Carone, Patricia Casey, Eleanor Daugherty, John Elliott, Nathan Fuerst, Gail Garber, Nicole Gelston, Jeffrey Geoghegan, Michael Gilbert, Scott Jordan, Thomas Katsouleas, Andrea Keilty, Tysen Kendig, Redenka Maric, Michael Kirk, Joann Lombardo, Stephanie Reitz, Hans Rhyhart, Rachel Rubin, Margaret Selleck, William Shea, Kelly Slinko, Kelly Wihbey, and Reka Wrynn

SENATE REPRESENTATIVES PARTICIPATING:
Carol Polifroni

Vice-Chair Bessette convened the meeting of the Financial Affairs Committee via teleconference at 2:07 p.m. He asked for a roll call of those on the telephone, and asked if there was anyone from the public who wished to speak. Trustee Bessette directed the committee to agenda *Item #1, Approval of the Minutes of the Financial Affairs Committee Meeting of February 26, 2020*. On a motion by Trustee Rubin and seconded by Trustee Cantor, the item was approved as circulated.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, briefed the committee on agenda *Item #2, Update on COVID-19 Financial Impacts*.

On a motion by Trustee Boxer and seconded by Trustee Rubin, the committee voted to go into Executive Session at 2:15 p.m. for a discussion concerning preliminary drafts or notes provided the public agency has determined that the public interest in withholding such documents clearly outweighs the public interest in disclosure; per Connecticut General Statutes, Section 210(b)1(1).

Trustees participating in the session included: Andy Bessette, Mark Boxer, Shari Cantor, Sandy Cloud, Andrea Dennis-LaVigne, Justin Fang, Marilda Gandara, Bryan Pollard, Thomas Ritter, Philip Rubin, Daniel Toscano, and Nandan Tumu.

University staff participating in the session included: Andy Agwunobi, David Benedict, Lloyd Blanchard, Jeremy Campbell, Debbie Carone, Patricia Casey, Eleanor Daugherty, John Elliott, Nathan Fuerst, Gail Garber, Nicole Gelston, Jeffrey Geoghegan, Michael Gilbert, Scott Jordan, Thomas Katsouleas, Andrea Keilty, Tysen Kendig, Redenka Maric, Michael Kirk, Joann Lombardo, Hans Rhynhart, Rachel Rubin, Margaret Selleck, William Shea, Kelly Slinko, Kelly Wihbey, and Reka Wrynn.

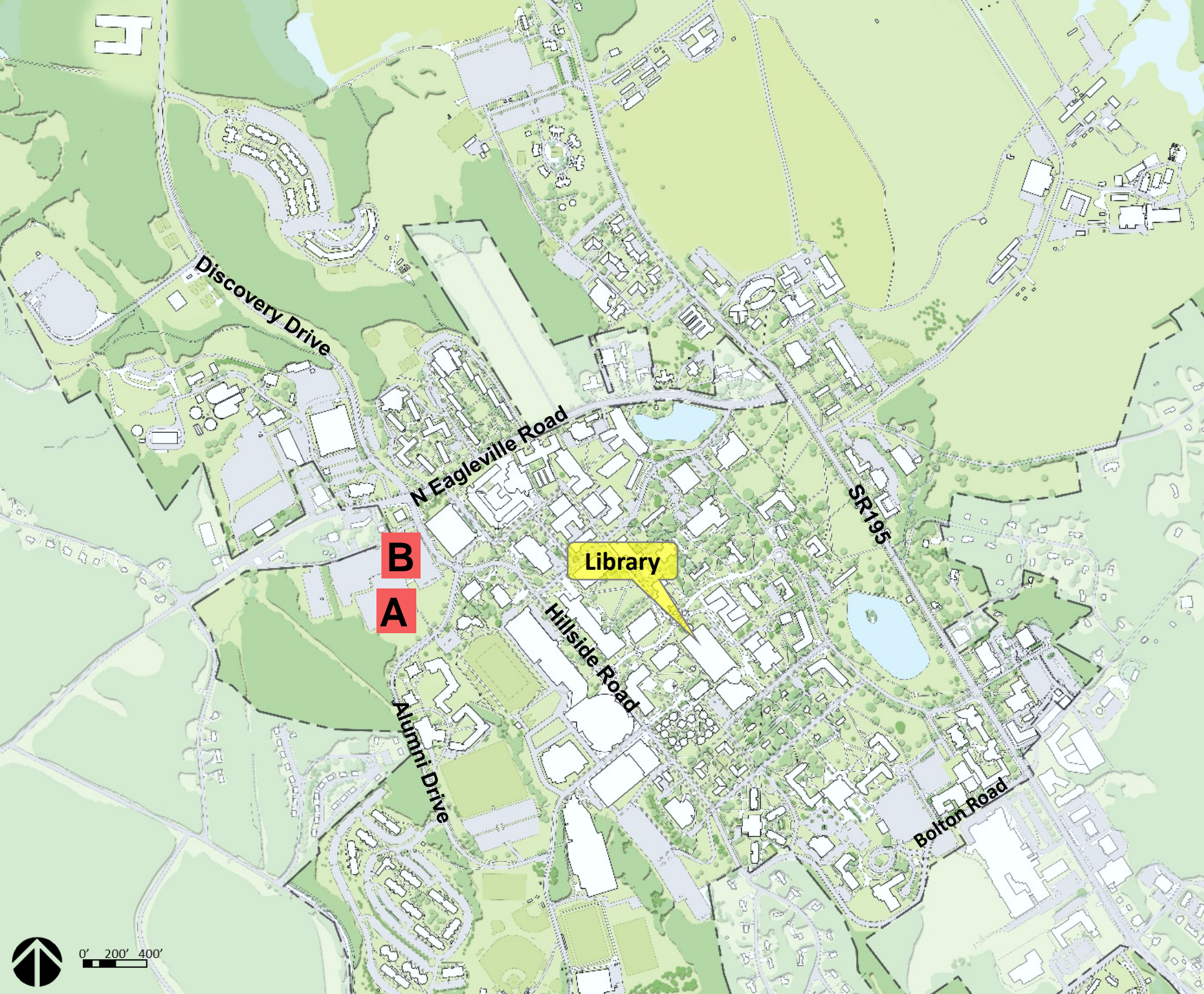
The committee returned to open session at 3:26 p.m. and the meeting was adjourned at 3:28 p.m. due to the lack of a quorum.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT B



