

UConn

UNIVERSITY OF CONNECTICUT

Board of



TRUSTEES

VOL. 219 APRIL 28, 2021

**TELEPHONE MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

Meeting held by Telephone

April 28, 2021

Public Call In Number:
(415) 655-0002 US Toll
Access Code: 120 706 2814

Public Access Link:
<http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

BOARD OF TRUSTEES SCHEDULE

8:30 a.m.	Academic Affairs Committee Meeting
9:30 a.m.	Financial Affairs Committee Meeting
9:50 a.m.	Board of Trustees Meeting

BOARD MEETING AGENDA

Call to order at **9:50 a.m.**

1. Public Participation*

* If members of the public wish to address the Board of Trustees during the Public Participation portion of the meeting, you must submit a request in writing 30 minutes prior to the start of the meeting (by 9:20 a.m.) to the following email address: boardoftrustees@uconn.edu. Please indicate your name, telephone number, and topic to be discussed. Per the University By-Laws, the Board may limit public comment to a maximum of 30 minutes. As an alternative, you may also submit your comments via email which will be shared with the Board.

2. Chairman's Report

- (a) Matters outstanding
- (b) Minutes of the meeting of February 24, 2021
- (c) Consent Agenda Items:
 - (1) Contracts and Agreements (Attachment 1)
 - (2) Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2020 – 2021 (Attachment 2)
 - (3) Promotion and Tenure (Attachment 3)
 - (4) Sabbatical Leave Recommendations (Attachment 4)
 - (5) Appointment of Professor David Weber, PhD to the Deloitte Foundation Professorship of Accounting in the School of Business (Attachment 5)
 - (6) Reappointment of Professor Robert Bird, PhD to the Eversource Chair in Business Ethics in the School of Business (Attachment 6)

- (7) Reappointment of Professor Chinmoy Ghosh, PhD to the Gladstein Professor of Business and Innovation in the School of Business (Attachment 7)
 - (8) Reappointment of Professor Nicholas H. Lurie, PhD to the Voya Financial Professor in the School of Business (Attachment 8)
 - (9) Reappointment of Professor Michael Willenborg, PhD to the Richard F. Kochanek Professorship in Accounting in the School of Business (Attachment 9)
 - (10) Reappointment of Professor Joseph Golec, PhD to the Finance Department Distinguished Scholar in the School of Business (Attachment 10)
 - (11) Appointment of Associate Professor Guojin Gong, PhD as the Deloitte Foundation Faculty Fellow in the School of Business (Attachment 11)
 - (12) Doctor of Philosophy in Integrative Studies (Attachment 12)
 - (13) Master of Arts in Human Rights (Attachment 13)
 - (14) Master of Science in Business Research (Attachment 14)
 - (15) Master of Science in Financial Technology (Fintech) (Attachment 15)
 - (16) Master of Laws in Governance, Risk-Management and Compliance (Attachment 16)
 - (17) Post-Master's Nurse Leader Certificate (Attachment 17)
 - (18) Post-Master's Nurse Educator Certificate (Attachment 18)
 - (19) Graduate Certificate in Dementia Care (Attachment 19)
 - (20) Naming Recommendation for the Richard DeFavero Conference Room (Attachment 20)
 - (21) Naming Recommendation for the Joseph R. Marfuggi and Sherry L. Brown-Marfuggi Reception Area at UConn Hartford (Attachment 21)
 - (22) Naming Recommendation for Beekley Lab for Biosymmetrix at UConn Health (Attachment 22)
 - (23) Appointment to Canvassing Board – Election of Alumni Trustee (Attachment 23)
 - (d) Informational item:
 - (1) Notification of Proposed Changes to the *By-Laws of the University of Connecticut* (Attachment 24)
3. President's Report
4. Academic Affairs Committee Report
- (a) Report on Committee activities
 - (b) Item requiring Board discussion and approval:
 - (1) Naming Recommendation for the Vergnano Institute for Inclusion in the School of Engineering (Attachment 25)

- (c) Informational Items:
 - (1) Academic Program Inventory (Attachment 26)
 - (2) Faculty Consulting Program (Attachment 27)
 - The University of Connecticut Consulting Program FY 20 Annual Report
 - Report on the University of Connecticut’s Compliance with CGS 1-84(r) Faculty Consulting Program: Report Issued by the Faculty Consulting Oversight Committee
 - (3) Tenure-Track Reappointments (Attachment 28)
- 5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Items requiring Board discussion and approval:
 - (1) Purchase of Mobile Office Buildings (Attachment 29)
 - (2) Project Budget (Final) for UConn Hockey Arena (Attachment 30)
 - (3) Project Budget (Final) for the I-Lot Improvements (Attachment 31)
 - (4) Project Budget (Final) for NER East Steam Repair (Phase I) (Attachment 32)
 - (5) Project Budget (Revised Final) for Residential Life Facilities – Hicks and Grange Student Room and Common Area Renovations (Attachment 33)
 - (6) Project Budget (Final) for Atwater Laboratory Emergency Power Installation (Attachment 34)
 - (7) Project Budget (Revised Final) for Werth Family Basketball Champions Center Hall of Fame (Attachment 35)
- 6. UConn Health Report
 - (a) Report on UConn Health activities
- 7. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
- 8. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
- 9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
- 10. Student Life Committee Report
 - (a) Report on Committee activities
- 11. Institutional Advancement Committee Report
 - (a) Report on Committee activities

12. Committee for Diversity, Equity and Inclusion Report
 - (a) Report on Committee activities
13. Committee on Compensation Report
 - (a) Report on Committee activities
14. Committee for Research, Entrepreneurship and Innovation Report
 - (a) Report on Committee activities
15. Other business
16. Executive Session anticipated
17. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please call the Board of Trustees Office at (860) 486-2333 prior to the meeting.*

ATTACHMENT 1

**CONTRACT AGREEMENTS
FOR APPROVAL
APRIL 28, 2021**

PROCUREMENT - NEW*

*The Administration is seeking approval to enter into contracts based on the material terms and conditions identified below, subject to final legal review.

ATHLETIC TICKET SALES SERVICES PROVIDER

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Taymar Sales U	UC-21-KA101920-8	\$1,850,000	Upon execution-03/30/26	Auxiliary Funds	David Benedict, Athletic Director, Division of Athletics	Outbound ticket sales services for University athletic events, particularly football, men's basketball, women's basketball and men's ice hockey; other sports considered in the future are baseball, men's soccer and women's soccer. Vendor is paid on a negotiated fee basis. Taymar is replacing The Aspire Group, the previous athletic ticket sales provider, as the result of a publicly advertised solicitation process. Initial term is approximately five years. Two extensions of one year each remain.

PROCUREMENT - AMENDMENTS

AUDIO VISUAL EQUIPMENT, SUPPLIES AND SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 12/31/20	Expenditures FY20	Expenditures FY19	Purpose
2	RGB Systems dba Extron Electronics	171001	\$500,000 <i>[Contract Value Previously \$650,000; Total New Contract Value \$1,150,000]</i>	12/15/17-11/30/23	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$630,467	\$0	\$0	Audio visual equipment, supplies and services all University campuses. Amend to increase contract value \$500,000, for total new contract value of \$1,150,000. Contract term remains the same. Zero extensions remain. Sourced through The Interlocking Purchasing System (TIPS) national cooperative. Future extensions may be exercised at the discretion of the cooperative.

EDUCATION ABROAD

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
3	Academic Programs International	UC-KA060420-8	\$2,800,000 <i>[Contract Value Previously \$2,800,000; Total New Contract Value \$5,600,000]</i>	07/01/20-07/31/22	Operating Funds	Dan Weiner, Ph.D., Vice President for Global Affairs; Nathan Fuerst, Vice President for Enrollment Management	\$2,411,500	\$0	\$0	Secure housing accommodations for UConn international students who are unable to arrive in the U.S. for the Fall semester 2021 and Spring semester 2022. Provide on-going extracurricular and social support for these students. Amend to increase contract value \$2,800,000, for total new contract value of \$5,600,000. Amend to extend contract term thirteen months, through 07/31/22. Zero extensions remain.
4	East China Normal University	UC-KA060120-8	\$2,400,000 <i>[Contract Value Previously \$2,340,934; Total New Contract Value \$4,740,934]</i>	08/01/20-07/31/22	Operating Funds	Dan Weiner, Ph.D., Vice President for Global Affairs; Nathan Fuerst, Vice President for Enrollment Management	\$2,340,934	\$0	\$0	Provide academic course instructions and academic support for UConn international students who are unable to arrive in the U.S. on time for the Fall semester 2021 and potentially Spring semester 2022. Amend to increase contract value \$2,400,000, for total new contract value of \$4,740,934. Amend to extend contract term one year, through 07/31/22. Zero extensions remain.

CONTRACT AGREEMENTS
FOR APPROVAL
APRIL 28, 2021

EDUCATION ABROAD (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
5	University of Nottingham-Ningbo, China	UC-KA060320-8	\$2,500,000 [Contract Value Previously \$2,479,750; Total New Contract Value \$4,979,750]	09/01/20-07/31/22	Operating Funds	Dan Weiner, Ph.D., Vice President for Global Affairs; Nathan Fuerst, Vice President for Enrollment Management	\$304,655	\$0	\$0	Provide academic course instructions and academic support for UConn international students who are unable to arrive in the U.S. on time for the Fall semester 2021 and potential Spring semester 2022. Provide housing options. Amend to increase contract value \$2,500,000, for total new contract value of \$4,979,750. Amend to extend contract term eleven months, through 07/31/22. Zero extensions remain.
ELECTRONIC COMMERCE & SUBSCRIPTION SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/21	Expenditures FY20	Expenditures FY19	Purpose
6	Immix Technology, Inc. (subsidiary of immixGroup)	GS-35F-0265X	\$0 [Contract Value Previously \$1,400,000; Contract Value Remains the Same]	03/03/11-03/02/26	Multiple Funds	Laura Cruickshank, University Master Planner and Chief Architect	\$555,476	\$34,765	\$69,529	Oracle Unifier Integrated Project Management Software Solution (IPMSS) software license subscriptions in support of the Program Management Oversight (PMO) engagement and the Next Generation CT capital program. Amend to extend contract term five years, through 03/02/26. Contract value remains the same. Sourced through the General Services Administration (GSA). Future extensions may be exercised at the discretion of GSA.
PARKING MANAGEMENT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/21	Expenditures FY20	Expenditures FY19	Purpose
7	LAZ Karp Association (aka LAZ Parking Ltd, LLC)	DS030519	\$1,562,943 [Contract Value Previously \$995,000; Total New Contract Value \$2,557,943]	10/01/19-09/30/23	Operating Funds	Michael Jednak, AVP Facilities Operations & Building Services	\$860,195	\$448,086	\$0	Parking management and operations for the North and South Garages, as well as special event parking. Amend to increase contract value \$1,562,943, for total new contract value of \$2,557,943. Amend to extend contract term two years, through 09/30/23. Two extensions of two years each remain.

CONTRACT AGREEMENTS
FOR APPROVAL
APRIL 28, 2021

PERSONAL COMPUTERS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/21	Expenditures FY20	Expenditures FY19	Purpose
8	Dell Marketing, LP	UC-MF080913	\$1,000,000; [Contract Value Previously \$27,838,000; Total New Contract Value \$28,838,000]	07/01/14-06/30/21	Multiple Sources	Scott Jordan, Executive Vice President for Administration & Chief Financial Officer	\$24,630,956	\$3,917,712	\$4,329,534	Dell desktop computers for all University campuses, with the exception of UCH. Amend to increase contract value \$1,000,000, for total new contract value of \$28,838,000 . Amend to extend contract term two months, through 06/30/21. A two month extension is requested to allow for completion of negotiation and implementation of a new contract, which will replace existing agreement upon execution.

TELECOMMUNICATIONS AND NETWORK HARDWARE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/21	Expenditures FY20	Expenditures FY19	Purpose
9	Anixter, Inc.	PAR161701/R170501	\$0 [Contract Value Previously \$2,586,625; Contract Value Remains the Same]	04/01/17-03/31/22	Operating Funds	Michael Mundrane	\$1,248,871	\$516,658	\$421,717	Cabling and network hardware, supplies and services for all University campuses. Amend to extend contract term nine months, through 03/31/22. Zero extensions remain. Contract value remains the same. Sourced through National IPA consortia. Zero extensions remain. Future extensions may be exercised at the discretion of the consortia.

TRASH & RECYCLING SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/21	Expenditures FY20	Expenditures FY19	Purpose
10	Willimantic Waste Paper Co., Inc.	UC-15-DS013015-1	\$0 [Contract Value Previously \$8,077,842; Contract Value Remains the Same]	06/01/15-08/31/21	Operating Funds	Michael Jednak, AVP Facilities Operations and Building Services	\$6,682,071	\$1,117,343	\$1,231,356	Provide trash and recycling services at the Storrs, Depot and Avery Point Campuses. Amend to extend contract term three months, through 08/31/21. This extension is requested to allow sufficient time for completion of a new Request for Qualification as well as vetting of a potential vendor. Contract value remains the same.

CONTRACT AGREEMENTS
FOR APPROVAL
APRIL 28, 2021

TURBINE GENERATORS - CENTRAL UTILITY PLANT										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/21	Expenditures FY20	Expenditures FY19	Purpose
11	Solar Turbines, Inc.	28486-2021	\$3,000,000; [Contract Value Previously \$10,000,000; Total New Contract Value \$13,000,000]	05/01/21-04/30/26	Operating Funds - General	Michael Jednak, AVP Facilities Operations & Building Services	\$0	\$0	\$0	Contractor is the manufacturer of the three (3) turbine/generator packages in the Co-generation (Cogen) facility and is to provide a 5-year full service/maintenance contract, with the option for a 5-year renewal term, as required by Cogen Plant lease agreement. Contract includes all regular scheduled maintenance services, remote performance monitoring, scheduled rebuilds and replacements, and exchange of equipment found to be operating outside of performance specifications. Other services directly related to other ancillary equipment, but not covered by the agreement may be performed by Solar at the University's request. This is a sole source, replacement contract for the current agreement #28486, which expires on 6/30/2021. Amend to increase contract value \$3,000,000, for total new contract value of \$13,000,000. This increase is requested to support proposed supplementary orders required to meet necessary environmental compliances. One extension of five years remains.

VIDEO PROGRAMMING AND MAINTENANCE

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/20	Expenditures FY19	Expenditure FY18	Purpose
12	Apogee Telecom	UC-15-LP090612	\$500,000; [Contract Value Previously \$980,000; Total New Contract Value \$1,480,000]	07/01/15-06/30/23	Multiple Sources	Gregory Daniels, Interim AVP for University Business Services & Chief Procurement Officer	\$671,834	\$173,064	\$165,106	WebFocus software and professional services for multiple University departments for data storage, reporting, extraction, and analysis from production applications. Amend to increase contract value \$500,000, for total new contract value of \$1,480,000. Contract term remains the same. Zero extensions remain.

REVENUE - AMENDMENT FOR APPROVAL

ORGANIZATIONAL AND STAFF DEVELOPMENT SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Revenue Recipient	Program Director	Revenues FY20	Revenues FY19	Revenues FY18	Purpose
13	Connecticut Department of Transportation	DOT000930213PL	\$9,931,188; [Contract Value Previously \$7,662,323 Total New Contract Value \$17,593,511]	07/01/16-06/30/26	CT Transportation	Eric Jackson, Director & Associate Research Professor	\$1,588,112	\$1,504,392	\$1,521,161	This revenue generating Memorandum of Agreement (MOA) is a partnership between the Connecticut Department of Transportation (CTDOT) and UConn Transportation Institute - AIMS whereby UConn shall continue to provide added value to innovate and improve safety efforts across CT and throughout the region. The focus is to address and reduce risk-taking behaviors that increase one's risk of being in a crash. Amend agreement to increase contract value \$9,931,188 for a total new contract value of \$17,593,511. Amendments to this MOU may be exercised by written agreement of all parties.

**CONTRACT AGREEMENTS
FOR APPROVAL
APRIL 28, 2021**

LEASES FOR APPROVAL *

**Not all provisions of all Leases appearing below have been 100% completed, but the Administration is seeking approval to proceed to execution based on the material terms described below.*

UNIVERSITY AS LANDLORD

No.	Tenant	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Arrow Prescription Center #15, Inc., dba Arrow Pharmacy	\$0	12/01/14-04/30/21	N/A	Lori Acomb, Director Procurement & Supply Chain Operations	Amendment to memorialize the early termination of Arrow Pharmacy's lease at the Outpatient Pavilion. The 25-year lease of approximately 2,500 square feet at \$48.00 psf, including CAM, was originally approved by the Board in June 2013.
2	BroadcastMed, Inc.	\$118,433	02/01/22-01/31/24	Revenue Generating	Lori Acomb, Director Procurement & Supply Chain Operations	BroadcastMed is renewing its lease for an additional two years to rent 4,834 rentable square feet on the second floor of 195 Farmington Avenue, Farmington.


UNIVERSITY AS TENANT

No.	Landlord	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Connecticut Center for Advanced Technology, Inc. (Tenant under prime lease)	\$18,470 <i>(with annual escalation)</i>	05/01/21-08/31/25	Operating Fund - General	John Elliott - Dean, School of Business	Sublease amendment for 640 square feet (formerly approximately 2900 square feet) consisting of Suites 115 and 122 at 222 Pitkin Street in East Hartford, CT for use by the Connecticut Small Business Development Center, part of the UConn School of Business. The annual rent increase is \$0.30 per square foot, pursuant to the prime lease. This is a relocation to other space in the building, resulting in a substantial square footage reduction, in exchange for a two-year, eight-month term increase. All other terms and conditions of the sublease remain unchanged.
2	Asylum Hill Family Medicine Center, Inc.	\$120,334	07/01/21-12/31/21	Operating Funds	Wendy Wigglesworth, Acad Adm Mngr Family Medicine	UConn Health (Subtenant) will pay Asylum Hill Family Medicine Center, Inc. (Sublandlord) rent for 5,577 sf of office space used by UConn Health employees in the Department of Family Medicine who are based at the Family Medicine Center at Asylum Hill (99 Woodland Street, Hartford, CT) and for 12 parking spaces on same premises. This is a six month extension of current agreement.
3	Charles Orefice	\$25,206	04/17/21-04/30/22	Operating Funds	Donna McKenty, Senior Director Dean's Office Medical	Residential lease of 1266 sf located at 190 South Thames Street, Unit #20, Norwich, CT. The property will house up to two 3rd year medical students at a time while they are completing their 6-week clinical rotations at Backus Hospital.
4	Northwest Connecticut Physicians LLC/Dennis J. Gottfried, MD/Torrington Professional LLC	\$22,500	5 years (3 year initial term with two, 1-year options)	Operating Funds	Anne Horbatuck, VP, UMG-Administration	5 year lease for 2,000 sf located at 895 East Main Street, Torrington, CT. The initial lease term is 3 years with two 1-year renewal options.
5	Twenty Two GC 2012, LLC c/o Andreo Family Enterprises	\$287,500	25 years (15 year initial term with two, 5-year renewal options)	Operating Funds	Anne Horbatuck, VP, UMG-Administration	25 year lease for 11,500 sf located at 828-836 Hopmeadow Road Simsbury, CT. The initial lease term is 15 years with two 5-year renewal options.

ATTACHMENT 2

April 28, 2021

TO: Members of the Board of Trustees

FROM: Thomas Katsouleas, 

RE: Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2020-2021

RECOMMENDATION:

That the Board of Trustees accept the recommendation of the Distinguished Professor Review Committee and designate the following faculty members as University of Connecticut Board of Trustees Distinguished Professors: Ming-Hui Chen (Department of Statistics), Marja M. Hurley (School of Medicine), Leslie M. Loew (Department of Cell Biology), Radenka Maric (Department of Chemical and Biomolecular Engineering), and Richard A. Wilson (School of Law).

BACKGROUND:

On November 10, 1998, the Board of Trustees voted to establish the title of Board of Trustees Distinguished Professor. This designation is the University's highest academic honor.

Pursuant to the *By-Laws of the University of Connecticut*, the Board of Trustees Distinguished Professor award is reserved exclusively to recognize faculty who have achieved exceptional distinction in scholarship, teaching, and service while at the University of Connecticut. Faculty chosen must have distinguished themselves in all three of these categories. The designation process occurs annually, as a result of a peer review process.

After careful deliberations, the Review Committee recommended the three individuals named above. I am recommending that the Board of Trustees designate these faculty as its Distinguished Professors.

Ming-Hui Chen

Dr. Chen is Professor and Head of Statistics at the University of Connecticut, Storrs. His previous awards include International Chinese Statistical Association (ICSA) Outstanding Service Award in 2011, the University of Connecticut AAUP Research Excellence Award in 2013, the UConn College of Liberal Arts and Sciences (CLAS) Excellence in Research Award in the Physical Sciences Division in 2013, the University of Connecticut Alumni Association's University Award for Faculty Excellence in Research and Creativity (Sciences) in 2014, and ICSA Distinguished Achievement Award in 2020. He was elected to an Elected Member of the International Statistical Institute in 1999, Fellow of the American Statistical Association (ASA) in 2005, Fellow of the Institute of Mathematical Statistics in 2007, and Fellow of the International Society for Bayesian Analysis (ISBA) in 2016.

Professor Chen is a world-renowned expert in Bayesian statistics, cancer research, design of clinical trials, meta-analysis, missing data, and Monte Carlo methods. Dr. Chen has published over 415 peer-reviewed articles and delivered more than 209 colloquia or invited talks nationally and internationally. He has also published five books including two advanced graduate-level books on Bayesian survival analysis and Monte Carlo methods. The statistical methods and computational algorithms developed by him and his collaborators have been implemented in statistical software SAS and R and also cited in the FDA guidance. His research in prostate cancer with Dr. Anthony V. D'Amico of the Harvard Medical School has changed clinical practice in the United States and abroad with regard to the management of prostate cancer and complications from its treatment. His work is supported by grants from the NIH, the NSF, and several industrial companies.

At UConn, Dr. Chen was a member of the Research Advisory Council (2013-2014), a University senate (2018-2021), and the Director of Statistical Consulting Services (SCS) for over 10 years (2006 – spring 2019). Dr. Chen has supervised/been supervising thirty-seven Ph.D. students and trained over 100 graduate students on statistical consulting and collaborative research. Dr. Chen initiated and organized the annual UConn Statistics Biopharmaceutical summer academy started in 2018. Now, this summer academy has been integrated into the MS program in Biostatistics as a required 1-credit course BIST 5092 Biostatistics Practicum. His service to his profession has included Executive Director of ICSA (2017-2010), President of ICSA (2013), Chair of Eastern Asia Chapter of ISBA (2018-2019), President of New England Statistical Society (2018-2020), Representative from Districts 1-3, ASA Caucus of Academic Representatives (2018-2021), and the 2022 JSM Program Chair. Dr. Chen is a member of grant review panels for federal agencies and an organizer of several international conferences. Currently, he is Co Editor-in-Chief of *Statistics and Its Interface*, inaugurated Co Editor-in-Chief of *New England Journal of Statistics in Data Science*, and an Associate Editor for several other statistical journals.

Marja M. Hurley

Dr. Hurley is a tenured Professor of Medicine and Orthopedics at UConn Health School of Medicine. Her previous awards include the National Institutes of Health National Research Service Award (1985), First University of Connecticut Martin Luther King Award for Achievement in Science (1990), First New England Board of Higher Education Award for Innovation in Education (2003), Visiting Professor Edwin T. Harper Scholars Program, Indiana University, School of Medicine (2009), West Indian Foundation Award (2009), Connecticut Technology Council Women of Innovation and Leadership Award (2010), Ray and Carol Neag Award (2011), UConn Health White Coat Gala Honoree (2011), UConn Health Board of Directors Faculty Recognition Award (2011), Lawrence G. Raisz, Esteemed Award American Society of Bone and Mineral Research (2016), and Alumni Distinguished Faculty Award, UConn School of Medicine (2018). She was a Visiting Fellow at the Cardiovascular Research Institute, Weill Cornell Medical College, New York (2019). She is a Fellow of the American Society for Bone and Mineral Research, The Association of Osteobiology, and a member of the Connecticut Academy of Science and Engineering. She is also a Trustee of the Connecticut Science Center.

Professor Hurley is widely recognized as a leading authority in the world on fibroblast growth factors (FGFs) in osteobiology. These growth factors are of fundamental scientific interest and are important in development and maintenance of organ systems including normal bone homeostasis as well as disorders of bone and cartilage. Her work has revealed new information about how FGF2 protects against development of osteoporosis, and osteoarthritis using Fgf2 conditional knockout and transgenic murine models developed in her laboratory. She has advanced the study of these molecules world-wide. She has published over one hundred scientific papers and reviews, delivered countless contributed and invited presentations before professional groups around the world, and has published numerous conference abstracts and proceedings. Her work is currently supported by grants from the NIH.

Professor Hurley is also an accomplished teacher of medical, dental, graduate students and postdoctoral fellows pursuing biomedical research. She has supervised and mentored more than forty students in biomedical research. She has served as thesis or associate thesis advisor for seven PhD, two MD, PhD., and four M.Sc. students. Professor Hurley's trainees have been the recipient of many academic awards including the highly coveted and prestigious American Society Bone Mineral Research Young Investigator Award. She has coauthored a number publications with her trainees in major international bone and cartilage research journals.

Professor Hurley has a long history of dedicated service at the college and university level, including two years as Interim Senior Associate Dean for Academic Affairs and Education for reaccreditation of the School of Medicine, thirty-four years as Associate Dean and Founding Director of the Aetna Health Professions Partnership Initiative at UConn/UConn Health, where she focused on developing STEM programs for middle, high school and college students to diversify the health professions for which she garnered more than \$14 million dollars in extramural grants. Her service to her profession has included terms as Chair International Gordon Research Conference (2018), Councilor, American Society for Bone and Mineral Research (2019-2022), as a member of grant review panels for federal agencies, and as an organizer, session chair and discussion leader at several national and international conferences.

Leslie M. Loew

Leslie M. Loew is Professor of Cell Biology at the UCONN School of Medicine. He holds a B.S. from City College of NY, a Ph.D. from Cornell and did post-doctoral research at Harvard, all in Chemistry. He joined UConn in 1984 after spending 10 years on the Chemistry faculty at SUNY Binghamton. He was appointed Full Professor in 1986 and awarded the Boehringer Ingelheim Chair in Cell Science in 2007. While at SUNY, Dr. Loew pioneered the design and synthesis of fluorescent voltage sensitive dyes (VSDs). These dyes permit subcellular spatial resolution and millisecond time resolution, vastly improving over traditional electrode-based electrophysiology by allowing electrical activity to be imaged with high speed cameras. Realizing this work could be best explored at a medical school he moved to UConn where his lab continued to synthesize improved VSDs and has applied them to imaging electrical activity in nervous and cardiac systems. He supplies VSDs to hundreds of laboratories throughout the world. He has also developed several high-resolution microscope imaging technologies that have been broadly applicable in other realms of cell and tissue physiology. Loew is probably best known for his work in computational modeling of cell biophysics. He was motivated to pursue this area in order to begin to discern the biophysical mechanisms that underlie the wonders revealed by the microscopy experiments. With his team, he developed the Virtual Cell computational system for modeling and simulating complex biological processes. Since 1998, he has been Principal Investigator of an NIH Biomedical Technology Resource Center, which supports the Virtual Cell project. Virtual Cell has over 25,000 registered users worldwide. Loew has published 1 book, 237 research papers and holds 9 patents. He has given invited talks at over 150 universities and scientific conferences. Between his projects on fluorescent sensors, imaging technologies, cellular biophysics and computational modeling, Loew has been awarded research grants amounting to almost \$70M during his 36 year career at UConn. Recently, with 2 colleagues, he founded a company, Potentiometric Probes, LLC, to help disseminate the VSD technologies.

Loew established the Richard D. Berlin Center for Cell Analysis and Modeling (CCAM) in 1994 to focus research on optical, photonic, image processing and computational technologies for the investigation of the behavior of living cells. He was the Director of CCAM from 1993 to 2020 and, with its 15 faculty scientists, built it to be a world-renowned center for multi-disciplinary cell biophysics research. In service to the UConn and regional scientific community, CCAM established a Microscopy User Facility to offer state-of-the-art microscope imaging instrumentation and expertise. Also, CCAM assembled computational hardware and software to establish the CCAM High Performance Computing Center, which is now the largest research computing resource in the University. In education, Loew spearheaded a new Systems Biology Graduate Area of Concentration to provide unique multidisciplinary training to Biomedical Science PhD students. He teaches at the graduate level in several courses and also has a long history of teaching in the Medical School basic science curriculum. Dr. Loew has been thesis advisor for 21 Ph.D. students and mentor to 33 post-docs. Additionally, he regularly mentors undergraduate interns in his lab. He organized many international courses and workshops in computational cell biology both in Farmington and at Cold Spring Harbor Laboratory. Loew has chaired and served on numerous NIH and NSF grant review panels and on editorial boards of several scientific journals. In 2012, he was selected by the Biophysical Society to serve a 5 year term as Editor in Chief of Biophysical Journal. For this service and for his many other volunteer activities, he received the Distinguished Service Award of the Biophysical Society in 2018.

Radenka Maric

Professor Radenka Maric is Chair Professor in Sustainable Energy in the Departments of Chemical and Biomolecular Engineering and Materials Science and Engineering. She is Vice President for Research, Innovation and Entrepreneurship (VPRIE) at UConn and UConn Health (2017-) and formerly served as the Executive Director of the UConn Technology Park and Innovation Partnership Building (2015-2017). Professor Maric holds the rank of Fellow of the American Academy of Arts and Sciences (2019), the National Academy of Inventors (2019), and the International Association of Advanced Materials (2020), and is an elected member of the Connecticut Academy of Science and Engineering. Her many recognitions include receiving a Fulbright Chair Professor appointment at the Politecnico di Milano, Italy (2016-2017), a fellowship from the Japan Organization for the Promotion of Science (2012), the Leadership Award from the National Research Council of Canada (2009), and the Hartford Business Journal's Women in Business Award (2020). Governor Lamont appointed her to serve on the Board of Directors of Connecticut Innovations in 2020.

Professor Maric is a world leader in technologies for clean energy applications and sustainability. She has significantly advanced understanding of materials and catalysts and has developed innovative manufacturing processes involved in fuel cell technologies, storage materials, and electrochemical sensors for health applications, leading to higher-performance, commercially viable clean energy systems. Her scholarly work has resulted in more than 300 articles in refereed journals and conference proceedings, 21 book chapters and invited review articles in major journals, one book published, and two books under preparation. She also has six issued patents and eleven published patent disclosures. She serves on numerous review panels for the Department of Energy, the European Commission, and Horizon 2020 and serves as a board member of the International Academy of Electrochemical Energy Science.

Professor Maric is a dedicated teacher and mentor of undergraduates, graduate students, and faculty in the areas of batteries, fuel cells, sustainability, and innovation and entrepreneurship. She initiated many programs supporting scholarship and experiential learning, including the student-led podcast called [In Vivo](#) and [World Poetry Books](#), the only publisher in the United States dedicated solely to publishing books of international poetry in English translation, and promoted placement of students with startup companies in UConn's Technology Incubation Program and in UConn Health laboratories through the Partnership in Innovation and Education (PIE). She also promoted interdisciplinary collaborations and the growth of multidisciplinary research by working with Deans in the Schools of Business, Engineering, and Fine Arts to support research faculty in the Human Rights Institute and other programs.

As VPRIE, she has focused on making research opportunities available to students and faculty across the University by launching new funding programs, including the Innovation Grant in Science, Technology, Engineering, Arts, and Math (STEAM), the Scholarship and Collaboration in Humanities and Arts Research Program (SCHARP) Awards, and, the Summer Undergraduate Research Fund (SURF) Awards, among others. She also led UConn's task force on mental health of graduate students and has shown leadership in philanthropy by establishing an endowed scholarship for the most vulnerable graduate students with the UConn Foundation.

Richard Wilson

Richard Ashby Wilson is the Associate Dean for Faculty Development and Intellectual Life at the School of Law, Gladstein Distinguished Chair of Human Rights, Professor of Law and Anthropology. In 2003, he founded the interdisciplinary Human Rights Institute at UConn and served as Director for ten years, during which time the Institute hired ten faculty, held several international conference that led to edited volumes with Cambridge University Press, and launched an undergraduate human rights major and a graduate certificate in human rights.

