

# UConn

UNIVERSITY OF CONNECTICUT

*Board of*



# TRUSTEES

VOL. 237 JUNE 28, 2023

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
Wilbur Cross Building  
North Reading Room (109)  
233 Glenbrook Road  
Storrs, Connecticut

June 28, 2023

Public Streaming Link (with live captioning): <http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **9:00 a.m.**

1. Public Participation\*

\* Individuals who wish to speak during the Public Participation portion of the Wednesday, June 28, meeting must do so in person and sign up 24 hours in advance of the meeting's start time (i.e., 9:00 a.m. on Tuesday, June 27) by emailing [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu). Speaking requests must include a name, topic, and affiliation with the University (i.e., student, employee, member of the public). Per the University By-Laws, the Board may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Board via [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu), and all comments will be transmitted to the Board.

2. President's Report

3. Chairman's Report

(a) Matters Outstanding

(b) Minutes of the Meeting of May 17, 2023

(c) Consent Agenda Items:

- |   |                |
|---|----------------|
| (1) Contracts and Agreements  | (Attachment 1) |
| (2) Proposed New Lease for 36 North Main Street, Waterbury, CT  | (Attachment 2) |
| (3) Statement of Work for the UConn Foundation for Fiscal Year 2024                                     | (Attachment 3) |
| (4) Project Budget (Planning) for the School of Nursing Building  | (Attachment 4) |
| (5) Project Budget (Design) for Field House – Old Rec Center Renovation                                 | (Attachment 5) |
| (6) Project Budget (Revised Final) for Boiler Plant Equipment Replacement and Utility Tunnel Connection | (Attachment 6) |
| (7) Project Budget (Revised Final) for South Campus Infrastructure                                      | (Attachment 7) |
| (8) Project Budget (Revised Final) for Andover Infrastructure and Software Upgrade Phases I, II, & III  | (Attachment 8) |

- (9) Program Budget (Final) for Wired Access Layer Cabling – Phase V (Attachment 9)
- (10) Project Budget (Planning) for the UConn Health Cryo Electron Microscope Installation (Attachment 10)
- (11) Project Budget (Planning) for the UConn Health Connecticut Tower (CT) 7th Floor Med-Surg/Observation Unit Renovation (Attachment 11)
- (12) Project Budget (Planning) for the UConn Health Hybrid OR#2 Fit-Out (Attachment 12)
- (13) Project Budget (Planning) for UConn Health Interventional Radiology Equipment Replacement and Renovation (Attachment 13)
- (14) Project Budget (Planning) for the UConn Health Musculoskeletal Institute Rehabilitation Expansion (Attachment 14)
- (15) Project Budget (Planning) for the UConn Health Outpatient Pavilion 3rd Floor Backfill (Attachment 15)
- (16) Project Budget (Planning) for the UConn Health Outpatient Pavilion X-Ray and Blood Draw Relocation (Attachment 16)
- (17) Project Budget (Design) for the UConn Health Cadaver Lab Renovation and Air Handling Unit Replacement (Attachment 17)
- (18) Project Budget (Design) for the UConn Health Cell and Genome Sciences Building Data Center Cooling System Upgrades (Attachment 18)
- (19) Project Budget (Design) for the UConn Health Central Sterile Washer and Sterilizer Replacement (Attachment 19)
- (20) Project Budget (Design) for the UConn Health New England Sickle Cell Institute Renovation (Attachment 20)
- (21) Project Budget (Final) for the UConn Health TB-121 Blood Bank Relocation (Attachment 21)
- (22) Project Budget (Final) for the UConn Health Building D and Building N Roof Replacement (Attachment 22)
- (23) Project Budget (Final) for the UConn Health Building E and Building K Roof Replacement (Attachment 23)
- (24) Project Budget (Final) for the UConn Health Canzonetti (F) Building Wound Care Center Renovation (Attachment 24)
- (25) Project Budget (Final) for the UConn Health Transitional Nursery Renovation (Attachment 25)
- (26) Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2022-2023 (Attachment 26)
- (27) Tenure at Hire (Attachment 27)
- (28) Designation of Emeritus Status (Attachment 28)

- (29) Sabbatical Leave Recommendations (Attachment 29)
- (30) Appointment of Professor Robert Day to the Robert Cizik Professorship in Manufacturing and Technology Management in the School of Business (Attachment 30)
- (31) Appointment of Professor John Mathieu to the GE Capital Professor in Business in the School of Business (Attachment 31)
- (32) Reappointment of Professor Timothy B. Folta to the Thomas John and Bette Wolff Family Chair in Strategic Entrepreneurship in the School of Business (Attachment 32)
- (33) Appointment of Professor James Waller to the Christopher J. Dodd Chair in Human Rights Practice in the Gladstein Family Human Rights Institute (Attachment 33)
- (34) Appointment of Associate Professor Jessica Rouge to the Paul Krenicki Professorship of Chemistry in the College of Liberal Arts and Sciences (Attachment 34)
- (35) Naming Recommendation for Susan V. Herbst Hall (Attachment 35)
- (36) Modification of the Carole and Ray Neag Innovation Professorship (Attachment 36)

4. Academic Affairs Committee Report

- (a) Report on Committee activities

5. Financial Affairs Committee Report

- (a) Report on Committee activities

- (b) Presentation: FY24 Budget Presentation (Attachment 37)  
 Presenter: Executive Vice President for Finance and Chief Financial Officer for UConn and UConn Health Jeffrey Geoghegan

- (c) Items requiring Board discussion and approval:

- (1) Fiscal Year 2024 Spending Plan for the University of Connecticut, Storrs and Regional Campuses (Attachment 38)
- (2) Fiscal Year 2024 Capital Budget for the University of Connecticut, Storrs and Regional Campuses (Attachment 39)
- (3) Revised Allocation of Bond Authorizations as set forth in the Twenty-Ninth Supplemental Indenture (University of Connecticut General Obligation Bonds) (Attachment 40)
- (4) Thirtieth Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds (Attachment 41)
- (5) Sixth Supplemental Indenture Authorizing \$100,000,000 of Special Obligation Student Fee Revenue Bonds and Amending the Special Obligation Indenture of Trust and the Fifth Supplemental Indenture (Attachment 42)



- (6) Fiscal Year 2024 Spending Plan for UConn Health (Attachment 43)
- (7) Fiscal Year 2024 Capital Budget Spending Plan for UConn Health (Attachment 44)
- (8) Fiscal Year 2024 Student Fees for the University of Connecticut, Storrs and Regional Campuses (Attachment 45)
- 6. UConn Health Report
  - (a) Report on UConn Health activities
- 7. Joint Audit & Compliance Committee Report
  - (a) Report on Committee activities
- 8. Buildings, Grounds & Environment Committee Report
  - (a) Report on Committee activities
- 9. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
- 10. Student Life Committee Report
  - (a) Report on Committee activities
- 11. Institutional Advancement Committee Report
  - (a) Report on Committee activities
- 12. Committee for Diversity, Equity and Inclusion Report
  - (a) Report on Committee activities
- 13. Committee for Research, Entrepreneurship and Innovation Report
  - (a) Report on Committee activities
- 14. Committee on Compensation
  - (a) Report on Committee activities
- 15. Other Business
- 16. Executive Session anticipated
- 17. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu) prior to the meeting.*

# **ATTACHMENT 1**

**CONTRACT AGREEMENTS  
FOR APPROVAL  
JUNE 28, 2023**

**PROCUREMENT - NEW\* FOR APPROVAL**

\*The Administration is seeking approval to enter into contracts based on the material terms and conditions identified below, subject to final legal review.

**LONG TERM DISABILITY INSURANCE**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose	CT Based	S/MBE
1	Prudential Insurance Company of America	UC-24-KA121622	\$2,250,000	10/01/23-09/30/26	Multiple Sources	Renee Boggis, Executive Director Organizational Effectiveness and Workplace Culture	Long term disability for all University employees, excluding UCH. This award is the result of a public solicitation. Contract has an initial term of three years with two one year extensions available.		

**PROCUREMENT - AMENDMENTS\* FOR APPROVAL**

**DOOR LOCKING HARDWARE**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
2	Dugmore & Duncan	DS022718-1	\$100,000; [Contract Value Previously \$1,360,000; Total New Contract Value \$1,460,000]	07/01/18-06/30/24	Multiple Sources	Michael Jednak, AVP Facilities Operations & Building Services	\$1,074,760	\$278,607	\$262,633	Door locking hardware and supplies for all University campuses. <b>Amend to increase contract value by \$100,000, for total new contract value of \$1,460,000.</b> Contract term remains the same. Zero extensions remain. Contract increase is requested to cover new projects and/or replacements if needed during the remainder of the contract term.		

**EVENT STAFFING SERVICES**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
3	Contemporary Services Corporation	UC-22-KA071321-8	\$600,000; [Contract Value Previously \$950,000; Total New Contract Value \$1,550,000]	03/14/22-08/31/24	Operating Funds	David Benedict, Director of Athletics	\$641,325	\$268,063	\$0	Management and staffing for events on the Storrs campus, regional campuses (if needed), inclusive of athletic events and commencement. <b>Amend to increase contract value by \$600,000, for total new contract value of \$1,500,000.</b> Contract term remains the same. Two extensions of one year each remain. Contract increase is requested to cover anticipated costs and any unforeseen needs that arise.		

**FOOD SERVICES**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
4	Sardilli Produce & Dairy, Inc.	UC-17-KA022916-8A	\$2,572,835; [Contract Value Previously \$11,501,546; Total New Contract Value \$14,074,381]	09/02/16-06/30/24	Auxiliary Services	Michael Gilbert, Vice President for Student Affairs	\$11,244,381	\$1,712,251	\$716,155	Fresh fruit and produce primarily for the Department of Dining Services for student meals, catering and other needs. <b>Amend to increase contract value by \$2,572,835, for total new contract value of \$14,074,381. Amend to extend term one year, through 06/30/24.</b> Increase is requested to cover anticipated costs through the remainder of the extended contract term. Zero extensions remain.	X	
5	Mountain Dairy, LLC	UC-17-LP040717-8	\$159,767; [Contract Value Previously \$1,304,655; Total New Contract Value \$1,464,422]	07/01/17-06/30/24	Auxiliary Services	Michael Gilbert, Vice President for Student Affairs	\$1,144,422	\$206,405	\$85,450	CT produced milk and milk products primarily for the Department of Dining Services for student meals, catering and other needs. <b>Amend to increase contract value by \$159,767, for total new contract value of \$1,464,422.</b> Contract term remains the same. Zero extensions remain. Contract increase is requested to cover anticipated costs through the remainder of the current term.	X	

**CONTRACT AGREEMENTS  
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<b>FURNITURE - FREE STANDIDNG OFFICE, DORMITORY &amp; RESIDENTIAL FURNITURE</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
6	Red Thread Spaces LLC	15PSX0041	\$300,000; [Contract Value Previously \$11,907,193; Total New Contract Value \$12,207,193]	07/1/15 - 06/30/24	Multiple Sources	Joseph M. Thompson, AVP University Business Services and Chief Procurement Officer	\$11,690,843	\$920,379	\$574,351	Freestanding office, lounge furniture, dormitory, and residential furniture for all University campuses. <b>Amend to increase contract value by \$300,000, for total new contract value of \$12,207,193. Amend to extend term one year, through 06/30/24.</b> Increase is requested to cover anticipated expenditures for the remainder of the extended contract term. Sourced through a State of Connecticut agreement. Contract extension is requested to remain aligned with the State's agreement which was extended on 04/26/23. Future extensions may be exercised at the discretion of the State.	X	
7	NBI New England Inc.	15PSX0041	\$0; [Contract Value Previously \$2,450,000; Contract Value Remains the Same]	07/1/15 - 06/30/24	Multiple Sources	Joseph M. Thompson, AVP University Business Services and Chief Procurement Officer	\$930,157	\$17,590	\$2,954	Freestanding office, lounge furniture, dormitory, and residential furniture for all University campuses. <b>Amend to extend term one year, through 06/30/24.</b> Contract value remains the same. Sourced through a State of Connecticut agreement. Contract extension is requested to remain aligned with the State's agreement which was extended on 04/26/23. Future extensions may be exercised at the discretion of the State.	X	
8	Office Furniture Inc.	15PSX0041	\$0; [Contract Value Previously \$7,810,000; Contract Value Remains the Same]	07/1/15 - 06/30/24	Multiple Sources	Joseph M. Thompson, AVP University Business Services and Chief Procurement Officer	\$7,062,261	\$458,928	\$601,410	Freestanding office, lounge furniture, dormitory, and residential furniture for all University campuses. <b>Amend to extend term one year, through 06/30/24.</b> Sourced through a State of Connecticut agreement. Contract extension is requested to remain aligned with the State's agreement which was extended on 04/26/23. Future extensions may be exercised at the discretion of the State.	X	
9	W.B. Mason	15PSX0041	\$0; [Contract Value Previously \$1,000,000; Contract Value Remains the Same]	07/1/15 - 06/30/24	Multiple Sources	Joseph M. Thompson, AVP University Business Services and Chief Procurement Officer	\$527,454	\$2,655	\$3,147	Freestanding office, lounge furniture, dormitory, and residential furniture for all University campuses. <b>Amend to extend term one year, through 06/30/24.</b> Sourced through a State of Connecticut agreement. Contract extension is requested to remain aligned with the State's agreement which was extended on 04/26/23. Future extensions may be exercised at the discretion of the State.		
<b>HAZARDOUS WASTE DISPOSAL</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
10	Triumvirate Environmental	UC-20-CP061919-1	\$0; [Contract Value Previously \$2,400,000; Contract Value Remains the Same]	07/02/20-07/02/26	Multiple Sources	Terri Dominguez, University Director of Environmental Health & Safety	\$1,109,388	\$352,186	\$256,537	Hazardous waste disposal for all University Storrs as needed. <b>Amend to extend contract term three years, through 07/02/26.</b> Contract value remains the same. Extension is requested to exercise final option under existing agreement. Zero extensions remain.		
<b>INFORMATION TECHNOLOGY, SOFTWARE AND ACCESSORIES</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE

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11	Paciolan, Inc.	1754	\$4,000,000; [Contract Value Previously \$6,616,901; Total New Contract Value \$10,616,901]	12/11/09-06/30/32	Multiple Sources	David Benedict, Director of Athletics	\$2,468,493	\$484,555	\$170,042	Online and digital ticket sales platform, an integrated customer data management system for ticket buyers and donors, and tools for marketing/sales analytics for the Division of Athletics. Cost includes hosting fees, back-office fees on ticket sales, and the addition of Ballena (a digital virtual seat visualization software). <b>Amend to increase contract value by \$4,000,000, for total new contract value of \$10,616,901. Amend to extend term for eight years, through 06/30/32.</b> Contract extension is requested to provide continuation of online and digital ticket sales management system for the Division of Athletics. Contract increase is requested to cover anticipated expenditures throughout extended the contract term. Zero extensions remain.
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**CONTRACT AGREEMENTS  
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INTERNATIONAL STUDENT RECRUITING												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
12	Kaplan International Pathways North America LLC	UC-KA060220-8	\$0; [Contract Value Previously \$2,446,844; Contract Value Remains the Same]	07/01/20-09/30/26	Operating Funds	Dan Weiner, Ph.D., Vice President for Global Affairs; Nathan Fuerst, Vice President for Enrollment	\$702,439	\$181,846	\$0	Market UConn globally and recruit undergraduate international students. <b>Amend to extend term three years and three months, through 09/30/26.</b> Extension is requested to exercise option under current agreement and to secure rates for the remainder of the extended contract term. Zero extensions remain.		
IT CONSULTING & SUPPORT SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
13	Vanasse Hangen Brustlin Inc.	UC-151188	\$854,457; [Contract Value Previously \$1,959,873; Total New Contract Value \$2,814,330]	09/24/19-06/30/27	Grant Funds	Eric Jackson, Executive Director CT Transportation Institute	\$1,825,810	\$781,233	\$602,503	Maintenance, support and development services associated with the CTDOT Atlas 2.0 project. These services include, but are not limited to, break-fix support, performance enhancements, improved integration and data handling. <b>Amend to increase contract value by \$854,457, for total new contract value of \$2,814,330. Amend to extend contract term four years, through 06/30/27.</b> Contract increase is requested to cover on-call maintenance of the system, as needed. Extension is requested to support ongoing maintenance of the system. Contract increase is requested to cover anticipated expenses through extended contract term. Zero extensions remain.		
LAB ANIMALS AND SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
14	Charles River Laboratories Inc.	UC-DS111819-1	\$350,000; [Contract Value Previously \$750,000; Total New Contract Value \$1,100,000]	01/01/20-12/31/24	Multiple Sources	Pamir Alpay, Interim VP OVPR	\$608,338	\$200,608	\$180,220	Purchase of lab animals and services for various research programs at all University campuses. <b>Amend to increase contract value by \$350,000, for total new contract value of \$1,100,000.</b> Contract term remains the same. Contract increase is requested to provide sufficient funding through the remainder of the contract term. Zero extensions remain.		
LAUNDRY EQUIPMENT AND PARTS												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
15	Yankee Equipment Systems, Inc.	UC-16-KA051315-8	\$0; [Contract Value Previously \$1,200,000; Total Contract Value Remains the Same]	08/15/15-12/31/23	Multiple Sources	Michael Jednak, AVP Facilities Operations & Building Services	\$654,775	\$83,385	\$43,579	Laundry equipment for all residential living spaces <b>Amend to extend term six months, through 12/31/2023.</b> Contract value remains the same. Contract extension is requested to provide time for completion and award of a new solicitation. Zero extensions remain.		
LONG-TERM DISABILITY INSURANCE												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
16	Prudential Insurance Company of America	UC-15-JW051115	\$0; [Contract Value Previously \$6,631,055; Contract Value Remains the Same]	01/01/16-09/30/23	Multiple Sources	Renee Boggis, Executive Director Organizational Effectiveness and Workplace Culture	\$5,450,766	\$611,782	\$644,617	Long term disability insurance for all University employees. <b>Amend to extend term 3 months, through 09/30/23.</b> Contract value remains the same. Contract extension is requested to provide time for completion of agreement negotiation and execution. Zero extensions remain.		

**CONTRACT AGREEMENTS  
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STUDENT HEALTH INSURANCE												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
17	Wellfleet Group LLC	UC-19-KA102918-8	\$7,500,000; [Contract Value Previously \$27,579,143; Total New Contract Value \$35,079,143]	08/15/19-08/14/24	Auxiliary Services	Suzanne Onorato, Executive Director Student Health and Wellness	\$27,461,683	\$6,507,112	\$2,798,765	Health insurance for registered University and UCH students, including UCH dental residents and other eligible individuals. Coverage is underwritten by Commercial Casualty Insurance Co., a Berkshire Hathaway-backed carrier. Broker and agent services provided by Smith Brothers, LLC. The expenses for all students associated with this contract are borne by the insured students, not by the University. <b>Amend to increase contract value by \$7,500,000, for total new contract value of \$35,079,143. Amend to extend contract term one year, through 08/14/24.</b> Contract increase is requested to cover an increase in the premium which has increased from \$2,945 to \$3,190 with approximately 2,300 students participating in the program. Contract extension is requested to exercise final extension under current agreement. Zero extensions remain.		
TELECOMMUNICATIONS SUPPORT AND SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
18	Apogee Telecom Inc.	UC-15-LP090612	\$0; [Contract Value Previously \$1,480,000; Contract Value Remains the Same]	07/01/15-06/30/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$1,061,955	\$176,955	\$1,990,735	Video programming and maintenance services for the University. Used primarily by Residential Life on the Storrs and Stamford campuses. <b>Amend to extend contract term one year, through 06/30/24.</b> Contract value remains the same. Contract extension is requested to allow for continuation programming and maintenance services. Zero extensions remain.		
19	Charter Communications	UC-15-LP090613	\$400,000; [Contract Value Previously \$3,147,777; Total New Contract Value \$3,547,777]	07/01/15-06/30/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$2,185,466	\$399,184	\$345,584	Cable and satellite television and video services for housing on and off the Storrs campus. <b>Amend to increase contract value by \$400,000, for total new contract value of \$3,547,777. Amend to extend contract term one year, through 06/30/24.</b> Contract extension is requested to allow for continuation of cable television and internet services for University faculty, staff, and students. Contract increase is requested to cover anticipated expenditures through the extended contract term. Zero extensions remain.	X	
20	State of Connecticut - Bureau of Enterprise Services and Technology (BEST)	MOA082509	\$425,000; [Contract Value Previously \$6,880,767; Total New Contract Value \$7,305,767]	07/01/04-06/30/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$6,572,151	\$441,384	\$450,600	Internet services provided through the network operated by the Connecticut Education Agreement (CEN) for all University campuses, excluding UCH. <b>Amend to increase contract value by \$425,000, for total new contract value of \$7,305,767. Amend to extend contract term one year, through 06/30/24.</b> Sourced through a Memorandum of Agreement with the State. Future extensions may be exercised at the discretion of the State. Extension is requested to provide Internet I & II hardware and support as outlined in the Internet Service Agreement with the State of Connecticut Department of Information Technology. Contract increase is requested to support the monthly bandwidth and maintenance billings during FY24.		X
21	University Corporation for Advanced Internet Development, dba Internet2 ("Internet2")	UC-19-004062	\$500,000; [Contract Value Previously \$1,150,000; Total New Contract Value \$1,650,000]	07/01/19-06/30/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$884,698	\$225,000	\$224,000	Master service agreement for network services for all University campuses. <b>Amend to increase contract value by \$500,000, for total new contract value of \$1,650,000.</b> Contract term remains the same. Three extensions of one year each remain. Increase is requested to provide CEN with continued connectivity of internet services and to cover expenditures related to FY24 annual renewals.		

**CONTRACT AGREEMENTS  
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UNIFORMS - PURCHASE, RENTAL AND PROFESSIONAL CLEANING SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
22	Swiss Cleaners	UC-18-KA040618-8A	\$250,000; [Contract Value Previously \$1,339,544; Total New Contract Value \$1,589,544]	09/13/18-08/31/24	Multiple Sources	Joseph M. Thompson, AVP University Business Services and Chief Procurement Officer	\$1,252,872	\$271,147	\$254,058	Uniform purchases and rentals of fire retardant (FR) garments, laundering, dry-cleaning and other applicable services (i.e. pickup, drop-off, and alterations) for all University campuses. Lab-coat purchases and laundering services are also included under this agreement. <b>Amend to extend contract term one year, through 08/31/24. Amend to increase contract value by \$250,000, for total new contract value of \$1,589,544.</b> Contract increase and term extension are requested to accommodate campus uniform and laundering needs for FY24 and to exercise final extension option under current agreement. Zero extensions remain.	X	X

WATER TREATMENT SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
23	ChemTreat, Inc.	KS052920-1	\$500,000; [Contract Value Previously \$960,000; Total New Contract Value \$1,460,000]	02/24/21-12/31/24	Operating Funds	Michael Jednak, AVP Facilities Operations & Building Services	\$739,243	\$479,550	\$86,159	Water treatment services for all University campuses. <b>Amend to increase contract value by \$500,000, for total new contract value of \$1,460,000. Amend to extend contract term one year, through 12/31/24.</b> One extension of one year remains. Extension is requested to exercise option under existing agreement. Contract increase is requested to cover anticipated expenditures, as well as provide a contingency for any new systems that may be installed during extended term.		

TUITION DISCOUNT PROGRAM												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Revenue FY23	Revenue FY22	Revenue FY21	Purpose	CT Based	S/MBE
24	Raytheon Technologies Corporation (f/k/a UTC)	UTC070112	N/A	07/01/19-06/30/24	Tuition Funds	Anne D'Alleva, Provost and EVO for Academic Affairs	\$1,900,000	\$1,700,000	\$2,500,000	Continuation of the UTC Employee Scholar Program under the Tuition Discount Policy that provides 5% graduate school tuition discount to companies that have spent at least \$1.0mm in graduate and undergraduate tuition the prior fiscal year. The 5% discount applies to students only if Raytheon Technologies Corporation (f/k/a UTC) exceeds \$1.0mm per year. (Tuition Discount Policy was originally adopted by the Board on 06/28/12). <b>Amend to extend contract term one year, through 06/30/24.</b>		

**REAL ESTATE AGREEMENTS FOR APPROVAL \***

\*Not all provisions of all Agreements appearing below have been 100% completed, but the Administration is seeking approval to proceed to execution based on the material terms described below.

UNIVERSITY AS LESSEE											
No.	Landlord	Annual Amount Payable	Term	Fund Source	Program Director	Purpose	CT Based	S/MBE			
1	Asylum Hill Family Medicine Center, Inc.	\$115,404	07/01/23-06/30/26	Operating Funds	Wendy Wigglesworth, Acad Adm Mngr Family Medicine	UConn Health will pay Asylum Hill Family Medicine Center, Inc. rent for 5,577 square feet of office space used by UConn Health School of Medicine who are based at the Family Medicine Center at Asylum Hill (99 Woodland Street, Hartford, CT). This is a three year extension of a current agreement.					
2	11 South Road LLC	\$145,730	06/01/23-05/31/43 10 year term plus two, 5 year options	Operating Funds	Anne Horbatuck, VP/COO Ambulatory Svcs UMG	Cardiology and anticoagulation clinic ten year lease extension to continue the use of existing space (3,915 sf on the 2nd floor and 100 sf in the basement) located at 11 South Road in Farmington.					



**CONTRACT AGREEMENTS  
FOR APPROVAL  
JUNE 28, 2023**

**UNIVERSITY AS LICENSOR - LICENSE AGREEMENTS**

No.	Licensee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	Corbin Advisors	\$50/hour	08/01/23-07/31/24	Not Applicable	Bonnie Burr, Assistant Director and Department Head, Department of Extension, College of Agriculture, Health and Natural Resources	A License Agreement for use of a conference room within space UConn leases at 270 Farmington Avenue in Hartford. Corbin Advisors (another tenant in the building) would like to use a conference room within UConn's leased space on an as-needed basis for their quarterly company-wide meeting. This Agreement may be terminated by either party upon thirty (30) days' written notice. UConn's current lease at this location ends 8/31/23 but is to be extended to 8/31/28 per the First Lease Amendment also included on this grid for approval.

**UNIVERSITY AS LICENSEE - LICENSE AGREEMENTS**

No.	Licensor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
2	Hispanic Health Council, Inc.	\$9,000 annually	1-year term to commence as of the date agreement is fully executed.	CT General Assembly Grant	Charles Venator, Director of UConn Puerto Rican Studies Initiative	A License Agreement for use of one private office for 2 days per week located within the Hispanic Health Council space at 590 Park Street in Hartford. The term will be for one (1) year, and the fee will be paid in 12 installments per year. This Agreement may be terminated by either party upon thirty (30) day written notice.