KEY TO PROJECTS

Final / Revised Final

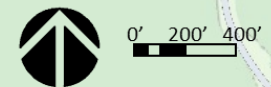
- A. Academic and Research Facilities- STEM Research Center- Science 1
- B. Northwest Quad-Science 1-Site Improvements and Tunnel Phase 2

Design / Revised Design

None

Planning / Revised Planning

None



ATTACHMENT C

**CONTRACT AGREEMENTS
FOR INFORMATION
APRIL 29,2020**

PROCUREMENT - NEW

LABORATORY FURNITURE & FIXTURES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	New England Laboratory Casework Co., Inc.	MHEC-MC-12-D25	\$750,000	02/01/16-01/31/21	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	Laboratory furniture and fixtures for all University campuses. This is consortia agreement through the Massachusetts Higher Education Consortia (MHEC). Extensions may be executed at the discretion of the consortia.
LABORATORY SUPPLIES & EQUIPMENT							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
2	Global Life Sciences Solutions USA LLC (a/k/a GE Healthcare)	UC-17-CGP012016-L	\$500,000	07/01/20-06/30/22	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	Laboratory supplies and equipment for all University campuses. This is the result of a publicly advertised solicitation. Zero extensions remain.
3	Qiagen, Inc.	UC-13-CGR031612	\$650,000	07/01/20-06/30/22	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	Laboratory supplies and equipment for all University campuses. This is the result of a publicly advertised solicitation. Zero extensions remain.
MICROSCOPES & SUPPLIES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
4	Leica Microsystems, Inc.	15PSX0237	\$750,000	01/01/16-12/31/20	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	Microscopes and supplies for all University campuses. This is a State of Connecticut contract. Extensions may be exercised at the discretion of the State.

CONTRACT AGREEMENTS
FOR INFORMATION
APRIL 29, 2020

PROCUREMENT - AMENDMENTS

VIDEO PROGRAMMING AND MAINTENANCE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditures FY18	Purpose
5	Apogee Telecom	UC-15-LP090612	\$280,000; [Contract Value Previously \$700,000; Total New Contract Value \$980,000]	07/01/15-06/30/23	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	\$691,855	\$165,106	\$177,556	WebFocus software and professional services for multiple University departments for data storage, reporting, extraction, and analysis from production applications. Amend to increase contract value \$280,000, for total new contract value of \$980,000. Amend to extend contract term three years, through 06/30/23. Zero extensions remain.

ATTACHMENT D

University of Connecticut
Capital Project Expenditure Report - All Funding Sources
Fiscal Year 2020 as of 3/31/2020

