

UConn

UNIVERSITY OF CONNECTICUT



Board of



TRUSTEES

VOL. 213 SEPTEMBER 30, 2020

**TELEPHONE MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

Meeting held by Telephone

September 30, 2020

Public Call In Number:

(415) 655-0002 US Toll

Access Code: 629 930 823 ##

Public Access Link:

<http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

BOARD OF TRUSTEES SCHEDULE

9:00 a.m.	Academic Affairs Committee Meeting
9:30 a.m.	Financial Affairs Committee Meeting
9:45 a.m.	Board of Trustees Meeting

BOARD MEETING AGENDA

Call to order at **9:45 a.m.**

1. Naming Recommendation for the Lowell P. Weicker, Jr. (Attachment 1)
Building at the Avery Point Campus

2. Public Participation*

* If members of the public wish to address the **Board of Trustees** during the Public Participation portion of the meeting, you must submit a request in writing 30 minutes prior to the start of the meeting (by 9:15 a.m.) to the following email address: <https://boardoftrustees.uconn.edu/>. Please indicate your **name, telephone number, and topic** to be discussed. Per the University By-Laws, the Board may limit public comment to a maximum of 30 minutes. As an alternative, you may also submit your comments via email which will be shared with the Board.

3. Chairman's Report

(a) Matters outstanding

(b) Minutes of the meetings of June 24, July 15, and August 19, 2020

(c) Consent Agenda Items:

(1) Contracts and Agreements (Attachment 2)

(2) Annual Report of the Endowed Chair Program Entitled (Attachment 3)
"Aetna English Chair of Writing"

(3) Tenure at Hire (Attachment 4)

(4) Designation of Emeritus Status (Attachment 5)

- (5) Sabbatical Leave Recommendations (Attachment 6)
 - (6) Appointment of Board Representative to the Connecticut Agricultural Experiment Station Board of Control (Attachment 7)
 - (7) Reports of Endowed Chairs for the Period July 1, 2019 to June 30, 2020 (UConn Health) (Attachment 8)
 - (d) Election of Board Secretary and Committee Vice-Chairs
- 4. President's Report
 - (a) Items requiring Board discussion and approval:
 - (1) Honorary Degrees (Attachment 9)
- 5. Academic Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Informational Item:
 - (1) Academic Program Inventory (Attachment 10)
- 6. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Presentation: Operating Budget Update (Attachment 11)
 Presenters: Executive Vice President for Administration and Chief Financial Officer Jordan
 Executive Vice President for Health Affairs Agwunobi
 - (c) Items requiring Board discussion and approval:
 - (1) FY 20 Capital Expenditures (Attachment 12)
 - (2) Project Budget (Final) for Agricultural Biotechnology Laboratory Phoenix Controls Upgrade (Attachment 13)
 - (3) Project Budget (Final) for Chemistry Building Roof Snow Guards (Attachment 14)
 - (4) Project Budget (Final) for Y & Z Parking Lots, Repaving and Electrical Restoration, Phase 1 & 2 (Attachment 15)
 - (5) Project Budget (Final) for Andover Infrastructure and Software Upgrade Phase I (Attachment 16)
 - (6) Project Budget (Design) for North Eagleville Road and Discovery Drive Intersection Improvements (Attachment 17)
 - (7) Project Budget (Revised Design) for UConn Hockey Arena (Attachment 18)
- 7. UConn Health Report
 - (a) Report on UConn Health activities
- 8. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities


9. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
10. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
11. Student Life Committee Report
 - (a) Report on Committee activities
12. Institutional Advancement Committee Report
 - (a) Report on Committee activities
13. Committee on Compensation Report
 - (a) Report on Committee activities
14. Committee for Research, Entrepreneurship and Innovation Report
 - (a) Report on Committee activities
15. Committee for Diversity, Equity and Inclusion Report
 - (a) Report on Committee activities
16. Other business
17. Executive Session anticipated
18. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

September 30, 2020

TO: Members of the Board of Trustees

FROM: Thomas C. Katsouleas 

RE: **Naming Recommendation for the Lowell P. Weicker, Jr. Building
at the Avery Point Campus**

RECOMMENDATION:

That the Board of Trustees authorizes the naming of the Marine Science Building at the Avery Point Campus in honor of former Governor Lowell P. Weicker, Jr.

BACKGROUND:

Lowell P. Weicker, Jr. served his community, the State of Connecticut, and the United States of America in public office for more than three decades. He served as a member of the Connecticut General Assembly, the First Selectman of Greenwich, United States Congressman from Connecticut's 4th district; as United States Senator representing Connecticut from 1971 to 1989, and finally as Governor of Connecticut from 1991 to 1995.

In 1992, he received the John F. Kennedy Profile in Courage Award for his successful efforts to establish a state income tax in Connecticut to battle major state budget deficits. The John F. Kennedy Library, which bestows the award, said at the time: "Governor Weicker demonstrated extraordinary political courage in this battle. He risked his career by challenging the status quo and the popular bipartisan anti-income tax tradition. Despite intense political and public criticism, threats to his safety, and large-scale bitter protests, he persevered and finally prevailed in this fight. His steadfast leadership on this major issue stands as a reminder for all American citizens and officials that governments at every level in this country can and must find the resources needed to meet their obligations to the people they serve."

His proud legacy of public service in Connecticut and the nation as well as his courage in the face of major challenges on behalf of his state and his constituents is such that the University of Connecticut should name a major facility in his honor.

Governor Weicker is a longtime resident of Essex, Connecticut, which is in close proximity to UConn's Avery Point campus. In light of this, it is asked that the Marine Science Building on that campus be named in honor of Governor Weicker.

At its September 22, 2020, meeting, the Institutional Advancement Committee recommended approval to the full Board.









ATTACHMENT 2

**CONTRACT AGREEMENTS
FOR APPROVAL
SEPTEMBER 30, 2020
PROCUREMENT - NEW**

AUDIO VISUAL EQUIPMENT, SUPPLIES AND SERVICES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	RGB Systems, Inc.	171001	\$1,500,000	12/15/17-12/18/21	TIP Funds	Gregory F. Daniels, J.D, Interim AVP & CPO, University Business Services	Audio visual equipment, supplies and services for the Storrs and Regional campuses.
IT HARDWARE PRODUCTS AND RELATED SERVICES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
2	ePlus	MC12-F05	\$1,000,000	10/05/18-09/30/21	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	IT hardware products and related services for the Storrs and Regional campuses. Sourced through the Massachusetts Higher Ed Consortia (MHEC). Future extensions may be exercised at the discretion of MHEC.
MEDICAL TESTING SERVICES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
3	Vault Medical Services	UC-20-KK062520-1	\$2,000,000	08/01/20-07/31/20	Multiple Sources	Suzanne Onorato, Executive Director Student Health Wellness	COVID mail-in testing services for commuter reentry need. Amount factors in additional funds to provide flexibility if other testing requirements arise for students, faculty or staff. This contract is the result of a public solicitation.
NETWORK SERVICES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
4	CenturyLink Communications LLC	MC12-J04	\$1,500,000	12/01/12-06/30/21	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	Provide network services for CEN. Sourced through the Massachusetts Higher Ed Consortia (MHEC). Future extensions may be exercised at the discretion of MHEC.

CONTRACT AGREEMENTS

FOR APPROVAL

SEPTEMBER 30, 2020

PROCUREMENT - AMENDMENTS

2-WAY RADIO EQUIPMENT

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditure FY19	Purpose
5	Motorola Solutions, Inc.	99ITZ0001MA	\$0; [Contract Value Previously \$3,310,867; Contract Value Remains the Same]	01/01/21-12/31/50	Multiple Sources	Hans Rynhart, AVP, Public Safety and Chief of Police	\$662,288	\$0	\$0	2-Way radio equipment, primarily for the Division of Public Safety. Amend to extend term thirty (30) years, through 12/31/50. Contract value remains the same. Sourced through a State of Connecticut contract. Future extensions may be exercised at the discretion of the State.

AUDIO/VISUAL EQUIPMENT AND IT PERIPHERALS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditure FY19	Purpose
6	B&H Foto & Electronics Corp	CNR01341	\$1,000,000; [Contract Value Previously \$2,028,569; Total New Contract Value \$3,028,569]	08/01/13-07/31/23	Multiple Sources	Scott Jordan, Executive Vice President for Administration & Chief Financial Officer	\$1,418,925	\$298,316	\$231,026	IT peripherals and supplies, including photographic equipment and supplies for the Storrs and Regional campuses. Amend to extend term three years, through 7/31/23. Sourced through a State of Connecticut contract. Future extensions may be exercised at the discretion of the State.

**CONTRACT AGREEMENTS
FOR APPROVAL
SEPTEMBER 30, 2020**

FIRE ALARM SYSTEM ALTERATIONS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditure FY19	Purpose
7	Siemens Industry	UC-19-LM101118	\$400,000; [Contract Value Previously \$990,000; Total New Contract Value \$1,300,000]	03/01/19-02/28/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$710,316	\$710,316	\$0	Fire alarm installation, upgrading, relocation and repair for all University campuses, excluding UCH. Amend to increase contract value \$400,000, for total new contract value of \$1,300,000. Contract term remains the same. Three extensions of one year each remains.
FUEL - DIESEL										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditure FY19	Purpose
8	Dime Oil	+A1	\$400,000; [Contract Value Previously \$1,754,382; Total New Contract Value \$2,154,382]	07/01/15-06/30/21	Operating Funds	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$1,615,715	\$466,156	\$362,902	Number 2 vehicular diesel fuel for all University campuses. Amend to increase contract value \$400,000, for total new contract value of \$2,154,382. Sourced through a State of Connecticut contract. Future extensions may be exercised at the discretion of the State.

**CONTRACT AGREEMENTS
FOR APPROVAL
SEPTEMBER 30, 2020**

JANITORIAL SUPPLIES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditure FY19	Purpose
9	C&C Janitorial Supplies	MC15-G17	\$600,000; [Contract Value Previously \$6,005,619; Total New Contract Value \$6,600,619]	10/01/12-10/31/20	Operating Funds	Michael Jednak, AVP Facilities Operations & Building Services	\$6,030,567	\$1,530,511	\$1,447,902	Janitorial equipment and supplies for all University campuses. Amend to increase contract value \$600,000, for total new contract value of \$6,605,619. Contract term remains the same. Sourced through a Massachusetts Higher Education Consortia contract. Future extensions may be exercised at the discretion of the Consortia.
NETWORK SECURITY HARDWARE & SOFTWARE										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditure FY19	Purpose
10	Phantom, Technologies, Inc.	O1-70	\$1,000,000; [Contract Value Previously \$2,100,000; Total New Contract Value \$3,100,000]	05/01/17-08/30/21	Multiple Sources	Michael Mundrane, Vice Provost and Chief Information Officer	\$558,648	\$236,381	\$322,267	Network security hardware through the network operated by the Connecticut Education Network [CEN] for all University campuses, excluding UCH. Amend to increase contract value \$1,000,000, for total new contract value of \$3,100,000. Amend extend term one year, through 08/30/21. Sourced through the National Cooperative Purchasing Alliance (NCPA). Future extensions may be exercised at the discretion of NCPA.

**CONTRACT AGREEMENTS
FOR APPROVAL
SEPTEMBER 30, 2020**

PERSONAL COMPUTERS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditure FY19	Purpose
11	Dell Marketing, LP	UC-MF080913	\$2,000,000; [Contract Value Previously \$27,838,000; Total New Contract Value \$29,838,000]	07/01/14-12/31/20	Multiple Sources	Scott Jordan, Executive Vice President for Administration & Chief Financial Officer	\$23,264,337	\$4,483,905	\$4,275,719	Dell desktop computers for all University campuses, with the exception of UCH. Amend increase contract value \$2,000,000, for total new contract value of \$29,838,000. Contract term remains the same. Zero extensions remain.
PROMOTIONAL SUPPLIES AND APPAREL										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditure FY19	Purpose
12	Victor Advertising Service, LLC	JL101515	\$250,000; [Contract Value Previously \$1,300,000; Total New Contract Value \$1,550,000]	12/08/16-11/30/21	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP & CPO, University Business Services	\$1,062,621	\$166,585	\$254,292	Promotional supplies and apparel for all University campuses. Amend increase contract value \$250,000, for total new contract value of \$1,550,000. Amend to extend term one year, through 11/31/21. One extension of one year remains.

**CONTRACT AGREEMENTS
FOR APPROVAL
SEPTEMBER 30, 2020**

TRAVEL AGENCY - ATHLETICS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditure FY19	Purpose
13	Anthony Travel, Inc.	UC-18-KA021617-	\$0; <i>[Contract Value Previously \$25,000,000; Contract Value Remains the Same]</i>	07/01/17-06/30/22	Multiple Sources	Greg Daniels, Interim AVP & CPO, University Business Services	\$8,406,558	\$2,709,601	\$3,664,008	Provide a full range of travel services to the University including, but not limited to, airline bookings, hotel bookings, group travel, and after-hour services. Vendor is paid the salary for an on-site agent and any applicable pass-through charges to airlines and/or hotels. Contract value remains the same. <i>Amend to add two extensions of two years each and update contract terms (including updated state required language).</i> Current term and contract value remain the same.

CONTRACT AGREEMENTS

FOR APPROVAL

SEPTEMBER 30, 2020

REVENUE AGREEMENT - AMENDMENT

ORGANIZATIONAL AND STAFF DEVELOPMENT SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Revenue Recipient	Program Director	Revenues FY20	Revenues FY19	Revenues FY18	Purpose
13	Connecticut Office of Health Advocate	19OHS00013-02	\$7,631,587.52; [Contract Value Previously \$23,823,545 Total New Contract Value \$31,455,133]	12/31/17-07/31/23	AIMS - School of Nursing	Alan Fontes, Director	\$7,070,000	\$9,011,140	\$7,742,405	This revenue generating Memorandum of Agreement (MOA) is a partnership between the Connecticut Office of Health Advocate (OHS) and UConn School of Nursing - AIMS whereby UConn shall continue to enhance, operate and maintain Core Data Analytics Solution (CDAS), to provide the data exchange, advanced analytics and information management systems and services to enable OHS's ability to deliver information across the state. Amend to increase contract value \$7,631,588 for total new contract value of \$31,455,133. Extensions to this MOA may be exercised by written agreement of all parties.

LICENSE FOR APPROVAL

UNIVERSITY AS LICENSEE

No.	Licensor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	GSCT Owner, LLC or GSCT Lessee, LLC (dba Graduate Hotel Storrs)	Not to Exceed \$1,425,000	10/07/20-05/28/21	Operating Fund - General	Michael Gilbert, Vice President for Student Affairs	License Agreement to support university temporary housing needs for isolation and quarantine due to the COVID 19 pandemic. The university will have exclusive use of the 100 guestroom hotel for a period of up to eight (8) months, with the right to cancel the agreement at any time with 45 days notice. Acknowledgement of compliance with some of the ground lease terms and conditions during the university use period will be included.

**CONTRACT AGREEMENTS
FOR APPROVAL
SEPTEMBER 30, 2020
LEASES FOR APPROVAL**

UNIVERSITY AS LANDLORD

No.	Tenant	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Subway Real Estate, LLC	\$36,000, plus percentage of sales. <i>*Percentage of sales only 09/01/2020-01/31/2021</i>	07/01/13-08/21/21 (with further extension options)	Operating Fund - General	Michael Gilbert, Vice President for Student Affairs	Third Amendment to Lease for retail and storage space for Subway in the building known as the Student Union, at the Storrs campus. Due to the University limiting the hours of operation of the Student Union, this amendment changes the monthly rent due during the period of September 1, 2020 to January 31, 2021 to a percentage of sales only.
2	Tracy Donuts, Inc.	\$24,000, plus percentage of sales. <i>*Percentage of sales only 09/01/2020-01/31/2021</i>	09/28/11-01/29/22 (with further extension options)	Operating Fund - General	Michael Gilbert, Vice President for Student Affairs	Third Amendment to Lease for retail and storage space for Dunkin' Donuts in the building known as the Student Union, at the Storrs campus. Due to the University limiting the hours of operation of the Student Union, this amendment changes the monthly rent due during the period of September 1, 2020 to January 31, 2021 to a percentage of sales only.

ATTACHMENT 3

September 30, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

RE: Annual Report of the Endowed Chair Program Entitled "Aetna Chair of Writing"



RECOMMENDATION:

In accordance with statute, the Board of Trustees directs the submittal of the annual report prepared by the University Administration to the Office of Higher Education concerning the endowed chair expenditures for the Endowed Chair Program entitled "Aetna Chair of Writing".

RESOLUTION:

"Be it resolved that the Board of Trustees acknowledges receipt of the annual report concerning the endowed chair expenditures for the Endowed Chair Program entitled "Aetna Chair of Writing"; and directs the submittal of these annual reports to the Office of Higher Education."

BACKGROUND:

The Aetna Chair of Writing is under the direction of Professor Brenda Jo Brueggemann and is funded jointly by the UConn Foundation and the Office of Higher Education Investment Fund. It was established during the 1988-89 fiscal year as authorized by C.G.S. Section 10a-20a. Subsection (f) of the statute states that "the Board of Trustees shall submit annual reports to the Office of Higher Education concerning the endowed chair expenditures."

The 2020 annual report consists of a financial report and a narrative report by Professor Brueggemann.

Attachments



DATE: August 31, 2020

TO: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

FROM: Patricia Casey
Associate Vice President, Financial Operations and Controller

RE: FY20 Report: Aetna Chair of Writing Program

Enclosed is the financial report for the Aetna Chair of Writing program, under the direction of Professor Brueggemann, for fiscal year ended June 30, 2020. The report provides a summary of the financial operations of the program managed by the University and the UConn Foundation. The expenditures for fiscal year 2020 totaled \$44,867.26.

Professor Brueggemann has provided a narrative of the writing program's operation for fiscal year 2020, which is also enclosed. Section 10a-20a (f) of the Connecticut General Statutes requires an annual report approved by the University's Board of Trustees to be submitted to the Office of Higher Education (OHE) for the Endowed Chair of Writing.

Additional notes are provided on the financial report disclosing the year-end balances of the Foundation and OHE endowment funds.

Thank you for your assistance in this matter. If you should have any questions or need any additional information, please do not hesitate to contact me.

Enclosures

c: B. Brueggemann
R. Hasenfratz
G. Ganz

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University of Connecticut and
University of Connecticut Foundation
Aetna Chair of Writing
Fiscal Year Ended June 30, 2020

	University	Foundation	Combined Total
Beginning Balance as of July 1, 2019	\$33,946.45	\$39,506.59	\$73,453.04
<u>Revenue</u>			
Pooled Endowment Income Allocation	0.00	34,017.06	34,017.06
Transferred From UConn Foundation	37,083.58	(37,083.58)	0.00
TOTAL REVENUES (EXPENDITURES)	37,083.58	(3,066.52)	34,017.06
<u>Expenditures</u>			
Salary and Wages	3,100.00	0.00	3,100.00
Printing and Binding	63.75	0.00	63.75
Conferences and Meetings	3,243.06	0.00	3,243.06
Travel	7,083.58	0.00	7,083.58
TOTAL Contractual Services	10,390.39	0.00	10,390.39
Office Supplies	376.87	0.00	376.87
TOTAL Commodities	376.87	0.00	376.87
Awards and Fellowships	31,000.00	0.00	31,000.00
TOTAL Sundry Charges	31,000.00	0.00	31,000.00
TOTAL EXPENDITURES	44,867.26	0.00	44,867.26
Ending Balance as of June 30, 2020	\$26,162.77	\$36,440.07	\$62,602.84

This endowed chair is not supported by any University funds, but the University maintains accounts which receive revenue from the Foundation and the Office of Higher Education (OHE). These University accounts disburse the funds in support of the program including all funds transferred from the Foundation and the OHE. There were no transfers from the OHE in fiscal year 2020. The first column above summarizes the University's revenue and expenditure activity for this chair. The Foundation also disburses certain expenses directly to third parties on behalf of the University as included above in the second column in fiscal year 2020, although there were no expenses in fiscal year 2020.

The Aetna Chair of Writing has funds held at the Foundation and the OHE. The Foundation endowed fund was established with a gift of \$506,989 (amount permanently restricted) in 1986 and was valued at \$839,248.43 at June 30, 2020, which included accumulated gains/losses on the original gift. The spendable portion of the Foundation endowed fund was \$36,440.07 as of June 30, 2020. The OHE endowed fund was established with \$500,000 of state funds and is invested in the Endowed Chair Investment Fund (managed by the State Treasurer). The interest earned on this fund supports the Aetna Chair of Writing. The value of this fund was \$518,806.79 at June 30, 2020, including principal of \$500,000 and interest of \$18,806.79.

Annual Report, 2019-2020

Aetna Chair of Writing

writing.uconn.edu

PREFACE

Background: In 1986 the Aetna Foundation gave UConn a half million dollars to support the Connecticut Writing Project and related writing activities. This money was deposited in a well-managed UConn Foundation account. The State of Connecticut matched Aetna's half million dollar donation and those funds are invested in the Short-Term Investment Fund at the State of Connecticut.

Current Aetna Chair: In August 2016, Professor Brenda Jo Brueggemann arrived at the University of Connecticut to begin a new term as the Aetna Chair of Writing. Professor Brueggemann is a scholar of international reputation who works at the intersections of writing, rhetoric, disability studies, and creative nonfiction. She has edited major journals, led international scholarly associations, coordinated innovative interdisciplinary projects, received major grants, and written or edited nine books. At her previous academic institutions—most recently the University of Louisville (3 years) and before that The Ohio State University (21 years)—she won teaching awards, mentored many graduate students into successful academic careers, and founded nationally lauded programs in writing, diversity, disability, and public engagement. Professor Brueggemann is also the current co-editor of [*Disability Studies Quarterly*](#).

2018-2019 Aetna Advisory Board Members (21):

Kyle Barron, English PhD student
Pam Bedore, Writing Program Coordinator, Avery Point campus
Lisa Blansett, Associate Director, First-Year Writing Program, Storrs campus
Scott Campbell, Writing Program Coordinator, Hartford campus
Ellen Carillo, Writing Program Coordinator, Waterbury campus
Jason Courtmanche, Director, Connecticut Writing Project
Tom Deans, Director, University Writing Center
Hannah Dostal, Neag School of Education, Deaf literacy
Anke Finger, German and Digital Media Studies
Sean Forbes, Director, Creative Writing Program
Serkan Gorkemli, Writing Program Coordinator, Stamford campus
Sara Harrington, Associate Director, UConn Libraries
Yohei Igarashi, English Department, UCHI/DHMS Director
Carole Ann Jackson, Sociology PhD student
Blair T. Johnson, Psychology
Douglas Kaufman, Neag School of Education
Kathy Knapp, English Department
Bob Hasenfratz, English Department (Head)
Melanie Hepburn, Business Manager, English Department
Victoria Ford Smith, English Department, Director of Graduate Studies
Anna Ziering, English PhD student

Events and activities focused on writing that are sponsored by the Aetna endowment touch a wide range of departments across UConn, and some extend well beyond campus to enrich the teaching of writing across the State of Connecticut. The annual report that follows is divided into two major sections:

1. New Initiatives and Events supported in the 2019-2020 annual academic year
2. 2019-2020 Reports to the Aetna Chair from those who received funding

I. NEW INITIATIVES AND EVENTS IN 2019-2020

Website:

The Aetna Chair's website was considerably updated and now features, among other things, video interviews with the 2018-2019 graduate research, travel, writing, and teaching award winners. The award recipients discussed their Aetna-funded projects, the ways in which they access writing, and the "dreams" they have for increased support towards graduate student writing at UConn. The video-recorded conversations were condensed and compiled into a series of three videos: <https://writing.uconn.edu/aetna-grad-awards-conversations/>

SCHARP grant application completed:

Writing x Technology. In Dec. 2019 The Aetna Chair worked with a team of interested campus-wide collaborators and completed an application for the [UConn Scholarship and Collaboration in the Humanities and Arts Research \(SCHARP\) "Breakthrough" Award](#) for a research and event project on *Writing x Technology*. The requested funds for this project were \$50,000. Because of COVID-19, the award has still not been determined or finalized.

COVID-19 closures and disruption.

Unfortunately, there is not much to report for this academic year due to the COVID-19 campus closure of UConn at Spring Break in mid-March 2020 which put an end to the many big writing events that always take place annually and that are funded, in full or part, by the Aetna Chair of Writing endowment:

- The annual Conference on the Teaching of Writing (scheduled for April 17)
- The annual Aetna Celebration of Creative Nonfiction (scheduled for April 9)
- The 3rd annual Aetna Celebration of Student Writing and Awards Ceremony (scheduled for April 27). Instead of an Awards Ceremony we created a ["Virtual Awards Ceremony"](#) at the Aetna Chair's website where students who won awards were invited to contribute any of the following:
 - a photo, preferably UConn-related
 - a brief "backstory" of how their award-winning submission had come to fruition
 - a 5 min. audio or video recording from their award-winning piece.

Most award winners were eager to contribute to this historic Virtual Celebration -- the first time there have been extended and dedicated features for the many Aetna student writing prizes at the website!

Continued graduate research/writing focus and enhancement.

Before UConn's on-campus closure in mid-March 2020 considerable funding and awards for UConn's graduate students conducting writing-focused research and teaching were also maintained.

Eight (8) **graduate research and travel awards** were distributed this year totally \$3,600:

1. Kyle Barron. Presentation at the International Writing Centers Association meeting in Columbus OH: "The Flash Tutoring Project @ UConn" [\$500]
2. Sophia Buckner. Presentation at the International Writing Centers Association meeting in Columbus OH: "The Flash Tutoring Project @ UConn" [\$500]
3. Kathryn Warrender-Hill. Presentation at the International Writing Centers Association meeting in Columbus OH: "The Flash Tutoring Project @ UConn" [\$500]
4. Kerry Carnahan. "We Make the Syllabus: Doing Harney and Moten's 'Study' in First-Year Writing" at the 2020 Modern Language Association (MLA) convention in Seattle, WA
5. Nicole Lawrence. "Collaboration in Student Composition and Learning" at the 2020 Modern Language Association (MLA) convention in Seattle, WA [\$400]
6. Kaylee Mootz. "Diverse Destinies: Envisioning Futures for Youth of Color" Co-Sponsored by the Children's Literature Association and the Society for the Study of Multi-Ethnic Literatures of the United States Modern Language Association, Seattle, WA, January 2020 [\$400]
7. Arpita Mandal. "Writing Rhetorically and Audience Awareness." at the 2020 Modern Language Association (MLA) convention in Seattle WA [\$400]
8. Gabriel Morrison. Research/interview coding for a dissertation chapter study during the spring 2020 semester entitled "Writing Across Technology and Borders." [\$500]

Also featured at the ["Virtual Celebration" area of the Aetna Chair's website](#) are the winners of the **Graduate Critical Writing Award**. This year's winners were:

- 1st Place: Julia Brush, Department of English. "Poeisis Ex Machina: Cyborg Poetics and Digital Humanities" (\$500)
- 2nd Place: Danielle Dumaine, Department of History. "The Apartment Workshop: Diane di Prima in New York City, 1953-1966" (\$250)
- Honorable Mention: Nathan Braccio, Department of History, "The Rise of Surveyors and the Decline of Algonquin Guidance: The Creation of a New, English, Spatial Epistemology in America, 1635-1660" (\$100)
- Honorable Mention: Olivia Marcus, Department of Anthropology "A sense of scents: perfumes and healing in Peruvian mestizo shamanism" (\$100)

Finally, the **Graduate Teaching of Writing Award** was also awarded this year to the following:

Winner: Gabriel Morrison, Department of English (\$500)

Aetna Commendation for Excellence in the Teaching of Writing:

Amy Fehr, Department of English (\$100)

Manuel Ramirez, Department of Sociology (\$100)

II. 2019-2020 REPORTS to the Aetna Chair

Programs receiving Aetna Chair support/funds in 2017-2018 include the following:

1. The Connecticut Writing Project
2. The Creative Writing Program
3. The First-Year Writing Program
4. UConn Regional Campus Writing Programs
 - a. Avery Point campus
 - b. Hartford campus
 - c. Stamford campus
 - d. Waterbury campus
5. University Writing Center
6. Writing Internship Program
7. Graduate Student Awards
8. Writing Prizes

The following is a brief summary of major reports from those who received Aetna Chair funding in 2019-2020.

1. The Connecticut Writing Project (CWP)

The \$24,000 allocated to the CWP by the Aetna Advisory Board for the 2019-20 academic year was combined with grant funding from the Connecticut State Department of Education to provide 15 Fellowships to teachers and graduate students to attend the 2020 Invitational Summer Institute, and to provide honoraria to three Teacher-Consultants of the CWP to assist the Director in running the Summer Institute. The foundational program of the CWP is an annual [Invitational Summer Institute](#). Each Summer Institute runs for seven hours a day, five days a week, for four weeks, and participants earn six graduate credits in English (though some participants who do not need the credits participate for non-credit-bearing professional development, alone). Participating teachers conduct inquiry projects that require them to study current research in the teaching of writing and compile a portfolio of their own writing. Thus, the focus is a combination of theory and practice.

Their intended inquiry projects focus on reading, creative writing, bilingualism, digital media, college-level writing, interdisciplinary writing, writing centers, and social justice.

For the 2019-2020 funding period, the CWP Summer Institute has migrated online. Approval was received in early April from UConn's Center for Excellence in Teaching and Learning (CETL) to move online, and 15 applications were received. Virtual interviews were conducted in April and 12 Fellowships were offered. All 12 teachers accepted. We are using the \$25,000 from Aetna supplemented by \$3,000 from the CWP's discretionary funds to offer 6 for-credit Fellowships and 6 not-for-credit Fellowships. Three of this summer's CWP Institute participants are pre-service teachers, either entering graduate school or just completing their MA. The other nine are veterans with anywhere from 2 to 20+ years of teaching experience. All but one are secondary English teachers. (The one is a 6th grade classroom teacher.) They are from a mix of urban, suburban, and rural districts. Their initial research project ideas are

on Assessment, Writing in the Content Areas, Reading, Social and Emotional Learning, and Multimodal Literacy. The CWP Summer Institute will run from June 22 to July 17, 2020.