**UNIVERSITY AS LESSEE - LEASE AMENDMENTS**

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
3	Farmington Exchange, LLC	\$97,280.04 for the first year with 1% increases annually.	09/01/23-08/31/28	Operating Fund - General	Bonnie Burr, Assistant Director and Department Head, Department of Extension, College of Agriculture, Health and Natural Resources	A First Lease Amendment to exercise the renewal option for 4,864 square feet of office space at 270 Farmington Avenue in Farmington. Exercising the Amendment will extend the lease term for five (5) years.
4	Star Partners, LLC	\$436,744 for the first year with 2% increases annually	06/01/23-05/31/26	Operating Fund - General	Pam Schipani - Interim Assistant Vice President for Student Affairs	A Third Lease Amendment for residential housing units at 87 Franklin Street in Stamford. The Amendment is for the University and Landlord to hire a third-party property management and service maintenance company, including cleaning, with the University contributing approximately half the cost of same beginning August 1, 2023.
5	Office of Policy & Management	\$2,700,000	07/01/23-06/30/28	Multiple Sources	David Benedict, Athletic Director	A First Amendment ("Amendment") to the Amended and Restated Indenture of Lease ("Lease") for the continued use of Rentschler Field in East Hartford, CT for University athletic and other events. This Amendment extends the Lease for five years on the same terms.


**UNIVERSITY AS LESSOR - LEASE AMENDMENTS**

No.	Lessee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
6	Project Oceanology	\$58,800 for the first year with 5% increases annually.	07/01/23-06/30/28	Not Applicable	Annemarie Seifert, Director of Campus Operations at Avery Point	The First Lease Amendment is for the continued use of a 31,000 sf building, in addition to a dock and additional outbuildings, located at UConn Avery Point. This Amendment exercises the first 5-year renewal option and updates the in-kind services provided by Project Oceanology to UConn per the original lease agreement.

## **ATTACHMENT 2**

June 28, 2023

TO: Members of the Board of Trustees

FR: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance & Chief Financial Officer

RE: Proposed New Lease for 36 North Main Street, Waterbury, CT

RECOMMENDATION

That the Board of Trustees approve the University Administration entering into a lease and any other required or desirable auxiliary agreements with Green Hub Development III, LLC for the lease of approximately 26,300 square feet of space at 36 North Main Street in Waterbury, CT. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION

“Be it resolved that the Board of Trustees authorizes the University Administration to enter into a lease and any other required or desirable auxiliary agreements with Green Hub Development III, LLC for approximately 26,300 square feet at 36 North Main Street in Waterbury, CT on the material terms as set forth in this Memorandum.”

BACKGROUND

36 North Main Street is an existing six-story building totaling approximately 36,300 square feet located immediately adjacent to the UConn Waterbury campus. The building is currently in a state of disrepair and needs considerable rehabilitation. The City of Waterbury, working with a developer (Green Hub Development), solicited and received a \$10 million grant from the State of Connecticut to renovate the building. The developer is investing an additional approximately \$5 million to complete the renovation and make the building ready for occupancy.

Green Hub Development III, LLC, and the University signed a non-binding term sheet on November 21, 2022 to potentially lease a total of approximately 26,300 square feet on the first, second and third floors of the building once the property renovation is complete. The renovation of the building is anticipated to take approximately 1 ½ years.

## PURPOSE AND NEED

The University Administration plans to utilize the leased space for academic, research and administrative purposes for UConn Waterbury. Spaces that will be constructed include educational teaching spaces, simulation laboratories, wet and dry research areas, interview rooms, and incubator spaces. The University programs to be located within this space are clinical and research labs and maker spaces for Psychology and Allied Health on the first floor, instructional spaces and learning labs for Nursing and Health on the second floor, and sustainable and dry innovation labs for OVPR/Research on the third floor. The intended use of the space is further shown in Attachment A.

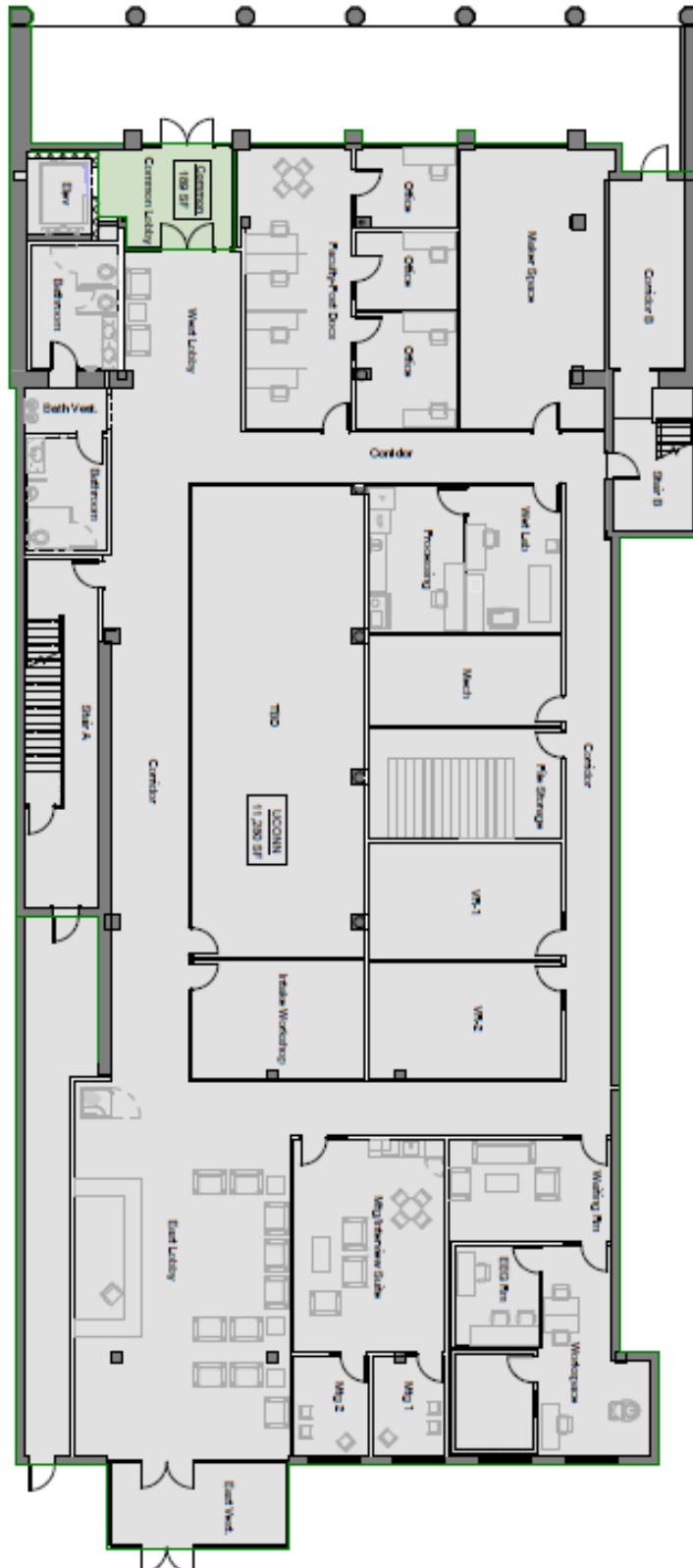
## LEASE TERMS

The University Administration proposes to enter into a lease on the following material terms:

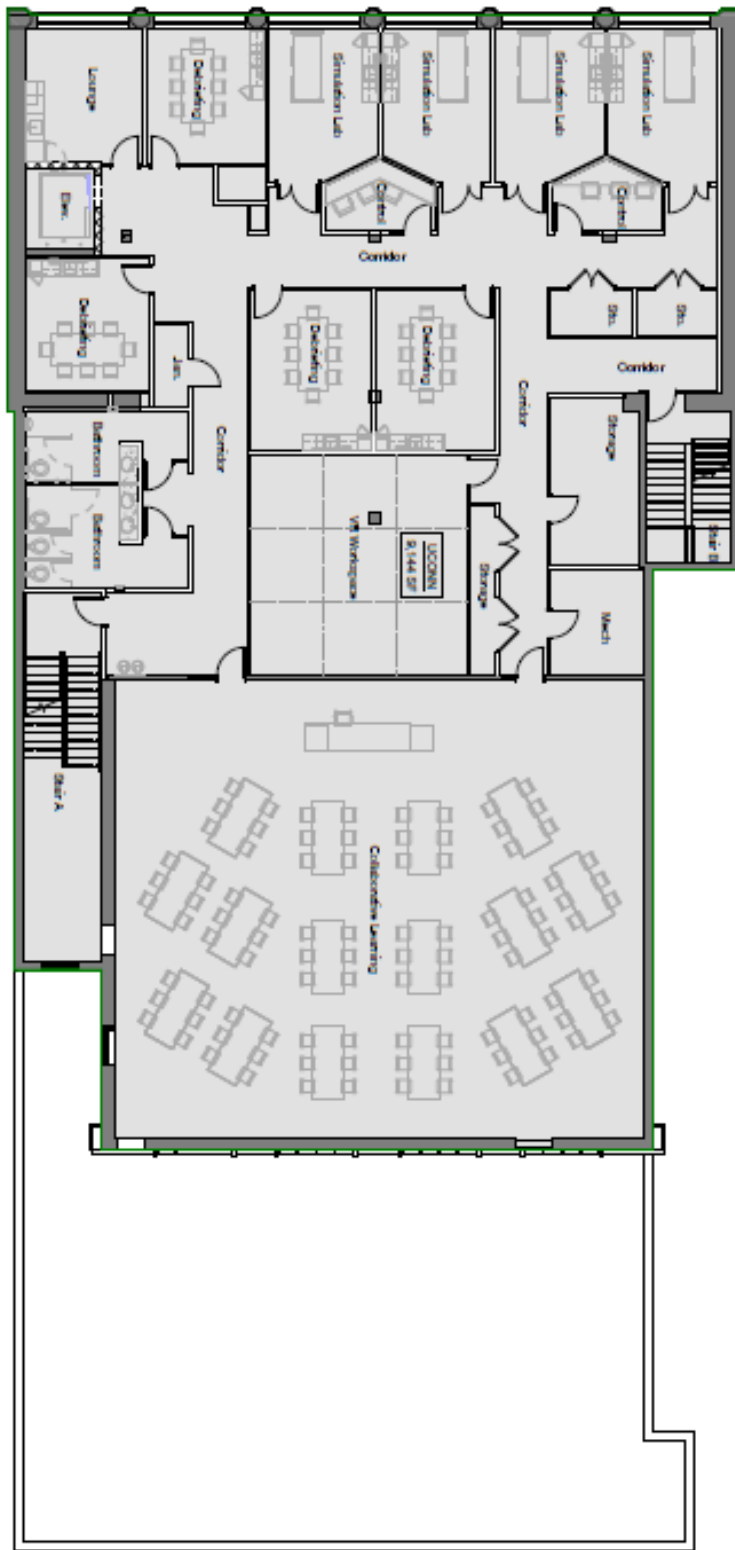
- Lease commencement will be coincident with the start of an academic semester, which is anticipated at this time to be either January 1, 2025 or August 1, 2025
- The initial lease term will be 20 years, with two five-year renewal options at the University's discretion.
- The base rent in the first year of the lease will be \$14.00 per square foot (or approximately \$370,000 annually), with escalation at a rate agreed-to thereafter.
- The University will be responsible for expenses associated with utilities, internet and telecom, custodial services, and maintenance of the demised space. These operating costs during the first year of the lease are estimated at approximately \$10.00 per square foot (or approximately \$265,000 annually).
- All capital costs for the fit-out and construction of the space will be by the Landlord. An allowance of \$250,000 for the purchase of furniture and equipment is included in the development budget. The University is responsible for the purchase and installation of any audio-visual equipment required for the space.
- The Landlord will install security and access control systems as part of the construction of the premises. The University will be responsible for any security personnel for the premises, if necessary, but it is presently anticipated that coverage can be provided with the current security staffing on the regional campus.
- The Landlord is responsible for all real estate taxes and insurance expenses for the premises and building.
- The University will have the right to sublet or assign the space to any other state agency with no consent required from the Landlord. The University can also sublet or assign the space to any private party with Landlord's consent. If the University were to receive higher rent than the base rent when assigning or subletting the space, the profit will be split equally between the Landlord and University.
- The lease includes an alternative to lease the fourth floor and half of the fifth floor in lieu of half of the first floor (i.e., total square footage remains the same) if the Landlord finds another tenant to lease half of the first floor.

-END-

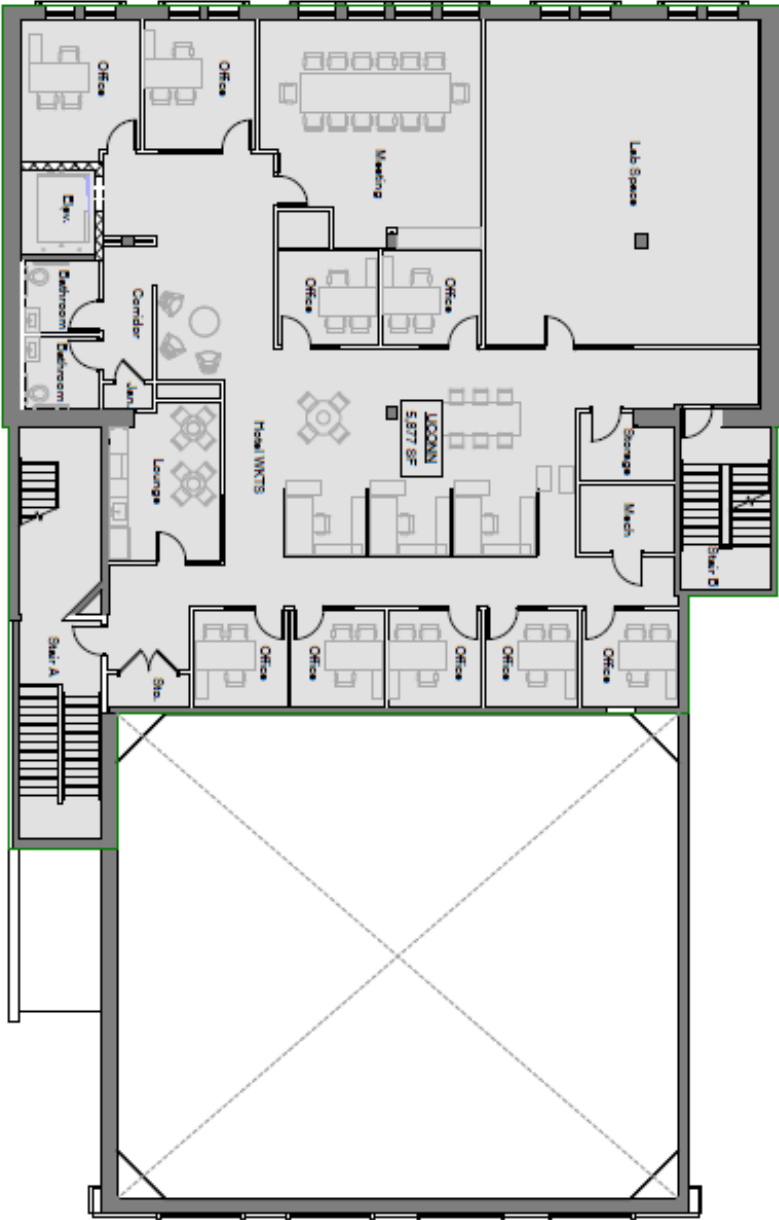
ATTACHMENT A  
First Floor  
Approximately 11,300 sf



Second Floor  
Approximately 9,000 sf




Third Floor  
Approximately 6,000 sf



## **ATTACHMENT 3**



June 28, 2023

TO: Members of the Board of Trustees  
FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer  
RE: Statement of Work for the UConn Foundation for Fiscal Year 2024

RECOMMENDATION:

The University recommends that the Board of Trustees approve the resolution below authorizing the University to enter into a Statement of Work (SOW) for Fiscal Year 2024 with the University of Connecticut Foundation, Incorporated (the "UConn Foundation").

RESOLUTION:

RESOLVED, that the University's President and administration are authorized to execute and deliver a SOW for Fiscal Year 2024 with the UConn Foundation on the terms described below and such other terms as may be deemed advisable and in the best interest of the University by the University's President and administration; and

RESOLVED, that the University's President and administration are hereby further authorized to execute, deliver and perform, in the name of and on behalf of the University, such certificates, instruments, documents and agreements as each of them may deem necessary, useful or required in furtherance of the foregoing resolution.

BACKGROUND:

The University has entered into a Master Agreement with the UConn Foundation in satisfaction of the requirements of Connecticut General Statutes § 4-37f(9). This agreement details the University's relationship with the UConn Foundation and sets forth the UConn Foundation's responsibilities for performing development, investment and other services in support of the University. The UConn Foundation is a private organization, exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, that operates exclusively to promote the educational, research, cultural, and recreational objectives of the University.

The Master Agreement requires, among other things, that the University enter into an SOW with the UConn Foundation outlining specific goals and objectives and the financial consideration to be provided by the University. The current SOW expires on June 30, 2023.

The proposed SOW with the UConn Foundation sets forth the fundraising, investment management, alumni relations activities and other related goals and objectives for Fiscal Year 2024. The goals and objectives established for next year include the following:

- A fundraising goal of \$125 million in new gifts and commitments for the University (including support for UConn Health)
- Continuation of a goal aimed at increasing scholarships and need-based financial aid for University students
- Increased donor engagement
- Providing quality alumni programs and services that strengthen lifelong bonds between all members of the UConn family and
- Continued planning and preparation for a significant and concerted fundraising campaign for the benefit of the University

In consideration for the UConn Foundation's performance of these services during the next fiscal year, the University has agreed to pay the UConn Foundation \$13,500,000 for development and alumni activities and related services which includes a minimum amount of \$2,835,201 to be devoted specifically to alumni relations activities and services.

## Statement of Work FY24

This Statement of Work FY24 (this "SOW"), effective the 1<sup>st</sup> day of July, 2023, is made between THE UNIVERSITY OF CONNECTICUT ("University"), Connecticut's land grant university, whose statutory authority is set forth in Chapter 185b of the Connecticut General Statutes, and THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED ("Foundation"), a Connecticut nonstock corporation that is exempt from taxation under 501(c)(3) of the Internal Revenue Code of 1986, as amended.

The University and the Foundation have entered into a Second Amended and Restated Master Agreement dated July 1, 2020 (the "Agreement") under which the Foundation has responsibility for fundraising efforts for the benefit of the University, management of endowment and other funds designated to benefit the University and for performing alumni relations activities in support of the University.

The Agreement stipulates that the University and Foundation will from time to time, but in no event less frequently than once every five (5) years, enter statements of work which outline the specific fundraising, investment management and alumni relations activities goals and objectives that the University and Foundation have agreed upon and the consideration to be provided to the Foundation each fiscal year. This SOW covers the period from July 1, 2023 through June 30, 2024 ("FY24") ("Period").

### 1. Payments

The University agreed to provide certain in-kind consideration to the Foundation for its services under the terms of the Agreement. In addition to agreeing to provide such in-kind consideration, the University further agrees to provide the following consideration to the Foundation for the Period:

- a) FY24 Service Fee: The University will pay a guaranteed amount to the Foundation of Thirteen Million Five Hundred Thousand Dollars (\$13,500,000) during FY24 for development and alumni activities and related services, which payment will be made quarterly in advance in equal installments during the FY24. Of this total amount, the University and the Foundation agree that a minimum amount of Two Million Eight Hundred Thirty-five Thousand Two Hundred and One Dollars (\$2,835,201) will be devoted specifically to alumni relations activities and services.
- b) Other Fees: The University agrees that Foundation operations will also be funded during the Period by an Advancement Fee, a Gift Fee on non-endowed contributions, and retention of earnings on non-endowed Foundation assets.
  - 1) The Foundation will assess and retain an Advancement Fee, as reasonably determined by the Foundation, on all endowment assets (University and Foundation assets) invested by the Foundation. The Foundation's Advancement Fee is calculated annually on a quarterly basis ("Calculation Date") and presently equals one and three-quarter percent (1.75%) of the rolling prior twelve (12) quarter average unitized market value of the long-term pooled investment portfolio multiplied by the number of units held by each endowed fund. The Advancement Fee owing to the Foundation will be transferred to Foundation operating funds in four equal installments as of the first day of each quarter following the Calculation Date (April 1<sup>st</sup>, July 1<sup>st</sup>, October 1<sup>st</sup>, and January 1<sup>st</sup>).
  - 2) The Foundation will assess and retain Gift Fees on all non-endowed gifts deposited in the Foundation, as reasonably determined by the Foundation. The Foundation's Gift Fee for non-endowed gifts is presently five percent (5%) of the value of the gift as of the date of receipt. Twenty-five percent (25%) of any non-endowed gift fee (or 1.25%) may be transferred to Foundation operating accounts supporting the school, college or unit supported by the fund to which the original gift was designated. The remaining seventy-five percent (75%) of any non-endowed Gift Fees (3.75%) is retained by the Foundation to support its operations.
  - 3) The Foundation will retain all investment earnings on non-endowed Foundation assets.

- c) **Fee Modifications and Waivers:** The Foundation, from time to time, may change its Advancement Fee and Gift Fee policies and will notify the University, in writing, of any changes made during the Period. The Foundation will also provide written notice to the University of any Advancement or Gift Fee reduction or waiver that is approved during the Period in accordance with its policies for any gift accepted in an amount greater than or equal to Ten Million Dollars (\$10,000,000).

## **2. Foundation Mission**

The Foundation is an independent, not-for-profit, tax-exempt organization operating exclusively to promote the educational, scientific, cultural, research and recreational objectives of the University of Connecticut (inclusive of the University's Health Center). This is accomplished by providing quality programs and services for its alumni and supporters, and by serving as the primary fundraising vehicle for the University. The Foundation solicits, administers, and invests private funds for the sole benefit of the University and its mission of pursuing excellence in teaching, research, and public service.

## **3. Fundraising and Alumni Engagement Goals and Benchmarks**

In consideration of the compensation provided to Foundation by the University under the terms of the Agreement and this SOW, the Foundation, consistent with its mission, agrees as follows:

The Foundation will continue its efforts to increase total private gift revenue to achieve One Hundred and Twenty-Five Million Dollars (\$125M) in new gifts and commitments for the University (inclusive of support for UConn Health) over the Period, such amount to be calculated in accordance with the Foundation's reasonably established gift counting policy, as amended from time to time. The parties acknowledge and understand that achieving this target may be significantly negatively impacted by global financial markets and geopolitical events. The Foundation will use its best efforts to reach the fundraising target by implementing annual strategies that will include:

- a) Increase donor engagement.
- 1) Utilize the University President, Provost, Deans and Program Directors in strategic donor outreach at the six-figure level and above.
  - 2) Engage the UConn Board of Trustees, UConn Foundation Board of Directors, and UConn Health Board of Directors in the cultivation, solicitation, and stewardship of major and principal gift prospects.
  - 3) Continue to bring awareness of the needs of UConn's diverse community to a broad range of donors and key prospects.
  - 4) Continue to focus on building customized engagement strategies for principal gift donors and prospects, understanding this group will have a disproportionate impact on the ability to reach and exceed goals.
  - 5) Increase contact and deepen engagement of donors and prospects at the \$50K+ rated level through more efficient deployment of appropriate numbers of full-time frontline fundraisers, effectively utilizing prospect research and screening data to drive activity in communities that are densely populated with rated alumni, parents, and friends.
  - 6) Facilitate stronger collaboration in donor strategy working across the Foundation and the University, using prospect management meetings to review and discuss the status of top donor strategies and package comprehensive proposals. Engage Deans and directors in strategy discussions and direct implementation of fundraising. Increase engagement of University President and Provost with key University stakeholders.
  - 7) Continue to focus on increasing overall alumni and donor count using business intelligence and enhanced annual giving strategy.
  - 8) Build on ongoing planning activities (e.g., constituent data analysis, staffing analysis, and technology enhancements) for a significant and concerted fundraising effort for the benefit of the University ("Campaign"). Work with the Foundation's chosen campaign consultant, the Deans, and appropriate University administration to build campaign themes, organize them around University priorities, and deliver a compelling campaign case statement.
- b) Increase alumni engagement.

- 1) Align alumni programming with key university stakeholders (enrollment management, career services, athletics, and student affairs and regional campuses) to create a variety of programming for diverse communities of alumni.
  - 2) Initiate alumni engagement rate as a measure of success in preparation for campaign
  - 3) Enhance event/program conceptualization and planning to be inclusive of BIPOC constituents and heighten BIPOC alumni, families, and friends' participation and engagement.
  - 4) Leverage the engagement scorecard, net promoter score, and Strike zone for prospects for use in tracking success of alumni programs, defining strategic areas of focus, and conducting personal visits.
  - 5) Utilize comprehensive alumni data to launch the regional engagement event strategy with focus on seven target cities and Connecticut.
  - 6) Develop programs for UConn students to educate them on how to engage as active alumni through programming, volunteering, and giving.
  - 7) Execute outreach and engagement programs to promote the value of philanthropy, increase constituent giving, and support pipeline development.
- c) Align fundraising efforts with the University's priorities, which are expected to include, without limitation, support for the following:
- 1) Programs related to student success including, without limitation, scholarships and fellowships, financial literacy, food security, mental health and wellness, and experiential learning;
  - 2) Programs related to global human rights, social justice, and areas of interest to our Black, Indigenous, People of Color ("BIPOC") constituents;
  - 3) Involve regional campus directors in identifying their key initiatives that impact and enhance student success both on their campus and in the surrounding community.
  - 4) Entrepreneurship across the University population, including undergraduate, graduate, faculty, and alumni/friends and regional campuses.
  - 5) University sustainability, including education (e.g., professorships, scholarships), research, outreach and engagement, and campus(es) infrastructure; and
  - 6) Use reasonable efforts to raise gifts and commitments for student support, including, but not limited to, scholarships, assistantships, fellowships, awards, and prizes, that equal not less than fifteen percent (15%) of the total amount of all gifts and commitments raised during the Period.
- d) Enhance external and internal communications.
- 1) Help educate the University community about the important role of cultivating and stewarding private support.
  - 2) Continue to develop fundraising focused material related to strategic priorities of the University.
  - 3) Align event marketing strategy with alumni goals to increase engagement.
  - 4) Coordinate communications to alumni, donors, and friends with the University.
  - 5) Work with UConn Strong (a separate 501(c)(4) organization established by University volunteers to promote the impact the University of Connecticut and its students, faculty, staff and organizations have on the well-being of the residents, culture and economy of the state of Connecticut) to educate the general public, including lawmakers and community leaders, regarding the important roles of the UConn Foundation and University.
- e) Increase operational efficiencies.
- 1) Strengthen stewardship for donors at various levels, including \$1K or more annual donors, as well as lifetime donors of \$100K or more.
  - 2) Optimize staffing and increase investment in staff training to develop a high-performing Foundation operation.
  - 3) Monitor and align as necessary our endowed faculty minimum gift guidelines with national peers and best practices.