Wilson is a scholar of human rights and transitional justice who teaches courses on law and society, post-conflict justice, and an interdisciplinary graduate level course on the anthropology, history, law and philosophy of human rights. He is the author or editor of 11 books on international human rights, humanitarianism, truth and reconciliation commissions and international criminal tribunals. He wrote the definitive ethnographic study of the South African Truth and Reconciliation Commission, *The Politics of Truth and Reconciliation in South Africa* (Cambridge University Press, 2001). His book *Writing History in International Criminal Trials* was selected by Choice in 2012 as an “Outstanding Academic Title” in the law category. His latest book, *Incitement On Trial: Prosecuting International Speech Crimes* (Cambridge University Press, 2017), explains why international criminal tribunals struggle to convict individuals for inciting speech and proposes a new model of regulating hate speech and incitement to genocide. His work has been translated into Chinese, Danish, Italian, Portuguese, Serbian, Spanish, and Turkish.

Having received his BSc. and Ph.D. from the London School of Economics and Political Science, Professor Wilson held full-time faculty positions at the Universities of Essex and Sussex in the UK, as well as visiting professorships at the Free University-Amsterdam, University of Oslo, the New School for Social Research, and the University of Witwatersrand, South Africa. He has held prestigious fellowships from the Russell Sage Foundation, the National Endowment for the Humanities and Institute for Advanced Study, Princeton. He is committed to bringing scholarly findings into policy discussions and has consulted for various international agencies, including Conciliation Resources in the UK and UNICEF in Sierra Leone. He served as Chair of the Connecticut State Advisory Committee of the U.S. Commission on Civil Rights from 2009 to 2013, during which time the Committee focused attention on the achievement gap in Connecticut’s high schools and racial profiling in police traffic stops.

ATTACHMENT 3

UNIVERSITY OF CONNECTICUT - College of Agriculture, Health and Natural Resources
PROMOTION AND TENURE RECOMMENDATIONS
PRESENTED TO THE BOARD OF TRUSTEES - April 28, 2021

NAME

DEPARTMENT

PROMOTION TO PROFESSOR

DiStefano, Lindsay

Kinesiology

Leahey, Tricia

Allied Health Sciences

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Luo, Yangchao

Nutritional Sciences

PROMOTION TO EXTENSION EDUCATOR (Non-Tenure Track)

Brown, Laura

Extension

Chadwick, Cary

Extension

PROMOTION TO ASSOCIATE EXTENSION EDUCATOR (Non-Tenure Track)

Concepcion, Anoushka

Extension

Cushman, Jennifer

Extension

PROMOTION TO RESEARCH PROFESSOR (Non-Tenure Track)

Lai, Laijun

Allied Health Sciences

UNIVERSITY OF CONNECTICUT - School of Business
PROMOTION AND TENURE RECOMMENDATIONS
PRESENTED TO THE BOARD OF TRUSTEES - April 28, 2021

NAME

DEPARTMENT

PROMOTION TO PROFESSOR

Souder, David

Management

Weber, David

Accounting

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Lerman, Alina

Accounting

Martinez, Jose

Finance

Utke, Steven

Accounting

PROMOTION TO ASSOCIATE PROFESSOR IN-RESIDENCE (Non-Tenure Track)

Kopeliovich, Yaacov

Finance

UNIVERSITY OF CONNECTICUT - Neag School of Education
PROMOTION AND TENURE RECOMMENDATIONS
PRESENTED TO THE BOARD OF TRUSTEES - April 28, 2021

NAME

DEPARTMENT

PROMOTION TO PROFESSOR

Grenier, Robin

Educational Leadership

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Castillo-Montoya, Milagros

Educational Leadership

Nienhusser, H. Kenny

Educational Leadership

UNIVERSITY OF CONNECTICUT - School of Engineering
PROMOTION AND TENURE RECOMMENDATIONS
PRESENTED TO THE BOARD OF TRUSTEES - April 28, 2021

NAME

DEPARTMENT

PROMOTION TO PROFESSOR

Kim, Jeongho	Civil & Environmental Engineering
Silva, Helena	Electrical & Computer Engineering
Wu, Yufeng	Computer Science & Engineering

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Burke, Kelly A.	Chemical & Biomolecular Engineering
Feng, Bin	Biomedical Engineering
Nabavi, Sheida	Computer Science & Engineering
Zhao, Xinyu	Mechanical Engineering

TENURE AS ASSOCIATE PROFESSOR

Nukavarapu, Syam	Biomedical Engineering
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PROMOTION TO ASSOCIATE PROFESSOR IN-RESIDENCE (Non-Tenure Track)

Giblin, David	Mechanical Engineering
Kaputa, David	Biomedical Engineering
Lee, Jason	Mechanical Engineering

UNIVERSITY OF CONNECTICUT - School of Fine Arts
PROMOTION AND TENURE RECOMMENDATIONS
PRESENTED TO THE BOARD OF TRUSTEES - April 28, 2021

NAME

DEPARTMENT

PROMOTION TO PROFESSOR

Boylan, Alexis

Art & Art History

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Bullard, Christina

Dramatic Arts

Kim, Heejoo Gwen

Digital Media & Design

UNIVERSITY OF CONNECTICUT - College of Liberal Arts and Sciences
PROMOTION AND TENURE RECOMMENDATIONS
PRESENTED TO THE BOARD OF TRUSTEES - April 28, 2021

NAME

DEPARTMENT

PROMOTION TO PROFESSOR

Alder, Nathan	Molecular and Cell Biology
Atkinson-Palombo, Carol	Geography
Ben-Ari, Iddo	Mathematics
Kane, Brendan	History
McLeod, Gustavus	Philosophy
Mellone, Barbara	Molecular and Cell Biology
Myers, Emily	Speech, Language, & Hearing Sciences
Nyholm, Spencer	Molecular and Cell Biology
Pressman, Jeremy	Political Science
Rogers , Luke	Mathematics
Schweitzer, Peter	Physics
Tirrell, Lynne	Philosophy
Vlahos, Epanante (Penny)	Marine Sciences

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Bagchi, Robert	Ecology & Evolutionary Biology
Celli, Andrea	Literatures, Cultures, & Languages
Lambe, Ariel	History
Munsch, Christin	Sociology
Rouge, Jessica	Chemistry
Trump, Jonathan	Physics
Wang, HaiYing	Statistics
Watson, Ryan	Human Development & Family Sciences
Wegrzyn, Jill	Ecology & Evolutionary Biology
Yee, Eiling	Psychological Sciences

PROMOTION TO PROFESSOR IN-RESIDENCE (Non-Tenure Track)

Savkar, Amit	Mathematics
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PROMOTION TO ASSOCIATE PROFESSOR IN-RESIDENCE (Non-Tenure Track)

Bunyan, Laura	Sociology
Davis, Miranda	Ecology & Evolutionary Biology
Dennigan, Darcie	English
Rizzie, Anthony	Mathematics
Valente, Diego	Physics

UNIVERSITY OF CONNECTICUT - School of Nursing
PROMOTION AND TENURE RECOMMENDATIONS
PRESENTED TO THE BOARD OF TRUSTEES - April 28, 2021

NAME

PROMOTION TO PROFESSOR

Kinsey, Steven

Shook, Natalie

PROMOTION TO CLINICAL PROFESSOR (Non-Tenure Track)

Jakubisin Konicki, Annette

Maruca, Annette

PROMOTION TO ASSOCIATE CLINICAL PROFESSOR (Non-Tenure Track)

Whalen, Marybeth

UNIVERSITY OF CONNECTICUT - School of Pharmacy
PROMOTION AND TENURE RECOMMENDATIONS
PRESENTED TO THE BOARD OF TRUSTEES - April 28, 2021

NAME

DEPARTMENT

PROMOTION TO PROFESSOR

Aneskievich, Brian

Pharmaceutical Sciences

Hadden, M. Kyle

Pharmaceutical Sciences

Rickles, Nathaniel

Pharmacy Practice

PROMOTION TO CLINICAL PROFESSOR (Non-Tenure Track)

Giroto, Jennifer

Pharmacy Practice

Holle, Lisa

Pharmacy Practice

UNIVERSITY OF CONNECTICUT - School of Social Work
PROMOTION AND TENURE RECOMMENDATIONS
PRESENTED TO THE BOARD OF TRUSTEES - April 28, 2021

NAME

PROMOTION TO PROFESSOR

Thomas, Rebecca

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Elsaesser, Caitlin

ATTACHMENT 4

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
April 28, 2021 Board of Trustees Meeting

SABBATICAL MODIFICATIONS/POSTPONEMENTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Atkinson-Palombo, Carol	Associate Professor	Geography	Liberal Arts and Sciences Change to	Half Half	AY 2021-2022 AY 2022-2023
Baldwin, Peter C	Professor	History	Liberal Arts and Sciences Change to	Half Half	AY 2021-2022 AY 2022-2023
Baumann, Hannes	Associate Professor	Marine Sciences	Liberal Arts and Sciences Change to	Half Half	AY 2021-2022 AY 2022-2023
Campbell, David	Department Head & Professor	Curriculum and Instruction	Education Change to	Full Full	Spring 2021 Spring 2025
Hughey, Matthew	Professor	Sociology	Liberal Arts and Sciences Change to	Full Full	Fall 2021 Fall 2022
Watson, Janet	Associate Professor	History	Liberal Arts and Sciences Change to	Full Full	Spring 2020 Spring 2022

SABBATICAL LEAVE REQUESTS


<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Astitha, Marina	Associate Department Head & Associate Professor	Civil and Environmental Engineering	Engineering	Full	Spring 2022
Eisdorfer, Assaf	Professor	Finance	Business	Full	Fall 2021
Kalichman, Seth C	Professor	Psychological Sciences	Liberal Arts and Sciences	Full	Spring 2022
Kneidel, Gregory A	Professor	English	Liberal Arts and Sciences	Full	Spring 2022

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Mellor, Steven	Associate Professor	Psychological Sciences	Liberal Arts and Sciences	Full	Spring 2022
Pritchard, Janet L	Professor	Art and Art History	Fine Arts	Full	Spring 2022
Vlahos, Epapante P	Associate Professor	Marine Sciences	Liberal Arts and Sciences	Full	Spring 2022

ATTACHMENT 5

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Appointment of Professor David Weber, PhD to the Deloitte Foundation
Professorship of Accounting in the School of Business

RECOMMENDATION:

That the Board of Trustees appoint Professor David Weber, PhD to the Deloitte Foundation Professorship of Accounting in the School of Business for the period August 23, 2021 through August 22, 2026.

BACKGROUND:

The Deloitte Foundation Professorship of Accounting is a newly established professorship established to recognize a researcher, scholar, and teacher who has made significant contributions to the field of accounting.

The decision to recommend this appointment was made following the School of Business policy; Dean Elliott has made his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.


Professor Weber (Ph.D. University of Colorado) joined the UConn faculty in 2005, was granted tenure in 2013, and will be promoted to Professor effective August 23, 2021. His academic interests center on financial reporting and taxation, and he has been honored with awards for both his research and his teaching. His research has been published in leading journals such as *Journal of Accounting Research*, *The Accounting Review*, *Contemporary Accounting Research*, *Review of Accounting Studies*, and others. He has served on the Editorial Board of the *Journal of the American Taxation Association* and as a Trustee of the American Taxation Association. He is a member of the American Accounting Association, the American Taxation Association, the National Tax Association, and the American Institute of Certified Public Accountants. Professor Weber is also a CPA and worked in public accounting prior to his academic career.

In addition to his research, Professor Weber is an outstanding teacher and has received the Undergraduate Accounting Teaching Award, 2014–2015, 2007–2008, and was nominated for Educator of the Year (campus-wide teaching award sponsored by the Undergraduate Student Government), 2006–2007. He is actively engaged in the training and research of PhD students and has served on seven doctoral dissertation committees, chairing two. Professor Weber currently holds the Arthur Andersen, LLP Accounting Professorship in the School of Business.

ATTACHMENT 6

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Robert Bird, PhD to the Eversource Chair in Business Ethics in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Robert Bird, PhD to the Eversource Energy Chair in Business Ethics in the School of Business for August 23, 2021 through August 22, 2024.

BACKGROUND:

Established in 2000, this Chair is supported by an endowment from the Northeast Utilities Corporation to recognize a researcher, and teacher having made significant contributions to business ethics. The Chair will provide intellectual leadership for existing courses, offer specific dedicated classes, conduct and oversee faculty research, as well as publish in business ethic.

The decision to reappoint was made following the school of business policy; Dean Elliott is making his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.


Professor Bird (J.D. Boston University) is a tenured Professor who is nationally recognized within his profession as a leader in the field and a very accomplished scholar with 10 publications since 2018 (66 total to date). Professor Bird's research examines business ethics on a global scale including; the impact of compulsory licensing laws on the availability of pharmaceutical drugs in developing countries; the obligation and opportunity in global human rights; and the procedural and substantive justice issues underlying fair and unfair termination of employees in the workplace arena.

Professor Bird currently serves as the President of the Academy of Legal Studies in Business. While holding this chair he has hosted the *Summit on the Academic Profession of Business Law* (2019) and developed the '*Equity Now*' speaker series (2020) focused on underrepresented groups, and how law and policy can facilitate equality, fairness, and inclusion in organizations. In addition he has used his chair to support undergraduate students in summer internships in values-driven organizations, and attend the Conference of the Parties (COP25) to the UN Framework Convention on Climate Change. Professor Bird continues to teach Business, Law, and Ethics at the undergraduate and graduate levels receiving high student evaluations of teaching.

ATTACHMENT 7

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Chinmoy Ghosh, PhD to the Gladstein Professor of Business and Innovation

RECOMMENDATION:

That the Board of Trustees reappoint Professor Chinmoy Ghosh, PhD to the Gladstein Professor of Business and Innovation in the School of Business for August 23, 2021 through August 22, 2024.

BACKGROUND:

On November 9, 2010, the Board of Trustees appointed Professor Chinmoy Ghosh to the Gladstein Professor of Business and Innovation. The Gladstein Professor of Business and Innovation was established to endow a Professorship to be awarded to a nationally or int'l recognized researcher, scholar and teacher who will provide leadership in building the School's reputation focused on innovative business education and research in the areas of Finance and Accounting.

The decision to reappoint was made following the school of business policy; Dean Elliott is making his recommendation based on consultation with the associate deans and department heads in the School of Business.


Chinmoy Ghosh (Ph.D., Pennsylvania State University) is a tenured Professor of Finance in the School of Business and has served on the faculty at the University of Connecticut since 1986. His research focuses on the impact of corporate governance on firm value, effect of change in disclosure regulatory on firm performance, and the effect of financial innovation on IPO valuation and performance. He has an international reputation and is widely regarded as an interdisciplinary scholar in Finance and Real Estate. He works closely with doctoral students and has distinguished service to the Department, School, and University.

Since 2015 he has published (or had accepted) 13 articles, including one in a premier Finance journal (*Journal of Finance and Qualitative Analysis*), six in the Real Estate premier journal (*Journal of Real Estate and Finance Economics*). In 2018, he was awarded the Best Paper awards at the India Finance Conference (IFC), the most prestigious finance conference in India and in 2020, he received the Lifetime Scholarship Achievement Award, from the International Real Estate Research Consortium.

ATTACHMENT 8

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Nicholas H. Lurie, PhD to the Voya Financial Professor in the School of Business.

RECOMMENDATION:

That the Board of Trustees reappoint Nicholas H. Lurie, PhD to the Voya Financial Professor in the School of Business for August 23, 2021 through August 22, 2024.

BACKGROUND:

The Voya Financial Professorship was established to recognize a researcher, scholar, and teacher who will have made significant contributions to the field of Marketing or other similar related field of study.

The decision to reappoint was made following the school of business policy; Dean Elliott has made his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.


Nicholas H. Lurie (Ph.D. University of California, Berkeley) is a tenured Professor of Marketing recognized for his work on consumer information search in information-rich environments. His research has been supported by grants from Google, the WPP group, Marketing Science Institute, and the Wharton Interactive Media Initiative. His work has appeared in *Journal of Consumer Research*, *Journal of Marketing*, *Journal of Marketing Research*, and *Organizational Behavior and Human Decision Processes*.

Over the last three years, Professor Laurie has published two articles in premier journals and two in high-quality journals. His research continues to have a strong impact, as shown by Google Scholar citations and SSRN downloads. Within his department, Prof. Laurie played a leadership role working with instructors to determine learning outcomes and metrics for the marketing major, establishing grade distribution guidelines, hosting a webinar for marketing faculty to discuss online teaching, and developing SET+ metrics and an evaluation rubric for PTR. He currently serves as the marketing department undergraduate program director and honors advisor and is advising several doctoral students.

ATTACHMENT 9

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Michael Willenborg, PhD to the Richard F. Kochanek Professorship in Accounting

RECOMMENDATION:

That the Board of Trustees reappoint Professor Michael Willenborg, PhD to the Richard F. Kochanek Professorship in Accounting in the School of Business for August 23, 2021 through August 22, 2024.

BACKGROUND:

The Richard F. Kochanek Professorship in Accounting was established to support a faculty member who demonstrates outstanding contributions within the Department in one or more areas of teaching, research, and service.

The decision to reappoint was made following the school of business policy; Dean Elliott is making his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.


Michael Willenborg (Ph.D., Pennsylvania State University) is a tenured Professor of Accounting in the School of Business. He has served on the faculty at the University of Connecticut since 1996. His research focuses on empirical, economics-based research in auditing and financial accounting. Professor Willenborg was chosen as the Kochanek Professor because of his strong research record, interest in and history of working with doctoral students, and his distinguished service to the Department, School, University, and Profession. He is a highly regarded faculty member in our MBA program, as evidenced by his many teaching awards.

Professor Willenborg currently serves as the Editor of the prestigious journal *The Accounting Review* and on the Editorial Board of the *Journal of Accounting Research*, a recognition of his outstanding research record and many contributions to the field. Over the past three years, he has been invited to give six research presentations at peer and aspirant schools (e.g., Arizona State, Emory, University of Illinois). He continues to play a crucial role in the Accounting Department and the School of Business, where he serves on the Teaching and Research Excellence Committee (TAR).

ATTACHMENT 10

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Joseph Golec, PhD to the Finance Department
Distinguished Scholar in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Joseph Golec, PhD to the Finance Department Distinguished Scholar in the School of Business for August 23, 2021 through August 22, 2024.

BACKGROUND:

The Finance Department Distinguished Scholar is awarded to a department faculty member for distinguished research record, strong teaching and exemplary leadership and service to the School, the University and/or the Academic Community.

The decision to reappoint was made following the school of business policy; Dean Elliott has made his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.


Joseph Golec (Ph.D. Washington University, St. Louis) is a tenured Professor of Finance recognized for his work in investments, financial institutions, mutual funds, healthcare, real estate, and regulation. In addition to publishing in top journals, his work is relevant. For example, he has modeled how to use options prices to estimate the stock market's expectation of the probability that an event would occur and applied it to estimate the probability that Obamacare would pass Congress. This work appears in the *Journal of Financial Economics*, one of the top three premier journals in finance.

Over the last five years, Professor Golec has published five finance papers and two healthcare finance/economics papers. Four of these papers are designated premier (A) on the school's journal list, one is premier list equivalent, one is an A-, and one is not on the list. In addition, he has had 757 Google citations during the last five years. Professor Golec continues to work extensively with the department's PhD students serving as the PhD program coordinator where in addition to a finance theory research seminar; he organized a seminar that brings outside scholars to campus. He teaches in the part-time MBA program, where his teaching evaluations are consistently excellent. At the school level, he served on the Promotion and Tenure committee (2018-2019), the Teaching and Research Excellence Committee (2016-2020), the Master's Program Committee (2016-2019), and Chaired the search for the Toscano Chair (2016-2019).

ATTACHMENT 11

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Appointment of Associate Professor Guojin Gong, PhD as the Deloitte
Foundation Faculty Fellow in the School of Business

RECOMMENDATION:

That the Board of Trustees appoints Associate Professor Guojin Gong, PhD as the Deloitte Foundation Faculty Fellow in the School of Business for August 23, 2021 through August 22, 2026.

BACKGROUND:

Deloitte Foundation Professorship of Accounting was established to provide financial support (a "Fellowship") for a new or existing faculty member of the Accounting Dept.


The decision to appoint was made following the school of business policy; Dean Elliott had made his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.

Dr. Guojin Gong (PhD University of Iowa) is an Associate Professor of Accounting in the School of Business. She joined UConn in 2020 after spending fifteen years at the Smeal College of Business at Pennsylvania State University, where she has taught undergraduate, master, and doctoral level courses in financial accounting. Dr. Gong's research interests are in financial reporting and corporate governance, with a focus on executive compensation and disclosure choices. Her work has been published in leading academic journals such as *The Accounting Review*, *Journal of Accounting and Economics*, *Journal of Accounting Research*, *Contemporary Accounting Research*, *Journal of Finance*, and *Management Science*. She has won several awards for her research and is quoted in the press. She has served on the editorial board of *Contemporary Accounting Research* and as an associate editor for *China Accounting and Finance Review*. She served as the president of the Chinese Accounting Professors' Association of North America from 2018 to 2019.

ATTACHMENT 12

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Doctor of Philosophy (Ph.D.) Integrative Studies

RECOMMENDATION:

That the Board of Trustees approve a new Doctor of Philosophy (Ph.D.) in Integrative Studies.

BACKGROUND:

The University of Connecticut has many interdisciplinary centers and institutes. Some of these centers and institutes offer master's or doctoral degrees, e.g., the PhDs in Materials Science and in Systems Genomics or the MS in Clinical and Translational Science. A few interdisciplinary graduate programs are the result of collaborations among two or more departments, e.g., the MA and PhD in Medieval Studies or the MS in Energy and Environmental Management.

Occasionally, a PhD student may seek to pursue a novel course of study and research that integrates elements of two or more fields of study recognized by The Graduate School. The individualized PhD in Integrative Studies will allow highly motivated students whose research interests cannot be captured within an existing field of study to design an individualized PhD degree program that matches their interests.

Students who complete the individualized PhD in Integrative Studies will be conversant with major concepts and approaches in fields relevant to their research, they will have deep subject matter knowledge in at least two different fields of study, and the individualized program they design cannot be accommodated within any existing field of study at the University of Connecticut.

Request for New UConn Academic Degree Program

General Information

Name of degree program:	Doctor of Philosophy (Ph.D.) Integrative Studies
Name of sponsoring Department:	The Graduate School
Name of sponsoring College:	The Graduate School
Campuses:	Storrs
Contact persons:	Kathleen Segerson
Type of Proposal:	New
Type of Program:	Doctor of Philosophy
Anticipated Initiation Date:	Fall 2021
Anticipated Date of First Graduation:	
Program Payment Type:	Tuition based
CIP Code:	30.0000: Multi-/Interdisciplinary Studies, General.

Justification for the New Program

The University of Connecticut has many interdisciplinary centers and institutes. Some of these centers and institutes offer master's or doctoral degrees, e.g., the PhDs in Materials Science and in Systems Genomics or the MS in Clinical and Translational Science. A few interdisciplinary graduate programs are the result of collaborations among two or more departments, e.g., the MA and PhD in Medieval Studies or the MS in Energy and Environmental Management.

Occasionally, a PhD student may seek to pursue a novel course of study and research that integrates elements of two or more fields of study recognized by The Graduate School. The individualized PhD in Integrative Studies will allow highly motivated students whose research interests cannot be captured within an existing field of study to design an individualized PhD degree program that matches their interests.

Are there similar programs in CT or elsewhere?

There do not appear to be similar programs within Connecticut. Brown University also offers a PhD in Integrative Studies. Tufts University, the University of California Berkeley, Virginia Tech, and Marquette University offer interdisciplinary PhD programs. There may be other institutions with similar programs, but this degree program is designed primarily for students who have already been admitted to a UConn PhD program although applicants from outside the University will be considered if they meet the application requirement. We do not anticipate the program to be large, and we do not anticipate the existence of similar programs at other universities to affect demand for this program.

What are the desired learning outcomes of the program?

Students who complete the individualized PhD in Integrative Studies will be conversant with major concepts and approaches in fields relevant to their research, they will have deep subject

matter knowledge in at least two different fields of study, and the individualized program they design cannot be accommodated within any existing field of study at the University of Connecticut.

Program Description

Students enrolling in the individualized PhD in Integrative Studies will pursue individualized coursework that prepares them for research in a coherent, but integrated educational and research program that cannot be accommodated with any existing fields of study at the University of Connecticut. Admission to the individualized PhD in Integrative Studies is highly selective. In reviewing applications for admission, the Executive Committee of The Graduate School will expect to see an especially rigorous body of coursework and an especially innovative research idea before recommending admission. To be considered for admission to the individualized PhD in Integrative Studies, applicants must provide a detailed and comprehensive rationale for their program by submitting a proposal that includes:

- a) A list of graduate faculty members who have agreed to serve as advisors for the degree program, including at least two graduate faculty members from different fields of study who have agreed to serve as co-major advisors.
- b) A plan of study for the degree approved by all members of the advisory committee that includes a minimum of 21 credits of graduate-level coursework (for students holding a master's degree) or a minimum of 36 credits of graduate-level coursework (for students not holding a master's degree) and 15 credits of GRAD 6950. The coursework must be clearly related to the educational objectives of the degree program and must include at least 6 credits of graduate-level coursework from at least two different departments.
- c) Letters supporting the proposed degree program from the graduate faculty members identified as co-major advisors.
- d) A memorandum of understanding among the faculty and departments involved in the program outlining commitments for stipend/tuition support and for office/laboratory/studio space and materials for the duration of the degree program.
- e) A title for the proposed degree program.
- f) A description of the format and content of the general examination.
- g) A proposed timeline from admission through graduation.
- h) An outline of the proposed dissertation research including the broad questions to be investigated and the methods that will be used to investigate them.
- i) A description of the dissertation or other final product of the degree program, including plans to assure permanent archival access to final products that are not included in the PhD dissertation. Because of the rigor associated with the individualized PhD in Integrative Studies, students are required to maintain a minimum GPA of 3.5 to remain in good standing.

Proposed Graduate Catalogue Copy

The Graduate School offers Ph.D. students whose dissertation research integrates two or more distinct fields of study the opportunity to earn a Ph.D. in Integrative Studies. The program is intended for students who have already enrolled in a graduate degree program at the University of Connecticut, and it is aimed at students whose research interests cannot be accommodated within an existing field of study. The Ph.D. in Integrative Studies will allow students to acquire and demonstrate a large degree of disciplinary skills across fields that may not often intersect. Students considering a Ph.D. in Integrative Studies should be aware, however, that this degree may not allow them to qualify for employment in positions that require advanced degrees in a specific discipline.

Requirements for the Ph.D. in Integrative Studies

Specific course requirements for the individualized PhD in Integrative Studies are determined by the student's Advisory Committee. Students without a master's degree must complete a minimum of 36 credits of graduate-level coursework. Students with a master's degree must complete a minimum of 21 credits of graduate-level coursework. All students must complete at least 6 credits of graduate-level coursework from at least two different departments. In addition, all students must complete at least 15 credits of GRAD 6950 or GRAD 6960. Students are required to maintain a minimum GPA of 3.5 to remain in good standing. The individualized PhD in Integrative Studies does not have a related area or foreign language requirement, unless one is specified by the Advisory Committee.

Faculty Involvement

Individual faculty who agree to serve as co-major advisors or as associate advisors will determine coursework and other degree requirements (subject to approval by the Executive Committee of The Graduate School during the admissions process) in addition to evaluating the general exam, dissertation prospectus, and the final dissertation. Coursework associated with the degree will be part of regular departmental offerings in many different departments.

Enrollment and graduate projections

Enrollment in this program is likely to be quite small, no more than one or two students at any one time, and there may be periods when no students are enrolled.

Program Evaluation

Enrollment in this program is likely to be limited. As a result, formal evaluation will be limited. Students will be required to submit a brief annual report of progress to their advisory committee for review and approval during spring semester. That report and a brief evaluative report on the student's progress will be provided to the Dean of The Graduate at the end of each academic year. In addition, the Dean of The Graduate School will ask each student to provide a direct,

informal evaluation of their satisfaction with the program and recommendations for improvement at the end of the academic year.

Program Administration

Co-major advisors and the advisory committee will be responsible for day-to-day oversight of the student and for evaluating student progress. The application will identify an academic department responsible for providing office/laboratory/studio space and for administering graduate assistantship appointments (if available). The Graduate School will be responsible for overseeing the admission process and for annual monitoring of program effectiveness.

Funding and Financial Resources Needed

Graduate students will receive graduate assistantship support (if available) through an academic department. The application requires that faculty and departments involved in the program provide an MOU describing what funding, if any, will be available to them. No additional funding is needed for faculty.

Other Resource Needs

None

Consultation with other potentially affected units

This program is likely to be small and have little impact on other units.

Who can apply to this program?

Internal applicants (current UConn students enrolled in another UConn degree or certificate program)

Anticipated term and year of first enrollment

Annually on 10/1, beginning in 2021

Admission Requirements

Documented agreement of at least three current University of Connecticut faculty members to serve as an advisory committee, with at least two members of the graduate faculty in different fields of study agreeing to serve as co-major advisors. MOU among the faculty and departments involved in the program outlining commitments for stipend/tuition support and for office/laboratory/studio space and materials for the duration of the degree program.

Requirements for the application

a) A list of graduate faculty members who have agreed to serve as advisors for the degree program, including at least two graduate faculty members from different fields of study who have agreed to serve as co-major advisors.

- b) A plan of study for the degree approved by all members of the advisory committee that includes a minimum of 21 credits of graduate-level coursework (for students holding a master's degree) or a minimum of 36 credits of graduate-level coursework (for students not holding a master's degree) and 15 credits of GRAD 6950. The coursework must be clearly related to the educational objectives of the degree program and must include at least 6 credits of graduate-level coursework from at least two different departments.
- c) Letters supporting the proposed degree program from the graduate faculty members identified as co-major advisors.
- d) A memorandum of understanding among the faculty and departments involved in the program outlining commitments for stipend/tuition support and for office/laboratory/studio space and materials for the duration of the degree program.
- e) A title for the proposed degree program.
- f) A description of the format and content of the general examination.
- g) A proposed timeline from admission through graduation.
- h) An outline of the proposed dissertation research including the broad questions to be investigated and the methods that will be used to investigate them.
- i) A description of the dissertation or other final product of the degree program, including plans to assure permanent archival access to final products that are not included in the PhD dissertation.

Term(s) to which students will be admitted

- Fall
- Spring

Application deadline: Specific Date, 10/1/2021

Initiator

Kathleen Segerson, kathleen.segerson@uconn.edu, 860-486-4567

Program Director Name

Kent Holsinger, Dean/Associate Dean, kent.holsinger@uconn.edu, 860-486-0983

Administrative Contact

Kent Holsinger, kent.holsinger@uconn.edu

ATTACHMENT 13

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Master of Arts (MA) Human Rights

RECOMMENDATION:

That the Board of Trustees approve a new Master of Arts (MA) in Human Rights.

BACKGROUND:

UConn students have shown increasing interest in earning a master's degree in Human Rights as part of their trajectory following graduation. Exit surveys with graduating seniors indicate that there is interest among students in pursuing a high quality and affordable master's degree program to prepare them to either secure meaningful employment in human rights-oriented fields or pursue doctoral or law studies. The undergraduate co-major has grown rapidly since its creation in 2012 and now enrolls on average 125-135 students a year; the minor has another 50-60 students a year and many more students who take human rights courses express an interest in advanced training. Creating a master's degree that can be earned by UConn students in one year would help us to meet the educational and professional needs of our students and also allow us to continue to raise our profile as a preeminent educator in the human rights field.

The Master's Degree in Human Rights is designed to advance participants' knowledge of human rights as both an academic and professional field, hone students' critical inquiry skills, and ultimately enable students to develop as competitive candidates for professional positions in industry, government, education, and non-profit sectors. Students will leave the program with specialized knowledge in at least one topical approach to human rights research and practice. Along with this specialization, students will also gain competency in advanced research skills. The Master's Degree in Human Rights is designed primarily to offer undergraduate students who have either majored/minored in human rights or demonstrate a strong interest in the field at UConn an opportunity to receive a master's degree in this field here at UConn. These students will have a chance to begin graduate-level work in their senior year and complete their program of study for a master's in human rights following a fifth year. The degree will also be available to external (non-UConn) students or undergraduates with other backgrounds, although these students will typically require more than a year to complete the degree.

We anticipate class sizes in the first years of the program to include 5-6 students in the first cohort, growing to 10-12 students annually.

Request for New UConn Academic Degree Program

General Information

Name of degree program:	Master of Arts (MA) Human Rights
Name of sponsoring Department:	Global Affairs
Name of sponsoring College:	College of Liberal Arts and Sciences (CLAS)
Campuses:	Storrs
Contact persons:	Kathryn Libal
Type of Proposal:	New
Type of Program:	Master of Arts
Anticipated Initiation Date:	Fall 2021
Anticipated Date of First Graduation:	Spring 2023
Program Payment Type:	Tuition based
CIP Code:	30.0000 Multi-/Interdisciplinary Studies, General

Justification for the New Program

UConn students have shown increasing interest in earning a master's degree in Human Rights as part of their trajectory following graduation. Exit surveys with graduating seniors indicate that there is interest among students in pursuing a high quality and affordable master's degree program to prepare them to either secure meaningful employment in human rights-oriented fields or pursue doctoral or law studies. Recent changes at the Graduate School make earning a master's degree in the "fifth" year both feasible and desirable. Consultation with several HRI board members who work in the field of human rights also affirms the value of providing graduate credentialing in human rights, and that this is regarded as more desirable for entry-level positions than having a bachelor's degree alone.