2. The Creative Writing Program (Department of English)

Poetic Journeys: \$1,000

Printing and reception for UConn Poetry Transportation project. Under the direction of Aaron Proudfoot who served this academic year as the Graduate Assistant Director of the Creative Writing Program, and in collaboration with undergraduate students from the UConn Design Center Studio, six posters were designed featuring short excerpts of poems by two undergraduate students and four graduate students respectively: Violet Duval, Sydney Guerrero, Maurice Rodriguez, Daniel Healy, Julia Brush, and Sophia Buckner.

Please note that the posters have yet to be printed as we are waiting for permission from the UConn Business Office.

Long River Review Production: \$2,000

Partial support—other funding comes from Creative Writing Program, the Collins Prize, and journal sales. This marked the 23rd edition of the *Long River Review*, UConn's award-winning art and literary journal. Under the direction of faculty advisor Darcie Dennigan, 21 undergraduate students worked tirelessly to produce the journal in collaboration with the UConn Design Center Studio. The Virtual Release Party via Zoom was held on Tuesday, April 28th.

Please note that the journals have yet to be printed as we are waiting for permission from the UConn Business Office.

3. The First-Year Writing Program

The Aetna Chair of Writing works closely with the First-Year Writing (FYW) Program at UConn since she also currently serves as the Director of that program as well. While she meets weekly then in pre-established FYW staff meetings and other events the program carries out, she does not advise on the FYW budget request to the Aetna Chair. That request is discussed among the other FYW staff members and then formally submitted by Lisa Blansett, Associate Director, First-Year Writing Program. The annual FYW Program report to the Aetna Chair is also submitted by Professor Lisa Blansett, Associate Director, FYW.

39th Annual Lilly Conference on College Teaching

In Fall 2019, First-Year Writing presented at the 39th Annual Lilly Conference on College Teaching at Miami University in Oxford, OH. With David Des Armier in CETL, we organized and facilitated a pre-Conference workshop titled, "Active + Accessible: Multimodal Teaching and Learning— Approaches to a First-Year Writing Course." The workshop introduced participants to our new curriculum by asking them to create a visual map or exhibit using our Course Moves. We spent approximately \$2,000 on conference registration fees, travel, and accommodations.

2020 CCCC Annual Convention

We organized an Engaged Learning Experience (ELE) for the 2020 CCCC Annual Convention. However, the convention was cancelled due to COVID-19. We plan to revise and adapt our proposal for the planned ELE, "Designing Inclusive Multimodal Spaces" for the 2021 CCCC Annual Convention. We spent approximately \$1,300 on non-refundable airline tickets.

4. Regional Campus Writing Programs

Because the current Aetna Chair of Writing is also the Director of UConn's First-Year Writing Program overall, she has the opportunity to meet frequently with all of the regional campus writing program coordinators. The Aetna funds support various initiatives on several of the UConn regional campuses. For example, at **Avery Point** (under leadership by Dr. Pam Bedore) a monthly Friday Writing Retreat is hosted. Five of these retreats were held before Covid-19 canceled the last two. 61 participants joined the five (5) different retreats in 2018-2019. At the **Waterbury** campus (with initiatives organized by Dr. Ellen Carillo), Aetna funds supported 2 key writing initiatives: (1) Academic Integrity Awareness Week; and (2) The Thank You Card Project (approximately 50 participants).

At the **Stamford campus** (under leadership by Serkan Gorkemli) \$1,300 from Aetna funds was used for 10 different workshops training 13 part-time instructors about the new FYW curriculum during Spring 2020. One workshop theme focusing on curricular revision and syllabus re-design and the other on multi-modal writing pedagogies and assignments. During these 10 workshops, 13 UConn Stamford-FYW instructors reviewed information regarding the curriculum revision, sample syllabi, and assignments from the FYW sections at the Storrs campus, and they discussed revising their existing curriculum to fit the new course design, with the specific goal of teaching one multimodal assignment during the academic year 2020-2021.

The **Hartford** campus writing program did not make use of Aetna funds in the 2019-2020 year because their planned use of Aetna funds for an event on sound-related writing pedagogies was disrupted by Covid-19.

5. The Writing Center

Supported \$600 for Aetna Writing in the Disciplines Awards: 3 awards @ \$200 each. (The Aetna Writing in the Disciplines Award winners are also featured at the [Aetna Virtual Celebration of Student Writing](#) and outlined in more detail below. An additional \$900 was requested in support of an annual speaker/event that was canceled due to Covid-19

7. Graduate Student Research, Travel, Critical Writing, Teaching Awards

Outlined in Section I. above.

8. Writing Prizes

First-Year Writing Awards. (all winners are featured at the [Aetna Virtual Celebration of Student Writing](#) with many students reading 5 mins. from their writing projects)

- 1st Place: Palakjot Bedi, "A Uniting Aspiration: The Political Revolution of John Lennon's 'Imagine.'" Instructor: Julia Wold
- 2nd Place: Owen Spangler. "Visual Pleasure in Narrative Cinema and the Transformation of Philip Dick." Instructor: Julia Brush

Writing in the Disciplines Awards (All winners are featured at the [Aetna Virtual Celebration of Student Writing](#) with many students offering a photo and a “backstory” for their writing projects.)

Humanities

Co-Winners:

- Rebecca Maher. "On Such a Full 'C'" Instructor: Clare Eby, English
- Brianna Dyer "Genocide in Xinjiang." Instructor: Jack Barry, Global Training & Development Institute

Honorable Mentions:

- Laurane Fumex. "Louis Althusser through a Totalitarian Lens." Instructor: Charles Venator-Santiago, Political Science
- Kanika Malani. "Removal of Children from Their Families and Homes in India as a Result of Child Labor." Instructor: Françoise Dussart, Anthropology
- Kayla Simon. "Ophelia, I Want a Bigger Boat: Analyzing the Importance of Jordan Peele's *Us* in Contemporary America." Instructor: Kathy Knapp, English

Social Sciences

Winner:

- Frederick Augur. "Six Years to Life: The Impact of Term Length on Judicial Independence." Instructors: Virginia Hettinger and Jennifer Sterling-Folker, Political Science

Honorable Mentions:

- Julie Brisson. "Mindfulness-Based Stress Reduction as Burnout Prevention for Therapists: A Randomized Controlled Trial." Instructor: Samantha Lawrence, Human Development & Family Studies
- Lindsay de Brito. "The Proof is in the Partisanship: How Race Demonstrates Gerrymandering." Instructor: Jeffrey Ladewig, Political Science
- Simone Fournier. "Death Anxiety and End of Life Care in Older Adults." Instructor: Edna Brown, Human Development & Family Studies

Sciences and Engineering

Co-Winners:

- Brandon Smith. "Habitat preference of Asian shore crabs (*Hemigrapsus sanguineus*) in relation to rock size and location within the rocky intertidal zone." Instructor: Morgan Tingley, Ecology & Evolutionary Biology
- Michael Taylor. "Trophic Cascades: The Dynamics of the Web of Life in a Changing World." Instructor: Dr. Carlos Garcia-Robledo, Ecology & Evolutionary Biology

Honorable Mentions:

- Stephanie Bleasdale. "Modern Society and Sleep: The Blue Light Warning." Instructor: Dr. Colleen Spurling, Molecular & Cell Biology
- William Duffey. "Critical Micellar Concentration and Formation in Soap and its Effects on Conductivity of Water." Instructor: KarenAnn Caldwell, Chemistry
- Ashley Roy. "Connecticut Conservationist." Instructor: Gabriel Morrison, English

Creative Writing Awards. (All winners are featured at the [Aetna Virtual Celebration of Student Writing](#) with many students reading 5 mins. from their writing projects)

- Aetna Graduate Creative Nonfiction Prize: Quinn Molloy. (\$250)
- Aetna Undergraduate Creative Nonfiction Prize: Aner Bajraktarevic. (\$250)
- Aetna Translation Prize: Ain Jeong. (\$250)
- Aetna Children's Literature Prize: Kelly Rafferty. (\$250)
- *Long River Review* Graduate Writing Award: Maurice Rodriguez. (\$250)

Respectfully submitted,



Brenda Jo Brueggemann

Professor, Department of English
Aetna Endowed Chair of Writing
Director, First-Year Writing Program
June 29, 2020

ATTACHMENT 4

UNIVERSITY OF CONNECTICUT
PROMOTION AND TENURE RECOMMENDATIONS
PRESENTED TO THE BOARD OF TRUSTEES - September 30, 2020

NAME

DEPARTMENT

SCHOOL/COLLEGE

Effective August 23, 2020

TENURE AS PROFESSOR

Frasca, Salvatore	Pathobiology and Veterinary Science	Agriculture, Health, and Natural Resources
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TENURE AS ASSOCIATE PROFESSOR

Qin, Yang	Chemical and Biomolecular Engineering	Engineering
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Steinke, Jocelyn	Communication	Liberal Arts and Sciences
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ATTACHMENT 5

**University of Connecticut Department of Human Resources
Emeritus Retirees**

September 30, 2020 Board of Trustees Meeting

Name	Title	Department	School/College	Retired	Hired
Ammar, Reda A.	Professor	Computer Sci and Engineering	School of Engineering	8/1/2020	3/5/2005
Bailey, William F.	Professor	Chemistry	Liberal Arts and Sciences	9/1/2020	9/1/1975
Bull, Nancy H.	Professor	Department of Extension	Agriculture, Health & Nat Res	7/1/2020	1/1/1993
Gould, Phillip	Professor	Physics	Liberal Arts and Sciences	7/1/2020	1/1/1988
Hegde, Shantaram P.	Professor	Finance	School of Business	9/1/2020	9/1/1990
Parnas, Richard S.	Professor	Chem & Biomolecular Engr	Liberal Arts and Sciences	9/1/2020	8/23/2001
Pomeroy, Robert	Professor	Agricultural and Resource Econ	Agriculture, Health & Nat Res	7/1/2020	8/23/2002
Snyder, Leslie B.	Professor	Communication	Liberal Arts and Sciences	9/1/2020	9/1/1986
Volin, John C.	Professor	Natural Resources and Environ	Agriculture, Health & Nat Res	8/1/2020	8/23/2007

ATTACHMENT 6

**University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
September 30, 2020 Board of Trustees Meeting**

SABBATICAL MODIFICATIONS/POSTPONEMENTS

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Bar, Haim	Assistant Professor	Statistics	Liberal Arts and Sciences Change to	Half Full	AY 20-21 Fall 2020
Bar, Talia	Associate Professor	Economics	Liberal Arts and Sciences Change to	Half Full	AY 20-21 Fall 2020
Bloomfield, Paul	Professor	Philosophy	Liberal Arts and Sciences Change to	Full Full	Spring 2021 Spring 2022
Chon, Ki H	Department Head & Professor	Biomedical Engineering	Engineering Change to	Full Full	Fall 2020 Spring 2021
Gai, Moshe	Professor	Physics	Liberal Arts and Sciences Change to	Full Full	Spring 2021 Spring 2022
Gan, Guojun	Assistant Professor	Mathematics	Liberal Arts and Sciences Change to	Full Full	Spring 2021 Spring 2022
He, Jie	Assistant Professor	Chemistry	Liberal Arts and Sciences Change to	Full Full	Spring 2021 Spring 2022
Ivakhiv, Solomiya	Associate Professor	Music	Fine Arts Change to	Full Full	Fall 2020 Spring 2021
Landi, Nicole	Associate Professor	Psychological Sciences	Liberal Arts and Sciences Change to	Half Full	AY 20-21 Spring 2021
Li, Cuihong	Director & Associate Professor	Operations and Information Management	Business Change to	Full Full	Fall 2020 Spring 2024
Mason, Robert	Professor	Marine Sciences	Liberal Arts and Sciences Change to	Full Full	Spring 2021 Fall 2021
Nyholm, Spencer V.	Associate Professor	Molecular and Cell Biology	Liberal Arts and Sciences Change to	Half Half	CY 2021 CY 2022
Puckett, Andrew	Associate Professor	Physics	Liberal Arts and Sciences Change to	Full Half	Spring 2021 CY 2021
Sanetti, Lisa M.H.	Associate Professor	Educational Psychology	Education Change to	Full Full	Fall 2020 Fall 2021
Van Der Hulst, Hendrikus G	Professor	Linguistics	Liberal Arts and Sciences	Full	Fall 2020

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE Change to	PAY Full	PERIOD Spring 2021
Webster, Gregory M	Associate Professor	Dramatic Arts	Fine Arts Change to	Half Full	AY 20-21 Fall 2021
Werkmeister Rozas, Lisa	Director & Associate Professor	Social Work	Social Work Change to	Full Full	Spring 2021 Spring 2022

SABBATICAL LEAVE REQUESTS


NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Abadia-Barrero, Cesar	Associate Professor	Anthropology	Liberal Arts and Sciences	Full	Fall 2021
Atkinson-Palombo, Carol	Associate Professor	Geography	Liberal Arts and Sciences	Half	AY 21-22
Bellizzi, Keith M	Associate Professor	Human Development and Family Sciences	Liberal Arts and Sciences	Full	Fall 2021
Bernstein, Mary	Professor	Sociology	Liberal Arts and Sciences	Full	Spring 2022
Castillo-Montoya, Milagros	Assistant Professor	Educational Leadership	Education	Half	AY 21-22
Cormier, Vernon F	Professor	Physics	Liberal Arts and Sciences	Full	Fall 2021
Dudden, Alexis	Professor	History	Liberal Arts and Sciences	Full	Fall 2021
Garran, Ann Marie	Associate Professor	Social Work	Social Work	Full	Spring 2021
Gorkemli, Serkan	Associate Professor	English	Liberal Arts and Sciences	Full	Fall 2021
Greene, Hillary	Professor	Law	Law	Half	AY 21-22
Hogan, Patrick C	Professor	English	Liberal Arts and Sciences	Full	Fall 2021
Macdonald, Earl M	Professor	Music	Fine Arts	Full	Spring 2021
McKenzie, Matthew G	Professor	History	Liberal Arts and Sciences	Full	Spring 2022
Morzillo, Anita	Associate Professor	Natural Resources and the Environment	Agriculture, Health, and Natural Resources	Full	Spring 2022
Munsch, Christin L	Assistant Professor	Sociology	Liberal Arts and Sciences	Half	AY 21-22
Naigles, Letitia	Professor	Psychological Sciences	Liberal Arts and Sciences	Half	AY 21-22
Naples, Nancy A	Distinguished Professor	Sociology	Liberal Arts and Sciences	Full	Spring 2022
Pomp, Richard D	Professor	Law	Law	Half	AY 21-22
Ramirez-Esparza, Nairan	Associate Professor	Psychological Sciences	Liberal Arts and Sciences	Half	AY 21-22
Rossetti Jr, George A	Associate Professor	Materials Science and Engineering	Engineering	Half	AY 21-22

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Schiffler, Ralf	Professor	Mathematics	Liberal Arts and Sciences	Half	AY 21-22
Schwartz, Marlene B	Director & Professor	Human Development and Family Sciences	Liberal Arts and Sciences	Full	Fall 2021
Singer, Matthew	Associate Professor	Political Science	Liberal Arts and Sciences	Full	Spring 2022
Sterling-Folker, Jennifer A	Professor	Political Science	Liberal Arts and Sciences	Full	Fall 2021
Visscher, Pieter	Professor	Marine Sciences	Liberal Arts and Sciences	Full	Fall 2021
Volgushev, Maksim A	Professor	Psychological Sciences	Liberal Arts and Sciences	Full	Fall 2021
Yee, Eiling	Assistant Professor	Psychological Sciences	Liberal Arts and Sciences	Half	AY 21-22
Zinn, Steven A	Department Head & Professor	Animal Science	Agriculture, Health, and Natural Resources	Full	Fall 2021

ATTACHMENT 7

September 30, 2020

TO: Members of the Board of Trustees

FROM: Thomas C. Katsouleas 

RE: Appointment of Board Representative to the Connecticut Agricultural Experiment Station Board of Control

RECOMMENDATION:

That pursuant to Connecticut General Statutes Chapter 426, Sec. 22-79, Dr. Michael Patrick O'Neill be appointed as the Board representative to the Connecticut Agricultural Experiment Station Board of Control for a three-year term beginning July 1, 2020.

BACKGROUND:

Dr. O'Neill replaces Mr. Paul Larson who was first appointed in 2008. He currently serves as Associate Dean for Outreach and Associate Director for UConn Extension in the College of Agriculture, Health and Natural Resources.

The following is the State statute, Chapter 426, Sec. 22-79, which authorizes the Board of Trustees to appoint an appropriate representative to the Connecticut Agricultural Experiment Station Board of Control.

“Sec. 22-79. Connecticut Agricultural Experiment Station. Board of control. The Connecticut Agricultural Experiment Station shall be within the Department of Agriculture for administrative purposes only. The management of the Connecticut Agricultural Experiment Station shall be vested in a board of control, consisting of eight members, one of whom shall be the Commissioner of Agriculture or some person to be selected by him, one of whom shall be selected by the Board of Trustees of The University of Connecticut, one by the governing board of the Sheffield Scientific School and one by the Board of Trustees of Wesleyan University, and two of whom shall be appointed by the Governor. The Governor and the director of the station shall be, ex officio, members of said board of control. The members of the board shall continue in office for the term of three years from the first day of July next succeeding their appointment. Upon the death or resignation of a member of the board, the authority or institution by which such member was appointed shall fill the vacancy.”

ATTACHMENT 8

UConn HEALTH

September 30, 2020

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
Chief Executive Officer, UConn Health
Executive Vice President for Health Affairs



RE: Reports of Endowed Chairs for the period of July 1, 2019 to June 30, 2020

RECOMMENDATION:

That the UConn Health Board of Directors approve the Annual Reports for the following Endowed Chairs:

1. Infectious Disease
2. Human Genetics
3. Transfusion Medicine

BACKGROUND:

The three endowed chairs referenced above were established during the Fiscal Year 1988 to 1989 as authorized by CGS 10a – 20a. Subsection (f) of the statute states the “Board of Trustees shall submit annual reports to the Board of Governors concerning their expenditures.” The reports presented today are for the period of July 1, 2019 to June 30, 2020.

Endowment Report FY2020

UConn



**State of Connecticut Board of
Governors for Higher Education
Chair in Infectious Diseases**

Kevin Dieckhaus, MD

dieckhaus@uchc.edu



THANK YOU MESSAGE

I would like to express my gratitude to the donors that support the endowed chair. The support provides material support for ongoing educational activities for infectious disease fellows, as well as students and residents pursuing research and interests in the field of Infectious Diseases.

RESEARCH AND TEACHING

This chair supports the development of trainees interested in infectious diseases at all levels of medical training. Specifically, it facilitates the on-site tropical medicine training provided to University of Connecticut trainees in Southwestern Uganda. This experience promotes acquisition of direct medical skills as well as valuable cross-cultural and integrative skills important for any physician practicing in a multi-ethnic world.

This past year marked the 79th University of Connecticut trainee who had a substantive learning experience in Southwestern Uganda, either through community-based participatory research or direct medical care immersion.

SERVICE AND PUBLIC ENGAGEMENT

Through this fund, students performing public health in cross-cultural settings have been able to receive material support and mentorship for their community-based participatory research in such settings as Guam, China, Nicaragua, Uganda, Peru; and urban settings in Hartford, Connecticut.

ACADEMIC PROGRAMS AND FACULTY DEVELOPMENT

Each year, approximately 12-15 first year medical students and several fourth year medical students choose to do community-based participatory research in resource limited settings as part of their formal educational experience. This fund allows for improved mentorship and material support for point-of-care testing when necessary for these projects. These projects are often highlighted as a high point in any student's medical education and have led to multiple local research abstracts.

LOOKING AHEAD

COVID-19 has unfortunately impacted the ability of students to travel to their international community research sites in summer 2020 to complete projects conceived prior to the pandemic. We will engage medical students in the incoming class to adopt projects that have been developed by more senior medical students and work with a junior/senior partnership to complete these important projects. Several student projects were redirected towards the COVID-19 pandemic, focusing on very clinical and relevant analysis of management protocols and outcomes in this pandemic.

UConn Health
 Endowed Chair in Infectious Diseases/AIDS Research
 631129-10141-10

	FY16	FY17	FY18	FY19	FY20*
Beginning Cash Balance	\$36,703.75	\$29,724.75	\$31,410.28	\$40,387.28	\$55,364.16
Receipts :					
Interest Transferred from DHE:		3,327.03	13,237.81	17,433.88	26,250.38
Total Receipts	-	3,327.03	13,237.81	17,433.88	26,250.38
Expenditures :					
Salaries and Wages					
Fringe Benefits					
Purchased Services	6,979.00			285.00	3,919.98
Supplies				1,527.00	5,857.10
Equipment		1,641.50	4,260.81	645.00	5,507.92
Change in accruals					(1,614.63)
Total Expenditures	6,979.00	1,641.50	4,260.81	2,457.00	13,670.37
Ending Cash Spendable Balance	\$29,724.75	\$31,410.28	\$40,387.28	\$55,364.16	\$67,944.17

* FY20 are not final and may change due to year end adjustments

Endowment Report FY2020

UCONN



**Health Net, Inc. Chair in
Human Genetics**

David W. Rowe, MD

drowe@uchc.edu



THANK YOU MESSAGE

Thanks to the funding provided in support of the activities of this endowed chair, remarkable progress has been made in obtaining detailed molecular analysis of individual cells within a histological section. However, that technology has eluded the skeletal field, and we are in a position to overcome this barrier. We submitted a major NIH grant to begin a program that required an institutional contribution. I will use Chair funds, estimated to be \$100,000, to build the specialized microscope needed for this technology.

An honors course was developed for seven UConn Storrs college seniors entitled, "Discovery of Genes that Impact Skeletal Health." Each student was assigned one of the mouse knock out genes that were identified in our first bone phenotyping grant and had to learn bone biology, molecular biology and human genetics to interpret the data on the gene they were assigned. The exam was to write a manuscript that eventually will be published in the journal BONE in which they will share authorship.

PUBLICATIONS, CONFERENCES, AND AWARDS

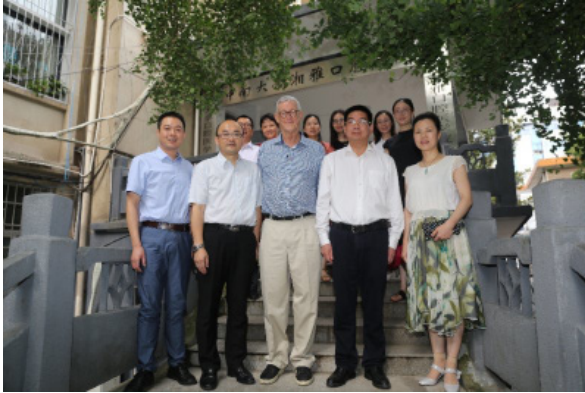
I delivered the keynote lecture in the 2019 Summit on New Developments in Stomatology at Xiangya School of Stomatology. This school, which has an 80-year relationship with Yale Medical School, is seeking a similar relationship with UConn School of Dental Medicine. Initial plans were developed, but they have been interrupted by current events. Hopefully this initiative can be resumed as there are many opportunities, scientific and clinical, for faculty and students to explore.



Keynote presentation in Xiangya School of Stomatology, Changsha City, China

Endowment Report FY2020

UConn



Group photo with the research faculty at Xiangya School of Stomatology



Planning session for closer affiliation between the two Dental Schools

SERVICE AND PUBLIC ENGAGEMENT

Our major grant is part of an international collaboration (KOMP/IMPC) to identify the function of the 24,000 genes in the mouse genome. I presented our skeletal perspective at their annual planning meeting. We estimate there are over 5000 genes which affect bone and joints that are being missed by their analysis. My proposal to expand our testing approach was highly encouraged and that has motivated me to develop this capability at UConn using both institutional and additional grant support.

ACADEMIC PROGRAMS AND FACULTY DEVELOPMENT

The Center's Core offers at cost the same histological technologies that are used in our research programs to the faculty in Storrs and Farmington. In addition, we perform studies for external academic and commercial entities at a higher rate than cost, and the core gets to retain the extra revenue. This allows the students from both campuses to use this instrumentation without having to charge their mentors for upkeep and repairs, thus enhancing the environment for skeletal research at UConn.

LOOKING AHEAD

I have a great research team and optimistic plans to expand our technologies for identification of genes that affect skeletal health in mouse models and tissues from affected human subjects.

UConn Health
 Endowed Chair in Human Genetics
 300041-10600-10

	FY16	FY17	FY18	FY19	FY20*
Beginning Cash Balance	\$277,187.31	\$186,903.31	\$172,657.41	\$174,872.63	\$231,368.95
Receipts :					
Interest Transferred from DHE:		5,992.10	5,581.85	8,968.00	18,720.97
Interest Transferred from UCONN Foundation:	78,968.00	74,710.00	79,254.86	79,455.00	74,454.25
Total Receipts	78,968.00	80,702.10	84,836.71	88,423.00	93,175.22
Expenditures :					
Salaries and Wages	88,817.00	49,024.00	18,122.14	5,140.00	438.88
Fringe Benefits	37,155.00	26,287.00	47,749.40	3,019.00	429.75
Purchased Services	42,045.00	19,637.00	16,749.95	1,993.00	48,490.60
Supplies				1,895.68	
Equipment	1,235.00			19,879.00	22,286.69
Change in accruals					11,758.25
Total Expenditures	169,252.00	94,948.00	82,621.49	31,926.68	83,404.17
Ending Cash Spendable Balance	\$186,903.31	\$172,657.41	\$174,872.63	\$231,368.95	\$241,140.00

* FY20 are not final and may change due to year end adjustments

UConn Health
 Endowed Chair in Transfusion Medicine
 300037-10149-10 (and 35021)

	FY16	FY17	FY18	FY19	FY20*
Beginning Cash Balance	\$422,118	\$419,727	\$422,141	\$425,966	\$434,683
Receipts :					
Interest Transferred from UCONN Foundation:	\$0				
Interest Transferred from DHE:	\$0	\$4,107	\$3,825	\$8,717	\$12,830
Total Receipts	\$0	\$4,107	\$3,825	\$8,717	\$12,830
Expenditures :					
Salaries and Wages					
Fringe Benefits					
Purchased Services	\$2,391	\$1,693			
Supplies					
Equipment					
Change in accruals					
Total Expenditures	\$2,391	\$1,693	\$0	\$0	\$0
Ending Cash Spendable Balance	\$419,727	\$422,141	\$425,966	\$434,683	\$447,513

* FY20 are not final and may change due to year end adjustments

Note: this Endowed Chair is currently vacant.

ATTACHMENT 9

**May 2021 Commencement
Proposed Honorary Degree Recipients**

Name	Honorary Degree	Ceremony
William G. Nelson, M.D., Ph.D.	Doctor of Science, <i>honoris causa</i>	UConn Health (Schools of Medicine and Dental Medicine) May, 2021
William Nordhaus	Doctor of Humane Letters, <i>honoris causa</i>	UConn Graduate School - Masters May, 2021

William G. Nelson, M.D., Ph.D.

Dr. Nelson is the Marion I. Knott Professor of Oncology and Director of the Sidney Kimmel Comprehensive Cancer Center at Johns Hopkins. As Director, Dr. Nelson oversees an internationally renowned cancer center that sees more than 13,000 new cancer patients and garners more than \$150 million in direct costs for research each year. He currently holds Professorships in Oncology, Medicine, Pharmacology, Pathology, Radiation Oncology, Urology, and Environmental Health Sciences – *the most ever at Johns Hopkins*. He also maintains a joint appointment at the Howard University College of Medicine.

His research focused on the molecular pathogenesis of prostate cancer. In 1941, Charles B. Huggins described beneficial responses of advanced prostate cancer to castration, a finding for which he was awarded a Nobel Prize. For more than half a century afterward, the origin of prostate cancer itself was attributed to an over-abundance of male hormones. Dr. Nelson, his colleagues, and his trainees discovered that prostate cancers arose not in response to androgen stimulation, but rather as a consequence of chronic or recurrent inflammation. They went on to describe the key pre-cancerous lesion in the prostate, proliferative inflammatory atrophy (PIA), and to report the most common acquired genome defect in prostate cancer, epigenetic ‘silencing’ of the carcinogen-detoxification gene *GSTP1*.

These research findings about the cause of human prostate cancer have had a number of practical applications. First, PIA lesions appear to arise upon exposure to repeated infections and to carcinogens present in over-cooked meats, providing a mechanistic basis for many population-scale associations of lifestyle and prostate cancer risk. Second, molecular diagnostic assays for *GSTP1* epigenetic defects form the basis for US and European regulatory agency-approved tests for prostate cancer diagnosis. Finally, several of the molecular mechanisms identified have fueled a wave of new drug development for prostate cancer prevention and treatment.

Dr. Nelson is also highly sought after as a lay communicator for cancer medicine and cancer research, whether on network television, by way of a quarterly column in *Cancer Today*, in testimony to federal and state legislative bodies, or radio and social media outlets. His national leadership includes serving on the Board of the V Foundation, as a Scientific Co-Chair for Stand Up 2 Cancer, on the Scientific Advisory Board for the Prostate Cancer Foundation, and as Executive Editor of *Cancer Today*.

Owner of eleven issued patents, he is a co-founder of Digital Harmonics, providing algorithmic tools for both biomedicine and defense, and of Brahm Astra Therapeutics, creating new anti-cancer drugs.