#### **4. Investment Benchmarks**

The Foundation in its discretion will establish appropriate investment benchmarks for assets invested for the benefit of the University, both those owned by the Foundation and those owned by the University. The Foundation will provide to the

University's President and Executive Vice President for Finance and Chief Financial Officer a summary report of its investment risk and return benchmarks during the Period. The Foundation will use reasonable efforts to maintain the following benchmarks during the Period:

- a) The target return on Foundation investments will be five- and three-quarter percent (5.75%) plus inflation.
- b) Volatility is expected to be consistent with the risk associated with exceeding the return of the portfolio benchmark noted above, calculated as the weighted average performance of the asset class benchmarks defined in the Foundation's Investment Policy Statement.
- c) Risk is expected to be measured by the annualized standard deviation of returns over a market cycle of seven to ten years.

**5. State Contract Requirements**

The state contracting requirements set forth in Section 10 of the Agreement are incorporated herein by reference, to the extent necessary.

**6. Amendment**

This SOW may be modified or amended in whole or in part by mutual written agreement signed by duly authorized representatives of each of the parties.

**7. Governing Law**

This SOW is governed by the laws of the State of Connecticut. If there shall be any inconsistency between the provisions of this SOW and the Agreement, the provisions of the Agreement shall control.

**8. Counterparts and Electronic Signatures**

This SOW may be executed by electronic signature and/or in two (2) or more counterparts, each of which will be deemed an original instrument, but all of which will constitute one and the same agreement.

**FOR THE UNIVERSITY OF CONNECTICUT**

\_\_\_\_\_  
Radenka Maric, PhD  
President, University of Connecticut

\_\_\_\_\_  
Date

\_\_\_\_\_  
Jeffrey P. Geoghegan  
Executive Vice President for Finance  
and Chief Financial Officer, University of Connecticut  
and UConn Health

\_\_\_\_\_  
Date

**FOR THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED**

\_\_\_\_\_  
Craig W. Ashmore  
Chair, The University of Connecticut  
Foundation, Incorporated

\_\_\_\_\_  
Date

\_\_\_\_\_  
Jonathan L. Greenblatt  
Interim President, The University of Connecticut  
Foundation, Incorporated

\_\_\_\_\_  
Date

***APPROVED AS TO FORM***

\_\_\_\_\_  
Deputy Associate Attorney General,  
Connecticut State Attorney General's Office

\_\_\_\_\_  
Date



**EXECUTION VERSION**

**SECOND AMENDED AND RESTATED MASTER AGREEMENT**

between

**THE UNIVERSITY OF CONNECTICUT**

and

**THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED**

WHEREAS, the UNIVERSITY OF CONNECTICUT (hereinafter the "University" or "UConn"), Connecticut's land grant university, whose statutory authority is set forth in Chapter 185b of the Connecticut General Statutes, and THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED (hereinafter the "Foundation"), a Connecticut non-stock corporation that is exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code"), entered into an agreement effective July 1, 2014 (the "Original Agreement") to outline the relationship between the parties and to assure compliance with the provisions of CONN. GEN. STAT. § 4-37e et seq., as they may be amended, and other applicable laws;

WHEREAS, pursuant to the Original Agreement the University designated the Foundation to assume primary responsibility for the University's development efforts and the investment and administration of endowment funds established to benefit the University, and the Foundation agreed to undertake these responsibilities;

WHEREAS, the University and the Foundation entered into the First Addendum to Master Agreement, effective as of April 1, 2015, pursuant to which, among other things, the University designated the Foundation to assume primary responsibility for performing alumni relations activities in support of the University, and the Foundation agreed to undertake these responsibilities;

WHEREAS, the University and the Foundation entered into the First Amended and Restated Master Agreement, effective July 1, 2015, whereby the Original Agreement and First Addendum were amended and restated in their entirety to set forth the terms on which the Foundation would continue for a period of five (5) years to support the University by engaging in development efforts, investing and administering endowment and other funds established to benefit the University and performing alumni relations activities;

WHEREAS, the mission of the Foundation is to solicit, receive, invest and administer gifts and financial resources from private sources for the benefit of all campuses and programs of the University (inclusive of the University's Health Center), and, to this end, to support the University's alumni relations activities; and the Foundation operates exclusively to promote the educational, research, cultural, and recreational objectives of the University; and as a primary fundraising vehicle to solicit and administer private gifts and grants which will enhance the University's mission, the Foundation supports the University's pursuit of excellence in teaching, research and public service;



WHEREAS, the University and the Foundation desire to set forth the terms on which the Foundation will continue to support the University by engaging in development efforts, investing and administering endowment and other funds established to benefit the University and performing alumni relations activities;

WHEREAS, Section 11.2 of the First Amended and Restated Master Agreement provides that it may be amended from time to time upon mutual written agreement of the parties, approval of their respective governing boards and approval as to form by the Office of the Attorney General;

NOW, THEREFORE, the First Amended and Restated Master Agreement is hereby amended and restated in its entirety by the execution of this Second Amended and Restated Master Agreement dated effective July 1, 2020 (this "Agreement"), entered into between the University and the Foundation:

1.0 Relationship of the Parties

- 1.1 The University and the Foundation acknowledge that the University is a constituent unit of the State of Connecticut's system of public higher education, as defined in CONN. GEN. STAT. §10a-1, and is responsible for the governance and administration of all the schools, colleges, divisions and departments of all the University's campuses (including, without limitation, the University Health Center); and the Foundation is an independent Connecticut non-stock corporation exempt from federal taxation under Section 501(c)(3) of the Code, and a "foundation" as defined under CONN. GEN. STAT. § 4-37e(2); that each entity is governed by separate governing boards; that each entity is permitted only to deposit funds to their respective accounts which are properly designated for that specific entity; and that each entity is subject to separate accounting, disbursement, and disclosure requirements as a matter of internal governance regulations and applicable state and federal law.
- 1.2 The Foundation and University are each independent entities and agree that neither shall have any liability for the obligations, acts or omissions of the other party, or the other's trustees, directors, officers, employees and agents.
- 1.3 The Foundation will be governed, in accordance with its bylaws as amended from time to time, by a volunteer board of elected directors, which includes the following ex-officio non-voting (except as otherwise indicated) directors: the President of the University, the University's chief academic officer, a senior administrator from the University Health Center, the chief financial officer of the University, a chief administrator from the Department of Athletics, the Chair of the Institutional Advancement Committee of the University Board of Trustees or any other member of the Institutional Advancement Committee as designated by the Chair of the Institutional Advancement Committee, the President of the Foundation (voting), a student enrolled at the University and elected by enrolled students, and a faculty member of the University (elected by the faculty). With respect to the University positions serving as ex-officio members of the Foundation board of directors, the positions will be identified consistent with titles in the University's

bylaws as amended by the University from time to time. The Foundation reserves all rights and powers granted to it under its charter and bylaws, the Connecticut non-stock corporation law and federal law.

- 1.4 This Agreement provides the terms and conditions of the relationship between the University and Foundation. From time to time, but in no event less frequently than once every five years, the Foundation and University will enter into statements of work (each, a "SOW") which outline the specific fundraising, investment management, alumni relations activities and other related goals and objectives that the University and Foundation have agreed upon and the annual amount agreed to be paid (if any) to the Foundation for each fiscal year (Fiscal Year: July 1 – June 30) in consideration of the Foundation's fundraising, investment management, alumni relations and other related services described in this Agreement.
- 1.5 The University and the Foundation will use their best efforts to ensure that Foundation activities contemplated by this Agreement comply with the Internal Revenue Code, particularly, Section 501(c)(3) and its regulations, and applicable state law, including without limitation CONN. GEN. STAT. § 21a-175 et seq.
- 1.6 The Foundation in its discretion will assign Foundation employees to work primarily with the University's alumni and other potential donors and with the administration and faculty of particular University schools, colleges and programs for the activities contemplated by this Agreement. The Foundation agrees that it will collaborate with the Deans and Directors of such schools, colleges, and programs in establishing objectives and performance expectations of such employees. The employees will also be permitted to perform general advancement and alumni relations work as requested by the school, college and program and agreed to by the Foundation. As an independent organization the Foundation has the authority to hire employees and otherwise develop its own human resources infrastructure and compensation policies to accomplish the mission of the Foundation.
- 1.7 At times the University may request the use of financial services of the Foundation to facilitate special fundraising or alumni events or other University projects, in accordance with best practices, that extend beyond the normal fiscal functions of the Foundation contemplated by this Agreement, to deposit and account for private gifts and to process routine disbursements. In such cases, the University shall request prior approval from the Foundation for the use of these services. The parties agree that the Foundation will be entitled to reasonable and appropriate compensation for such services. The University and the Foundation agree to make no commitment to a third party on behalf of the other without expressed prior written permission of such other party.
- 2.0 Fundraising Services
- 2.1 The University designates the Foundation as the primary entity to raise private financial support, manage philanthropic assets, and steward donors in support of the University. All University fundraising shall be directed by the Foundation. The parties will work



closely to create a culture of philanthropy and provide the transformational support necessary for UConn to achieve its aspirations within all of the University's schools, colleges, athletic programs and the University Health Center. The University will engage the Foundation in strategic planning to develop University and unit priorities, long-range goals and associated fundraising needs. The University will advise and include the Foundation in matters related to the University's marketing, branding and other communications strategies to the extent that they are relevant to the Foundation's mission of supporting the University.

2.2 As set forth in a SOW entered into between the parties from time to time, the Foundation will use its best efforts to increase total voluntary support (gifts raised by the Foundation, the UConn Law School Foundation, and the University (including non-governmental philanthropic research grants)); the Foundation will strengthen its principal, major, planned, and corporate and foundation giving programs; and the Foundation will also use its best efforts to enhance prospect identification, alumni participation rates, donor retention rates, and volunteer engagement.

### 3.0 Acceptance and Stewardship of Gifts

3.1 The Foundation will in its discretion establish and maintain gift acceptance policies. The Foundation shall make its best efforts to ensure that any monies received by the Foundation and defined in CONN. GEN. STAT. § 4-37g as "funds for deposit and retention in state accounts" are transferred to the University in a timely manner. The University and Foundation will jointly develop and maintain guidelines for determining the proper deposit of funds.

3.2 The Foundation will provide receipts and acknowledgments, as required by the Code, for all private gifts made for the benefit of the University, including gifts that will be assets of the University.

3.3 The Foundation will be responsible for coordinating University and Foundation activities related to thanking, acknowledging and stewarding donors. The University will assist the Foundation in such activity by, without limitation, providing to the Foundation, upon request, appropriate information on the use of charitable funds by the University.

3.4 The Foundation owns a private home located at 61 Scarborough Street in Hartford, Connecticut ("Foundation House"). The primary purpose of Foundation House is to advance the mission of the Foundation in support of the University by supporting fundraising, stewardship and engagement activities. For so long as the Foundation chooses to own Foundation House, it may be made available by the Foundation, in its discretion, to the University in support of University business under the terms of a separate agreement between the parties establishing terms and conditions for Foundation House's use.

3.5 As appropriate, and in the best interest of the University, the Foundation in its discretion and in consultation with University Communications will be responsible for arranging

press conferences, releases, print, web, radio, and television communications to acknowledge significant gifts to all University schools, colleges, departments and units.

#### 4.0 Investment of Funds

4.1 The University and the Foundation entered a separate Endowment Management Agreement, dated April 28, 1996, authorizing the Foundation to manage endowed funds donated directly to the University as the University's agent. This Agreement replaces and supersedes the Endowment Management Agreement in its entirety. The University designates the Foundation as the investment manager of all University endowment and quasi-endowment fund assets. The Foundation will in its sole discretion maintain and modify investment and spending policies for such University endowment fund assets and for all Foundation assets (both endowed and non-endowed) that adhere to applicable federal and state laws, including the Uniform Prudent Investor Act (CONN. GEN. STAT§ 45-541 et seq.) and the Uniform Prudent Management of Institutional Funds Act (CONN. GEN. STAT. § 45a-535 et seq.). The Foundation as agent for the University in managing University endowment fund assets shall also have the following powers:

- (a) To invest and re-invest the University endowment assets in such securities and property as are from time to time legal investments for the Foundation;
- (b) To purchase, manage and sell property;
- (c) To exercise all conversion and subscription rights pertaining to any property;
- (d) To exercise all voting rights with respect to any investment and to grant proxies, discretionary or otherwise;
- (e) To cause any investments to be registered and held in the name of one or more of its nominees, or one or more nominees of any system for the central handling of securities, without increase or decrease of liability;
- (f) To collect and receive any and all money and other property due to the University endowment assets and to give full discharge therefore;
- (g) To commence or defend suits or legal proceedings to protect any interest of the University endowment assets; and to represent the University endowment assets in all suits or legal proceedings in any court or before any other body or tribunal, except that to the extent the interests of the University are implicated in any such suit or proceeding, the Office of the University's General Counsel and the Office of the Attorney General shall be notified of such suit or proceeding and shall provide legal representation to the University in connection therewith;
- (h) To employ agents and depositories, to delegate to them discretionary powers, to compensate them for their services and to reimburse their reasonable expenses; and



- (i) Generally to do all acts, whether or not expressly authorized, which the Foundation may deem necessary or desirable for the protection of the University endowment assets.
- 4.2 The Foundation's current endowment investment and spending policies aim to preserve intergenerational equity (purchasing power) and provide a relatively stable spending stream to meet the needs of the University and comply with donor intent. The Foundation will, in its discretion, establish and achieve a reasonable endowment benchmark rate of return based on prudent levels of risk, targeted spending and an inflation factor calculated over a relevant rolling period. Such returns may also be benchmarked, in the Foundation's discretion, for a risk adjusted return defined by strategic asset allocation policy long-term targets using measurable market and manager benchmarks. Specific benchmarks may be identified in a SOW.
- 4.3 The Foundation's current non-endowed investment policy aims to provide sufficient liquidity and preserve capital for University needs. Specific benchmarks may be identified in a SOW.
- 4.4 The Foundation also agrees that it will act as the University's agent for gifts of securities or other non-cash gifts that are donated to the University with the intention to be liquidated.
- 4.5 The Foundation will maintain such reasonable operating reserve as it determines appropriate to ensure continuity of its business operations in periods of economic uncertainty.
- 5.0 Expenditure of Funds
- 5.1 The University will use its best efforts to ensure that all available Foundation funds are timely utilized in accordance with donor intent. The Foundation will only approve disbursement requests received from the University that are properly authorized and in compliance with Foundation disbursement policies, which may include, without limitation, requirements that the request: complies with all donor imposed restrictions on the fund; supports the University's mission and programs; represents a reasonable, legitimate and arm's length business transaction; is properly authorized in the context of CONN. GEN. STAT. § 4-37e et seq.; and is compliant with all state laws applicable to University employees.
- 5.2 Annually, the President of the University shall certify to the Foundation a list (which shall be updated as changes occur) of University employees who are authorized to request disbursements from the Foundation ("Authorized Officials"). Requests for disbursements by the Foundation from an Authorized Official shall constitute a representation and certification by the Authorized Official that the disbursement is being made in accordance with University policies and procedures.

- 5.3 Requests for disbursement from the Foundation for the benefit of University employees or officers for any salary, fee, fringe benefit, loan or other compensation item (collectively "Compensation Items") must be approved by the University President or his or her delegate, and paid by the Foundation, in accordance with CONN. GEN. STAT. § 4-37i and the University's Policy Regarding Financial Transactions with the Foundation, adopted by the University Board of Trustees, and as amended from time to time ("University's Policy Regarding Financial Transactions with the Foundation") which defines the proper use of the Foundation's fiscal services. Requests for disbursement from the Foundation for the benefit of the University President for any Compensation Item due him or her must be approved by the Chairman of the University Board of Trustees in accordance with CONN. GEN. STAT. § 4-37i and the University's Policy Regarding Financial Transactions with the Foundation.
- 5.4 The Foundation agrees to provide to the University, on a semi-annual basis, a summary of all fund balances held for the benefit of the University, disbursements provided to the University, and expenditures made on behalf of the University to third parties during the preceding six months.
- 5.5 In order to assist the University in its reporting responsibilities, the Foundation agrees to report annually to the University Controller all fixed asset expenditures made on behalf of the University.

#### 6.0 Alumni Relations Activities

- 6.1 Roles and Responsibilities: The University and the Foundation recognize that alumni are key stakeholders of the University who provide valuable perspective to University leaders and are a source of significant support for the University's goals. The Foundation will use its best efforts to foster the continued connection between the University and its alumni and cultivate and steward alumni relationships for the University by organizing programs, activities and communications that are in the best interests of the University and in furtherance of the goals and objectives as may be set forth in a SOW entered into between the parties from time to time.
- 6.2 Alumni Center: The University and the Foundation acknowledge the importance of, and agree to work in good faith to have and maintain, a center for alumni on the University's Storrs campus. The location of and manner in which such center will be used and maintained by the University and the Foundation are set forth in a separate Lease Agreement effective as of October 22, 2015 which documents the terms and conditions for the Foundation's use of the center.
- 6.3 Affinity Relationships: The University acknowledges that the Foundation's performance of alumni relations activities may be supported, in part, by affinity programs (including but not limited to alumni insurance and credit card programs) and corporate sponsorships mutually acceptable to the University and the Foundation. The University agrees to consider, in good faith, use of the University's name, logo, seal, and other marks in



connection with such affinity programs and corporate sponsorships, which such use will be subject to the prior review and written approval of the University.

(a) The University agrees that no other University school, college, department or unit will be authorized to offer an affinity insurance or credit card program without the consent of the Foundation.

(b) The Foundation will be responsible for operating the University license plate program offered through the State of Connecticut Department of Motor Vehicles and will in its sole discretion determine the purpose and use of any revenue generated by the program.

6.4 Trustee Elections: In furtherance of the University's Board of Trustees' designation of the Foundation as the "alumni association" of the University for purposes of participating in elections of University graduates to the University's Board of Trustees pursuant to Connecticut General Statutes § 10a-103, the Foundation will, in coordination with the University, perform the duties, and exercise the powers, as are set forth in Connecticut General Statutes § 10a-103. The University will be responsible for payment of any expenses associated with the production and mailing of ballots required to conduct the election.

#### 7.0 Compensation for Foundation Services

7.1 In consideration for the Foundation's fundraising, investment management, alumni relations and other related services described herein the University will provide the following compensation:

(a) Service Fee: The University will provide a payment to support the Foundation's general operations as agreed upon in a SOW.

(b) Endowment Administrative Fee: The Foundation may assess an endowment administrative fee on Foundation endowment and University endowment funds as determined in the Foundation's discretion. The current rate for such fees will be provided in a SOW.

(c) Gift Fees: The Foundation in its discretion may assess gift fees on new gifts received. The current rate for such fees will be provided in a SOW.

(d) Retained Earnings: The Foundation will retain all investment earnings on non-endowed funds.

(e) Foundation Funds: University schools, colleges, departments, and units that are authorized to expend Foundation funds may, with the agreement of the Foundation, designate such Foundation funds to support Foundation operating expenses supporting the school, college, department or unit.

7.2 The University will also provide the following in-kind support without fee, charge, or reimbursement:

- (a) **Alumni Lists**: The University agrees to provide an electronic interface to enable the Foundation to extract, on a regular basis and in an automated fashion exclusively for the purpose of enabling the Foundation to achieve its mission, which mission may require, without limitation, the release of such information to third parties, the following: (1) student directory information pertaining to current and past University students consistent with its established Family Educational Rights and Privacy Act of 1974 (20 U.S.C. §1232g; 34 CFR Part 99) (“FERPA”) policy, (2) demographic information and protected health information pertaining to current and past patients of the University’s Health Center (“Patient Information”) consistent with the Health Insurance Portability and Accountability Act (“HIPAA”) of 1996 and related rules and regulations related to institutionally related foundations, as amended from time to time, (3) University employee names and campus contact information consistent with University personnel policies, (4) University students’ parents’ contact information, exclusive of any parents who have opted out of providing such information for Foundation purposes.
- (b) **Computer Network & Telecommunications Infrastructure**: The University will provide to the Foundation standard University network and telecommunications infrastructure including, but not limited to, networking, internet access (including band width), and server rack space and power in the same manner as are provided to University schools, colleges, departments, and units. The Foundation and University acknowledge and agree that the Foundation may be assessed fees or charges by the University for services other than the standard infrastructure described above, but that such fees or charges will not exceed the rates paid for such services, in accordance with University policy, by University schools, colleges, departments, and units.
- (c) **Event Planning**: The University will provide standard University Event services to the Foundation to assist in its fundraising and stewardship efforts.
- (d) **Facilities**: Employees of the Foundation will have the same privileges for parking and the use of University facilities as similarly situated employees of the University. Facilities include, but are not limited to, recreational and library facilities. The University will provide office space, furniture, printers, photocopiers, telephone service, storage space, and utilities used by Foundation employees assigned to fundraise for, and physically work in, the University’s schools, colleges, departments, and units. The Foundation agrees that it will advise Foundation employees against the misuse or abuse of state equipment, including the prohibition against the use of state equipment for personal purposes, and require employees to report any misuse of which they become aware.



Pursuant to a Land Lease dated November 1, 1998, the University leased land located at 2390 Alumni Drive, Storrs, Connecticut to the Foundation for the purpose of constructing the Foundation's main office building, which is owned and operated by the Foundation. The Land Lease remains in effect in accordance with its terms. The University and the Foundation may enter into separate agreements from time to time providing for maintenance, landscaping, and similar services to be provided by the University for the Foundation's main office building.

- (e) University Personnel: The University agrees to allow the Foundation to utilize University employees for the Foundation's fundraising and alumni relations activities without additional compensation or reimbursement from the Foundation (except that out of pocket business expenses incurred thereby may be reimbursed by the Foundation in accordance with its policies) including, without limitation, the President, Provost, Assistant and Associate Vice Provosts, Executive Vice Presidents, Vice Presidents, Associate Vice Presidents, Deans, Director of Athletics, Directors, Associate Directors Department Heads, faculty and support staff. The University also agrees that the Foundation is allowed to utilize University employees (including those of the University Health Center) presently employed in positions that are primarily fundraising and alumni relations positions, for the Foundation's fundraising and alumni relations provided that in the event the incumbents in such primarily fundraising and alumni relations positions are no longer employed in such positions by the University, and the Foundation chooses to hire a new employee to perform the Foundation-related duties of this position, the new hire will be a Foundation employee, subject to restrictions imposed by any applicable collective bargaining agreement.
- (f) Mail Services: To the extent that it can do so, consistent with U.S. Postal Service statutes and regulations, the Foundation may use the University's mail system.
- (g) Intellectual Property: The University agrees that the Foundation may in connection with its lawful business and activities use the name of the University as well as the University's logo, seal, and other marks consistent with University restrictions applicable to University departments; provided that any use of the University's name, logo, seal and other marks in connection with affinity programs and corporate sponsorships is subject to Section 6.3 hereof and, as provided therein, will be subject to the University's prior review and written approval.

7.3 Except as otherwise provided herein or agreed to by the parties, the Foundation will reimburse the University for expenses the University incurs as a result of Foundation operations, if the University would not have otherwise incurred such expenses including, without limitation, expenses related to the maintenance and operation of the Foundation's facilities. The Foundation may in its discretion and subject to its policies make its facilities available to University schools, colleges, departments, and units (and organizations which are affiliated with the University and which support the furtherance

of the University's purpose) for events and programs primarily related to fundraising, alumni relations activities and other meetings. The Foundation may charge any fees for such usage directly to the relevant school, college, department, unit or organization.

## 8.0 Ownership and Management of Records

- 8.1 The Foundation will maintain appropriate financial and business records related to fundraising, investment, and other Foundation operations in a prudent manner. This will include, without limitation, a comprehensive, secure, state-of-the art electronic prospect management system and donor database, for which the Foundation will establish and maintain data integrity standards.
- 8.2 The University acknowledges and agrees that it does not have any ownership rights with respect to any Foundation information, records, documents or other materials provided to the University, including, but not limited to, donor records, gift records, financial records, or other Foundation business information which may have been derived from or related to information initially provided to the Foundation by the University. Any such Foundation information, records, documents or other materials including, without limitation, those maintained by the University will not be deemed public records and shall not be subject to disclosure pursuant to CONN. GEN. STAT. § 1-210. The Foundation will establish and enforce policies to protect the confidentiality of its records to the fullest extent allowable by law.
- 8.3 The Foundation may release information to third-parties exclusively for the purpose of accomplishing its mission provided that any such release is consistent with Foundation policies and applicable provisions of law, including without limitation, the applicable provisions of FERPA and HIPAA.
- 8.4 Without limiting the foregoing, the Foundation agrees (1) to enter into a data use and confidentiality agreement with any third-parties that will receive Patient Information, requiring such third-parties to hold such Patient Information confidential and to implement safeguards against further disclosure in a manner consistent with HIPAA, or (2) to allow the University to enter into a business associate agreement with such third-parties and permit the University (including the University Health Center) to provide such Patient Information to such third-parties directly. The Foundation agrees that it will include in any fundraising materials sent using Patient Information a description in accordance with HIPAA of how individuals may opt-out of receiving further fundraising communications. The Foundation also agrees to honor opt-out requests received. The Foundation agrees to maintain any Patient Information received from the University in a manner consistent with the requirements of 45 C.F.R. Parts 160 and 164 (the "HIPAA Privacy Rule") pertaining to institutionally related foundations. The Foundation agrees to establish processes and procedures sufficient to limit access to such Patient Information to Foundation personnel with a need to access such information. The University, through the University Health Center's Privacy and Security Offices, agrees to periodically offer, and provide at no cost to the Foundation, training to Foundation personnel on the HIPAA Privacy Rule and its implications for institutionally related foundations and the



Foundation will require Foundation personnel who will have access to Patient Information to attend such HIPAA training.

8.5 The Foundation agrees not to share or disclose information with third parties in a manner inconsistent with this Agreement, unless required to do so by law or other agency regulations.