The undergraduate co-major has grown rapidly since its creation in 2012 and now enrolls on average 125-135 students a year; the minor has another 50-60 students a year and many more students who take human rights courses express an interest in advanced training. In recent years we have placed students in institutions in the United Kingdom for one-year master's degrees, in part because they offered a more affordable option than similar programs at Columbia University and the University of Minnesota. Creating a master's degree that can be earned by UConn students in one year would help us to meet the educational and professional needs of our students and also allow us to continue to raise our profile as a preeminent educator in the human rights field.

Are there similar programs in CT or elsewhere?

No master's or expedited master's programs in human rights exist in Connecticut. There are four master's in human rights programs in the United States, and a fifth program is in development at the University of California, Davis. Columbia University houses one of the oldest two-year MA

programs in the country at the Institute for the Study of Human Rights. Established in 1998, the 30-credit degree can be completed on a part-time or full-time basis and requires a thesis. This program carries a tuition cost of \$90,000 for three semesters of study. The University of Arizona offers an online master's program with a focus on practice, which can be completed in 12-18 months. It is fully online and costs \$15,000. The University of Minnesota has a new master's degree linked to the Humphrey School of Public Policy; it is a 45 credit, 2-year program that requires a 400 hour internship in the first year and a joint or individual capstone project in the second year. The program tuition is approximately \$57,000 (two years) for an out-of-state student. The University of Binghamton (SUNY) recently added a two year master's degree focusing on human rights practice within their Human Development and Family Studies program. Binghamton's Master of Science in Human Rights is a 36 credit program that would cost approximately \$38,000 for an out-of-state student.

A Master's Degree in Human Rights at UConn would fill an important need not currently met by existing programs. Most importantly, it would offer an expedited and cost-effective option for our graduates seeking to deepen their knowledge of human rights and gain the expertise and credentials they need to be competitive in the field. Of the three public schools offering a human rights MA, the programs at Arizona and Binghamton are much narrower and do not have the strength of a world-class interdisciplinary human rights center behind it. The University of Minnesota's program would be our closest competitor; our program, however, is not located in a school of public policy but is university-wide and is more affordable.

What are the desired learning outcomes of the program?

The Master's Degree in Human Rights is designed to advance participants' knowledge of human rights as both an academic and professional field, hone students' critical inquiry skills, and ultimately enable students to develop as competitive candidates for professional positions in industry, government, education, and non-profit sectors.

Students will leave the program with specialized knowledge in at least one topical approach to human rights research and practice. Along with this specialization, students will also gain competency in advanced research skills. These skills include but are not limited to:

- Identifying the structural causes of contemporary human rights issues and the actors that contribute to and alleviate these problems;
- Designing and executing independent research related to human rights praxis;
- Applying ethical, appropriately specified research and evaluation procedures;
- Operating both qualitative and quantitative data management platforms; and
- Analyzing and leveraging research data to address real, human rights problems related to their career goals.

Finally, upon completion of the degree program, students will have developed their professional identity as a human rights practitioner. Students build their professional competency by integrating their academic training with a human rights practice-based professional work experience that deepens their engagement with the skills, values, and training that are key to job success in their desired industry.

Program Description

The Master's Degree in Human Rights is designed primarily to offer undergraduate students who have either majored/minored in human rights or demonstrate a strong interest in the field at UConn an opportunity to receive a master's degree in this field here at UConn. These students will have a chance to begin graduate-level work in their senior year and complete their program of study for a master's in human rights following a fifth year. The degree will also be available to external (non-UConn) students or undergraduates with other backgrounds, although these students will typically require more than a year to complete the degree. The Master's in Human Rights offers an opportunity for students to deepen their substantive knowledge base and research skills and to develop human rights practice skills through a supervised practicum and project-based work at a graduate level. The master's degree will be one of a handful of graduate programs in human rights in the United States. Building on the stellar faculty and strong institutional infrastructure in Global Affairs and HRI, in collaboration with CLAS and other schools, the program promises to offer an excellent interdisciplinary, methodologically and practice-oriented curriculum to prepare students to enter a range of non-profit or governmental positions or pursue degrees in law or other graduate programs in the future.

The Human Rights Institute's Graduate Certificate program, which was created in 2008, already has a robust line-up of courses in its curriculum that will form the backbone of the Master's Degree in Human Rights. The Core and Foundational Courses for the Master's Degree, which will constitute at least two-thirds of the courses needed for the degree, are regularly taught by HRI joint faculty as part of the Certificate in Human Rights. These courses will be complemented by an additional four electives chosen from a range of courses taught regularly by HRI joint and affiliated faculty across CLAS, Neag, Law, and the School of Social Work.

Overview: The Master's Degree in Human Rights requires the completion of 30 credit hours of graduate coursework. Undergraduate students who wish to pursue the degree can take 6 to 12 credits during their senior year. These students will take at least one graduate course per semester of their senior year, and the remaining credits during their 5th (MA) year. Up to 12 credits of required graduate coursework included on the student's undergraduate plan of study can also be used toward both the BA and MA Plans of Study. The required 30 credit hours includes HRTS 5301, 5351, 5401, the HRTS 5282/5600 professional development sequence, at least two pre-approved foundational electives, and four elective three-credit 5000/6000/7000-level courses with significant HRTS content. Details of the plan of study are provided below.

Plan of Study: All MA students develop a proficiency in human rights practice and a specialization in a human rights topic area of their choosing. There are 12 Common Core credits and a three-credit practicum (200 hours) required; 15 additional elective credits, at least six credits of which are drawn from our Foundational Elective list and the rest from Supplemental Electives, comprise the 30-credit MA. Note that the Common Core credits are offered by the Human Rights Institute and not cross-listed with other programs. The Foundational Electives have been developed by faculty jointly appointed to HRI and are taught regularly for this reason (see below). The Supplemental Electives are courses that have been taught with good regularity in recent years and are highly relevant as additional electives. A student could feasibly complete their studies even if many of the Supplementary Electives are not offered in a given year.

Students are required to complete 12 Common Core credits pertaining to the interdisciplinary study and practice of human rights, including:

- HRTS 5301: Contemporary Debates in Human Rights
- HRTS 5351: Topics in Human Rights Practice
- HRTS 5600: Human Rights Practice Lab and
- HRTS 5401: Methods in Human Rights Research and Practice.

This course sequence provides students with knowledge of the theoretical foundations of human rights, insight into the challenges of defending and promoting human rights practically, and also guided instruction on how to ethically and reliably conduct human rights research.

Program participants gain substantial professional experience while pursuing the degree by taking the one additional required course HRTS 5282: Practicum in Human Rights. HRTS 5282: Practicum in Human Rights is a three-credit course that provides students with hands-on experience with real, human rights-based problem solving experiences related to their career goals. This course prepares students to participate in HRTS 5600: Human Rights Practice Lab, where students develop and execute a human rights research project under the supervision of faculty; the project will be oriented toward solving a real-world problem and may grow out of the student's practicum experience. These courses integrate professional work experience opportunities within the academic program structure to make our applicants more competitive on the job market after graduation.

Students gain proficiency in a human rights topic area of their choosing by taking an additional 15 credits of elective coursework, at least six of which should be drawn from our list of foundational elective courses:

Foundational Electives

EDCI 5847: Human Rights and Social Justice in Education (taught annually)

HRTS 5055: Theory and Practice of International Criminal Justice

HRTS 5095: Special Topics in Human Rights*
HRTS/HIST 5270: History of Human Rights (taught biannually)
HRTS 5351: Topics in Human Rights Practice* (taught annually beginning 2021)
HRTS 5390/POLS 5390: Economic Rights
HRTS 5450: Contemporary Issues in Genocide Studies (new, to be taught biannually)
HRTS 5460: Human Rights and Armed Conflict (new, to be taught biannually)
HRTS 5499: Independent Study in Human Rights
HRTS 5899: Variable Topics in Human Rights*
LAW 7878: International Human Rights (taught annually)
SWEL 5385: Human Rights and Social Work (taught annually)

Each of these courses has been developed by HRI's jointly appointed faculty and are generally offered annually. (*May be repeated for a total of 9 credits with a change in subject matter.)

The remaining credits required to complete the MA plan of study can be drawn from either the foundational electives (above) or the supplementary electives (below), depending on the students' professional goals and interests:

Supplementary Electives

ANTH 5390: Cultural Rights
ENGL 6540 Seminar in Literature and Human Rights
HRTS/ANTH 5380: Propaganda, Disinformation, and Hate Speech
LAW 7380: Critical Race Theory
LAW 7653: European Human Rights
LAW 7695: Philosophy of Human Rights
LAW 7814: Refugee Law
LAW 7876: Philosophy of Collective Rights and Self-Determination
LAW 7883: Human Rights and Post Conflict Justice
LAW 7914: American Slavery and American Law: The Legal Origins of Racism in America
POLS 5115: Theories of Human Rights
POLS 5322: Assessing Human Security
PUBH 5460/LAW 7592: Health and Human Rights
SOC/HRIS 5825: Sociology of Human Rights
SOC 5515: Sociology of Immigration

Other elective options may be approved by the Graduate Studies Director after consultation with the Graduate Studies Committee.

Proposed Graduate Catalogue Copy

The Human Rights Institute offers a Master of Arts (M.A.) in Human Rights. The Master's Degree in Human Rights is designed to advance participants' knowledge of human rights as both an academic and professional field, hone students' critical inquiry skills, and ultimately enable students to develop as competitive candidates for professional positions in industry, government, education, and non-profit sectors. The Master's Degree in Human Rights requires the completion of 30 credit hours of graduate coursework, up to 12 of which can be earned during the student's senior year. Up to 12 credits of approved graduate coursework included on the student's undergraduate plan of study can also be used toward both the B.A. and M.A. plans of study. The required 30 credit hours include 12 Common Core credits and a required three-credit practicum (200 hours), at least six Foundational Elective credits, and nine additional elective credits drawn from either the Foundational Elective or Supplementary Elective lists. Other elective options may be approved by the Graduate Education Director after consultation with the Graduate Education Committee.

Common Core Courses: HRTS 5301, 5351*, 5401, 5282, and 5600

Foundational Electives: LAW 7878, HRTS/HIST 5270, HRTS 5390/ECON 5128/POLS 5390, SWEL 5385, EDCI 5847, HRTS 5450, 5351*, 5095*, 5499, and 5899*

Supplementary Electives: ALDS/GERM/CLCS 5324, 5325, ANTH 5390, HRTS/ANTH 5380, HRTS 5055, LAW 7380, 7653, 7695, 7814, 7876, 7883, 7914, POLS 5115, 5322, PUBH 5460/LAW 7592, SOCI/HRTS 5825, and SOCI 5515

*May be repeated for a total of 9 credits with a change in subject matter.

Faculty Involvement

The faculty staffing the master's in human rights are the joint and affiliated faculty and post-doc researchers who regularly teach graduate seminars for the current Graduate Certificate in Human Rights. HRI's eleven joint faculty teach half of their courses in HRTS, including most of the core and foundational courses in the MA plan of study. In addition, HRI hosts three post-doctoral researchers whose courses are devoted entirely to HRI. All faculty involved in the program are committed to liaising and providing research mentorship to graduate students; the Director of Graduate Education is the advisor of record for students in the MA for academic advising. The Director is supported by the HRI Program Manager and HRI Education Program Coordinator, who are responsible for undergraduate advising and thus critical interlocutors for the 5th year MA program.

Key faculty:

Molly Land (Director of Graduate Studies) (GEC, joint appointment)

Kathryn Libal (GEC ex officio, Social Work/Human Rights)

Glenn Mitoma (GEC, Education/Human Rights)

Katharina von Hammerstein (GEC)

Megan Berthold (GEC)

Shareen Hertel (Political Science/Human Rights)

Elizabeth Holzer (Sociology/Human Rights)

Nishith Prakash (Economics/Human Rights)

David Richards (Political Science/Human Rights)

Sara Silverstein (History/Human Rights)

Richard Wilson (Law)

*Two additional tenure track faculty members (Dramatic Arts/Human Rights and Art History/Human Rights) and two assistant professors in residences to be hired for 2021-22 will also participate in teaching, mentoring, and advising students in the program

Enrollment and graduate projections

We anticipate class sizes in the first years of the program to include 5-6 students in the first cohort, growing to 10-12 students annually. If approved, we would accept a first round of applicants in Spring 2021 for a graduation date of Spring 2023 and would expect 5-6 students to graduate in the 2023 class. We anticipate between 10-12 students graduating annually through 2026 and 12-15 students to graduate annually through 2030.

Program Evaluation

Student assessments will be based on grades earned in the ten graduate courses taken in pursuit of the MA degree, supervisor evaluations of student practicum experience, and faculty, self-assessments, and peer evaluation of research projects designed and executed in HRTS 5600: Human Rights Practice Lab.

Program evaluation will be based on a range in inputs, including the following: student course evaluations, focus groups held with each cohort at the end of their MA, and on the placement of MA students upon completion of the program.

- We will conduct a survey of student expectations at program start and in autumn of their MA year;
- Hold a focus group with each cohort at the end of their MA;
- Change in student knowledge/skills/confidence will be assessed through a class discussion in HRTS 5600: Human Rights Practice Lab;
- Examine student course evaluations;
- Student evaluations also will be collected in Exit Surveys administered immediately following program completion;

- Data on students' post-graduate placements will be collected initially in the Exit Survey, and again 1 year, 3 years and 5 years after graduation; Note: Program staff will rely on MA advisors, Graduate Education Coordinators, and HRI professional advising staff to communicate with alumni and collect this information.

In addition, on an annual basis the Graduate Education Committee will assess any gaps in the curriculum and make recommendations for curricular change.

Metrics for success will include:

- Meaningful/educational/productive placement of students in high profile/high impact human rights organizations for the zero-credit practicum;
- Quality of Human Rights Practice Lab projects generated in the capstone;
- Extent to which community contacts have been deepened and whether or not findings from project are meaningfully disseminated to relevant stakeholders;
- Percentage of students placed in jobs within one year that draw upon skills, values and knowledge gained during the master's program;
- Report of students recommending the program post-graduation;
- Faculty perspectives on the program;
- Growth in applications, enrollment, and completion rates;
- Increase in inquiries;
- Diversity of students and faculty who show interest/engagement with program;
- Feedback from internship sites and community partners;
- Alumni engagement/ recommendations;
- Alumni long-term career trajectory.

Program Administration

The MA Program in Human Rights will be administered by the HRI Graduate Education Committee (GEC), comprised of up to six members from the Gladstein Faculty Advisory Committee. The GEC reads admissions files and determines who is admitted in spring of each year. They will consider applications on a rolling basis, with priority given to students who apply by May 15. The GEC is also charged with approving course additions or revisions on the MA, administering the existing Graduate Certificate in Human Rights, and making decisions about scholarships and other funding opportunities in consultation with the Director of HRI, Director of Graduate Education, and the Director of Undergraduate Education.

The primary audience for the program is UConn undergraduates who are Human Rights majors/minors. However, other UCONN seniors and non-UCONN students with a BA can apply. If admitted, we would make arrangements for the fulfillment of their course of study on an ad hoc basis. The logistics of preparing files and corresponding with interested and accepted students will be handled by the HRI Educational Program Coordinator, Alyssa Webb, with the

assistance of HRI's Director of Graduate Education. HRI's Educational Program Manager and Educational Program Coordinator, who already advise our undergraduate HRTS majors and minors, will continue as academic advisors for students admitted to the MA program. In addition, a faculty liaison/mentor will be identified for each student based on shared interests. This faculty member will be able to provide additional insights into decisions about the internship placement and preparing to apply for work post-graduating in the field of human rights broadly speaking.

The HRI Director of Graduate Education is charged with direct oversight of the program, in consultation with the Director of the Human Rights Institute. Future programmatic changes will largely be determined by vote within the Graduate Education Committee, though if changes are substantive and entail additional resources from the Human Rights Institute, such changes can only occur with approvals by the HRI Director and the Gladstein Committee.

Funding and Financial Resources Needed

This is a tuition-based program with no graduate assistantship support. A limited number of fellowships will be made available to support the 0-credit summer internship/practicum between the Senior and 5th year from the Human Rights Institute resources. The model builds upon the internship fellowship program for undergraduate majors and a fellowship of \$5000 will be provided on a selective basis for students completing a practicum out of state.

Other Resource Needs

This program can be realized in its current form using extant University resources. This program will not require the University to acquire any special facilities or equipment.

Consultation with other potentially affected units

HRI has done outreach with all departments or schools that have courses that are part of the "foundational electives" or "supplementary electives" on the MA plan, or may otherwise be affected by the Human Rights MA. We received strong support for the MA program across the board. We secured approvals from the following departments and schools: Anthropology, Curriculum and Instruction and the Neag School of Education, English, History, Political Science, Public Health, School of Law, School of Social Work, and Sociology regarding inclusion of courses.

Who can apply to this program?

Internal applicants (current UConn students enrolled in another UConn degree or certificate program), External applicants (individuals who are not currently UConn students)

Anticipated term and year of first enrollment

Fall 2021

Admission Requirements

Undergraduate students seeking to pursue the MA at the completion of their senior year can apply during their junior year to be a part of the undergraduate cohort for the program. These students, as well as any students who are not a part of the program's undergraduate cohort, must also apply for admission to the MA through the Graduate School in their senior year or after. For both steps in the application process, applicants submit (1) a personal statement, (2) a resume, (3) an unofficial transcript and (4) two letters of recommendation. The GRE is not required.

- 1) The Personal Statement is a concise statement that addresses applicants' interest in, and experience with, human rights, as well as a statement regarding how the MA program would further their personal and career goals.
- 2) The Resume is a curated reflection of applicants professional experience, educational achievements, and service commitments.
- 3) An Unofficial Transcript should demonstrate a minimum 3.2 GPA in their undergraduate Human Rights program or closely related coursework.
- 4) Two Letters of Recommendation should specifically address applicants' career aspirations and the activities, academic and otherwise, they have engaged in to further their professional ambitions.

Term(s) to which students will be admitted

Fall

Application deadline: Unsure at this time

Initiator

Kathryn Libal, kathryn.libal@uconn.edu, 860-218-5898

Program Director Name

Molly Land, Director of Graduate Studies, molly.land@uconn.edu, 860-570-5257

Administrative Contact

Alyssa Webb, alyssa.webb@uconn.edu, 860-486-2533

ATTACHMENT 14

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Master of Science (MS) Business Research

RECOMMENDATION:

That the Board of Trustees approve a new Master of Science (MS) in Business Research.

BACKGROUND:

Some students may complete all of the course work needed for the PhD, but not the additional requirements of passing comprehensive examinations and/or writing the dissertation. In recognition of the advanced curriculum that these students have successfully completed, the program will award these students a MS in Business Research degree. To differentiate from MS/MA/MBA programs in Business Administration this degree will be a newly created research-based field of study, MS in Business Research. School of Business Ph.D. students take coursework in methods and research as well as passing a Qualifying Research Paper during the first two years in the program.

Review of peer institutions for the Ph.D. in Business Administration found that almost half of the schools provided a MS or MA degree to their doctoral students, either along the way to the Ph.D. degree, or in lieu of the Ph.D. degree. Further review of AACSB member schools provided confirmation that a number of schools used the degree "Business Research" exclusively for this purpose. Our proposal is a degree in lieu of, not in addition to, the PhD, offered only in conjunction with termination of PhD studies.

Annually we expect 0-1 student to receive this degree. In the past few years this could have applied to 4 students.

Request for New UConn Academic Degree Program

General Information

Name of degree program:	Master of Science (MS) Business Research
Name of sponsoring Department:	School of Business
Name of sponsoring College:	School of Business
Campuses:	Storrs
Contact persons:	Nancy Crouch
Type of Proposal:	New
Type of Program:	Master of Arts
Anticipated Initiation Date:	Fall 2021
Anticipated Date of First Graduation:	Spring 2023
Program Payment Type:	Tuition based
CIP Code:	52.0299: Business Administration, Management and Operations, Other.

Justification for the New Program

A. Some students may complete all of the course work needed for the PhD, but not the additional requirements of passing comprehensive examinations and/or writing the dissertation. In recognition of the advanced curriculum that these students have successfully completed, the program will award these students a MS in Business Research degree.

B. Per the UConn graduate catalogue: UConn graduate students admitted to study for the degree of Doctor of Philosophy may earn a Master of Arts or Master of Science degree, if one is offered specifically in their field of study, under either the Thesis or the Non-Thesis Plan.

<https://gradcatalog.uconn.edu/grad-school-info/academic-regulations/>. Thus, this proposal is consistent with other schools at UConn and with our own MS plans of study.

C. To differentiate from MS/MA/MBA programs in Business Administration this degree will be a newly created research-based field of study, MS in Business Research. School of Business Ph.D. students take coursework in methods and research as well as passing a Qualifying Research Paper during the first two years in the program.

Are there similar programs in CT or elsewhere?

Review of peer institutions for the Ph.D. in Business Administration found that almost half of the schools provided a MS or MA degree to their doctoral students, either along the way to the Ph.D. degree, or in lieu of the Ph.D. degree. Further review of AACSB member schools provided confirmation that a number of schools used the degree “Business Research” exclusively for this purpose. Our proposal is a degree in lieu of, not in addition to, the PhD, offered only in conjunction with termination of PhD studies.

What are the desired learning outcomes of the program?

To understand business concepts from a research perspective and apply the knowledge to organizational settings and situations. To have the ability to write a research paper that encompasses or interprets empirical and statistical data.

Program Description

Master of Science (non-thesis) degree track for Ph.D. students in business who have completed coursework, but are leaving the program and have not completed the dissertation.

Proposed Graduate Catalogue Copy

The M.S. in Business Research provides training in business theory and methods, combined with core courses in the department's program of study. It is available only to students enrolled in the PhD Program in Business Administration at the time of application.

Requirements for the degree: (1) Successful completion of all coursework required for the student's concentration area, with the exception of 15 credits of GRAD 6950 (Doctoral Research), at least 37 credit hours, (2) a cumulative GPA of 3.3 or higher, and (3) approval for the M.S. in Business Research by the student's Plan of Study Committee.

Credits used to fulfill the MS in Business Research degree cannot be used toward Ph.D. degree requirements in business. The PhD general examination requirements need not be satisfied for the award of MS in Business Research. This degree is non-thesis track. Application for the degree can occur during the student's final semester enrolled in the PhD program, subject to final GPA evaluation.

Faculty Involvement

Lucy Gilson, Associate Dean of Faculty and Outreach PhD Department Faculty Coordinators; Todd Kravet (Accounting), Joseph Golec (Finance), David Souder (Management), Debanjan Mitra (Marketing), Manuel Nunez (OPIM)

Enrollment and graduate projections

Annually we expect 0-1 student to receive this degree. In the past few years this could have applied to 4 students.

Program Evaluation

Annual program review by the School of Business PhD committee, consisting of Associate Dean of Faculty, PhD Department Faculty Coordinators, and the PhD Program Director. Evaluation and reporting to be overseen by the School of Business PhD Program office.

Program Administration

Application for the degree will occur during the student’s final enrolled semester, subject to final GPA evaluation, successful completion of all coursework required for the student’s concentration area, and approval for the M.S. in Business Research by their Plan of Study Committee. The process to receive the degree, confirmed by Registrars and Graduate School on 12/14/2020: After a student’s Plan of Study Committee approves this degree change, the BUS PhD Program will notify Registrars, ie Degree Audit Masters. Registrars will update the students’ record to a terminal masters. (Graduate School would not create an extra degree at PhD admissions, because it would be a terminal master’s.)

Funding and Financial Resources Needed

No additional resources are needed.

Other Resource Needs

No additional resources are needed.

Consultation with other potentially affected units

To differentiate from MS/MA/MBA programs in Business Administration this degree will be a newly created research based field of study, MS in Business Research. Because the field of study is unique and strictly for Business PhD students, we do not foresee conflicts with other units.

Who can apply to this program?

A UConn Business Ph.D. student

Anticipated term and year of first enrollment

Fall 2021

Admission Requirements

- Successful completion of all coursework required for the student’s concentration area, with the exception of 15 credits of GRAD 6950 (Doctoral Research), at least 37 credit hours.
- Cumulative GPA of 3.3 or higher
- Approval for the M.S. in Business Research by their Plan of Study Committee.

Term(s) to which students will be admitted

Fall, Spring

Application deadline: Rolling

Initiator

Nancy Crouch, nancy.crouch@uconn.edu, 860-486-5822

Program Director Name

Lucy Gilson, Dean/Associate Dean, lucy.gilson@uconn.edu, 860-486-3504


Administrative Contact

Nancy Crouch, nancy.crouch@uconn.edu, 860-486-5822

ATTACHMENT 15

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Master of Science (MS) Financial Technology (Fintech)

RECOMMENDATION:

That the Board of Trustees approve a new Master of Science (MS) in Financial Technology (Fintech)

BACKGROUND:

The School of Law, working with Peter Diplock, Associate Vice Provost, Center for Excellence in Teaching and Learning (CETL), retained Hanover Research to conduct a labor market analysis relating to this and other proposed programs. Hannover's key finding is that the School of Law should "expand development of compliance- and insurance-related non-JD programming." To meet this objective as well as the increasing demand for legally-trained compliance officers, UConn School of Law proposes to create a specialized master of laws (LLM) degree program open to students who have a JD or a foreign law degree, and who wish to supplement their first degree in law with an advanced degree in the law of GRC.

This program will focus on the specifically legal dimension of GRC, thus complementing rather than duplicating the combined law-management focus of our existing 12-credit Professional Certificate in Corporate and Regulatory Compliance (PCCRC) that the School of Law offers jointly with the UConn School of Business.

A key advantage of the LLM as opposed to a certificate is that foreign applicants can obtain student visas for a degree program but not for a certificate program. It is also our experience that certain legally-trained professionals, including those with a first degree from a foreign institution, seek a deeper and more legally-oriented introduction to field of GRC. This 24-credit LLM program serves that core purpose, while also offering an outlet for domestic mid-career legal professionals and holders of the PCCRC to pursue more in-depth study of a specifically legal character in the GRC field.

Request for New UConn Academic Degree Program

General Information

Name of degree program:	Master of Science (MS) Financial Technology (Fintech)
Name of sponsoring Department:	OPIM and Finance
Name of sponsoring College:	School of Business
Campuses:	Hartford, Stamford
Contact persons:	John Wilson
Type of Proposal:	New
Type of Program:	Master of Science
Anticipated Initiation Date:	Spring 2022
Program Payment Type:	Fee-Based
CIP Code:	52.1399: Management Sciences and Quantitative Methods, Other.

Justification for the New Program

Ongoing technology innovations are disrupting existing structures of the financial services industry. Technologies such as blockchain, cryptocurrency, peer to peer lending, mobile payment systems, robo-advising, and automated insurance underwriting are all redefining the way in which financial services are offered and consumed. While the disruption is often promulgated by tech start-ups, other enterprises that would not be traditionally considered financial services providers are entering the fray. Included among them are heavyweights such as Apple, Google and Amazon. Either through innovation or acquisition, these titans have started offering an array of financial services that are reliant on technology that reduces friction and cost for the end consumer, be it business or individual. As a result, many traditional financial firms are rethinking the way they need to do business. These new paradigms are also changing the skill set that companies are seeking. Increasingly, technologists are given preference over students with only financial backgrounds (<https://www.linkedin.com/pulse/essential-fintech-skills-2016-jared-butler/>). However, it is reasonable to conclude that students that have the combination of technical and financial skills will be highly coveted. That is the impetus for a program such as this to be developed.

As part of our educational mission as the Flagship University in the State of Connecticut, the UConn School of Business plays a pivotal role preparing students for this evolving process. We already feature a popular finance major, deep expertise in data analytics, and a location that facilitates the placement of many students at financial employers in Manhattan, Fairfield County, and Hartford. The UConn Fintech program will coordinate and enhance these attributes to increase the technological relevance of current and prospective employees in close alignment with the needs of industry. In addition to the job placement advantages of UConn's existing

locations, there are local eco-systems and UConn Centers of Excellence that can be leveraged to enhance the image and appeal of the Fintech program. In Hartford, there is an InsurTech incubator program that is attracting startups from all over the world. LaunchHartford is an initiative to further develop the area as a corridor of technological innovation, particularly in the insurtech space. Both programs already have affiliations with the university, so the transition to include the Fintech program in various initiatives should be easily facilitated. Stamford has the advantage of being proximal to several hedge funds and other large scale financial services firms.

By utilizing UConn's existing geographic strengths, the program has the ability to reach several of the key industry stakeholders. In addition to marketing the new program to industry, UConn will also be able to leverage existing academic constructs such as our Center for the Advancement of Business Analytics (CABA) and Connecticut Center for Entrepreneurship and Innovation (CCEI). Each of these programs already has strong relationships with many companies and with the proposed program, would be able to develop additional relationships by offering a high demand, low supply talent pool. Further, they are each well positioned to facilitate the marketing message to both companies and potential students.

Are there similar programs in CT or elsewhere?

Currently, there are a variety of institutions that offer either specific courses or certifications in Fintech. However, only a handful have developed full graduate programs. Outside of the United States, there are a variety of programs available in Hong Kong, the United Kingdom, and France. However, in the United States, only a few institutions offer full Graduate Programs focused specifically on Fintech. Brandeis University offers an MS – Digital Innovation for FinTech. It is a 30 credit fully online program. The curriculum is focused primarily on technology with little evident content focused on Finance. (<https://www.brandeis.edu/gps/student-courses/programs/listings/fintech-digital-innovation.html>)

Duke University offers a Master of Engineering Degree in Financial Technology. The 30 credit program consists of 2 business courses and several technology courses. It is offered both online and in person. (<https://fintech.meng.duke.edu>). Santa Clara University offers a MS Finance and Analytics Program, both online and in person. The program is 36 credits and features a blend of courses in technology and finance. The program has a list of prereqs consisting of calculus, statistics, linear algebra, R and Python. The linear algebra, R and python courses are offered online. (<https://www.scu.edu/business/graduate-degrees/admissions/ms-programs/ms-finance-and-analytics/>) There are no in-state programs offering exclusive graduate degree programs in Fintech.

What are the desired learning outcomes of the program?

Master of Science in Financial Technology (Fintech)

At program onset, learning outcomes will focus on the integration of technology and its application in financial services and related industries. Students who successfully complete the program will demonstrate proficiency in the following areas: -data preparation, predictive modeling, model assessment, and model implementation -design and management of business processes with the implementation of existing nascent technologies -coding ability in Python and R -blockchain and cybersecurity principles -understanding of fintech ecosystems, API, venture capital and entrepreneurship -financial management principles

Program Description

The program will be a 36 hour program with in person course delivery. It will consist of a mix of analytics, technology and business courses and is intended to prepare the student for entry into the Financial Services Technology world, which may include areas such as Fintech, Insurtech, Medtech and Regtech. The ideal student will have strong aptitudes for business, technology and coding and will have an entrepreneurial mindset.

Proposed Graduate Catalogue Copy

The Master of Science in Financial Technology (MS Fintech) is designed to meet the growing demand for professionals who can harness advanced business analytics, technology solutions and financial services skills to address existing business problems. Skills developed in the program will allow students to create new opportunities for small to global enterprises in information-rich environments including Fintech, Insurtech, Medtech, and Regtech. Students must complete 36 credits to fulfill all degree requirements. The program will be in person and can be completed on a full-time or part-time basis.

All students must take the following required courses: FNCE 5710, 5711, 5712, 5720; OPIM 5603, 5604, 5512, 5513

The remaining credits come from electives. The following electives have been approved for meeting degree requirements: FNCE 5721, 5722, 5757, 5352, 5353; OPIM 5514, 5272, 5501, 5671, 5509.

Additional courses may be used to meet degree requirements with the consent of the program director.

Faculty Involvement

Faculty will be primarily derived from 2 departments in the School of Business; Operations and Information Management (<https://www.business.uconn.edu/contact/opim/>) and Finance (<https://www.business.uconn.edu/contact/finance/>)

Enrollment and graduate projections

It is expected that enrollment will be 40-60 per semester on a rolling basis, with a fully operational census of 100-150 students at any given time.

Program Evaluation

The program will be evaluated routinely and at regular intervals under the AACSB accrediting process. Internally, the program will be evaluated in each of the following ways: i) course content, classroom instruction, administration and grading will be evaluated each semester by registered students using the standard process and questionnaire currently in place for School of Business courses, ii) course content, rigor, and overall implementation will be evaluated for each course by the department head and academic director, both working in close conjunction with the instructor(s), and iii) alums and managers at hiring companies will be surveyed to monitor program quality and content.