William Nordhaus (UConn Health)

William Nordhaus is the Sterling Professor of Economics and Professor of Forestry and Environmental Studies at Yale University. He was awarded the 2018 Nobel Prize in Economic Sciences (together with Paul Romer) for his path-breaking work on the economics of climate change and the need for government policy (such as a carbon tax) to induce reductions in carbon dioxide emissions. Long before climate change was widely recognized as an existential threat, Professor Nordhaus brought his considerable expertise in the area of economic growth to bear on the question of how to define and achieve an efficient path for economic growth that recognizes the interactions between growth and climate change. He pioneered the field of “integrated assessment” modeling through his development of integrated computer-based economic and scientific models that incorporate both the costs and the benefits of efforts to reduce carbon dioxide emissions and the associated feedback loops. This work has been critical in the debate over climate change. In addition to his work on the economics of climate change, Professor Nordhaus has also made seminal contributions in the areas of wage and price behavior, health economics, “green” national accounting, the political business cycle, productivity, and the “new economy.”

Professor Nordhaus is on the research staff of the National Bureau of Economic Research and the Cowles Foundation for Research. He has been a member and senior advisor of the Brookings Panel on Economic Activity in Washington, D.C. since 1972. He serves on the Congressional Budget Office Panel of Economic Experts and was the first Chairman of the Advisory Committee for the Bureau of Economic Analysis. He was also the first Chairman of the American Economic Association Committee on Federal Statistics. From 1977 to 1979, he was a Member of the President’s Council of Economic Advisers, and from 1986 to 1988 he served as the Provost of Yale University. He is a member of the National Academy of Sciences and a Fellow of the American Academy of Arts and Sciences. In 2004, he was awarded the prize of “Distinguished Fellow” by the American Economic Association (AEA) and served as President of the AEA in 2015-2016.

Professor Nordhaus received his undergraduate degree from Yale University in 1963 and his Ph.D. in Economics from the Massachusetts Institute of Technology in 1967. He has been on the faculty of Yale University since 1967 and has been Full Professor of Economics since 1973.

ATTACHMENT 10

September 30, 2020

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D.
Provost and Executive Vice President for Academic Affairs

RE: Academic Program Inventory



BACKGROUND:

The Office of Higher Education maintains an inventory of approved academic programs offered by public and independent colleges and universities in Connecticut. Those listings are available to the general public through the Office's web site and provide the most accurate up-to-date information about programs of study in our state.

The information on the Inventory of Approved Academic programs is an important resource and is used to convey educational information to a broad range of constituencies, both in Connecticut and across the country. Additionally, in order for veterans to receive their earned educational benefits, they must be enrolled in a program that is accredited.

The following non-substantive changes and updates are provided to the Board for informational purposes.

Non-Substantive Changes and Updates

- Add additional listed concentrations for Post-Graduate APRN Certificate: Nurse Leader, and Nurse Educator.

ATTACHMENT 11



FY21 Budget Update

UConn and UConn Health

Board of Trustees
9/30/20

UConn

Storrs and Regional Campuses

Overview

Source of fiscal challenge is shifting from lost housing revenues to mounting student support and financial aid costs. Unfunded legacy costs remain.

- Before the pandemic, UConn generated operating surpluses before covering unfunded legacy costs.
 - High legacy costs (\$31M in FY21) are an ongoing problem that impacts our research and clinical competitiveness
- Since the pandemic, UConn took aggressive steps to keep our students, faculty, and staff safe from the virus while continuing to deliver high quality education and research.
 - Research activities restarted under strict safety protocols; usage of classrooms and residential halls down to 40% of capacity
- Newest challenge is the increasing need for student safety and financial aid.

Impact of Unfunded Legacy and COVID Costs

Annual budget cuts of \$22-28m continue to be required to address \$30-38m in unfunded legacy costs. COVID impacts compound losses in FY20 and FY21, requiring aggressive mitigation efforts and labor and/or state assistance.

Changes in operating position	Deficit Mitigation (in millions)				
	FY19	FY20 Budget	FY20 COVID*	FY21 Current	FY21 Early Close
Initial Operating Gain/(Loss)	17.7	11.4	(22.2)	(69.6)	(103.5)
Net SERS unfunded legacy costs	(34.0)	(31.0)	(38.2)	(30.9)	(30.9)
Initial Deficit	(16.3)	(19.6)	(60.4)	(100.5)	(134.4)
Budget cuts allocated to departments**	22.8	0.0	28.0	25.0	25.0
Deficit after initial rescission	\$ 6.5	\$ (19.6)	\$ (32.4)	\$ (75.5)	\$ (109.4)
Covid mitigation			30.0	48.0	60.0
Final Net Gain / (Loss)	\$ 6.5	\$ (19.6)	\$ (2.4)	\$ (27.5)	\$ (49.4)
* FY20 change in initial operating position is equal to \$33m in refunds as a result of COVID.					
** Includes attrition, efficiency gains, and operational reductions.					

FY20 Update

In FY20 UConn was able to cover the \$33.6M in refunds with federal CARES Act funding and departmental spending savings attributed to the spending freeze.

	FY19 Actuals	FY20 BOT Budget	FY20 Updated COVID Forecast	FY20 FINAL	Notes
Revenues:					
State Support	\$ 356.7	\$ 371.5	\$ 370.8	\$ 376.7	
Tuition	426.4	443.3	443.5	445.3	
Mandatory/Course Fees	137.7	146.5	150.8	154.5	
Grants & Contracts	88.4	86.1	120.0	118.4	CARES funding
Auxiliary Enterprise	218.9	218.3	171.3	177.6	Housing/Dining refunds
Other Revenue (incl Foundation reimb.)	85.3	60.3	78.9	70.9	Accounting change; offset in expenditures
Research Fund	122.2	118.0	121.5	118.9	
Total Revenues	\$ 1,435.5	\$ 1,444.0	\$ 1,456.9	\$ 1,462.3	
Expenditures:					
Salaries & Wages	501.2	521.7	529.0	531.6	
Fringe Benefits	285.9	318.7	305.0	305.9	
Other Expenses (incl energy/equip.)	281.7	280.3	274.7	273.2	Spending freeze, telecommuting, dining reductions
Student Financial Aid	188.2	194.7	211.8	211.7	Increased spend from CARES funding
Projects/Debt	49.6	31.1	22.0	22.5	Reduced funding available for projects
Research Fund	122.4	117.1	121.6	119.8	
Total Expenditures	\$ 1,429.0	\$ 1,463.6	\$ 1,464.1	\$ 1,464.7	
Net Gain/(Loss)	\$ 6.5	\$ (19.6)	\$ (7.2)	\$ (2.4)	

FY21 Projected Deficit

- Initial deficit scenarios ranged from \$47m to \$129m (Apr)
- Factors that improved fiscal situation
 1. Strong demand by degree-seeking students
 2. Strategies to recruit more out-of-state students and contract with universities overseas to keep our international students
- Factors that hurt fiscal situation
 1. Continuation of pandemic will affect spring semester
 2. Decision to reduce capacity to 40% was necessary for safety reasons
- Current deficit estimate is now **\$76M** (Sep)
 - If we are forced to close campus early, deficit estimate rises to \$109M.

10th Day Enrollment Census

1. Initial projected enrollment declines due to COVID: in-state students (-5%), out-of-state (-7%), and international students (-40%).
2. Enrollment Management and Global Affairs developed strategies to mitigate enrollment losses of out-of-state and international students.
3. Actual enrollment: in-state students (+2%), out-of-state (+5%), and international students (-4%).

10th Day Enrollment Census	Fall 2019	Fall 2020	% Change Fall 2019 to Fall 2020
First-year First-time Students:			
Storrs Campus	3,603	3,825	6.2%
Regional Campuses	1,738	2,021	16.3%
All Campuses	5,341	5,846	9.5%
Undergraduates:			
Storrs Campus	18,847	18,917	0.4%
Regional Campuses	5,053	5,454	7.9%
All Campuses	23,900	24,371	2.0%
All Graduates:			
All Campuses	7,097	6,928	-2.4%
Professional:			
Law	536	562	4.9%
Pharm.D.	154	162	5.2%
Total Headcount	31,687	32,023	1.1%

First-year First-time Students - Regional Campuses	Fall 2019	Fall 2020	% Change Fall 2019 to Fall 2020
Avery Point	196	219	11.7%
Hartford	631	666	5.5%
Stamford	674	781	15.9%
Waterbury	237	355	49.8%
Undergraduates - Regional Campuses			
Avery Point	559	564	0.9%
Hartford	1,562	1,683	7.7%
Stamford	2,126	2,354	10.7%
Waterbury	806	853	5.8%

Note: An additional 648 students are in Schools of Medicine and Dental Medicine as of 9/14/2020.

Change in FY21 COVID Fiscal Impact Estimates

Current estimate made based on 10th day Census. June estimate made under extreme uncertainty.

Impacted FY21 Revenues & Expenses (\$M)	Current Estimate		
	June BOT (mid case)	Current	Notes
Tuition revenue	(24.4)	6.6	Growth in in-state and domestic out-of-state students offset losses in international students
Net housing, dining & fee revenue	(33.4)	(67.0)	Assumed 70% capacity in June; Dropped to 39% by Sept.
Financial aid expenses	-	(7.4)	Increase in need-based aid
Net other expenses	-	(0.7)	Additional expenses offset by savings
<u>COVID impact</u>	<u>(57.8)</u>	<u>(68.5)</u>	
Original Pre-COVID deficit	(7.0)	(7.0)	
<u>Total Impact</u>	<u>(64.8)</u>	<u>(75.5)</u>	

FY21 Budget Tracker – Current Case

Of a \$76M projected deficit, \$35M in mitigation has been realized and \$13M will be realized throughout the year, leaving a \$28M deficit yet to address.

Current Estimate	FY 21 Budget	Realized	Mitigation Plan Identified	Current balance
State Block Grant cut (incl fb)	(4,643,460)			
Net loss of housing/dining/other fees	(66,893,629)			
Net program revenues/expenses	(3,984,121)			
Deficit	(75,521,210)			
Mitigation plans				
Departmental expense savings	22,000,000	12,000,000	10,000,000	-
Capital reallocation	9,000,000	9,000,000		-
University wide program cuts	13,000,000	13,000,000	-	-
Furlough - management	4,000,000	522,633	3,477,367	-
Federal Funds (est)	-	-	-	-
Remaining Deficit Mitigation / State Request	27,521,210	-	-	27,521,210
	-	-	-	-
	75,521,210	34,522,633	13,477,367	27,521,210

If this were to include the State unfunded legacy costs at \$30.9M, the request would total \$59M.

Deficit Mitigation w/Early Close Scenario

Deficit mitigation estimate is \$109M if we are forced to close early, and rises by \$2m for each week we close before Nov 1.

	Current Estimate	Early Close Scenario
State Block Grant lapse/cut (incl fringe)	(4,643,460)	(4,643,460)
Loss of housing/dining/other fees	(66,893,629)	(109,273,839)
Net program revenues/expenses	(3,984,121)	4,477,697
Deficit	(75,521,210)	(109,439,602)
Mitigation plans identified		
Departmental expense savings	22,000,000	22,000,000
Capital reallocation	9,000,000	13,000,000
University-wide program cuts	13,000,000	21,000,000
Furlough - management	4,000,000	4,000,000
	48,000,000	60,000,000
State Request/Remaining Deficit Mitigation*	(27,521,210)	(49,439,602)

If this were to include the State unfunded legacy costs at \$30.9M, the request would total \$59M in the current case and \$89M with an early closure.

FY21 Detail

With current mitigation plan and 10th day updates, the current deficit is **\$28M**.

	FY21 Budget Current Estimate	FY21 Budget Close 11/1	FY21 Budget Current Estimate	FY21 Budget Close 11/1
Revenues:	WITH MITIGATION			
State Support	\$ 392.3	\$ 392.3	\$ 396.5	\$ 396.5
Tuition	461.2	461.2	454.8	454.8
Mandatory/Course Fees	146.7	146.7	133.6	130.5
Grants & Contracts	90.6	90.6	100.5	100.5
Auxiliary Enterprise	159.0	116.6	114.4	74.4
Other Revenue (incl Foundation reimb.)	88.6	88.6	90.2	90.2
Research Fund	124.3	124.3	124.3	124.3
Total Revenues	\$ 1,462.8	\$ 1,420.4	\$ 1,414.4	\$ 1,371.2
Expenditures:				
Salaries & Wages	548.3	548.3	543.9	538.9
Fringe Benefits	326.2	326.2	320.8	317.9
Other Expenses (incl energy/equip.)	296.4	296.4	221.1	198.8
Student Financial Aid	211.9	211.9	216.6	216.6
Projects/Debt	30.5	30.5	25.2	25.2
Research Fund	123.3	123.3	123.3	123.3
Total Expenditures	\$ 1,536.6	\$ 1,536.6	\$ 1,441.9	\$ 1,420.6
Actual Net Gain/(Loss)	\$ (73.8)	\$ (116.2)	\$ (27.5)	\$ (49.4)

\$50M got moved from net revenue (auxiliary/fees/other) to expense savings (other expenses).

Allocating COVID Cuts

- We are preparing to implement \$28M in additional cuts
 1. Send out budget call with flexible guiding rules on how to meet targets
 2. VP/AVPs & Deans respond with plans on how they would meet targets
 3. Leadership decides course of action

(\$ millions)	Additional Cuts		Total Cuts
	Cuts Implemented	Planned for Implementation*	
Academics	2	17	19
Administration	9	10	19
Athletics	2	1	3
Total Cuts	<u>13</u>	<u>28</u>	<u>41</u>

*When \$13m cuts were executed, we asked all units to plan for additional cuts.

Biennium Request

The first phase of the biennium request, submitted 9/1, included only limited technical adjustments.

State Appropriation Request	
FY 2021 Approved Appropriation	
Operating Expenses	\$ 208,979,109
Workers' Compensation Claims	2,271,228
FY 2021 Total	\$ 211,250,337
Collective Bargaining Increases - Annualization (5.5%)	1,294,747
Workers' Compensation Claims	390,541
New Facilities O&M	81,930
FY 2022 Total Requested Appropriation	\$ 213,017,555
27th payroll	8,087,456
New Facilities O&M	1,638,595
FY 2023 Total Requested Appropriation	\$ 222,743,606

Second phase of the biennium request, to submit on 10/3, includes request for **\$28M as a one-year COVID bridge** and seeking relief from 10% reduction in state block grant.

Ongoing Risks

Major risks include continuation of pandemic, lack of government support, and large and increasing fringe costs.

Enrollment

- Normal risk of Spring melt

COVID

- Potential for another outbreak in Fall or Spring
- Economic hardship of students/families putting pressure on financial aid budget

State Support

- 10% decrease in annual appropriation would cost \$39.7M (\$21m plus fringe), lowering state support per CT student from \$11,000 to \$9,000

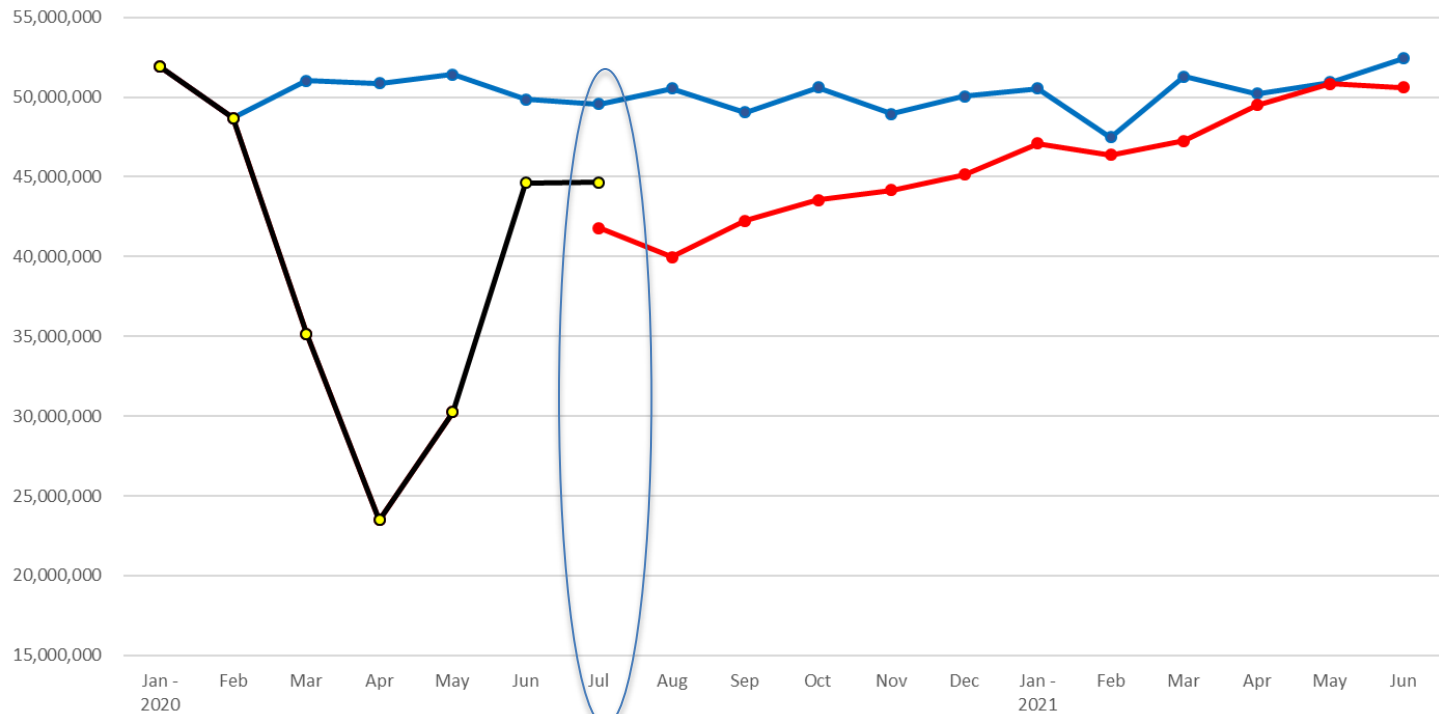
Fringe Costs

- Fringe benefit rates—over which UConn has no control—continue to rise, and UConn must cover these costs with non-state funds

UConn Health Fiscal Year 2021 Update

UCH Net Patient Revenue is Favorable for the Month of July

Net Patient Revenue (per month) - JDH, UMG and Dental Clinics



- The reduction of Net Patient revenue is the main driver of the FY 21 UCH deficit.

—●— Pre COVID —●— FY 21 Budget —●— Actual

FY 2021 Budget Tracker

	FY 21 Budget original BOD
UCH Loss*	(114,936,995)
Mitigation plans	
Capital deferral	4,936,995
Unfunded Legacy Cost Support**	53,800,000
Financial Improvement Plan (FIP)	40,000,000
Furlough - management	2,000,000
Federal Funds	10,000,000
Unidentified Mitigation for COVID -19 Losses	4,200,000
	-
Total Mitigation Plans	114,936,995

FY 2021 UCH Financial Improvement Project



Financial Improvement Project 6.0 - Overview

Initiatives Overview

Annualized Cumulative Benefit - Targeted and Realized

	7/31/2020	8/31/2020	9/30/2020	10/31/2020	11/30/2020	12/31/2020	1/31/2021	2/28/2021	3/31/2021	4/30/2021	5/31/2021	6/30/2021
Targeted	\$ 7,816,629	\$ 10,742,390	\$ 13,668,151	\$ 16,593,912	\$ 19,519,673	\$ 22,445,434	\$ 25,371,195	\$ 28,296,956	\$ 31,222,717	\$ 34,148,478	\$ 37,074,239	\$ 40,000,000
Realized	\$ 7,816,629	\$ 14,909,688	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,909,688
Percent realized	100.0%	138.8%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	37.3%

Key Initiatives:	Annual Target	Implemented YTD Savings	% Implemented	Estimated Annual Savings	% Est Annual Savings
Clinical Operational Initiatives - JDH	20,381,000	10,108,223	49.6%	15,201,078	74.6%
Clinical Operational Initiatives - UMG	9,457,000	3,442,469	36.4%	4,423,021	46.8%
Academic Initiatives - SOM	5,131,000	565,453	11.0%	1,374,513	26.8%
Academic Initiatives - SODM	2,022,000	358,919	17.8%	1,417,094	70.1%
Research Initiatives	3,009,000	434,622	14.4%	2,557,421	85.0%
TOTAL TARGET	\$ 40,000,000	\$ 14,909,688	37.3%	\$ 24,973,127	62.4%



FY 2021 Mitigation Identified

	Mitigation plans	Mitigation Plan Identified	Realized
Capital deferral	4,936,995	4,525,579	411,416
Furlough - management	2,000,000	1,833,333	166,667
Federal Funds	10,000,000	-	10,000,000

FY 2021 Open Mitigation Plans

	Mitigation plans
Unfunded Legacy Cost Support**	53,800,000
Unidentified Mitigation for COVID -19 Losses	4,200,000

Per discussions with OPM, for state planning purposes, we have been asked to assume \$33.2M for unfunded legacy costs (consistent with Gov. proposed FY 21 budget adjustment during the 2020 legislative session); this represents a portion of the \$53.8M we have requested from the legislature to address the financial burden posed by the state's unfunded legacy costs.

Current State Request

As presented to the Appropriations Committee on August 28, 2020

	FY 21 Year End Forecast
	(in Millions)
Unfunded Legacy Costs	(53.8)
COVID-19 Related Losses	(61.1)
Deficit	(114.9)
<u>Mitigation plans:</u>	
Capital deferral	4.9
Financial Improvement Plan (FIP)	40.0
Furlough - management	2.0
Federal Funds	10.0
	56.9
FY 21 Deficiency	(58.0)
<i>Unfunded Legacy Cost Support*</i>	<i>(53.8)</i>
<i>Remaining COVID Losses</i>	<i>(4.2)</i>
FY 20 Deficiency	(18.9)
<i>COVID Losses</i>	<i>(18.9)</i>
State Request	(76.9)
<p>* The legislature approved \$33.2 million in funding to UConn Health in FY20 to address a portion of the state's unfunded legacy costs charged to UConn Health. In FY21, the state's unfunded legacy costs are expected to reach \$53.8 million. The Governor's proposed FY21 budget included \$33.2 million toward that cost.</p>	

UConn Health FY 21 Budget

UConn Health Fiscal Year 2021													
	Actual	Forecast											
Monthly Spread	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	March	April	May	June	TOTAL
	(12.4)	(15.3)	(11.7)	(11.7)	(9.0)	(10.0)	(2.3)	(4.2)	(8.6)	(6.9)	(8.3)	(14.8)	(114.9)
Mitigation Plans													
Capital deferral	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.5	4.9
Financial Improvement Plan (FIP)	7.8	7.1											14.9
Furlough - management	0.2	0.2	0.1	0.2	0.2	0.1	0.2	0.2	0.1	0.2	0.2	0.1	2.0
Federal Funds	10.0												10.0
Unfunded Legacy Cost Support	-												-
Block Grant advance	7.9	4.7	4.7	4.7	4.7	4.8				(10.5)	(10.5)	(10.5)	-
Associated In-kind Fringe	6.7	4.6	4.6	4.6	4.6	4.7				(9.9)	(9.9)	(10.0)	-
Adjusted Budget	20.6	1.7	(1.9)	(1.8)	0.9	(0.0)	(1.7)	(3.6)	(8.1)	(26.7)	(28.1)	(34.7)	(83.1)

- Adjusted Budget will change each month based on operational performance and accomplishment of outstanding mitigation initiatives.

ATTACHMENT 12



Office of the Executive Vice President for
Administration and Chief Financial Officer
Scott A. Jordan
Executive Vice President
for Administration
and Chief Financial Officer

September 30, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: FY20 Capital Expenditures

RECOMMENDATION:

That the Board of Trustees acknowledge the capital expenditures of \$214,897,906 (Storrs and Regional Campuses: \$205,278,000 and UConn Health: \$9,619,906) for Fiscal Year 2020.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the University has been reporting actual expenditures for the Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities (Deferred Maintenance) project line. After fiscal year close, the final list of expenditures was submitted to the Board for information.

Since FY17, the University has submitted an all-funds capital budget to the Board. Therefore, in an effort to continue this transparency, the report of capital expenditures will no longer be limited to Deferred Maintenance bond fund spending. The new report includes all capital project expenditures for FY20 detailed by UCONN 2000 project lines and fund sources.

It is important to recognize that depending on the start and duration of a project, capital expenditures may occur over multiple years, and therefore the expenditures in the following report do not necessarily equal the capital budget for a specific year.

Attachment

BUDGET

\$197M GO Bonds
4M Revenue Bonds
49M University Funds

\$250M Total FY20 Budget



PROJECTS FUNDED

\$212M GO Bonds
2M Revenue Bonds
17M University Funds

\$231M FY20 Total Funded

PROJECTS INITIATED

109 New Projects Initiated
138 New Accounts
112 Project MOUs Processed
(scope/schedule/budget)



EXPENSES

\$137M GO Bonds
56M Revenue Bonds / Other
22M University Funds

\$215M Total FY20 Expenses

ACTIVE PROJECTS

Most capital projects span multiple fiscal years. As of 6/30/20, there were 220 active projects with budgets totaling \$1.3B and expenditures of \$1.0B.



CLOSED PROJECTS

77 projects with budgets totaling \$472M were financially closed in FY20. At closeout, \$11.7M (2%) was reallocated to other operating & capital initiatives.

**University of Connecticut
Capital Project Expenditure Report - All Funding Sources
Fiscal Year 2020 as of 6/30/2020**

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Total Fiscal Year Expenditures	Fiscal Year to Date Expenditures By Funding Source			
					UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
Storrs and Regional Campuses								
Academic and Research Facilities								
Academic & Research Facilities - Gant Building Renovations - STEM	140,227,606	97,383,033	Construction	37,343,043	37,343,043			
Academic & Research Facilities - Homer Babbidge Library Renovation	5,700,000	1,452,510	Construction	413,875	413,875			
Academic & Research Facilities - STEM Research Center Science 1	51,000,000	12,247,315	Construction	3,892,661	3,892,661			
Academic and Research Facilities Total				41,649,579	41,649,579	-	-	-
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities								
ABL Argus Software & Hardware Retrofit	350,000	136,300	Construction	136,300		136,300		
ABL Clean Steam Generator Replacement	150,000	-	Construction	-				
ACE Water Heater Replacements	225,000	183,600	Construction	183,600		183,600		
Alumni Quad Life Safety System Replacement	270,000	260,555	Substantially Complete	260,555		260,555		
Andover Infrastructure & Software Upgrade	400,000	-	Planning/Design	-				
Arjona 203 Wall Installation	30,000	-	Planning/Design	-				
Atwater A206 & A210 BSC Replacement	3,464	3,464	Completed	3,464		3,464		
Atwater Electrical Generator Replacement	250,000	22,500	Planning/Design	22,500		22,500		
Atwater Facade Repair	100,000	15,084	Planning/Design	15,084		15,084		
Austin Building Interior Locks	45,000	-	Planning/Design	-				
Avery Point - Academic Building Chemistry Lab Renovation	300,000	226,410	Substantially Complete	207,075		207,075		
Avery Point Academic Building Roof Replacement	1,270,000	552,459	Construction	502,268		502,268		
Avery Point Community & Professional Building Restrooms Renovation	433,000	353,654	Construction	285,870		285,870		
Avery Point Community & Professional Building Interior Upgrades	213,180	213,180	Completed	12,900		12,900		
Avery Point Marine Sciences Building Retro Commissioning	526,950	376,093	Construction	376,093		376,093		
Avery Point Sea Lab Floor Repair	30,000	-	Planning/Design	-				
Babbidge Library Electrical Distribution System Upgrade	2,783,000	2,715,681	Substantially Complete	200,276	200,276			
Babbidge Library Window Cleaning	39,622	-	Planning/Design	-				
Ballard Institute and Museum of Puppetry HVAC Upgrade	9,424	9,424	Completed	2,357		2,357		
Beach Hall Air Conditioning Repair	65,000	-	Planning/Design	-				
Beach Hall Geosciences Rock Prep Lab Renovation	25,000	15,450	Construction	-				
Beach Hall Lab Renovations	5,400,000	3,766,057	Substantially Complete	469,925	469,925			
Beach Hall-Renovation Rooms 319/321 SLHS	26,616	26,616	Completed	7,253		7,253		
Benton Art Museum Patio and Fountain Restoration	150,000	17,000	Planning/Design	17,000		17,000		
Bio 4 Annex Sustainability Office Relocation	142,000	135,616	Substantially Complete	135,617		123,823		11,794
Biology / Physics Interior Locks	99,000	-	Planning/Design	-				
Bishop Center 105-108 Offices	75,000	-	Planning/Design	-				
Bishop Center Roof - Electrical & HVAC Upgrade	400,000	160,630	Construction	97,778		97,778		
Boiler Plant Equipment Replacement	316,136	316,136	Completed	16,686	16,686			
Boiler Plant Equipment Replacement and Utility Tunnel Connection	20,000,000	1,478,672	Construction	1,478,672	1,478,672			
Bronwell 201, 318, 319, 322 Renovation (TL2339)	155,000	-	Construction	-				
Bronwell Switchgear Service Replacement	100,000	7,917	Planning/Design	7,917		7,917		
Buckley Hall Life Safety System Replacement	205,003	205,003	Completed	205,003		205,003		
Budds Building 212/213 Interior Door Removal	3,500	931	Construction	931		931		
Buddy Benches	5,000	-	Planning/Design	-				
Burton Family Football Complex Locker Replacement	882,822	882,822	Completed	485,726				485,726
Burton Hydrotherapy Mechanical Room Restoration	250,000	1,116	Planning/Design	1,116		1,116		
C2E2 Clean Room Renovation	51,500	51,500	Completed	51,500		1,500		50,000
Campus Drainage Master Plan	365,000	359,829	Planning/Design	-				
Campus Insulation Program	482,000	471,425	Substantially Complete	278,581		278,581		
Campus Wayfinding and Gateways - FO Phase 1	381,487	381,487	Completed	18,515		18,515		
Campus Wayfinding and Gateways - FO Phase 2	347,638	347,638	Completed	17,382		17,382		
Campus Wayfinding Improvements	1,900,000	1,487,204	Substantially Complete	884,321	884,321			
Castleman 117 Computer Teaching Lab Renovation (TL2354)	160,000	-	Construction	-				
Castleman 205 SoE Renovations (TL2328)	175,000	79	Construction	79	79			
Castleman 306 Conference Room Renovation	69,300	57,842	Substantially Complete	57,842		57,842		
Castleman Engineering Building Chiller Replacement	400,000	329,706	Substantially Complete	329,706		329,706		
Central Campus Infrastructure	5,000,000	3,738,590	Substantially Complete	830,062	830,062			
Central Campus Parking	1,878,962	1,878,962	Completed	139,239	138,700			539
Central Warehouse Parking Services Interior Renovation	267,339	267,339	Completed	195,175		195,175		
Chemistry R316 Renovations	35,100	23,800	Construction	23,800		23,800		
Chemistry Roof Snow Guard Repair	37,500	-	Planning/Design	-				
Clay Tile Sewer Pipe Relining Phase 3	446,905	446,905	Completed	421,911		421,911		
Clay Tile Sewer Pipe Relining Phase 4	485,000	397,442	Substantially Complete	397,442		397,442		
CPCA Work Station Configuration	71,132	71,132	Completed	48,416		48,416		
CRT Jorgensen Sound System Upgrade	180,500	14,834	Planning/Design	-				
CUP Equipment Replacement and Pumping Improvements	23,000,000	13,224,916	Construction	3,936,394	3,936,394			