8.6 The Foundation is aware of and supports the University's Identity Theft Prevention Program as approved by the University's Board of Trustees. The Foundation agrees to report any violations of the University's Identity Theft Prevention Program which it becomes aware of to the University's Audit and Management Advisory Services (AMAS) Office or University Compliance Office as soon as possible.

9.0 Audits and Legal Advice

9.1 The Foundation shall be responsible for retaining and compensating the independent auditing firm required by CONN. GEN. STAT. §4-37f(8). The audit report shall include financial statements, a management letter and an audit opinion which address the conformance of the operating procedures of the Foundation with the provisions of sections 4-37e to 4-37i (including, without limitation, whether funds for deposit and retention in state accounts have been deposited and retained in Foundation accounts in violation of section 4-37g), and recommend any corrective actions needed to ensure such conformance.

9.2 The Foundation will permit the University's AMAS office to conduct, if it so chooses, an annual examination of Foundation disbursements for compliance with the University's Policy Regarding Financial Transactions with the Foundation. The Foundation will also permit AMAS to conduct, if it so chooses, a post-deposit review of any gift, including reviewing checks, gifts, agreements and other supporting documentation for compliance with CONN. GEN. STAT. § 4-37e et seq. and the policy concerning the University Role and Review of Foundation Deposit of Funds, approved by the University and Foundation as of August 2006, as amended from time to time.

9.3 The Foundation shall provide a copy of each audit report completed pursuant to CONN. GEN. STAT. § 4-37f(8) to the President of the University.

9.4 The Foundation shall have its own legal counsel and shall be responsible for all costs for Foundation legal services.

10.0 Non-discrimination and Executive Orders

- 10.1 (a) For purposes of this Section, the following terms are defined as follows:
- i. "Commission" means the Commission on Human Rights and Opportunities;
  - ii. "Contract" and "contract" include any extension or modification of the Contract or contract;

- iii. "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;
- iv. "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.
- v. "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;
- vi. "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and ~~additional or substituted~~ efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;
- vii. "marital status" means being single, married as recognized by the State of Connecticut, widowed, separated or divorced;
- viii. "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;
- ix. "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the ~~capital stock, if any, or assets of which~~ is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Conn. Gen. Stat. § 32-9n; and
- x. "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, unless the contract is a municipal public works contract or quasi-public agency project contract, (2) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. § 1-267, (3) the federal government, (4) a foreign government, or (5) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), or (4).

(b) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or



group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status of a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to ensure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status of a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Conn. Gen. Stat. §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Conn. Gen. Stat. §§ 46a-56, 46a-68e, 46a-68f and 46a-86; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Conn. Gen. Stat. § 46a-56. If the contract is a public works contract, municipal public works contract or contract for a quasi-public agency project, the Contractor agrees and warrants that he or she will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works or quasi-public agency projects.

(c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.

(d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.

(e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a

contract with the State and in every subcontract entered into in order to fulfill any obligation of a municipal public works contract for a quasi-public agency project, and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Conn. Gen. Stat. § 46a-56 as amended; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

(f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.

(g) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Conn. Gen. Stat. § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Conn. Gen. Stat. § 46a-56.

(h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Conn. Gen. Stat. § 46a-56 as amended; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of



Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

10.2 This Agreement is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of the Agreement as if they had been fully set forth in it. The Agreement may also be subject to Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services and to Executive Order No. 49 of Governor Dannel P. Malloy, promulgated May 22, 2015, mandating disclosure of certain gifts to public employees and contributions to certain candidates for office. If Executive Order 14 and/or Executive Order 49 are applicable, they are deemed to be incorporated into and are made a part of the Agreement as if they had been fully set forth in it.

#### 11.0 General

11.1 The Foundation may in its discretion enter into written agreements, for such purposes as it determines necessary or appropriate, with other University affiliates including, without limitation, UConn Nation Proud, as separate tax-exempt 501(c)(4) organization organized by University volunteers to promote the impact the University of Connecticut and its students, faculty, staff and organizations have on the well-being of the residents, culture and economy of the state of Connecticut, .

11.2 This Agreement may be amended from time to time at the request of either party. Any such amendment shall be set forth in writing by the parties and shall require the approval of both governing boards and approval as to form by the Office of the Attorney General.

11.3 This Agreement is governed by the laws of the State of Connecticut.

11.4 No right or duty, in whole or in part, of either party to this agreement may be assigned or delegated without the prior written consent of the other party.

11.5 The term of this Agreement shall commence on July 1, 2020, subject to approval as to form by the Office of the Attorney General, and shall continue for a period of five years (5) years. The term of this Agreement may be extended for an additional period of time with the mutual written agreement of the parties. This Agreement may be terminated by either party upon one year's prior written notice. Upon any expiration or termination of this Agreement, or if the Foundation ceases to exist, or ceases to be a foundation as defined in CONN. GEN. STAT. § 4-37e(2), then (a) the Foundation shall be prohibited from using the name of the University, (b) the records of the Foundation, or copies of such records, shall be made available to and may be retained by the University, provided any such records or copies which are retained by the University shall not be deemed to be

public records and shall not be subject to disclosure pursuant to the provisions of CONN. GEN. STAT. § 1-210, and (c) the Foundation's Board of Directors will, in consultation with the University, dispose of the Foundation's assets, consistent with the Foundation's certificate of incorporation, its bylaws, state and federal laws, and such restrictions as may have been imposed by donors.

**FOR THE UNIVERSITY OF CONNECTICUT**

Thomas Katsouleas

Thomas Katsouleas (Jul 16, 2020 16:18 EDT)

Thomas C. Katsouleas  
President, University of Connecticut

Jul 16, 2020

Date

Scott Jordan

Scott Jordan (Jul 15, 2020 16:19 EDT)

Scott A. Jordan  
Executive Vice President for Administration and  
Chief Financial Officer, University of Connecticut

Jul 15, 2020

Date

**FOR THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED**

John P. Malfettone

John Malfettone (Jun 30, 2020 15:26 EDT)

John P. Malfettone  
Chair, The University of  
Connecticut Foundation, Incorporated

Jun 30, 2020

Date

Scott Roberts

Scott Roberts (Jun 30, 2020 15:30 EDT)

Scott M. Roberts  
President, The University of  
Connecticut Foundation, Incorporated

Jun 30, 2020

Date

**APPROVED AS TO FORM**

Joseph Rubin,  
Asst. Dep. A.G.

Digitally signed by Joseph  
Rubin, Asst. Dep. A.G.  
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By,  
Associate Attorney General,  
Connecticut State Attorney General's Office

Date



# **ATTACHMENT 4**

June 28, 2023

TO: Members of the Board of Trustees 

FROM: Jeffrey P. Geoghegan, CPA  
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the School of Nursing Building (Planning: \$2,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget of \$2,000,000, as detailed in the attached project budget, for the School of Nursing Building. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$2,000,000 in UCONN 2000 Bond funds for the School of Nursing Building.”

BACKGROUND:

The School of Nursing currently resides in Storrs Hall, a historic building constructed in 1906. In 2012, an expansion was added to accommodate the growing needs of the school, resulting in a total of 51,000 gross square feet (GSF). However, due to the continued growth of the school's program, the existing building can no longer adequately fulfill the School's requirements in terms of size and spatial configuration.

The goal of this project is to construct a new building for the School of Nursing to accommodate an increased enrollment and to address the shortage of nurses, the changing nature of health care, and the increasing disparity in health outcomes. The new building will be strategically located near the existing Brain Imaging Research Center, aligning with the vision outlined in the 2015-2035 Campus Master Plan.

Preliminary site and civil investigations need to be conducted. These investigations will involve a comprehensive site survey to assess the existing site conditions, including the infrastructure and underground utilities. This data will inform the subsequent design process and ensure a thorough understanding of the project's requirements.

The School of Nursing Building project is currently in the Planning Phase with design anticipated to complete in June 2024, followed by bidding. Construction is anticipated to begin in November 2024 and complete in July 2026.

The Planning Phase Budget is attached for your information.

Attachment

# **CAPITAL PROJECT BUDGET REPORTING FORM**

**TYPE BUDGET:      PLANNING**

**PROJECT NAME:      SCHOOL OF NURSING BUILDING**

<b><u>BUDGETED EXPENDITURES</u></b>	<b><u>PROPOSED PLANNING 6/28/2023</u></b>
CONSTRUCTION	\$ 50,000
DESIGN SERVICES	1,570,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES	100,000
ART	-
RELOCATION	-
ENVIRONMENTAL	35,000
INSURANCE AND LEGAL	30,000
MISCELLANEOUS	15,000
OTHER SOFT COSTS	-
<b>SUBTOTAL</b>	<b>\$ 1,800,000</b>
PROJECT CONTINGENCY	200,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 2,000,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>	
UCONN 2000 BOND FUNDS	\$ 2,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 2,000,000</u></b>

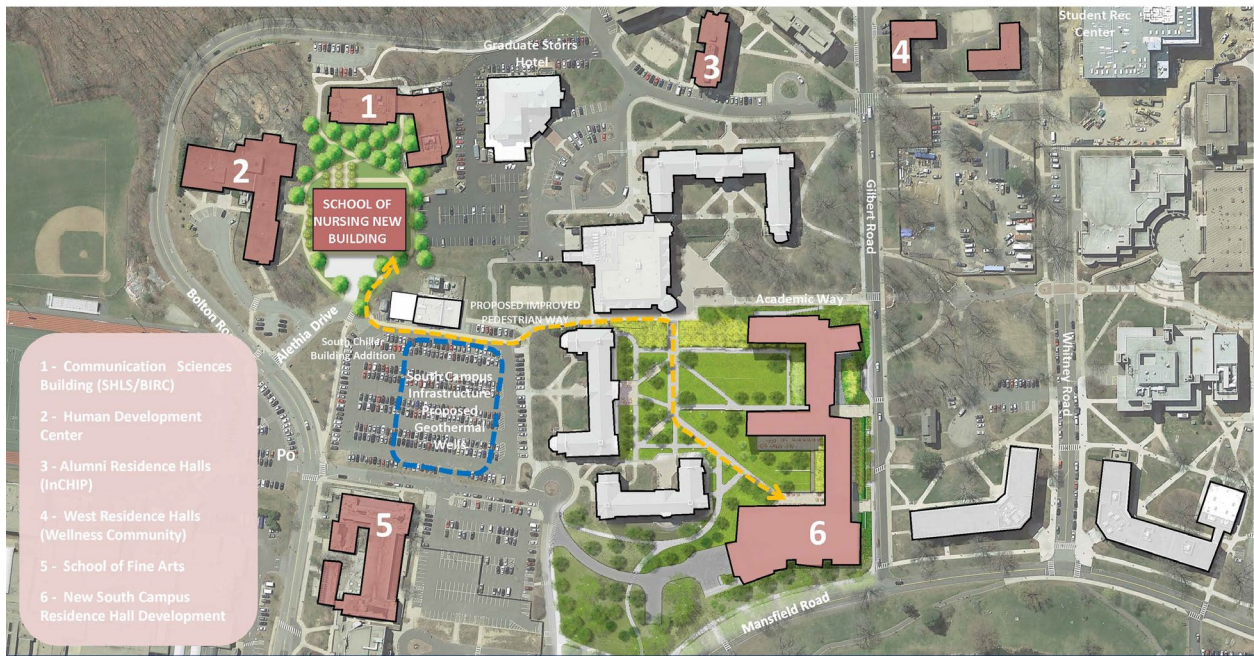
\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# SCHOOL OF NURSING Project Budget (Planning) June 28, 2023



School of Nursing | Identified Site | Enlarged Concept Diagram

DRAFT - NOT FOR DISTRIBUTION



School of Nursing | Identified Site | District Concept Diagram

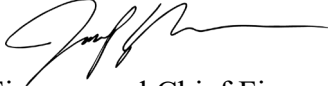
DRAFT - NOT FOR DISTRIBUTION

# **ATTACHMENT 5**



June 28, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Field House – Old Rec Center Renovation  
(Design: \$ 4,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$4,500,000, as detailed in the attached project budget, for the Field House – Old Rec Center Renovation project, for Schematic Design of the full Fieldhouse project scope. This represents an increase of \$1,500,000 to the previously approved Revised Planning budget of \$3,000,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$3,000,000 in University Funds and \$1,500,000 in UCONN 2000 Bond Funds for the Field House - Old Rec Center Renovation.”

BACKGROUND:

The Greer Field House was the former Student Recreation Center, and upon their move to the new facility in August 2019, space became available to meet other Athletic Department program needs. In the summer of 2021, design consultants were hired to begin the programming and conceptual design for the backfill of the facility.

The goal of this project is to bring athletics, research, sports medicine, hydrotherapy, training, rehabilitation, recovery, nutrition, compliance, clinical operations as well as academic support together in one building. The proposed program for the facility will include a consolidated Academic Center to support the Student Academic Success Program (SASP) for all student athletes and additional space for Kinesiology. Fundamentally, this project also seeks to address Title IX requirements for the various athletic teams.

This project will also renovate existing offices, support spaces, team rooms, coaches and official’s locker rooms, and team locker rooms for the following sports: men’s and women’s track and field, women’s field hockey, women’s rowing, women’s tennis, and women’s

swimming & diving. These renovated spaces within the Field House and Wolff-Zackin facility will be constructed to a standard established in the new locker rooms within the Rizza Performance Center. Exterior accessed toilet rooms for use by the public at sporting events at Sherman Field will be provided.

The Planning Phase of the Field House - Old Rec Center Renovation project began in May 2021, resulting in a concept design for the renovation. Final Design documents for Phase I – Wolff-Zackin for the Women’s Swimming Team spaces were completed May 2023. Enhanced Schematic Design for the balance of the renovation (Phase II – Fieldhouse) is expected to be complete by the end of 2023 with final design documentation for Phase II complete by the summer of 2024.

Pending additional design and construction funding authorization, construction for the Field House – Old Recreation Center Renovation is anticipated to start in the spring 2025 and be complete by the beginning of the fall semester 2026. Long-lead mechanical and electrical equipment may require pre-ordering during the fall/winter 2024. The project will seek LEED V4 Certification and meet the latest code requirement for High Performance Buildings.

The Design Phase Budget is attached for your information and reflects an increase of \$1,500,000 to the previously revised planning budget of \$3,000,000.

Attachment



## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:**        **DESIGN**

**PROJECT NAME:**        **FIELD HOUSE- OLD REC CENTER RENOVATION**

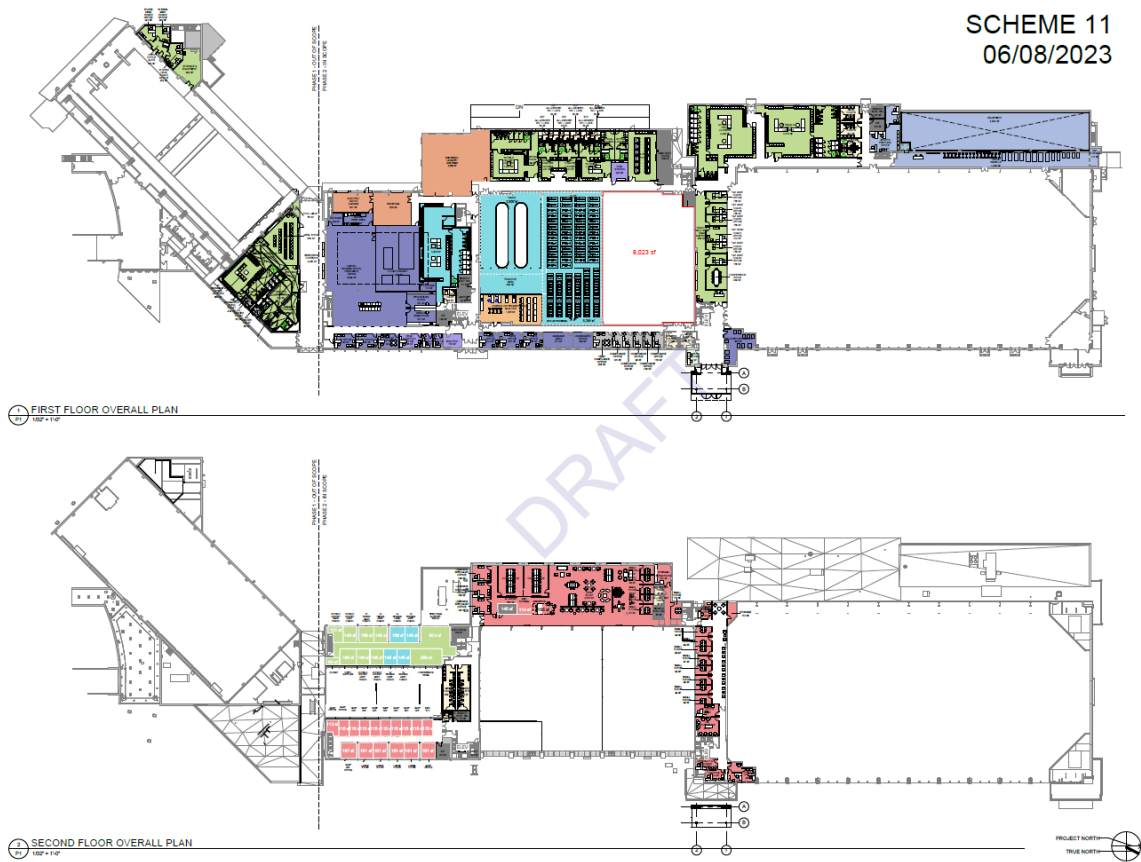
	<b>APPROVED PLANNING 5/21/2021</b>	<b>APPROVED REVISED PLANNING 11/3/2021</b>	<b>APPROVED REVISED PLANNING 6/29/2022</b>	<b>PROPOSED DESIGN 6/28/2023</b>
<b><u>BUDGETED EXPENDITURES</u></b>	PRC	PRC		
CONSTRUCTION	\$ -	\$ -	\$ -	\$ 285,000
DESIGN SERVICES	385,000	425,000	2,280,000	3,425,000
TELECOMMUNICATIONS	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-
OTHER AE SERVICES (including Project Management)	15,000	15,000	150,000	250,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	-	-	250,000	70,000
INSURANCE AND LEGAL	5,000	5,000	15,000	10,000
MISCELLANEOUS	-	-	5,000	10,000
OTHER SOFT COSTS	-	-	-	-
<b>SUBTOTAL</b>	<b>\$ 405,000</b>	<b>\$ 445,000</b>	<b>\$ 2,700,000</b>	<b>\$ 4,050,000</b>
PROJECT CONTINGENCY	45,000	50,000	300,000	450,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 450,000</u></b>	<b><u>\$ 495,000</u></b>	<b><u>\$ 3,000,000</u></b>	<b><u>\$ 4,500,000</u></b>
<b><u>SOURCE(S) OF FUNDING</u></b>				
UNIVERSITY FUNDS	\$ 450,000	\$ 495,000	\$ 3,000,000	\$ 3,000,000
UConn 2000 BOND FUNDS	-	-	-	1,500,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 450,000</u></b>	<b><u>\$ 495,000</u></b>	<b><u>\$ 3,000,000</u></b>	<b><u>\$ 4,500,000</u></b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# FIELD HOUSE – OLD REC CENTER RENOVATION

## Project Budget (DESIGN)

June 28, 2023



First and Second Floor Plan – Wolff Zackin and Fieldhouse




Concept Entry Pavillion to Athletic Success Center

## **ATTACHMENT 6**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Boiler Plant Equipment Replacement and Utility Tunnel  
Connection (Revised Final: \$43,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$43,000,000, as detailed in the attached project budget, for the Boiler Plant Equipment Replacement and Utility Tunnel Connection project. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$43,000,000 in UCONN 2000 bond funds for the Boiler Plant Equipment Replacement and Utility Tunnel Connection project.”

BACKGROUND:

This project has been implemented in 3 phases.

Phase 1 was for the pre-purchase of 3 factory-fabricated, dual fuel water-tube boilers. Early purchase of the boilers is necessary to meet the project construction schedule due to the long lead time required to fabricate the boilers. Phase 1 was completed in Spring 2021.

Phase 2 was to complete utility tunnel interconnections between the future Supplemental Utility Plant (SUP) and the existing Central Utility Plant (CUP). Work included extension of the steam, chilled water, and electrical connections from their current locations within the existing “North Utility Tunnel” at the northeast corner of the UTEB building into the CUP and extension of the existing electrical distribution system. Additionally, three existing steam boilers currently located at the CUP, historically used as backup boilers during periods that the Cogeneration turbines were inoperative, were decommissioned and demolished. The existing boilers were inefficient; required extensive maintenance to stay operational and; replacement parts were difficult to obtain or are no longer manufactured. Additionally, the University was notified by CT DEEP that the boilers were required to be de-commissioned by June 2023 due to non-compliance with regulatory emissions restrictions. Phase 2 was completed in Spring 2022.

Phase 3 replaces the existing aged boilers decommissioned and removed in Phase 2. The project intent is to install one of the replacement boilers in the SUP and two in the CUP. The two new boilers in the CUP were completed in spring 2022, while the installation of the SUP boiler is ongoing.

The Revised Final budget increases the project costs by \$3,000,000. The additional project cost is due to material price increases and schedule delays/extension due to the Covid pandemic. The project phases were bid near the beginning of the pandemic and the original construction schedule completion was extended from 2022 to 2023 due to manufacturing delays and material shortages.

The Revised Final Budget is attached for your information.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: REVISED FINAL**

**PROJECT NAME: BOILER PLANT EQUIPMENT REPLACEMENT AND UTILITY TUNNEL CONNECTION**

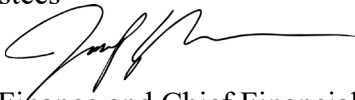
	<b>APPROVED PLANNING 3/21/2019</b>	<b>APPROVED REVISED PLANNING 4/24/2019</b>	<b>APPROVED DESIGN 8/14/2019</b>	<b>APPROVED FINAL 2/26/2020</b>	<b>PROPOSED REVISED FINAL 6/28/2023</b>
<b><u>BUDGETED EXPENDITURES</u></b>					
	PRC				
CONSTRUCTION	\$ -	\$ -	\$ 600,000	\$ 29,200,000	\$ 34,900,000
DESIGN SERVICES	350,000	870,000	1,050,000	2,000,000	2,000,000
TELECOMMUNICATIONS	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	40,000	100,000	100,000	1,200,000	1,200,000
OTHER AE SERVICES (including Project Management)	25,000	125,000	150,000	2,000,000	2,150,000
ART	-	-	-	-	-
RELOCATION	-	-	-	-	-
ENVIRONMENTAL	5,000	5,000	160,000	550,000	550,000
INSURANCE AND LEGAL	5,000	10,000	10,000	50,000	50,000
MISCELLANEOUS	5,000	10,000	30,000	-	-
OTHER SOFT COSTS	-	-	-	-	-
<b>SUBTOTAL</b>	<b>\$ 430,000</b>	<b>\$ 1,120,000</b>	<b>\$ 2,100,000</b>	<b>\$ 35,000,000</b>	<b>\$ 40,850,000</b>
PROJECT CONTINGENCY	50,000	130,000	200,000	5,000,000	2,150,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 480,000</b>	<b>\$ 1,250,000</b>	<b>\$ 2,300,000</b>	<b>\$ 40,000,000</b>	<b>\$ 43,000,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>					
UCONN 2000 BOND FUNDS	\$ 480,000	\$ 1,250,000	\$ 2,300,000	\$ 40,000,000	\$ 43,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 480,000</b>	<b>\$ 1,250,000</b>	<b>\$ 2,300,000</b>	<b>\$ 40,000,000</b>	<b>\$ 43,000,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# **ATTACHMENT 7**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for South Campus Infrastructure (Revised Final: \$82,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$82,000,000, as detailed in the attached project budget, for the release of the trade packages of Phase 3 of the South Campus Infrastructure project. This represents an increase of \$38,000,000 to the previously approved Phase 1 and 2 budget of \$44,000,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$82,000,000 in UCONN 2000 bond funds for the construction of Phases 1, 2, and 3 of the South Campus Infrastructure project.”

BACKGROUND:

Connecticut Public Act No 13-233, known as Next Generation Connecticut, authorized the University to undertake a special capital improvement program for the express purposes of constructing infrastructure, renovating existing facilities and developing new buildings. In 2015 the University completed the Next Gen CT Campus Masterplan and in 2016 the University performed a Framework Utility Analysis to create a systematic approach for infrastructure projects that support development of the Next Gen CT program. The intent of this project is to provide infrastructure improvements in the South Campus district to support the construction and sustainable operation of the South Campus Residence Hall.

The overall scope of this project will provide renewable infrastructure to the new South Campus Residence Hall and includes: new utilities including steam, electric, hot and chilled water, communications, sanitary, stormwater, and domestic water for the South Campus Residence Hall (Phase 2), and expansion of the South Campus Chiller Plant to accommodate a new geothermal heating and cooling system and to meet the needs of the Residence Hall (Phase 3).



This resolution includes funds for the early release of some trade packages from Phase 3 of the project. Early release of these packages is required to meet the overall projected construction schedule for the New South Campus Residence Hall project and minimize the cost and duration of temporary facilities due to long lead times for materials in the post-pandemic supply chain and delays in the project design and bidding schedule necessary to achieve requested budget reductions.

The total project cost, including design fees and Phase 1, 2 and 3 packages, will be confirmed after the whole of the work is bid in Summer 2023.

Because most of the scope of work is utilities and infrastructure, and the project includes only a small addition to the south campus chiller plant building, the project will not be registered with LEED and will not meet Connecticut High Performance Buildings requirements.