Program Administration

Administration will consist of an academic director and a program manager. It is anticipated that for launch, initial administrative duties will be handled by existing resources within the School of Business.

Funding and Financial Resources Needed

A preliminary budget for outside support covers several new initiatives:

- Program Manager (full-time) to coordinate the recruitment, educational progress, and placement of students involved in the Fintech program – including all fringe benefits, this requires approximately \$125,000 per year. We believe other administrative support can be provided by existing programs in conjunction with the Fintech Program Manager.
- Supplemental compensation for an academic director. This would be a full time faculty member with strong teaching credentials and strong relationships within the industry. Estimated cost of up to \$40,000 per year.
- Graduate Assistants, student workers, or summer interns hired to develop and design communications with Fintech industry participants, including summaries of relevant recent research and reports of student engagement. We envision 1-3 students at a cost of up to \$60,000 per year.
- Recruiting and promotional budget – approximately \$15,000 per year.
- Event hosting and transportation for students to industry sites – approximately \$20,000 per year
- Travel budget for Director travel to relevant industry conferences – approximately \$20,000 per year. The total annual cost for all of these activities is \$280,000. We envision an initial five-year commitment that adds up to \$1.4 million. Initial funding will be derived from University School of Business resources and outside donations. It is anticipated that these costs will eventually be defrayed by course fees. Consistent with

current university policy, the fees from students matriculated into the MS Fintech program will be accounted for within the program.

Other Resource Needs

It is anticipated that existing facilities and equipment will be sufficient for the program.

Consultation with other potentially affected units

As noted herein, the new program will leverage existing courses, primarily from OPIM and Finance. While there may be some enrollment impact in both the MS-BAPM and FRM programs, it is not anticipated that it will be of a magnitude to cause concern. There are several reasons for this. First, both the BAPM and FRM programs are receiving applications at a high rate. While some of those applicants may express a preference for the new program, there is still a sufficient pipeline of qualified applicants for the existing programs. Second, the profile of the intended student is a bit different than either FRM or BAPM. FRM is reliant on quantitatively minded students with a strong aptitude for business, whereas BAPM students tend to be more technically proficient. The profile anticipated for this program will be a student that demonstrates a strong aptitude for BOTH business and technology, and a student with an 'entrepreneurial' mindset.

While it is feasible to consider simply adding concentrations to existing degree programs, there are several reasons why a fully vetted degree program is a better option. First, a degree program allows for greater flexibility in ensuring that content and learning outcomes are consistent. This is important in the conveyance of skills that employers can expect program graduates to have mastered. Second, the implementation of a program allows for a much stronger marketing message than what a concentration would facilitate. The program implies that the curriculum is carefully thought out to address the needs of relevant industry and their desire to incorporate Fintech related skills into their organizations. Third, curriculum changes and evolutions are expedited when there is an existing relationship between industry and a program. By having a program singularly responsible for updating curriculum, flexibility to address evolving learning needs is enhanced. Fourth, a program allows for easier integration with existing ecosystems, be they at the local, state or national level. For example, the ability of Hartford or Stamford to co-brand their Fintech initiatives with that of UConn creates an inviting atmosphere where employers and potential students can easily perceive coordination and opportunity.

Who can apply to this program?

Internal applicants (current UConn students enrolled in another UConn degree or certificate program), External applicants (individuals who are not currently UConn students)

Anticipated term and year of first enrollment

Spring 2022

Admission Requirements

Admission to the program is expected to be competitive. Minimum requirements will include:

- Completed application for admission.
- Official transcripts from all colleges and universities at which the applicant has completed course or degree work.
- Completion of a one-semester college-level calculus or statistics course with a grade of “C” or better.
- An undergraduate degree (B.S. or B.A.) from a 4-year program at an accredited university or college.
- A minimum undergraduate grade-point averages (GPA) of 3.0 for either all 4 years or for the last 2 years.
- GMAT (Graduate Management Admission Test) or the General Test of GRE (Graduate Record Examination). There are no specific minimum scores on these test scores. A high score can improve the competitiveness of your application.
- Foreign National applicants must meet the English Proficiency requirement for admission set by The Graduate School. TOEFL (Test of English as a Foreign Language) – minimum overall score of 79 (Internet –based test- iBT), 550 (Old Paper-based test, PBT) or 22/30 on each of the Listening, Reading, Writing sections (“New” Paper-based test- PBT) or higher o IELTS (International English Language Testing System) minimum overall score of 6.5 or higher o PTE (Pearson Test of English) minimum overall score of 53 or higher o Duolingo minimum overall score of 100 or higher o Qualifying for a Score Waiver by The Graduate School.

Term(s) to which students will be admitted

Fall, Spring, Summer

Application deadline: Unsure at this time

Initiator & Program Director

John Wilson, john.wilson@uconn.edu, 860-798-9870


Administrative Contact

Meghan Hanrahan, meghan.hanrahan@uconn.edu, 860-965-1400

ATTACHMENT 16

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Master of Laws (LLM) Governance, Risk-Management, and Compliance (GRC)

RECOMMENDATION:

That the Board of Trustees approve a new Master of Laws (LLM) in Governance, Risk-Management, and Compliance (GRC)

BACKGROUND:

The School of Law, working with Peter Diplock, Associate Vice Provost, Center for Excellence in Teaching and Learning (CETL), retained Hanover Research to conduct a labor market analysis relating to this and other proposed programs. Hannover's key finding is that the School of Law should "expand development of compliance- and insurance-related non-JD programming." To meet this objective as well as the increasing demand for legally-trained compliance officers, UConn School of Law proposes to create a specialized master of laws (LLM) degree program open to students who have a JD or a foreign law degree, and who wish to supplement their first degree in law with an advanced degree in the law of GRC.

This program will focus on the specifically legal dimension of GRC, thus complementing rather than duplicating the combined law-management focus of our existing 12-credit Professional Certificate in Corporate and Regulatory Compliance (PCCRC) that the School of Law offers jointly with the UConn School of Business.

A key advantage of the LLM as opposed to a certificate is that foreign applicants can obtain student visas for a degree program but not for a certificate program. It is also our experience that certain legally-trained professionals, including those with a first degree from a foreign institution, seek a deeper and more legally-oriented introduction to field of GRC. This 24-credit LLM program serves that core purpose, while also offering an outlet for domestic mid-career legal professionals and holders of the PCCRC to pursue more in-depth study of a specifically legal character in the GRC field.

Request for New UConn Academic Degree Program

General Information

Name of degree program:	Master of Laws (LLM) in Governance, Risk-Management, and Compliance (GRC)
Name of sponsoring Department:	Graduate and International Programs, School of Law
Name of sponsoring College:	School of Law
Campuses:	Hartford
Contact persons:	Peter Lindseth
Type of Proposal:	New
Type of Program:	Master of Laws
Anticipated Initiation Date:	August 2021
Anticipated Date of First Graduation:	May 2022
Program Payment Type:	Fee based
CIP Code:	22.0216 (Compliance Law)

Justification for the New Program

The School of Law, working with Peter Diplock, Associate Vice Provost, Center for Excellence in Teaching and Learning (CETL), retained Hanover Research to conduct a labor market analysis relating to this and other proposed programs. Hannover’s key finding is that the School of Law should “expand development of compliance- and insurance-related non-JD programming.” To meet this objective as well as the increasing demand for legally-trained compliance officers, UConn School of Law proposes to create a specialized master of laws (LLM) degree program open to students who have a JD or a foreign law degree, and who wish to supplement their first degree in law with an advanced degree in the law of GRC.

This program will focus on the specifically legal dimension of GRC, thus complementing rather than duplicating the combined law-management focus of our existing 12-credit Professional Certificate in Corporate and Regulatory Compliance (PCCRC) that the School of Law offers jointly with the UConn School of Business.

A key advantage of the LLM as opposed to a certificate is that foreign applicants can obtain student visas for a degree program but not for a certificate program. It is also our experience that certain legally-trained professionals, including those with a first degree from a foreign institution, seek a deeper and more legally-oriented introduction to field of GRC. This 24-credit LLM program serves that core purpose, while also offering an outlet for domestic mid-career legal professionals and holders of the PCCRC to pursue more in-depth study of a specifically legal character in the GRC field.

This program also fits into the broader strategy of the School of Law to offer LLM degrees in fields in which we already have demonstrated teaching strengths and available seats to accommodate additional students. Since 2014, in pursuit of this strategy, we have doubled the number of LLM programs and well more than doubled the number of LLM students enrolled. Each new program constitutes a “basket” within which to catch additional students and further absorb our existing teaching capacity. This new program will provide one additional “basket” in pursuit of this strategy.

Are there similar programs in CT or elsewhere?

There are no law schools in Connecticut offering an LLM in this area. There are only ten ABA approved schools that offer an LLM, or a Master of Studies in Law (MSL) or equivalent for non-lawyers, in the fields of corporate and regulatory compliance. The closest LLM program in this field in physical proximity is offered by Fordham Law School in New York. Boston University School of Law also offers a 12-credit certificate in Financial Services Compliance, as well as related concentrations within certain of their LLM programs.

What are the desired learning outcomes of the program?

This program will provide students a strong foundation in the law of each branch of GRC. In terms of governance, students will be asked to master the foundational elements of corporate governance and related legal requirements, as well as those pertaining to public governance, particularly with regard to the administrative law pertinent to regulatory risk-management, compliance and enforcement. Special attention will be paid to the legal foundations of compliance in the corporate structure, in particular the legal obligations of directors and officers to build and manage robust compliance programs, whether derived from statutory or regulatory requirements, or fiduciary duties. Students will also be challenged to develop a sound theoretical understanding of why governance systems are designed and operate as they do. In this regard, students will be required to gain a basic grasp of principal-agent theory as well as problems of information asymmetry and agency costs.

In terms of risk-management and compliance more specifically, students will be required to understand how systems in both domains are designed to address precisely the same kinds of problems of information asymmetry and agency costs. These systems provide, on the one hand, channels for policy dissemination, training, oversight, monitoring and control, while also, on the other hand, filtering up information to relevant decision-makers about activities and risks at the operational level. Students will be asked to master the so-called Seven Elements of an Effective Compliance Program and understand specifically how these elements operate to address the problems of information asymmetry and agency costs in various legal domains, such banking, environmental protection, or securities regulation, just to name a few.

Because this program is focused on the legal dimension of GRC, students will be expected to gain a clear understanding of the relationship between the role of the in-house lawyer, on the one hand, and the compliance officer, on the other. Both roles exist to help the organization manage legal and regulatory risk, and lawyers are often in high demand to serve as compliance officers. But just because a compliance officer is also a member of the bar does not mean they are serving as legal counsel for the organization. Understanding this distinction is a key aim of this program, one that can become especially sensitive in the context of internal investigations, enforcement actions, and corporate criminal prosecutions.

Finally, the program will culminate with both a capstone course, “Case Studies in Compliance Systems,” as well as a range of specialization electives. This aspect of the program is designed to compel students to see how the general principles of GRC manifest themselves across different types of organizations as well as a range of regulatory domains. In the capstone course in particular, special attention is paid to honing the ability, through simulations and guest lectures by experienced GRC lawyers, to the exercise of sound legal judgement under the pressure of a crisis situation.

Program Description

The field covered by this program, known in the industry as “Governance, Risk-Management and Compliance” (GRC), has been expanding dramatically over several decades. While compliance is at its core, the field necessarily encompasses broader corporate governance and risk-management issues, such as one might find in courses on business organizations, insurance, and corporate finance (hence the coverage of these other topics in the program’s core curriculum outlined below). Indeed, the broad field of GRC has become a crucial element of legal practice within organizations of all kinds, public and private, and for-profit and non-profit. It is also of tremendous importance internationally.

The growth in this field has been driven by increased legal and regulatory obligations and exposure for both the entities and the individuals who run them. This growth has in turn led to a steady increase in demand for law school graduates, both domestically and internationally, trained to serve in GRC roles in those organizations. This program will emphasize the importance of GRC generally from a legal perspective, while also providing important supplementary background on the law of enterprise risk-management and governance.

Curriculum & Program Outline

The curriculum in this program draws entirely on existing teaching strengths of the School of Law, through courses that are taught regularly and with adequate additional space to accommodate students admitted into this program.

The program will require students to complete a minimum of 24 credits. The program includes five required courses for 15 credits distributed across the fields of governance (corporate and regulatory), risk-management (financial and/or insurance-based) and most importantly compliance (including both a foundational and capstone course). The remaining 9 credits will consist of 3- or 4-credit electives selected by the student, which focus on the particular regulatory domains in which the student seeks to specialize. In addition, those students whose first degree in law is from a non-US institution will be required to complete two 2-credit courses in U.S. Law and Legal Institutions and U.S. Law and Legal Institutions: Research and Writing.

Required Courses for All Students (15 credits):

Each of the required courses listed immediately below constitute the core curriculum for this program. The courses are taught no less than once per academic year, and in fact Business Organizations and Principles of Insurance are typically taught twice per academic year, once in each semester.

LAW7600 - Administrative Law (3 Credits) *or* LAW7987 (3 Credits) - Legislation and Regulation (foundational regulatory governance course)

LAW7605 (3 Credits) - Business Organizations *or* other advanced course in corporate law (foundational corporate governance course)

LAW7553 (3 Credits) - Case Studies in Compliance Systems (compliance capstone course)

LAW7554 (3 Credits) - Compliance: The Legal Perspective (foundational compliance course)

LAW7675 (3 Credits) - Principles of Insurance *or* LAW7636 (3 Credits) - Corporate Finance (foundational risk-management course)

Additional required courses for students who hold a non-US first degree in law (4 credits):

Each of the courses listed below is taught in the fall and spring semesters.

LAW7535 - U.S. Law & Legal Institutions (2 Credits)

LAW7585 - U.S. Law & Legal Institutions: Research and Writing (2 Credits)

Specialization Electives (9 credits) (or 5 credits for those who hold a non-US first law degree):

The following elective course list is meant to demonstrate the broad range of choices that students will have to assemble a customized curriculum based on personal career goals.

We divide the list into core electives that are typically offered either once an academic year (designated below by *) or even once each semester, i.e., twice an academic year (designated below by **). Beyond the core electives are alternative electives that may be offered less

frequently and the program director will have the discretion to allow students to substitute for a core elective when available:

• Core Electives:

- LAW7673 - Alternative Risk Management* (3 Credits)
- LAW7680 - Comparative Regulation of Health Insurance Markets* (3 Credits)
- LAW7655 - Employment Discrimination Law* (3 Credits)
- LAW7773 - Employment Law* (3 Credits)
- LAW7812 - Energy Regulation and Policy* (3 Credits)
- LAW7650 - Environmental Law* (3 Credits)
- LAW7980 - Federal and State Unfair and Deceptive Trade Practice Laws* (3 Credits)
- LAW7661 - Federal Income Tax** (3 Credits)
- LAW7844 - Field Placement: Center for Energy and Environmental Law** (3 Credits)
- LAW7480 - Global Compliance and the Organization* (3 Credits)
- LAW7931 - Health Care Finance* (3 Credits)
- LAW7865 - Health Law* (3 Credits)
- LAW7906 - Higher Education Law* (3 Credits)
- LAW7529 - Immigration and Workplace Rights* (3 Credits)
- LAW7717 - Insurance Regulation* (3 Credits)
- LAW7805 - International Environmental Law* (3 Credits)
- LAW7878 - International Human Rights* (3 Credits)
- LAW7962 - International Trade Compliance* (3 Credits)
- LAW7728 - Multistate Taxation in the New Millennium* (3 Credits)
- LAW7806 - Renewable Energy Law* (3 Credits)
- LAW7947 - Right to Privacy* (3 Credits)
- LAW7724 - Securities Regulation* (3 Credits)
- LAW7905 - Special Education Law* (3 Credits)

• Alternative Electives (offered less frequently and which students may be permitted to take when offered, with the approval of the program director):

- LAW7928 - Employee Benefits & the Employee Retirement Income Security Act (3 Credits)
- LAW7483 - Financial Accounting and Reporting (3 Credits)
- LAW7482 - Financial Management (3 Credits)
- LAW7842 - Food Law and Policy (3 Credits)
- LAW7672 - Immigration Law (3 Credits)
- LAW7552 - Information Governance (3 Credits)
- LAW7776 - Insurance Finance (2 Credits)
- LAW7677 - International Business Transactions (3 Credits)
- LAW7771 - Islamic Finance and Investment Law (3 Credits)
- LAW7377 - Law in the Age of Data, Platforms and AI (3 Credits)

LAW7368 - Role of the In-House Corporate Lawyer (1 Credit)

As the law school's curriculum evolves over time, the program director will have the discretion, in consultation with the Associate Dean for Academic Affairs, to add courses to either the list of required courses, core electives or alternative electives, so long as those courses meet the goals of the program. Moreover, the program director will also work with faculty to develop courses to allow students to complete a significant portion of this program in an online format, including asynchronous and/or hybrid components. We expect the number of such courses to grow given that they are in line with the larger needs of the School of Law.

Applicants from the joint Law-Business PCCRC program who hold the requisite first degree in law will be permitted to apply for 12 credits of advanced standing consistent with current LLM policy admissions regulations. Similarly, applicants with a UConn graduate degree who have the requisite first degree in law may also apply for advance standing based on prior coursework that meets the program regulations for advanced standing.

Faculty Involvement

The instructors in this program are current full-time members of the faculty of the School of Law, or qualified adjunct faculty duly appointed by the Faculty Appointments Committee at the School of Law.

Enrollment and graduate projections

The School of Law conservatively estimates that it can achieve an enrollment of between 5-8 students in the first academic year of the program, modestly increasing thereafter, thus adding to our overall LLM program growth. We base this initial projection on our experience offering compliance courses as part of the PCCRC since 2016. An average of 5 students from our other LLM and exchange programs have enrolled in one or more of those courses each year, and those students have regularly expressed an interest in participating in a Compliance LLM should one be created. Additionally, our graduate admissions team also regularly fields inquiries from potential applicants regarding such a program, particularly from abroad. (Again, the advantage of the LLM over the PCCRC is that foreign applicants can obtain student visas for a degree program but not for a certificate program.)

We also expect this enrollment to grow as we complete the conversion of at least half the credits needed for the degree through a combination of asynchronous and hybrid online learning. This conversion will allow practitioners only to spend one semester in residence at the law school, a particularly attractive option for foreign degree holders who want the immersion of studying in the U.S. but cannot devote a full academic year away from their current job.

Program Administration

In the administration of the program, the Director of Graduate Admissions, the Director of Graduate and Exchange Programs and faculty will coordinate with the Office of Graduate and International Programs. This is the office at the School of Law that is currently responsible for the International Exchange Programs, the School of Law’s four in-residence LLM programs in Hartford and one Executive LLM in Seoul, Korea, as well as the SJD (doctoral) program in law. As it does for these other programs, the Office of Graduate and International Programs, together with the Admissions Office and the Office of Student Services, will assist in coordinating admissions, student programming and academic counseling for this new LLM program. This support will include working with the School of Law’s Registrar, Business Office, Student Finance Office, Career Planning, and Associate Dean for Academic Affairs, assisting each of them in administrative issues related to LLM students at the School of Law. The Graduate and International Programs Office will coordinate with International Student and Scholar Services (ISSS) where applicants require a student visa to attend the programs.

Funding and Financial Resources Needed

Student fees for the LLM programs are set annually on a per credit fee basis. The fees for this program will be \$1276 for the 2021-22 Academic Year (the fees noted below for future years are projected). This program will not require additional investment in courses, staff, or additional resources, as the capacity already exists in each of those areas at the School of Law. With modest growth in the number of students and fees over the subsequent years (while holding costs constant, as is our plan), we hope to achieve the following net revenue flows:

Revenue Projections	Year 1 FY21/22			Year 2 FY22/23			Year 3 FY23/24		
	# of Students	Total Credits	\$1,276/cr	# of Students	Total Credits	\$1,340/cr	# of Students	Total Credits	\$1,407/cr
Enrollment									
Fall: Full-time	5	60	\$ 76,560	7	84	\$ 112,560	10	120	\$ 168,840
Spring: Full-time	3	36	\$ 45,936	5	60	\$ 80,400	5	60	\$ 84,420
Returning Students	0	0	\$ -	3	36	\$ 48,240	5	60	\$ 84,420
Gross Revenue	8	96	\$ 122,496	15	180	\$ 241,200	20	240	\$ 337,680
Expense Projections									
Salary			\$ -			\$ -			\$ -
Fringe			\$ -			\$ -			\$ -
Marketing			\$ 5,000			\$ 5,000			\$ 5,000
Other			\$ -			\$ -			\$ -
Scholarships			\$ -			\$ -			\$ -
CCR(20% to Provost)			\$ 24,499			\$ 48,240			\$ 67,536
Total Expenses			\$ 29,499			\$ 53,240			\$ 72,536
NET REVENUE			\$ 92,997			\$ 187,960			\$ 265,144

Consultation with other potentially affected units

Students in this program will be supported as are all students by the School of Law staff. Upon application, students will work with the graduate admissions department. Students will continue to be supported by this department throughout the program and like other UConn Law students will be supported by an array of institutional offices including student services, career planning, the law library, information technology and academic support. The School of Law’s staff is

experienced in providing such services and the additional responsibilities could be undertaken without compromising existing programs.

Initiator & Program Director

Peter Lindseth, peter.lindseth@uconn.edu, 860-570-5392

Administrative Contact

Alyssa Webb, alyssa.webb@uconn.edu, 860-486-2533

ATTACHMENT 17

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Post-Master's Nurse Leader Certificate

RECOMMENDATION:

That the Board of Trustees approve a new Post-Master's Nurse Leader Certificate

BACKGROUND:

This 21 credit post-master's certificate is designed to meet the growing needs of nurse leaders. A nurse leader is a nurse who excels in their career path by providing leadership in healthcare organizations. Those choosing the nurse leader concentration choose indirect care roles that focus on areas of practice at the aggregate, systems or organizational level. Nurse leaders include seasoned nurses or healthcare administrators who are interested in refining their leadership and administrative skills. Nurse leaders are key to an organizations success and directly impact front-line caregivers in delivery of patient care.

The Nurse Leader Graduate Certificate is offered entirely online. It is designed for Masters-prepared nurses who wish to pursue administrative leadership within healthcare organizations and provides real-world skills in staff development, labor relations, healthcare financing, quality improvement and human resource management. The certificate program can be used to fulfill some of the eligibility requirements to apply for the ANCC Nurse Executive Certification examination or the American Organization for Nurse Leaders as a Certified Nurse Manager and Leader (CNML) or Certified in Executive Nursing Practice (CENL).

Anticipated enrollment is 12-16 students per year. No additional resources are needed for the program.

Request for New UConn Academic Degree Program

General Information

Name of degree program:	Post-Master's Nurse Leader Certificate
Name of sponsoring Department:	Nursing
Name of sponsoring College:	School of Nursing
Campuses:	Entirely Online
Contact persons:	Denise Bourassa
Type of Proposal:	New
Type of Program:	Graduate Certificate
Anticipated Initiation Date:	Fall 2021
Program Payment Type:	Fee based
CIP Code:	51.0000: Health Services/Allied Health/Health Sciences, General.

Justification for the New Program

We have had requests for creating a non-clinical specialty area of concentration in the MS program that would be an addition to current MS prepared advance practice nurse practitioner. The post master's nurse leader certificate will meet this need by preparing nurses for career advancement in nursing leadership/administration. The changes to the Graduate Catalog copy is for information on required core courses for the other concentrations of the MS program.

Are there similar programs in CT or elsewhere?

There is one other post master's certificate in nursing leadership in CT

What are the desired learning outcomes of the program?

Objectives of the post-master's nurse leader certificate are as follows:

- Synthesize appropriate theories from nursing and related fields to respond to emerging health care challenges.
- Synthesize appropriate scientific findings and theories from nursing and related fields to lead change to improve outcomes.
- Influence health care policy for the benefit of person, community, nursing, and environment.
- Demonstrate PRAXIS at an advanced level of nursing in a selected area of concentration.
- Exert leadership in creating a collaborative and caring health care community.
- Synthesize best evidence and translate into practice to creatively improve health care quality and outcomes.

Program Description

This 21 credit post-master's certificate is designed to meet the growing needs of nurse leaders. A nurse leader is a nurse who excels in their career path by providing leadership in healthcare organizations. Those choosing the nurse leader concentration choose indirect care roles that focus on areas of practice at the aggregate, systems or organizational level. Nurse leaders include seasoned nurses or healthcare administrators who are interested in refining their leadership and administrative skills. Nurse leaders are key to an organizations success and directly impact front-line caregivers in delivery of patient care. Courses: NURS 5230, NURS 5235, NURS 5240, NURS 5245, NURS 5870, NURS 5249 and NURS 5865

Proposed Graduate Catalogue Copy

Nurse Leader Post-Master's Certificate

The Nurse Leader Graduate Certificate is a seven-course (21-credit) graduate certificate program offered entirely online. It is designed for Masters-prepared nurses who wish to pursue administrative leadership within healthcare organizations and provides real-world skills in staff development, labor relations, healthcare financing, quality improvement and human resource management. The certificate program can be used to fulfill some of the eligibility requirements to apply for the ANCC Nurse Executive Certification examination or the American Organization for Nurse Leaders as a Certified Nurse Manager and Leader (CNML) or Certified in Executive Nursing Practice (CENL).

Required Courses: NURS 5230, 5235, 5240, 5245, 5249, 5865, 5870

One or more of the following course requirements may be waived with approval at the time of admission based on a student having taken equivalent courses: NURS 5235, 5865, and 5870.

Faculty Involvement

Dr. Denise Bourassa will serve as program director and will teach 2 of the courses required. Dr. Bourassa will serve as advisor for all students in the post master's certificate program. Other faculty in the school of nursing will also teach in this program.

Enrollment and graduate projections

12-16 per year

Program Evaluation

The programs will be evaluated for applicants, enrolled students, attrition and students dismissed for academic performance. We will conduct quality improvement surveys to provide justification for program changes if necessary and provide information assessing attainment of post-master's certificate program objective. Finally we will conduct post graduation surveys to students and track professional advancements and achievements, national certifications and student satisfaction with the program.

Program Administration

University graduate admissions office will develop application in Slate. Program director will evaluate prospective students' application and conduct interviews to determine acceptance to program. Program director will be advisor for students in this program and oversight will be provided by Associate Dean for Academic Affairs, Dr. Angela Starkweather

Funding and Financial Resources Needed

None

Other Resource Needs

None

Consultation with other potentially affected units

None

Who can apply to this program?

Internal applicants (current UConn students enrolled in another UConn degree or certificate program), External applicants (individuals who are not currently UConn students)

Anticipated term and year of first enrollment

Fall 2021

Admission Requirements

Master's degree from an accredited nursing program with GPA of 3.0 or higher

Term(s) to which students will be admitted

Fall

Application deadline: 4/1/2021

Initiator

Denise Bourassa, denise.bourassa@uconn.edu, 860-450-2603

Program Director Name

Denise Bourassa, Faculty, denise.bourassa@uconn.edu, 860-450-2603

Administrative Contact

Denise Bourassa, denise.bourassa@uconn.edu, 860-450-2603

ATTACHMENT 18

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Post-Master's Nurse Educator Certificate

RECOMMENDATION:

That the Board of Trustees approve a new Post-Master's Nurse Educator Certificate

BACKGROUND:

The 27 credit post-master's certificate is designed to meet the growing needs of nurse educators in both academia and healthcare settings. Clinical instructors are increasingly in demand to educate the growing number of undergraduate students as well as the current nursing workforce in healthcare settings. Clinical instructors working in clinical environments, with a direct care focus, are also needed to educate current nurses and support their continuing education for clinical knowledge and advancement. Individuals pursuing this certificate may be interested in teaching in a school of nursing, working in professional development or focusing on the education of a specific patient or community population.

The Nurse Educator Graduate Certificate is offered entirely online. It is designed for Masters-prepared nurses who wish to pursue a nurse educator role in institutions of higher education, staff development, or other education industries. The certificate program provides knowledge and skills on evidence-based and cutting-edge strategies for teaching and learning in nursing. The certificate will prepare students for eligibility to apply for national certification through the National League for Nursing as a Certified Nurse Educator (CNE). A minimum of 2,080 hours of clinical experience as a Registered Nurse (RN) providing direct patient care is required prior to application.

Anticipated enrollment is 12-16 students per year. No additional resources are needed for the program.

Request for New UConn Academic Degree Program

General Information

Name of degree program:	Post-Master's Nurse Educator Certificate
Name of sponsoring Department:	Nursing
Name of sponsoring College:	School of Nursing
Campuses:	Entirely Online
Contact persons:	Denise Bourassa
Type of Proposal:	New
Type of Program:	Graduate Certificate
Anticipated Initiation Date:	Fall 2021
Program Payment Type:	Tuition based
CIP Code:	51.0000: Health Services/Allied Health/Health Sciences, General.

Justification for the New Program

There have been requests for creating a non-clinical specialty area of concentration in the MS program that would in an addition to current MS prepared advance practice nurse practitioners. The post-master's nurse educator certificate will meet this need by preparing nurses for career advancement in nursing education. The changes to the Graduate Catalog copy is for information on required core courses for the other concentrations of the MS program.

Are there similar programs in CT or elsewhere?

There are two post-master's nurse educator certificates in CT.

What are the desired learning outcomes of the program?

Objective of the post-master's nurse educator certificate are as follows:

- Synthesize appropriate theories from nursing and related fields to respond to emerging health care challenges.
- Synthesize appropriate scientific findings and theories from nursing and related fields to lead change to improve outcomes.
- Influence health care policy for the benefit of person, community, nursing, and environment.
- Demonstrate PRAXIS at an advanced level of nursing in a selected area of concentration.
- Exert leadership in creating a collaborative and caring health care community.
- Synthesize best evidence and translate into practice to creatively improve health care quality and outcomes.

Program Description

The 27 credit post-master's certificate is designed to meet the growing needs of nurse educators in both academia and healthcare settings. Clinical instructors are increasingly in demand to educate the growing number of undergraduate students as well as the current nursing workforce in healthcare settings. Clinical instructors working in clinical environments, with a direct care focus, are also needed to educate current nurses and support their continuing education for clinical knowledge and advancement. Individuals pursuing this certificate may be interested in teaching in a school of nursing, working in professional development or focusing on the education of a specific patient or community population. Courses: Nurs 5060, Nurs 5070, Nurs 5062, Nurs 5700, Nurs 5710, Nurs 5720, Nurs 5870, Nurs 5235 and Nurs 5249

Proposed Graduate Catalogue Copy

Nurse Educator Post-Master's Certificate

The Nurse Educator Graduate Certificate is a nine-course (27-credit) graduate certificate program offered entirely online. It is designed for Masters-prepared nurses who wish to pursue a nurse educator role in institutions of higher education, staff development, or other education industries. The certificate program provides knowledge and skills on evidence-based and cutting-edge strategies for teaching and learning in nursing. The certificate will prepare you for eligibility to apply for national certification through the National League for Nursing as a Certified Nurse Educator (CNE). A minimum of 2,080 hours of clinical experience as a Registered Nurse (RN) providing direct patient care is required prior to application.

Required Courses: NURS 5060, 5062, 5070, 5235, 5249, 5700, 5710, 5720, 5870

One or more of the following course requirements may be waived with approval at the time of admission based on a student having taken equivalent courses: NURS 5060, 5062, 5070, and 5870.

Faculty Involvement

Dr. Denise Bourassa will serve as program director. Dr. Bourassa will serve as advisor for all students in the post-master's nurse educator certificate program. Other faculty in the school of nursing will be teaching in this program.

Enrollment and graduate projections

12-16 per year

Program Evaluation

The program will be evaluated for applicants, enrolled students, attrition and students dismissed for academic performance. Director will conduct quality improvement surveys to provide justification for program changes if necessary and provide information in the attainment of post-master's certificate program objectives. Finally, we will conduct post graduation surveys to students to track professional advancements, achievements, national certifications and student satisfaction with the program.

Program Administration

University graduate admissions office will develop applications in Slate. Program director will evaluate prospective students' application and conduct interviews to determine acceptance to the program. Program director will be advisor for students in this program and oversight will be provided by Associate Dean for Academic Affairs, Dr. Angela Starkweather.

Funding and Financial Resources Needed

None

Other Resource Needs

None

Consultation with other potentially affected units

The post-master nurse educator certificate will help increase student numbers in the Health Professions Education (HPE) Certificate. The post-master's educator certificate plan of study includes the HPE courses currently offered, Nurs 5700, Nurs 5710 and Nurs 5720.

Who can apply to this program?