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Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Fiscal Year to Date Expenditures By Funding Source				
				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
Decentralized Heat at Warehouse and Facilities Operations	616,864	616,864	Completed	4,000		4,000		
Depot C Building Sign Services Renovation	8,000	8,000	Completed	8,000		8,000		
Depot Campus Hardscape Improvements	98,130	58,000	Construction	58,000		58,000		
Dining Hall Facilities Ventilation Upgrades	892,700	127,003	Substantially Complete	126,000		126,000		
East Campus Exterior Door Security Upgrades	52,742	25,252	Construction	25,252		25,252		
East Central Campus Utility Upgrade	2,613,255	2,613,255	Completed	125,618	120,009	5,609		
Energy Services Performance Contract - Phase I (a.k.a. ESCO)	26,876,321	26,876,321	Completed	2,078,271	5,248,633	(3,170,362)		
Engineering II 108C New Faculty Lab	265,000	25,979	Construction	25,979		25,979		
Engineering II 202 Upgrades	38,500	5,608	Planning/Design	5,608		5,608		
Engineering II 303 New Faculty Renovation	5,800	3,231	Substantially Complete	3,231				3,231
Engineering II Large Passenger Elevator Modernization	225,000	55,305	Construction	55,305		55,305		
Engineering II Roof Replacement	950,000	610,050	Substantially Complete	60,177		60,177		
Engineering II-Rm 324 Chem Bio-molecular Engineering	146,500	3,950	Planning/Design	3,950		3,950		
Engineering Science Building - M. Hann Clean Room	275,000	109,247	Construction	64,872		64,872		
Environmental Health & Safety Building Office Renovation	63,873	63,873	Completed	63,873		63,873		
EV Charger Installation - Lot E	5,000	-	Planning/Design	-		-		
Eversource Second Electrical Feed - Planning	95,000	30,016	Planning/Design	(21,484)	(21,484)			
Exigent Repair - Replacement of Steam & Cond Piping	2,000,000	1,800,667	Substantially Complete	1,800,667	1,800,667			
Fac Ops & Building Services - General DM	6,296,678	5,756,359	Construction	379,675		379,675		
Facilities Code Remediation	250,000	26,482	Planning/Design	26,482		26,482		
Facilities Operations Roof Repair and Restoration	626,500	542,263	Substantially Complete	15,692		15,692		
Fairfield Way Entry Gates	48,740	48,740	Completed	-		1,645		
Fairfield-SU-Hawley-Academic-Babbidge Bollard Install	75,017	-	Planning/Design	-		-		
Fenton River Well Field & Road Repair	450,000	99,253	Planning/Design	12,362	12,362			
Fine Arts - 2nd Floor Fit Out	300,000	136,857	Construction	136,857		136,857		
Fine Arts Air Conditioning	250,000	15,492	Planning/Design	15,492		15,492		
Fine Arts at Kirby Mills Renovation	434,243	434,243	Completed	25,934		25,934		
Fine Arts Dark Room	32,495	-	Construction	-		-		
Freitas Ice Rink Mechanical Repairs	200,000	5,946	Construction	5,946		5,946		
Gampel Area Bollards	1,077,362	1,077,362	Completed	660,171	660,171			
Gampel Electrical Generator Replacement	250,000	-	Planning/Design	-		-		
Gampel Pavilion Dome Ceiling and Roof Repair	11,800,000	11,344,245	Substantially Complete	1,165,766	1,165,766			
Gampel Pavilion Enabling Power Upgrade	125,000	42,644	Planning/Design	42,644	42,644			
Gampel Room 106 KSI Renovation	80,000	17,889	Planning/Design	17,889		17,737		152
Gampel Room 135A Platform Demo	5,426	5,426	Completed	5,426		5,426		
Gant North - Minor Upgrades for IMS New Faculty Hires	350,000	178,921	Construction	128,536		128,536		
Gelfenbien and North Dining Hall Dish Room Renovation	612,803	612,803	Completed	479,160		479,160		
Gelfenbien Commons Equipment Access	211,000	15,295	Construction	15,295		15,295		
Gelfenbien Patio Landscaping Improvements	255,603	255,603	Completed	234,949		234,949		
George J. Sherman Family-Sports Complex Field Restoration	1,070,000	810,180	Substantially Complete	810,180		810,180		
Hale Hall 15KV Electrical Service Repairs	868,525	868,525	Completed	110,788		110,788		
Hartford - Student Academic Achievement Center Renovation	450,000	285,526	Construction	270,735		270,735		
Hartford Graphic Art & Interior Signage	250,000	56,411	Planning/Design	56,411		56,411		
Heating Plant Upgrade - Emergency Power System Upgrade	765,000	435,916	Planning/Design	28,924	28,924			
HEEP Pavilion and Pollinator Garden	50,000	-	Planning/Design	-		-		
High Head Emergency Generator System Replacement	1,106,040	931,298	Substantially Complete	831,037		831,037		
Homer Babbidge Library SoE Computational Labs (TL2329)	275,000	61,120	Construction	61,121	51,158	9,963		
Horse Unit & Lorentzon Stables Refurbishment	300,000	197,070	Substantially Complete	197,070				197,070
Horse Unit 2 Paddock Fence Repair	170,000	-	Planning/Design	-		-		
Horsebarn Hill Pedestrian Safety Improvements	1,750,000	1,282,735	Substantially Complete	1,143,786	973,814	169,972		
Horsebarn Hill Sewage Pump Station Upgrade	1,500,000	1,073,157	Construction	1,032,057		1,032,057		
Human Development Center SLHS Renovations	452,822	452,822	Completed	369,012		369,012		
Human Performance Lab Prep Room	126,500	109,249	Substantially Complete	232		232		
I-Lot Improvements	250,000	22,083	Planning/Design	22,083	22,083			
ITEB 114 CSE & ECE Lab Renovation	42,328	42,328	Completed	42,328		42,328		
ITEB 138 Cybersecurity Lab Reno	169,731	169,731	Completed	159,309		159,309		
ITEB C25 ECE Senior Design SOE (TL2344)	150,000	-	Planning/Design	-		-		
ITS Production Lab Move	2,460	2,460	Completed	2,460		2,460		
Jones Building Heating Replacement	350,000	256,625	Substantially Complete	256,625		256,625		
Jones Building High Voltage and Repairs	485,000	226,449	Construction	226,449		226,449		
Jorgensen Basement HVAC Repairs	9,424	9,424	Completed	2,357		2,357		
Jorgensen Building Envelope Study	64,000	44,397	Planning/Design	2,024		2,024		
Jorgensen Center Chillers Replacement	489,000	-	Construction	-		-		
Kellogg Dairy Center Robotic Milkers	1,856,602	1,856,602	Completed	19,399		19,399		
Kennedy Cottage FMBIO Conference Room Upgrade	55,000	32,357	Substantially Complete	32,357		32,357		

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Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Fiscal Year to Date Expenditures By Funding Source				
				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
Kinesiology Building HALL Renovation (TL2355)	100,000	-	Construction	-				
Kirby Mills First Floor Renovation	400,000	10,310	Withdrawn	10,310	10,310			
Koons Hall 317 Lab Renovation	46,168	46,168	Completed	46,168		46,168		
Koons Hall Renovation	5,500,000	5,389,308	Substantially Complete	45,164	45,164			
Lakeside Building Parking Reconfiguration	45,787	45,787	Completed	45,787		45,787		
Landscape Barn and Administrative Building Replacement Planning	1,400,000	213,879	Planning/Design	199,413	199,413			
Law School Carpet Replacement Law Library	16,772	16,772	Completed	16,772		16,772		
Law School Knight Hall Elevator Modernization	400,000	385,920	Substantially Complete	385,920		385,920		
Law School Library Cooling Tower Replacement	460,596	460,596	Completed	38,079		38,079		
LeDoyt Road Improvements Study	17,000	9,950	Construction	9,950		9,950		
Liberman Sculpture Relocation	19,600	13,648	Substantially Complete	13,648	13,648			
Life Safety System Upgrade at Bookstore & South Garage	200,000	-	Construction	-				
Litchfield and Windham Hall Electrical Service Repairs	493,001	493,001	Completed	226,022		226,022		
Longley Bathroom Renovations	89,310	66,423	Planning/Design	62,934	62,934			
Longley Lab 114 Renovation (Depot Campus)	65,229	65,229	Completed	5,152		564		4,588
Main Campus Parking Replacements	12,000,000	9,525,344	Substantially Complete	4,252,156	4,249,066	3,090		
Main Campus Substation Switchgear Relay Replacement	565,000	51,000	Construction	51,000		51,000		
Mansfield Road Paving Phase I	150,000	-	Planning/Design	-				
McConaughy Hall Electrical Replacement	112,181	112,181	Completed	112,181		112,181		
Middlesex Extension Ctr Bathroom Renovations	240,000	183,480	Construction	154,331		154,331		
Mirror Lake Improvements	60,000	15,038	Planning/Design	15,038	15,038			
Misc Abatement and Demolitions Projects	359,000	-	Planning/Design	-				
Mold / Lead / Asbestos Remediation	2,438,216	2,121,483	Substantially Complete	843,496		843,496		
N. Eagleville Rd Area Infrastr Repair / Replace & Upgrade Phase III	57,500,000	55,952,903	Substantially Complete	1,774,705	1,774,705			
N. Eagleville Road and Discovery Drive Intersection Improvements	150,000	9,038	Planning/Design	9,038	9,038			
North and South Parking Garage Restoration	300,000	61,647	Construction	61,647		61,647		
North Campus Dining Ceiling/Lighting Replacement	115,808	115,808	Completed	86,041		86,041		
North Campus Power & Communication Reliability Upgrades Planning	150,000	99,419	Planning/Design	-				
North Eagleville Road East Steam Repair	200,000	-	Planning/Design	-				
North East Residence Halls - Security Camera System	1,602,180	330,604	Construction	93,621	93,621			
North Residence Dining - Dish Room Renovation	850,000	4,977	Planning/Design	4,977		4,977		
Northeast Science Quad Site Improvements	2,000,000	1,813,705	Substantially Complete	1,620,762	1,620,762			
Northwest Quad - Science 1 - Site Improvements & Tunnel Phase II	28,325,000	3,300,119	Construction	1,753,288	1,753,288			
Northwest Science Quad Infrastructure - Phase 1	20,750,000	19,861,776	Substantially Complete	1,258,019	1,258,019			
Northwest Science Quad Infrastructure - Phase 3	700,000	225,245	Planning/Design	-				
Northwest Science Quad Supplemental Utility Plant	36,000,000	3,838,906	Construction	1,042,495	1,042,495			
NW Quad Residence Halls Plumbing Replacement	263,000	67,481	Planning/Design	44,992		44,992		
Olympic Monument	122,000	2,719	Planning/Design	2,719				2,719
Pharmacy / Biology 102 PNB Hood Removal	4,586	4,586	Completed	4,586		4,586		
Philip E Austin 105,108,110 & Lecture Halls Upgrades	125,500	33,148	Construction	33,148		33,148		
Philip E Austin Lecture Hall AV Support Upgrades	8,691	8,691	Completed	8,691		8,691		
Public Safety Building Improvements	4,550,000	469,651	Planning/Design	276,377		276,377		
Purchase of 88 Gurleyville Road - Lodewick House	750,561	750,561	Completed	750,561		750,561		
Refrigeration Upgrades McConaughy Hall NC-11	217,486	217,486	Completed	169,264		169,264		
Res Life Facilities - Restroom Rehabilitation Program Phase 1	2,200,000	1,690,345	Substantially Complete	1,190,365		1,190,365		
School of Business - Roof Repairs	435,845	46,857	Planning/Design	46,858	36,533	10,325		
School of Business Classrooms AV Support	3,842	3,842	Completed	3,842		3,842		
School of Engineering ITEB Rm 140 Renovation (TL2340)	35,000	1,372	Construction	1,372	1,372			
SFA Computer Lab Renovation	75,000	-	Planning/Design	-				
Shippee/Buckley/Whitney Lock Hardware/Keying	97,476	93,893	Construction	93,893		93,893		
South Campus Commons Landscape & Pedestrian Improvement Plan	5,000,000	1,855,597	Construction	402,303	402,303			
South Campus Fire Pump Replacement	220,160	-	Construction	-				
South Campus Stair Repair	765,000	721,580	Substantially Complete	112,831	112,831			
South East Campus Infrastructure	5,000,000	4,363,183	Substantially Complete	4,074,738	4,074,738			
Southwest Campus Infrastructure Upgrade	10,000,000	8,860,391	Substantially Complete	876,609	876,609			
Stamford Abutting Property Restoration	2,500,000	1,456,215	Construction	1,453,125	1,453,125			
Stamford Campus Garage - Demolition	10,000,000	8,111,971	Substantially Complete	625,327	625,327			
Stamford Campus Police Substation Relocation	490,000	448,154	Substantially Complete	13,987		13,987		
Stamford Campus Surface Parking Lot	4,500,000	3,082,328	Substantially Complete	455,112	455,112			
Stamford Classroom 220 Renovation	425,000	378,971	Substantially Complete	312,002		312,002		
Steam Line Repairs - Vault 304 to Central Utility Plant	700,000	663,828	Substantially Complete	153,421		153,421		
Storrs Hall 001, 002, 011 Classroom Upgrades	295,900	9,715	Construction	9,715		9,715		
Storrs Hall 1st & 2nd Floor Bathroom	255,000	192,758	Substantially Complete	169,273	169,273			
Storrs Hall 215 School of Nursing Renovation (TL2318)	152,975	13,163	Construction	13,163	4,339	8,824		
Storrs LED (SLED) Lighting Upgrade	2,496,000	1,072,026	Construction	706,026		706,026		

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Storrs LED (SLED) Lighting Upgrade - Athletic Facilities	1,377,772	1,103,170	Substantially Complete	830,170		830,170		
Student Health Services Bldg - Pre-design & Planning	447,700	-	Planning/Design	-				
Student Housing Master Plan	450,000	281	Planning/Design	281		281		
Student Union - QSR Dining Buildout	700,000	438,515	Substantially Complete	403,855		403,855		
Student Union 314 Renovation	210,610	210,610	Completed	180,754		180,754		
Student Union East Elevation Curtain Wall & Masonry Repairs	1,500,000	1,424,870	Substantially Complete	-				
Student Union Office Upgrades	420,000	38,540	Planning/Design	38,540		38,540		
Tasker Admissions Roof Restoration	100,000	21,280	Construction	21,280		21,280		
Tennis Court Repairs	48,636	48,636	Completed	48,636		48,636		
Tennis Courts Field Exploration Study	8,619	8,619	Completed	8,619		8,619		
TLS Building Cooling Tower Replacement	150,000	-	Construction	-				
Torrey Life Science Genetech Access Control Installation	46,541	46,541	Completed	46,541		46,541		
Torrey Life Sciences 2nd Floor Biology Renovation	900,000	74,723	Planning/Design	74,723	74,723			
Torrey Life Sciences 415 & 417 Lab Renovations (TL2325)	100,000	-	Planning/Design	-				
Torrey Life Sciences Facade Repair	100,000	39,059	Construction	39,059		39,059		
Torrey Life Sciences Interior Locks	11,000	-	Planning/Design	-				
Towers Dorm T-5 Heating Replacement	300,000	286,660	Substantially Complete	286,660		286,660		
Towers Mechanical Rooms Restoration	300,000	8,309	Planning/Design	8,309		8,309		
UCFM Code Remediation - Campus Wide Laundry Alterations	3,300,000	2,262,331	Substantially Complete	1,684,058	1,684,058			
UCFM Code Remediation - Hall Building	146,200	63,287	Construction	51,049	51,049			
UCFM Code Remediation - Longley School-Depot Campus	497,000	368,424	Substantially Complete	29,264	29,264			
UCFM Code Remediation - South Parking Garage	473,000	238,182	Substantially Complete	185,480	185,480			
UCFM Code Remediation - Williams Health Services Building	135,000	99,668	Substantially Complete	27,178	27,178			
UConn 2000 Code Remed - Northwest Residence Halls	1,598,735	1,179,858	Construction	29,444	29,444			
UConn 2000 Code Remed - South Campus Laundry	758,101	758,101	Completed	-				
UConn 2000 Code Remed - Stamford Downtown Relocation	4,000,000	1,354,683	Construction	176,055	176,055			
UConn 2000 Code Remed - Wilbur Cross Building	1,640,000	1,563,224	Construction	157,437	157,437			
UConn Hartford School of Business - 4th Floor BAPM Suite	202,521	202,521	Completed	1,318		1,318		
UConn Hockey Arena	2,850,000	1,751,159	Planning/Design	1,728,578		1,728,578		
UConn School of Fine Arts - Wadsworth Athenaeum	700,000	453,754	Substantially Complete	390,634		390,634		
UConn Stamford Mill River Remediation	450,000	33,011	Planning/Design	33,011	33,011			
University Athletic District Development (a.k.a. Stadia)	24,300,000	13,147,774	Construction	13,147,774	13,147,774			
University Dams Evaluation and Restoration	230,000	7,339	Planning/Design	7,339		7,339		
UTEB Freshman Design Teach Lab Renovation	464,289	464,289	Completed	59,270	59,270			
UTEB Passenger Elevator Modernization	160,000	54,634	Construction	54,634		54,634		
Utility Framework - Utility System Modeling	749,572	749,572	Completed	-				
Utility Infrastructure GIS Mapping	3,236,000	742,206	Planning/Design	48,024	48,024			
Von Der Mehden Hall Roof Restoration	200,000	9,065	Planning/Design	9,065		9,065		
Von der Mehden Recital Hall Upgrades	362,782	362,782	Completed	12,827		12,827		
W&T Lot Electrical and Parking Restoration	2,844,000	2,824,502	Substantially Complete	2,524,839		2,524,839		
Waterbury Chiller 1 Replacement	300,000	249,000	Substantially Complete	249,000		249,000		
Waterbury Garage Repairs	87,004	-	Construction	-				
Waterbury Life Safety System Replacement	137,755	137,755	Completed	81,003		81,003		
Werth Basketball Pantry/Dining Services	23,000	5,993	Construction	5,993		5,993		
Werth Family Basketball Champions Center Hall of Fame	3,465,000	3,455,781	Substantially Complete	371,017		77,835		293,182
West Campus Dorms Mechanical Room Repairs	300,000	1,977	Planning/Design	1,977		1,977		
Whitney Hall Dining Renovations	4,200,000	3,594,319	Substantially Complete	2,846,064		2,846,064		
Wilbur Cross Dome and Facade Restoration	250,000	28,352	Planning/Design	28,352		28,352		
Wilbur Cross Reading Rooms Finish Upgrades	2,768,977	2,768,977	Completed	160,016	8,622	151,394		
Wired Access Layer (UPDC) - Phase I	400,000	49,033	Construction	49,033	49,033			
WPCF Chlorine Contact Chamber Rehabilitation	200,000	68,035	Construction	68,035		68,035		
Y & Z Parking Lots and Electrical Restoration	99,600	67,290	Planning/Design	67,290		67,290		
Young 219 Office Renovation	70,000	-	Planning/Design	-				
Zero Carbon Scenario Planning	125,000	-	Planning/Design	-				
DM/Code/ADA/Infrastructure/Renovation/Utility/Administrative/Support Facilities Total				78,811,214	54,200,673	23,562,079	-	1,048,462
Engineering Building								
Engineering Building - Engineering & Science Building	92,529,568	92,427,818	Substantially Complete	1,180,952	1,180,952			
				Engineering Building Total	1,180,952	1,180,952	-	-
Equipment, Library Collections & Telecommunications - Phase III								
Academic Capital Equipment	6,097,292	4,902,058	Underway	355,971	355,971			
eProcurement / SciQuest Software Implementation	1,401,737	974,122	Substantially Complete	3,193		3,193		
Gampel Audio System Replacement	600,000	593,096	Substantially Complete	278,087	278,087			
Gampel Pavilion Wireless Infrastructure	550,000	494,937	Substantially Complete	494,937				
ITS Capital Equipment	32,874,863	29,845,197	Underway	2,804,208	2,804,208			
Public Safety Capital Equipment	16,370,407	14,893,953	Underway	1,605,283	1,605,283			

**University of Connecticut
Capital Project Expenditure Report - All Funding Sources
Fiscal Year 2020 as of 6/30/2020**

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Total Fiscal Year Expenditures	Fiscal Year to Date Expenditures By Funding Source				
					UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**	
Recruiting & Onboarding System Implementation	365,443	365,443	Completed	177,563		177,563			
Travel Management & Expense Reimbursement System Implementation	1,148,103	1,040,557	Substantially Complete	796,134		796,134			
Wired Access Layer (ITS) - Phase I	3,621,000	2,177,481	Construction	2,024,087	2,024,087				
Equipment, Library Collections & Telecommunications - Phase III Total				8,539,463	7,562,573	976,890			
Farm Buildings Repairs/Replacement									
Farm Buildings Repair / Replace - Spring Hill Farm	3,740,000	3,598,394	Substantially Complete	264,396	1,142	263,254			
Farm Buildings Repairs/Replacement Total				264,396	1,142	263,254			
Fine Arts Phase II									
Fine Arts Phase II - Renovation & Improvements	37,409,196	31,832,892	Substantially Complete	18,182,805	17,856,604			326,201	
Fine Arts Phase II Total				18,182,805	17,856,604			326,201	
Hartford Relocation Acquisition/Renovation									
Hartford Relocation Acquisition / Renovation	139,027,625	139,027,625	Completed	18,390	18,390				
Hartford Relocation Acquisition/Renovation Total				18,390	18,390				
Intramural, Recreational & Intercollegiate Facilities									
Central Campus Infrastructure	25,000,000	24,608,677	Substantially Complete	525,477			525,477		
Student Recreation Center	98,000,000	95,879,381	Substantially Complete	17,481,982			17,481,982		
University Athletic District Development (a.k.a. Stadia)	43,750,000	41,444,205	Construction	31,082,582			31,026,355	56,227	
Intramural, Recreational & Intercollegiate Facilities Total				49,090,041			49,033,814	56,227	
Jorgensen Renovation									
Jorgensen Renovation - HVAC Renewal	1,814,654	1,814,654	Completed	12,276	12,276				
Jorgensen Renovation Total				12,276	12,276				
North Hillside Road Completion									
North Hillside Road Completion	18,864,790	18,588,001	Substantially Complete	31,003	(34,543)			65,546	
North Hillside Road Completion Total				31,003	(34,543)			65,546	
Residential Life Facilities									
Res Life Facilities - Alumni Quad Roof & Facade Renovation	193,000	126,805	Planning/Design	121,475	121,475				
Res Life Facilities - Alumni Res Hall Granite Restoration	645,000	338,375	Planning/Design	67,360	67,360				
Res Life Facilities - Buckley Hall Facade Renovation	425,000	63,369	Planning/Design	-	-				
Res Life Facilities - East Campus Door Hardware Replacement	12,920	12,920	Completed	2,070	2,070				
Res Life Facilities - Hicks and Grange Student Room Renovation	1,600,000	76,127	Planning/Design	76,127	76,127				
Res Life Facilities - Hilltop Apartments Upgrades	55,000	-	Planning/Design	-	-				
Res Life Facilities - Hilltop Apt Complex Roof Repairs - Phase III	1,519,493	1,519,493	Completed	80	80				
Res Life Facilities - McMahon Hall Envelope Repairs	499,000	202,867	Planning/Design	51,655	51,655				
Res Life Facilities - Next Generation Conn. Hall	95,792,776	95,792,776	Completed	23,619	23,619				
Res Life Facilities - North Campus Residence Hall Reno - Phase II	2,170,000	36,534	Planning/Design	36,534	36,534				
Res Life Facilities - North Res Steam to Hot Water Conversion	77,000	4,944	Planning/Design	4,944	4,944				
Res Life Facilities - North Residence Halls Roof Refurb Phase II	1,576,000	1,253,494	Substantially Complete	1,235,943	1,235,943				
Res Life Facilities - Northwood Chimney Renovation	108,299	108,299	Completed	98,802	98,802				
Res Life Facilities - Residence Halls Door Access Reader Upgrade	428,000	42,892	Planning/Design	42,892	42,892				
Res Life Facilities - Upgrade Rome Ballroom HVAC	340,000	261,481	Planning/Design	-	-				
Residential Life Facilities Total				1,761,501	1,761,501				
School of Pharmacy/Biology Completion									
School of Pharmacy Medicinal Garden	915,000	694,489	Substantially Complete	89,196	89,196				
School of Pharmacy/Biology Completion Total				89,196	89,196				
Technology Quadrant-Phase III									
Tech Quad Phase III - Innovation Partnership Building	162,300,000	157,648,145	Substantially Complete	5,647,184				5,647,184	
Technology Quadrant-Phase III Total				5,647,184				5,647,184	
Sub Total - Storrs & Regional Campuses:				205,278,000	124,298,343	24,802,223	49,033,814	7,143,620	
UConn Health Center (UCONN 2000 Funding Only)									
Def Mtn/Code & ADA Cmp/Inf Imp & Reno Lump Sum/UA&S Fac-UCHC									
UCHC Deferred Maintenance	51,165,520	49,828,417	Construction	1,555,283	1,555,283				
Def Mtn/Code & ADA Cmp/Inf Imp & Reno Lump Sum/UA&S Fac-UCHC Total				1,555,283	1,555,283				
Equipment, Library Collections & Telecommunications-UCHC									
UCHC New Construction & Renovation - Clinic Building	1,500,000	1,500,000	Substantially Complete	1,500,000	1,500,000				
UCHC Capital Equipment	74,399,314	72,169,422	Underway	3,387,531	3,387,531				
Equipment, Library Collections & Telecommunications-UCHC Total				4,887,531	4,887,531				
Main Building Renovation									
UCHC New Construction & Renovation - Clinic Building	870,000	870,000	Substantially Complete	870,000	870,000				
Main Building Renovation Total				870,000	870,000				
Medical School Academic Building Renovation									
UCHC Academic Building Addition / Renovations	35,880,745	35,880,745	Completed	34,339	34,339				
Medical School Academic Building Renovation Total				34,339	34,339				
UCHC New Construction & Renovation									

**University of Connecticut
Capital Project Expenditure Report - All Funding Sources
Fiscal Year 2020 as of 6/30/2020**

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Total Fiscal Year Expenditures	Fiscal Year to Date Expenditures By Funding Source			
					UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
UHC New Construction & Renovation - Clinic Building	89,156,127	85,464,735	Substantially Complete	2,272,753	2,272,753			
UHC New Construction & Renovation Total				2,272,753	2,272,753	-	-	-
Sub Total - UConn Health Center (UCONN 2000 Funding Only):				9,619,906	9,619,906	-	-	-
Total - Storrs, Regional Campuses and UConn Health Center - Current Year Expenditures:				\$ 214,897,906	\$ 133,918,249	\$ 24,802,223	\$ 49,033,814	\$ 7,143,620
Adjustment for Transfers Between Fund Sources***:				\$ -	\$ 3,204,905	\$ (3,170,362)	\$ -	\$ (34,543)
Adjusted Total - Storrs, Regional Campuses and UConn Health Center - Current Year Expenditures:				\$ 214,897,906	\$ 137,123,154	\$ 21,631,861	\$ 49,033,814	\$ 7,109,077

* - Current Funded Budget may be less than the approved budget, and represents the current funding available for the project

** - Other funding sources include State Bond Funds, Gifts, Grants and Federal Funds


*** - Per Capital Projects Policies and Procedures, transfers between funding sources may occur periodically, as determined necessary by the Office of Budget and Planning and approved by the Board of Trustees, if necessary. If a current period transfer captures expenses paid in a previous fiscal year, a negative balance occurs in the report. This adjustment corrects for prior year expenditures in the current year transfers.