The Revised Final Budget is attached for your information.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

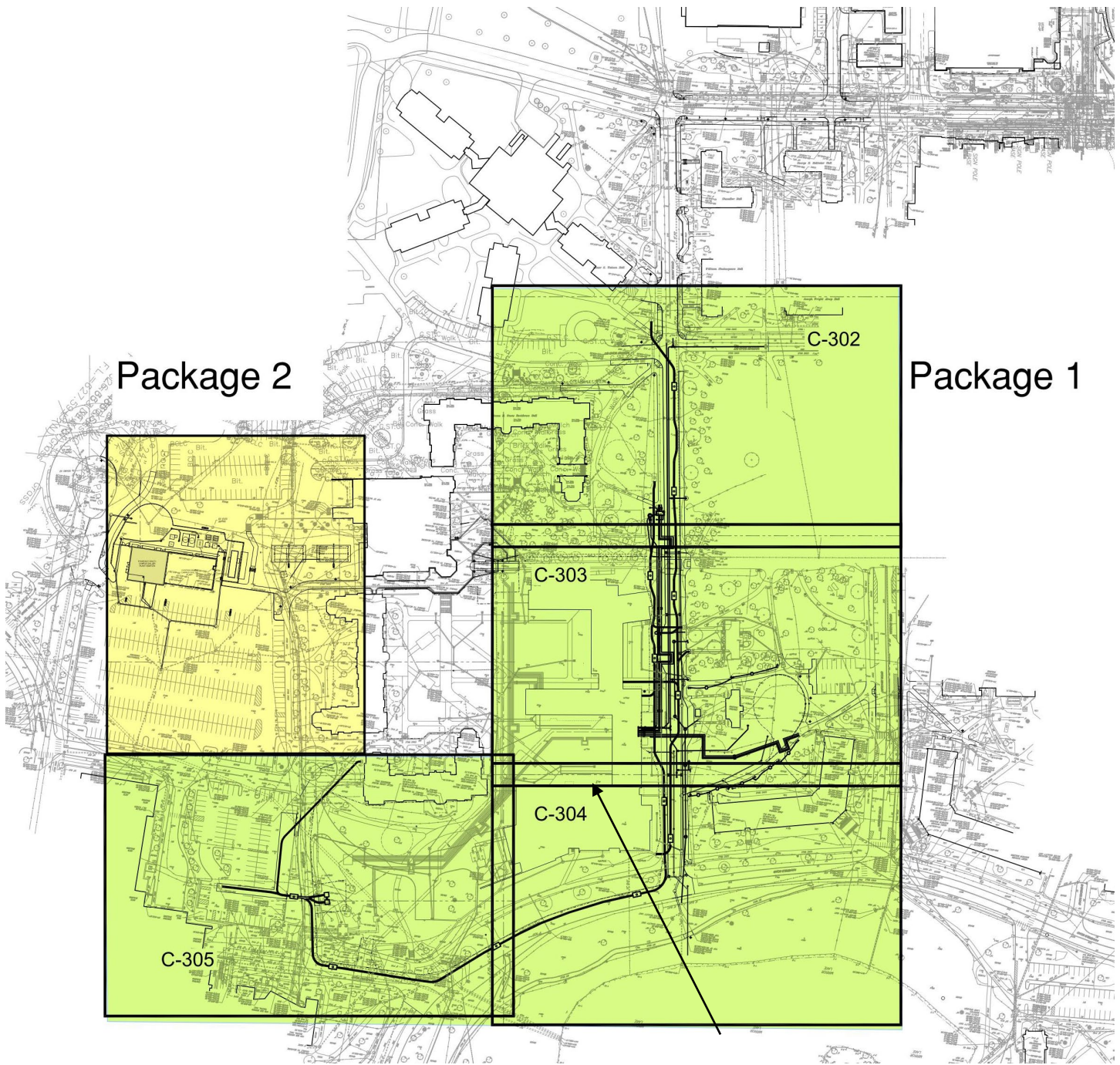
TYPE BUDGET: REVISED FINAL

PROJECT NAME: SOUTH CAMPUS INFRASTRUCTURE, PHASE 3

	APPROVED PLANNING 1/20/2022	APPROVED REVISED PLANNING 3/30/2022	APPROVED DESIGN 6/29/2022	APPROVED REVISED DESIGN 9/28/2022	APPROVED FINAL PHASE 1 12/7/2022	APPROVED FINAL PHASE 2 4/19/2023	PROPOSED REVISED FINAL PHASE 3 6/28/2023
<b>BUDGETED EXPENDITURES</b>							
PRC							
CONSTRUCTION	\$ -	\$ -	\$ 200,000	\$ 400,000	\$ 6,400,000	\$ 30,000,000	\$ 63,000,000
DESIGN SERVICES	315,000	915,000	1,055,000	3,000,000	3,000,000	6,680,000	6,680,000
TELECOMMUNICATIONS	-	-	-	100,000	100,000	200,000	200,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-	75,000	75,000
CONSTRUCTION ADMINISTRATION	-	-	-	-	-	2,500,000	2,500,000
OTHER AE SERVICES (including Project Management)	30,000	50,000	100,000	385,000	385,000	1,500,000	2,000,000
ART	-	-	-	-	-	-	-
RELOCATION	-	-	-	-	-	-	-
ENVIRONMENTAL	50,000	75,000	75,000	100,000	100,000	15,000	15,000
INSURANCE AND LEGAL	5,000	5,000	10,000	10,000	10,000	5,000	5,000
MISCELLANEOUS	-	5,000	10,000	10,000	10,000	25,000	25,000
OTHER SOFT COSTS	-	-	-	-	-	-	-
<b>SUBTOTAL</b>	<b>\$ 400,000</b>	<b>\$ 1,050,000</b>	<b>\$ 1,450,000</b>	<b>\$ 4,005,000</b>	<b>\$ 10,005,000</b>	<b>\$ 41,000,000</b>	<b>\$ 74,500,000</b>
PROJECT CONTINGENCY	50,000	200,000	300,000	495,000	995,000	3,000,000	7,500,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 450,000</b>	<b>\$ 1,250,000</b>	<b>\$ 1,750,000</b>	<b>\$ 4,500,000</b>	<b>\$ 11,000,000</b>	<b>\$ 44,000,000</b>	<b>\$ 82,000,000</b>
<b>SOURCE(S) OF FUNDING*</b>							
UConn 2000 BOND FUNDS	\$ 450,000	\$ 1,250,000	\$ 1,750,000	\$ 4,500,000	\$ 11,000,000	\$ 44,000,000	\$ 82,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 450,000</b>	<b>\$ 1,250,000</b>	<b>\$ 1,750,000</b>	<b>\$ 4,500,000</b>	<b>\$ 11,000,000</b>	<b>\$ 44,000,000</b>	<b>\$ 82,000,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

**SOUTH CAMPUS INFRASTRUCTURE  
Project Budget (REVISED FINAL)  
June 28, 2023**



**Footprint of South Campus Residence Hall**


**Package 1 – Phase 2 work**

**Package 2 – Phase 3 work**

## **ATTACHMENT 8**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Andover Infrastructure and Software Upgrade Phases I, II, & III (Revised Final: \$3,480,439)

RECOMMENDATION:

That the Board of Trustees note the approval of the Revised Final Budget of \$3,480,439 as detailed in the attached project budget, for the Andover Infrastructure and Software Upgrade Phases I, II, & III for Construction. The increase of \$134,484 to the Project Budget is attributable to furnishing and installing controllers for six additional Hilltop apartment buildings with associated software and engineering, as well as upgrades to the Benton Art Museum. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees note the approval of the use of \$3,480,439 in University Funds for the Andover Infrastructure and Software Upgrade Phases I, II, & III project.”

BACKGROUND:

UConn monitors buildings through the Andover Building Management System. This system controls and monitors the building's mechanical and electrical equipment such as ventilation, lighting, power systems, fire systems, and security systems. Its useful life is ending. The system needs to be upgraded to the next-generation EcoStruxure Building Operation System over several years to securely facilitate the exchange of data from both ESC and third-party energy, lighting, HVAC, fire safety, security, and workplace management systems to create future-ready smart buildings.

This project has multiple phases. At this time the cost of the entire project is estimated at around \$8M. The sequence of building upgrades in a particular phase will vary depending upon the funding availability and building access. Thirty-nine campus buildings have already been

updated as part of Phases 1 and 2. Phase III added fourteen more buildings for FY'23 construction. The final budget for Phases I, II & III that was approved on April 27, 2022, was \$3,346,000. Facilities Operations intends to add scope (six more buildings) that will bring the cost \$134,484 over the approved budget, making the actual budget \$3,480,439. This added cost represents 4% of the approved budget. BOT policy permits the Executive Vice President for Finance and Chief Financial Officer to approve changes up to 5% of the project budget, providing that funding is available, and the BOT is subsequently notified of the revised project budget, which is the subject of this resolution.

The Revised Final Budget is attached for your information and reflects an increase of \$134,439 to the previously approved final budget of \$3,346,000.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:**            **REVISED FINAL**

**PROJECT NAME:**            **ANDOVER INFRASTRUCTURE AND SOFTWARE  
UPGRADE - PHASES I, II & III**

<u>BUDGETED EXPENDITURES</u>	<b>PHASE I &amp; II APPROVED FINAL 2/24/2021</b>	<b>PHASE I,II&amp;III APPROVED FINAL 4/27/2022</b>	<b>Phase I,II&amp;III APPROVED REVISED FINAL 6/28/2023</b>
CONSTRUCTION	\$ 2,314,000	\$ 3,011,400	\$ 3,480,439
DESIGN SERVICES	-	-	-
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including PM)	-	-	-
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	-	-	-
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 2,314,000</b>	<b>\$ 3,011,400</b>	<b>\$ 3,480,439</b>
PROJECT CONTINGENCY	282,000	334,600	-
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 2,596,000</b>	<b>\$ 3,346,000</b>	<b>\$ 3,480,439</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>			
UNIVERSITY FUNDS	\$ 2,596,000	\$ 3,346,000	\$ 3,480,439
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 2,596,000</b>	<b>\$ 3,346,000</b>	<b>\$ 3,480,439</b>

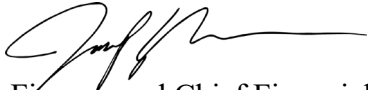
\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.



## **ATTACHMENT 9**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Program Budget for Wired Access Layer Cabling – Phase V  
(Final \$2,000,000)

RECOMMENDATION:

That the Board of Trustees approve the final budget of \$2,000,000, as detailed in the attached program budget, to execute the facilities and wiring deficiencies related to the Wired Access Layer Infrastructure Refresh. The administration recommends that the Board of Trustees adopt the resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$2,000,000 in UCONN 2000 bond funds for the wiring and related construction needed to continue the Wired Access Layer Infrastructure Refresh project and approve the request to waive the three-stage budget approval, allowing work to proceed immediately.”

BACKGROUND:

In 2019, ITS initiated the Wired Access Layer Refresh (WALR) project to completely refresh the wired and wireless portion of the data network on all campuses. This large-scale update was necessary to address end-of-life equipment, facilities, and cabling and effectively update the University’s foundation for all connectivity. WALR was originally projected to be completed in five years, but the timeline was extended because of structural underfunding, COVID restrictions, and supply chain issues. This extension has prompted us to restructure our approach due to the widely different lifespans for network equipment (five to eight years) and facilities and cabling (25-30 years). Network equipment deployed at the beginning of the project is now approaching the end of its usable life. Therefore, the scheduled refresh of network equipment will be treated as deferred maintenance. The construction portion, which will address facilities and cabling deficiencies, will remain a project for which we request funding annually.

To complete the facilities and wiring the wired access layer refresh, we are requesting \$2,000,000 funded by UCONN 2000 bonds. The funds requested for FY24 will be used to update Babbidge Library and five engineering academic buildings. There will also be work completed in two buildings at the Hartford Law School which was postponed from FY23/Phase IV due significantly higher bids resulting in the inability to complete the work based on funding.

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: WIRED ACCESS LAYER INFRASTRUCTURE - CABLING PHASE V**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED FINAL 6/28/2023</u>
CONSTRUCTION	\$ 759,800
DESIGN SERVICES	46,500
TELECOMMUNICATIONS	837,000
FURNITURE, FIXTURES AND EQUIPMENT	74,400
CONSTRUCTION ADMINISTRATION	83,700
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	18,600
OTHER SOFT COSTS	-
<b>SUBTOTAL</b>	<b>\$ 1,820,000</b>
PROJECT CONTINGENCY	180,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 2,000,000</b>
<u>SOURCE(S) OF FUNDING*</u>	
UCONN 2000 BOND FUNDS	\$ 2,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 2,000,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

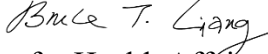
BOT 06.28.23  
VARIOUS IT PROJECT #S

# **ATTACHMENT 10**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Cryo Electron Microscope Installation  
(Planning: \$960,000)

## RECOMMENDATION:

That the Board of Trustees approves the Planning Budget in the amount of \$960,000 for the UConn Health Cryo Electron Microscope Installation project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approves of the use of \$960,000 from UConn Health Research IDC Capital for the UConn Health Cryo Electron Microscope Installation project.”

## BACKGROUND:

The Molecular Biology department was awarded a \$1,457,000 NIH grant to purchase a Cryo Electron Microscope for research activities. In order to operate properly the electron microscope requires very specific environmental parameters including seismic, vibration, humidity and temperature. This project will construct the specialized climate-controlled rooms required to house the electron microscope.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the design is developed. This Planning Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: PLANNING**

**PROJECT NAME: UCONN HEALTH - CRYO ELECTRON MICROSCOPE INSTALLATION**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 6/28/2023</u>
CONSTRUCTION	\$ 700,000
DESIGN SERVICES	113,000
TELECOMMUNICATIONS	35,000
FURNITURE, FIXTURES AND EQUIPMENT	2,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	5,000
ART	-
RELOCATION	4,000
ENVIRONMENTAL	8,000
INSURANCE AND LEGAL	-
MISCELLANEOUS	5,000
<b>SUBTOTAL</b>	<b>\$ 872,000</b>
PROJECT CONTINGENCY	88,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 960,000</b>
<u><b>SOURCE(S) OF FUNDING*</b></u>	
UCONN HEALTH RESEARCH IDC CAPITAL	\$ 960,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 960,000</b>

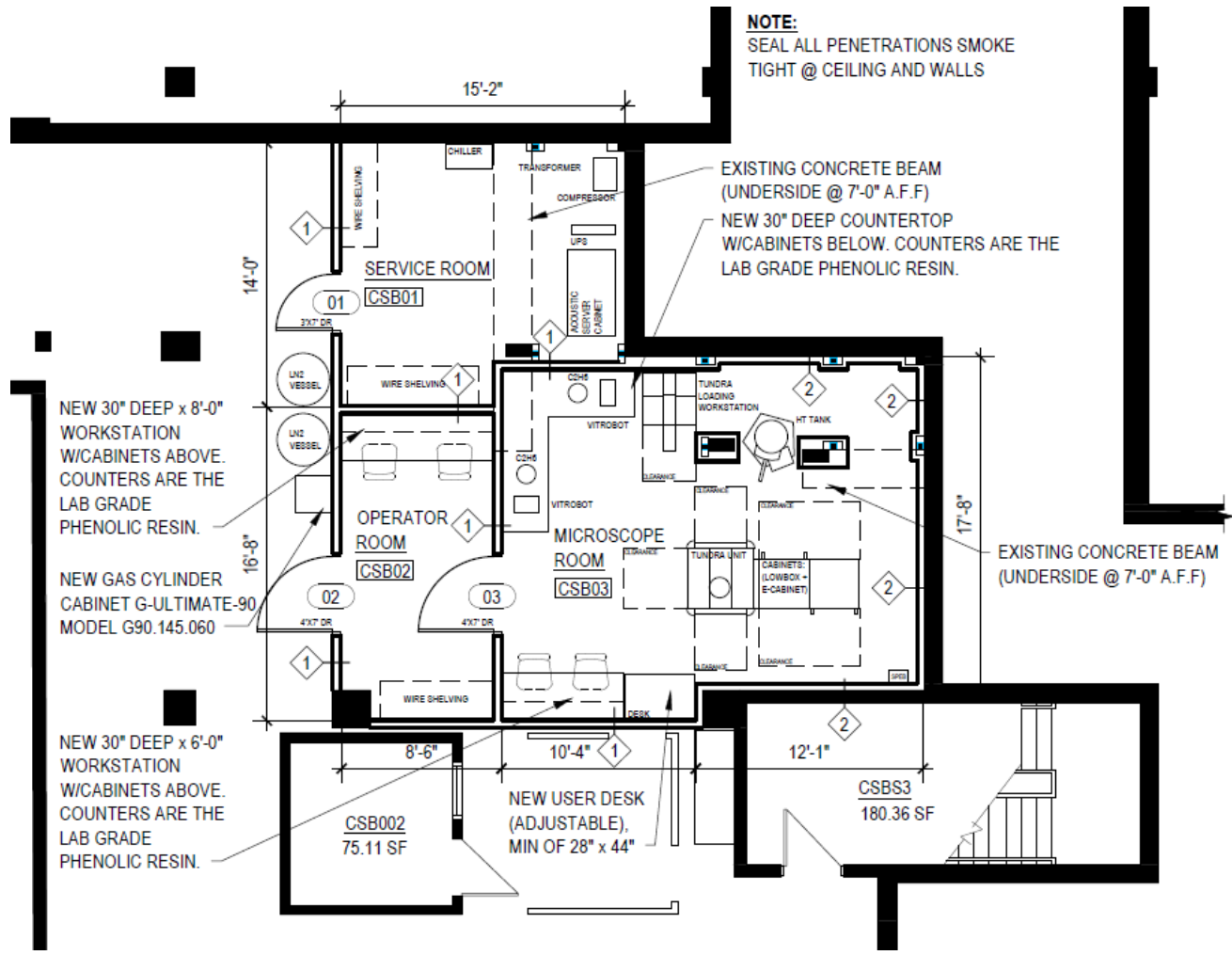
\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# UCONN HEALTH/IMPROVEMENTS

## UConn Health Cryo Electron Microscope Installation

### Budget (Planning) \$960,000

### June 28, 2023



**Conceptual Floor Plan**

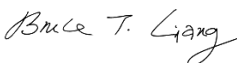



# **ATTACHMENT 11**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Connecticut Tower (CT) 7<sup>th</sup> Floor Med-Surg/Observation Unit Renovation (Planning: \$2,500,000)

## RECOMMENDATION:

That the Board of Trustees approves the Planning Budget in the amount of \$2,500,000 for the UConn Health Connecticut Tower (CT) 7<sup>th</sup> Floor Med-Surg/Observation Unit Renovation.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$2,500,000 from UConn Health Capital for the UConn Health Connecticut Tower (CT) 7<sup>th</sup> Floor Med-Surg/Observation Unit Renovation.”

## BACKGROUND:

With the end of the COVID pandemic, the UConn Health John Dempsey Hospital has seen an increased demand for inpatient beds. The John Dempsey Hospital is consistently at full capacity which is putting strains on other areas such as the Emergency Department. Prior to the construction of the new hospital tower under Bioscience CT, the 7<sup>th</sup> floor of the Connecticut Tower was an inpatient unit. This project will implement upgrades necessary to reactivate the 7<sup>th</sup> floor as an inpatient/observation unit to address the demand/shortage of patient beds.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the design is developed. This Planning Budget was approved by the Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

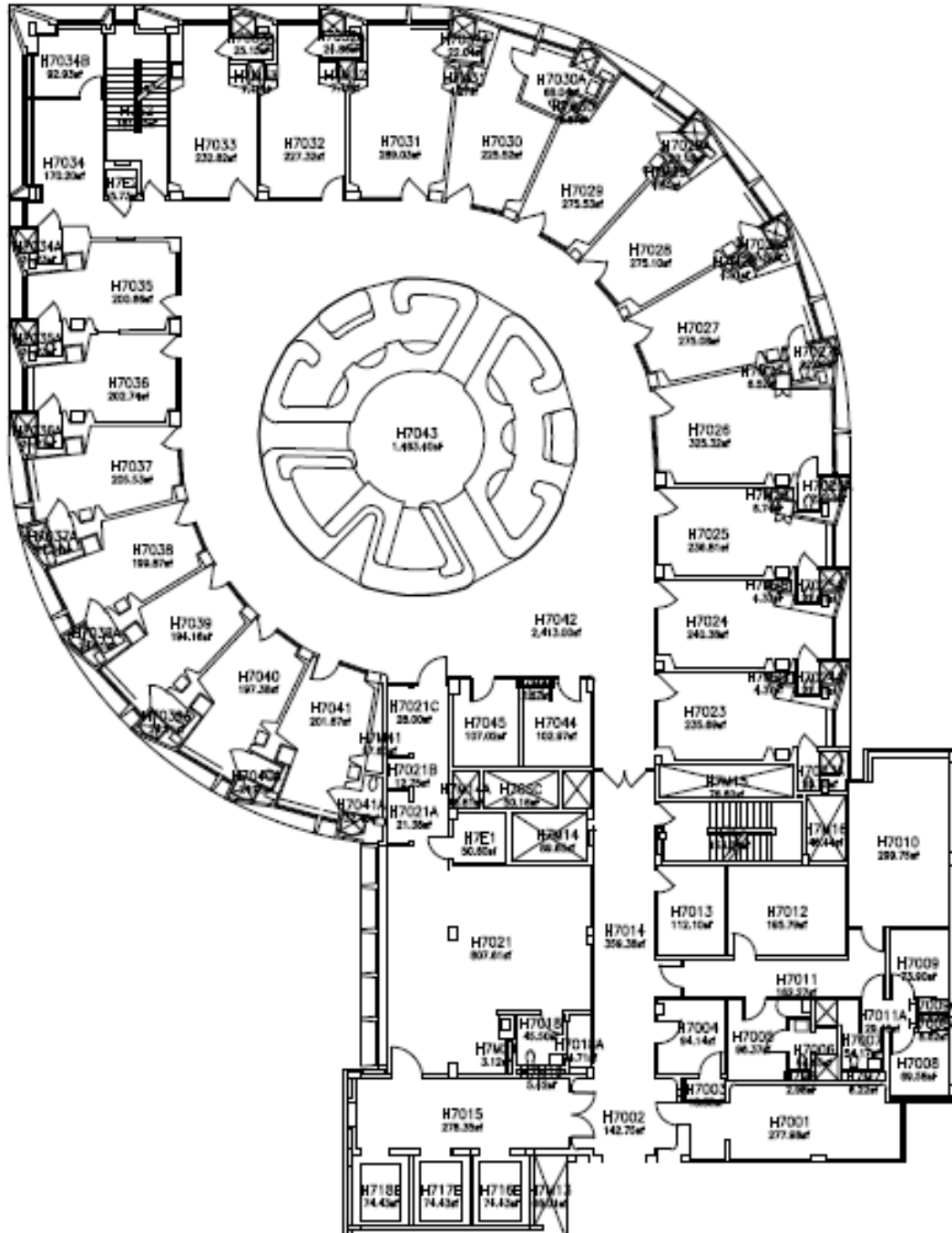
**TYPE BUDGET: PLANNING**

**PROJECT NAME: UCONN HEALTH - CONNECTICUT TOWER (CT) 7th FLOOR MED-SURG/OBSERVATION UNIT RENOVATION**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 6/28/2023</u>
CONSTRUCTION	\$ 300,000
DESIGN SERVICES	43,000
TELECOMMUNICATIONS	700,000
FURNITURE, FIXTURES AND EQUIPMENT	1,020,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	10,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	10,000
<b>SUBTOTAL</b>	<b>\$ 2,083,000</b>
PROJECT CONTINGENCY	417,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 2,500,000</b>
<u><b>SOURCE(S) OF FUNDING*</b></u>	
UCONN HEALTH CAPITAL FUNDS	\$ 2,500,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 2,500,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

**UCONN HEALTH/IMPROVEMENTS**  
**UConn Health Connecticut Tower (CT) 7th Floor Med-**  
**Surg/Observation Unit Renovation**  
**Budget (Planning) \$2,500,000**  
**June 28, 2023**



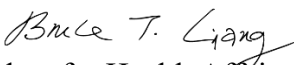
**CT-7 FLOOR PLAN**


## **ATTACHMENT 12**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Hybrid OR#2 Fit-out (Planning: \$7,100,000)

## RECOMMENDATION:

That the Board of Trustees approves the Planning Budget in the amount of \$7,100,000 for the UConn Health Hybrid OR#2 Fit-out project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$7,100,000 from UConn Health Capital for the UConn Health Hybrid OR#2 Fit-out project.”

## BACKGROUND:

A Hybrid Operating Room allows surgeons to perform a range of procedures in one setting, from minimally invasive treatments to the most complex neurosurgery, interventional cardiology, and vascular procedures by using advanced biplane x-ray imaging equipment that generates high-resolution 3D images of the surgical site.

Under Bioscience CT, the John Dempsey Hospital Operating suite was designed for two Hybrid Operating Rooms. At the time of construction, one Hybrid Operating room was fit-out and one remained as shell space to allow for future expansion. This project will fit-out the shelled area and install a new bi-plane imaging unit to create a 2<sup>nd</sup> Hybrid Operating Room.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the design is developed. This Planning Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments



## CAPITAL PROJECT BUDGET REPORTING FORM

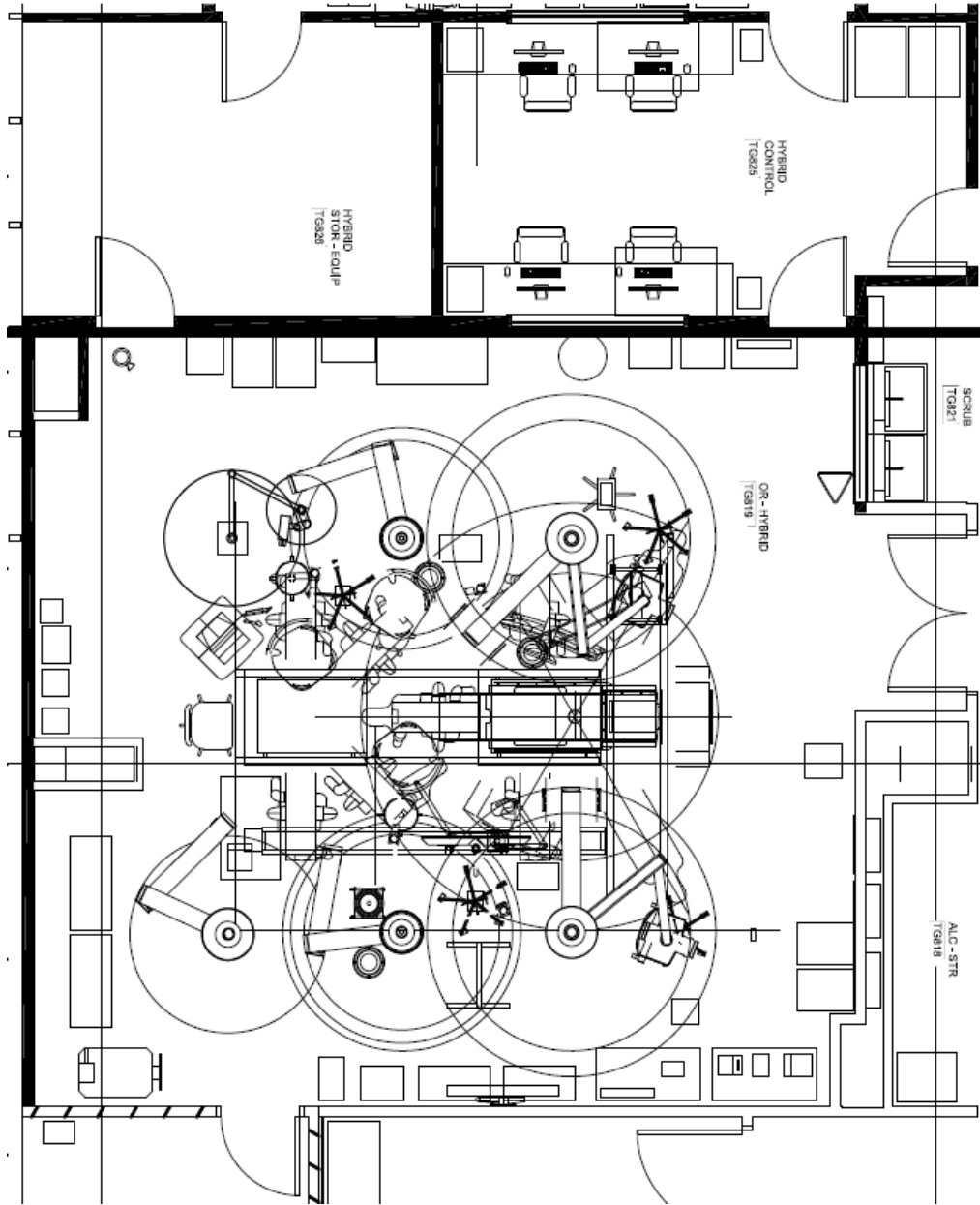
**TYPE BUDGET: PLANNING**

**PROJECT NAME: UCONN HEALTH - HYBRID OR#2 FIT-OUT**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 6/28/2023</u>
CONSTRUCTION	\$ 975,000
DESIGN SERVICES	202,000
TELECOMMUNICATIONS	30,000
FURNITURE, FIXTURES AND EQUIPMENT	4,901,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	52,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	13,000
<b>SUBTOTAL</b>	<b>\$ 6,173,000</b>
PROJECT CONTINGENCY	927,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 7,100,000</b>
<u><b>SOURCE(S) OF FUNDING*</b></u>	
UCONN HEALTH CAPITAL FUNDS	\$ 7,100,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 7,100,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Hybrid OR#2 Fit-out  
Budget (Planning) \$7,100,000  
June 28, 2023



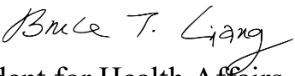
**Conceptual Plan**


# **ATTACHMENT 13**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Interventional Radiology Equipment Replacement & Renovation (Planning: \$4,700,000)

## RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$4,700,000 for the UConn Health Interventional Radiology Equipment Replacement & Renovation.