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Fiscal Year to Date Expenditures By Funding Source				
				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
Storrs and Regional Campuses								
Academic and Research Facilities								
Academic & Research Facilities - Gant Building Renovations - STEM	136,135,507	86,472,264	Construction	26,432,274	26,432,274			
Academic & Research Facilities - Homer Babbidge Library Renovation	5,700,000	1,211,103	Construction	172,468	172,468			
Academic & Research Facilities - STEM Research Center Science 1	15,000,000	11,869,429	Construction	3,514,775	3,514,775			
Academic and Research Facilities Total				30,119,517	30,119,517	-	-	-
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities								
ABL Argus Software & Hardware Retrofit	136,300	-	Construction	-				
ABL Clean Steam Generator Replacement	125,000	-	Planning/Design	-				
ACE Water Heater Replacements	225,000	-	Planning/Design	-				
Alumni Quad Life Safety System Replacement	270,000	260,555	Construction	260,555		260,555		
Atwater A206 & A210 BSC Replacement	3,464	3,464	Completed	3,464		3,464		
Atwater Electrical Generator Replacement	250,000	1,730	Planning/Design	1,730		1,730		
Atwater Facade Repair	100,000	-	Planning/Design	-				
Avery Point - Academic Building Chemistry Lab Renovation	300,000	226,410	Construction	207,075		207,075		
Avery Point Academic Building Roof Replacement	1,270,000	542,841	Construction	492,650		492,650		
Avery Point Community & Professional Building Restrooms Renovation	433,000	353,654	Construction	285,870		285,870		
Avery Point Community, & Professional Building Interior Upgrades	213,180	213,180	Completed	12,900		12,900		
Avery Point Marine Sciences Building Retro Commissioning	526,950	272,735	Construction	272,735		272,735		
Avery Point Sea Lab Floor Repair	30,000	-	Planning/Design	-				
Babbidge Library Electrical Distribution System Upgrade	2,783,000	2,682,459	Substantially Complete	167,054	167,054			
Babbidge Library Window Cleaning	32,728	-	Planning/Design	-				
Ballard Institute and Museum of Puppetry HVAC Upgrade	9,424	9,424	Completed	2,357		2,357		
Beach Hall Lab Renovations	5,400,000	3,753,097	Substantially Complete	456,965	456,965			
Beach Hall-Renovation Rooms 319/321 SLHS	26,616	26,616	Completed	7,253		7,253		
Benton Art Museum Patio and Fountain Restoration	150,000	-	Planning/Design	-				
Bio 4 Annex Sustainability Office Relocation	142,000	44,622	Construction	44,622		44,622		
Bishop Center Roof - Electrical & HVAC Upgrade	400,000	146,242	Construction	83,391		83,391		
Boiler Plant Equipment Replacement	316,136	316,136	Completed	16,686	16,686			
Boiler Plant Equipment Replacement and Utility Tunnel Connection	20,000,000	1,210,936	Construction	1,210,936	1,210,936			
Bronwell 201, 318, 319, 322 Renovation (TL2339)	155,000	-	Planning/Design	-				
Bronwell Switchgear Service Replacement	100,000	-	Planning/Design	-				
Buckley Hall Life Safety System Replacement	205,003	205,003	Completed	205,003		205,003		
Budds Building 212/213 Interior Door Removal	3,500	931	Construction	931		931		
Burton Family Football Complex Locker Replacement	882,822	882,822	Completed	485,726				485,726
Burton Hydrotherapy Mechanical Room Restoration	250,000	-	Planning/Design	-				
C2E2 Clean Room Renovation	51,500	51,500	Completed	51,500		1,500		50,000
Campus Insulation Program	482,000	471,425	Construction	278,581		278,581		
Campus Wayfinding and Gateways - FO Phase 1	381,487	381,487	Completed	18,515		18,515		
Campus Wayfinding and Gateways - FO Phase 2	347,638	347,638	Completed	17,382		17,382		
Campus Wayfinding Improvements	1,900,000	1,419,736	Construction	816,852	816,852			
Castleman 205 SoE Renovations (TL2328)	175,000	-	Planning/Design	-				
Castleman 306 Conference Room	69,300	-	Planning/Design	-				
Castleman Engineering Building Chiller Replacement	400,000	304,538	Construction	304,538		304,538		
Central Campus Infrastructure	5,000,000	3,449,533	Substantially Complete	541,005	541,005			
Central Campus Parking	1,878,962	1,878,962	Completed	139,239	138,700		539	
Central Warehouse Parking Services Interior Renovation	325,000	267,339	Substantially Complete	195,175		195,175		
Chemistry R316 Renovations	35,100	-	Planning/Design	-				
Chemistry Roof Snow Guard Repair	37,500	-	Planning/Design	-				
Clay Tile Sewer Pipe Relining Phase 3	446,905	446,905	Completed	421,911		421,911		
Clay Tile Sewer Pipe Relining Program Ph 4	400,000	-	Planning/Design	-				
CPCA Work Station Configuration	71,132	71,132	Completed	48,416		48,416		
CUP Equipment Replacement and Pumping Improvements	23,000,000	12,089,949	Construction	2,801,427	2,801,427			
Decentralized Heat at Warehouse and Facilities Operations	616,864	616,864	Completed	4,000		4,000		
Depot C Building Sign Services Renovation	8,000	8,000	Completed	8,000		8,000		
Depot Campus Hardscape Improvements	98,130	58,000	Construction	58,000		58,000		
Dining Hall Facilities Ventilation Upgrades	892,700	127,003	Construction	126,000		126,000		
East Campus Exterior Door Security Upgrades	52,742	573	Planning/Design	573		573		
East Central Campus Utility Upgrade	2,613,255	2,613,255	Completed	125,618	120,009	5,609		
Energy Services Performance Contract - Phase I (a.k.a. ESCO)	27,602,000	26,876,321	Substantially Complete	2,078,271	5,248,633	(3,170,362)		
Engineering II 108C New Faculty Lab	19,873	16,105	Construction	16,105		16,105		
Engineering II 202 Upgrades	38,500	-	Planning/Design	-				