ATTACHMENT 13



September 30, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Agricultural Biotechnology Laboratory Phoenix Controls Upgrade (Final: \$592,350)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$592,350, as detailed in the attached project budget, for Agricultural Biotechnology Laboratory Phoenix Upgrade for Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$592,350 in University Funds for Agricultural Biotechnology Laboratory Phoenix Upgrade project; and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

BACKGROUND:

UConn monitors lab controls through Phoenix Controls. This system has come to the end of its useful life and will need to be upgraded to the next generation. Phoenix Controls deliver the value of an energy efficient critical room control system that requires limited maintenance and provides complex critical airflow control use. This project will include new valve controllers with electric actuators, fume hood monitors, sash sensors, zone presence sensors, power supplies and new control integrator to be used for system communication to the Building Management System (BMS). Existing occupancy sensors will be tied into Phoenix controller. Labs with fume hood will be converted to full VAV control. This project also includes the required re-wiring of control devices, start up and electronic lab balance. BMS

contractor involvement will be required to transfer data from Phoenix's new integrator to the BMS.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: AGRICULTURAL BIOTECHNOLOGY LABORATORY PHOENIX
UPGRADE**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/30/2020</u>	<u>PROPOSED FINAL 9/30/2020</u>
	<u>PRC</u>	
CONSTRUCTION	\$ 300,000	\$ 538,500
DESIGN SERVICES	-	
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
OTHER SOFT COSTS	-	-
SUBTOTAL	<u>\$ 300,000</u>	<u>\$ 538,500</u>
PROJECT CONTINGENCY	<u>40,000</u>	<u>53,850</u>
TOTAL BUDGETED EXPENDITURES	<u><u>\$ 340,000</u></u>	<u><u>\$ 592,350</u></u>
 <u>SOURCE(S) OF FUNDING*</u>		
UNIVERSITY FUNDS	<u>\$ 340,000</u>	<u>\$ 592,350</u>
TOTAL BUDGETED FUNDING	<u><u>\$ 340,000</u></u>	<u><u>\$ 592,350</u></u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.


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ATTACHMENT 14



September 30, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Chemistry Building Roof Snow Guards
(Final: \$916,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$916,000, as detailed in the attached project budget, for Chemistry Building Roof Snow Guards for Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$916,000 in University Funds for Chemistry Building Roof Snow Guards project; and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

BACKGROUND:

Opening in 1999, the Chemistry Building was the first UConn building to be built as part of the 10-year UConn 2000 initiative. This iconic campus landmark has a brick-veneered structure with a metal standing-seam roof. The main building is separated into three (3) distinct wings, Teaching, Research Administration, and includes an auditorium extension located to the southwest of the main building. There is active snow ice buildup and slide safety issue which resulted in the imposed placement of temporary overhead protection at entrances and adjacent sidewalks. A complete evaluation, engineering, and design to prepare drawings and technical specifications have been completed. This project will replace the existing failed standing-seam roof snow guard systems for the entire facility.

The Final Budget is based on the consultant's preliminary opinion of construction cost during Design.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: CHEMISTRY BUILDING ROOF SNOW GUARD REPLACEMENT

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 2/28/2020</u>	<u>PROPOSED FINAL 9/30/2020</u>
	<u>PRC</u>	
CONSTRUCTION	\$ -	\$ 780,000
DESIGN SERVICES	32,500	37,500
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
OTHER SOFT COSTS	-	-
	<hr/>	<hr/>
SUBTOTAL	\$ 32,500	\$ 817,500
PROJECT CONTINGENCY	5,000	98,500
	<hr/>	<hr/>
TOTAL BUDGETED EXPENDITURES	<u>\$ 37,500</u>	<u>\$ 916,000</u>
 <u>SOURCE(S) OF FUNDING*</u>		
UNIVERSITY FUNDS	<u>\$ 37,500</u>	<u>\$ 916,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 37,500</u>	<u>\$ 916,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

CHEMISTRY BLDG ROOF SNOW GUARDS
Project Budget (FINAL)
September 30, 2020





ATTACHMENT 15



September 30, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Y & Z Parking Lots, Repaving and Electrical Restoration,
Phase 1 & 2 (Final: \$2,377,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$2,377,000, as detailed in the attached project budget, for Y & Z Parking Lots, Repaving and Electrical Restoration, Phase 1 & 2 for Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$2,377,000 in University funds for Parking Lots Y & Z, Repaving and Lighting, Phase 1 & 2; and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

BACKGROUND:

The existing parking lots serving resident and commuter students are in disrepair and in need of repaving and electrical upgrades. The Facilities Operations Department has determined that the lots are in moderate to poor condition with limited pedestrian mobility and require maintenance and improvements. The project will consist of repaving the lots, replacing damaged curbing, addition of ADA parking spaces and curb cuts, addition of electric car charging stations, replacement of existing light pole bases with new pole bases and LED lamps, and related appurtenances.

The University intends to have this work performed in the summer of 2021 but may elect to use a phased approach by repairing Lot Z in the summer of 2021 and Lot Y in the summer of 2022. The Final Budget is based on the consultant's preliminary opinion of construction cost during construction and assumes that the subsurface materials under the parking lots are suitable to remain in place.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: Y & Z PARKING LOTS REPAVING AND ELECTRICAL RESTORATION

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 2/24/2020</u>	<u>PROPOSED FINAL 9/30/2020</u>
	PRC	
CONSTRUCTION	\$ -	\$ 2,004,000
DESIGN SERVICES	90,000	99,600
TELECOMMUNICATIONS (Blue Phones)	-	
FIXTURES AND EQUIPMENT (Streetlights, EV charg	-	
CONSTRUCTION ADMINISTRATION	-	120,000
OTHER AE SERVICES (including Project Management)	-	
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	40,000
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
OTHER SOFT COSTS	-	-
	<hr/>	<hr/>
SUBTOTAL	\$ 90,000	\$ 2,263,600
PROJECT CONTINGENCY	9,600	113,400
	<hr/>	<hr/>
TOTAL BUDGETED EXPENDITURES	\$ 99,600	\$ 2,377,000
	<hr/> <hr/>	<hr/> <hr/>
<u>SOURCE(S) OF FUNDING*</u>		
UNIVERSITY FUNDS	\$ 99,600	\$ 2,377,000
	<hr/>	<hr/>
TOTAL BUDGETED FUNDING	\$ 99,600	\$ 2,377,000
	<hr/> <hr/>	<hr/> <hr/>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

Y&Z PARKING LOTS,
REPAVING AND ELECTRICAL RESTORATIONS, PHASE 1
Project Budget (FINAL)
September 30, 2020




Parking Lot Z, looking southward. Parking Lot Y is seen in the distance.


ATTACHMENT 16



September 30, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Andover Infrastructure and Software Upgrade Phase 1
(Final: \$996,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$996,000 as detailed in the attached project budget, for Andover Infrastructure and Software Upgrade for Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$996,000 in University Funds for Andover Infrastructure and Software Upgrade project; and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

BACKGROUND:

UConn monitors buildings through Andover Building Management System. This system controls and monitors the building's mechanical and electrical equipment such as ventilation, lighting, power systems, fire systems, and security systems. Its useful life is ending. The system needs to be upgraded to the next-generation EcoStruxure Building Operation System over several years to securely facilitate the exchange of data from both ESC and third-party energy, lighting, HVAC, fire safety, security and workplace management systems to create future-ready smart buildings. The following buildings will be upgraded in this phase: Agricultural Biotechnology Laboratory, Alumni Residence Halls, Jorgensen Center for the Performing Arts. Bio Physics, Torrey Life, Werth Basketball, ITEB, Student Union, Laurel, Babbidge and Poultry Animal Care.

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This project has multiple phases. The cost of the entire project, at this time, is estimated around \$8M. The sequence of the buildings, in a particular phase, will vary depending upon the funding availability and the building access.

The Final Budget is based on the consultant's preliminary opinion of construction cost during Design.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: ANDOVER INFRASTRUCTURE AND SOFTWARE UPGRADE - PHASE 1

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 4/3/2020</u>	<u>PROPOSED FINAL 9/30/2020</u>
	<u>PRC</u>	
CONSTRUCTION	\$ 350,000	\$ 889,000
DESIGN SERVICES		-
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
OTHER SOFT COSTS	-	-
SUBTOTAL	<u>\$ 350,000</u>	<u>\$ 889,000</u>
PROJECT CONTINGENCY	<u>50,000</u>	<u>107,000</u>
TOTAL BUDGETED EXPENDITURES	<u><u>\$ 400,000</u></u>	<u><u>\$ 996,000</u></u>
 <u>SOURCE(S) OF FUNDING*</u>		
UNIVERSITY FUNDS	<u>\$ 400,000</u>	<u>\$ 996,000</u>
TOTAL BUDGETED FUNDING	<u><u>\$ 400,000</u></u>	<u><u>\$ 996,000</u></u>


* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.


ATTACHMENT 17



September 30, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for North Eagleville Road and Discovery Drive Intersection Improvements (Design: \$750,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$750,000, as detailed in the attached project budget, for the North Eagleville Road and Discovery Drive Intersection Improvements project, for Design. The project provides essential safety-related improvements to signalization and pedestrian facilities at the intersection of North Eagleville Road and Discovery Drive. As their signalization controls are linked, additional work at the intersection of North Eagleville Road and Auditorium Road will also be included. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$750,000 in UCONN 2000 Bond funds for the North Eagleville Road and Discovery Drive Intersection Improvements project.”

BACKGROUND:

The signalization at the intersection of North Eagleville Road and Discovery Drive is not fully operational when compared to industry standards and is unsafe for pedestrians due to the lack of appropriate controls for those walking eastbound and westbound. The existing poles, arms, signal heads and other traffic control appurtenances are also outdated and do not match those at other intersections nearby.

This scope of this project includes, but is not limited to:

1. Pedestrian signal heads and push button pedestals
2. A dedicated left turn signal head for eastbound traffic on North Eagleville Road onto Discovery Drive
3. New poles, mast arms and traffic control appurtenances for the intersections of North Eagleville Road with Discovery Drive and Auditorium Road
4. Associated curb ramp and crosswalk improvements

The estimated total project cost is \$750,000. The Design Budget is based on a cost estimate that was prepared by the design consultant.

The Design Phase Budget is attached for your information.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

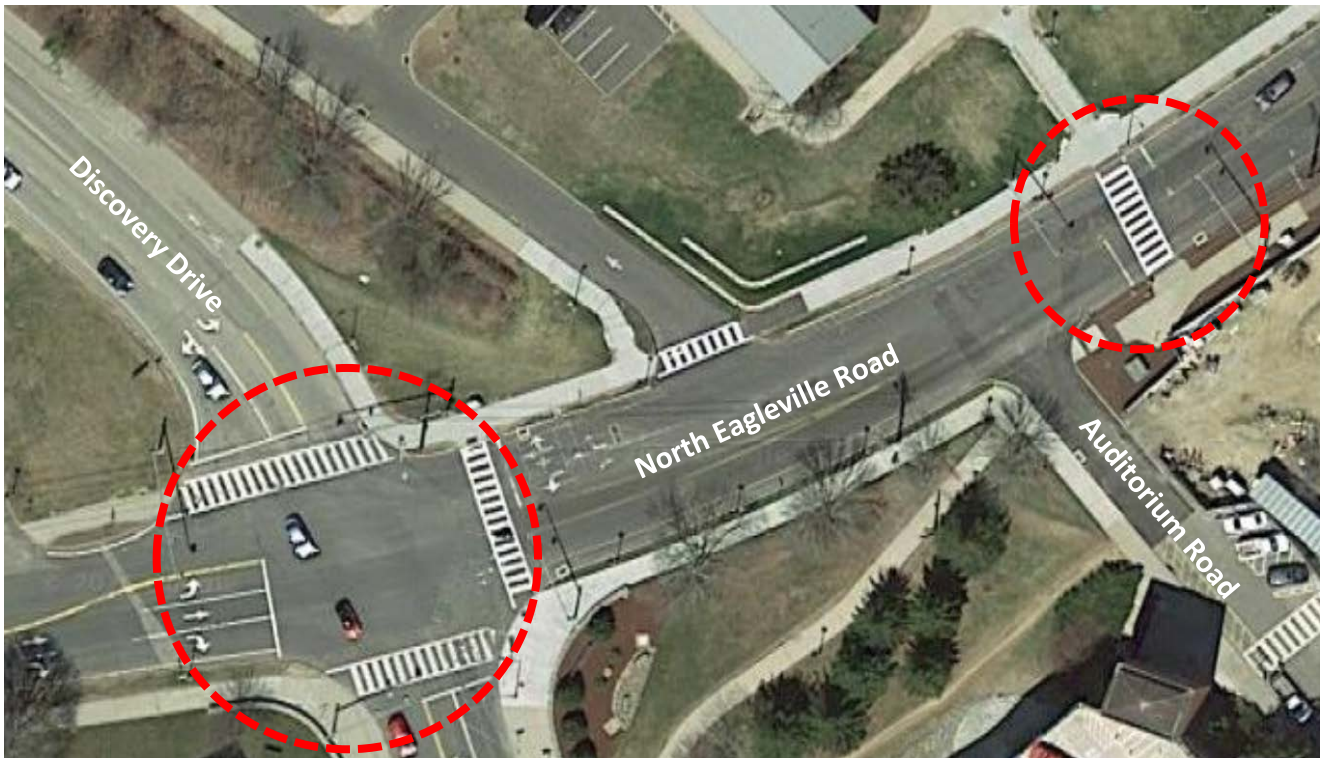
TYPE BUDGET: DESIGN

**PROJECT NAME: NORTH EAGLEVILLE ROAD and DISCOVERY DRIVE
INTERSECTION IMPROVEMENTS**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 1/8/2020</u>	<u>PROPOSED DESIGN 9/30/2020</u>
	<u>PRC</u>	
CONSTRUCTION	\$ -	\$ 500,000
DESIGN SERVICES	109,500	109,500
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION		
OTHER AE SERVICES (including Project Management)	14,500	37,500
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	5,000	5,000
INSURANCE AND LEGAL	1,000	3,000
MISCELLANEOUS	5,000	20,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 135,000	\$ 675,000
PROJECT CONTINGENCY	15,000	75,000
TOTAL BUDGETED EXPENDITURES	\$ 150,000	\$ 750,000
 <u>SOURCE(S) OF FUNDING*</u>		
UCONN 2000 BOND FUNDS	\$ 150,000	\$ 750,000
TOTAL BUDGETED FUNDING	\$ 150,000	\$ 750,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

NORTH EAGLEVILLE ROAD and DISCOVERY DRIVE
INTERSECTION IMPROVEMENTS
Project Budget (DESIGN)
September 30, 2020




Subject intersections: North Eagleville Road at Discovery Drive & Auditorium Road


ATTACHMENT 18



September 30, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UConn Hockey Arena (Revised Design: \$4,600,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Design Budget of \$4,600,000, as detailed in the attached project budget, for the UConn Hockey Arena. The increase of \$1,750,000 is for the completion of design, bidding and expenses associated with the Arena Project as described herein. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approves a budget of \$4,600,000 in University Funds for the UConn Hockey Arena Project, for design and expenses associated with the Project; and that the University will enter into such agreements necessary to finalize design and architectural activities with the current project architect; and further, that the UConn Hockey Arena Project will proceed on a design-bid-build Construction Manager at Risk project delivery basis.”

BACKGROUND:

In 2013, the University joined the Hockey East Association for Men’s and Women’s ice hockey. In UConn’s acceptance of the offer of membership in Hockey East, the University committed to meet the Hockey East requirement of an on-campus arena. The originally specified capacity of the arena was 4,000 seats, but it was later reduced by Hockey East to an on-campus arena with a seating capacity of 2,500. The University thereafter worked to secure a development partner for the development and construction of a new arena. Through the Request for Expressions of Interest (RFEI) process conducted at the end of 2017, the University received three responses with financial terms. As a result of further refinement of University needs and requirements, a RFEI addendum was issued in November 2018 and the University selected a preferred development partner for the project in December 2018.

PREDEVELOPMENT SERVICES

Based on the October 2018 approval of a Plan of Finance by the Buildings, Grounds and Environment and Financial Affairs Committees, as authorized by the Board of Trustees, a term sheet and predevelopment agreement were negotiated and executed in April 2019. The purpose of the predevelopment agreement was to provide a six-month period of due diligence and investigation on the project and to confirm the program, conceptual design and total cost of the project. Under the terms of the predevelopment agreement, the University was responsible for the expenses during the predevelopment phase and is the owner of the enhanced concept design documents and site investigation reports at the end of the predevelopment period.

In November 2019, at the end of its predevelopment services, the preferred development partner indicated that it was unlikely to deliver the hockey arena development at the originally estimated project cost, and on the originally anticipated schedule, and that additional funding and time would be required to construct and finance the arena. The University therefore chose not to enter into a development agreement for the delivery of the hockey arena project.

PROPOSED PROJECT AND DELIVERY

The University investigated alternatives for the delivery of the hockey arena and initially determined that the most cost-and-time-efficient method was a University-managed design-build approach. Subsequent to that decision, the on-set of the COVID-19 pandemic impacted all aspects of University functions causing the design-build delivery approach to be reconsidered. The University is moving forward with a traditional Design-Bid-Build Construction Manager at Risk delivery method. The University is continuing with the architectural firm previously contracted with the preferred development partner under a new direct contract with the University to complete Design, Bidding and Construction Administration.

The goal of the Design documents is to make the building as efficient and cost-effective as possible, while providing required program elements, including a minimum of 2,500 seats.

During the preparation of the final design package, the University will endeavor to make the facility as energy and water-use efficient as possible.

The Revised Design Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED DESIGN

PROJECT NAME: UCONN HOCKEY ARENA

	APPROVED PLANNING 2/6/2019 PRC	APPROVED REV PLANNING 4/2/2019 PRC	APPROVED REV PLANNING 6/24/2019 PRC	APPROVED ** REV PLANNING 8/14/2019	APPROVED DESIGN 1/29/2020	PROPOSED REV DESIGN 9/30/2020
<u>BUDGETED EXPENDITURES</u>						
CONSTRUCTION	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DESIGN SERVICES	295,000	295,000	295,000	395,000	2,100,000	3,675,000
TELECOMMUNICATIONS	-	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-	-	-
OTHER AE SERVICES (including Project Management)	15,000	15,000	15,000	30,000	100,000	160,000
ART	-	-	-	-	-	-
RELOCATION	-	-	-	-	-	-
ENVIRONMENTAL	10,000	35,000	59,500	220,000	265,000	265,000
INSURANCE AND LEGAL	25,000	50,000	75,000	100,000	100,000	120,000
MISCELLANEOUS	5,000	5,000	5,000	5,000	5,000	5,000
OTHER SOFT COSTS	-	-	-	-	-	-
SUBTOTAL	\$ 350,000	\$ 400,000	\$ 449,500	\$ 750,000	\$ 2,570,000	\$ 4,225,000
PROJECT CONTINGENCY	50,000	50,000	50,000	100,000	280,000	375,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 400,000</u>	<u>\$ 450,000</u>	<u>\$ 499,500</u>	<u>\$ 850,000</u>	<u>\$ 2,850,000</u>	<u>\$ 4,600,000</u>
<u>SOURCE(S) OF FUNDING*</u>						
UNIVERSITY FUNDS	<u>\$ 400,000</u>	<u>\$ 450,000</u>	<u>\$ 499,500</u>	<u>\$ 850,000</u>	<u>\$ 2,850,000</u>	<u>\$ 4,600,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 400,000</u>	<u>\$ 450,000</u>	<u>\$ 499,500</u>	<u>\$ 850,000</u>	<u>\$ 2,850,000</u>	<u>\$ 4,600,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

** These costs reflect the extension in the time period of the pre-development agreement and fall within the approved finance plan.

UCONN HOCKEY ARENA
Project Budget (DESIGN)
September 30, 2020



Northwest Elevation along Jim Calhoun Way

INFORMATIONAL ITEMS

**University of Connecticut Department of Human Resources
Hires Processed from May 26, 2020 to September 18, 2020
Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATE
Adaralegbe, Adeleye A	Lecturer	Allied Health Sciences	8/23/2020
Almashaqbeh, Ghada	Asst Professor	Computer Sci and Engineering	8/23/2020
Anderson, Kiley P	Asst Coach (Specialist IA)	Athletics Women Intercollegiat	8/14/2020
Auerbach Iovino, Emily R	Postdoctoral Research Assoc	InCHIP	8/28/2020
Barbedo Rocha, Cesar	Asst Professor	Marine Sciences	8/23/2020
Basco, Samantha	Postdoctoral Research Assoc	Pharmacy Practice	7/6/2020
Bazier-Matte, Veronique	Asst Research Professor	Mathematics	8/23/2020
Benson, Clare	Asst Professor	Art and Art History	8/23/2020
Bhargava, Kriti	Asst Professor in Res	Computer Sci and Engineering	8/23/2020
Booten, Kyle P	Asst Professor	English	8/23/2020
Brodbeck, Christian M	Asst Research Professor	Psychological Sciences	8/23/2020
Buchweitz, Augusto	Assoc Professor	Psychological Sciences	8/23/2020
Buller, Jessica R	UCP 06-Career Consultant	Career Development Center	6/19/2020
Burton, Christopher G	Asst Professor	Geography	8/23/2020
Buzay, Elisabeth P	Visiting Asst Professor	Lit, Cultures and Languages	8/23/2020
Caemmerer, Jacqueline M	Asst Professor	Educational Psychology	8/23/2020
Carolan, Kelsi J	Asst Professor	Social Work Instruct and Rsrch	8/23/2020
Carstens, Vicki M	Professor	Linguistics	8/23/2020
Carter, Eileen J	Asst Professor	Nursing Instruct and Research	8/23/2020
Caspi, Caitlin E	Assoc Professor	InCHIP Rudd Center	8/23/2020
Caspi, Eilon	Asst Research Professor	InCHIP	8/23/2020
Cassano, Heather N	Asst Professor	Digital Media and Design	8/23/2020
Cerwinski, Victoria E	Admin Program Support 1	Mechanical Engineering	9/11/2020

NAME	TITLE	DEPARTMENT	DATE
Chamberlin, Alyssa	Admin Program Support 2	Procurement Services	7/17/2020
Chaney, Kimberly E	Asst Professor	Psychological Sciences	8/23/2020
Cheng, Yaqing	Postdoctoral Research Assoc	Physiology and Neurobiology	8/28/2020
Choi, Sangyong	Asst Professor	Nutritional Sciences	8/23/2020
Chu, Elaine	Academic Advisor 1	CLAS Academic Services	9/11/2020
Clark, Victoria N	Residence Hall Director	Residential Life	7/31/2020
Cohen, Rachel C	Assoc Professor	Human Dev and Family Sciences	8/23/2020
Cole, Haile E	Asst Professor	Anthropology	8/23/2020
Cole, Kayla C	UCP 04-Program Asst 2	Social Work	6/19/2020
Coles, Ryan S	Asst Professor	Management	8/23/2020
Coltrain, James	Asst Professor	Digital Media and Design	8/23/2020
Comay del Junco, Elena	Asst Professor	Philosophy	8/23/2020
Connolly, Cristina	Asst Professor	Agricultural and Resource Econ	8/23/2020
Corbin, Joanne N	Assoc Dean & Professor	Social Work Instruct and Rsrch	8/23/2020
Daily, Jeff R	Pre-Doc Intern	Student Health and Wellness	8/10/2020
Davidesco Klinger, Ido	Asst Professor	Educational Psychology	8/23/2020
Della Zazzera, Elizabeth F	Postdoctoral Research Assoc	Humanities Institute	7/31/2020
Deng, Yao	Asst Professor	Finance	8/23/2020
Devlin, Paul J	Specialist IA	Athletics MI Hockey	10/23/2020
Doci, Klarita	Research Asst 1	Physiology and Neurobiology	7/17/2020
Dolan, Robert	Asst Professor in Res	Mathematics	8/23/2020
DONG, XIN	Asst Research Professor	Mathematics	8/23/2020
Dougherty, Caitlin E	Student Services Prog Coord	Law	7/31/2020
Doyle, Jessica W	Social Worker	Student Health and Wellness	8/28/2020
Earp, Jacob E	Asst Professor	Kinesiology	8/23/2020
Eason, Christianne M	Postdoctoral Research Assoc	Kinesiology	6/5/2020
Emenheiser, Joseph C	Asst Coop Ext Edu	Department of Extension	8/23/2020
Evans-Moyer, Tracy K	UCP 06-Career Consultant	Law	6/5/2020
Evers, Miles M	Asst Professor	Political Science	8/23/2020

NAME	TITLE	DEPARTMENT	DATE
Faesi, Christopher M	Asst Professor	Physics	8/23/2020
Farzannia, Amineh	Asst Professor in Res	Mathematics	8/23/2020
Fernandez Varela, Carlos	Instructor in Residence	Accounting	8/23/2020
Fitzgerald, Stephen W	Instructor in Residence	Operations and Info Management	8/23/2020
Fortune, Timothy W	Asst Professor in Res	Statistics	8/23/2020
Friedman, Meredith R	Ed Program Assistant 2	History	8/28/2020
Fulton, Ari Q	Visiting Asst Professor	Dramatic Arts	8/23/2020
Gao, Meng	Asst Professor	Finance	8/23/2020
Gatten, Alex M	Visiting Asst Professor	English	8/23/2020
Gentile, Joseph	Residence Hall Director	Residential Life	7/31/2020
GERCEK, SALIH EMRE	Visiting Asst Professor	Political Science	8/23/2020
Ghalwash, Haitham A	Asst Professor in Res	Computer Sci and Engineering	8/23/2020
Gilbert, Jonathan D	Instructor in Residence	Molecular and Cell Biology	8/23/2020
Gilmore, Kerry M	Asst Professor	Chemistry	8/23/2020
Giorio Jackson, Cristal G	Research Asst 1	Speech, Lang and Hearing Sci	7/31/2020
Glaser, Noah	Postdoctoral Research Assoc	Educational Psychology	8/28/2020
Glaviano, Neal R	Asst Professor	Kinesiology	8/23/2020
Golden, Lisa	UCP 01-Research Lab Aide	Plant Sci and Landscape Arch	6/5/2020
Gong, Guojin	Assoc Professor	Business Undergrad Programs	8/23/2020
Gonsalves, Troy	Residence Hall Director	Residential Life	7/31/2020
Grande, Sandy	Professor	Political Science	8/23/2020
Grandy, Roslyn	Librarian 2	Pharmacy	8/28/2020
Gray, Charlotte	Asst Professor in Res	Art and Art History	8/23/2020
Greene, Michelle L	UCP 05-U Library Asst 2	Library Access Services	6/5/2020
Gresham, Lori J	Asst Professor in Res	Psychological Sciences	8/23/2020
Grumstrup, Ethan	Postdoctoral Research Assoc	Agricultural and Resource Econ	8/14/2020
Guan, Chao	Postdoctoral Research Assoc	Materials Science Institute	8/28/2020
Haase, Christian D	Pre-Doc Intern	Student Health and Wellness	8/10/2020
Halder, Shaymal C	Visiting Asst Professor	Statistics	8/23/2020

NAME	TITLE	DEPARTMENT	DATE
Hargrove, Derek T	Postdoctoral Research Assoc	Pharmaceutical Science	8/28/2020
Harlow, Alicia N	Ed Program Assistant 1	Pharmacy	8/14/2020
Henderson, Kristi L	Asst Vice Provost	Provost Academic Affairs	7/1/2020
Hennessey, Leann A	UCP 03-Program Asst I	Allied Health Sciences	6/5/2020
Hosty, Davidson	UCP 06-Academic Advisor 2	Stamford Campus	6/5/2020
Iacono, Giovanni	Asst Professor	Social Work Instruct and Rsrch	8/23/2020
Imani, Farhad	Asst Professor	Mechanical Engineering	8/23/2020
Jackson, Patricia	Postdoctoral Research Assoc	Pharmacy Practice	7/6/2020
Jensen, Melissa L	Postdoctoral Research Assoc	InCHIP Rudd Center	8/23/2020
Johnson, Maureen G	Asst Clinical Professor - LAW	Law Instruction and Research	8/23/2020
Justice, Nicole S	Visiting Asst Professor	Digital Media and Design	8/23/2020
Kalinowski, John M	Instructor - LAW	Law Instruction and Research	8/27/2020
Kalinowski, Jolaade	Professor	Human Dev and Family Sciences	8/23/2020
Kan, Christina	Professor	Business Faculty and Outreach	8/23/2020
Keenan, Mary C	Admin Program Support 3	CT Entrepreneurship Innov Ctr	7/31/2020
Kilburn, Christopher	UCP 05-Financial Asst 2	Nursing	6/19/2020
Kim, Junyeol	Visiting Asst Professor	Philosophy	8/23/2020
Kimber, Addison L	Research Asst 1	InCHIP	7/31/2020
Kinnie, Brianna M	Research Asst 1	Psychological Sciences	8/14/2020
Knighton, James O	Asst Professor	Natural Resources and Environ	8/23/2020
Kraus, Hilary	Librarian 2	University Libraries	8/28/2020
Kumar, Vikas	Postdoctoral Research Assoc	Pharmaceutical Science	8/28/2020
Kuna, Lukasz P	Postdoctoral Research Assoc	Materials Science Institute	6/19/2020
Kustoff, Ruth	Educational Program Manager 3	UConn eCampus	7/31/2020
Langley, Susan	Postdoctoral Research Assoc	Ed Psychology Gift and Talent	5/22/2020
Le, Duong	Postdoctoral Research Assoc	Mechanical Engineering	8/28/2020
Lebel, Charles R	Visiting Asst Professor	Lit, Cultures and Languages	8/23/2020
Levin, Remy	Visiting Asst Professor	Economics	8/23/2020
Liddle, Jennifer C	Academic Asst 2	Ctr Open Research Resrcs/Equip	8/14/2020