Internal applicants (current UConn students enrolled in another UConn degree or certificate program), External applicants (individuals who are not currently UConn students)

Anticipated term and year of first enrollment

Fall 2021

Admission Requirements

Master's degree from an accredited nursing program with GPA of 3.0 or higher

Term(s) to which students will be admitted

Fall

Application deadline: 4/1/2021

Initiator

Denise Bourassa, denise.bourassa@uconn.edu, 860-450-2603

Program Director Name

Denise Bourassa, denise.bourassa@uconn.edu, 860-450-2603

Administrative Contact

Denise Bourassa, denise.bourassa@uconn.edu, 860-450-2603

ATTACHMENT 19

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Graduate Certificate in Dementia Care

RECOMMENDATION:

That the Board of Trustees approve a new Graduate Certificate in Dementia Care

BACKGROUND:

The 12-credit Dementia Care Graduate Certificate addresses an existing and growing market need to prepare nurses and other healthcare professionals on essential theories, concepts and skills for healthcare coordination of patients and caregivers affected by Alzheimer's Disease and Related Dementias (ADRDs). The Dementia Care Certificate offered through the UConn School of Nursing is designed to educate healthcare professionals and administrators for the common goal of improving care outcomes and quality of life for patients with ADRDs and their caregivers with a focus on culturally responsive and patient and family centered care principles. The knowledge learned through this program is valuable to nurses and healthcare professionals who are involved in the management of direct patient care and care coordination of patients with ADRDs. This certificate is offered entirely online.

Our anticipated enrollment for the initial year is 5 students, which we will hope to expand in year two to 10 students with a cap of 20 students. No additional resources are needed for the program.


ATTACHMENT 20



UNIVERSITY OF CONNECTICUT

Office of the President
Thomas C. Katsouleas
President

April 28, 2021

TO: Members of the Board of Trustees
FROM: Thomas Katsouleas 
RE: Naming Recommendation for the Richard DeFavero Conference Room

RECOMMENDATION:

That the Board of Trustees authorizes the naming of Room 304 in the W.B. Young Building of the College of Agriculture, Health and Natural Resources (CAHNR) on the Storrs Campus as the “Richard DeFavero Conference Room.”

BACKGROUND:

Richard “Dick” DeFavero CAHNR 56’, 58’ passed away in July. Mr. DeFavero and his wife Barbara are the largest benefactors to the College and the Department of Agricultural and Resource Economics.

The DeFaveros established three endowed scholarships within the Department, one in their family name and two others honoring professors Stewart Johnson and Stanley Seaver, respectively. In 2011, they also made contributions to establish a named Professorship in Agricultural and Resource Economics, committing to a planned gift to elevate this endowment to support an endowed chair. Mr. and Mrs. DeFavero have also been contributing annually to this professorship during their lifetimes and have given consistently for 23 years not only to these established endowments but to 4-H and the Department of Animal Science.

Mr. DeFavero was significantly engaged as an alum of the University as a lifetime member of the UConn Alumni Association and founding brother and president of Alpha Gamma Rho Fraternity. He maintained membership in the fraternity’s alumni association and gifted the resources for purchase and upgrade of the fraternity house. Mr. and Mrs. DeFavero have provided significant financial support to the University, but their contributions far exceed that which can be measured in dollars and cents.

He also served as a staunch advocate for the University and CAHNR, aiding in the engagement and mentoring of other alumni, donors, and potential students. Mr. DeFavero initiated a strong family legacy at UConn with his children and multiple grandchildren receiving degrees from the University and has been recognized with the College’s two top honors – the Distinguished Alumni Award and the Storrs Award, which is the College’s highest recognition.

The naming of the “Richard DeFavero Conference Room” will be a posthumous tribute to recognize Richard for his dedication to his alma mater and significant contributions. The proposed room to be named is currently used by the Department of Agriculture and Resource Economics, which is located in the W. B. Young Building at 1376 Storrs Road on the Storrs campus. The building is the main administrative building for the College of Agriculture, Health and Natural Resources and multiple College departments. Room 304 is a recently renovated conference room used for small meetings and seminars.

Mr. and Mrs. DeFavero’s significant financial contributions are consistent with the amount recommended for naming this room under the University’s *Named Gift Guidelines*.

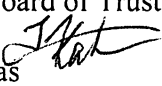
At its April 20, 2021, meeting, the Institutional Advancement Committee recommended approval to the full Board.

352 MANSFIELD ROAD, UNIT 1048
GULLEY HALL
STORRS, CT 06269-1048
PHONE 860.486.2337
FAX 860.486.2627
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ATTACHMENT 21

April 28, 2021

TO: Members of the Board of Trustees

FROM: Thomas Katsouleas 

RE: Naming Recommendation for the Joseph R. Marfuggi and Sherry L. Brown-Marfuggi Reception Area at UConn Hartford

RECOMMENDATION:

That the Board of Trustees authorizes the naming of the reception area located in the Department of Public Policy on the UConn Hartford campus as the Joseph R. Marfuggi and Sherry L. Brown-Marfuggi Reception Area.

BACKGROUND:

The UConn Department of Public Policy is located on the fourth floor of the UConn Hartford campus. A reception area welcomes visitors to the department and offers a space for students to gather, collaborate, and study.

In 2019, Mrs. Brown-Marfuggi created an endowed scholarship fund in memory of her late husband – the Joseph R. Marfuggi Memorial Scholarship Fund for Leadership in Urban and Community Studies. Mr. Marfuggi was a 1963 graduate of the College of Liberal Arts and Sciences and dedicated his life to public service in Connecticut, most notably as President and CEO of Riverfront Recapture, Inc. He had a passion for the City of Hartford and understood the significance of bringing UConn to the City.

Mrs. Brown-Marfuggi is a resident of Hartford and spent her career in public service, as well, serving as the long-time Chief of Staff to United States Senator Joseph I. Lieberman. She is a member of the UConn Foundation Founders Society and is a parent of a UConn alum.

Naming this space will posthumously recognize Mr. Marfuggi's significant contributions to the City of Hartford and Mrs. Brown-Marfuggi's commitment to UConn Hartford.


Mrs. Brown-Marfuggi has made a pledge in an amount that is consistent with the amount recommended for this space under the University's *Named Gift Guidelines*.

At its April 20, 2021, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 22

April 28, 2021

TO: Members of the Board of Trustees

FROM: Thomas Katsouleas 

RE: Naming Recommendation for Beekley Lab for Biosymmetrix
at UConn Health

RECOMMENDATION:

That the Board of Trustees authorizes the naming of Lab L-6080 located at the UConn Health Connecticut Tower in Farmington as the Beekley Lab for Biosymmetrix.

BACKGROUND:

Beekley Corporation, a medical products company, is dedicated to creating innovative solutions to improve diagnostic communication. Beekley introduced the first ever professional skin marker for diagnostic imaging, developed the “marking for meaning” shape system for mammography, and is an established company in the field of radiologic skin markers, biopsy, and patient care devices for mammography, breast biopsy, radiation therapy, MRI, CT-scan, and general imaging.

The existing lab proposed to be named is located on the sixth floor of the UConn Health Connecticut Tower in Farmington, Connecticut. It is currently being used for the Biosymmetrix Project. This biomedical engineering focused project utilizes the latest in 3D printing and light scanning technology to make customized breast forms that overcome the shortcomings of the current models. Dr. Liisa Kuhn and a team of UConn biomedical engineering students began making customized breast forms, unlike any available on the market now, for cancer survivors in Connecticut in early 2021.

The Beekley Corporation has been a generous donor to the University of Connecticut with a passion for cancer research and treatment. They have given to the Breast Fellow Education Fund at UConn Health, as well as to the Institute of Materials Science in Storrs.


The Beekley Family Foundation’s current pledge is an amount that is consistent with the amounts recommended for naming this lab under the University’s *Named Gift Guidelines*.

At its April 20, 2021, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 23

April 28, 2021

TO: Members of the Board of Trustees

FROM: Thomas Katsouleas 

RE: Appointment to Canvassing Board – Election of Alumni Trustee

RECOMMENDATION:

That Rachel S. Rubin, Executive Secretary to the Board of Trustees, be designated the Board of Trustees staff representative to the Canvassing Board for the Election of the Alumni Trustee.

BACKGROUND:

Under the provisions of the State Statutes (Sec. 10a-103), the Board of Trustees appoints one member of a three-person board which supervises the election of alumni Trustees. It has been the custom of the Board to name a Trustee staff representative to this post.

The following is an excerpt from the State Statute:

Sec. 10a-103 (Formerly Sec. 10-118) Appointment of Trustees.

“Such election shall be conducted by mail prior to September first under the supervision of a canvassing board consisting of three members, one appointed by the board of trustees, one by the board of directors of the alumni association of the university and one by the president of the university.”

ATTACHMENT 24

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D.
Provost and Executive Vice President for Academic Affairs

RE: Notification of Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut* Article XIV – The University Staff; section C, Academic Appointment and Tenure, and section K, Retirement

BACKGROUND:

The By-Laws of the University of Connecticut may be amended at any regular meeting of the Board by a recorded majority of all members of the Board, provided that notice of any proposed amendment, including a draft thereof, shall have been given at the previous regular meeting. This document represents such notice and the resolution calling for the described amendments should be acted upon at the next meeting of the Board.

The Covid-19 pandemic has caused significant disruption to normal University operations, slowing down the progress of research in many cases and creating additional workloads to rapidly transition in-person classes to an online mode of teaching. Last year a change to the bylaws was passed which allowed then currently-employed tenure track faculty who had not yet submitted their dossier to request an additional year before being considered for tenure. The proposed changes to XIV.C extend these changes by allowing them to apply to faculty who were began their employment with the University prior to March 1, 2021. It will also allow faculty who qualified for an FMLA-related additional year on their tenure clock during the Covid-19 pandemic to be able to add both the FMLA- and Covid-19-related extensions to their tenure clock.

Previously, any faculty member who was not at the rank of full professor and who had not served in this rank for at least five years, had to apply to the University Retirement Committee to request emeritus status. The proposed changes, with the support of the University Senate, would allow faculty who are at the rank of associate professor or equivalent in non-tenure track faculty ranks, and who had been at the University for at least five years, to automatically receive emeritus status. The changes also clarify the route through which emeritus status may be revoked, and update the name of the Retirement Committee to the Emeritus Committee.

For the information of the Board, also attached is the original language of Article XIV.C.4 and Article XIV.K, with indications of the proposed amendments.

Proposed Changes to the *By-Laws of the University of Connecticut* (Deleted items in strikethrough; new language underlined)

Section XIV.C.4

Given the disruptions presented by the COVID-19 pandemic and how they impact scholarly productivity, faculty holding tenure-track appointments are offered a one-year extension to the tenure clock. This extension shall apply to tenure-track faculty members who were employed by the University on March 1, 2020 and whose tenure case is scheduled to be reviewed in the 2020-2021 academic year or later. This extension shall also apply to tenure-track faculty members who began their employment between March 2, 2020 and March 1, 2021. The Provost's Office shall implement a procedure for eligible faculty to request the extension. ~~Faculty members shall receive only one tenure clock extension between March 1, 2020 and December 31, 2020, regardless of the reason(s) for which a faculty member may qualify for an extension."~~

Section XIV.K.2

- a. The faculty member holds the rank of Associate Professor or full Professor or equivalent titles for clinical, in-residence and extension [CIRE] faculty ~~(e.g. Senior Extension Educator)~~ at the University of Connecticut.
- b. The faculty member has served at the University of Connecticut for at least five years. ~~at this rank.~~

There shall be a standing University of Connecticut ~~Retirement~~ Emeritus Committee. Faculty members who do not meet both conditions described in Section 2a and Section 2b above may become emeriti by vote of the Board of Trustees or the Health Center Board of Directors following recommendation of the President and the ~~Retirement~~ Emeritus Committee. Other professional staff are also eligible for this designation following recommendation of the President and the ~~Retirement~~ Emeritus Committee.

Section XIV.K.4

4. Emeritus status is a privilege, not a right, and can be revoked at any time at the request of the President and/or Retirement Committee with approval of the Board of Trustees.

ATTACHMENT 25

April 28, 2021

TO: Members of the Board of Trustees

FROM: Thomas Katsouleas 

RE: Naming Recommendation for the Vergnano Institute for Inclusion in the School of Engineering

RECOMMENDATION:

That the Board of Trustees approves naming the Engineering Diversity and Outreach Center as the Vergnano Institute for Inclusion. The Director of the Institute will report to the Dean of the School of Engineering.

BACKGROUND:

Mark Vergnano has documented his intent to provide philanthropic support to the Foundation for the development of an endowed institute in the School of Engineering to enhance and oversee diversity and outreach efforts, as well as providing scholarships for underrepresented engineering students.

The Vergnano Institute will provide programming, mentoring, and outreach to students through alumni, staff and faculty, as well as community programming and K-12 outreach. The Institute will also help obtain internships and co-op placements. The Vergnano endowment will make it possible for the EDI (equity, diversity, and inclusion) activities in the School of Engineering to go beyond disciplinary boundaries, and through integration of undergraduate EDI, graduate EDI, faculty scholarship and pedagogy, and community programming create a radically new paradigm for broadening participation. The Institute's programs will be also coordinated and connected with the Office for Diversity and Inclusion, as well as the EDI efforts of industry across the state, which are ultimately the employers of our graduates.

Mr. Vergnano received his bachelor's degree in chemical engineering from UConn in 1980 and began working for the DuPont corporation while earning an MBA at night. This combination allowed him to have a very successful career at DuPont, eventually becoming the first President and CEO of the Chemours Company when DuPont created the spinoff. His wife, Betsy Reddington Vergnano, also received her bachelor's degree from UConn in communications in 1981.

The philanthropic support of Mr. Vergnano provides endowed support for the Institute at a level consistent with that required to name the Institute in his honor under the University's *Named Gift Guidelines*.

At its April 20, 2021, meeting, the Institutional Advancement Committee recommended approval to the full Board. The Academic Affairs Committee will consider this recommendation at its April 28 meeting.

Vergnano Institute for Inclusion

Mission Statement:

The Vergnano Institute for Inclusion is a proactive and adaptive organization with distributed governance that will nurture and sustain an anti-racist and anti-discriminatory culture. The Institute will create a powerful and cohesive force for change in the School of Engineering and the communities we serve where differences empower us all. Inspired by the power of diversity and inclusion, we will be an equity-minded community where engineers innovate and change the world for the better.

Our Community:

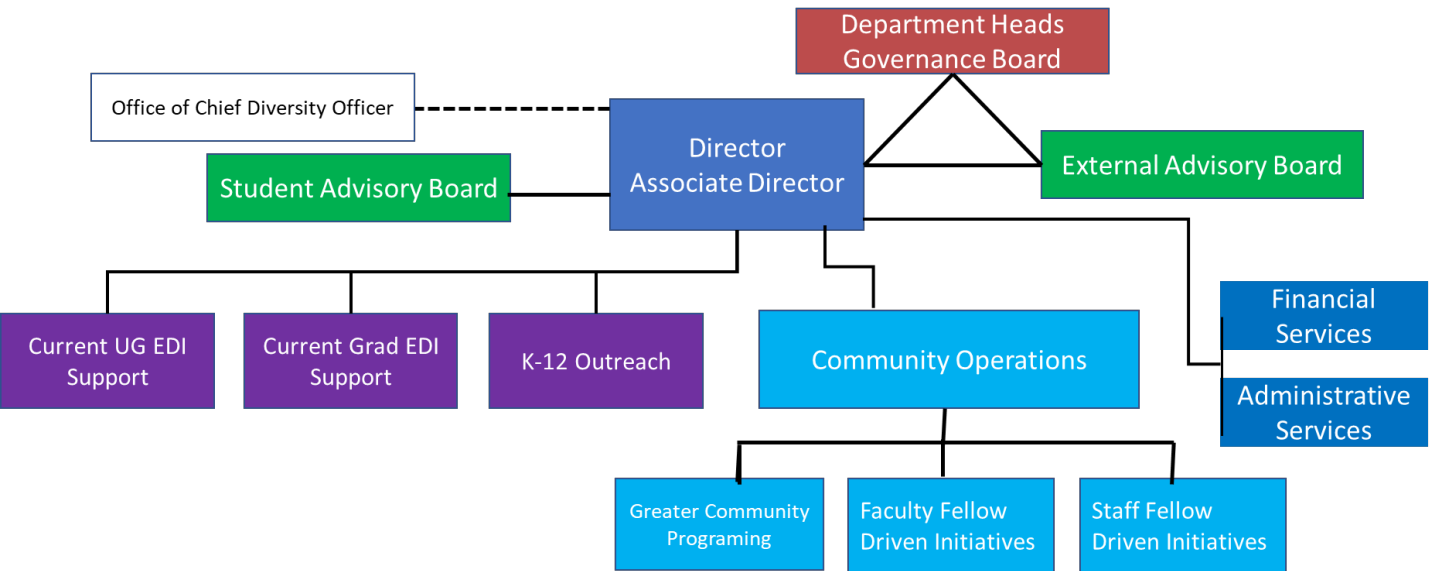
- Undergraduate Students
- Graduate Students
- K-12 Students & Teachers
- UConn Faculty & Staff
- Community Partners (Alumni, Industry, Donors, etc.)

Programs:

We are committed to investing in the success of our community by providing support, accessibility, leadership and professional skills, academic guidance, financial assistance, mental wellness outlets, and communication expertise, through programming and organizations.

Vergnano Institute for Inclusion – (Proposed Organizational Structure)

Executive Committee, Dean & Assoc. Deans
Ass. Deans of UG and Grad will oversee relevant activities in the Inst.



Undergraduate Support and Growth

- BRIDGE
- Student Organization Advising and Mentoring
- LSAMP
- Scholarships
- (new) Industry-Specific Programs
- (new) Undergraduate Research Connections
- Engineering for Impact Class – EA & EATG,EWB, NSBE, SHPE, SWE
- BOSS LADI
- Science of Happiness
- EA Comm. Class

Graduate Student Support and Growth

- (new) BRIDGE+
- John Lof Leadership Academy
- Grad NSBE
- (new) Grad SHPE and SWE
- SAGE
- Bridge to Doctorate Fellows
- GE Fellows
- SOE Poster Sessions
- Fellows support
- Entrepreneurship & Innovation
- Diverse grad student recruiting
- (new) "FYE" for Grad Students
- Alumni connections
- Scholarships

K-12 Outreach & Access

- Pre-Engineering Program
- Multiply Your Options
- Engineering Your Future
- Sisters in STEM
- (new) EngiQueer
- (new) Soy Ingeniera
- Explore Engineering
- SPARK
- Connecticut Invention Convention
- Science Bowl
- School Science/Career Fairs
- Individual School Programs
- The DaVinci Project
- Joule Fellows

Greater Community Programming

- Community Conversations
- Equity and Justice Seminars
- Inclusive Excellence Program: Justice, Equity, Transformation
- Students' CAN
- (new) "Holistic Engineer" Courses
- Data Feminism
- Environmental Justice
- Inclusive & Effective Scientific Communication

Faculty Fellow Driven Initiatives

- (new) Self organized by faculty who chose to be members "Fellows" of the Institute
- Support for supplements on diversity to research grants
- Support for educational grants with EDI focus
- Support for EDI pedagogy
- Creating and assessing research positions and mentoring for URM students

Staff Fellow Driven Initiatives

- (new) Self organized by staff members who chose to be members "Fellows" of the Institute
- Subject to approval of supervisors, staff members will get up to 10% of their work assigned to programs in EDI that they are interested and passionate about.
- Equity-minded and culturally responsive engineering advising

ATTACHMENT 26

April 28, 2021

TO: Members of the Board of Trustees

FROM: Carl W. Lejuez, Ph.D.
Provost and Executive Vice President for Academic Affairs

RE: Academic Program Inventory



BACKGROUND:

The Office of Higher Education maintains an inventory of approved academic programs offered by public and independent colleges and universities in Connecticut. Those listings are available to the general public through the Office's web site and provide the most accurate up-to-date information about programs of study in our state.

The information on the Inventory of Approved Academic programs is an important resource and is used to convey educational information to a broad range of constituencies, both in Connecticut and across the country. Additionally, in order for veterans to receive their earned educational benefits, they must be enrolled in a program that is accredited.

The following non-substantive changes and updates are provided to the Board for informational purposes.

Non-Substantive Changes and Updates

- Eliminate program; Sixth-Year Diploma - Educational Technology and Special Education
- New concentration, BS—DNP (post-bachelors certificate—Doctor of Nursing Practice) Nurse Leader
- Change the modality of the Adult-Gerontology Acute Care Nurse Practitioner Master's Degree Concentration (to solely online)
- Add additional listed concentrations for Sixth Year Diploma in Educational Psychology (Educational Technology & Special Education)
- Additional hybrid modality, Master of Arts in Curriculum and Instruction

ATTACHMENT 27

**REPORT ON THE UNIVERSITY OF CONNECTICUT'S
COMPLIANCE WITH CGS 1-84(r)
FACULTY CONSULTING PROGRAM**

February 26, 2021

Report Issued by the Faculty Consulting Oversight Committee

SUMMARY

Pursuant to a change in the Connecticut State Statutes and action by the University of Connecticut Board of Trustees, new policies and procedures for approving consulting activities for the Faculty and members of the AAUP bargaining unit were implemented in December 2007. The Board of Trustees approved the latest revisions to these policies in April 2013.

Faculty Consulting Offices (FCOs) were established in Storrs and at the UCHC that have provided training to those who consult and for those who must participate in the consulting approval process, such as department heads and deans. A University-wide Consulting Management Committee (CMC) was convened to provide recommendations regarding the identification and management of potential Conflicts of Interest arising from consulting activities.

As required by CGS 1-84(r), the Faculty Consulting Oversight Committee was convened including members appointed by the Legislature/Executive branch and from the Citizens' Ethics Advisory Board. This Committee has met on a regular basis to review the implementation of the consulting program and to review the audits of the program conducted by the University's Office of Audit, Compliance and Ethics.

Implementing this program required development of policies, procedures, forms and databases; identification and training of staff; and awareness and training of faculty, department heads, and deans. The Office of Audit, Compliance and Ethics has carried out annual (and previously semiannual) audits as required and, as expected, have identified areas in which clarifications and improvements have been recommended. The University has developed procedures to address all identified issues in a timely and appropriate manner.

The Faculty Consulting Oversight Committee has determined that the University of Connecticut complies with CGS 1-84(r). The oversight required by the Act, including the Faculty Consulting Oversight Committee itself and the audits, has and will continue to perform ongoing review, assessment and improvements to the program.

The Oversight Committee has no explicit recommendations for improvement of the program at the current time and has concluded that the University has made a committed effort to oversee the process and implement improvements, as necessary. While the Committee is aware of a recent State audit finding regarding consulting, it is satisfied this finding concerns the case of a single faculty member out of ~700 who consult annually and the issue has been appropriately addressed by the University.

To date, the program, with its policies, procedures, and implementation, have resulted in a system that proactively identifies and manages potential conflicts of interest. Any individual who does not

participate with the program is subject to sanctions by the University and may also be subject to additional sanctions by the Office of State Ethics.

BACKGROUND

Public Act (PA) 07-166 (Section 12)¹, approved on June 19, 2007, created a carve-out from the portion of the State Ethics Code dealing with consulting. Participating in appropriate consulting activities is viewed as being mutually beneficial for the University and its faculty and the intent of the Act is to enable such activities.

This carve-out applies to faculty and members of the faculty bargaining unit (herein fore referenced as “faculty”) of a constituent unit of the State system of higher education. In the context of the Act, “consulting” represents situations in which faculty are compensated for services rendered while not acting as a State employee. The request to consult must be based on the faculty member’s expertise in a field or prominence in such field and not due to the State position held. Faculty must receive prior approval before such consulting begins. No other State agency requires prior approval or any such mandated disclosure of outside employment activities.

The Act transfers final authority for approval of such activities to the University and it allows management plans to be implemented for addressing perceived conflicts of interest. Specifically, the legislation allowed these individuals to enter into a consulting agreement with a public or private entity, provided such agreement or project does not conflict with the individual’s employment as determined by policies established by the Board of Trustees for such constituent unit.

This carve-out from the State Ethics Code is predicated on a set of requirements being met including significant institutional oversight. The University of Connecticut Board of Trustees (BOT) approved the University’s “Policy on Consulting for Faculty and Members of the Faculty Bargaining Unit,” and reviewed the operational procedures for implementation, on September 25, 2007. The Policy and Procedures define a consistent set of rules for consulting for all the faculty of the University. These documents have been reviewed and revised by the BOT several times since 2007 in order to make improvements to the program.²

The new consulting system became fully operational on December 15, 2007. Since that time, both the Storrs Campus and UConn Health campus have each established a Faculty Consulting Office (FCO) with reporting lines and staffing. Usage data systems have been developed by each FCO. Further, both campuses have developed on-line and live training programs.

Requests to consult must be reviewed and approved by each faculty member’s department head, dean, and the provost’s designees (one for each campus). A subset of consulting activities with very low risk of conflict of interest are eligible for an accelerated approval process that only requires the approval of the department head.

¹ See <http://consulting.uconn.edu/state-statutes/>

² The policy and procedures governing consulting may be found at: <http://consulting.uconn.edu/consulting-policies-procedures/>

At the end of the fiscal year, each faculty member must submit a reconciliation report indicating variances from the requested time spent consulting during the normal work time and confirming any and all appropriate reimbursements for use of University resources, if any.

If a faculty member does not adhere to the provisions described in the Act or the University's Consulting Policy and Procedures, the Office of State Ethics retains jurisdiction over the activity and has the responsibility for assessing compliance with the State Code of Ethics and whether additional sanctions are justified. Violations of the University's Consulting Policy and Procedures will in addition be subject to sanctions issued by the University which may result in termination.

CONSULTING MANAGEMENT COMMITTEE (CMC)

As required by the implementation procedures, a University-wide Consulting Management Committee (CMC) was first convened on December 10, 2007. The CMC is authorized to review and recommend disposition of certain consulting situations that do not have obvious resolution. The CMC also offers input on unforeseen situations put before it that may arise as a result of consulting activities. To date, the CMC provided advice to the directors of the Faculty Consulting Offices on an *ad hoc* basis, and has also reviewed eleven general situations resulting in formal position papers. For example, based on one position paper, participation in promotional presentations with pharmaceutical companies has been banned.³

AUDITS

As required in CGS 1-84(r), the University's Office of Audit, Compliance and Ethics has conducted audits on the University's faculty consulting program⁴. Per standard practice, management has had the opportunity to provide responses to each audit finding and recommendation. These audits are reviewed by the Joint Audit and Compliance Committee of the Board of Trustees as well as by the Faculty Consulting Oversight Committee.⁵

FACULTY CONSULTING OVERSIGHT COMMITTEE

As required in CGS 1-84(r), the Faculty Consulting Oversight committee meets on an on-going basis to review the University's compliance with CGS 1-84(r), and to file annual reports regarding such compliance with the University's Board of Trustees and to the Legislature. This document is the twelfth in a series of such reports.⁶ The Committee's responsibility is to ensure that the University complies with the provisions of the Act and the University's policies and procedures on consulting. It may also make recommendation for improvements to the consulting program.

The membership of the Faculty Consulting Oversight Committee is:

³ These position papers and the minutes of the CMC may be found at <http://consulting.uconn.edu/consulting-management-committee/actions/>

⁴ Initially, audits were required twice a year but the legislature changed this to a once a year audit schedule starting in FY 12.

⁵ Copies of past audits may be found at: <http://consulting.uconn.edu/reports-and-audits/>

⁶ Past reports may be found at: <http://consulting.uconn.edu/reports-and-audits/>

Name	Background
Cobb, C.	Professor, School of Education
Dennis-Lavigne, A	Member, Board of Trustees
Chiusano, C.	Citizen's Ethics Advisory Board
Fox, K.	Professor Emerita, School of Business
Freedman, J. (chair)	Former Legislator
Krisst, I.	Former UConn Administrator
Silbart, L.	Professor, School of Allied Health
Siegle, D.	Professor, School of Education

The Committee last met on January 27, 2021 and reviewed and approved this annual report by email on February 23, 2021. It has reviewed the FY 19 final internal audit report and the FY 2020 annual report of the Faculty Consulting Offices (attached⁷). The former was presented at the Joint Audit and Compliance Committee of the University's Board of the Trustees and the latter will be reviewed at an upcoming meeting of the Board of Trustees along with this report from the Oversight Committee.

The Committee believes the program was effectively initiated and through ongoing revisions has been improved. In fact, in many regards the program is more rigorous than those governing other State employees not covered by CGS 1-84(r), especially through the requirement for approval prior to the consulting activities taking place.

Annual audits have led to improvements to the Consulting Program including revisions to the consulting request form, enhancements to the training program, improving the clarity and predictability of decision making, and assuring sufficient information is available to inform the decision making of the approvers. The University has developed an on-line request form/approval process that became operational in FY 12 and has been revised in FY 13, FY 14, FY 15 and replaced on October 1, 2020. This system has successfully addressed its objectives. All of these actions assure compliance with CGS 1-84(r).

The initial implementation of the consulting program, both in terms of logistics and compliance, has met initial expectations. There have been occasional differences in interpretations regarding the requirements of CGS 1-84(r) and the University's Policy and Procedures. The audit processes in place appear to be working to identify such areas and to report them to senior management and to the Faculty Consulting Oversight Committee. Management has demonstrated its willingness to address the audit findings in a timely manner. Management appears to be keenly aware of the need to fully comply with CGS 1-84(r).

The Committee takes note that the number and severity of audit finding has decreased over time and this demonstrates management's commitment to operate the program optimally and in full compliance with the Legislature's intent for the program. The Committee also takes notes that the two Faculty Consulting Offices (Storrs/regional campuses and at UConn Health) work together exceedingly well and thus present a consistent and reliable approach to consulting across all the units of the University.

The Oversight Committee did not issue recommendations for improvement for FY 2020.

⁷ The Office of Audit and Managerial Services has found this report to be materially correct.

VOLUME OF CONSULTING ACTIVITIES

In FY 20, the twelfth full year of operations, the Faculty Consulting Office on the Storrs Campus received 1,133 requests to consult from 503 individuals. The UConn Health office received 690 requests from 207 individuals. Both campuses had a 100% response rate for those individuals required to complete reconciliation reports. The FY 20 annual report of the University's Faculty Consulting Program is attached.

THE UNIVERSITY OF CONNECTICUT CONSULTING PROGRAM FY 2020 ANNUAL REPORT

SUMMARY

FY 20 (July 1, 2019 – June 30, 2020) represented the Twelfth full year of operation of the University's consulting program that was implemented in mid FY 08. The policies and procedures remained materially the same throughout the year.

In the first quarter, the primary administrative staff of the UConn faculty consulting office was filled by Dr. Sarah Croucher. In the 3rd quarter, Dr. Carl Lejuez was appointed Provost.

The Faculty Consulting Offices (FCOs) continue to maintain close working relationships with the Office of the Vice President for Research, the Office of Research Compliance, and the newly convened Clinical Conflict of Interest Committee at UConn Health. Both FCOs now regularly receive updates on the establishment of new faculty affiliated companies.

In the third quarter, the FCOs learned of the need to replace the current on-line faculty consulting approval system with a new system by July 1, 2021. In the fourth quarter this deadline was shifted to October 1, 2020. This was successfully accomplished.

As mandated by legislation, the Faculty Consulting Oversight Committee (including a member of the Citizen's Ethics Advisory Board and members appointed by the Legislature) filed its eleventh annual report with the UConn Board of Trustees and to the Legislature in the winter of 2020. This was a positive report. The Oversight Committee continues to monitor all audit reports related to the program and will issue its eleventh report in the winter of 2020.

CONSULTING MANAGEMENT COMMITTEE

The Consulting Management Committee (CMC) discussed the issue of consulting time for management-exempt faculty at the Health Center, delivering a recommendation to Provost Lejuez which assisted in updating policy relating to consulting-time for all UConn management-exempt faculty. The CMC also met to discuss the upgrade from OFCAS-3 to OFCAS-4.

The CMC continued to review the audit reports and monitor the implementation of management plans.

PERFORMANCE NUMBERS: STORRS+ CAMPUS

The Faculty Consulting Office (FCO) on the Storrs+ Campus received 1,133 consulting requests from 503 individuals, accounting for approximately 33% of the full-time faculty who would be eligible to consult¹ (Table 1). Of these, 1,069 were approved (94.3% of the total), 11

¹ The total number eligible faculty was obtained from the UConn 2020 Fact Sheet.

were denied (0.9%), 31 were withdrawn (2.7%), and 22 were “stuck” in the OFCAS system at stages below the FCO (1.9%). In FY19, the FCO received 1,135 requests from 455 individuals with 84.7% approved, 1.2% denied, 4.5% withdrawn, and 1.5% “stuck” in the OFCAS system.