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Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Fiscal Year to Date Expenditures By Funding Source				
				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
Engineering II 303 New Faculty Renovation	5,800	3,185	Planning/Design	3,185				3,185
Engineering II Large Passenger Elevator Modernization	160,000	54,470	Planning/Design	54,470		54,470		
Engineering II Roof Replacement	950,000	606,157	Construction	56,283		56,283		
Environmental Health & Safety Building Office Renovation	95,000	63,046	Construction	63,046		63,046		
Eversource Second Electrical Feed - Planning	95,000	30,016	Planning/Design	(21,484)	(21,484)			
Exigent Repair - Replacement of Steam & Cond Piping	2,000,000	1,109,872	Construction	1,109,872	1,109,872			
Fac Ops & Building Services - Plant	7,285,678	5,653,851	Construction	277,167		277,167		
Facilities Code Remediation	250,000	23,712	Construction	23,712		23,712		
Facilities Operations Roof Repair and Restoration	626,500	542,263	Substantially Complete	15,692		15,692		
Fairfield Way Entry Gates	48,740	48,740	Completed	1,645		1,645		
Fairfield-SU-Hawley-Academic-Babbidge Bollard Install	62,679	-	Planning/Design	-				
Fenton River Well Field & Road Repair	450,000	98,328	Construction	11,437	11,437			
Fine Arts - 2nd Floor Fit Out	266,000	-	Planning/Design	-				
Fine Arts Air Conditioning	250,000	-	Planning/Design	-				
Fine Arts at Kirby Mills Renovation	475,000	434,243	Substantially Complete	25,934		25,934		
Fine Arts Dark Room	32,495	-	Planning/Design	-				
Freitas Ice Rink Mechanical Repairs	200,000	-	Planning/Design	-				
Gampel Area Bollards	1,077,362	1,077,362	Completed	660,171	660,171			
Gampel Electrical Generator Replacement	250,000	-	Planning/Design	-				
Gampel Pavilion Dome Ceiling and Roof Repair	11,800,000	11,073,567	Substantially Complete	895,088	895,088			
Gampel Pavilion Enabling Power Upgrade	125,000	42,644	Planning/Design	42,644	42,644			
Gampel Room 106 KSI Renovation	80,000	1,595	Planning/Design	1,595		1,443		152
Gampel Room 135A Platform Demo	4,500	11	Planning/Design	11		11		
Gant North - Minor Upgrades for IMS New Faculty Hires	350,000	162,848	Construction	112,464		112,464		
Gelfenbien and North Dining Hall Dish Room Renovation	612,803	612,803	Completed	479,160		479,160		
Gelfenbien Commons Equipment Access	211,000	-	Planning/Design	-				
Gelfenbien Patio Landscaping Improvements	255,603	255,603	Completed	234,949		234,949		
George J. Sherman Family-Sports Complex Field Restoration	1,070,000	779,780	Substantially Complete	779,780		779,780		
Hale Hall 15KV Electrical Service Repairs	868,525	868,525	Completed	110,788		110,788		
Hartford - Student Academic Achievement Center Renovation	450,000	118,310	Construction	103,519		103,519		
Hartford Graphic Art & Interior Signage	150,000	21,263	Planning/Design	21,263		21,263		
Heating Plant Upgrade - Emergency Power System Upgrade	765,000	435,916	Planning/Design	28,924	28,924			
High Head Emergency Generator System Replacement	1,106,040	919,319	Construction	819,058		819,058		
Homer Babbidge Library SoE Computational Labs (TL2329)	275,000	3,780	Planning/Design	3,780	3,780			
Horse Unit & Lorentzon Stables Refurbishment	300,000	197,070	Planning/Design	197,070				197,070
Horsebarn Hill Pedestrian Safety Improvements	1,750,000	1,282,580	Substantially Complete	1,143,631	973,814	169,817		
Horsebarn Hill Sewage Pump Station Upgrade	1,500,000	444,836	Construction	403,736		403,736		
Human Development Center SLHS Renovations	452,822	452,822	Completed	369,012		369,012		
Human Performance Lab Prep Room	126,500	109,249	Substantially Complete	232		232		
I-Lot Improvements	250,000	-	Planning/Design	-				
ITEB 114 CSE & ECE Lab Renovation	42,328	42,328	Completed	42,328		42,328		
ITEB 138 Cybersecurity Lab Reno	169,731	169,731	Completed	159,309		159,309		
ITS Production Lab Move	2,460	300	Planning/Design	300		300		
Jones Building Heating Replacement	350,000	256,625	Construction	256,625		256,625		
Jones Building High Voltage and Repairs	485,000	33,196	Planning/Design	33,196		33,196		
Jorgensen Basement HVAC Repairs	9,424	9,424	Completed	2,357		2,357		
Jorgensen Building Envelope Study	64,000	44,397	Planning/Design	2,024		2,024		
Kellogg Dairy Center Robotic Milkers	1,856,602	1,856,602	Completed	19,399		19,399		
Kennedy Cottage FMBIO Conference Room Upgrade	55,000	15,443	Planning/Design	15,443		15,443		
Kirby Mills First Floor Renovation	400,000	-	Planning/Design	-				
Koons Hall 317 Lab Renovation	65,500	46,168	Construction	46,168		46,168		
Koons Hall Renovation	5,500,000	5,389,308	Substantially Complete	45,164	45,164			
Lakeside Building Parking Reconfiguration	45,026	42,537	Substantially Complete	42,537		42,537		
Landscape Barn and Administrative Building Replacement Planning	1,400,000	213,852	Planning/Design	199,386	199,386			
Law School Carpet Replacement Law Library	16,278	-	Planning/Design	-				
Law School Knight Hall Elevator Modernization	400,000	101,760	Construction	101,760		101,760		
Law School Library Cooling Tower Replacement	460,596	460,596	Completed	38,079		38,079		
LeDoyt Road Improvements Study	17,000	4,875	Planning/Design	4,875		4,875		
Liberman Sculpture Relocation	19,600	13,519	Substantially Complete	13,519	13,519			
Litchfield and Windham Hall Electrical Service Repairs	493,001	493,001	Completed	226,022		226,022		
Longley Bathroom Renovations	89,310	65,175	Planning/Design	61,686	61,686			
Longley Lab 114 Renovation (Depot Campus)	65,229	65,229	Completed	5,151		564		4,588
Main Campus Parking Replacements	12,000,000	9,221,314	Substantially Complete	3,948,127	3,945,037	3,090		