NAME	TITLE	DEPARTMENT	DATE
Lin, Feng	Postdoctoral Research Assoc	Mechanical Engineering	8/28/2020
Lisboa, Maria E	Clinical Instructor	Nursing Instruct and Research	8/23/2020
Liu, Sijing	Asst Research Professor	Mathematics	8/23/2020
Liu, Xiaochen	Postdoctoral Research Assoc	Educational Psychology	9/11/2020
Lopez, Raquel L	UCP 06-Academic Advisor 2	Stamford Campus	6/5/2020
Lou, Bowen	Asst Professor	Operations and Info Management	8/23/2020
Lozano Salazar, Lia I	Research Asst 1	Pharmaceutical Science	8/28/2020
Lu, Tao	Asst Professor	Operations and Info Management	8/23/2020
Lucia, Megan C	UCP 06-Academic Advisor 2	CLAS Academic Services	7/3/2020
Luedeman, Richard	Asst Clinical Professor - LAW	Law Instruction and Research	8/23/2020
Lynch, Kathleen	Asst Professor	Educational Psychology	8/23/2020
MacKenna, Valorie A	Asst Clin Professor 11 Mo	Nursing Instruct and Research	8/23/2020
Maitner, Brian S	Postdoctoral Research Assoc	Eversource Energy Center	6/5/2020
Malerba, Mary E	Sr Student Services Prog Admin	Career Development Center	8/14/2020
McCart, Sarah	Research Asst 2	Marine Sciences	8/14/2020
MENDEZ VILLUENDAS, EDUARDO	Postdoctoral Research Assoc	Materials Science Institute	8/28/2020
Milligan-Myhre, Kathryn C	Asst Professor	Molecular and Cell Biology	8/23/2020
Mishra, Avantika	UCP 07-Info Systems Analyst 2	Nursing-UConn AIMS	6/19/2020
Mizell, Jason	Asst Clinical Professor	Ed Curriculum and Instruction	8/23/2020
Mongillo, Rachel A	Student Services Prog Coord	ISS 1st Yr Prog and Learn Cmty	9/11/2020
Monroe, Garth	Instructor in Residence	Business Undergrad Programs	8/23/2020
Moreno, Maria P	Research Asst 1	InCHIP	7/17/2020
Moriarty, Meghan M	UCP 07-Manager-Growth Oper	Ecology and Evolutionary Bio	6/5/2020
Morris, Denise	Clinical Instructor	Nursing Instruct and Research	8/23/2020
Nagrotsky, Kathryn	Asst Clinical Professor	Ed Curriculum and Instruction	8/23/2020
Naik, Rajiv A	Visiting Professor	Engineering	8/23/2020
Ndaya, Dennis M	Research Assoc 1	Materials Science Institute	6/5/2020
Nelson, Eboni S	Dean	Law	7/31/2020
Newcomer, Rebecca L	UCP 04-Laboratory Technician 2	Molecular and Cell Biology	6/5/2020

NAME	TITLE	DEPARTMENT	DATE
Ng, Crystal A	Postdoctoral Research Assoc	Ecology and Evolutionary Bio	8/28/2020
Osorio, Victor M	Residence Hall Director	Residential Life	7/31/2020
Ouyang, Luoxuan	Research Asst 2	Molecular and Cell Biology	6/19/2020
Page, Tyler G	Asst Professor	Communication	8/23/2020
Pappas, Sue E	UCP 04-Program Asst 2	Human Dev and Family Sciences	6/19/2020
Paricio Garcia, Jorge	Assoc Professor in Res	Engineering	8/23/2020
Paulraj, Kanagaraj	Procurement Specialist	Procurement Services	8/14/2020
Pavone, Natalie R	Assistant Teacher	Human Development Child Labs	8/21/2020
Peck, Roxanne M	Asst Director	University Libraries	9/11/2020
Pemberton, Marianne	UCP 05-Laboratory Technician 3	Clean Energy Engineering Ctr	6/19/2020
Perez, Kenneth A	Asst Professor in Res	Psychological Sciences	8/23/2020
Pfau, Kaitlyn M	Grants and Contracts Assistant	CLAS Business Services Center	9/25/2020
Pickowitz, Sara A	Assistant Teacher	Human Development Child Labs	8/21/2020
Politano, Fabrizio	Postdoctoral Research Assoc	Chemistry	8/28/2020
Prescod, Diandra J	Assoc Professor	Educational Psychology	8/23/2020
Price, Heather A	UCP 04-Program Asst 2	Waterbury Campus	6/5/2020
Pryma, Jane E	Asst Professor	Sociology	8/23/2020
Qin, Yang	Assoc Professor	Materials Science Institute	8/23/2020
Ray, Rosalie S	Postdoctoral Research Assoc	Geography	8/28/2020
Rehfeldt, Michael	Specialist IA	Athletics MI Basketball	6/29/2020
Reinhardt, Emily M	Academic Asst 2	Pathobiology	9/11/2020
Rheinhardt, Alexandra	Asst Professor	Management	8/23/2020
Roberts, Veronica L	Residence Hall Director	Residential Life	7/31/2020
Rodgers, Maria L	Postdoctoral Research Assoc	Ecology and Evolutionary Bio	8/28/2020
Rojas-Sandoval, Julissa	Asst Research Professor	Institute of the Environment	8/23/2020
Romero Gonzalez, Leonel	Asst Professor	Marine Sciences	9/11/2020
Roth, Andrea J	Research Asst 1	Ecology and Evolutionary Bio	7/6/2020
Rotolo, Renee	Postdoctoral Research Assoc	Psychological Sciences	7/3/2020
Rovozzo, Rene N	Research Asst 1	Physiology and Neurobiology	8/14/2020

NAME	TITLE	DEPARTMENT	DATE
Rugg, Stephanie C	Asst Professor in Res	Chemistry	8/23/2020
Santos, Stephany	Asst Professor in Res	Biomedical Engineering	8/23/2020
Sasaki, Matthew	Postdoctoral Research Assoc	Marine Sciences	8/14/2020
Saxena, Nikhil	Asst Professor in Res	Computer Sci and Engineering	8/23/2020
Scanlon, Erin M	Asst Professor in Res	Physics	8/23/2020
Scarbeck, Susan E	Residence Hall Director	Residential Life	7/31/2020
Sinclair, Tracy E	Asst Clinical Professor	Educational Psychology	8/23/2020
Siriviriyakul, Subprasiri	Asst Professor in Res	Accounting	8/23/2020
Smith, Hanson W	Asst Research Professor	Mathematics	8/23/2020
Song, Dongjin	Asst Professor	Computer Sci and Engineering	8/23/2020
Song, Gyuho	Postdoctoral Research Assoc	Material Science and Engr	9/11/2020
Speagle, William H	Asst Professor in Res	Economics	8/23/2020
Starke, Tadarrayl	Assoc Vice Provost	ISS Administration	8/14/2020
Steinke, Jocelyn D	Assoc Professor	Communication	8/23/2020
Stewart, Saran	Assoc Professor	Educational Leadership	8/23/2020
Suetta, Zachary T	Asst Professor in Res	Business	8/23/2020
Sullivan, Mikaela	Admin Program Support 2	Athletics Marketing	8/14/2020
Summers, William	UCP 09-Network Technician 3	Network Engr and Design	6/5/2020
Talbert, Ryan D	Asst Professor	Sociology	8/23/2020
Tao, Lei	Postdoctoral Research Assoc	Mechanical Engineering	7/13/2020
Timm, Rachel	Asst Clinical Professor - LAW	Law Instruction and Research	8/23/2020
Tocchi, Christine	Asst Professor	Nursing Instruct and Research	8/23/2020
Todd, Lauren M	Visiting Instructor	Women, Gender and Sexuality	8/23/2020
Torres, Julian M	Academic Advisor 1	CLAS Academic Services	9/11/2020
Tu, Hsinfen	Clinical Instructor	Nursing Instruct and Research	8/23/2020
Tuitt, Franklin A	Vice President	Diversity and Inclusion	7/27/2020
Tyer, Gabrielle	Student Services Prog Coord	Student-Athlete Success Prog	7/17/2020
Valentine, Sandra E	UCP 07-Program Manager	Student Health and Wellness	7/6/2020
Venters, Ravon M	Postdoctoral Research Assoc	Mechanical Engineering	6/19/2020

NAME	TITLE	DEPARTMENT	DATE
Vidart, Daniela M	Asst Professor	Economics	8/23/2020
Vint, Maria E	Instructor in Residence	Business	8/23/2020
Walker, Paige E	Asst Professor in Res	English	8/23/2020
Walsh, Tara C	Postdoctoral Research Assoc	Eversource Energy Center	9/11/2020
Wang, Simon M	eLearning Developer 2	UConn eCampus	9/25/2020
Wang, Xueju	Asst Professor	Materials Science Institute	8/23/2020
Watt, Daniel C	Lecturer	Mathematics	8/23/2020
Webb, Alyssa A	UCP 05-Program Coordinator	Human Rights Institute	7/6/2020
Wein, Sydney J	Residence Hall Director	Residential Life	7/31/2020
Wierzbicki, David A	Pre-Doc Intern	Student Health and Wellness	8/10/2020
Woodside, Christine G	Visiting Asst Professor	Journalism	8/23/2020
Wu, Guangfu	Postdoctoral Research Assoc	Materials Science Institute	8/28/2020
Yang, Gee Su	Asst Professor	Nursing Instruct and Research	8/23/2020
Yavas, Baris	Postdoctoral Research Assoc	Material Science and Engr	8/28/2020
Yin, Gang George	Professor	Mathematics	8/23/2020
Yu, Binyu	Postdoctoral Research Assoc	Materials Science Institute	9/11/2020
Yu, Jianzhong	Asst Professor	Physiology and Neurobiology	8/23/2020
Zaleski, Alexander L	UCP 04-Comp Tech Sup Cons 1	CLAS Computer Support	6/19/2020
Zhang, Na	Asst Professor	Human Dev and Family Sciences	8/23/2020
Zhang, Ruodan	Asst Professor	Public Policy	8/23/2020
Zhang, Yi	Asst Professor	Materials Science Institute	8/23/2020
Zhou, Ting	Asst Professor in Res	Digital Media and Design	8/23/2020
Zhu, Chunxiang	Postdoctoral Research Assoc	Material Science and Engr	9/11/2020
Zou, Youli	Asst Professor	Business Undergrad Programs	8/23/2020

**University of Connecticut Department of Human Resources
 Separations Processed from May 26, 2020 to September 18, 2020
 Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Ahia, Rowena K	Laboratory Technician 1	Separation	Molecular and Cell Biology	8/15/2020
Al Ghanem, Reem	Postdoctoral Research Assoc	Separation	Educational Leadership	7/1/2020
Alberts, Brandon	Visiting Asst Professor	Separation	Mathematics	5/22/2020
Ali, Ayesha	Visiting Asst Professor	Separation	Economics	8/23/2020
Al-Mazraawy, Betool	Postdoctoral Research Assoc	Separation	Pharmacy Practice	7/3/2020
Alosi, Sal P	Specialist IA	Separation	Athletics Strength Center	8/24/2020
Ammar, Reda A	Professor	Retirement	Computer Sci and Engineering	8/1/2020
Aphale, Ashish N	Postdoctoral Research Assoc	Separation	Clean Energy Engineering Ctr	8/5/2020
Arifuzzaman, Md	Postdoctoral Research Assoc	Separation	Chemistry	8/29/2020
Azab, Leah	Research Asst 1	Separation	Psychological Sciences	9/2/2020
Bader, Paul	Asst Clinical Professor - LAW	Separation	Law Instruction and Research	7/1/2020
Bailey, William F	Professor	Retirement	Chemistry	9/1/2020
Barron, Maddie E	UCP 10-SHS Psychologist	Separation	Student Health and Wellness	6/3/2020
Barry, John J	Postdoctoral Research Assoc	Separation	Global Training and Dev Inst	7/1/2020
Beissinger, Abby	Asst Coop Ext Edu	Separation	Plant Sci and Landscape Arch	8/8/2020
Benson, David R	Professor	Retirement	Molecular and Cell Biology	6/1/2020
Bhuiyan, Md Abul Ehsan	Postdoctoral Research Assoc	Separation	Eversource Energy Center	8/1/2020
Blanco, Gerardo	Assoc Professor	Separation	Educational Leadership	7/1/2020
Bouley, Mark M	UCP 08-Sr Machine Shop Engr	Separation	Engineering Technical Services	7/11/2020
Broderick, Nichole	Asst Professor	Separation	Molecular and Cell Biology	8/23/2020
Bull, Nancy H	Professor	Retirement	Department of Extension	7/1/2020
Caccamise, Kristopher	Residence Hall Director	Separation	Residential Life	7/11/2020
Caffrey, Sarah E	Pre-Doc Intern	Separation	Student Health and Wellness	8/2/2020
Casas, Augusto	Assoc Professor in Res	Separation	Computer Sci and Engineering	8/23/2020

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Castro Tonelli, Luan	Postdoctoral Research Assoc	Separation	Psychological Sciences	7/3/2020
Chamberlin, Kevin W	Assoc Dean & Assoc Clin 11 Mo	Separation	Pharmacy Practice	5/22/2020
Chen, Li	Asst Research Professor	Separation	Mathematics	8/13/2020
Clement-Lam, Siu Yin	Postdoctoral Research Assoc	Separation	Psychological Sciences	9/1/2020
Conklin, Elizabeth	Assoc Vice President	Separation	Institutional Equity	8/1/2020
Cooper, Douglas J	Professor	Retirement	Chem and Biomolecular Engr	6/1/2020
Corredor Waldron, Sandra	Asst Professor	Separation	Management	8/23/2020
Corso, Thomas C	Computer Support Technician 2	Retirement	Agriculture,Health,Natural Rcs	8/1/2020
Cote, Robin J	Professor	Retirement	Physics	6/1/2020
Dalmolin, Eliane F	Professor	Retirement	Lit, Cultures and Languages	6/1/2020
David, Marika S	Research Asst 2	Separation	Molecular and Cell Biology	8/15/2020
De Lisle, Stephen P	Postdoctoral Research Assoc	Separation	Ecology and Evolutionary Bio	8/31/2020
Dino, Richard N	Director & Assoc Professor	Retirement	Management	7/1/2020
Doe, Charles M	UCP 06-Publicity/Mktg Admin	Separation	Business	6/26/2020
Dogruer Akgul, Sahinde	Visiting Asst Professor	Separation	Mathematics	8/23/2020
Donepudi, Ajay Chowdary	Postdoctoral Research Assoc	Separation	Pharmaceutical Science	8/1/2020
Dubois, Mark A	Asst Clinical Professor - LAW	Retirement	Law Instruction and Research	6/1/2020
Dunnack, Peter J	Facilities Manager 1	Separation	Interior Renewal	7/17/2020
Ewell, Laura	UCP 08-Info Systems Analyst 3	Separation	Project Mgmt and Training	7/3/2020
Fairbanks, Albert H	Assoc Professor	Retirement	English	6/1/2020
Faustman, Larry C	Professor	Retirement	Animal Science	6/1/2020
Field, Christiana M	Research Asst 1	Separation	InCHIP	8/8/2020
Franklin, Akilah Y	Ed Program Administrator	Separation	MS BAPM	8/14/2020
Freake, Hedley C	Professor	Retirement	Nutritional Sciences	6/1/2020
Fuess, Lauren	Postdoctoral Research Assoc	Separation	Ecology and Evolutionary Bio	8/8/2020
Geissler, Patricia A	U Ed Asst 2	Retirement	Human Resources	7/1/2020
Gilligan, Patricia J	UCP 05-SA Program Coord 2	Retirement	Student Union	7/1/2020
Gore, Brian	University Director	Retirement	Planning, Design and Construct	6/1/2020
Gould, Phillip L	Professor	Retirement	Physics	7/1/2020
Gunawan, Emily	Asst Research Professor	Separation	Mathematics	8/16/2020

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Hall, Brinley M	Specialist IA	Separation	Athletics MI Hockey	9/1/2020
Hands, Robin E	Assoc Clinical Professor	Separation	Ed Curriculum and Instruction	8/31/2020
Hanley, Sloane K	UCP 05-Program Coordinator	Separation	ISS 1st Yr Prog and Learn Cmty	6/20/2020
Harty, Collin D	Museum Exhibit Designer	Retirement	Museum of Natural History	8/1/2020
Hayrapetyan, Anna	Lecturer	Separation	Women, Gender and Sexuality	8/23/2020
Hegde, Shantaram P	Professor	Retirement	Finance	9/1/2020
Hennessey, Madeline	Ed Program Assistant 1	Separation	Ecology and Evolutionary Bio	7/26/2020
Hentz, Brian	Asst Professor in Res	Separation	Business	8/23/2020
Hilby, Elizabeth R	Pre-Doc Intern	Separation	Student Health and Wellness	8/2/2020
Hu, Guanyu	Postdoctoral Research Assoc	Separation	Statistics	7/31/2020
Hubbell, Deborah L	UCP 08-Executive Asst 1	Retirement	Student Health and Wellness	7/1/2020
Hunter, Kenya J	Specialist IA	Separation	Athletics MI Basketball	8/25/2020
Jana, Asis K	Postdoctoral Research Assoc	Separation	Molecular and Cell Biology	9/1/2020
Jones, Chloe L	Research Asst 2	Separation	Psychological Sciences	8/1/2020
Just, Leanne	Research Asst 1	Separation	InCHIP	8/28/2020
Kalia, Nargis	Research Asst 2	Separation	InCHIP	8/2/2020
Kang, Sangwook	Visiting Assoc Professor	Separation	Statistics	7/31/2020
Kassirer, Adam	Research Asst 1	Separation	CT Transportation Institute	7/17/2020
Kelly, Miriah M	Asst Coop Ext Edu	Separation	Cooperative Extension System	8/18/2020
Kenney, Mariah	Research Asst 1	Separation	Ecology and Evolutionary Bio	5/30/2020
Knapp, Elizabeth M	Postdoctoral Research Assoc	Separation	Physiology and Neurobiology	6/2/2020
Kodamanchili, Hazel Rashmi	UCP 07-Info Systems Analyst 2	Separation	Nursing-UConn AIMS	6/19/2020
Kozma, Laura B	University Director	Separation	Sponsored Programs	8/8/2020
Kuna, Lukasz P	Postdoctoral Research Assoc	Separation	Materials Science Institute	7/20/2020
Landry, Matthew P	Visiting Asst Professor	Separation	Lit, Cultures and Languages	8/23/2020
Langdon, Clifton	Postdoctoral Research Assoc	Separation	Psychological Sciences	8/30/2020
Lawry, Charles A	Asst Professor	Separation	Digital Media and Design	8/23/2020
LeBel, Leslie E	UCP 05-Admin Services Spec 3	Retirement	Pharmaceutical Science	7/1/2020
Lee, Sungmin	Asst Professor	Separation	Plant Sci and Landscape Arch	7/18/2020
Li, Ming	Postdoctoral Research Assoc	Separation	Physics	8/31/2020

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Li, Yiyang	Asst Professor in Res	Separation	English	8/23/2020
Lierl, Janna	Asst Professor	Separation	Mathematics	6/1/2020
Lister, Jasmine N	Specialist IA	Separation	Athletics WI Basketball	7/1/2020
Lombardi, Rita M	Visiting Asst Professor	Separation	Art and Art History	8/23/2020
Love, Cathleen T	Professor	Retirement	Department of Extension	6/1/2020
Lundgren, Lisa	Postdoctoral Research Assoc	Separation	Ed Curriculum and Instruction	8/1/2020
Maciejewski, Meghan F	Research Asst 1	Separation	Ecology and Evolutionary Bio	8/8/2020
Maher, Brendan S	Professor - LAW	Separation	Law Instruction and Research	8/23/2020
Massicotte, Robin	UCP 05-Master Teacher CDL	Retirement	Human Development Child Labs	7/1/2020
Matthews, Susan L	U Staff Professional 1	Retirement	Human Resources	8/1/2020
Mckenna, Caleigh	UCP 07-Sign Language Interp	Separation	Students with Disabilities Ctr	6/5/2020
Mcsweeney, Jordan	Visiting Asst Professor	Separation	Management	8/23/2020
Mellor, Jonathan E	Asst Professor	Separation	Civil and Environ Engineering	8/23/2020
Mickley, James G	Postdoctoral Research Assoc	Separation	Ecology and Evolutionary Bio	8/6/2020
Miller, Christopher A	Instructor in Residence	Separation	Accounting	8/23/2020
Mullin, Cristina A	Postdoctoral Research Assoc	Separation	Civil and Environ Engineering	8/29/2020
Murphy, Kimberly	U Staff Prof III & Assoc Dir	Separation	Accounts Payable	6/24/2020
Nair, Suresh K	Professor	Retirement	Operations and Info Management	6/1/2020
Nelson, Benjamin M	Visiting Asst Professor	Separation	Philosophy	8/23/2020
Nguyen, Vu	Asst Professor	Separation	Music	8/23/2020
Noelker, Frank S	Assoc Professor	Retirement	Art and Art History	8/1/2020
Novack, Michael R	Asst Research Professor	Separation	Mathematics	8/23/2020
Nunnally, Shayla C	Assoc Professor	Separation	Political Science	8/23/2020
Oaks, Jose	Instructor in Residence	Retirement	Accounting	9/1/2020
O'Connell, Caitlin M	Postdoctoral Research Assoc	Separation	Allied Health Sciences	7/1/2020
O'Connor, Kayla F	Research Asst 1	Separation	InCHIP	8/7/2020
O'Donovan, Jacqueline P	UCP 06-Grants & Contr Spec 1	Separation	Sponsored Programs	6/23/2020
O'Reilly, Daniel T	UCP 05-SA Coordinator RC	Retirement	Htfd Student and Business Svcs	7/1/2020
Orsini-Garry, Angela	Clinical Instructor	Separation	Nursing Instruct and Research	8/31/2020
Oshana, Jodie C	Visiting Asst Professor	Separation	Human Dev and Family Sciences	8/23/2020

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Park, Hee Mok	Asst Professor	Separation	Marketing	8/23/2020
Parnas, Richard S	Professor	Retirement	Chem and Biomolecular Engr	9/1/2020
Pomeroy, Robert S	Professor	Retirement	Agricultural and Resource Econ	7/1/2020
Prates, Marcos O	Visiting Assoc Professor	Separation	Statistics	8/23/2020
Puckett, Lauren M	Postdoctoral Research Assoc	Separation	Pharmacy Practice	7/3/2020
Ramos, Marisol	UCP 09-University Librarian 3	Separation	University Libraries	5/30/2020
Reinwald, Donovan C	UCP 05-University Librarian 1	Separation	University Libraries	7/3/2020
Reyes, Daisy	Assoc Professor	Separation	Sociology	8/23/2020
Rick, Freddie H	UCP 07-U LIBRARY ASST III	Retirement	University Libraries	7/1/2020
Rodriguez, Christine	Asst Clinical Professor	Separation	Nursing Instruct and Research	8/23/2020
Rosales, Aaron I	Pre-Doc Intern	Separation	Student Health and Wellness	8/2/2020
Rosenberg, Barry A	Director & Assoc Professor	Retirement	Art and Art History	9/1/2020
Rudzik, Monica M	Dept Head Student Affairs	Retirement	Student Union	8/1/2020
Salorio, Eugene M	Professor in Residence	Retirement	Management	6/1/2020
Sandoz, Colin A	Student Services Prog Assist 2	Separation	UConn Recreation	7/8/2020
Scarneo-Miller, Samantha	Postdoctoral Research Assoc	Separation	Kinesiology	7/2/2020
Schiavi, Victor	UCP 10-Comp Tech Supp Cons 4	Retirement	Waterbury Campus	6/1/2020
Schneider, Susan	Assoc Professor	Separation	Philosophy	8/23/2020
Schofield, Carol E	UCP 05-Financial Asst 2	Retirement	Sponsored Programs	6/1/2020
Schwarz, A. Brian	UCP 08-Program Director	Separation	Engineering Undergrad Programs	7/1/2020
Sengupta, Anindita	Visiting Asst Professor	Separation	Women, Gender and Sexuality	8/23/2020
Shang, Rong	Postdoctoral Research Assoc	Separation	Natural Resources and Environ	9/1/2020
Shu, Min	Asst Professor in Res	Separation	Statistics	8/23/2020
Skonieczny, Rachel E	UCP 01-Asst Teacher CDL	Separation	Human Development Child Labs	6/17/2020
Snyder, Leslie B	Professor	Retirement	Communication	9/1/2020
Song, Xiaohui	Postdoctoral Research Assoc	Separation	Material Science and Engr	5/11/2020
Soni, Vishal	UCP 08-Asst Finance Dir 1	Separation	Sponsored Programs	6/16/2020
Springer, Kristen S	Research Asst 2	Separation	Physiology and Neurobiology	8/23/2020
Stalega, Melissa	Research Asst 2	Separation	Educational Psychology	8/23/2020
Stevens, Nancy L	Head Coach (Specialist IVA)	Retirement	Athletics WI Field Hockey	9/1/2020

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Taylor, Beth M	Assoc Professor	Separation	Kinesiology	6/1/2020
Truong, Kevin	Research Asst 1	Separation	Physiology and Neurobiology	8/23/2020
Ulatowski, Gary R	UCP 05-Specialized Design Tech	Retirement	Environmental Sci and Engr Ctr	6/1/2020
Umanzor-Rodriguez, Scheheraz	Postdoctoral Research Assoc	Separation	Ecology and Evolutionary Bio	6/15/2020
Vahid Mohammadi, Armin	Postdoctoral Research Assoc	Separation	Tech Park	7/1/2020
Van Dijk, Marten E	Professor	Separation	Electrical and Computer Engr	7/1/2020
Vanthof, Taylor	Asst Coach (Specialist IA)	Separation	Athletics Women Intercollegiat	7/11/2020
Verstandig, Davyne E	Lecturer	Retirement	English	6/1/2020
Volin, John	Professor 11 Mo	Retirement	Natural Resources and Environ	8/1/2020
Wang, Lihan	Asst Research Professor	Separation	Mathematics	8/17/2020
Weathers, Pamela J	Ed Program Assistant 1	Separation	Judaic Studies Center	7/4/2020
Wei, Yanning	Visiting Asst Professor	Separation	Geography	8/23/2020
Wilderman, Paul Ross	Asst Research Professor	Separation	Pharmaceutical Science	9/1/2020
Williams, Raymond H	Asst Prof in Res 11 Mo	Retirement	Engineering	8/1/2020
Wilson, Robert H	Visiting Professor	Separation	Hartford Campus	5/23/2020
Wood, Sarah L	Visiting Asst Professor	Separation	Lit, Cultures and Languages	8/23/2020
Wu, Dennis	Research Asst 1	Separation	Psychological Sciences	7/31/2020
Wu, Yunchao	Postdoctoral Research Assoc	Separation	Clean Energy Engineering Ctr	8/10/2020
Xu, Jiatian	Visiting Asst Professor	Separation	Mathematics	8/23/2020
Yaraghi, Niam	Asst Professor	Separation	Operations and Info Management	8/15/2020
Yelin, Susanne	Professor	Separation	Physics	7/1/2020
Zhang, Dianyuan	Asst Professor	Separation	Mechanical Engineering	8/17/2020
Zhang, Jun	Postdoctoral Research Assoc	Separation	Pharmaceutical Science	7/11/2020
Zhang, Songdou	Postdoctoral Research Assoc	Separation	Physiology and Neurobiology	8/25/2020
Zhang, Weixing	Research Assoc 1	Separation	CT Transportation Institute	6/16/2020
Zhang, Yihao	Postdoctoral Research Assoc	Separation	Mechanical Engineering	8/2/2020
Zimmerman, Scott	Asst Research Professor	Separation	Mathematics	8/15/2020

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 09/17/2020
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY STATUS
			START	END		
Buchweitz, Augusto	Associate Professor	Psychological Sciences	8/23/2020	5/22/2021	Personal Leave	Unpaid
Comay del Junco, Elena	Assistant Professor	Sociology	8/23/2020	9/27/2020	Personal Leave	Unpaid
Faesi, Chris	Assistant Professor	Physics	8/23/2020	5/22/2021	Research Leave - NSF Postdoctoral Fellow, UMass Amherst	Unpaid
Kwak, James	Professor - LAW	Law Instruct & Research	1/7/2021	5/22/2021	Personal Leave	Unpaid
Rada, Rosa	Research Assistant 2	Social Work Instruct & Research	7/1/2020	10/1/2020	Personal Leave	Unpaid
Read, Heather	Professor	Psychological Sciences	8/23/2020	5/22/2021	Personal Leave	Unpaid
Schlund-Vials, Cathy	Professor	English	8/23/2020	5/22/2021	Personal Leave	Unpaid
Turchin, Peter	Professor	Ecology & Evolutionary Biology	8/23/2020	5/22/2021	50% Research Leave	Partial
Weyman, Jerzy	Professor	Mathematics	8/23/2020	5/22/2021	Research Leave	Unpaid

COMMITTEE AGENDAS

AGENDA

Meeting of the
University of Connecticut
BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE
September 9, 2020

Meeting held by Telephone

September 9, 2020

Public Call In Number:

(415) 655-0002 US Toll

Access Code: 629 930 823 ##

Public Access Link:

<http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **10:00 a.m.**

1. Public Participation *

* If members of the public wish to address the Committee during the Public Participation portion of the meeting, you must submit a request in writing 30 minutes prior to the start of the meeting (by 9:30 a.m.) to the following email address: boardoftrustees@uconn.edu. Please indicate your **name, telephone number, and topic on the agenda** to be discussed. Per the University By-Laws, the Board may limit public comment. As an alternative, you may also submit your comments via email which will be shared with the Committee.