## RESOLUTION:

“Be it resolved that the Board of Trustees approves of the use of \$4,700,000 from UConn Health Capital for the UConn Health Interventional Radiology Equipment Replacement & Renovation.”

## BACKGROUND:

A Master Plan for the renovation of the Radiology Department located on the Main Level of the Connecticut Tower has been developed to ensure outdated diagnostic radiology imaging equipment can be replaced in a manner that is in compliance with current Connecticut Department of Health design/construction guidelines, improve staff workflow and the patient experience. This project will renovate portions of the Radiology Department and replace an outdated Interventional Radiology (IR) imaging unit in accordance with the Master Plan.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the design is developed. This Planning Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

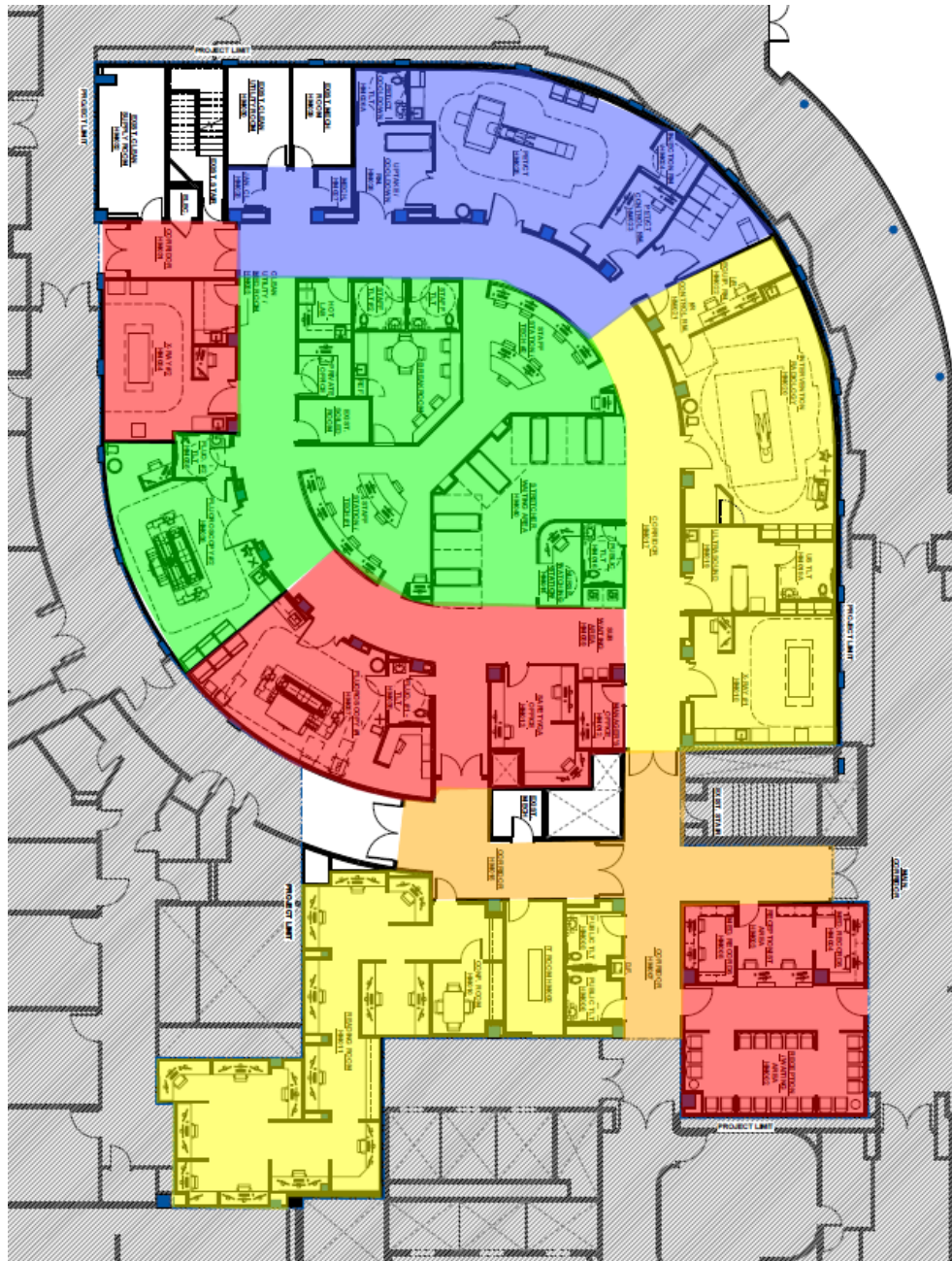
**TYPE BUDGET: PLANNING**

**PROJECT NAME: UCONN HEALTH - INTERVENTIONAL RADIOLOGY EQUIPMENT  
REPLACEMENT & RENOVATION**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 6/28/2023</u>
CONSTRUCTION	\$ 1,500,000
DESIGN SERVICES	200,000
TELECOMMUNICATIONS	50,000
FURNITURE, FIXTURES AND EQUIPMENT	2,500,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	20,000
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
<b>SUBTOTAL</b>	<b>\$ 4,270,000</b>
PROJECT CONTINGENCY	430,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 4,700,000</b>
<u><b>SOURCE(S) OF FUNDING*</b></u>	
UCONN HEALTH CAPITAL FUNDS	\$ 4,700,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 4,700,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Interventional Radiology Equipment  
Replacement & Renovation (Planning: \$4,700,000)  
June 28, 2023



Radiology Master Plan

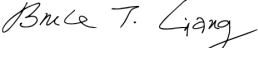



# **ATTACHMENT 14**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Musculoskeletal Institute Rehabilitation Expansion (Planning: \$640,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$640,000 for the UConn Health Musculoskeletal Institute Rehabilitation Expansion project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$640,000 from UConn Health Capital for the UConn Health Musculoskeletal Institute Rehabilitation Expansion.”

BACKGROUND:

To allow for the expansion of clinical programs on the 3<sup>rd</sup> floor of the Outpatient Pavilion, the Musculoskeletal Institute (MSI) & Outpatient Pavilion Physical Therapy /Rehabilitation programs will be consolidated in the MSI. An in-ground pool that is no longer used for water therapy located on the ground floor of the MSI will be removed and infilled to create the additional physical therapy treatment rooms needed for the consolidation of the two programs.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the design is developed. This Planning Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

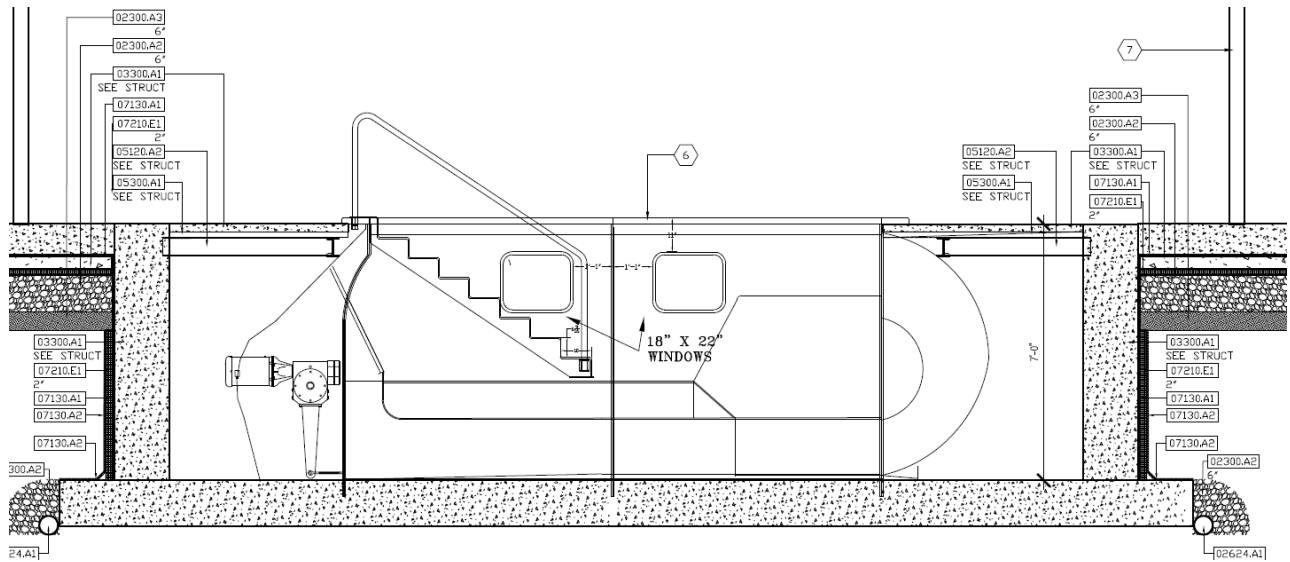
**TYPE BUDGET: PLANNING**

**PROJECT NAME: UCONN HEALTH - MUSCULOSKELETAL INSTITUTE  
REHABILITATION EXPANSION**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 6/28/2023</u>
CONSTRUCTION	\$ 460,000
DESIGN SERVICES	65,000
TELECOMMUNICATIONS	5,000
FURNITURE, FIXTURES AND EQUIPMENT	32,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	10,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
<b>SUBTOTAL</b>	<b>\$ 572,000</b>
PROJECT CONTINGENCY	68,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 640,000</b>
<u><b>SOURCE(S) OF FUNDING*</b></u>	
UCONN HEALTH CAPITAL FUNDS	\$ 640,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 640,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Transitional Musculoskeletal Institute  
Rehabilitation Expansion (Planning: \$640,000)  
June 28, 2023



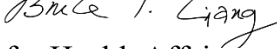
**Existing Therapy Pool Section**

# **ATTACHMENT 15**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Outpatient Pavilion 3<sup>rd</sup> Floor Backfill  
(Planning: \$3,300,000)

## RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$3,300,000 for the UConn Health Outpatient Pavilion 3<sup>rd</sup> Floor Backfill project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$3,300,000 from UConn Health Capital for the UConn Health Outpatient Pavilion 3<sup>rd</sup> Floor Backfill project.”

## BACKGROUND:

The Women’s OB/GYN, Maternal Fetal Medicine (MFM) & Minimally Invasive Gynecologic Surgery (MIGS) clinical practices located in the Outpatient Pavilion need to expand to address the increased demand for services. This project will renovate portions of the 3<sup>rd</sup> floor of the Outpatient Pavilion to allow for the relocation and expansion of these clinical practices.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the design is developed. This Planning Budget was approved by the Board of Directors at their meeting on June 12, 2023.

Attachments



## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: PLANNING**

**PROJECT NAME: UCONN HEALTH - OUTPATIENT PAVILION 3rd FLOOR BACKFILL**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 6/28/2023</u>
CONSTRUCTION	\$ 1,650,000
DESIGN SERVICES	290,000
TELECOMMUNICATIONS	120,000
FURNITURE, FIXTURES AND EQUIPMENT	757,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	32,000
RELOCATION	10,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	9,000
<b>SUBTOTAL</b>	<b>\$ 2,868,000</b>
PROJECT CONTINGENCY	432,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 3,300,000</b>
<u>SOURCE(S) OF FUNDING*</u>	
UCONN HEALTH CAPITAL FUNDS	\$ 3,300,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 3,300,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Outpatient Pavilion 3rd Floor Backfill  
(Planning: \$3,300,000)  
June 28, 2023



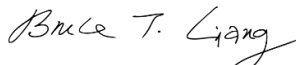
**Conceptual Floor Plan**


# **ATTACHMENT 16**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation (Planning: \$650,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$650,000 for the UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$650,000 from UConn Health Capital for the UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation project.”

BACKGROUND:

Vacant space on the Outpatient Pavilion 1<sup>st</sup> floor will be renovated to accommodate the relocation of X-Ray and Blood Draw services from the 3<sup>rd</sup> floor of the Outpatient Pavilion to allow for the renovations required for the expansion of the Women’s OB/GYN, Maternal Fetal Medicine (MFM) & Minimally Invasive Gynecologic Surgery (MIGS) clinical practices.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the design is developed. This Planning Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

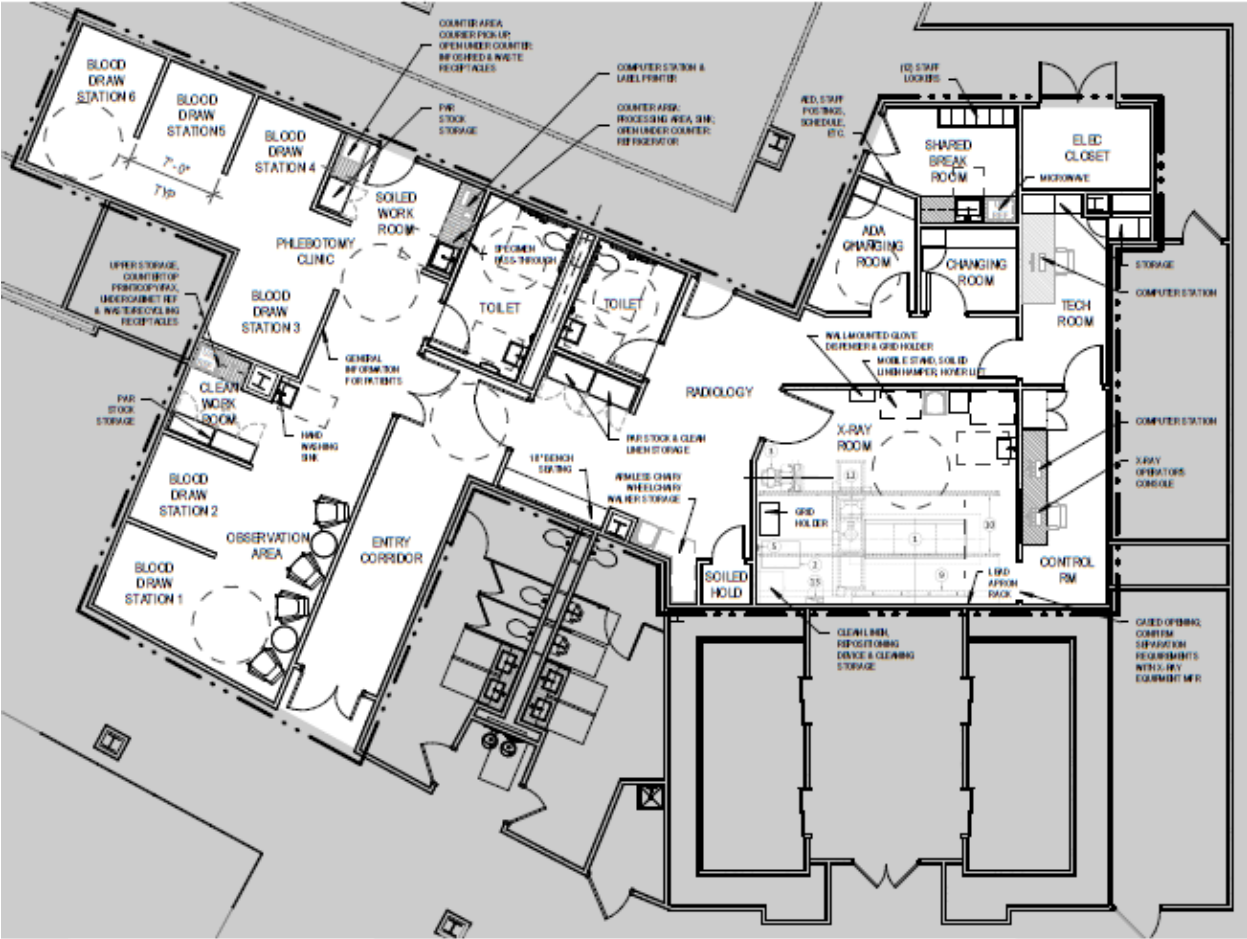
**TYPE BUDGET: PLANNING**

**PROJECT NAME: UCONN HEALTH - OUTPATINET PAVILION X-RAY & BLOOD DRAW  
RELOCATION**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 6/28/2023</u>
CONSTRUCTION	\$ 375,000
DESIGN SERVICES	90,000
TELECOMMUNICATIONS	47,000
FURNITURE, FIXTURES AND EQUIPMENT	48,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	15,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	5,000
<b>SUBTOTAL</b>	<b>\$ 580,000</b>
PROJECT CONTINGENCY	70,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 650,000</b>
<u><b>SOURCE(S) OF FUNDING*</b></u>	
UCONN HEALTH CAPITAL FUNDS	\$ 650,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 650,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

**UCONN HEALTH/IMPROVEMENTS**  
**UConn Health Outpatient Pavilion X-Ray & Blood Draw**  
**Relocation**  
**Budget (Planning) \$650,000**  
**June 28, 2023**



**Conceptual Floor Plan**

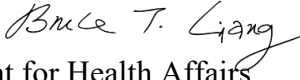
## **ATTACHMENT 17**




# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Cadaver Lab Renovation and Air Handling Unit Replacement (Design: \$1,800,000)

## RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$1,800,000 as detailed in the attached project budget for the UConn Health Cadaver Lab Renovation and Air Handling Unit Replacement project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,800,000 from UCONN 2000 Bond funds for the UConn Health Cadaver Lab Renovation and Air Handling Unit Replacement project and approve the request for a waiver of the submission of a Planning Budget for approval, to allow bidding to occur as soon as the project design work is completed.”

## BACKGROUND:

The Bioscience Connecticut project: Academic Additions and Renovations included an alternate for the renovation of the Cadaver Lab utilized by students in the School of Medicine. Due to budgetary reasons, the alternate was not implemented. This project will replace the outdated air handling unit (AHU) and renovate the cadaver lab to meet current academic standards.

The Design Budget is attached for your consideration. The Design budget reflects current design estimates and may change based on bids received. This Design Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: DESIGN**

**PROJECT NAME: UCONN HEALTH - CADAVER LAB RENOVATION & AIR HANDLING UNIT  
REPLACEMENT**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED DESIGN 6/28/2023</u>
CONSTRUCTION	\$ 1,000,000
DESIGN SERVICES	100,000
TELECOMMUNICATIONS	65,000
FURNITURE, FIXTURES AND EQUIPMENT	310,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	15,000
ART	-
RELOCATION	10,000
ENVIRONMENTAL	15,000
INSURANCE AND LEGAL	-
MISCELLANEOUS	5,000
<b>SUBTOTAL</b>	<b>\$ 1,520,000</b>
PROJECT CONTINGENCY	280,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,800,000</b>
<u><b>SOURCE(S) OF FUNDING*</b></u>	
UCONN 2000 BOND FUNDS	\$ 1,800,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,800,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Cadaver Lab Renovation and Air Handling  
Unit Replacement Budget (Design) \$1,800,000  
June 28, 2023



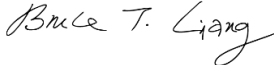
**Cadaver Lab Rendering**


# **ATTACHMENT 18**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Cell & Genome Sciences Building Data Center Cooling System Upgrades (Design: \$650,000)

## RECOMMENDATION:

That the Board of Trustees approves the Design Budget in the amount of \$650,000 as detailed in the attached project budget for the UConn Health Cell & Genome Sciences Building Data Center Cooling System Upgrades project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$650,000 from UCONN 2000 Bond funds for the UConn Health Cell & Genome Science Building Data Center Cooling System Upgrades project and approve the request for a waiver of the submission of a Planning Budget for approval, to allow bidding to occur as soon as the project design work is completed.”

## BACKGROUND:

The data center located at the Cell & Genome Sciences Building (CGSB) supports the research of more than 1,000 users from the laboratories of more than 200 investigators across all UConn campuses, who currently have over \$200 million in active research funding, more than half of which are NIH grants. This project will renovate the data center cooling system and make electrical upgrades to provide necessary redundancy to avoid a shutdown in case of system failure.

The Design Budget is attached for your consideration. The Design budget reflects current design estimates and may change based on bids received. This Design Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: DESIGN**

**PROJECT NAME: UCONN HEALTH - CELL & GENOME SCIENCE BUILDING DATA CENTER  
COOLING UPGRADES**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED DESIGN 6/28/2023</u>
CONSTRUCTION	\$ 520,000
DESIGN SERVICES	50,000
TELECOMMUNICATIONS	9,000
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	10,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	2,000
<b>SUBTOTAL</b>	<b>\$ 591,000</b>
PROJECT CONTINGENCY	59,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 650,000</b>
<u><b>SOURCE(S) OF FUNDING*</b></u>	
UCONN 2000 BOND FUNDS	\$ 650,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 650,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Cell & Genome Science Building Data  
Center Cooling System Upgrades (Design) \$650,000  
June 28, 2023



**ROOF TOP COOLING UNIT**

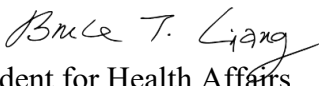



## **ATTACHMENT 19**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Central Sterile Washer & Sterilizer  
Replacement (Design: \$3,985,000)

## RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$3,985,000, as detailed in the attached project budget for the UConn Health Central Sterile Washer & Sterilizer Replacement Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$3,985,000 from UConn Health Capital and UCONN 2000 Bond funds for the UConn Health Central Sterile Washer & Sterilizer Replacement Project.”

## BACKGROUND:

Central Sterile Services located in the basement level of the Connecticut Tower provides instrument sterilization services for the medical and dental clinics and outpatient surgical services. The main washer and sterilizer equipment is outdated and prone to downtime which significantly impacts clinical operations. This project will replace outdated equipment and supporting infrastructure.

The Design Budget is attached for your consideration. The Design budget reflects current design estimates and may change based on bids received. This Design Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

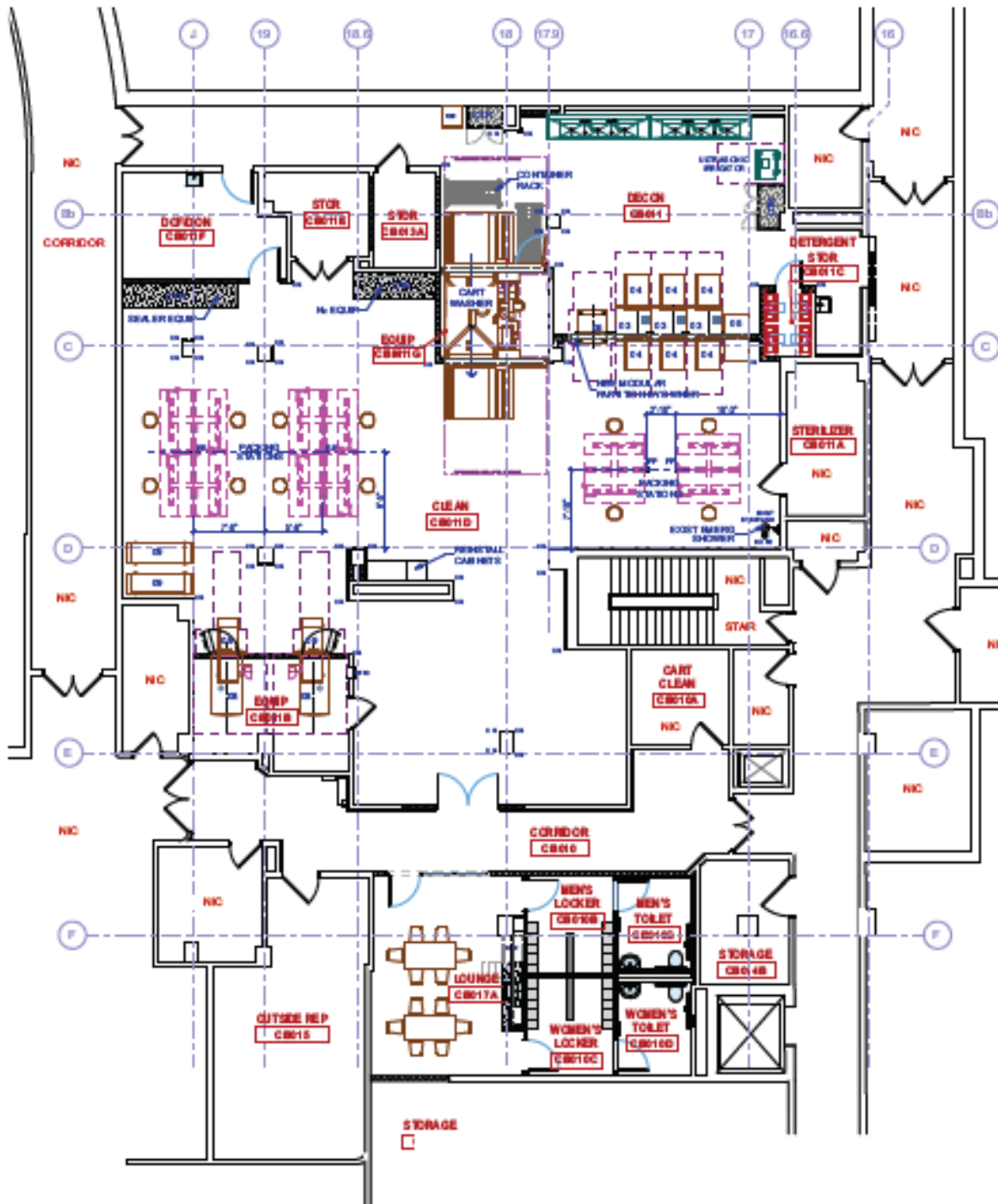
**TYPE BUDGET: DESIGN**

**PROJECT NAME: UCONN HEALTH - CENTRAL STERILE WASHER & STERILIZER REPLACEMENT**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/29/2022</u>	<u>PROPOSED DESIGN 6/28/2023</u>
CONSTRUCTION	\$ 565,000	\$ 2,400,000
DESIGN SERVICES	95,000	165,000
TELECOMMUNICATIONS	2,000	50,000
FURNITURE, FIXTURES AND EQUIPMENT	991,000	990,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	15,000
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	3,000	2,000
<b>SUBTOTAL</b>	<b>\$ 1,656,000</b>	<b>\$ 3,622,000</b>
PROJECT CONTINGENCY	249,000	363,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,905,000</b>	<b>\$ 3,985,000</b>
 <u>SOURCE(S) OF FUNDING*</u>		
UCONN HEALTH CAPITAL FUNDS	\$ 1,905,000	\$ 2,135,000
UCONN 2000 BOND FUNDS	-	1,850,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,905,000</b>	<b>\$ 3,985,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Central Sterile Washer & Sterilizer  
Replacement Budget (Design) \$3,985,000  
June 28, 2023



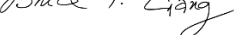
Proposed Equipment Plan

## **ATTACHMENT 20**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health New England Sickle Cell Institute  
Renovation (Design: \$4,865,000)

## RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$4,865,000, as detailed in the attached project budget for the UConn Health New England Sickle Cell Institute Renovation Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$4,865,000 from UConn Health Capital and UCONN 2000 Bond funds for the UConn Health New England Sickle Cell Institute Renovation Project.”