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				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
Main Campus Substation Switchgear Relay Replacement	565,000	-	Construction	-	-	-	-	-
Mansfield Road Paving Phase I	150,000	-	Planning/Design	-	-	-	-	-
McConaughy Hall Electrical Replacement	112,181	112,181	Completed	112,181	-	112,181	-	-
Middlesex Extension Ctr Bathroom Renovations	240,000	183,480	Construction	154,331	-	154,331	-	-
Mirror Lake Improvements	60,000	-	Planning/Design	-	-	-	-	-
Misc Abatement and Demolitions Projects	359,000	-	Planning/Design	-	-	-	-	-
Mold / Lead / Asbestos Remediation	2,438,216	2,009,409	Construction	731,422	-	731,422	-	-
N. Eagleville Rd Area Infrastr Repair / Replace & Upgrade Phase III	57,500,000	55,667,575	Substantially Complete	1,489,377	1,489,377	-	-	-
NER and Discovery Drive Intersection Improvements	150,000	-	Planning/Design	-	-	-	-	-
North and South Parking Garage Restoration	300,000	-	Planning/Design	-	-	-	-	-
North Campus Dining Ceiling/Lighting Replacement	115,808	115,808	Completed	86,041	-	86,041	-	-
North East Residence Halls - Security Camera System	1,602,180	329,231	Construction	92,248	92,248	-	-	-
North Residence Dining - Dish Room Renovation	850,000	4,266	Planning/Design	4,266	-	4,266	-	-
Northeast Science Quad Site Improvements	2,000,000	1,768,144	Substantially Complete	1,575,201	1,575,201	-	-	-
Northwest Quad - Science 1 - Site Improvements & Tunnel Phase II	7,500,000	3,088,599	Construction	1,541,767	-	1,541,767	-	-
Northwest Science Quad Infrastructure - Phase 1	20,750,000	19,171,783	Construction	568,026	-	568,026	-	-
Northwest Science Quad Supplemental Utility Plant	36,000,000	3,630,021	Construction	833,611	833,611	-	-	-
NW Quad Residence Halls Plumbing Replacement	263,000	66,441	Construction	43,953	-	43,953	-	-
Olympic Monument	122,000	-	Planning/Design	-	-	-	-	-
Pharmacy / Biology 102 PNB Hood Removal	4,586	4,586	Completed	4,586	-	4,586	-	-
Philip E Austin 105,108,110 & Lecture Halls Upgrades	125,500	33,148	Construction	33,148	-	33,148	-	-
Philip E Austin Lecture Hall A/V Support Upgrades	8,691	8,691	Completed	8,691	-	8,691	-	-
Public Safety Building Improvements	4,550,000	388,400	Planning/Design	195,125	-	195,125	-	-
Purchase of 88 Gurleyville Road - Lodewick House	750,561	750,561	Completed	750,561	-	750,561	-	-
Refrigeration Upgrades McConaughy Hall NC-11	217,486	217,486	Completed	169,264	-	169,264	-	-
Res Life Facilities - Restroom Rehabilitation Program Phase 1	2,200,000	1,602,689	Construction	1,102,710	-	1,102,710	-	-
Sale of the Nathan Hale Inn	100,000	84,283	Substantially Complete	40,358	-	40,358	-	-
School of Business - Roof Repairs	435,845	-	Planning/Design	-	-	-	-	-
School of Business Classrooms AV Support	3,842	3,842	Completed	3,842	-	3,842	-	-
School of Engineering / ITEB Rm 140 Renovation (TL2340)	35,000	-	Planning/Design	-	-	-	-	-
SFA Computer Lab Renovation	75,000	-	Planning/Design	-	-	-	-	-