ACTION ITEM:

TAB

- | | |
|--|---|
| 2. Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of June 11, 2020, as circulated | 1 |
|--|---|

PRESENTATION/DISCUSSION ITEMS:

- | | |
|--|---|
| 3. Office of Construction Assurance Quarterly Report – September 2020
➤ Presenter: James Bradley, Director of Construction Assurance | 2 |
| 4. Project Update ~ UConn Health
▪ UConn Health Updates, Facilities Development and Operations
➤ Presenter: Thomas Trutter, Vice President for UConn Health
Facilities Development & Operations | 3 |
| 5. Updates on Operational and Organizational Activities, and Improvements
Capital Project and Contract Administration (CPCA)
➤ Presenter: Greg Daniels, Interim Associate Vice President and Chief Procurement Officer | 4 |

TAB

- 6. Project Update ~ Storrs Based Programs 5
 - Presenter: Laura Cruickshank, Associate Vice President for University Planning, Design and Construction

- 7. Status of Code Correction Projects 6
 - Construction Management Oversight Committee Quarterly Code Correction Status Report – Code Exception Report
 - Quarterly Construction Status Report, Period Ending June 30, 2020
https://updc.uconn.edu/wp-content/uploads/sites/1525/2020/07/UConn_Quarterly_Construction_Status_Report_06302020web.pdf

INFORMATION ITEMS:

- 8. Summary of Individual Change Orders Greater Than 3% of Project Cost (Storrs based projects) 7

- 9. Construction Projects Status Report ~ as of 06/24/20
<https://budget.uconn.edu/wp-content/uploads/sites/1441/2020/06/CSR-6.24.20-1.pdf>

- 10. Projects Reviewed by BGE and to be presented to Financial Affairs for approval 04/29/20:

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>
North Eagleville Road and Discovery Drive Intersection Improvements	Design	\$750,000
UConn Hockey Arena	Revised Design	\$4,600,000
Andover Infrastructure and Software Upgrade, Phase 1	Final	\$996,000
Agricultural Biotechnology Laboratory Phoenix Upgrade	Final	\$592,350
Chemistry Building Roof Snow Guard	Final	\$916,000
Y & Z Parking Lots, Repaving and Electrical Restoration, Phase 1 & 2	Final	\$2,377,000

- 11. Executive Session (*As Needed*)

- 12. Adjournment

SPECIAL MEETING

**University of Connecticut Board of Trustees
Institutional Advancement Committee**

Teleconference

Tuesday, September 22, 2020
1:00 p.m.

1. Public Participation..... Dr. Dennis-LaVigne
2. Acceptance of June 9, 2020 & June 16, 2020 Meeting Minutes.....Dr. Dennis-LaVigne
Attachment 1
3. Naming Recommendation for the Lowell P. Weicker, Jr. Building.....Mr. Kendig
Attachment 2
4. UConn Foundation Naming Recommendation.....Mr. Roberts
Attachment 3
 - Named Gift Opportunities for the Kinesiology Building, Physical Therapy Program
5. UConn Foundation Update.....Mr. Roberts / Ms. Cotton Kelly
6. University Communications Update.....Mr. Kendig
7. Legislative Update.....Ms. Lombardo

Executive session as needed

Teleconferencing Instructions

Dial in 1-415-655-0002

Access code: 629 930 823 ##

(Please note, meeting will be recorded)

University of Connecticut & UConn Health
Joint Audit & Compliance Committee Meeting
 September 10, 2020

Agenda

10:00 am – 10:30 am - Executive Session

10:30 am – 12:00 pm - Public Session

Meeting held by Telephone: Public Call In Number: 1-888-455-0409 Access Code: PUBLIC

(Note: this meeting will be recorded)

Topic	Proposed Action	Attachment
Executive Session to discuss: <ul style="list-style-type: none"> • C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] • C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims [1-210(b)(4)] • C.G.S 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] • C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)] 	Review	None
GENERAL		
1. General		
<ul style="list-style-type: none"> • Opportunity for Public Comments 		None
<ul style="list-style-type: none"> • Minutes of the June 3, 2020 JACC Meeting 	Approval	1.1
AUDIT		
2. External Audit Activities		
<ul style="list-style-type: none"> • Status of External Audit Engagements 	Update	2.1
<ul style="list-style-type: none"> • BKD – Fee Approval - NCAA Agreed Upon Procedures Performed on Athletics Program 	Approval	2.2
<ul style="list-style-type: none"> • Pharmacy Optimization Consultants, Inc DBA 340B Compliance Partners – Appointment – Audit of UConn Health’s Two Covered Entities 340B Drug Pricing Program 	Approval	2.3
<ul style="list-style-type: none"> • Auditors of Public Accounts UConn Health Departmental Audit for the FY 2017 and 2018 	Presentation	2.4
3. Significant Internal Audit Activities		
<ul style="list-style-type: none"> • Status of Audits 	Update	3.1
<ul style="list-style-type: none"> • Status of Audit Findings 	Update	3.2
<ul style="list-style-type: none"> • Draft Audt Plan FY 2021 	Approval	3.3
COMPLIANCE		
4. Compliance Activities		
<ul style="list-style-type: none"> • Significant Compliance Activities 	Update	4.1
<ul style="list-style-type: none"> • Draft Compliance Plan FY 2021 	Approval	4.2
<ul style="list-style-type: none"> • Informational/Educational Items 	Informational	4.3
<ul style="list-style-type: none"> • Public Safety Update 	Presentation	None
INFORMATION TECHNOLOGY		
5. Information Technology Update		
<ul style="list-style-type: none"> • UCONN Health 	Update	5.1
<ul style="list-style-type: none"> • UCONN 	Update	5.2
CLOSING		
6. Conclusion of Full Meeting		

The next meeting of the JACC will be held on December 16, 2020 at 10:00 am

Academic Affairs

AGENDA
Board of Trustees
ACADEMIC AFFAIRS COMMITTEE
Wednesday, September 30, 2020
9:00 a.m.

Meeting held by Telephone

Public Call In Number:

(415) 655-0002 US Toll

Access Code: 629 930 823 ##

Public Access Link:

<http://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website

<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **9:00 a.m.**

1. Public Participation *

* If members of the public wish to address the Committee during the Public Participation portion of the meeting, you must submit a request in writing 30 minutes prior to the start of the meeting (by 8:30 a.m.) to the following email address: <https://boardoftrustees.uconn.edu/>. Please indicate your **name, telephone number, and topic** to be discussed. Per the University By-Laws, the Board may limit public comment. As an alternative, you may also submit your comments via email which will be shared with the Board.

COMMITTEE ATTACHMENT

- | | |
|--|---|
| 1) Minutes of the Academic Affairs Committee Meeting of June 23, 2020, as circulated | A |
|--|---|

ACTION ITEMS:

- | | |
|-------------------------------------|---|
| 2) Tenure at Hire | 4 |
| 3) Designation of Emeritus Status | 5 |
| 4) Sabbatical Leave Recommendations | 6 |

INFORMATIONAL ITEMS:

- | | |
|-------------------------------|----|
| 5) Academic Program Inventory | 10 |
|-------------------------------|----|

PRESENTATIONS:

- 6) Michael Bradford, Vice Provost for Faculty, Staff, and Student Development
- 7) Carl Lejuez, Provost & Executive Vice President for Academic Affairs; introduction to strategic planning process.

ATTACHMENT A

DRAFT MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
June 23, 2020

Committee Trustees: Dennis-LaVigne, Fang, Gouin, Lobo and Rubin

Additional Trustees: Toscano

University Senate: Boyer and Chafouleas

Staff: Croucher, Katsouleas, Lejuez, and Volin

Committee Chairwoman Gouin convened the meeting at 8:31 a.m. via phone call.

No public comment was volunteered on any of the agenda items.

On a motion by Trustee Rubin, seconded by Trustee Fang, the minutes of the May 20, 2020, meeting were approved as circulated.

Provost Lejuez introduced ***Action Item #1 Promotion and Tenure***. Moved by Trustee Rubin, seconded by Trustee Fang, the Committee recommended approval to the full Board.

Provost Lejuez introduced ***Action Items #2 & #3 Designation of Emeritus Status and Appointment of Retired Faculty to Emeritus Status***. Moved by Trustee Rubin, seconded by Trustee Fang, the Committee recommended approval to the full Board.

Provost Lejuez introduced ***Action Item #4 Sabbatical Leave Recommendations***. Moved by Trustee Fang, seconded by Trustee Rubin, the Committee recommended approval to the full Board.

Provost Lejuez introduced ***Action Items #6, #7, & #8 Appointment of Sandra Chafouleas, PhD to a Neag Professorship, Appointment of Jinbo Bi, PhD to the Frederick H. Leonhardt Computer Science Chair and Appointment of George Bollas, PhD to the United Technologies Corp. Chair in Advanced Systems Engineering***. Moved by Trustee Lobo, seconded by Trustee Rubin, the Committee recommended approval to the full Board.

Provost Lejuez introduced ***Action Items #9, #10, #11, & #12 Reappointment of Richard D. Pomp, JD as the Alva P. Loiselle Professor of Law, Reappointment of Steven Wilf, JD, PhD, as the Anthony J. Smits Professor of Global Commerce, Reappointment of Angel Oquendo, JD, PhD, as the George J. and Helen M. England Professor of Law and Reappointment of Peter Siegelman, PhD, as the Phillip I. Blumberg Professor of Law***. A typographical correction was noted in the background paragraph of item #12 that Phillip Blumberg had the Phillip incorrectly spelled with only one l in Phillip. Moved by Trustee Rubin, seconded by Trustee Fang, the Committee recommended approval to the full Board.

Provost Lejuez introduced ***Action Items #13 & #14 New Graduate Certificate in Life Story Practice and Research and New Remote Sensing and Geospatial Data Analytics Certificate***. Moved by Trustee Rubin, seconded by Trustee Fang, the Committee recommended approval to the full Board.

Provost Lejuez introduced ***Action Item #15 New Undergraduate Major in Multidisciplinary Engineering***. Moved by Trustee Lobo, seconded by Trustee Dennis-LaVigne, the Committee recommended approval to the full Board.

Provost Lejuez shared ***Informational Item #16, Academic Program Inventory; Informational Item and #17, Centers and Institutes***.

Trustee Dennis-LaVigne called a motion to close the meeting, seconded by Trustee Fang. Chairwoman Gouin adjourned the meeting at 8:56 a.m.

Respectfully submitted,

Sarah K. Croucher
Committee Secretary

Financial Affairs

Meeting of the
FINANCIAL AFFAIRS COMMITTEE
September 30, 2020 at 9:30 a.m.
University of Connecticut
Conference Call

Meeting held by Telephone

Public Call In Number:
(415) 655-0002 US Toll
Access Code: 629 930 823 ##

Public Access Link:
<http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **9:30 a.m.**

1) Public Participation *

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PRESENTATION:

Attachment

2) Operating Budget Update 11

ACTION ITEMS:

3) Approval of the Minutes of the Financial Affairs Committee A
Meetings of June 12, 2020, June 24, 2020, and August 19, 2020, as circulated

4) Contracts and Agreements for Approval 2

5) Annual Report of the Endowed Chair Program Entitled 3
“Aetna English Chair of Writing”

6) FY20 Capital Expenditures 12

ACTION ITEMS (Continued):

CAPITAL PROJECT BUDGETS FOR APPROVAL:

	<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	<u>Attachment</u>
7)	Agricultural Biotechnology Laboratory Phoenix Upgrade	Final	\$592,350	13
8)	Chemistry Building Roof Snow Guard	Final	\$916,000	14
9)	Y & Z Parking Lots, Repaving and Electrical Restoration, Phase 1 & 2	Final	\$2,377,000	15
10)	Andover Infrastructure and Software Upgrade, Phase 1	Final	\$996,000	16
11)	North Eagleville Road and Discovery Drive Intersection Improvements	Design	\$750,000	17
12)	UConn Hockey Arena	Revised Design	\$4,600,000	18

INFORMATION ITEMS:

- 13) Project Budget Map B
- 14) Contracts and Agreements for Information C
- 15) Construction Project Status Report
<https://budget.uconn.edu/wp-content/uploads/sites/1441/2020/09/CSR-09.30.20.pdf>
- 16) UCONN 2000 Book 50
<https://evpacfo.uconn.edu/wp-content/uploads/sites/2318/2020/08/UCONN-2000-BOOK-50.pdf>
- 17) Executive Session (*As Needed*)
- 18) Adjournment

ATTACHMENT A

MINUTES
SPECIAL MEETING OF THE FINANCIAL AFFAIRS COMMITTEE

University of Connecticut

Teleconference

June 12, 2020

TRUSTEES PARTICIPATING: Andy Bessette, Mark Boxer, Charles Bunnell, Shari Cantor, Sanford Cloud, Andrea Dennis-LaVigne, Justin Fang, Marilda Gandara, Jeanine Gouin, Rebecca Lobo, Kevin O'Connor, Bryan Pollard, Thomas Ritter, Philip Rubin, Daniel Toscano, and Nandan Tumu

STAFF PARTICIPATING: Andy Agwunobi, David Benedict, James Bradley, Lloyd Blanchard, Debbie Carone, Laura Cruickshank, Greg Daniels, Nathan Fuerst, Gail Garber, Nicole Gelston, Jeffrey Geoghegan, Scott Jordan, Thomas Katsouleas, Michael Kirk, Carl Lejuez, Joann Lombardo, Radenka Maric, Michael Mundrane, Rachel Rubin, Kelly Sinko, Daniel Weiner, Kelly Wihbey, and Yuhang Rong

SENATE REPRESENTATIVES PARTICIPATING: Rajeev Bansal, Robert Bird, Maria-Luz Fernandez, Carol Polifroni, and David Yalof

Vice-Chair Bessette convened the meeting of the Financial Affairs Committee via teleconference at 1:00 p.m. He asked for a roll call of the Trustees participating.

Mr. Bessette stated that there were a number of individuals who signed up to speak to the Trustees during Public Participation. Per the University By-Laws, the public comment period would be limited to a maximum of 30 minutes. Individuals will be called upon to speak, based upon the order of requests received; and due to the number of individuals who had requested to address the Board the comment period was limited to 3 minutes per person. The following individuals spoke about concerns regarding the University's Track and Field Programs within the Division of Athletics: Steven Cousin, Frank Malsbenden, Craig Hunter, Terra Briody, Sean Smith, Aidan Fiol, Steven Le Warn, John Braccio, Shauna McNiff, Derek Yush, and Michael Whittlesey. Rachel Rubin stated that there may have been some confusion by individuals who want to speak to the Board. She clarified that the Financial Affairs Committee meeting budget discussion began at 1pm and the Board of Trustees meeting, which did not include a discussion of the budget, was scheduled for 1:15pm. Vice-Chair Bessette thanked those who spoke and stated that the concerns would be seriously considered by the Trustees.

Trustee Bessette directed the committee to agenda *Item #1, Approval of the Minutes of the Financial Affairs Committee Meeting of April 29, 2020*. On a motion by Trustee Cantor and seconded by Trustee Tumu, the item was approved as circulated.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, presented agenda **Item #3, Contracts and Agreements for Approval (Tab 2)**. Daniel Weiner, Vice Provost for Global Affairs, detailed the importance and need to expedite the Education Abroad contracts presented for approval. On a motion by Trustee Rubin and seconded by Trustee Cantor the item was recommended to the full Board for approval.

At 1:53 p.m., on a motion by Trustee Rubin and seconded by Trustee Tumu the Financial Affairs Committee recessed to allow the full Board of Trustees to review and approve the Contracts and Agreements presented. Vice-Chair Bessette reconvened the Financial Affairs Committee meeting at 2:00 p.m.

Mr. Jordan reviewed agenda **Item #4, Proposed Second Amended and Restated Master Agreement and Statement of Work for the UConn Foundation for Fiscal Year 2021**. He stated that the Institutional Advancement Committee is still working through the legal agreements for the scope of work with the UConn Foundation. The Financial Affairs Committee agreed, on a motion by Trustee Rubin and Seconded by Trustee Cantor, to approve the University's financial commitment for this agreement, pending final approval of the documentation by the Institutional Advance Committee.

Dr. Rubin noted a typo in agenda **Item #3, Contracts and Agreements**, Contract #13, with Blackboard, Inc.; the word "HuckyCT" should be "HuskyCT".

Following a thorough review by Mr. Jordan of agenda **Item #5, Twenty-Seventh Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds; Item #6, Revised Allocation of Bond Authorizations as set forth in the Twentieth Supplemental Indenture (University of Connecticut General Obligation Bonds); Item #7, Revised Allocation of Bond Authorizations as set forth in the Twenty-Second Supplemental Indenture (University of Connecticut General Obligation Bonds); and Item #8, Revised Allocation of Bond Authorizations as set forth in the Twenty-Third Supplemental Indenture (University of Connecticut General Obligation Bonds)** the Committee recommended the bond documents to the full Board for approval on a motion by Trustee Rubin and seconded by Trustee Cantor.

Mr. Jordan briefed the Trustees on the project budget presented for approval and informed the Committee that the project had been reviewed with Trustee Boxer. On a motion by Trustee Rubin and seconded by Trustee Cantor agenda **Item #9, Wired Access Layer Infrastructure Refresh – Phase II (Final: \$4,200,000)** was recommended to the full Board for approval.

On a motion by Trustee Rubin and seconded by Trustee Cantor the voted to defer agenda **Item #10, Spending Plan for Fiscal Year 2021 for the University of Connecticut, Storrs & Regional Campuses; Item #11, Spending Plan for Fiscal Year 2021 for the UConn Health;** and agenda **Item #12, Fiscal Year 2021 Capital Budget for the University of Connecticut, Storrs and Regional Campuses**, to the June 24th Board of Trustees meeting to allow for additional time for review and discussion of these budget items.

Mr. Jordan introduced agenda **Item #13, FY21 Spending Plan**. He directed the Trustees to a PowerPoint presentation which highlighted operating budget risks and uncertainties; assumptions and budget scenarios; revenue and expenses by category; financial aid; capital budget and associated challenges. Dr. Andy Agwunobi Executive Vice President for Health

Affairs and Chief Executive Officer of UConn Health, and Jeffrey Geoghegan, UConn Health Chief Financial Officer, provided a financial overview of UConn Health including key drivers of the FY21 budget.

On a motion by Trustee Rubin and seconded by Trustee Cantor, the committee voted to go into Executive Session at 3:05 p.m. for a discussion concerning preliminary drafts or notes provided the public agency has determined that the public interest in withholding such documents clearly outweighs the public interest in disclosure; per Connecticut General Statutes, Section 210(b)1(1).

Trustees participating in the session included: Andy Bessette, Mark Boxer, Charles Bunnell, Shari Cantor, Sanford Cloud, Andrea Dennis-LaVigne, Justin Fang, Marilda Gandara, Jeanine Gouin, Rebecca Lobo, Kevin O'Connor, Bryan Pollard, Thomas Ritter, Philip Rubin, Daniel Toscano, and Nandan Tumu.

University staff participating in the session included: President Katsouleas, Andy Agwunobi, Rachel Rubin, Scott Jordan, Carl Lejuez, Nicole Gelston, Mike Kirk, Lloyd Blanchard, David Benedict, Joann Lombardo, Dominic Godi, Jeffrey Geoghegan Kelly Wihbey, Jeremy Campbell, Reka Wrynn, Gail Garber, Tysen Kendig, Kelly Sinko, and Debbie Carone.

The committee returned to open session at 4:05 p.m. and the meeting was adjourned at 4:06 p.m. on a motion by Trustee Rubin and seconded by Trustee Tumu.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE

University of Connecticut

Teleconference

June 24, 2020

TRUSTEES PARTICIPATING: Andy Bessette, Mark Boxer, Shari Cantor, Justin Fang, Marilda Gandara, Jeanine Gouin, Rebecca Lobo, Kevin O'Connor, Bryan Pollard, Thomas Ritter, Philip Rubin and Nandan Tumu

STAFF PARTICIPATING: David Benedict, Lloyd Blanchard, Debbie Carone, Laura Cruickshank, Greg Daniels, Nicole Gelston, Scott Jordan, Radenka Maric, and Rachel Rubin

SENATE REPRESENTATIVES PARTICIPATING:
Carol Polifroni and Jaci Van Heest

Vice-Chair Bessette convened the meeting of the Financial Affairs Committee via teleconference at 8:15 a.m. He asked for a roll call of the Trustees participating. Mr. Bessette asked if anyone from the public had signed up to speak to the Financial Affairs Committee. No members of the public had signed up to speak to the Committee.

Trustee Bessette directed the committee to agenda *Item #2, Contracts and Agreements for Approval (Tab 1)*. Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, detailed the contracts and agreements presented for approval. On a motion by Trustee Rubin and seconded by Trustee Tumu the item was recommended to the full Board for approval.

On a motion by Trustee Cantor and seconded by Trustee Tumu the meeting was adjourned at 8:21 a.m.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

**DRAFT
MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**

University of Connecticut
Special Telephone Meeting
August 19, 2020

TRUSTEES PARTICIPATING: Andy Bessette, Chuck Bunnell, Shari Cantor, Sanford Cloud, Andrea Dennis-LaVigne, Justin Fang, Marilda Gandara, Jeanine Gouin, Kevin O'Connor, Bryan Pollard, Thomas Ritter, Philip Rubin, Daniel Toscano, and Ethan Werstler

STAFF PARTICIPATING: Lloyd Blanchard, Debbie Carone, Sarah Chipman, Nicole Gelston, Michael Glasgow, Thomas Katsouleas, Nathan LaVallee, and Rachel Rubin

SENATE REPRESENTATIVES PARTICIPATING:
Robert Bird, Carol Polifroni, Maria Luz-Fernandez, Jaci Van Heest, and David Yalof

Vice-Chair Bessette convened the meeting of the Financial Affairs Committee via teleconference at 4:31 p.m. He asked for a roll call of those participating and asked if there were any members of the public who wished to speak on the agenda items. There being no public comment, he directed the Committee to agenda *Item #2, Approval of a U.S. Air Force Research Contract.*

Michael Glasgow, Associate Vice President for Research, detailed the research planned for the contract. He stated that this is the largest single Federal contract that the University has ever received. The main objective of the research is to develop capabilities to predict performance of aerospace materials in a manufacturing environment as well as when subjugated to extreme thermomechanical influences. Additionally, it is critical to understand how systems function throughout a manufacturing lifecycle and how that affects production throughput. The project will be done in collaboration with Connecticut Industrial Partners to include Pratt & Whitney, Sikorsky Aircraft and others. UConn will be working with these companies and the Air Force research laboratories to solve problems that provide transformative capabilities on manufacturing technologies.

Terms of the agreement include general Federal Acquisition Requirements, specific export control clauses and standard financial requirements. Nicole Gelston, General Counsel, provided highlights of the contract terms and the contract review process. On a motion by Trustee Rubin and seconded by Trustee Cantor, the item was recommended to the full Board for approval.

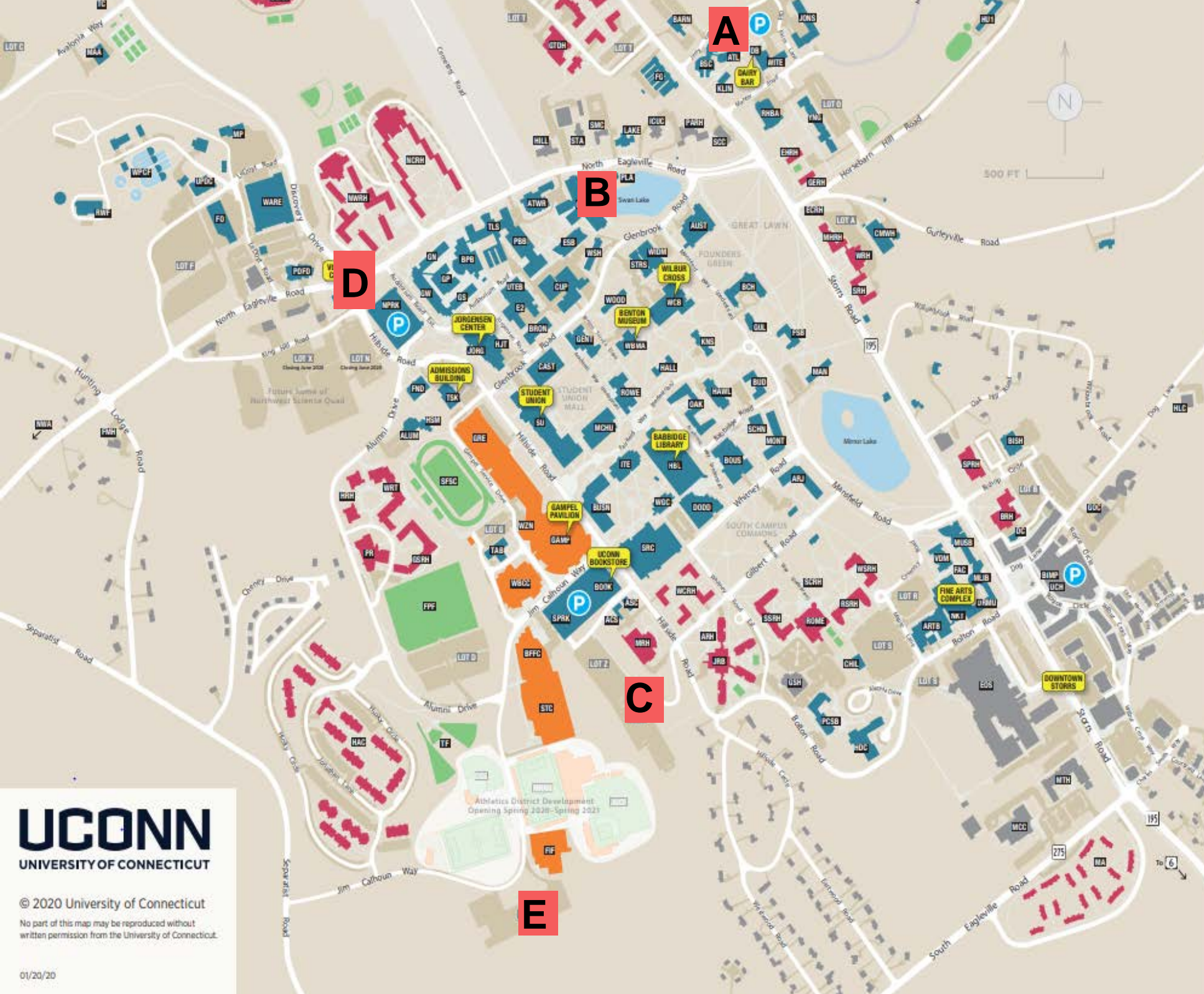
There being no additional agenda items the meeting was adjourned at 4:47 p.m. on a motion by Trustee Rubin and seconded by Trustee Cantor.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone
Secretary to the Committee

ATTACHMENT B



KEY TO PROJECTS

- Final / Revised Final
- A. Agricultural Biotechnology Laboratory Phoenix Upgrade
 - B. Chemistry Building Roof Snow Guard
 - C. Y & Z Parking Lots, Repaving and Electrical Restoration, Phase 1 & 2
 - ** Andover Infrastructure and Software Upgrade, Phase 1

- Design / Revised Design
- D. North Eagleville Road and Discovery Drive Intersection Improvements
 - E. UConn Hockey Arena

- Planning / Revised Planning
- None

** Note: Campus-Wide - Location not shown on map.

UCONN
UNIVERSITY OF CONNECTICUT

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01/20/20

ATTACHMENT C

**CONTRACT AGREEMENTS
FOR INFORMATION
SEPTEMBER 30, 2020**

PROCUREMENT - NEW FOR INFORMATION

CONSTRUCTION SERVICES - GENERAL CONTRACTOR

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Electrical Contractors, Inc.	FO500025	\$2,342,796	06/01/20-12/18/20	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	Contractor will provide LED lighting and Controls at Storrs Campus Group 1 consisting of 39 buildings. (Final Budget approved on 10/23/19 - \$5,350,000)
2	Milton C. Beebe & Sons, Inc.	902084	\$2,516,623	04/08/20-10/02/20	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	Contractor will provide site demolition of above and underground utilities, site improvements and landscaping for South Campus Commons located at Storrs Campus. (Revised Final Budget approved on 02/26/20 - \$5,000,000)

SNOW AND ICE CONTROL

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
3	DRVN Enterprises, Inc.	KS110119-1	\$800,000	07/01/20-06/30/22	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	Chemicals for Snow and Ice Control at the Storrs, Depot, Avery Point ad Law School Campuses. This contract is the result of a public solicitation. Three extensions of one year each remain.
4	Hatton ltd d/b/a Savol Pools	KS110119-2	\$700,000	07/01/20-06/30/22	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	Chemicals for Snow and Ice Control at all University locations. This contract is the result of a public solicitation. Three extensions of one year each remain.