All data were analyzed using the information submitted on the FY20 Annual Reconciliation Report. Reconciliation reports have been received from all individuals who engaged in consulting activities, with the exception of three faculty who failed to reconcile on time and then could not formally reconcile their requests as OFCAS-3 was closed to new actions immediately after the deadline for reconciliation. Fourteen individuals (20 requests) left employment with UConn-Storrs+ and did not complete a report.

Of the 1,055 approved and reconciled activities, 911 occurred (86%) and 144 (14%) were not performed. The Storrs+ FCO issued first-offense verbal or written sanctions to 13 faculty members and one faculty member received a suspension from consulting for one year. Of these, sanctions were issues for failure to submit, late submission, unanticipated compensation, failure to reconcile on time, and starting consultation activity prior to receiving approval. There were no known cases of other non-compliance with the consulting policy.

The mean amount of time spent consulting during normal work time was 3.97 days. Two faculty members reported exceeding the Provost’s recommended maximum of an average of one day per week during normal work hours (39 days for a nine-month appointment). Nine faculty members (1.8% of those who consulted) indicated on their reconciliation reports (9 unique activities) that they used more time during the normal work hours than originally estimated (Table 2). The maximum number of additional days was 9 with a mean of 3.0 days.

PERFORMANCE NUMBERS: UCONN HEALTH CAMPUS

The FCO on the UConn Health Campus received 690 consulting requests from 207 individuals (Table 3). Of these, 662 were approved (95.9% of the total), 13 (1.9%) were withdrawn or system errors, and 15 (2.2%) were denied. In FY 19 the FCO received 838 requests from 217 individuals with 94.7% approved and 2.0% denied.

Reconciliation reports were received on time from all those who engaged in consulting activities and who remained on the payroll when reconciliation reports were due. Several individuals left employment with UConn Health and therefore 9 approved activities did not have reconciliation reports.

Of the 662 approved activities, 556 occurred and were reconciled (84.0%). There were 184 unique faculty members who performed at least one consulting activity during the fiscal year. The mean amount of time spent consulting during normal work time per faculty member was 3.62 days with a maximum of 36.0 days and a median of 2.0 days. The UConn Health FCO issued first-offense verbal or written sanctions to 15 faculty members. One second-offense written warning was issued. Of these, all of the “offenses” were for late submissions or unanticipated compensation. It was determined that all of these requests would have been approved if submitted on time.

Four faculty members used more time during the normal work hours than originally estimated and approved (Table 4). Based on our pre-established threshold of more than 1 day, the department head level approver was notified of one faculty member who used more than one authorized day than was approved. The department chair will determine the appropriate action.

AUDIT FINDINGS

By State statute, the consulting program must be audited by the internal audit office of each constituent unit which is currently the Office of Audit and Management Advisory Services. The original audit schedule was twice a year, but starting in FY 13 the requirement was revised to once each year².

The fifteenth audit, covering the period of time from July 1, 2018 - June 30, 2019 (FY 19) was issued on March 5, 2020. The auditors' overall conclusions were that the University is in compliance with CGS 1-84(r) and the University's Policy on Consulting. The auditors also concluded that the FY 19 Annual Report of the Faculty Consulting Office was materially correct.

The auditors identified a small number of cases submitted to the Storrs+ FCO in which faculty submitted requests to consult late, some of which were approved, and some of which were not approved but there was no documentation in the sanctions log that the appropriate verbal or written warning had been issued. In addition, they identified a number of cases in which the request had been submitted with less notice than is requested by the Faculty Consulting Offices, but in fact were reviewed and approved on time.

The auditors recognized that prior efforts had been made to address these issues that were operationalized late in FY 19 and would not be reflected yet in the data they reviewed. These included: 1) the development of a common set of standard internal operating procedures for use by both FCOs for identifying and handling non-compliant requests. (These were based on each office's best practices and a copy was sent to the auditors.) 2) Implementation of additional training and reminders as well as a pro-active system for considering whether faculty owners of newly reported faculty-affiliated companies had appropriate, approved consulting requests on file.

The auditors' recommendation was to continue these efforts.

The auditors identified a small number of cases in which consulting requests had not been submitted but activities had taken place and determined these may have been avoided with better management oversight. The recommended a mandatory refresher training for all UConn Health department head and dean level approvers, revisions to the pre-employment orientation letter sent to all incoming faculty by the UConn Health FCO, and the review of the sanctions policy to address the few faculty who tend to under-report their level of

² All finalized audit reports, FCO annual reports, and Oversight Committee annual reports are posted on the University's consulting web site: <https://consulting.uconn.edu/>

compensation and thus were eligible for the accelerated review process which only includes department head level approvers.

The pre-employment letter and approver training were completed in the Fall of 2020. The review of the sanctions policy will be considered in the spring of 2021, but the FCOs noted that in almost all cases, the activities in question were situations in which we perceive a very low risk for conflict of interest and additional review would not have been warranted even if the higher compensation level had been reported.

The auditors also noted the need for succession planning for the Director of UConn Health FCO. Since then, it has been determined that director will be leaving the position as of July 1, 2021 (but continuing his employment at UConn Health as a part-time teaching faculty member.) A search committee to refill this position was convened in the Fall of 2020 and his successor should be named in early 2021. It is unclear at this time what the plans are for training this new director.

The auditors noted the need to replace the on-line faculty consulting approval system (OFCAS-3) with a totally new program that would run on a new server platform (OFCAS-4). The target date for this new system was July 1, 2021 and the auditors made suggestions for upgrades.

Since the audit report was issued, the deadline for the new system was changed to October 1, 2020. This deadline was met and the auditors were included in the planning process.

The auditors noted that Faculty Affiliated Companies are a risk area in terms of potential conflicts of interest. They encouraged more data sharing between the FCO and the Office of the Vice President for Research. After review, both offices agreed the best approach to proceed was to give the OVPR full, real-time access to the new OFCAS-4 system and its data. That took place in the fall of 2020.

Once again, the auditors raised their concern that there should be a cap on how much time a faculty member may consult (whether that be on normal work time, or nights, weekends, holidays or vacation days) and that all management-exempt faculty should be required to use vacation days when consulting during the normal work week even if they make that time up on nights, weekends, holidays, etc. These matters have been considered twice by the University's Consulting Management Committee and over the summer of 2020 they were considered by our new Provost, Dr. Carl Lejuez.

The Provost has determined the existing rules concerning the amount of consulting will stay in place as we are comfortable that we can ensure that each faculty member is fully performing his/her state duties. Provost Lejuez has issued a new policy for consulting that applies to management-exempt faculty that for the first time is the same for both campuses. This requires such faculty to document how they are making up for any time spent consulting during normal work time at other times such as nights, weekends, holidays and vacation days.

During the summer of 2020, the Auditors of Public Accounts (aka the State Auditors) issued a report for UConn Health for FY 17 & FY 18 that included one finding related to faculty consulting. They identified one case in which a UConn Health faculty member did not have annual performance evaluations on record for the last several years despite having performed a large number of consulting activities. Such evaluations are our usual methodology for documenting each faculty member has fully performed his/her State job. While senior management believes there is other evidence this faculty member has been fully performing his job, an immediate formal performance evaluation took place and will now take place annually.

OPEN PAYMENTS

The Open Payments program mandated by the Federal Affordable Care Act has completed its seventh cycle including the public release in June 2020 of payment information for CY 19. This program requires medical/dental device manufacturers and pharmaceutical companies to report payments made to certain healthcare providers (including physicians and dentists) to the Center for Medicare and Medicaid Services (CMS). The current Open Payments web site is extremely easy to use. To date, no known negative press coverage regarding UConn Health faculty have occurred. The strong collaboration between the Communications Office and the FCO continues and as was needed in the past, we are ready to explain how the faculty consulting program and its oversight mechanisms vigorously monitor and manage possible conflicts of interest.

ISSUES FOR FY 21

- The on-line faculty consulting approval system (OFCAS-3) was replaced on October 1, 2020. The new system (OFCAS-4) was developed and tested in a short period of time. While it has several significant enhancements, one drawback was the need to transfer live data from the OFCAS-3 system into the new database which using different data fields. As a result, the summary data for FY 21 will be more difficulty to assemble and analyze.
- On July 1, 2021, Dr. Scott Wetstone, Director the UConn Health Faculty Consulting Office will be stepping out of this role. During FY 21, his replacement will need to be identified and trained.

M. Bradford
S. Croucher
S. Wetstone
January 24, 2021

Table 1 – Storrs+ Requests to Consult

Requests	Total	ATHL	BUS	CAHNR	CLAS	ED	ENG	FA	LAW	NURS	PHARM	SW	Other
#	1133	20	42	96	365	108	104	90	49	46	100	16	97
%	100%	1.77%	3.71%	8.47%	32.22%	9.53%	9.18%	7.94%	4.32%	4.06%	8.83%	1.41%	8.56%
Unique Faculty	Total	ATHL	BUS	CAHNR	CLAS	ED	ENG	FA	LAW	NURS	PHARM	SW	Other
#	503	10	31	40	170	49	57	31	19	17	30	9	40
%	100%	1.99%	6.16%	7.95%	33.80%	9.74%	11.33%	6.16%	3.78%	3.38%	5.96%	1.79%	7.95%
Requests/faculty	Total	ATHL	BUS	CAHNR	CLAS	ED	ENG	FA	LAW	NURS	PHARM	SW	Other
mean	2.25	2.00	1.35	2.40	2.15	2.20	1.82	2.90	2.58	2.71	3.33	1.78	2.43
10 or more requests	10	0	0	1	3	1	0	1	1	1	1	0	1

“Other” includes faculty affiliated with the Provost’s Office and the Vice President for Research, including four UConn Health employees.

Table 2 – Storrs+ Reconciliation Report Variances for Time During Normal Work Hours

Effort During Normal Work Days	
# of faculty with extra days	9
% of faculty with extra days	(1.8%)
min	0.5
max	9.0

Table 3 – UConn Health Requests to Consult

Requests	Total	SoDM	SoM
#	690	95	595
%		13.8%	86.2%
Individual faculty	Total	SoDM	SoM
#	207	39	168
%		18.8%	81.2%
Requests/faculty	Total	SoDM	SoM
mean	3,3	2.4	3.5
median	2.0	2.0	2.0
10 or more requests	9	1	8

Table 4 – UConn Health Reconciliation Report Variances for Time During Normal Work Hours

Effort During Normal Work Days	
# of faculty with extra days	4
% of faculty with extra days	(2.2%)
min	0.2
max	1.5

ATTACHMENT 28

UNIVERSITY OF CONNECTICUT
TENURE TRACK REAPPOINTMENTS
PRESENTED TO THE BOARD OF TRUSTEES FOR INFORMATION - April 28, 2021

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>
Blackman Carr, Loneke	Assistant Professor	Nutritional Sciences	Agriculture, Health and Natural Resources
Brandt, Jessica	Assistant Professor	Natural Resources and the Environment	Agriculture, Health and Natural Resources
Choi, Sangyong	Assistant Professor	Nutritional Sciences	Agriculture, Health and Natural Resources
Colon-Semenza, Christina	Assistant Professor	Kinesiology	Agriculture, Health and Natural Resources
Connolly, Christina	Assistant Professor	Agricultural and Resource Economics	Agriculture, Health and Natural Resources
Cooksey Stowers, Kristen	Assistant Professor	Allied Health Sciences	Agriculture, Health and Natural Resources
Earp, Jacob	Assistant Professor	Kinesiology	Agriculture, Health and Natural Resources
Fahey, Robert	Assistant Professor	Natural Resources and the Environment	Agriculture, Health and Natural Resources
Fragomeni, Breno	Assistant Professor	Animal Science	Agriculture, Health and Natural Resources
Fragomeni, Mariana	Assistant Professor	Plant Science and Landscape Architecture	Agriculture, Health and Natural Resources
Glaviano, Neal	Assistant Professor	Kinesiology	Agriculture, Health and Natural Resources
Harrison, Steven	Assistant Professor	Kinesiology	Agriculture, Health and Natural Resources
Knighton, James	Assistant Professor	Natural Resources and the Environment	Agriculture, Health and Natural Resources
Kwon, Oh Sung	Assistant Professor	Kinesiology	Agriculture, Health and Natural Resources
Lawrence, Beth	Assistant Professor	Natural Resources and the Environment	Agriculture, Health and Natural Resources
Lee, Dong-Hun	Assistant Professor	Pathobiology and Veterinary Science	Agriculture, Health and Natural Resources
McCaffery, Jeanne	Associate Professor	Allied Health Sciences	Agriculture, Health and Natural Resources
Mishra, Neha	Assistant Professor	Pathobiology and Veterinary Science	Agriculture, Health and Natural Resources
Park, Sohyun	Assistant Professor	Plant Science and Landscape Architecture	Agriculture, Health and Natural Resources
Srinivasan, Sudha	Assistant Professor	Kinesiology	Agriculture, Health and Natural Resources
Steinbach, Sandro	Assistant Professor	Agricultural and Resource Economics	Agriculture, Health and Natural Resources
Upadhyay, Abhinav	Assistant Professor	Animal Science	Agriculture, Health and Natural Resources
Xu, Ran	Assistant Professor	Allied Health Sciences	Agriculture, Health and Natural Resources
Zhu, Zhe	Assistant Professor	Natural Resources and the Environment	Agriculture, Health and Natural Resources

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>
Bai, Hang	Assistant Professor	Finance	Business
Bai, Miao	Assistant Professor	Operations and Information Management	Business
Bao, Weining	Assistant Professor	Marketing	Business
Brown, Stephen	Assistant Professor	Accounting	Business
Cardonha, Carlos	Assistant Professor	Operations and Information Management	Business
Chen, Wei	Assistant Professor	Accounting	Business
Coles, Ryan	Assistant Professor	Management	Business
Deng, Yao	Assistant Professor	Finance	Business
Etudo, Ugochukwu	Assistant Professor	Operations and Information Management	Business
Gao, Meng	Assistant Professor	Finance	Business
He, Shu	Assistant Professor	Operations and Information Management	Business
Herd, Kelly	Assistant Professor	Marketing	Business
Hock, Stefan	Assistant Professor	Marketing	Business
Kan, Christina	Assistant Professor	Marketing	Business
Liang, Chen	Assistant Professor	Operations and Information Management	Business
Liu, Jing	Assistant Professor	Finance	Business
Lou, Bowen	Assistant Professor	Operations and Information Management	Business
Lu, Tao	Assistant Professor	Operations and Information Management	Business
Murphy, Francis	Assistant Professor	Accounting	Business
Murphy, Shane	Assistant Professor	Finance	Business
Oh, Kyoungio	Assistant Professor	Management	Business
Peng, Jing	Assistant Professor	Operations and Information Management	Business
Rheinhardt, Alexandra	Assistant Professor	Management	Business
Satornino, Cinthia	Assistant Professor	Marketing	Business
Shenoy, Jaideep	Assistant Professor	Finance	Business
Tran, Anh	Assistant Professor	Finance	Business
Vakil, Tara	Assistant Professor	Accounting	Business
Van de Minne, Alexander	Assistant Professor	Finance	Business

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>
Wang, Lingling	Assistant Professor	Finance	Business
Xu, Nina	Assistant Professor	Accounting	Business
Zhou, Ying	Assistant Professor	Accounting	Business
Zou, Youli	Assistant Professor	Accounting	Business
Caemmerer, Jacqueline	Assistant Professor	Educational Psychology	Education
Davidesco, Ido	Assistant Professor	Educational Psychology	Education
Filipiak, Danielle	Assistant Professor	Curriculum and Instruction	Education
Lynch, Kathleen	Assistant Professor	Educational Psychology	Education
Mitoma, Glenn	Assistant Professor	Curriculum and Instruction	Education
Player, Grace	Assistant Professor	Curriculum and Instruction	Education
Aguiar, Derek	Assistant Professor	Computer Science & Engineering	Engineering
Almashaqbeh, Ghada	Assistant Professor	Computer Science & Engineering	Engineering
Bilal, Osama	Assistant Professor	Mechanical Engineering	Engineering
Biyikli, Necmi	Assistant Professor	Electrical & Computer Engineering	Engineering
Carbone, Francesco	Assistant Professor	Mechanical Engineering	Engineering
Cerrai, Diego	Assistant Professor	Civil & Environmental Engineering	Engineering
Chen, Yupeng	Associate Professor	Biomedical Engineering	Engineering
Ding, Caiwen	Assistant Professor	Computer Science & Engineering	Engineering
Dutta, Abhishek	Assistant Professor	Electrical & Computer Engineering	Engineering
Frame, Lesley	Assistant Professor	Materials Science & Engineering	Engineering
Fuller, Benjamin	Assistant Professor	Computer Science & Engineering	Engineering
Han, Martin	Associate Professor	Biomedical Engineering	Engineering
He, Suining	Assistant Professor	Computer Science & Engineering	Engineering
Imani, Farhad	Assistant Professor	Mechanical Engineering	Engineering
Jankovic, Jasna	Assistant Professor	Materials Science & Engineering	Engineering
Krawec, Walter	Assistant Professor	Computer Science & Engineering	Engineering
Li, Ying	Assistant Professor	Mechanical Engineering	Engineering
Matheou, Georgios	Assistant Professor	Mechanical Engineering	Engineering

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>
Miao, Fei	Assistant Professor	Computer Science & Engineering	Engineering
Morgan, Kristin	Assistant Professor	Biomedical Engineering	Engineering
Nguyen, Thanh	Assistant Professor	Mechanical Engineering	Engineering
Ortalan, Volkan	Associate Professor	Materials Science & Engineering	Engineering
Pena Mendez, Malaquias	Associate Professor	Civil & Environmental Engineering	Engineering
Poludnenko, Alexei	Associate Professor	Mechanical Engineering	Engineering
Shahbazmohamadi, Sina	Assistant Professor	Biomedical Engineering	Engineering
Song, Dongjin	Assistant Professor	Computer Science & Engineering	Engineering
Stuber, Matthew	Assistant Professor	Chemical & Biomolecular Engineering	Engineering
Tarakanova, Anna	Assistant Professor	Mechanical Engineering	Engineering
Vanden Berg-Foels, Wendy S.	Assistant Professor	Biomedical Engineering	Engineering
Wang, Xueju (Sophie)	Assistant Professor	Materials Science & Engineering	Engineering
Xu, Hongyi	Assistant Professor	Mechanical Engineering	Engineering
Yang, Qian	Assistant Professor	Computer Science & Engineering	Engineering
Yi, Zhang	Assistant Professor	Biomedical Engineering	Engineering
Zhang, Dianyun	Assistant Professor	Mechanical Engineering	Engineering
Zhu, Jin	Assistant Professor	Civil & Environmental Engineering	Engineering
Zhu, Yuanyuan	Assistant Professor	Materials Science & Engineering	Engineering
Athens, Elizabeth	Assistant Professor	Art & Art History	Fine Arts
Benson, Clare	Assistant Professor	Art & Art History	Fine Arts
Cassano, Heather	Assistant Professor	Digital Media & Design	Fine Arts
Ceglio, Clarissa	Assistant Professor	Digital Media & Design	Fine Arts
Coltrain, James	Assistant Professor	Digital Media & Design	Fine Arts
Degges, Douglas	Assistant Professor	Art & Art History	Fine Arts
Foh, Julie	Assistant Professor	Dramatic Arts	Fine Arts
Guerra, Oscar	Assistant Professor	Digital Media & Design	Fine Arts
Harper, Steve	Assistant Professor	Digital Media & Design	Fine Arts
Huang, Sue	Assistant Professor	Digital Media & Design	Fine Arts

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>
Larned, Emily	Assistant Professor	Art & Art History	Fine Arts
Lawson, Erik	Assistant Professor	Dramatic Arts	Fine Arts
Lindemann, Anna	Assistant Professor	Digital Media & Design	Fine Arts
Moore, Kathryn	Assistant Professor	Art & Art History	Fine Arts
Olschan, Samantha	Assistant Professor	Digital Media & Design	Fine Arts
Ozdemir, Tanju	Assistant Professor	Digital Media & Design	Fine Arts
Salisbury, Joel	Assistant Professor	Digital Media & Design	Fine Arts
Sancomb, Christopher	Assistant Professor	Art & Art History	Fine Arts
Scapetis-Tycer, Jennifer	Assistant Professor	Dramatic Arts	Fine Arts
Shao, Sophie	Assistant Professor	Music	Fine Arts
Smith, Andre	Assistant Professor	Music	Fine Arts
Woodward, Alexander	Assistant Professor	Dramatic Arts	Fine Arts
Brennan-Marquez, Kiel	Associate Professor	Law	Law
Cogan, John	Associate Professor	Law	Law
de Figueiredo, Miguel	Associate Professor	Law	Law
de Perio Wittman, Jessica	Associate Professor	Law	Law
Amador, Emma	Assistant Professor	History	Liberal Arts and Sciences
Angles-Alcazar, Daniel	Assistant Professor	Physics	Liberal Arts and Sciences
Battersby, Cara	Assistant Professor	Physics	Liberal Arts and Sciences
Booten, Kyle	Assistant Professor	English	Liberal Arts and Sciences
Buchweitz, Augusto	Associate Professor	Psychological Sciences	Liberal Arts and Sciences
Burton, Christopher	Assistant Professor	Geography	Liberal Arts and Sciences
Cai, Meina	Assistant Professor	Political Science	Liberal Arts and Sciences
Carpentieri, Nicola	Assistant Professor	Literatures, Cultures, & Languages	Liberal Arts and Sciences
Chaney, Kimberly	Assistant Professor	Psychological Sciences	Liberal Arts and Sciences
Chen, Xiang	Assistant Professor	Geography	Liberal Arts and Sciences
Cole, Haile	Assistant Professor	Anthropology	Liberal Arts and Sciences
Comay del Junco, Elena	Assistant Professor	Philosophy	Liberal Arts and Sciences

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>
Core, Leighton	Assistant Professor	Molecular and Cell Biology	Liberal Arts and Sciences
Crawford, Amanda	Assistant Professor	Journalism	Liberal Arts and Sciences
Davis, Sharde	Assistant Professor	Communication	Liberal Arts and Sciences
Evers, Miles	Assistant Professor	Political Science	Liberal Arts and Sciences
Faesi, Christopher	Assistant Professor	Physics	Liberal Arts and Sciences
Feng, Ran	Assistant Professor	Geography	Liberal Arts and Sciences
Fosdick, Julie	Assistant Professor	Geosciences	Liberal Arts and Sciences
Friesen, Lendra	Assistant Professor	Speech, Language, & Hearing Sciences	Liberal Arts and Sciences
Fulger, Aurel	Assistant Professor	Mathematics	Liberal Arts and Sciences
Gabriel, Dexter	Assistant Professor	History	Liberal Arts and Sciences
Garcia-Robledo, Carlos	Assistant Professor	Ecology & Evolutionary Biology	Liberal Arts and Sciences
Garcia-Sierra, Adrian	Assistant Professor	Speech, Language, & Hearing Sciences	Liberal Arts and Sciences
Gilmore, Kerry	Assistant Professor	Chemistry	Liberal Arts and Sciences
Gu, Yuwen	Assistant Professor	Statistics	Liberal Arts and Sciences
Heaslip, Aoife	Assistant Professor	Molecular and Cell Biology	Liberal Arts and Sciences
Hird, Sara	Assistant Professor	Molecular and Cell Biology	Liberal Arts and Sciences
Hohman, J. Nathan	Assistant Professor	Chemistry	Liberal Arts and Sciences
Hwang, Jungbin	Assistant Professor	Economics	Liberal Arts and Sciences
Jin, Luchang	Assistant Professor	Physics	Liberal Arts and Sciences
Kalinowski, Jolaade	Assistant Professor	Human Development & Family Sciences	Liberal Arts and Sciences
Kim, Yusun	Assistant Professor	Public Policy	Liberal Arts and Sciences
Knutie, Sarah	Assistant Professor	Ecology & Evolutionary Biology	Liberal Arts and Sciences
LaRusso, Maria	Assistant Professor	Human Development & Family Sciences	Liberal Arts and Sciences
Li, Sean	Assistant Professor	Mathematics	Liberal Arts and Sciences
Lombardi, Caitlin	Assistant Professor	Human Development & Family Sciences	Liberal Arts and Sciences
Lupton, Robert	Assistant Professor	Political Science	Liberal Arts and Sciences
Mani, Tomoyasu	Assistant Professor	Chemistry	Liberal Arts and Sciences
Matassa, Catherine	Assistant Professor	Marine Sciences	Liberal Arts and Sciences

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>
McAlhany, Joseph	Assistant Professor	History	Liberal Arts and Sciences
McCarron, Daniel	Assistant Professor	Physics	Liberal Arts and Sciences
Menuz, Karen	Assistant Professor	Physiology & Neurobiology	Liberal Arts and Sciences
Milligan-Myhre, Kathryn	Assistant Professor	Molecular and Cell Biology	Liberal Arts and Sciences
Mingarelli, Chiara	Assistant Professor	Physics	Liberal Arts and Sciences
Morse, Yonatan	Assistant Professor	Political Science	Liberal Arts and Sciences
Mozeiko, Jennifer	Assistant Professor	Speech, Language, & Hearing Sciences	Liberal Arts and Sciences
Newport, Melanie	Assistant Professor	History	Liberal Arts and Sciences
Ostroff, Linnaea	Assistant Professor	Physiology & Neurobiology	Liberal Arts and Sciences
Page, Tyler	Assistant Professor	Communication	Liberal Arts and Sciences
Paxton, Alexandra	Assistant Professor	Psychological Sciences	Liberal Arts and Sciences
Perkoski, Evan	Assistant Professor	Political Science	Liberal Arts and Sciences
Pryma, Jane	Assistant Professor	Sociology	Liberal Arts and Sciences
Quardokus, Rebecca	Assistant Professor	Chemistry	Liberal Arts and Sciences
Ritter, Patricia	Assistant Professor	Economics	Liberal Arts and Sciences
Rocha, Cesar	Assistant Professor	Marine Sciences	Liberal Arts and Sciences
Romero, Leonel	Assistant Professor	Marine Sciences	Liberal Arts and Sciences
Sarkar, Debapriya	Assistant Professor	English	Liberal Arts and Sciences
Sheya, Adam	Assistant Professor	Psychological Sciences	Liberal Arts and Sciences
Showers, Fumilayo	Assistant Professor	Sociology	Liberal Arts and Sciences
Siedlecki, Samantha	Assistant Professor	Marine Sciences	Liberal Arts and Sciences
Silverstein, Sara	Assistant Professor	History	Liberal Arts and Sciences
Sochnikov, Ilya	Assistant Professor	Physics	Liberal Arts and Sciences
Stegovec, Adrian	Assistant Professor	Linguistics	Liberal Arts and Sciences
Suanda, Sumarga	Assistant Professor	Psychological Sciences	Liberal Arts and Sciences
Tabor, Clay	Assistant Professor	Geosciences	Liberal Arts and Sciences
Talbert, Ryan	Assistant Professor	Sociology	Liberal Arts and Sciences
Tran, Nu-Anh	Assistant Professor	History	Liberal Arts and Sciences


<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>
Ung, Gael	Assistant Professor	Chemistry	Liberal Arts and Sciences
Vidart, Daniela	Assistant Professor	Economics	Liberal Arts and Sciences
Wallace, Scott	Assistant Professor	Journalism	Liberal Arts and Sciences
White, Simon	Assistant Professor	Molecular and Cell Biology	Liberal Arts and Sciences
Xiao, Ling	Assistant Professor	Mathematics	Liberal Arts and Sciences
Yu, Jianzhong	Assistant Professor	Physiology & Neurobiology	Liberal Arts and Sciences
Zaki, Hind Ahmed	Assistant Professor	Political Science	Liberal Arts and Sciences
Zhang, Na	Assistant Professor	Human Development & Family Sciences	Liberal Arts and Sciences
Zhang, Ruodan	Assistant Professor	Public Policy	Liberal Arts and Sciences
Zheng, Yao	Assistant Professor	Statistics	Liberal Arts and Sciences
Zou, Bin	Assistant Professor	Mathematics	Liberal Arts and Sciences
Carter, Eileen	Assistant Professor	Nursing	Nursing
Lucas, Ruth	Assistant Professor	Nursing	Nursing
Reagan, Louise	Assistant Professor	Nursing	Nursing
Tocchi, Christine	Assistant Professor	Nursing	Nursing
Xu, Wanli	Assistant Professor	Nursing	Nursing
Yang, Gee Su	Assistant Professor	Nursing	Nursing
Bahal, Raman	Assistant Professor	Pharmaceutical Sciences	Pharmacy
Gernant, Stephanie	Assistant Professor	Pharmacy Practice	Pharmacy
Hernandez, Adrian	Associate Professor	Pharmacy Practice	Pharmacy
Li, Na	Assistant Professor	Pharmaceutical Sciences	Pharmacy
Sartor, Gregory	Assistant Professor	Pharmaceutical Sciences	Pharmacy
Feely, Megan	Assistant Professor	Social Work	Social Work
Lloyd, Margaret	Assistant Professor	Social Work	Social Work
Okpych, Nathanael	Assistant Professor	Social Work	Social Work
Parekh, Rupal	Assistant Professor	Social Work	Social Work
Phillips, Jonathan	Assistant Professor	Social Work	Social Work

ATTACHMENT 29



April 28, 2021

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

RE: Purchase of Mobile Office Buildings

RECOMMENDATION:

That the Board of Trustees authorizes the Administration to enter an agreement, and any other ancillary documents required, to purchase the modular office buildings (MOBs) located at 25 Gampel Service Drive on the Storrs Campus for a sum of \$1,310,000.

RESOLUTION:

“Be it resolved that the Board of Trustees authorizes the Administration to enter into an agreement, and any other ancillary documents required, to purchase the modular office buildings (MOBs) located at 25 Gampel Service Drive on the Storrs Campus for a sum of \$1,310,000 utilizing UCONN 2000 bond funds.”

BACKGROUND:

The 14,000 gross square feet of modular office buildings (MOBs) are located at 25 Gampel Service Drive and are comprised of 24 connected individual MOB units. The units were newly constructed in 2017 and are currently leased from Sustainable Modular Management, Inc. by the Whiting-Turner Contracting Company, the University’s general contractor for the ongoing Gant Building Renovation project. The costs of the current lease are reimbursed to Whiting-Turner through the Gant Building Renovation capital project. This four-year lease expires in August 2021, but the lease can be extended through a new direct-to-the-University lease, if necessary.

The MOBs have been utilized for the last four years as offices for University Information Technology Services (UITS). In 2017, UITS was undertaking a staff consolidation plan and seeking a new central location on campus for their department. A portion of their staff had to relocate due to the Gant Building Renovation project and their space in the Gant Building

post-renovation was reassigned for academic use. For the foreseeable future, UITS plans to continue to utilize the MOBs office as their centralized location.

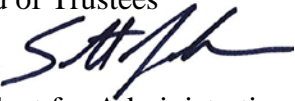
The University has investigated whether it would be more cost-effective to continue to rent the MOBs or to purchase them. It has been determined that it is more favorable financially to purchase the MOBs, since the rent payment break-even is calculated at only five years. The MOBs have a useful life of at least 15 to 20 more years and are therefore a mid-term asset. The MOBs will continue to be utilized by UITS, but should UITS decentralize or be relocated to alternate space, the MOBs can still be effectively used as office space for other departments on campus.


ATTACHMENT 30



April 28, 2021

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for UConn Hockey Arena (Proposed Final: \$70,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Proposed Final Budget of \$70,000,000, as detailed in the attached project budget, for the UConn Hockey Arena, for design and construction and expenses associated with the Arena Project as described herein. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approves a budget of \$17,000,000 in University Funds, \$33,000,000 in Revenue Bonds, and \$20,000,000 in Gift Funds for the UConn Hockey Arena Project, for design, construction and expenses associated with the Project; and that the University may enter into such agreements necessary to finalize design and architectural activities with the current project architect JCJ Architecture; and further, that the UConn Hockey Arena Project may proceed on a design-bid-build Construction Manager at Risk project delivery basis with Turner Construction Company as Construction Manager”

BACKGROUND:

In 2013, the University joined the Hockey East Association for Men’s and Women’s ice hockey. In UConn’s acceptance of the offer of membership in Hockey East, the University committed to meet the Hockey East requirement of an on-campus arena. The originally specified capacity of the arena was 4,000 seats, but it was later reduced by Hockey East to an on-campus arena with a seating capacity of 2,500. The University thereafter worked to secure a development partner for the development and construction of a new arena. Through the Request for Expressions of Interest (RFEI) process conducted at the end of 2017, the University received three responses with financial terms. As a result of further refinement of University needs and requirements, a

RFEI addendum was issued in November 2018 and the University selected a preferred development partner for the project in December 2018.