## BACKGROUND:

The New England Sickle Cell Institute (NESCI) and Connecticut Blood Disorders (CBD) clinics were located on an under-utilized inpatient floor within the recently constructed University Tower. In response to the COVID pandemic, these clinics were downsized and relocated to allow for the expansion of inpatient services. This project will renovate the 4<sup>th</sup> floor of the Connecticut Tower to accommodate the relocation of the New England Sickle Cell Institute and Connecticut Blood Disorders clinics.

The Design Budget is attached for your consideration. The Design budget reflects current design estimates and may change based on bids received. This Design Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: DESIGN**

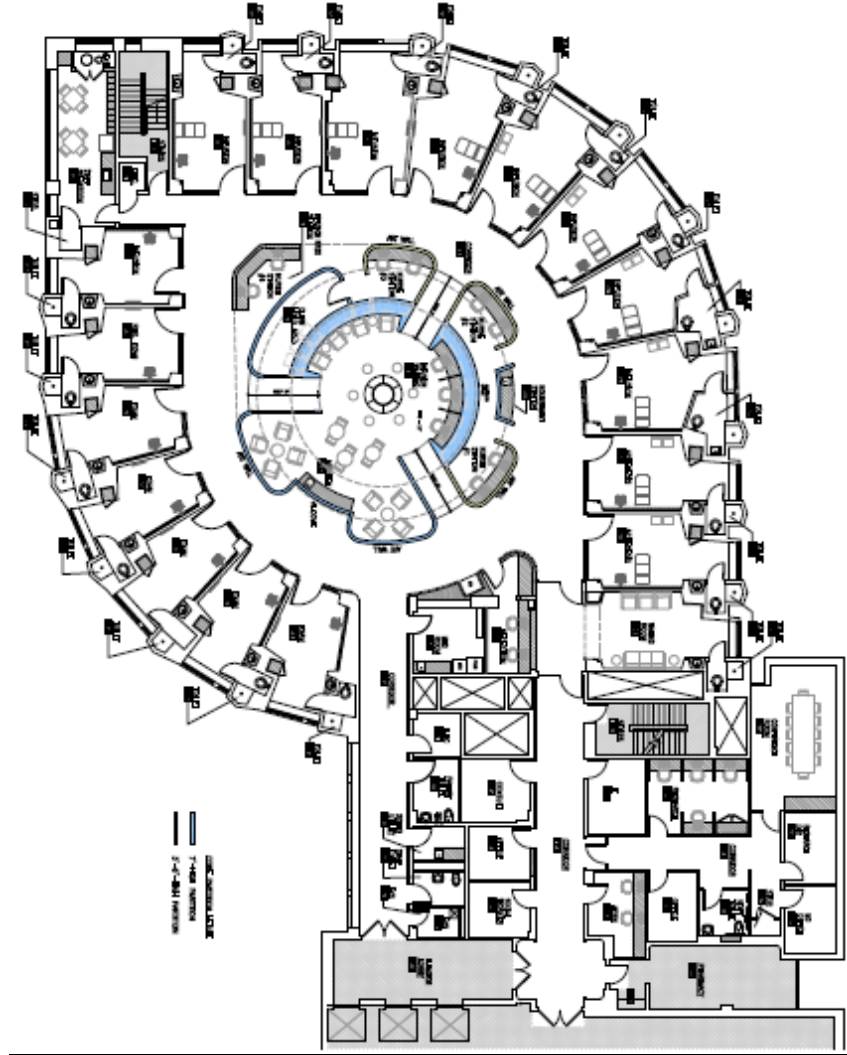
**PROJECT NAME: UCONN HEALTH - NEW ENGLAND SICKLE CELL INSTITUTE RENOVATION**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/29/2022</u>	<u>PROPOSED DESIGN 6/28/2023</u>
CONSTRUCTION	\$ 2,235,000	\$ 3,645,000
DESIGN SERVICES	128,000	342,000
TELECOMMUNICATIONS	150,000	150,000
FURNITURE, FIXTURES AND EQUIPMENT	199,000	200,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	15,000	45,000
RELOCATION	-	5,000
ENVIRONMENTAL	-	30,000
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	5,000
<b>SUBTOTAL</b>	<b>\$ 2,727,000</b>	<b>\$ 4,422,000</b>
PROJECT CONTINGENCY	273,000	443,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 3,000,000</b>	<b>\$ 4,865,000</b>
 <u>SOURCE(S) OF FUNDING*</u>		
UCONN HEALTH CAPITAL FUNDS	\$ 3,000,000	\$ 3,270,000
UCONN 2000 BOND FUNDS	-	1,595,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 3,000,000</b>	<b>\$ 4,865,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.



UCONN HEALTH/IMPROVEMENTS  
UConn Health New England Sickle Cell Institute  
Renovation Project Budget (Design) \$4,865,000  
June 28, 2023



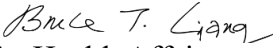
Conceptual Floor Plan


# **ATTACHMENT 21**

# UConn<sup>0</sup> HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health TB-121 Blood Bank Relocation  
(Final: \$765,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$765,000, as detailed in the attached project budget for the UConn Health TB-121 Blood Bank Relocation Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$765,000 from UConn Health Capital and funds received through the Cesium Irradiator Replacement Program (CIRP) for the UConn Health TB-121 Blood Bank Relocation Project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

## BACKGROUND:

Prior to transfusion, blood is irradiated to prevent the donor white cells from replicating and mounting an immune response against a patient causing transfusion-associated graft-versus-host disease (TA-GvHD). The current irradiator in the UConn Health Blood Bank needs to be replaced. In addition, the current Blood Bank location on the 2<sup>nd</sup> floor of the Main Building Clinical complex is a great distance from the areas where the blood is required; John Dempsey Hospital Operating Rooms (ORs), the Emergency Department (ED), and Labor & Delivery (LD). This project will relocate the Blood Bank to an unrenovated shell space on the ground floor of the John Dempsey Hospital which is adjacent to the ORs and significantly closer to the ED and LD. In addition, a new X-ray irradiator will be furnished and installed, and the existing irradiator removed through CIRP.

The Final Budget is attached for your consideration. The Final budget reflects current design estimates and may change based on actual bids received. This Final Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH -TB-121 BLOOD BANK RELOCATION**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED FINAL 6/28/2023</u>
CONSTRUCTION	\$ 255,000
DESIGN SERVICES	50,000
TELECOMMUNICATIONS	25,000
FURNITURE, FIXTURES AND EQUIPMENT	320,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	5,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	10,000
<b>SUBTOTAL</b>	<b>\$ 665,000</b>
PROJECT CONTINGENCY	100,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 765,000</b>
<u>SOURCE(S) OF FUNDING*</u>	
UCONN HEALTH CAPITAL FUNDS	662,000
CIRP	103,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 765,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health TB-121 Blood Bank Relocation  
Project Budget (Final) \$765,000  
June 28, 2023




**X-Ray Blood Irradiator**


## **ATTACHMENT 22**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Building D & Building N Roof Replacement  
(Final: \$2,500,000)

## RECOMMENDATION:

That the Board of Trustees approves the Final Budget in the amount of \$2,500,00, as detailed in the attached project budget for the UConn Health Building D & Building N Roof Replacement Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$2,500,000 of UConn 2000 Bond Funds for the UConn Health Building D & Building N Roof Replacement Project.”

## BACKGROUND:

The Administrative Service Building (Building D) and Musculoskeletal Institute (Building N) roofs are original construction. The roofing membrane has deteriorated beyond the scope of normal maintenance and a full replacement is required. These roofs will be replaced with a sustainable/high solar reflectance EPDM membrane system during the Summer of 2023.

The Final Budget is attached for your consideration. The Final Budget is based on bids received. The Final Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments



## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH - BUILDING D & BUILDING N ROOF REPLACEMENT**

<u>BUDGETED EXPENDITURES</u>	<b>APPROVED PLANNING 12/8/2021</b>	<b>APPROVED DESIGN 6/29/2022</b>	<b>PROPOSED FINAL 6/28/2023</b>
CONSTRUCTION	\$ 834,000	\$ 2,082,000	\$ 2,170,000
DESIGN SERVICES	84,000	248,000	98,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	-	1,000	6,000
<b>SUBTOTAL</b>	<b>\$ 918,000</b>	<b>\$ 2,331,000</b>	<b>\$ 2,274,000</b>
PROJECT CONTINGENCY	182,000	234,000	226,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 1,100,000</u></b>	<b><u>\$ 2,565,000</u></b>	<b><u>\$ 2,500,000</u></b>
<b><u>SOURCE(S) OF FUNDING*</u></b>			
UCONN 2000 BOND FUNDS	<u>\$ 1,100,000</u>	<u>\$ 2,565,000</u>	<u>\$ 2,500,000</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 1,100,000</u></b>	<b><u>\$ 2,565,000</u></b>	<b><u>\$ 2,500,000</u></b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Building D & Building N Roof  
Replacement  
Project Budget (Final) \$2,500,000  
June 28, 2023



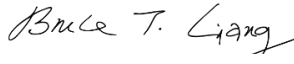
**BUILDING D ROOF and BUILDING N ROOF**


## **ATTACHMENT 23**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Building E & Building K Roof Replacement  
(Final: \$2,160,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$2,160,000 as detailed in the attached project budget for the UConn Health Building E & Building K Roof Replacement Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$2,160,000 of UCONN 2000 Bond Funds for the UConn Health Building E & Building K Roof Replacement Project to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

## BACKGROUND:

The Academic Research Building (Building E) and the Transgenic Animal Facility (Building K) roofs are original construction. The roofing membrane has deteriorated beyond the scope of normal maintenance and a full replacement is required. These roofs will be replaced with a sustainable/high solar reflectance EPDM membrane system over the Fall of 2023.

The Final Budget is attached for your consideration and upon approval will allow this project to proceed into construction. The budget is based on estimates and may be subject to change when bids are received. This Final Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

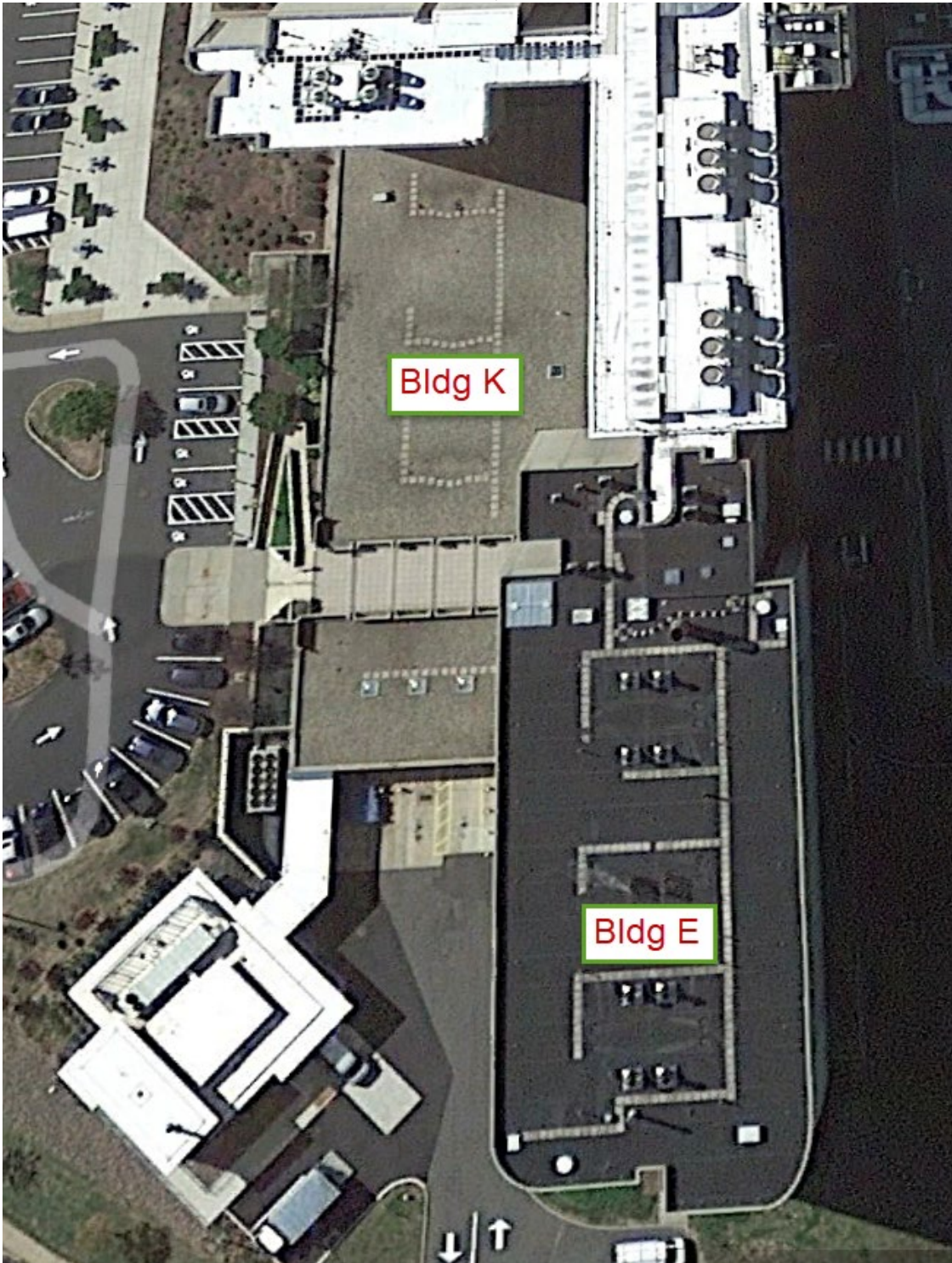
**PROJECT NAME: UCONN HEALTH - BUILDING E & BUILDING K ROOF REPLACEMENT**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 12/8/2021</u>	<u>APPROVED DESIGN 6/29/2022</u>	<u>PROPOSED FINAL 6/28/2023</u>
CONSTRUCTION	\$ 477,000	\$ 1,712,000	\$ 1,812,000
DESIGN SERVICES	48,000	248,000	148,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	-	3,000	3,000
<b>SUBTOTAL</b>	<b>\$ 525,000</b>	<b>\$ 1,963,000</b>	<b>\$ 1,963,000</b>
PROJECT CONTINGENCY	105,000	197,000	197,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 630,000</b>	<b>\$ 2,160,000</b>	<b>\$ 2,160,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>			
UCONN 2000 BOND FUNDS	\$ 630,000	\$ 2,160,000	\$ 2,160,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 630,000</b>	<b>\$ 2,160,000</b>	<b>\$ 2,160,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.



UCONN HEALTH/IMPROVEMENTS  
UConn Health Building E & Building K Roof  
Replacement  
Project Budget (Final) \$2,160,000  
June 28, 2023



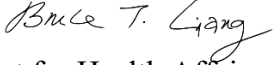
**BUILDING E ROOF and BUILDING K ROOF**


## **ATTACHMENT 24**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Canzonetti (F) Building Wound Care Center  
Renovation (Final: \$1,225,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,225,000 as detailed in the attached project budget for the UConn Health Canzonetti (F) Building Wound Care Center Renovation.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,225,000 from UConn Health Capital and a Design and Construction allowance from Restorix Health Inc (Wound Care Center operator) for the UConn Health Canzonetti (F) Building Wound Care Center Renovation.”

## BACKGROUND:

UConn Health and Restorix Health Inc have entered into a Professional Service Agreement to create a Comprehensive Wound Care Center (CWC) utilizing Hyperbaric Oxygen Therapy within the Canzonetti Building (F) that will be designed, furnished, staffed and operated by Restorix Health Inc.

The Final Budget is attached for your consideration. The Final Budget is based on bids received. The Final Budget was approved by the Board of Directors at their meeting on June 12, 2023.

Attachments



## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH - CANZONETTI (F) BUILDING WOUND CARE CENTER  
RENOVATION**

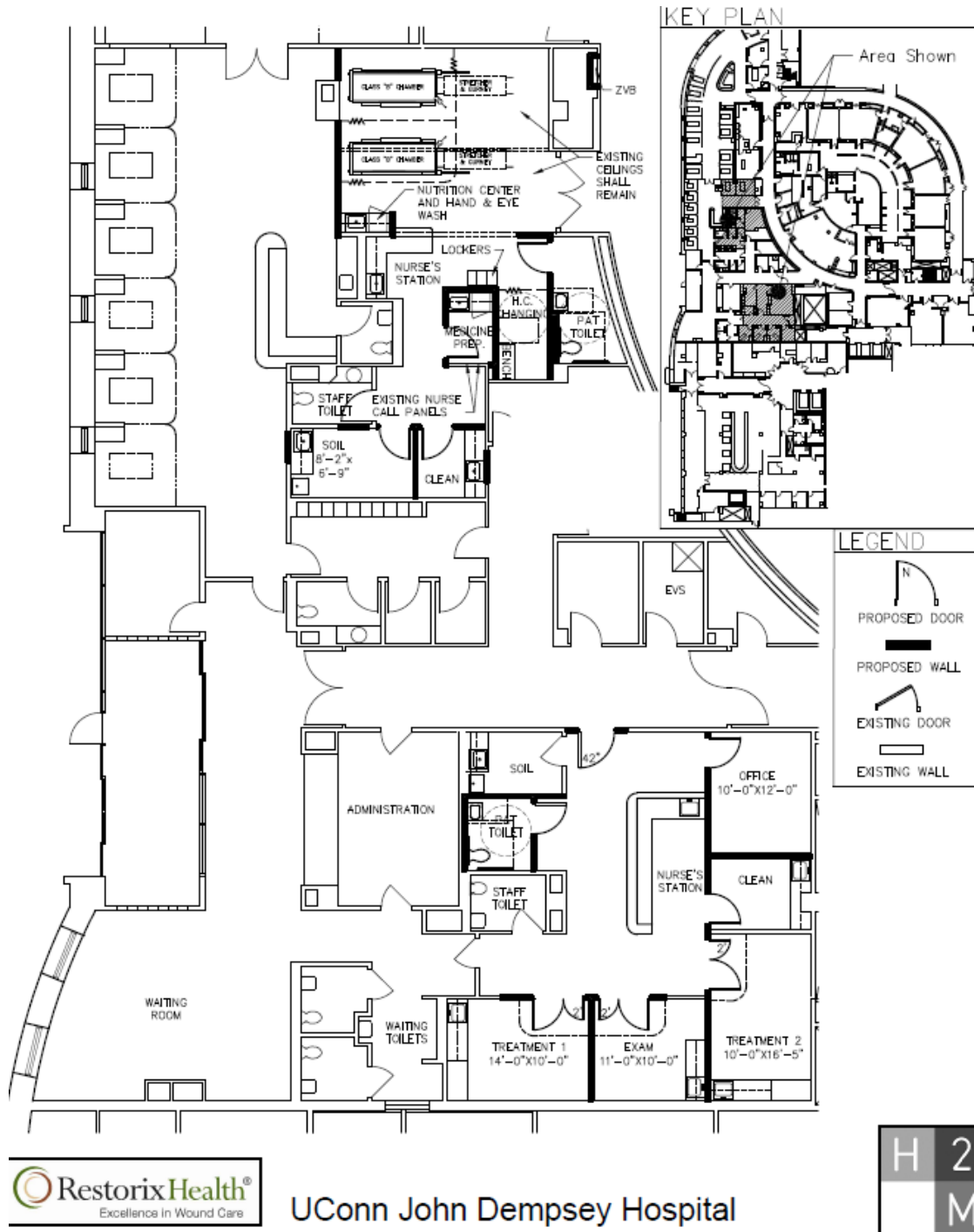
<u>BUDGETED EXPENDITURES</u>	<u>APPROVED DESIGN 6/29/2022</u>	<u>PROPOSED FINAL 6/28/2023</u>
CONSTRUCTION	\$ 640,000	\$ 958,000
DESIGN SERVICES	64,000	85,000
TELECOMMUNICATIONS	64,000	64,000
FURNITURE, FIXTURES AND EQUIPMENT	5,000	4,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	2,000
<b>SUBTOTAL</b>	<b>\$ 773,000</b>	<b>\$ 1,113,000</b>
PROJECT CONTINGENCY	77,000	112,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 850,000</b>	<b>\$ 1,225,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>		
UCONN HEALTH CAPITAL FUNDS	500,000	875,000
RESTORIX HEALTH INC DESIGN & CONSTRUCTION ALLOWANCE	350,000	350,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 850,000</b>	<b>\$ 1,225,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# UCONN HEALTH/IMPROVEMENTS

## UConn Health Canzonetti (F) Building Wound Care Center Renovation Budget (Final) \$1,225,000

June 28, 2023



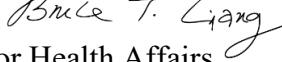
**Conceptual Floor Plan**

## **ATTACHMENT 25**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Transitional Nursery Renovation  
(Final: \$1,800,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,800,000 as detailed in the attached project budget for the UConn Health Transitional Nursery Renovation.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,800,000 from UConn Health Capital for the UConn Health Transitional Nursery Renovation to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

## BACKGROUND:

The UConn Health Labor and Delivery currently uses a “rooming in” program where the mother and baby stay together during recovery and is served by a small satellite nursery that can accommodate 2 bassinets. This project would renovate space to create a 6 bassinet nursery including a transitional nursery for a baby that requires additional monitoring and care.

The Final Budget is attached for your consideration and upon approval will allow this project to proceed into construction. The budget is based on estimates and may be subject to change when bids are received. This Final Budget was approved by the UConn Health Board of Directors at their meeting on June 12, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

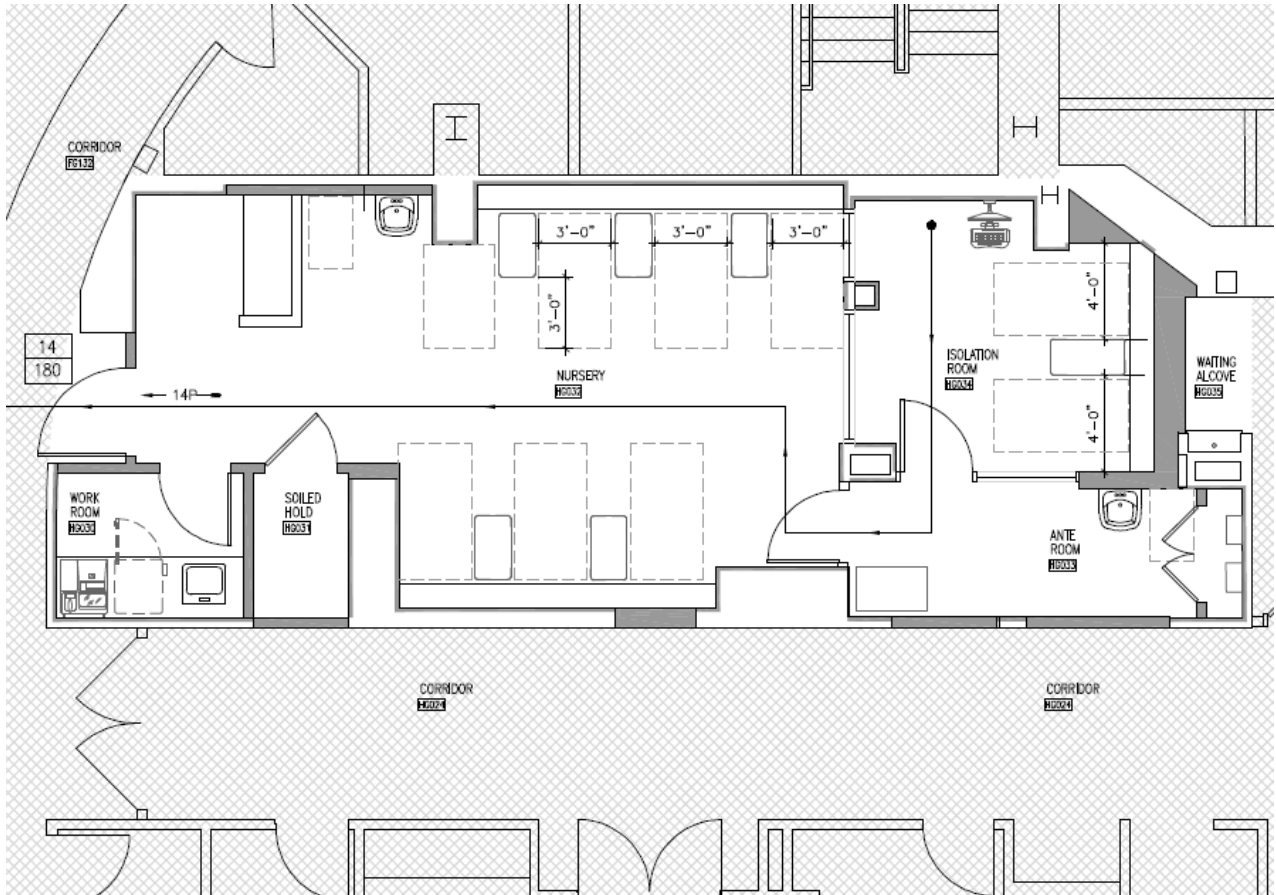
**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH - TRANSITIONAL NURSERY RENOVATION**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED DESIGN 2/22/2023</u>	<u>PROPOSED FINAL 6/28/2023</u>
CONSTRUCTION	\$ 1,290,000	\$ 1,290,000
DESIGN SERVICES	105,000	105,000
TELECOMMUNICATIONS	5,000	5,000
FURNITURE, FIXTURES AND EQUIPMENT	170,000	170,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
<b>SUBTOTAL</b>	<b>\$ 1,570,000</b>	<b>\$ 1,570,000</b>
PROJECT CONTINGENCY	230,000	230,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,800,000</b>	<b>\$ 1,800,000</b>
<u>SOURCE(S) OF FUNDING*</u>		
UCONN HEALTH CAPITAL FUNDS	1,800,000	1,800,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,800,000</b>	<b>\$ 1,800,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Transitional Nursery Renovation  
Budget (Final) \$1,800,000  
June 28, 2023



**Conceptual Floor Plan**

## **ATTACHMENT 26**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric *Radenka Maric*

RE: Recommendations for Designation as Board of Trustees Distinguished Professors,  
Academic Year 2022-2023

RECOMMENDATION:

That the Board of Trustees accept the recommendation of the Distinguished Professor Review Committee and designate the following faculty members as University of Connecticut Board of Trustees Distinguished Professors: Dr. Douglas Casa (Department of Kinesiology), Dr. Lewis Gordon (Department of Philosophy) and Dr. Bandana Purkayastha (Department of Sociology).