Shippee/Buckley/Whitney lock hardware/keying	97,476	144	Planning/Design	144	-	144	-	-
South Campus Commons Landscape & Pedestrian Improvement Plan	5,000,000	1,598,652	Construction	145,358	145,358	-	-	-
South Campus Fire Pump Replacement	220,160	-	Planning/Design	-	-	-	-	-
South Campus Stair Repair	765,000	612,049	Substantially Complete	3,300	3,300	-	-	-
South East Campus Infrastructure	5,000,000	4,259,939	Construction	3,971,493	3,971,493	-	-	-
Southwest Campus Infrastructure Upgrade	10,000,000	8,811,036	Substantially Complete	827,255	827,255	-	-	-
Stamford Abutting Property Restoration	2,500,000	1,442,245	Construction	1,439,155	1,439,155	-	-	-
Stamford Campus Garage - Demolition	10,000,000	8,017,670	Substantially Complete	531,026	531,026	-	-	-
Stamford Campus Surface Parking Lot	4,500,000	2,995,053	Substantially Complete	367,837	367,837	-	-	-
Stamford Classroom 220 Renovation	425,000	378,971	Construction	312,002	-	312,002	-	-
Steam Line Repairs - Vault 304 to Central Utility Plant	700,000	663,828	Substantially Complete	153,421	-	153,421	-	-
Storrs Hall 1st & 2nd Floor Bathroom	255,000	175,482	Construction	151,997	151,997	-	-	-
Storrs Hall 215 School of Nursing Renovation	11,950	8,824	Planning/Design	8,824	-	8,824	-	-
Storrs LED (SLED) Lighting Upgrade	2,496,000	847,716	Construction	481,716	-	481,716	-	-
Storrs LED (SLED) Lighting Upgrade - Athletic Facilities	1,377,772	1,103,170	Construction	830,170	-	830,170	-	-
Student Health Services Bldg - Pre-design & Planning	447,700	-	Planning/Design	-	-	-	-	-
Student Housing Master Plan	450,000	-	Planning/Design	-	-	-	-	-
Student Union - QSR Dining Buildout	700,000	438,515	Substantially Complete	403,855	-	403,855	-	-
Student Union 314 Renovation	210,610	210,610	Completed	180,754	-	180,754	-	-
Student Union East Elevation Curtain Wall & Masonry Repairs	1,500,000	1,424,870	Substantially Complete	-	-	-	-	-
Student Union Office Upgrades	420,000	15,392	Planning/Design	15,392	-	15,392	-	-
Tasker Admissions Roof Restoration	100,000	-	Construction	-	-	-	-	-
Tennis Courts Field Exploration Study	8,619	8,619	Completed	8,619	-	8,619	-	-
TLS Building Cooling Tower Replacement	150,000	-	Planning/Design	-	-	-	-	-
Torrey Life Science Genetech Access Control Installation	46,541	46,541	Completed	46,541	-	46,541	-	-
Torrey Life Sciences 2nd Floor Biology Renovation	900,000	73,171	Planning/Design	73,171	73,171	-	-	-
Torrey Life Sciences 415 & 417 Lab Renovations (TL2325)	100,000	-	Planning/Design	-	-	-	-	-
Torrey Life Sciences Facade Repair	100,000	-	Planning/Design	-	-	-	-	-
Towers Dorm T-5 Heating Replacement	300,000	286,660	Construction	286,660	-	286,660	-	-
Towers Mechanical Rooms Restoration	300,000	-	Planning/Design	-	-	-	-	-
UCFM Code Remediation - Campus Wide Laundry Alterations	3,300,000	2,151,024	Construction	1,572,752	1,572,752	-	-	-
UCFM Code Remediation - Hall Building	146,200	28,008	Planning/Design	15,770	15,770	-	-	-