PREDEVELOPMENT SERVICES:

Based on the October 2018 approval of a Plan of Finance by the Buildings, Grounds and Environment and Financial Affairs Committees, as authorized by the Board of Trustees, a term sheet and predevelopment agreement were negotiated and executed in April 2019. The purpose of the predevelopment agreement was to provide a six-month period of due diligence and investigation on the project and to confirm the program, conceptual design and total cost of the project. Under the terms of the predevelopment agreement, the University bears the expenses during the predevelopment phase and is the owner of the enhanced concept design documents and site investigation reports at the end of the predevelopment period.

In November 2019, at the end of its predevelopment services, the preferred development partner indicated that it is unlikely to deliver the hockey arena development at the originally estimated project cost, and on the originally anticipated schedule, and that additional funding and time would be required to construct and finance the arena. The University therefore choose not to enter into a development agreement for the delivery of the hockey arena project.

PROPOSED PROJECT AND DELIVERY:

The University investigated alternatives for the delivery of the hockey arena and initially determined that the most cost-and-time-efficient method was a University-managed design-build approach. Subsequent to that decision, the global pandemic COVID-19 has spread around the globe and has impacted all aspects of University functions causing the design-build delivery approach to be reconsidered as the best delivery method for the University. The University then moved forward with a traditional Design-Bid-Build Construction Manager at Risk delivery method. The University will continue with the architectural firm previously contracted with the preferred development partner under a new direct contract with the University to complete the Design of bid documents. Utilizing the current architectural firm is prudent because it has completed the programming, conceptual design and bridging documents for the arena and therefore retaining a new design team may be difficult and costly, and there would be a further delay in the project completion.

The goal of the Design documents is to make the building as efficient and cost-effective as possible, while providing required program elements, including a minimum of 2,500 seats.

During the preparation of the final design package, the University will endeavor to make the facility as energy and water-use efficient as possible.

FINANCE PLAN

The hockey arena project will be funded from the following sources:

Cash Proceeds from Sales of Real Estate	11,500,000
<u>Operations</u>	<u>5,500,000</u>
Subtotal: University Funds	\$17,000,000
Gifts	\$20,000,000
<u>Revenue Bonds</u>	<u>\$33,000,000</u>
Total	\$70,000,000

The Proposed Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCONN HOCKEY ARENA

	APPROVED PLANNING 2/6/2019 PRC	APPROVED REVISED PLANNING 4/2/2019 PRC	APPROVED REVISED PLANNING 6/24/2019 PRC	APPROVED ** REVISED PLANNING 8/14/2019	APPROVED DESIGN 1/29/2020	APPROVED REVISED DESIGN 9/30/2020	PROPOSED FINAL 4/28/2021
<u>BUDGETED EXPENDITURES</u>							
CONSTRUCTION	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 52,785,000
DESIGN SERVICES	295,000	295,000	295,000	395,000	2,100,000	3,675,000	4,750,000
TELECOMMUNICATIONS	-	-	-	-	-	-	410,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-	-	3,800,000
OTHER AE SERVICES (including Project Management)	15,000	15,000	15,000	30,000	100,000	160,000	2,875,000
ART	-	-	-	-	-	-	-
RELOCATION	-	-	-	-	-	-	25,000
ENVIRONMENTAL	10,000	35,000	59,500	220,000	265,000	265,000	205,000
INSURANCE AND LEGAL	25,000	50,000	75,000	100,000	100,000	120,000	125,000
MISCELLANEOUS	5,000	5,000	5,000	5,000	5,000	5,000	25,000
OTHER SOFT COSTS	-	-	-	-	-	-	-
SUBTOTAL	\$ 350,000	\$ 400,000	\$ 449,500	\$ 750,000	\$ 2,570,000	\$ 4,225,000	\$ 65,000,000
PROJECT CONTINGENCY	50,000	50,000	50,000	100,000	280,000	375,000	5,000,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 400,000</u>	<u>\$ 450,000</u>	<u>\$ 499,500</u>	<u>\$ 850,000</u>	<u>\$ 2,850,000</u>	<u>\$ 4,600,000</u>	<u>\$ 70,000,000</u>
<u>SOURCE(S) OF FUNDING*</u>							
UNIVERSITY FUNDS	\$ 400,000	\$ 450,000	\$ 499,500	\$ 850,000	\$ 2,850,000	\$ 4,600,000	\$ 17,000,000
REVENUE BONDS	-	-	-	-	-	-	33,000,000
GIFT FUNDS	-	-	-	-	-	-	20,000,000
TOTAL BUDGETED FUNDING	<u>\$ 400,000</u>	<u>\$ 450,000</u>	<u>\$ 499,500</u>	<u>\$ 850,000</u>	<u>\$ 2,850,000</u>	<u>\$ 4,600,000</u>	<u>\$ 70,000,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

** These costs reflect the extension in the time period of the pre-development agreement and fall within the approved finance plan.

UCONN HOCKEY ARENA
Project Budget (FINAL)
APRIL 28, 2021



Northwest Elevation along Jim Calhoun Way





ATTACHMENT 31



April 28, 2021

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for I-Lot Improvements (Final: \$7,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$7,000,000, as detailed in the attached project budget, for the I-Lot Improvements project. The project increase of \$6,525,000 enables the project to move into construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$7,000,000 in UCONN 2000 bond funds for the I-Lot Improvements project to allow construction to proceed after bids have been evaluated for conformance with the project scope and budget.”

BACKGROUND:

The project will reconstruct, improve, and relocate a portion of Lot I’s three hundred sixty parking spaces to enable the construction and opening of a new ice hockey arena. The project scope will include, but is not limited to, removal of existing pavement, regrading of existing and imported base material, storm water collection and conveyance, curbing, paving, lighting, security, signage and striping. Existing utility infrastructure extended to the Hockey Arena site under the Athletic District Development project will be utilized to make final utility connections, as well as make connection to the Performance Center Audio/Visual control center for audio/visual show production of games.

The I-Lot Improvements project is currently in the Bidding/Negotiation Phase. Construction is anticipated to begin in May 2021 and be complete in Fall 2022.

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070
www.evpacfo.uconn.edu

The Final Budget is based on contractor bids received in March 2021.

The Final Budget for Phase 1 is attached for your information and reflects an increase of \$6,525,000 to the previously Approved Design budget of \$475,000.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: I-LOT IMPROVEMENTS

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 2/28/2020 PRC</u>	<u>APPROVED DESIGN 4/2/2019 PRC</u>	<u>PROPOSED FINAL 4/28/2021</u>
CONSTRUCTION	\$ -	\$ -	\$ 5,700,000
DESIGN SERVICES	175,000	350,000	200,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
PRE-CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	10,000	15,000	327,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	5,000	50,000	130,000
INSURANCE AND LEGAL	5,000	5,000	15,000
MISCELLANEOUS	5,000	5,000	28,000
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 200,000	\$ 425,000	\$ 6,400,000
PROJECT CONTINGENCY	50,000	50,000	600,000
TOTAL BUDGETED EXPENDITURES	\$ 250,000	\$ 475,000	\$ 7,000,000
<u>SOURCE(S) OF FUNDING*</u>			
UCONN 2000 BOND FUNDS	\$ 250,000	\$ 475,000	\$ 7,000,000
TOTAL BUDGETED FUNDING	\$ 250,000	\$ 475,000	\$ 7,000,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

I-LOT IMPROVEMENTS
Project Budget (FINAL)
April 28, 2021





ATTACHMENT 32



April 28, 2021

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for NER East Steam Repair (Phase 1) (Final: \$650,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$650,000, as detailed in the attached project budget, for the NER East Steam Repair project, Phase 1. The project increase of \$450,000 enables Phase 1 of the project, modifications to the B-6 steam vault, to move into construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$650,000 in UCONN 2000 bond funds for the NER East Steam Repair project, Phase 1 and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been evaluated for conformance with the project scope and budget.”

BACKGROUND:

As part of the North Eagleville Road Phase IIIB project, a new section of direct buried steam pipe was scheduled to be connected through steam vault E-5 (in Glenbrook road adjacent to the Philip E. Austin Building) to a section of existing piping located in North Eagleville Road crossing route 195 and terminating in vault B-6 in the Young Quad. This existing section of steam piping serves East Campus and was installed as part of an exigent project in 2014.

Prior to making the final connections, a pressure test of the existing steam line was undertaken which revealed that the pipe was leaking at two specific locations. During the winter of 2019 the leaks were repaired but upon additional testing, additional leaks were observed. During the

investigation, the University's 3rd party engineer determined that it was necessary to install pumping appurtenances to mitigate inflow water that is entering the B6 vault and connected steam lines. Phase 2, the remainder of the work associated with the repair of the steam pipe, will be the subject of a future resolution.

The NER East Steam Repair- Phase 1 project is currently in the Bidding/Negotiation Phase. Construction is anticipated to begin in May 2021 and be complete in August 2021.

The Final Budget for Phase 1 is based on contractor bids received in February 2021.

The Final Budget for Phase 1 is attached for your information and reflects an increase of \$450,000 to the previously Approved Planning budget of \$200,000.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: NER EAST STEAM REPAIR

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 5/4/2020</u>	<u>PROPOSED FINAL 4/28/2021</u>
	PRC	
CONSTRUCTION	\$ -	\$ 332,000
DESIGN SERVICES	130,000	130,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	25,000	35,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	3,000	3,000
MISCELLANEOUS	2,000	-
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 160,000	\$ 500,000
PROJECT CONTINGENCY	40,000	150,000
TOTAL BUDGETED EXPENDITURES	\$ 200,000	\$ 650,000
 <u>SOURCE(S) OF FUNDING*</u>		
UCONN 2000 BOND FUNDS	\$ 200,000	\$ 650,000
TOTAL BUDGETED FUNDING	\$ 200,000	\$ 650,000


* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.


ATTACHMENT 33



April 28, 2021

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Residential Life Facilities – Hicks and Grange Student Room and Common Area Renovations (Revised Final: \$1,875,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$1,875,000, as detailed in the attached project budget, for the Residential Life Facilities – Hicks and Grange Student Room and Common Area Renovations, for Construction. The project increase of \$195,000 to the Approved Final budget of \$1,680,000 reflects contractor bids. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$1,875,000 in UCONN 2000 bond funds for the Residential Life Facilities- Hicks and Grange Student Room and Common Area Renovations project.”

BACKGROUND:

Hicks and Grange Residence Halls, in East Campus, were built in 1950 for agricultural students and the student rooms throughout these two buildings have never been renovated. This renovation will improve the lighting, fire alarm systems and IT cabling systems and remove hazardous material floor tiles and ceilings. Common areas such as stairwells, corridors and lounges will receive new flooring, paint and lighting. Due to the COVID-19 pandemic, this project was deferred to Summer 2021, it was preceded by the renovation of all the buildings’ bathrooms in 2019.

The Residential Life Facilities – Hicks and Grange Student Room and Common Area Renovations is currently in the Bidding/Negotiation Phase. Construction is anticipated to begin in April 2021 and be complete in August 2021.

The Revised Final Budget is based on contractor bids received in February 2021. The bids came in higher than originally anticipated due to market conditions and a year's delay from the final estimate to bidding. In order to maintain schedule and complete the project prior to the students return in August, an increase to the \$1,600,000 Approved Final Budget was required. BOT policy permits the EVPA&CFO to approve changes up to 5% of the project budget, providing that funding is available. On March 30, 2021, the EVPA&CFO approved an increase of \$80,000 (5% of the approved Final Budget) for a new approved Revised Final Budget of \$1,680,00 which allowed the construction contract to be finalized. This resolution restores the contingency prior to the start of construction by increasing the Approved Revised Final Budget by \$195,000 for a new Revised Final Budget of \$1,875,000.

The Revised Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: RESIDENTIAL LIFE FACILITIES - HICKS AND GRANGE STUDENT ROOM AND COMMON AREA RENOVATIONS

	APPROVED PLANNING 10/28/2019	APPROVED DESIGN 2/7/2020	APPROVED FINAL 2/26/2020	APPROVED REVISED FINAL 3/30/2021	PROPOSED REVISED FINAL 4/28/2021
<u>BUDGETED EXPENDITURES</u>					
	PRC	PRC		EVPACFO	
CONSTRUCTION	\$ -	\$ -	\$ 1,000,000	\$ 1,440,000	\$ 1,440,000
DESIGN SERVICES	57,800	105,000	105,000	105,000	105,000
TELECOMMUNICATIONS	-	40,000	145,000	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	50,000	100,000	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-	-
OTHER AE SERVICES (including Project Management)	2,500	47,000	50,000	50,000	60,000
ART	-	-	-	-	-
RELOCATION	-	-	-	-	-
ENVIRONMENTAL	10,000	50,000	50,000	65,000	65,000
INSURANCE AND LEGAL	-	-	-	2,000	2,500
MISCELLANEOUS	-	-	-	2,000	2,500
OTHER SOFT COSTS	-	-	-	-	-
SUBTOTAL	\$ 70,300	\$ 292,000	\$ 1,450,000	\$ 1,664,000	\$ 1,675,000
PROJECT CONTINGENCY	11,700	50,000	150,000	16,000	200,000
TOTAL BUDGETED EXPENDITURES	\$ 82,000	\$ 342,000	\$ 1,600,000	\$ 1,680,000	\$ 1,875,000
<u>SOURCE(S) OF FUNDING*</u>					
UCONN 2000 BOND FUNDS	\$ 82,000	\$ 342,000	\$ 1,600,000	\$ 1,680,000	\$ 1,875,000
TOTAL BUDGETED FUNDING	\$ 82,000	\$ 342,000	\$ 1,600,000	\$ 1,680,000	\$ 1,875,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

RESIDENTIAL LIFE FACILITIES- HICKS AND GRANGE
STUDENT ROOM AND COMMON AREA RENOVATIONS
Project Budget (REVISED FINAL)
April 28, 2021





ATTACHMENT 34



April 28, 2021

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost & Executive Vice President for Academic Affairs

RE: Project Budget for Atwater Laboratory Emergency Power Installation
Final: \$535,000

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$535,000 as detailed in the attached project budget, for Atwater Laboratory Emergency Power Installation for Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$535,000 in University funds for Atwater Laboratory Emergency Power Installation project; and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

BACKGROUND:

The existing natural gas generator serving Atwater laboratory building is obsolete, beyond its expected life and should be retired. In order to remove the existing generator and supply the Atwater Lab building with safe and reliable alternate source power, an electrical feeder from the Cogeneration plant via Chemistry building is required. The project will include the demolition and removal of the existing natural gas generator and installation of an electric feeder to be routed from the Chemistry building to Atwater Lab.

The Final Budget is based on the consultant’s preliminary opinion of construction cost during Design. The Final Budget is attached for your information.

Attachment

Office of the Executive Vice President for
Administration and Chief Financial Officer
352 MANSFIELD ROAD, UNIT 1122
STORRS, CT 06269-1122
PHONE 860.486.3455
FAX 860.486.1070
www.evpacfo.uconn.edu

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: ATWATER LABORATORY EMERGENCY POWER
INSTALLATION**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED FINAL 4/28/2021</u>
CONSTRUCTION	\$ 382,862
DESIGN SERVICES	125,100
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
OTHER SOFT COSTS	-
SUBTOTAL	\$ 507,962
PROJECT CONTINGENCY	27,038
TOTAL BUDGETED EXPENDITURES	<u>\$ 535,000</u>
<u>SOURCE(S) OF FUNDING*</u>	
UNIVERSITY FUNDS	<u>\$ 535,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 535,000</u>


* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.


ATTACHMENT 35



April 28, 2021

TO: Members of the Board of Trustees

FROM: Scott A. Jordan 
Executive Vice President for Administration and Chief Financial Officer

Carl W. Lejuez 
Provost & Executive Vice President for Academic Affairs

RE: Project Budget for Werth Family Basketball Champions Center Hall of Fame
(Revised Final: \$3,537,000)

RECOMMENDATION:

That the Board of Trustees note a report of the Revised Final Budget of \$3,537,000, as detailed in the attached project budget, for the completion of the Hall of Fame in the Werth Family Basketball Champions Center. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees note the use of \$2,500,000 in University funds and \$1,037,000 of Gift funds for the Werth Family Basketball Champions Center Hall of Fame.”

BACKGROUND:

The Werth Family Basketball Champions Center opened in the fall of 2014 and is home to both our men’s and women’s Basketball programs. The facility houses all facets of these programs and includes an academic center, strength and conditioning, athletic training, locker rooms, practice courts, and offices.

At the time of opening, the main entry lobby, the men’s and women’s Hall of Fame areas and the main team corridors were not completed due to budget limitations. This initiative includes the outfitting of these areas to showcase the long and storied history of UConn basketball for recruits, student-athletes, fans and donors alike. The Division of Athletics is collaborating with Dimensional Innovations to design, fabricate and install components to

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help highlight the rich history of both programs. This has been accomplished through a mixture of static displays, casework, graphics and digital technology.

This initiative has been managed by Athletics with assistance from University Facilities Operations. Construction began in the fall 2018 with completion expected by June 2021. While a majority of the project will initially be funded through University funds; Athletics will replenish these funds through philanthropy.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: WERTH FAMILY BASKETBALL CHAMPIONS CENTER HALL OF FAME

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED FINAL 9/26/2018</u>	<u>APPROVED REVISED FINAL 4/1/2021 EVPACFO</u>
CONSTRUCTION (electrical & data)	\$ 150,000	\$ 150,000
DESIGN SERVICES	-	237,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	3,100,000	3,100,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	50,000	50,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 3,300,000	\$ 3,537,000
PROJECT CONTINGENCY	165,000	-
TOTAL BUDGETED EXPENDITURES	\$ 3,465,000	\$ 3,537,000
 <u>SOURCE(S) OF FUNDING*</u>		
UNIVERSITY FUNDS**	\$ 2,500,000	\$ 2,500,000
GIFT FUNDS	965,000	1,037,000
TOTAL BUDGETED FUNDING	\$ 3,465,000	\$ 3,537,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

**Reflects the temporary use of University funds until gift funds are received.

BOT 4.28.21

FO500024

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
Hires Processed from March 1, 2021 to April 19, 2021
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
Alejandro,Benjamin	U Technician 1	Human Resources	04/09/2021
Barbaris,Kristen Taylor	Ed Program Assistant 1	Business	03/12/2021
Bianco,Tristen	Ed Program Assistant 2	Geography	03/12/2021
Billingsley,Carson Smith	Ed Program Coordinator	Engineering	04/23/2021
Daly,Erin Marie	U Staff Professional 1	Tech Comm and Industry Rltns	04/09/2021
Delacruz,Elise	Student Services Program Admin	Womens Center	04/09/2021
Dunnack,Sarah	Admin Program Support 1	Biomedical Engineering	04/23/2021
Erceg,Jelena	Asst Professor	Systems Genomics Institute	03/12/2021
Goldstein,Sarah	IT Team Lead 2	UConn Library	03/26/2021
Karan,Naba Kumar	Asst Research Professor	Clean Energy Engineering Ctr	03/12/2021
Lei-Parent,Qian	Research Assoc 1	Department of Extension	03/26/2021
Macinnes,Zachary Edward	Sr Student Services Prog Admin	Career Development Center	04/09/2021
Montano,Jonathan O	Financial Assistant 1	Psychological Sciences	04/09/2021
Nelson,Elizabeth	Postdoctoral Research Assoc	Anthropology	03/12/2021
Ryan,Christina A.	Grants and Contracts Dvpmt Off	Engineering	03/26/2021
Shanbrom,Michael	Senior Admin Program Support	Research Compliance	03/12/2021
Springfield,Jocelyn	Admin Program Support 4	Research Compliance	03/26/2021
Tesla,Yudhistira	Postdoctoral Research Assoc	Chemistry	04/09/2021
Velasco,Brandon James	Computer Support Specialist 1	CLAS Information Technology	04/09/2021
Zeng,Baosheng	Postdoctoral Research Assoc	Physiology and Neurobiology	04/09/2021

**University of Connecticut Department of Human Resources
 Separations Processed from March 1, 2021 to April 19, 2021
 Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Abdollahnejad,Zahra	Postdoctoral Research Assoc	Separation	Civil and Environ Engineering	03/01/2021
Banning,JB	Computer Support Technician 1	Retirement	Agriculture,Health,Natural Rcs	03/01/2021
Canning,Paul M	Assoc Professor	Death	History	02/24/2021
Chang,Esmond C	Financial Analyst 2	Retirement	UConn Library	03/01/2021
Chang,Shing-Yun	Postdoctoral Research Assoc	Separation	Materials Science Institute	03/15/2021
Dasgupta,Chirasree	Systems Administrator 2	Separation	Nursing-UConn AIMS	03/13/2021
Diaz,Yomarie	Residence Hall Director	Separation	Residential Life	03/03/2021
Ding,Baoqing	Postdoctoral Research Assoc	Separation	Ecology and Evolutionary Bio	03/01/2021
Drabek,Hilda L	Finance Manager 1	Retirement	UConn Library	03/01/2021
Durodola,Karen	Business Ops Specialist 2	Separation	Research Compliance	03/11/2021
Farney,Della L	Research Asst 3	Separation	Computer Sci and Engineering	02/27/2021
Gao,Zhenxiang	Postdoctoral Research Assoc	Separation	Computer Sci and Engineering	04/03/2021
Hurd Jr,James D	Research Assoc 1	Retirement	Natural Resources and Environ	03/01/2021
Karki,Pramila	Research Asst 2	Separation	InCHIP	03/01/2021
Kelley-Sabatino,Jane M	Student Services Prog Assist 1	Retirement	Admissions	03/01/2021
Koenig,Lauren	Postdoctoral Research Assoc	Separation	Natural Resources and Environ	03/06/2021
McIntyre,William Douglas	Postdoctoral Research Assoc	Separation	Chemistry	03/25/2021
Mendes,Sharon M.	Sr Student Services Prog Admin	Retirement	ISS Acad Ctr Entering Students	03/01/2021
Miele,Kevin Michael	Research Asst 2	Separation	Plant Sci and Landscape Arch	03/20/2021
Plucker,Jane S	Laboratory Technician 3	Retirement	Molecular and Cell Biology	03/01/2021
Rajineni,Shireesha	Software Developer 2	Separation	Nursing-UConn AIMS	03/13/2021
Shaqra,Ala Maher	Postdoctoral Research Assoc	Separation	Molecular and Cell Biology	03/06/2021
Shih,Kuo-Chih	Postdoctoral Research Assoc	Separation	Materials Science Institute	03/02/2021

**University of Connecticut Department of Human Resources
 Separations Processed from March 1, 2021 to April 19, 2021
 Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Shin,Youngmi	Postdoctoral Research Assoc	Separation	Marine Sciences	02/13/2021
Singh,Sunnie Kaur	Senior Facilities Professional	Separation	Facilities Business Svc Center	02/13/2021
Smith,Andrew	Specialist IA	Separation	Athletics Strength Center	04/09/2021
Spruell,Mary A	Facilities Manager 1	Retirement	FO Custodial Services	03/01/2021
Twarz,Sydney Lynn	Research Asst 1	Separation	Marine Sciences	03/13/2021
Virone,Michael C	Data Analyst 1	Separation	Registrar	03/12/2021
Yong,Jui Shan	Research Assoc 3	Separation	Clean Energy Engineering Ctr	02/27/2021

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 04/21/21
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY
			START	END		STATUS
Bayer, Nina	Research Asst 2	Educational Psychology	1/8/2020	1/21/2021	Maternity	Unpaid
Bayer, Nina	Research Asst 2	Educational Psychology	1/22/2021	3/4/2021	Bonding	Unpaid
Blackman Carr, Loneke	Asst Professor	Nutritional Sciences	3/8/2021	3/21/2021	Bonding	Unpaid
Bullard, Christina	Assistant Professor	Dramatic Arts	10/3/2020	4/11/2021	Bonding	Unpaid
Olinghouse, Natalie	Assoc Professor	Educational Psychology	1/25/2021	2/21/2021	Medical	Unpaid
Si, Debjani	Postdoctoral Research Assoc	Pharmaceutical Science	12/26/2020	1/19/2021	Maternity	Unpaid

COMMITTEE AGENDAS

AGENDA
Meeting of the
BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE
April 13, 2021 at 10:00 a.m.
University of Connecticut

Meeting held by Telephone

Public Call In Number:
(415) 655-0002 US Toll
Access Code: 629 930 823

Livestream: <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **10:00 a.m.**

1. Public Participation *

* If members of the public wish to address the Committee during the Public Participation portion of the meeting, you must submit a request in writing 30 minutes prior to the start of the meeting (by 9:30 a.m.) to the following email address: BoardCommittees@uconn.edu. Please indicate your name, telephone number, and topic to be discussed. Per the University By-Laws, the Board may limit public comment. As an alternative, you may also submit your comments via email which will be shared with the Board.

APPROVAL ITEMS:

TAB

- | | |
|--|---|
| 2. Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of February 16, 2021, as circulated | 1 |
|--|---|

DISCUSSION ITEMS:

- | | |
|--|---|
| 3. President's Working Group on Sustainability and the Environment Presentation of Report "Transforming UConn to a Zero Carbon Campus: A Path Forward"
https://president.uconn.edu/wp-content/uploads/sites/2794/2021/04/DRAFT-Transforming-UConn-to-a-Zero-Carbon-Campus-A-Path-Forward.pdf
➤ Presenter: Anji Seth, Ph.D., University Senate Representative, and members of the President's Working Group | 2 |
| 4. Office of Construction Assurance
➤ Introduction and Welcome to Frank LaRosa, Associate Vice President and Chief Audit Executive | 3 |
| 5. Project Update ~ Storrs Based Programs
➤ Presenter: Laura Cruickshank, Associate Vice President for University Planning, Design and Construction | 4 |

➤ Projects Reviewed by BGE and to be presented to Financial Affairs on 4/28/21:

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
UConn Hockey Arena	Final	\$70,000,000	5
I Lot Improvements	Final	\$7,000,000	6
NER East Steam Repair (Phase I)	Final	\$650,000	7
Residential Life Facilities – Hicks and Grange	Revised Final	\$1,875,000	8
Student Room and Common Area Renovations			
Atwater Laboratory Emergency Power Installation	Final	\$535,000	9
Werth Family Basketball Champions Center Hall of Fame	Revised Final	\$3,537,000	10
6. Field House Women’s Locker Room			11
7. Market Conditions Report			12
8. Off-Campus Housing			13
9. 88 Gurleyville Road, Storrs ~ Upcoming Work in excess of \$1K			14
➤ Presenter: Michael Jednak, Associate Vice President for Facilities Operations			
10. University Business Services – Capital Projects and Facilities Procurement (CPFP) Operational Activities and Organizational Improvements			15
➤ Presenter: Gregory Daniels, Interim Associate Vice President of UBS and Chief Procurement Officer			
11. UConn Health Updates, Facilities Development and Operations			16
➤ Presenter: Thomas Trutter, Vice President for UConn Health Facilities Development & Operations			

INFORMATION ITEMS:

12. Status of Code Correction Projects			
➤ Construction Management Oversight Committee Quarterly Code Correction Status Report – Code Exception Report			17
➤ Quarterly Construction Status Report, Period Ending December 31, 2020			
https://updc.uconn.edu/wp-content/uploads/sites/1525/2021/02/UConn_Quarterly_Construction_Status_Report_12312020web.pdf			
13. Summary of Individual Change Orders Greater Than 3% of Project Cost (Storrs based projects)			18
14. Summary of Individual Change Orders Greater Than 3% of Project Cost (UConn Health projects)			19
15. Construction Projects Status Report ~ as of 03/25/21			
https://budget.uconn.edu/wp-content/uploads/sites/1441/2021/03/Construction-Status-Report-3.25.21.pdf			

INFORMATION ITEMS (Continued):

16. Other Business

17. Executive Session (*As Needed*)

18. Adjournment

Special Meeting

FINANCIAL AFFAIRS COMMITTEE

March 15, 2021 at 3:00 p.m.

University of Connecticut
Conference Call

Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 629 930 823

Public Access Link:

<http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **3:00 p.m.**

1) Public Participation (limited to agenda items)*

* If members of the public wish to address the Committee during the Public Participation portion of the meeting, limited to agenda items, you must submit a request in writing 30 minutes prior to the start of the meeting (by 2:30 p.m.) to the following email address: BoardCommittees@uconn.edu. Please indicate your name, telephone number, and topic to be discussed. Per the University By-Laws, the Board may limit public comment. As an alternative, you may also submit your comments via email which will be shared with the Board.

DISCUSSION ITEMS:

ATTACHMENT

- | | |
|---|---|
| 2) Fiscal Year 2022 Tuition and Fees for the University of Connecticut,
Storrs and Regional Campuses | 1 |
| 3) Fiscal Year 2022 Academic Program and Course Fees for the University of Connecticut,
Storrs and Regional Campuses | 2 |
| 4) Executive Session <i>(As Needed)</i> | |
| 5) Adjournment | |

Telephone Meeting

**University of Connecticut Board of Trustees
Institutional Advancement Committee**

Tuesday, April 20, 2021 at 1:00 p.m.

AGENDA

Meeting held by Telephone

Public Call-In Number:
(415) 655-0002 US Toll
Access Code: 629 930 823

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

1. Public Participation*Dr. Dennis-LaVigne
*If members of the public wish to address the Committee during the Public Participation portion of the meeting, you must submit a request in writing 30 minutes prior to the start of the meeting (by 12:30 p.m.) to the following email address: BoardCommittees@uconn.edu. Please indicate your name, telephone number, and topic to be discussed. Per the University By-Laws, the Board may limit public comment. As an alternative, you may also submit your comments via email which will be shared with the Board.
2. Acceptance of February 9, 2021 Meeting Minutes.....Dr. Dennis-LaVigne
Attachment 1
3. Naming RecommendationsMr. Roberts
Attachment 2
 - Richard DeFavero Conference Room
 - Beekley Lab for Biosymmetrix
 - Joseph R. Marfuggi and Sherry L. Brown-Marfuggi Reception Area
4. Legislative Update.....Ms. Lombardo
5. UConn Foundation Update.....Mr. Roberts
6. University Communications Update.....Mr. Kirk
7. Other Business
8. Executive Session (as needed)
9. Adjournment

TELEPHONE MEETING

UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES

STUDENT LIFE COMMITTEE

April 7, 2021 at 4:00 p.m.

AGENDA

Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 629 930 823

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

1. Call to Order
2. Public Participation*
* If members of the public wish to address the Committee during the Public Participation portion of the meeting, you must submit a request in writing 30 minutes prior to the start of the meeting (by 3:30 p.m.) to the following email address: BoardCommittees@uconn.edu. Please indicate your name, telephone number, and topic to be discussed. Per the University By-Laws, the Board may limit public comment. As an alternative, you may also submit your comments via email which will be shared with the Board.
3. Approval of the Minutes of the Student Life Committee Meeting of February 2, 2021
4. COVID-19 Update and Discussion
5. Food Insecurity at Regional Campuses
6. Admissions Update
7. Other Business
8. Executive Session (as needed)
9. Adjournment

Academic Affairs

9) Reappointment of Professor Michael Willenborg, PhD to the Richard F. Kochanek Professorship in Accounting in the School of Business	9
10) Reappointment of Professor Joseph Golec, PhD to the Finance Department Distinguished Scholar in the School of Business	10
11) Appointment of Associate Professor Guojin Gong, PhD as the Deloitte Foundation Faculty Fellow in the School of Business	11
12) Doctor of Philosophy (Ph.D.) Integrative Studies	12
13) Master of Arts (MA) Human Rights	13
14) Master of Science (MS) Business Research	14
15) Master of Science (MS) Financial Technology (Fintech)	15
16) Master of Laws (LLM) in Governance, Risk-Management and Compliance	16
17) Post-Master's Nurse Leader Certificate	17
18) Post-Master's Nurse Educator Certificate	18
19) Graduate Certificate in Dementia Care	19
20) Naming Recommendation for the Vergnano Institute for Inclusion in the School of Engineering	25

INFORMATIONAL ITEMS:

1) Notification of Proposed Changes to the <i>By-Laws of the University of Connecticut</i>	24
2) Academic Program Inventory	26
3) Faculty Consulting Program	27
• The University of Connecticut Consulting Program FY 20 Annual Report	
• Report on the University of Connecticut's compliance with CGS 1-84(r)	
Faculty Consulting Program: Report Issued by the Faculty Consulting Oversight Committee	
4) Tenure Track Reappointments	28

PRESENTATIONS:

1) Introduction of newly appointed Deans and Vice Provost; Vice Provost for Strategic Initiatives Gladis Kersaint, School of Pharmacy Dean Philip Hritcko, School of Dental Medicine Dean Steven Lepowsky, Neag School of Education Interim Dean Jason Irizarry, and School of Law Dean Eboni Nelson	
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ATTACHMENT A

DRAFT MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
February 24, 2021

Committee Trustees: Dennis-LaVigne, Fang, Gouin, and Rubin

Additional Trustees: Bessette, Boxer, Bunnell, Cantor, Gandara, O'Connor, Ritter, Toscano, and Werstler

University Senate: Bansal, Bird, Boyer, Chafouleas, Polifroni, Seth, and Van Heest

Staff: Agwunobi, Blanchard, Bradford, Buffkin, Casey, Croucher, Cruickshank, Daniels, Delello, Fuerst, Gelston, Geoghegan, Gilbert, Henderson, Jednak, Jordan, Katsouleas, Kendig, Lejuez, Locke, Maric, Rubin, and Shoulson

Committee Chairwoman Gouin convened the meeting at 8:30 a.m. via phone call.