STANDARD FIXED-FEE ARCHITECT'S CONTRACTS

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
5	JCJ Architecture, PC	300133/300173	\$943,506	03/25/20-completion	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	Bridging Architect services for Project No. 300133 UConn Hockey Arena and Project No. 300173 I-Lot Improvements located at Storrs Campus. (Design Budget approved on 01/29/20 - \$2,850,000)

**CONTRACT AGREEMENTS
FOR INFORMATION
SEPTEMBER 30, 2020**

PROCUREMENT - AMENDMENTS FOR INFORMATION										
CONSTRUCTION SERVICES - CONSTRUCTION MANAGER										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
6	Bond Brothers, Inc.	300025	\$51,377,284; [Contract Value Previously \$277,674; Total New Contract Value \$51,654,958]	06/24/18-03/31/22	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	\$20,527,719	\$24,239	\$109,076	Construction Manager as Constructor services for Project No. 300025 Northwest Science Quad Supplemental Utility Plant located at Storrs Campus. (Final Budget approved 02/26/20 - \$67,000,000)
7	Bond Building Construction Inc, f/k/a Bond Brothers, Inc.	300151	\$3,465,716; [Contract Value Previously \$588,097; Total New Contract Value \$4,053,813]	07/22/19-02/15/21	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	\$13,361,890	\$410,075	\$0	Construction Manager as Constructor services for Project No. 300151 Boiler Plant Equipment Replacement and Utility Tunnel Connection located at Storrs Campus. (Final Budget approved 02/26/20 - \$40,000,000)
8	Bond Building Construction Inc, f/k/a Bond Brothers, Inc.	300151	\$9,200,050; [Contract Value Previously \$4,053,813; Total New Contract Value \$13,253,863]	07/22/19-05/28/21	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	\$13,361,890	\$410,075	\$0	Construction Manager as Constructor services for Project No. 300151 Amendment to the GMP Amendment for performance of the Boiler Plant Equipment Replacement and Utility Tunnel Connection - Package #01 located at Storrs Campus. (Final Budget approved 02/26/20 - \$40,000,000)
9	Daniel O'Connell's Sons, Inc.	201523	\$522,856; [Contract Value Previously \$72,051; Total New Contract Value \$594,907]	07/08/20-08/14/20	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	\$594,907	\$51,742	\$0	Construction Manager as Constructor services for Project 201523 UConn 2000 Code Remediation and Programmatic Renovations Stamford Campus. (Final Budget approved 02/26/20 - \$4,000,000)
10	Dimeo Construction Company [901802]	901802/300050	\$134,475,210; [Contract Value Previously \$368,141; Total New Contract Value \$134,843,351]	06/12/18-08/05/22	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	\$30,368,141	\$0	\$0	Construction Manager as Constructor services for Project No. 901802 STEM Research-Science 1 and Project No. 300050 NW Quad - Science 1 Site Improvements & Tunnel Phase 2 located at the Storrs Campus. (Final Budget approved 04/29/20 - \$220,000,000 for Project No. 901802 and Final Budget approved 04/29/20 - \$56,000,000 for Project No. 300050)

**CONTRACT AGREEMENTS
FOR INFORMATION
SEPTEMBER 30, 2020**

CONSTRUCTION SERVICES - CONSTRUCTION MANAGER [Continued]										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
11	Dimeo Construction Company [300050]	901802/300050	\$35,124,612; [Contract Value Previously \$134,843,351; Total New Contract Value \$169,967,963]	06/12/18-08/05/22	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	\$20,914,084	\$0	\$0	Construction Manager as Constructor services for Project No. 901802 STEM Research-Science 1 and Project No. 300050 NW Quad - Science 1 Site Improvements & Tunnel Phase 2 located at the Storrs Campus. Amendment to GMP Amendment for Project No. 300050. (Final Budget approved 04/29/20 - \$220,000,000 for Project No. 901802 and Final Budget approved 04/29/20 - \$56,000,000 for Project No. 300050)

ON-CALL GRAPHIC ENHANCEMENT SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditure FY19	Purpose
12	AMI Graphics/Strafford Display Graphics LLC	JL052314-3	\$0; [Contract Value Previously \$866,000; Contract Value Remains the Same]	10/15/14-04/14/21	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	\$604,718	\$78,857	\$119,179	On-call graphic enhancement services for all University campuses. Amend to extend term six months, through 04/14/21. Contract value remains the same.
13	Sign Pro, Inc.	JL052314-1	\$0; [Contract Value Previously \$990,000; Contract Value Remains the Same]	10/15/14-04/14/21	Multiple Sources	Gregory F. Daniels, J.D, Interim AVP of University Business Services & Chief Procurement Officer	\$519,960	\$27,056	\$294,809	On-call graphic enhancement services for all University campuses. Amend to extend term six months, through 04/14/21. Contract value remains the same.

ON-CALL PROFESSIONAL SERVICES - MECHANICAL, ELECTRICAL AND PLUMBING

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
14	AKF Engineers LLP	012.2-2-NV-113019	No Value Contract	12/01/16-11/30/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$12,440	\$7,893	\$0	On-Call Professional Services Contract for MEP services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year through, 11/30/20.

**CONTRACT AGREEMENTS
FOR INFORMATION
SEPTEMBER 30, 2020**

ON-CALL PROFESSIONAL SERVICES - MECHANICAL, ELECTRICAL AND PLUMBING [Continued]										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
15	BVH Integrated Services, P.C.	012.2-4-NV-113019	No Value Contract	12/01/16-11/30/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$33,952	\$0	\$4,242	On-Call Professional Services Contract for MEP services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year through, 11/30/20.
16	H.F. Lenz Company	012.2-10-NV-113019	No Value Contract	12/01/16-11/30/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$52,010	\$0	\$23,200	On-Call Professional Services Contract for MEP services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year through, 11/30/20.
17	Kohler Ronan, LLC	012.2-12-NV-113019	No Value Contract	12/01/16-11/30/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$113,816	\$29,720	\$53,364	On-Call Professional Services Contract for MEP services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year through, 11/30/20.
18	R.G. Vanderweil Engineers, LLP	012.2-14-NV-113019	No Value Contract	12/01/16-11/30/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$50,000	\$50,000	\$0	On-Call Professional Services Contract for MEP services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year through, 11/30/20.
19	Thielsch Engineering, Inc	012.2-15-NV-113019	No Value Contract	12/01/16-11/30/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$240,403	\$130,203	\$6,300	On-Call Professional Services Contract for MEP services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year through, 11/30/21.

**CONTRACT AGREEMENTS
FOR INFORMATION
SEPTEMBER 30, 2020**

ON-CALL PROFESSIONAL SERVICES - MECHANICAL, ELECTRICAL AND PLUMBING [Continued]										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
20	Tighe & Bond, Inc	012.2-16-NV-113019	No Value Contract	12/01/16-11/30/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$78,500	\$22,500	\$0	On-Call Professional Services Contract for MEP services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year through, 11/30/20.
21	Urban Engineers, Inc.	012.2-17-NV-113019	No Value Contract	12/01/16-11/30/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$102,872	\$8,000	\$0	On-Call Professional Services Contract for MEP services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year through, 11/30/20.
22	Van Zelm Heywood & Shadford, Inc	012.2-18-NV-113019	No Value Contract	12/01/16-11/30/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$88,356	\$23,002	\$5,328	On-Call Professional Services Contract for MEP services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year through, 11/30/20.
23	WSP USA GROUP	012.2-20-NV-113019	No Value Contract	12/01/16-11/30/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$42,486	\$42,486	\$0	On-Call Professional Services Contract for MEP services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year through, 11/30/20.

ON-CALL TRADE SERVICES - ASPHALT

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
24	E.J. Haverty, LLC	OC.ASPH2018.SBE-1	No Value Contract	8/31/18-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$58,000	\$58,000	\$0	On-Call Trade Contract for asphalt services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

**CONTRACT AGREEMENTS
FOR INFORMATION
SEPTEMBER 30, 2020**

ON-CALL TRADE SERVICES - ASPHALT [Continued]										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
25	Genovesi Construction, LLC	OC.ASPH2018.SBE-2	No Value Contract	7/26/18-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$116,481	\$105,629	\$0	On-Call Trade Contract for asphalt services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
26	Pincroft Paving & Landscape, LLC	OC.ASPH2018.SBE-3	No Value Contract	8/6/18-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$143,688	\$128,395	\$0	On-Call Trade Contract for asphalt services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
27	Tabacco & Son Builders, Inc.	OC.ASPH2018.SBE-4	No Value Contract	8/8/18-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$99,397	\$0	\$99,397	On-Call Trade Contract for asphalt services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

ON-CALL TRADE SERVICES - ELECTRICAL

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
28	Beacon Electric, Inc.	OC.ELEC2015.SBE-1	No Value Contract	02/18/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$258,884	\$35,480	\$0	On-Call Trade Contract for electrical services to be used at all University campuses needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
29	Caruso Electric Company	OC.ELEC2015.SBE-2	No Value Contract	02/18/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$39,815	\$0	\$8,567	On-Call Trade Contract for electrical services to be used at all University campuses needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

**CONTRACT AGREEMENTS
FOR INFORMATION
SEPTEMBER 30, 2020**

ON-CALL TRADE SERVICES - ELECTRICAL [Continued]										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
30	Dicin Electric Co., Inc.	OC.ELEC2015.SBE-4	No Value Contract	02/25/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$79,934	\$31,934	\$48,000	On-Call Trade Contract for electrical services to be used at all University campuses needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
31	Electrical Energy Systems	OC.ELEC2015.SBE-5	No Value Contract	02/19/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$135,475	\$0	\$9,605	On-Call Trade Contract for electrical services to be used at all University campuses needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
32	Mercury Cabling Systems LLC	OC.ELEC2015.SBE-6	No Value Contract	03/30/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for electrical services to be used at all University campuses needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
33	Prime Electric, LLC	OC.ELEC2015.SBE-8	No Value Contract	03/08/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$63,134	\$46,325	\$0	On-Call Trade Contract for electrical services to be used at all University campuses needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

ON-CALL TRADE SERVICES - FLOORING

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
34	Bartholomew Contract Interiors	OC.FLOOR2016.SBE-1	No Value Contract	10/20/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$631,089	\$120,750	\$185,452	On-Call Trade Contract for flooring services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

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ON-CALL TRADE SERVICES - FLOORING [Continued]										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
35	Engineered Coatings Inc.	OC.FLOOR2016.SBE-6	No Value Contract	10/19/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$755,915	\$64,471	\$371,877	On-Call Trade Contract for flooring services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
36	McBride Wayside Carpet Co	OC.FLOOR2016.SBE-3	No Value Contract	10/19/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$656,501	\$137,788	\$232,816	On-Call Trade Contract for flooring services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
37	Northeast Flooring and Kitchens LLC	OC.FLOOR2016.SBE-4	No Value Contract	10/14/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$671,215	\$111,461	\$228,018	On-Call Trade Contract for flooring services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
38	The John Boyle Company	OC.FLOOR2016.SBE-5	No Value Contract	10/19/16-12/31/20	Multiple Sources	Gregory Daniels, Interim AVP of Business Services & Chief Procurement Officer	\$669,599	\$202,399	\$196,119	On-Call Trade Contract for flooring services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

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ON-CALL TRADE SERVICES - GENERAL CONTRACTOR										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
39	All-Phase Enterprises, Inc.	OC.GC2015.SBE-2	No Value Contract	01/22/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$25,325	\$0	\$0	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
40	ATM Restoration Systems, Inc.	OC.GC2015.SBE-3	No Value Contract	01/22/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$268,233	\$99,900	\$0	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
41	Cenaxo, LLC	OC.GC2015.SBE-4	No Value Contract	02/09/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
42	East Construction LLC	OC.GC2015.SBE-6	No Value Contract	01/27/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
43	G. Donovan Associates, Inc.	OC.GC2015.SBE-7	No Value Contract	01/08/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$128,202	\$0	\$35,191	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
44	Kronenberger & Sons Restoration	OC.GC2015.SBE-9	No Value Contract	02/01/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

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ON-CALL TRADE SERVICES - GENERAL CONTRACTOR [continued]										
45	Noble Construction & Management	OC.GC2015.SBE-11	No Value Contract	02/24/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
46	Northeast Industries, Inc	OC.GC2015.SBE-12	No Value Contract	04/19/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
47	Pioneer Builders of Newington, Inc.	OC.GC2015.SBE-14	No Value Contract	01/29/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
48	Sarazin General Contractors, Inc.	OC.GC2015.SBE-15	No Value Contract	01/04/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$202,194	\$23,721	\$128,540	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
49	Tabacco & Son Builders Inc	OC.GC2015.SBE-18	No Value Contract	01/29/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$5,183	\$0	\$0	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
50	West Reach Construction Co.	OC.GC2015.SBE-17	No Value Contract	02/01/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$118,355	\$0	\$0	On-Call Trade Contract for GC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

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ON-CALL TRADE SERVICES - HVAC										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
51	Action Air Systems, Inc.	OC.HVAC2019NV-1	No Value Contract	02/12/19-4/30/22	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$866,158	\$256,625	\$0	On-Call Trade Contract for HVAC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to report spend to date.
52	Air Temp Mechanical Services, Inc	OC.HVAC2015.SBE-1	No Value Contract	12/15/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$803,378	\$152,552	\$0	On-Call Trade Contract for HVAC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
53	Romco Contractors, Inc.	OC.HVAC2015.SBE-4	No Value Contract	12/15/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$216,554	\$148,211	\$35,705	On-Call Trade Contract for HVAC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
54	Superior Service Group, LLC	OC.HVAC2015.SBE-5	No Value Contract	12/16/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$220,001	\$0	\$72,119	On-Call Trade Contract for HVAC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
55	Tradesmen of New England LLC	OC.HVAC2015.SBE-6	No Value Contract	02/04/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$156,803	\$0	\$0	On-Call Trade Contract for HVAC services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

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ON-CALL TRADE SERVICES - INSULATION										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
56	Langan Insulation, LLC	OC.INSUL2018.SBE-2	No Value Contract	08/06/18-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$156,479	\$8,403	\$148,076	On-Call Trade Contract for insulation services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
57	SK Mechanical, LLC	OC.INSUL2017.SBE-1	No Value Contract	11/02/17-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$213,341	\$45,387	\$75,454	On-Call Trade Contract for insulation services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
58	The Claremont Sales Corporation	OC.INSUL2018.SBE-3	No Value Contract	07/16/18-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$195,843	\$81,575	\$62,928	On-Call Trade Contract for insulation services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

ON-CALL TRADE SERVICES - MASONRY										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
59	Advanced Caulking & Restoration	OC.MAS2015.SBE-1	No Value Contract	02/17/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$327,349	\$0	\$165,799	On-Call Trade Contract for masonry services to be used at all University campuses as needed outsourced support on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
60	Armani Restoration, Inc.	OC.MAS2015.SBE-2	No Value Contract	02/18/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for masonry services to be used at all University campuses as needed outsourced support on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

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ON-CALL TRADE SERVICES - MASONRY [Continued]										
61	Capasso Restoration	OC.MAS2016.SBE-7	No Value Contract	01/03/17-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$184,507	\$0	\$78,560	On-Call Trade Contract for masonry services to be used at all University campuses as needed outsourced support on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
62	Kronenberger & Sons Restoration	OC.MAS2015.SBE-4	No Value Contract	03/04/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$141,377	\$2,487	\$50,605	On-Call Trade Contract for masonry services to be used at all University campuses as needed outsourced support on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
63	New England Masonry & Roofing Company	OC.MAS2015.SBE-5	No Value Contract	03/14/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$147,022	\$0	\$0	On-Call Trade Contract for masonry services to be used at all University campuses as needed outsourced support on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
64	Noble Construction & Management	OC.MAS2015.SBE-6	No Value Contract	03/15/16-12/31/20	Multiple Sources	Gregory Daniels, Interim AVP of Business Services & Chief Procurement Officer	\$72,140	\$10,898	\$0	On-Call Trade Contract for masonry services to be used at all University campuses as needed outsourced support on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

ON-CALL TRADE CONTRACTOR SERVICES - PAINTING

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
65	Hartford Painting Company LLC	OC.PAINT2017.SBE-4	No Value Contract	08/09/17-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$310,724	\$135,540	\$24,214	On-Call Trade Contract for painting services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

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ON-CALL TRADE CONTRACTOR SERVICES - PAINTING [Continued]										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
66	Roberge Painting Company LLC	OC.PAINT2016.SBE-1	No Value Contract	09/20/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$732,207	\$208,787	\$147,142	On-Call Trade Contract for painting services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
67	Scholar Painting LLC	OC.PAINT2016.SBE-2	No Value Contract	10/07/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$459,481	\$134,227	\$90,875	On-Call Trade Contract for painting services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
68	The John Boyle Company	OC.PAINT2016.SBE-3	No Value Contract	09/20/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$577,589	\$245,117	\$203,639	On-Call Trade Contract for painting services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

ON-CALL TRADE SERVICES - PLUMBING

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
69	Superior Service Group, LLC	OC.PLUM2015.SBE-2	No Value Contract	02/20/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for plumbing services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
70	Warren Brothers, LLC	OC.PLUM2015.SBE-3	No Value Contract	02/17/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$27,465	\$17,507	\$0	On-Call Trade Contract for plumbing services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

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ON-CALL TRADE SERVICES - PLUMBING [Continued]										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
71	West State Mechanical Inc.	OC.PLUM2015.SBE-4	No Value Contract	02/19/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$86,145	\$0	\$30,184	On-Call Trade Contract for plumbing services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
ON-CALL TRADE SERVICES - ROOFING										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
72	Commercial Roofing & Contracting Company	OC.ROOF2015.SBE-2	No Value Contract	12/05/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$272,686	\$92,718	\$93,514	On-Call Trade Contract for roofing services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
73	Domack Restoration, LLC	OC.ROOF2015.SBE-3	No Value Contract	12/22/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$73,519	\$0	\$0	On-Call Trade Contract for roofing services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
74	Ernest Peterson, Inc.	OC.ROOF2015.SBE-4	No Value Contract	12/17/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$163,141	\$9,401	\$0	On-Call Trade Contract for roofing services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
75	G. Donovan Associates, Inc.	OC.ROOF2015.SBE-5	No Value Contract	12/09/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$114,251	\$69,717	\$0	On-Call Trade Contract for roofing services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

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ON-CALL TRADE SERVICES - ROOFING [Continued]										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
76	JHS Restoration LLC	OC.ROOF2015.SBE-6	No Value Contract	10/31/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$102,463	\$0	\$65,605	On-Call Trade Contract for roofing services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
77	New England Masonry & Roofing Company	OC.ROOF2015.SBE-7	No Value Contract	12/21/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$235,436	\$91,287	\$122,315	On-Call Trade Contract for roofing services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
80	Offshore Construction, Inc.	OC.ROOF2015.SBE-8	No Value Contract	12/21/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$142,372	\$71,069	\$39,298	On-Call Trade Contract for roofing services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
81	Scholar Painting LLC	OC.ROOF2015.SBE-9	No Value Contract	10/07/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$211,782	\$108,976	\$0	On-Call Trade Contract for roofing services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

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ON-CALL TRADE SERVICES - SITEWORK										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
82	All-Phase Enterprises, Inc.	OC.SITE2015.SBE-1	No Value Contract	12/15/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$454,085	\$74,964	\$76,311	On-Call Trade Contract for site work services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
83	Avery Construction Company	OC.SITE2015.SBE-2	No Value Contract	12/16/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$467,357	\$273,541	\$95,706	On-Call Trade Contract for site work services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
84	Earth Dynamics	OC.SITE2015.SBE-3	No Value Contract	12/15/15-12/31/20	Multiple Sources	Gregory Daniels, Interim AVP of Business Services & Chief Procurement Officer	\$334,024	\$0	\$83,650	On-Call Trade Contract for site work services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
85	G. Donovan Associates, Inc.	OC.SITE2015.SBE-5	No Value Contract	12/09/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$290,461	\$0	\$61,096	On-Call Trade Contract for site work services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
86	Genovesi Construction LLC	OC.SITE2016.SBE-15	No Value Contract	01/03/17-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$837,589	\$94,196	\$554,485	On-Call Trade Contract for site work services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
87	Hemlock Construction, Inc.	OC.SITE2015.SBE-6	No Value Contract	12/15/15-12/31/20	Multiple Sources	Gregory Daniels, Interim AVP of Business Services & Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for site work services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

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ON-CALL TRADE SERVICES - SITEWORK [Continued]										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
88	Machnik Bros., Inc.	OC.SITE2015.SBE-8	No Value Contract	12/09/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$263,164	\$15,396	\$40,229	On-Call Trade Contract for site work services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
89	Mather Corporation	OC.SITE2015.SBE-9	No Value Contract	12/09/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for site work services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
90	Milton C. Beebe & Sons, Inc.	OC.SITE2015.SBE-10	No Value Contract	12/09/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$414,013	\$59,081	\$91,648	On-Call Trade Contract for site work services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.
91	Sarazin General Contractors, Inc.	OC.SITE2015.SBE-13	No Value Contract	12/15/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$230,846	\$0	\$90,011	On-Call Trade Contract for site work services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract One year, through 12/31/20.
92	Tabacco & Son Builders Inc	OC.SITE2015.SBE-14	No Value Contract	12/15/15-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$603,156	\$0	\$182,820	On-Call Trade Contract for site work services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 12/31/20.

**CONTRACT AGREEMENTS
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ON-CALL TRADE SERVICES - TELECOMMUNICATIONS										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
93	568systems Inc	159-1-950-022817	No Value Contract	02/01/16-05/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$563,920	\$345,873	\$59,592	On-Call Trade Contract for telecommunications services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 5/31/20.
94	Network Installation Services Inc.	159-5-950-022817	No Value Contract	02/01/16-05/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$753,867	\$147,928	\$323,810	On-Call Trade Contract for telecommunications services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 5/31/20.
95	Northeastern Comm & Electrical LLC	159-6-950-022817	No Value Contract	02/01/16-05/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$578,936	\$98,621	\$106,522	On-Call Trade Contract for telecommunications services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 5/31/20.
96	SystemOne LLC	159-7-950-022817	No Value Contract	05/03/16-05/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$307,770	\$212,077	\$40,715	On-Call Trade Contract for telecommunications services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 5/31/20.
97	Valcor Communication LLC	159-8-950-022817	No Value Contract	03/01/16-05/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$670,840	\$91,004	\$105,727	On-Call Trade Contract for telecommunications services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 5/31/20.

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ON-CALL TRADE SERVICES - WATER AND SEWER SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
98	All State Construction, Inc.	047-1-NV-043019	No Value Contract	05/09/16-4/30/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for water and sewer services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 4/30/21.
99	Mather Corporation	047-4-NV-043019	No Value Contract	05/12/16-4/30/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for water and sewer services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 4/30/21.
100	Milton C. Beebe & Sons, Inc.	047-5-NV-043019	No Value Contract	05/09/16-4/30/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$1,603	\$0	\$1,603	On-Call Trade Contract for water and sewer services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 4/30/21.
101	Tabacco & Son Builders, Inc	047-6-NV-043019	No Value Contract	05/17/16-4/30/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$7,870	\$0	\$7,870	On-Call Trade Contract for water and sewer services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 4/30/21.
102	True Blue Environmental, Inc	047-7-NV-043019	No Value Contract	06/01/16-4/30/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for water and sewer services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 4/30/21.

**CONTRACT AGREEMENTS
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ON-CALL TRADE SERVICES - WATER POLLUTION CONTROL FACILITY										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
103	G. Donovan Associates, Inc	046-1-NV-033119	No Value Contract	03/20/16-3/31/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$0	\$0	\$0	On-Call Trade Contract for water pollution control services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 3/31/21.
104	R.H. White Companies, Inc.	046-2-NV-033119	No Value Contract	04/19/16-3/31/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$73,777	\$0	\$0	On-Call Trade Contract for water pollution control services to be used at all University campuses as needed on a project by project basis. This is a "No Value Contract" that is being presented for informational purposes to extend contract one year, through 3/31/21.
STANDARD FIXED-FEE ARCHITECTS' CONTRACT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
105	Fennick McCredie Architecture, Ltd.	901888	\$67,370; [Contract Value Previously \$1,338,988; Total New Contract Value \$1,406,358]	09/14/15-08/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$1,406,357	\$75,626	\$278,126	Additional services Project No. 901888 Gampel Pavilion Dome Ceiling & Roof Repair located at Storrs Campus. (Revised Final Budget approved 06/26/19 - \$11,800,000)
106	Goody Clancy Architecture, LLC	901803	\$151,616; [Contract Value Previously \$10,907,012; Total New Contract Value \$11,058,628]	08/03/15-12/31/22	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$11,058,628	\$850,484	\$2,806,713	Additional services Project No. 901803 Gant Building Renovations - STEM for North Wing 4th Floor and high performance computing upgrades located at Storrs Campus. (Revised Final Budget approved 06/26/19 - \$170,000,000)
107	Newman Architects, P.C.	201696/902091	\$540,845; [Contract Value Previously \$8,224,242; Total New Contract Value \$8,765,087]	03/01/17-08/14/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$8,763,087	\$1,708,552	\$3,658,356	Additional services for the project entitled Athletic District Development located at Storrs Campus. (Revised Final Budget approved 09/27/19 - \$96,600,000)

**CONTRACT AGREEMENTS
FOR INFORMATION
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STANDARD FIXED-FEE ARCHITECTS' CONTRACT [Continued]										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 07/30/20	Expenditures FY20	Expenditures FY19	Purpose
108	Payette Associates, Inc.	300050	\$1,129,720; [Contract Value Previously \$15,609,011; Total New Contract Value \$16,738,731]	12/15/16-12/31/20	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$4,270,147	\$1,850,756	\$983,619	Additional services for Construction Administration and Close-out Phase services under the Contract for Northwest Quad Site Improvement (300050) and design of laboratory chiller or heat exchanger for New STEM Research Center - Science 1 located at Storrs Campus. (Final Budget approved 04/29/20 - \$220,000,000)
109	Richard Turlington Architects Inc.	300025	\$126,497; [Contract Value Previously \$3,905,415; Total New Contract Value \$4,031,912]	12/01/17-12/30/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services and Chief Procurement Officer	\$4,031,912	\$726,497	\$1,441,058	Environmental related construction administration services for the Supplemental Utility Plant Project at Storrs Campus. (Final Budget approved 02/26/20 - \$67,000,000)



Board of Trustees

**DEFICIENCY REQUEST FOR FY 2021 & BIENNIUM
STATE APPROPRIATION REQUEST FOR FY 2022 &
2023 FOR UCONN STORRS | REGIONAL CAMPUSES
(ADDED TO THE AGENDA UNDER THE
FINANCIAL AFFAIRS REPORT)**

Wednesday, September 30, 2020

September 30, 2020

TO: Members of the Board of Trustees

FROM: Scott A. Jordan
Executive Vice President for Administration and Chief Financial Officer

RE: Deficiency Request for Fiscal Year 2021 and Biennium State Appropriation
Request for Fiscal Years 2022 and 2023 for the University of Connecticut, Storrs
and Regional Campuses



RECOMMENDATION:

That the Board of Trustees approve the following:

- State Deficiency Request for Fiscal Year 2021 in the amount of \$28 million to cover the shortfall caused by the pandemic.
- State Appropriation Request for the Biennium Fiscal Years 2022 and 2023: \$213 million for Fiscal Year 2022 and \$223 million for Fiscal Year 2023 for the University of Connecticut, Storrs and Regional Campuses.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the Fiscal Year 2021 State Deficiency Request of \$28 million and Fiscal Year 2022 and Fiscal Year 2023 State Appropriation Requests of \$213 million and \$223 million respectively”

BACKGROUND:

This fiscal year (FY21), under a best-case scenario amidst the pandemic, UConn is facing a \$28 million deficit, after having already implemented a variety of mitigating items, such as management furloughs, departmental budget cuts, spending and hiring freezes. UConn will request this funding from the State.

Every two years, the Governor submits a biennial budget request to the Legislature. The process of preparing the Governor’s budget submission begins with state agencies submitting their biennial budget requests to the Office of Policy and Management (“OPM”). The biennial budget request for fiscal years 2022 and 2023 is due in fall 2020.

The University’s Office of Budget and Planning has calculated our request as the amount of State appropriation needed to support our current level of services, plus annualization of collective bargaining increases of \$1.3 million in FY22 and operating and maintenance funds for new facilities of \$1.6 million in FY23. In addition, our request includes \$8.1 million for the 27th payroll in FY23.

State Appropriation Request	
FY 2021 Approved Appropriation	
Operating Expenses	\$ 208,979,109
Workers' Compensation Claims	2,271,228
FY 2021 Total	\$ 211,250,337
Collective Bargaining Increases - Annualization (5.5%)	1,294,747
Workers' Compensation Claims	390,541
New Facilities O&M	81,930
FY 2022 Total Requested Appropriation	\$ 213,017,555
27th payroll	8,087,456
New Facilities O&M	1,638,595
FY 2023 Total Requested Appropriation	\$ 222,743,606



Board of Trustees

**DEFICIENCY REQUEST FOR FISCAL YEARS 2020, 2021
& STATE APPROPRIATION REQUEST FOR FY 2022
AND 2023 FOR UConn HEALTH
(ADDED TO THE AGENDA UNDER THE
FINANCIAL AFFAIRS REPORT)**

Wednesday, September 30, 2020

UConn HEALTH

September 30, 2020

TO: Members of the Board of Trustees

FROM: Andrew Agwunobi, MD, MBA
CEO, Executive Vice President for Health Affairs



Jeffrey P Geoghegan, CPA
Chief Financial Officer



RE: Deficiency Request for Fiscal Years 2020, 2021 and State Appropriation
Request for the Biennium Fiscal Years 2022 and 2023 for UConn Health

RECOMMENDATION:

That the Board of Trustees approve the following:

- State Deficiency Request for Fiscal Year 2020 and 2021 in the amount of \$76.9 million to cover the shortfall caused by the pandemic and the unfunded legacy costs.
- State Appropriation Request for the Biennium Fiscal Years 2022 and 2023: \$199.5 million for Fiscal Year 2022 and \$206.9 million for Fiscal Year 2023 for the University of Connecticut Health Center.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the Fiscal Year 2020 and 2021 State Deficiency Request of \$76.9 million and Fiscal Year 2022 and Fiscal Year 2023 State Appropriation Requests of \$199.5 million and \$206.9 million respectively.”

BACKGROUND:

For fiscal years 2020 and 2021 UConn Health is facing a \$76.9 million deficit, after having already implemented a variety of mitigating items, such as management furloughs, UConn Health wide financial improvement plan and a reduction in capital spending. UConn Health will request this funding from the State.

Every two years, the Governor submits a biennial budget request to the Legislature. The process of preparing the Governor’s budget submission begins with state agencies submitting their biennial budget requests to the Office of Policy and Management (“OPM”). The biennial budget request for fiscal years 2022 and 2023 is due in fall 2020.

UConn Health has calculated our request as the amount of State appropriation needed to support our current level of services, plus unfunded legacy costs and annualization of collective bargaining increases of \$797,595 in FY22. In addition, our request includes \$5.1 million for the 27th payroll in FY23.

UConn Health State Appropriation Request

FY 2021 Appropriation

Operating Fund	\$	116,556,690
AHEC		375,832
Workers' Compensation Claims		2,917,484
Bioscience		16,000,000
FY 2021 Total Approved Appropriation	\$	135,850,006

Collective Bargaining (Annualization of AI in Jan 2021)	\$	797,595
Workers' Compensation Claims		87,525
Bioscience		-
Total Appropriation Request	\$	136,735,126

FY 2022 Unfunded Legacy Costs		62,762,683
FY 2022 Total Request	\$	199,497,809

Collective Bargaining	\$	-
Workers' Compensation Claims		90,150
Bioscience		-
27th payroll		5,143,466
Total Appropriation Request	\$	141,968,742

FY 2023 Unfunded Legacy Costs		64,959,377
FY 2023 Total Request	\$	206,928,119