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				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
UCFM Code Remediation - Longley School-Depot Campus	497,000	355,924	Construction	16,764	16,764			
UCFM Code Remediation - South Parking Garage	473,000	238,182	Construction	185,480	185,480			
UCFM Code Remediation - Williams Health Services Building	135,000	88,724	Construction	16,233	16,233			
UConn 2000 Code Remed - Northwest Residence Halls	1,598,735	1,165,596	Construction	15,182	15,182			
UConn 2000 Code Remed - Wilbur Cross Building	1,640,000	1,559,912	Construction	154,125	154,125			
UConn Hartford School of Business - 4th Floor BAPM Suite	202,521	202,521	Completed			1,318		
UConn Hockey Arena	2,850,000	548,073	Planning/Design	525,493		525,493		
UConn School of Fine Arts - Wadsworth Athenaeum	700,000	428,993	Construction	365,872		365,872		
UConn Stamford Mill River Remediation	450,000	25,748	Construction	25,748	25,748			
University Athletic District Development (a.k.a. Stadia)	16,575,000	676,382	Construction	676,382	676,382			
University Dams Evaluation and Restoration	5,000		Planning/Design	-				
UTEB - Freshman Design Teach Lab Renovation	464,289	464,289	Completed	59,270	59,270			
UTEB Passenger Elevator Modernization	160,000	54,634	Planning/Design	54,634		54,634		
Utility Infrastructure GIS Mapping	3,236,000	729,873	Construction	35,691	35,691			
Von Der Mehden Hall Roof Restoration	200,000		Planning/Design	-				
Von der Mehden Rectal Hall Upgrades	362,782	362,782	Completed	12,827		12,827		
W&T Lot Electrical and Parking Restoration	2,844,000	2,519,752	Substantially Complete	2,220,088		2,220,088		
Waterbury Chiller 1 Replacement	300,000	249,000	Construction	249,000		249,000		
Waterbury Life Safety System Replacement	137,755	137,755	Completed	81,003		81,003		
Werth Basketball Pantry/Dining Services	23,000	1,844	Construction	1,844		1,844		
Werth Family Basketball Champions Center Hall of Fame	3,465,000	3,365,785	Substantially Complete	281,020		77,835		203,185
West Campus Dorms Mechanical Room Repairs	300,000	-	Planning/Design	-				
Whitney Hall Dining Renovations	4,200,000	3,370,909	Substantially Complete	2,622,654		2,622,654		
Wilbur Cross Dome and Facade Restoration	250,000	-	Planning/Design	-				
Wilbur Cross Reading Rooms Finish Upgrades	3,200,000	2,768,977	Substantially Complete	160,016	1,803	158,212		
Wired Access Layer (UPDC) - Phase I	400,000	19,613	Planning/Design	19,613	19,613			
WPCF Chlorine Contact Chamber Rehabilitation	100,000	68,035	Construction	68,035		68,035		
Y & Z Parking Lots and Electrical Restoration	99,600	-	Planning/Design	-				
DM/Code/ADA/Infrastructure/Renovation/Utility/Administrative/Support Facilities Total				54,776,510	35,892,939	17,939,666	-	943,905
Engineering Building								
Engineering Building - Engineering & Science Building	93,300,000	92,326,617	Substantially Complete	1,079,750	1,079,750			
Engineering Building Total				1,079,750	1,079,750	-	-	-
Equipment, Library Collections & Telecommunications - Phase III								
Academic Capital Equipment	5,534,649	4,299,905	Underway	294,438	294,438			
eProcurement / SciQuest Software Implementation	1,401,737	974,122	Underway	3,193		3,193		
Gampel Audio System Replacement	600,000	593,096	Construction	278,087	278,087			
Gampel Pavilion Wireless Infrastructure	550,000	501,997	Construction	501,997	501,997			
ITS Capital Equipment	28,006,571	24,244,274	Underway	2,162,389	2,162,389			
Public Safety Capital Equipment	15,080,468	14,445,154	Underway	1,156,484	1,156,484			
Recruiting & Onboarding System Implementation	365,443	365,443	Underway	177,563		177,563		
Travel Management & Expense Reimbursement System Implementation	1,148,103	936,325	Underway	691,902		691,902		
Wired Access Layer (ITS) - Phase I	4,021,000	834,361	Construction	680,967	680,967			
Equipment, Library Collections & Telecommunications - Phase III Total				5,947,019	5,074,362	872,658	-	-
Farm Buildings Repairs/Replacement								
Farm Buildings Repair / Replace - Spring Hill Farm	3,740,000	3,488,175	Substantially Complete	154,177	351	153,826		
Farm Buildings Repairs/Replacement Total				154,177	351	153,826	-	-
Fine Arts Phase II								
Fine Arts Phase II - Renovation & Improvements	37,409,196	29,596,340	Construction	15,946,253	15,818,654			127,599
Fine Arts Phase II Total				15,946,253	15,818,654	-	-	127,599
Hartford Relocation Acquisition/Renovation								
Hartford Relocation Acquisition / Renovation	139,027,625	139,027,625	Completed	18,390	18,390			
Hartford Relocation Acquisition/Renovation Total				18,390	18,390	-	-	-
Intramural, Recreational & Intercollegiate Facilities								
Central Campus Infrastructure	25,000,000	24,500,534	Substantially Complete	417,334			417,334	
Student Recreation Center	98,000,000	94,697,184	Substantially Complete	16,299,786			16,299,786	
University Athletic District Development (a.k.a. Stadia)	41,750,000	39,281,339	Construction	28,919,716			28,868,282	51,434
Intramural, Recreational & Intercollegiate Facilities Total				45,636,835	-	-	45,585,401	51,434
Jorgensen Renovation								
Jorgensen Renovation - HVAC Renewal	1,814,654	1,814,654	Completed	12,276	12,276			