No public comment was volunteered on any of the agenda items.

On a motion by Trustee Rubin, seconded by Trustee Fang, the minutes of the December 9, 2020 and February 3, 2021 meetings were approved as circulated.

On a motion moved by Trustee Dennis-LaVigne, seconded by Trustee Fang the committee approved moving agenda item #10, a presentation by Sarah Willen, Associate Professor of Anthropology on the Pandemic Journaling Project to the next item on the agenda.

Sarah Willen, Associate Professor of Anthropology shared a presentation on the Pandemic Journaling Project.

Provost Lejuez introduced **Action Item #2 Tenure at Hire**. Moved by Trustee Dennis-LaVigne, seconded by Trustee Rubin, the Committee recommended approval to the full Board.

Provost Lejuez introduced **Action Item #2 Designation of Emeritus Status** and **Action Items #3, #3, #5, and #6, Appointment of Retired Faculty to Emeritus Status (Dino, Hirsch, Lynch, and McCartney)**. Moved by Trustee Rubin, seconded by Trustee Fang, the Committee recommended approval to the full Board.

Provost Lejuez introduced **Action Item #7 Sabbatical Leave Recommendations**. Moved by Trustee Dennis-LaVigne, seconded by Trustee Rubin, the Committee recommended approval to the full Board.

Provost Lejuez introduced **Action Item #6 Master of Science in Personalized Nutrition**. Moved by Trustee Dennis-LaVigne, seconded by Trustee Rubin, the Committee recommended approval to the full Board.

Provost Lejuez shared **Informational Item #9, Academic Program Inventory**.

Trustee Dennis-LaVigne called a motion to close the meeting, seconded by Trustee Rubin. Chairwoman Gouin adjourned the meeting at 9:01 a.m.

Respectfully submitted,

Sarah K. Croucher
Committee Secretary

Financial Affairs

Meeting of the
FINANCIAL AFFAIRS COMMITTEE
April 28, 2021 at 9:30 a.m.
 University of Connecticut
 Conference Call

Meeting held by Telephone

Public Access Link:
<http://ait.uconn.edu/bot>

Public Call-In Number:
 (415) 655-0002 US Toll
 Access Code: 120 706 2814

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **9:30 a.m.**

1) Public Participation *

* If members of the public wish to address the Committee during the Public Participation portion of the meeting, you must submit a request in writing 30 minutes prior to the start of the meeting (by 9:00 a.m.) to the following email address: BoardCommittees@uconn.edu. Please indicate your name, telephone number, and topic to be discussed. Per the University By-Laws, the Board may limit public comment. As an alternative, you may also submit your comments via email which will be shared with the Board.

PRESENTATION:

ATTACHMENT

2) Operating Budget Update for Storrs and Regional Campuses, and UConn Health A

ACTION ITEMS:

3) Approval of the Minutes of the Financial Affairs Committee Meetings of February 24, 2021, and March 15, 2021, as circulated B

4) Contracts and Agreements for Approval 1

5) Purchase of Mobile Office Buildings 29

CAPITAL PROJECT BUDGETS FOR APPROVAL:

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	<u>Attachment</u>
6) UConn Hockey Arena	Final	\$70,000,000	30
7) I Lot Improvements	Final	\$7,000,000	31
8) NER East Steam Repair (Phase I)	Final	\$650,000	32
9) Residential Life Facilities – Hicks and Grange Student Room and Common Area Renovations	Revised Final	\$1,875,000	33
10) Atwater Laboratory Emergency Power Installation	Final	\$535,000	34
11) Werth Family Basketball Champions Center Hall of Fame	Revised Final	\$3,537,000	35

INFORMATION ITEMS:

- 12) Project Budget Map C
- 13) Contracts and Agreements for Information D
- 14) Capital Project Expenditure Report – All Funding Sources
Fiscal Year 2021 as of March 31, 2021
<https://budget.uconn.edu/wp-content/uploads/sites/1441/2021/04/Cap-Proj-Exp-Report-03-31-21.pdf>
- 15) Construction Project Status Report – April 28, 2021
<https://budget.uconn.edu/wp-content/uploads/sites/1441/2021/04/Construction-Status-Report-4.28.21.pdf>
- 16) Executive Session (*As Needed*)
- 17) Other Business
- 18) Adjournment

ATTACHMENT A

UConn: FY21 Year-end, FY22 & FY23 Projections

Below are current deficit projections for FY21 year-end and under Governor's biennial budget (FY22-23), including \$74M in COVID government assistance.

	Projected Year-end	Governor's Budget	
Deficit Projections (\$M)	FY21	FY22	FY23
Initial Deficit Projection	(76)	(4.5)	(27.8)
Change in State support	-	(14.3)	(23.6)
Projected Deficit	(76)	(18.8)	(51.4)
COVID mitigation	45	-	-
COVID federal assistance	31	18.8	24.7
Adjusted Projected Deficit	0	0	(26.7)

UConn Health: FY21 Year-end, FY22 & FY23 Projections

Below are current deficit projections for FY21 year-end and under Governor's biennial budget (FY22-23).

	Projected Year-end	Governor's Budget	
Deficit Projections (\$M)	FY21	FY22	FY23
Initial Deficit Projection	(114.9)	(58.7)	(60.7)
Change in State support	31.1	40.0	(9.8)
Projected Deficit	(83.8)	(18.7)	(70.5)
COVID mitigation	42.5	-	-
COVID federal assistance	18.6	-	-
Adjusted Projected Deficit	(22.7)	(18.7)	(70.5)

Governor's Deficiency & Budget Proposal For Fiscal Years 2020 - 2023

	FY20	FY21	FY22	FY23
UConn Health Request				
COVID Revenue Losses/Deficiency	18.9			
Unfunded Legacy Cost		53.8	58.7	60.7
Appropriation (Oper Exp, AHEC and Bioscience)	127.6	135.8	133.7	138.9
Totals	146.5	189.6	192.4	199.6
Governor's Deficiency & Budget Proposal				
COVID Revenue Losses/Deficiency	18.9			
Unfunded Legacy Cost	-	31.1	40.0	-
Appropriation (Oper Exp, AHEC and Bioscience)	127.6	135.8	133.7	133.8
Totals	146.5	166.9	173.7	133.8
Shortfall from UConn Health request vs Governor's proposal				
COVID Revenue Losses/Deficiency	-	-	-	-
Unfunded Legacy Cost	-	(22.7)	(18.7)	(60.7)
Appropriation (Oper Exp, AHEC and Bioscience) - related to 27th Payroll	-	-	-	(5.1)
Totals	-	(22.7)	(18.7)	(65.8)
In-kind Fringe related to 27th Payroll	-	-	-	(4.7)
Total Remaining Request				
	-	(22.7)	(18.7)	(70.5)

ATTACHMENT B

**DRAFT MINUTES
MEETING OF THE FINANCIAL AFFAIRS COMMITTEE**

University of Connecticut
Telephone Meeting
February 24, 2021

TRUSTEES PARTICIPATING: Andy Bessette, Mark Boxer, Charles Bunnell, Shari Cantor, Sanford Cloud, Jr., Andrea Dennis-LaVigne, Justin Fang, Marilda Gandara, Jeanine Gouin, Kevin O'Connor, Bryan Pollard, Thomas Ritter, Philip Rubin, Daniel Toscano, and Ethan Werstler

STAFF PARTICIPATING: Andy Agwunobi, Lloyd Blanchard, Michael Bradford, Patricia Casey, Debbie Carone, Sarah Croucher, Greg Daniels, Christopher Delello, Nathan Fuerst, Nicole Gelston, Jeffrey Geoghegan, Michael Gilbert, Kristi Henderson, Michael Jednak, Scott Jordan, Thomas Katsouleas, Tysen Kendig, Michael Kirk, Carl Lejuez, Susan Locke, Radenka Maric, Stephanie Reitz, Rachel Rubin, Jeffrey Shoulson, and Cara Workman

SENATE REPRESENTATIVES PARTICIPATING:
Maria-Luz Fernandez, Carol Polifroni, Janet Pritchard, Anji Seth, Jaci Van Heest and David Yalof

Vice-Chair Bessette convened the meeting of the Financial Affairs Committee via teleconference at 9:02 a.m. He asked for a roll call of Trustees on the telephone and asked if there was anyone from the public who wished to speak.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, provided a PowerPoint presentation entitled, “***Operating Budget Update for Storrs and Regional Campuses***” and Dr. Andy Agwunobi, Chief Executive Officer and Executive Vice President for UConn Health, and Jeffrey Geoghegan, UConn Health Chief Financial Officer, provided a PowerPoint presentation entitled, “***Operating Budget Update for UConn Health***”.

Trustee Bessette directed the committee to agenda ***Item #3, Approval of the Minutes of the Financial Affairs Committee Meeting of December 9, 2020.*** On a motion by Trustee Cantor and seconded by Trustee Rubin, the item was approved as circulated.

Mr. Jordan presented agenda ***Item #4, Contracts and Agreements for Approval.*** On a motion by Trustee Rubin and seconded by Trustee Cantor the item was recommended to the full Board for approval.

President Katsouleas stated that the University had followed its usual process for consideration of tuition and fees which involves internal analysis focusing on balancing affordability with excellence as well as accounting for projected State and Federal funding. This process included discussions with student leadership as well as holding a University wide Town

Hall. However, as we are in unprecedented times, he suggested that the Committee defer approval of the tuition and fee requests for Storrs and Regional campuses, to allow additional time for review and discussion with the Trustees.

Mr. Jordan and Nathan Fuerst, Vice President for Enrollment Planning and Management, provided a PowerPoint presentation detailing the proposed tuition and fee proposal including distribution of additional financial aid including Federal student aid for fiscal year 2022.

On a motion by Trustee Rubin and seconded by Trustee Cantor agenda ***Item #5, Fiscal Year 2022 Tuition and Fees for the University of Connecticut, Storrs and Regional Campuses;*** and agenda ***Item #6 Fiscal Year 2022 Academic Program and Course Fees for the University of Connecticut, Storrs and Regional Campuses*** were deferred to the next Board of Trustees meeting on March 24, 2021.

Mr. Geoghegan provided a PowerPoint presentation on the UConn Health School of Medicine proposed tuition and fee rates for fiscal years 2022, 2023 and 2024; as well as the School of Dental Medicine proposed tuition and fee rates for fiscal years 2022 and 2023.

On a motion by Trustee O'Connor and seconded by Trustee Cantor agenda ***Item #7 School of Medicine Tuition and Fee Rates for Fiscal Years 2022, 2023, and 2024*** was recommended to the full Board for approval. Trustee Bessette abstained from the vote.

On a motion by Trustee Cantor and seconded by Trustee Rubin ***agenda Item #8 School of Dental Medicine Tuition and Fee Rates for Fiscal Years 2022 and 2023*** was recommended to the full Board for approval.

Mr. Jordan briefed the Trustees on the capital project budgets presented for approval. On a motion by Trustee Cantor and seconded by Trustee Rubin agenda ***Items 15-17, UCONN 2000 Code Remediation: Stamford Downtown Relocation (Revised Final: \$22,000,000); Andover Infrastructure and Software Upgrade Phase I and II (Final: \$2,596,000); and UConn Health Musculoskeletal Institute Building (MSI) Roof Top Unit Replacement (Final: \$1,679,000)*** were recommended to the full Board for approval. A project budget for Campus Wayfinding Improvements was presented to the Committee for information.

The Committee was directed to review the Information Items listed on the agenda, at their convenience. There being no additional agenda items for approval the meeting was adjourned at 10:13 a.m. on a motion by Trustee Rubin and seconded by Trustee Cantor.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

DRAFT MINUTES

SPECIAL MEETING OF THE FINANCIAL AFFAIRS COMMITTEE

University of Connecticut
Telephone Meeting
March 15, 2021

TRUSTEES PARTICIPATING: Andy Bessette, Mark Boxer, Shari Cantor, Justin Fang, Marilda Gandara, Kevin O'Connor, Thomas Ritter, Philip Rubin, Daniel Toscano, and Ethan Werstler

STAFF PARTICIPATING: Lloyd Blanchard, Patricia Casey, Debbie Carone, Laura Cruickshank, Greg Daniels, Nathan Fuerst, Gail Garber, Nicole Gelston, Scott Jordan, Thomas Katsouleas, Michael Kirk, Carl Lejuez, Susan Locke, Stephanie Reitz, Rachel Rubin, and Margaret Selleck

SENATE REPRESENTATIVES PARTICIPATING:
Robert Bird, Carol Polifroni

Vice-Chair Bessette convened the meeting of the Financial Affairs Committee via teleconference at 3:01 p.m. He asked for a roll call of Trustees and University Senate members on the telephone and asked if there was anyone from the public who wished to speak on the agenda items presented.

Scott Jordan, Executive Vice President for Administration and Chief Financial Officer, stated that during the February 24, 2021 Board of Trustees meeting the University administration had proposed adjustments to the FY22 tuition and fee rates. The fee proposal included an increase in the Student Health fee to pay for additional mental health resources for students; the Student Activity fee to pay for increases in yearbook printing costs; and the Transit Fee to pay for increased costs of the UPass program. The proposal included a reduction in the previously Board approved FY22 tuition increase. The Board of Trustees voted to table the recommended tuition and fee adjustments to a later date pending additional discussion.

Mr. Jordan provided a PowerPoint presentation entitled, "Budget Update" which detailed deficit projections for FY22-FY25 based on three tuition scenarios for FY22; COVID impacts and aid received and anticipated from the State and Federal government. He indicated that lost revenue and increased expenses due to COVID is approximately \$108 million; and the University has received approximately \$53 million in Federal assistance; leaving a \$41 million COVID related deficit. It is anticipated that the University may receive funds from the American Rescue Plan of \$25-\$30 million. There are no details currently available on those funds. Federal programs that offer relief to the University also provide relief to our students. The relief funds are expected to be substantial and reduce the University's deficit for next fiscal year; as well as provide substantial relief to our students.

Mr. Jordan confirmed that a decision on tuition and fees is not needed immediately, as the billing date for fall student bills is June 1 and any decision made by the May board meeting would allow for adjustments to the fee bill, if needed.

President Katsouleas stated his support for continued deferral of the tuition and fees proposal as the University financial landscape is changing in light of the potential Federal COVID relief.

Trustee Bessette recommended continuing to defer the tuition and fees until more information on the University's financial situation is confirmed allowing the committee to make an informed decision.

Trustee Boxer inquired how the upcoming anticipated retirement "bubble" will affect the University's finances. Mr. Jordan responded that retirements are expected in 2022 due to changes in the SEBAC agreement pertaining to retirement healthcare which may advantage individuals who will be advantaged to retire before a specific date in 2022. These retirements are not anticipated to have a financial impact effecting the tuition decision for the next academic year.

Trustee Ritter recommended a continued discussion of University finances with Trustees and stakeholders; as well as deferring a decision on tuition and fees until after the State budget is determined. Chairman Toscano indicated his support of the plan.

Mr. Jordan stated that the Committee may be asked for a vote on the fee request in May in order to get the fee bills to students on time for the fall semester. The mental health services needs are addressed as part of the fee request and that is important to have in place for the fall semester. He confirmed that the administration will continue to provide financial updates to the Committee and confirmed a Financial Affairs Committee meeting scheduled for March 24, 2021 to address contracts and project budgets for approval.

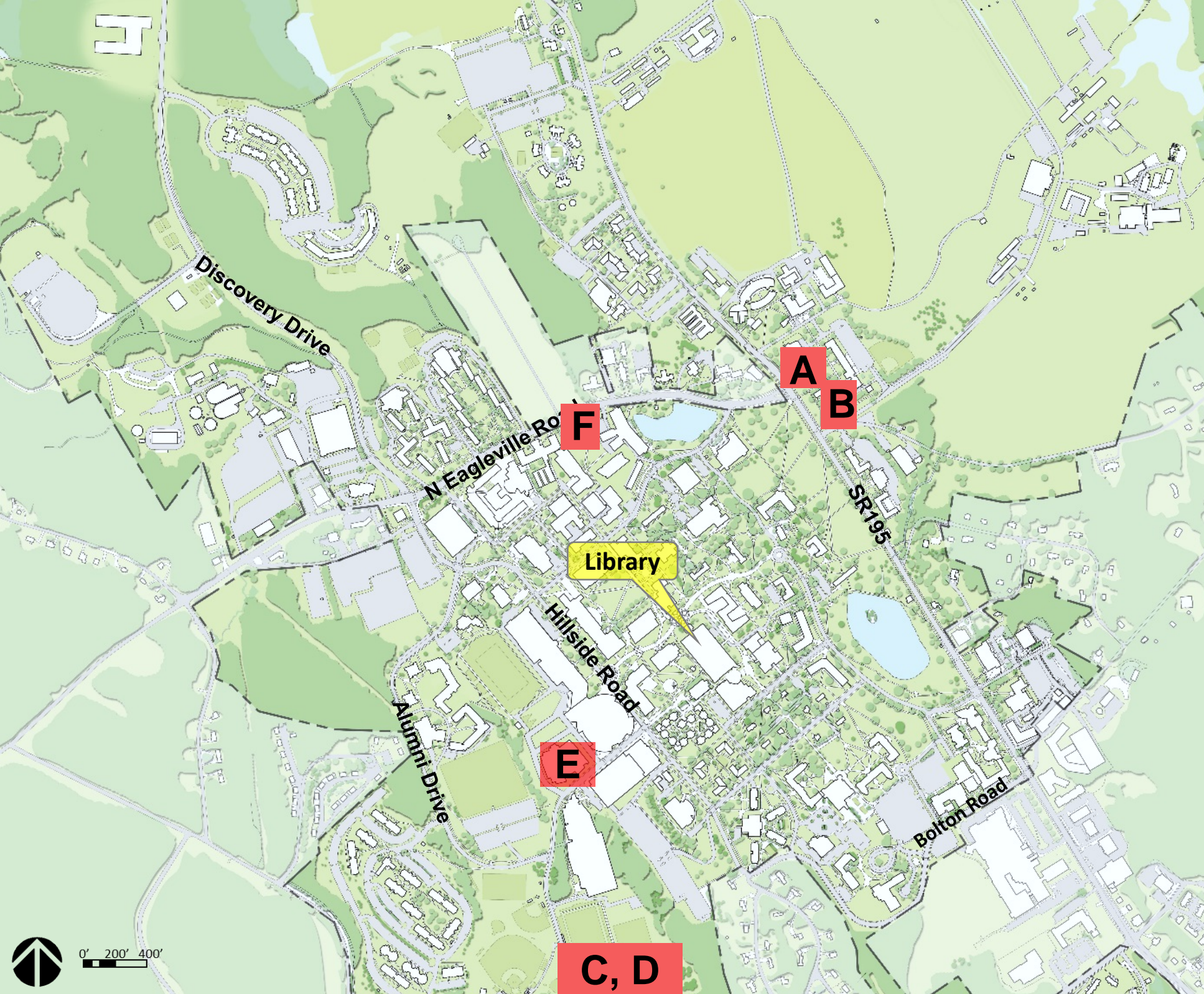
There being no items for committee vote or additional agenda items for review the meeting was adjourned at 3:32 p.m. on a motion by Trustee Cantor and seconded by Trustee Rubin.

Respectfully submitted,

Debbie L. Carone

Debbie L. Carone,
Secretary to the Committee

ATTACHMENT C



KEY TO PROJECTS

- Final / Revised Final
- A. NER East Steam Repair- (Phase 1)
 - B. Residential Life Facilities- Hicks and Grange Student Room and Common Area Renovations
 - C. UConn Hockey Arena
 - D. I-Lot Improvements
 - E. Werth Family Basketball Champions Center Hall of Fame
 - F. Atwater Laboratory Emergency Power Installation

Design / Revised Design
None

Planning / Revised Planning
None

ATTACHMENT D

**CONTRACT AGREEMENTS
FOR INFORMATION
APRIL 28, 2021**

PROCUREMENT - NEW* FOR INFORMATION

**The Administration is seeking approval to enter into contracts based on the material terms and conditions identified below, subject to final legal review.*

CONSTRUCTION SERVICES - GENERAL CONTRACTOR

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
1	Greenwood Industries, Inc.	FO500093	\$681,000	01/15/21-08/17/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services & Chief Procurement Officer	Contractor will provide installation of roof snow guards for the Chemistry Building located on the University's Storrs Campus.
2	Sarazin General Contractors, Inc.	201703	\$5,546,000	01/11/21-06/10/22	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services & Chief Procurement Officer	Contractor will provide improvements to the Public Safety Building located on the University's Storrs Campus. (Revised Final Budget approved on 12/09/20 - \$7,000,000)

LAB SUPPLIES, REPAIRS & SERVICES

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
3	Scientific Sterilizer	UC-20-CP040419	\$750,000	06/15/19-06/30/25	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services & Chief Procurement Officer	Repairs and services for sterilizing equipment for all University campuses. The contract has an initial term of one year, plus five one-year extensions, for a total possible term of six years. This is the result of a publicly advertised solicitation.

MICROSCOPE PURCHASE

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
4	Carl Zeiss, Inc.	MHEC-MC12-D25	\$600,000	02/01/19-06/30/21	Operating Funds	Gregory F. Daniels, J.D. Interim AVP of University Business Services & Chief Procurement Officer	Purchase of a Lightspeed Microscope for Physiology/Neurobiology for Dr. Jinjun Sun. This instrument will provide 3D imaging of subcellular dynamics. Purchase is sourced through a Massachusetts Higher Ed Consortium (MHEC) contract. Future extensions may be exercised at the discretion of MHEC.

**CONTRACT AGREEMENTS
FOR INFORMATION
APRIL 28, 2021**

ON-CALL TRADE CONTRACTOR - SET ASIDE - ROOFING SERVICES							
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
5	JHS Restoration	OC.ROOF2021.SBE-6	No Value Contract	01/01/21-12/31/25	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services & Chief Procurement Officer	Roofing services to be used at all University campuses on an on-call basis to provide project support as needed. This "No Value Contact" is being presented for informational purposes. The contract has an initial term of three years, plus two one-year extensions, for a total possible term of five years. This is a CT-based, small business.

SPORTS MEDICAL SUPPLIES

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose
6	Collins Sports Medicine	UC-20-CP052919	\$750,000	08/01/19-06/30/24	Operating Funds	David Benedict, Director of Athletics	Sports medical supplies for the UConn Athletic Department. Contract has an initial term of one year, plus four one-year extensions, for a total possible term of five years. This is the result of a publicly advertised solicitation.

PROCUREMENT - AMENDMENTS FOR INFORMATION

IT EQUIPMENT, SOFTWARE & SUPPLIES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/21	Expenditures FY20	Expenditures FY19	Purpose
7	Everbridge, Inc.	GS-35F-0692P	\$400,000 [Contract Value Previously \$500,000; Total New Contract Value \$900,000]	07/19/04-07/18/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$192,445	\$173,064	\$165,106	Equipment, software and supplies for all University campuses. Amend to increase contract value \$400,000, for total new contract value of \$900,000. Contract term remains the same. Zero extensions remain. Sourced through the General Services Administration (GSA). Future extensions may be exercised at the discretion of GSA.

**CONTRACT AGREEMENTS
FOR INFORMATION
APRIL 28, 2021**

IT TRAINING SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 02/28/21	Expenditures FY20	Expenditures FY19	Purpose
8	United Training Company (fka New Horizons)	UC-LP111710	\$0 [Contract Value Previously \$500,000; Contract Value Remains the Same]	07/01/20-10/01/21	Multiple Sources	Gregory F. Daniels, J.D. Interim AVP of University Business Services & Chief Procurement Officer	\$14,875	\$0	\$0	Equipment, software and supplies for all University campuses. Amend to extend contract term 6 months, through 10/01/21. Contract value remains the same. The six month extension is being requested to allow for completion and negotiation of a new contract which will replace this agreement once executed.

ON-CALL CONSULTANT - ARCHITECTURAL SERVICES, SMALL PROJECTS

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
9	Frair Architecture, Inc.	009.1-10-NV-043021	No Value Contract	05/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$102,319	\$40,084	\$21,745	On-call architectural services to provide support for small projects at all campuses as needed. Amend to extend term for twelve months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
10	Id3A LLC	009.1-12-NV-043021	No Value Contract	05/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$138,312	\$35,236	\$87,516	On-call architectural services to provide support for small projects at all campuses as needed. Amend to extend term for twelve months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
11	Phase Zero Design Corporation	009.1-16-NV-043021	No Value Contract	05/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$135,960	\$105,362	\$8,718	On-call architectural services to provide support for small projects at all campuses as needed. Amend to extend term for twelve months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.

**CONTRACT AGREEMENTS
FOR INFORMATION
APRIL 28, 2021**

ON-CALL CONSULTANT - ARCHITECTURAL SERVICES, SMALL PROJECTS (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
12	TSKP Studio, LLC	009.1-18-NV-043021	No Value Contract	05/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$32,438	\$0	\$0	On-call architectural services to provide support for small projects at all campuses as needed. Amend to extend term for twelve months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
ON-CALL CONSULTANT - CEPA/NEPA										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
13	Fitzgerald & Halliday, Inc.	005.1-1-NV-10/31/20	No Value Contract	11/01/17-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$185,833	\$167,210	\$14,830	On-Call CEPA/NEPA services to provide support for all campuses as needed. Amend to extend term for twelve months, through 04/30/22. This is a "No Value Contract" is being presented for informational purposes.
ON-CALL CONSULTANT - COMMISSIONING AGENT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
14	BVH Integrated Services, P.C.	012.3-1-NV-022821	No Value Contract	03/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$0	\$0	\$0	On-call commissioning agent services for all campuses as needed. Amend to extend term for fourteen months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
15	Horizon Engineering Associates, LLP	012.3-2-NV-022821	No Value Contract	03/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$196,204	\$47,979	\$18,538	On-call commissioning agent services for all campuses as needed. Amend to extend term for fourteen months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.

**CONTRACT AGREEMENTS
FOR INFORMATION
APRIL 28, 2021**

ON-CALL CONSULTANT - COMMISSIONING AGENT SERVICES (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
16	Colliers Project Leaders (fka Colliers International fka Strategic Building Solutions)	012.3-3-NV-022821	No Value Contract	03/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$364,460	\$34,028	\$4,397	On-call commissioning agent services for all campuses as needed. Amend to extend term for fourteen months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
ON-CALL CONSULTANT - DATA AND TELECOMMUNICATIONS DESIGN SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
17	BVH Integrated Services, P.C.	007.1-2-NV-022821	No Value Contract	03/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$143,749	\$77,906	\$33,343	On-call data and telecommunication design services for all campuses as needed. Amend to extend term for fourteen months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
18	Guillen Technology Consultants, LLC	007.1-3-NV-022821	No Value Contract	03/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$90,020	\$73,422	\$0	On-call data and telecommunication design services for all campuses as needed. Amend to extend term for fourteen months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
19	H.F. Lenz Company	007.1-4-NV-022821	No Value Contract	03/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$69,900	\$21,432	\$0	On-call data and telecommunication design services for all campuses as needed. Amend to extend term for fourteen months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.

**CONTRACT AGREEMENTS
FOR INFORMATION
APRIL 28, 2021**

ON-CALL CONSULTANT - DATA AND TELECOMMUNICATIONS DESIGN SERVICES (continued)										
20	Kohler Ronan, LLC	007.1-5-NV-022821	No Value Contract	03/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$113,687	\$21,469	\$0	On-call data and telecommunication design services for all campuses as needed. Amend to extend term for fourteen months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
21	R. G. Vanderweil Engineers	007.1-7-NV-022821	No Value Contract	03/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$42,430	\$14,270	\$28,161	On-call data and telecommunication design services for all campuses as needed. Amend to extend term for fourteen months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
22	Richard D. Kimball dba RDK Engineers	007.1-6-NV-022821	No Value Contract	03/01/18-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$61,180	\$45,500	\$0	On-call data and telecommunication design services for all campuses as needed. Amend to extend term for fourteen months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.

**CONTRACT AGREEMENTS
FOR INFORMATION
APRIL 28, 2021**

ON-CALL CONSULTANT - ENVIRONMENTAL PERMITTING AND COMPLIANCE SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
23	CDM Smith, Inc.	005-1-NV-103120	No Value Contract	11/01/17-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$197,845	\$20,943	\$0	On-call environmental permitting and compliance services for all campuses as needed. Amend to extend term for six months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
24	Woodard & Curran, Inc.	005-5-NV-103120	No Value Contract	11/01/17-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$331,560	\$0	\$59,616	On-call environmental permitting and compliance services for all campuses as needed. Amend to extend term for six months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.

ON-CALL CONSULTANT - HAZARDOUS MATERIAL ASSESSMENT SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
25	Eagle Environmental, Inc.	004-2-NV-103120	No Value Contract	11/01/17-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$348,865	\$87,332	\$62,729	On-call hazardous material assessment services for all campuses as needed. Amend to extend term for six months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
26	Fuss & O'Neill EnviroScience, LLC	004-3-NV-103120	No Value Contract	11/01/17-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$72,557	\$18,385	\$17,843	On-call hazardous material assessment services for all campuses as needed. Amend to extend term for six months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
27	HRP Associates, Inc.	004-4-NV-103120	No Value Contract	11/01/17-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$139,043	\$62,001	\$47,530	On-call hazardous material assessment services for all campuses as needed. Amend to extend term for six months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.

**CONTRACT AGREEMENTS
FOR INFORMATION
APRIL 28, 2021**

ON-CALL CONSULTANT - SURVEYING SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
28	Freeman Companies, Inc.	006-6-NV-103120	No Value Contract	11/01/17-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$25,950	\$4,012	\$0	On-call surveying services for all campuses as needed. Amend to extend term for six months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
29	Fuss & O'Neill, Inc.	006-6-NV-103120	No Value Contract	11/01/17-04/30/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$11,565	\$0	\$0	On-call surveying services for all campuses as needed. Amend to extend term for six months, through 04/30/22. This is a "No Value Contract" and is being presented for informational purposes.
SECURITY SYSTEM INFRASTRUCTURE, HARDWARE & SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
30	Environmental Systems Corporation	UC-19-LM110818-4	\$700,000 [Contract Value Previously \$100,000; Total New Contract Value \$800,000]	03/01/19-02/28/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$274,432	\$236,725	\$37,707	Security system infrastructure hardware and related services for all campuses. Amend to increase contract value \$700,000, for total new contract value of \$800,000. Contract term remains the same. Two extensions of one year each remain.
31	Johnson Controls, Inc.	UC-19-LM110818-1	\$400,000 [Contract Value Previously \$100,000; Total New Contract Value \$500,000]	03/01/19-02/28/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$80,275	\$79,799	\$476	Security system infrastructure hardware and related services for all campuses. Amend to increase contract value \$400,000, for total new contract value of \$500,000. Contract term remains the same. Two extensions of one year each remain.

**CONTRACT AGREEMENTS
FOR INFORMATION
APRIL 28, 2021**

SECURITY SYSTEM INFRASTRUCTURE, HARDWARE & SERVICES (Continued)										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
32	Security Technologies, Inc.	UC-19-LM110818-2	\$450,000 [Contract Value Previously \$500,000; Total New Contract Value \$950,000]	03/01/19-02/28/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$501,336	\$179,314	\$322,022	Security system infrastructure hardware and related services for all campuses. Amend to increase contract value \$450,000, for total new contract value of \$950,000. Contract term remains the same. Two extensions of one year each remain.
33	Siemens Industry, Inc.	UC-19-LM110818-3	\$450,000 [Contract Value Previously \$500,000; Total New Contract Value \$950,000]	03/01/19-02/28/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$554,442	\$240,803	\$313,639	Security system infrastructure hardware and related services for all campuses. Amend to increase contract value \$450,000, for total new contract value of \$950,000. Contract term remains the same. Two extensions of one year each remain.
SPRINKLER ALTERATION SERVICES										
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 2/28/21	Expenditures FY20	Expenditures FY19	Purpose
34	Encore Holdings dba Encore Fire Protection	UC-19-LM110818	\$250,000 [Contract Value Previously \$500,000; Total New Contract Value \$750,000]	03/01/19-02/28/22	Multiple Sources	Gregory Daniels, Interim Associate Vice President and Chief Procurement Officer	\$318,016	\$2,615	\$315,401	Sprinkler alteration services for all campuses. Amend to increase contract value \$250,000, for total new contract value of \$750,000. Contract term remains the same. Two extensions of one year each remain.