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					UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**	
Jorgensen Renovation Total				12,276	12,276	-	-	-	-
North Hillside Road Completion	20,364,790	18,586,489	Substantially Complete	29,491	(36,055)				65,546
North Hillside Road Completion Total				29,491	(36,055)	-	-	-	65,546
Residential Life Facilities									
Res Life Facilities - Alumni Quad Roof & Facade Renovation	193,000	126,084	Planning/Design	120,753	120,753				
Res Life Facilities - Alumni Res Hall Granite Restoration	645,000	277,036	Planning/Design	6,021	6,021				
Res Life Facilities - East Campus Door Hardware Replacement	12,920	12,920	Completed	2,070	2,070				
Res Life Facilities - Hicks and Grange Student Room Renovation	1,600,000	43,457	Planning/Design	43,457	43,457				
Res Life Facilities - Hilltop Apt Complex Roof Repairs - Phase III	1,519,493	1,519,493	Completed	80	80				
Res Life Facilities - McMahon Hall Envelope Repairs	499,000	201,024	Planning/Design	49,812	49,812				
Res Life Facilities - Next Generation Conn. Hall	95,792,776	95,792,776	Completed	23,619	23,619				
Res Life Facilities - North Campus Residence Hall Reno - Phase II	2,170,000	36,458	Planning/Design	36,458	36,458				
Res Life Facilities - North Res Steam to Hot Water Conversion	5,000	-	Planning/Design	-	-				
Res Life Facilities - North Residence Halls Roof Refurb Phase II	1,576,000	1,228,345	Construction	1,210,795	1,210,795				
Res Life Facilities - Northwood Chimney Renovation	108,299	108,299	Completed	98,802	98,802				
Res Life Facilities - Residence Halls Door Access Reader Upgrade	53,000	41,652	Planning/Design	41,652	41,652				
Residential Life Facilities Total				1,633,519	1,633,519	-	-	-	-
School of Pharmacy/Biology Completion									
School of Pharmacy Medicinal Garden	915,000	694,489	Substantially Complete	89,196	89,196				
School of Pharmacy/Biology Completion Total				89,196	89,196	-	-	-	-
Technology Quadrant-Phase III									
Tech Quad Phase III - Innovation Partnership Building	162,300,000	156,429,717	Substantially Complete	4,428,755					4,428,755
Technology Quadrant-Phase III Total				4,428,755	-	-	-	-	4,428,755
Sub Total - Storrs & Regional Campuses:				159,871,689	89,702,899	18,966,150	45,585,401	5,617,240	
UConn Health Center (UCONN 2000 Funding Only)									
Def Mtn/Code & ADA Cmp/Inf Imp & Reno Lump Sum/UA&S Fac-UHC									
UHC Deferred Maintenance	50,951,264	48,925,754	Construction	652,621	652,621				
Def Mtn/Code & ADA Cmp/Inf Imp & Reno Lump Sum/UA&S Fac-UHC Total				652,621	652,621	-	-	-	-
Equipment, Library Collections & Telecommunications-UHC									
UHC Capital Equipment	71,929,390	70,772,264	Construction	1,990,373	1,990,373				
Equipment, Library Collections & Telecommunications-UHC Total				1,990,373	1,990,373	-	-	-	-
Main Building Renovation									
UHC New Construction & Renovation - Clinic Building	870,000	4,660	Substantially Complete	4,660	4,660				
Main Building Renovation Total				4,660	4,660	-	-	-	-
Medical School Academic Building Renovation									
UHC Academic Building Addition / Renovations	35,880,745	35,880,745	Completed	34,339	34,339				
Medical School Academic Building Renovation Total				34,339	34,339	-	-	-	-
UHC New Construction & Renovation									
UHC New Construction & Renovation - Clinic Building	89,156,127	86,490,099	Substantially Complete	3,298,117	3,298,117				
UHC New Construction & Renovation Total				3,298,117	3,298,117	-	-	-	-
Sub Total - UConn Health Center (UCONN 2000 Funding Only):				5,980,111	5,980,111	-	-	-	-
Total - Storrs, Regional Campuses and UConn Health Center - Current Year Expenditures:				\$ 165,851,800	\$ 95,683,009	\$ 18,966,150	\$ 45,585,401	\$ 5,617,240	
Adjustment for Transfers Between Fund Sources***:				\$ -	\$ 3,206,417	\$ (3,170,362)	\$ -	\$ (36,055)	
Adjusted Total - Storrs, Regional Campuses and UConn Health Center - Current Year Expenditures:				\$ 165,851,800	\$ 98,889,426	\$ 15,795,788	\$ 45,585,401	\$ 5,581,185	

* - Current Funded Budget may be less than the approved budget, and represents the current funding available for the project

** - Other funding sources include State Bond Funds, Gifts, Grants and Federal Funds

*** - Per Capital Projects Policies and Procedures, transfers between funding sources may occur periodically, as determined necessary by the Office of Budget and Planning and approved by the Board of Trustees, if necessary. If a current period transfer captures expenses paid in a previous fiscal year, a negative balance occurs in the report. This adjustment corrects for prior year expenditures in the current year transfers.