BACKGROUND:

On November 10, 1998, the Board of Trustees voted to establish the title of Board of Trustees Distinguished Professor. This designation is the University's highest academic honor.

Pursuant to the By-Laws of the University of Connecticut, the Board of Trustees Distinguished Professor award is reserved exclusively to recognize faculty who have achieved exceptional distinction in scholarship, teaching, and service while at the University of Connecticut. Faculty chosen must have distinguished themselves in all three of these categories. The designation process occurs annually, as a result of a peer review process.

After careful deliberations, the Review Committee recommended the three individuals named above. I am recommending that the Board of Trustees designate these faculty as its Distinguished Professors.



## **Dr. Douglas Casa**

Dr. Douglas Casa has demonstrated a level of scholarly productivity that has led to an international reputation as one of the world's leading experts in exertional heat illness, hydration, thermal physiology, sport performance monitoring and sport safety, and public policy regarding prevention of sudden death in sport. The work of Dr. Casa has not only added to our fundamental scientific understanding in these areas, but has impacted policy and quality of care changes globally to establish widely-used guidelines and practices that have not only improved performance and made sport safer, but have directly saved thousands of lives. As a scholar, there are 4 main areas in which Dr. Casa has demonstrated and exceeded in many cases, the university standards of scholarly excellence: 1) innovation and impact, 2) collaborations, 3) funding success, and 4) publication and public recognition. UConn's Korey Stringer Institute was formed by Dr. Casa at the specific request of the NFL, Gatorade, and Kelci Stringer, whose husband Korey Stringer died a tragic and preventable death from exertional heatstroke during a football practice with the Minnesota Vikings. Dr. Casa has over 260 referred publications, ~60 non-referred publications (i.e., book reviews, letters to the editor, commentaries, roundtables, published conference proceedings), 7 books, and hundreds of abstracts and invited presentations (national and international). The innovative and impactful nature of the organization that Dr. Casa has created is unarguable and in many diverse ways, it has a broad-reaching positive ripple effect of scientific, service, and educational influence.

Dr. Casa has been Director of Athletic Training Education for 20 years and in that role oversees the entire athletic training education program and mentorship of athletic training master's and doctoral students. In both his individual teaching and role in educational leadership/administration he has improved teaching at University of Connecticut in multiple ways. Dr. Casa has individually taught 24 courses in exercise science and athletic training. His contributions to undergraduate and graduate education have been important in establishing core competencies among individual students and enhancing the overall education quality. For the athletic training program alone, Dr. Casa developed 14 new classes and drove the change in the athletic training curriculum that resulted in successful accreditation by multiple athletic training professional organizations. His continued success as a teacher is demonstrated by the quality of his SET feedback each semester and the uniqueness of the course offerings at UConn thanks to his expertise and creativity in teaching.

## Dr. Lewis Gordon

Dr. Lewis Gordon is one of the most important and highly regarded intellectuals in the world writing on anti-racism and Africana philosophy. He is internationally recognized as the leading contributor to the fields of Black existentialism, Africana Existential Phenomenology, Fanon, Teleological Studies of Disciplinarity (a field he invented), Decolonialism, and Afro-Jewish Studies. His original theories have generated widely discussed concepts such as “bad faith embodiment,” “epistemic closure,” “disciplinary decadence,” and “shifting the geography of reason”, among many others.

Dr. Gordon is the author of 12 books, editor and co-editor of 6 anthologies, and the author of over 92 articles in academic journals, 74 book chapters, and over 30 introductions and afterwards. His work has been translated into over two dozen languages. His seminal first book, *Bad Faith and Anti-Black Racism* is widely regarded as a classic in the study of existential philosophy and racism, while his recent *Fear of Black Consciousness* has been hailed by Judith Butler as expanding our understanding of “how race consciousness is made and lived.” The latter has received coverage and press in dozens of newspapers and radio media across the globe, including in *Publishers Weekly*. Considered together, Gordon’s works constitute a significant and original vision of the human condition and consciousness.

As a teacher, Dr. Gordon is a recognized innovator and leader—internationally and at UConn—in the movement to make philosophy and the humanities a more inclusive subject. Dr. Gordon’s courses integrate sources from ancient through contemporary Africa, Latin America, and Native America. This has attracted a diverse group of African and Latin American students to philosophy—especially at UConn. Thus, for example, at the undergraduate level, his introductory course, Phil 1106, started with 35 students in his first semester teaching it in 2014; it has since grown into a highly sought-over 370-student lecture course. Moreover, Gordon has designed a number of new courses for the philosophy department’s curriculum, including seminars on Africana Philosophy, Global Southern Phenomenologies, Global Existentialism, Race in the Formation of Human Science, Phenomenology, Philosophy of Psychoanalysis, and Philosophy of Social Science.

Beyond his extensive service to the University, Dr. Gordon is on the editorial board of many journals, book series, and a news outlet (one of which won the 2021 Izzy Award for Independent Media). His work as an institution-builder includes founding *Radical Philosophy Review*, for which he was the first executive editor and for which he edited 6 volumes. The 26th volume of that journal is now at press. He co-founded the Caribbean Philosophical Association in 2003, for which he was the first president. He founded Temple University’s Center for Afro-Jewish Studies, and its Institute for the Study of Race and Social Thought; and at Brown University, he founded the Department of Africana Studies, for which he was the first chairperson. He serves as an evaluator for the MacArthur, Ford, Newcombe, South African Research Council, and ACLS fellowships.

## **Dr. Bandana Purkayastha**

Dr. Purkayastha's research focuses on the structures of inequalities and social transformation, with specific focus on migrants and migration, gender and intersectionality from a global perspective, and critical human rights. A prolific scholar, with a current tally of 15 books, 36 peer reviewed articles, 35 chapters, and many other publications that reach across the world. Eagerness to engage with her ideas are evident through her long list of invited talks in the US and across the world, as well as the invitations to share her expertise for policy purposes by WHO (on migrant women and health) and diverse United Nations (UN) offices (including on violence against women and forced migration). A brilliant sociologist, she has been at the forefront of challenging global conceptual and methodological hierarchies, including between the Global North and South, that act as barriers to robust and meaningful social science research.

Significant disciplinary accolades as well as international recognition of her expertise are testimonies to her national and global scholarly prominence. She received the 2017 Contributions to the Field (career) award from the Asia and Asian American research section of American Sociological Association (ASA) for her transformative work on Asian-origin, highly-skilled migrants (women and men) and their children, as well as low-wage migrant workers. She continues to publish on racism and gendering structures that affect migrants. Her recent work on trafficking and other victims of forced migration, and her theoretical perspective on voluntary and forced migration, was featured by UN-IOM in 2022.

Dr. Purkayastha's teaching record reflects her commitment to international, interdisciplinary, teaching and mentoring. Her commitment is to engage students in an intellectual feast while making sure they are well supported to grow as people who are mindful of equity and justice, and the inherent humanity of others. During her time at UConn she has developed and taught seven graduate and undergraduate courses, and co-developed three others to fill department needs. These courses all meet diversity, international, and interdisciplinary initiatives of the university. Her courses are cross-listed with, or serve the requirements of Asia and Asian American Studies (AASI), Human Rights, India Studies, and Women's Gender and Sexuality Studies. She has been a champion of using multiple methods for teaching and a consistent and enthusiastic early adopter of new technologies. Over the years she has used her national and global networks to bring outstanding scholars and prominent leaders to the university to enrich UConn's educational offerings.

## **ATTACHMENT 27**

**UNIVERSITY OF CONNECTICUT**  
**TENURE AT HIRE RECOMMENDATIONS**  
**PRESENTED TO THE BOARD OF TRUSTEES - June 28, 2023**

<b><u>NAME</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>
<i>Effective August 23, 2023</i>		
<b>TENURE AS PROFESSOR</b>		
Vider, Stephen	History	Liberal Arts and Sciences
Waller, James	Literatures, Cultures, and Languages	Liberal Arts and Sciences
<b>TENURE AS ASSOCIATE PROFESSOR</b>		
Chen, Wei	Operations and Information Management	Business
Cooper Owens, Deirdre	History	Liberal Arts and Sciences

## **ATTACHMENT 28**

**University of Connecticut Department of Human Resources**

**Emeritus Retirees**

**June 28, 2023 Board of Trustees Meeting**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE*</u></b>	<b><u>RETIRED</u></b>	<b><u>HIRED</u></b>
<i>Faculty meeting “automatic” criteria for emeritus status</i>					
Bozorgmanesh, Hadi	Professor in Residence	Engineering	School of Engineering	2/11/2023	7/1/2012
Cole, James	Professor	Molecular and Cell Biology	CLAS	6/1/2023	8/23/2001
Kivenzor, Gregory	Associate Professor In Residence	Marketing	School of Business	8/23/2021	8/23/2015
Kurz, Brenda J.	Associate Professor	Social Work	School of Social Work	6/1/2023	8/23/1999
Robbins, Gary A.	Professor	Natural Resources and Environment	CAHNR	6/1/2023	9/1/1986
 <i>Recommendations from the Emeritus Committee</i>					
Price, Willena	Director	African American Cultural Center	Office of Diversity and Inclusion	7/1/22	8/23/1993

\*Key

CAHNR – College of Agriculture, Health & Natural Resources

CLAS – College of Liberal Arts & Sciences

## **Willena Price**

Dr. Willena Price served as UConn's African American Cultural Center (AACC) Director from 1993 through 2022. During her 29 years at the AACC, Dr. Price has provided UConn's Black and African American students, faculty, and staff with support, spaces of community building, and mentorship. While she offered these to the UConn community, she went above and beyond by continuing to offer support, community building, and mentorship to UConn alumni.

Under Dr. Price's leadership, the AACC was recognized for excellence in promoting the understanding and appreciation of the culture, history, and traditions of Black and African American communities. This work has been recognized by the University, including a commendation from President Susan Herbst for inaugurating UConn's annual Black History Month celebrations and a commendation from Vice President of Student Affairs, Carol A. Wiggins, for her commitment to students. Dr. Price was also recognized by the state government, including State Representative Reginald G Beamon. Her proudest achievement, however, was when then-President of the Republic of Senegal, Abdou Diouf, received an honorary Doctorate from UConn. Dr. Price hosted a group of dignitaries who attended the Commencement Ceremony. She was also the University liaison to the Senegal Embassy, headed by Ambassador Mamadou Seck, and then led university faculty, staff, and Trustees on a trip to the Republic of Senegal where they were honored to have a private audience with the President. In addition, she was invited to have tea with the First Lady of Senegal in the Presidential Palace.

Dr. Price has received several awards and recognitions for her work as Director of AACC including a spot on the NAACP's 100 Most Influential Blacks in the State of Connecticut, which celebrates the effectiveness in bringing about positive and far-reaching change for the benefit of their communities, cities, and the state. As Dr. Price served UConn as professional staff member, her nomination was reviewed by the Emeritus Committee and was accordingly recommended to and approved by President Maric, in accordance with Section XIV.K.2.d of the *By-Laws*.



## **ATTACHMENT 29**

University of Connecticut Office of the Provost

Sabbatical Leave Recommendations Requiring Board of Trustees Approval

June 28, 2023 Board of Trustees Meeting

**SABBATICAL MODIFICATION REQUESTS**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>PAY</b>	<b>PERIOD</b>
Deans, Tom	Professor & Director	English	Liberal Arts and Sciences	Full Change to	Spring 2024 Fall 2024
Lucas, Ruth F	Assoc Professor	Nursing Instruct and Research	Nursing	Full Change to	Spring 2024 Fall 2023
Norato Escobar, Julian A	Assoc Professor	Mechanical Engineering	Engineering	Full Change to	AY 2023-2024 Fall 2023
Teplyaev, Alexander	Professor	Mathematics	Liberal Arts and Sciences	Half Change to	AY 2023-2024 AY 2024-2025
Zurolo, Mark G	Assoc Professor	Art and Art History	Fine Arts	Full Change to	Spring 2024 Fall 2023

**SABBATICAL LEAVE REQUESTS**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>PAY</b>	<b>PERIOD</b>
Berger, Bethany	Professor	Law Instruction and Research	Law	Full	Fall 2023
Brueckner, Christian	Department Head & Professor	Chemistry	Liberal Arts and Sciences	Full	Spring 2024
Cogan, John	Professor	Law Instruction and Research	Law	Full	Spring 2024
Dicapua, Ralph E	Professor	Art and Art History	Fine Arts	Full	Spring 2024
Knutie, Sarah Ann	Asst Professor	Ecology and Evolutionary Bio	Liberal Arts and Sciences	Full	Spring 2024
Stuber, Matthew D	Asst Professor	Chem and Biomolecular Engr	Engineering	Full	Fall 2024
Thomas, Rebecca Leela	Professor	Social Work Instruct and Rsrch	Social Work	Full	Spring 2025
Wang, Guiling	Professor	Civil and Environ Engineering	Engineering	Full	Spring 2024

## **ATTACHMENT 30**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Appointment of Professor Robert Day to the Robert Cizik Professorship in  
Manufacturing and Technology Management in the School of Business

RECOMMENDATION:

That the Board of Trustees appoint Professor Robert Day to the Robert Cizik Professorship in Manufacturing and Technology Management in the School of Business for the period of June 30, 2023, through August 24, 2026.

BACKGROUND:

The Robert Cizik Professorship in Manufacturing and Technology Management in the School of Business was established to support a professor who is nationally and internationally eminent in manufacturing and technology who will foster leadership development, educate manufacturing professionals with engineering and business skills as well as collect a cohort of multi-disciplinary faculty to advance the Connecticut region as leaders in global manufacturing competitiveness. The decision to recommend this appointment was made following the School of Business policy; Dean Elliott is making his recommendation based on consultation with the associate deans and department heads in the School of Business.

Professor Day joined the UConn faculty in 2004 and was promoted to the rank of Professor in 2020. His research examines auctions, combinatorial (package) auctions, airport landing-slot auctions, bidding languages, core allocations, matching and allocation problems, healthcare management, operations research, mathematical programming, and optimization. His research has been published in many leading academic journals, which include Management Science, Production and Operations Management, Operation Research, and Decision Support Systems. He serves as the President of Institute for Operations Research and Management Sciences' (INFORMS) Section of Auctions and Market Design and has won several best paper, service and teaching awards throughout his career including the INFORMS Computing Society Prize in 2008 and the Dantzig Dissertation Award in 2005.

In addition to research, Professor Day served as the Associate Dean for Undergraduate Programs in the School of Business and teaches operations management and project management to undergraduate, masters, and Ph.D. level students.

# **ATTACHMENT 31**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Appointment of Professor John Mathieu to the GE Capital Professor in Business in the School of Business

RECOMMENDATION:

That the Board of Trustees appoint Professor John Mathieu to the GE Capital Professor in Business in the School of Business for the period of June 30, 2023, through August 24, 2026.

BACKGROUND:

The GE Capital Professor in Business in the School of Business was established to support a professor who is a nationally recognized researcher, scholar, teacher, or business leader who has made significant contributions to the field of commerce. The decision to recommend this appointment was made following the School of Business policy; Dean Elliott is making his recommendation based on consultation with the associate deans and department heads in the School of Business.


Professor Mathieu joined the UConn faculty in 1999 and in 2016 was named a Board of Trustees Distinguished Professor of Management. His research focuses on the dynamics of teamwork; the management of new organizational forms (e.g., complex teams arrangements, Multi-Team Systems); interfaces with various forms of technology (e.g., virtuality, space flight, team optimization routines); and an emphasis on the Scientist/Practitioner model of learning and application. His research has been published in many of the top journals in his field including *Journal of Clinical Oncology*, *Journal of Management*, *Military Psychology*, *Academy of Management Journal*, and *Journal of Applied Psychology*. He is a recipient of the Academy of Management (Research Methods Division) Career Award, a prestigious award recognizing a lifetime achievement for advancing research methods, analysis, and inference.

In addition to research, Professor Mathieu is a mentor to Ph.D. students in a scientist/practitioner model whereby he engages them in his research and prepares them to conduct their own with the intention to contribute both to the scientific literature and to the welfare of organizations and their employees.

# **ATTACHMENT 32**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.   
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Timothy B. Folta to the Thomas John and Bette Wolff Family Chair in Strategic Entrepreneurship in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Professor Timothy B. Folta to the Thomas John and Bette Wolff Family Chair in Strategic Entrepreneurship in the School of Business for the period of August 22, 2023, through August 24, 2026.

BACKGROUND:

The Thomas John and Bette Wolff Family Chair in Strategic Entrepreneurship was established to support a faculty member that is renowned in the field of strategic entrepreneurship who will provide leadership for teaching and research in the area of strategic entrepreneurship. The decision to recommend this reappointment was made following the School of Business policy; Dean Elliott is making his recommendation based on consultation with the associate deans and department heads in the School of Business.

Professor Folta joined the UConn faculty in August of 2013 as a professor of management and was appointed the Thomas John and Bette Wolff Family Chair in Strategic Entrepreneurship in the School of Business. Professor Folta's research is in entrepreneurship, real options, and firm performance. He has received several awards honoring his research, including the 2021 Bright Idea Award for the paper titled "Does government fund the best entrepreneurial ventures? The case of the Small Business Innovation Research Program." The award is selected annually for the top-ten publications by business school faculty in the State of New Jersey. He is a strong participant in the University's entrepreneurship effort and has an international reputation in his field. He recently co-founded (January 2022), along with Maryann Feldman, the Entrepreneurship and Innovation Policy Virtual Research Seminar Series. For this project, he has assembled a team of scholars and policy makers from a range of elite institutions, which include ESADE (Barcelona), Instituto de Empresa (Madrid), Copenhagen Business School, Imperial College (London), Stanford, NYU, top US public universities, the NSF, and the U.S. Patent Office.

At UConn he has served as the Academic Director of the Connecticut Center for Entrepreneurship and Innovation and was the Co-Founding Director of the Entrepreneurship Consortium. Further, he has made outstanding contributions through the Accelerate UConn programs.



# **ATTACHMENT 33**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Appointment of Professor James Waller to the Christopher J. Dodd Chair in Human Rights Practice in the Gladstein Family Human Rights Institute, Office of Global Affairs

RECOMMENDATION:

That the Board of Trustees appoint Professor James Waller to the Christopher J. Dodd Chair in Human Rights Practice in the Gladstein Family Human Rights Institute effective August 23, 2023 through August 22, 2028.

BACKGROUND:

The Christopher J. Dodd Chair in Human Rights Practice (Dodd Chair) is awarded to a tenured associate or full professor who is appointed to serve as the Director of the Dodd Human Rights Impact programs. The Dodd Chair is linked to the directorship, and is eligible for renewal every five years, as long as the appointee continues to serve in the Director role. Jointly appointed to the Gladstein Family Human Rights Institute (HRI), and a tenure home department, the Dodd Chair directs HRI's Dodd Human Rights Impact Programs and advances the mission of The Dodd Center for Human Rights, in which HRI is housed. The Dodd Chair will help address the urgent need to protect human rights and preserve human dignity. The decision to recommend this appointment was made following an international search and in consultation with Provost Anne D'Alleva and Vice President for Global Affairs Dan Weiner.

Jointly appointed to the Gladstein Family Human Rights Institute, and the department of Literatures, Cultures and Languages in the College of Liberal Arts and Sciences, Dr. Waller is a world-renowned scholar of Holocaust and genocide studies. Most recently he was the Cohen Professor of Holocaust and Genocide Studies at Keene State College where he developed and led the nation's first undergraduate department and major in Holocaust and Genocide Studies. Dr. Waller also served as the Director of Academic Programs for the Auschwitz Institute for the Prevention of Genocide and Mass Atrocities, through which he forged relationships with government policymakers worldwide, with seminars reaching nearly 9,000 government officials and security sector personnel from 90 countries. Dr. Waller is the author of nearly 50 articles and book chapters, and six books. The latter includes his award-winning *Becoming Evil: How Ordinary People Commit Genocide and Mass Killing* (Oxford University Press, 2<sup>nd</sup> ed., 2007) and *A Troubled Sleep: Risk and Resilience in Contemporary Northern Ireland* (Oxford University Press, 2021). His public writing includes pieces for *The Washington Post*, *The Irish News*, and *The Conversation*.

# **ATTACHMENT 34**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Appointment of Associate Professor Jessica Rouge to the Paul Krenicki Professorship of Chemistry in the College of Liberal Arts and Sciences

RECOMMENDATION:

That the Board of Trustees appoint Associate Professor Jessica Rouge to the Paul Krenicki Professorship of Chemistry in the College of Liberal Arts and Sciences for the period of August 23, 2023 through August 22, 2028.


BACKGROUND:

John and Donna Krenicki established the Paul Krenicki Professorship of Chemistry in the College of Liberal Arts and Sciences in January 2016 in memory of Mr. Krenicki's brother, Paul Krenicki. Professor Jessica Rouge will be the second holder of this named professorship, following Professor James Rusling who held the professorship from August 23, 2018 to August 22, 2023. Professor Rouge was nominated by her faculty colleagues and department head based upon her record of outstanding scholarship as evidenced by the overall impact of publications, extraordinarily high citations, funding, external awards, invited presentations at major national and international meetings, all of which have elevated the visibility and standing of the department. This recommendation is supported by Dean Juli Wade.

Professor Rouge's research is interdisciplinary and focuses on understanding how nanomaterials interact with biological systems. This work provides the means by which new, advanced therapeutic approaches to diseases including cancer and inflammatory diseases can be designed and implemented. Dr. Rouge's research is well-funded. These projects have resulted in numerous publications in highly respected journals. In addition, in 2019, she was awarded a patent for a universal enzyme responsive linker, and she has a second patent pending. Through this work, she has established a strong lab group and has been recognized internationally for her work.

# ATTACHMENT 35

June 28, 2023

TO: Members of the Board of Trustees  
FROM: Dr. Radenka Maric   
RE: Naming Recommendation for Susan V. Herbst Hall

RECOMMENDATION:

That the Board of Trustees rename Oak Hall on Storrs Campus as “Susan V. Herbst Hall.”

BACKGROUND:

Susan V. Herbst served with distinction as UConn’s President from 2011 to 2019. Her tenure was defined by growing academic and research strength, investments in faculty and student support, ever-increasing rates of student success, a rising national reputation, dramatic increases in applications and student enrollment, increased diversity within the student body, philanthropic success, investments in campus facilities and the opening of important new facilities, major revenue growth at UConn Health, the establishment of a large and vibrant new campus in downtown Hartford, monumental investments in UConn by the State of Connecticut through NextGen Connecticut and Bioscience Connecticut, and the overall modernization of the university and many of its operations, to name only a few.

Hers was one of the most eventful and consequential presidencies in the University’s history.

Though she had numerous senior administrative appointments in her career and generated the accomplishments to match, President Herbst always remained, at her core, a professor. Even with the myriad responsibilities of being a president crowding her schedule day and night, she still found the time to teach undergraduate courses at UConn during multiple semesters during her tenure. She returned to being a full-time scholar and teacher after stepping down as president and continues to teach, mentor students, and produce new scholarship.

As both a faculty member and as president, President Herbst placed enormous value on the role of American colleges and universities in our free and democratic society and on the importance of academic life and achievement among both faculty and students – always defined by exploration, discovery, and innovation.

President Herbst relishes the intellectual give-and-take found in a classroom (or in any venue), spirited debate, posing challenging questions and leading discussions designed to provoke truly thoughtful interactions, and the process of pushing students to strive, grow, and achieve academically and personally.

Oak Hall opened in August 2012, and sits in the historic core of UConn's Storrs campus. The 132,197 GSF square foot building is comprised of 30 classrooms and 97 faculty offices and is home to many of UConn's social sciences and humanities departments. This vast and modern space greatly enhanced student and faculty life and UConn and generations of Huskies will walk through its halls and learn in its classrooms in the decades to come.

In recognition of President Herbst's distinguished service and proud record of accomplishment as President; her highly successful academic and institutional leadership; her actions and decisions made in support of our students, faculty, staff and all of UConn Nation; and her tireless work to ensure that UConn is home to exceptional facilities on beautiful campuses, we recommend that Oak Hall be renamed "Susan V. Herbst Hall."

The Institutional Advancement Committee approved this recommendation on June 12, 2023.

# **ATTACHMENT 36**



June 28, 2023

TO: Members of the Board of Trustees  
FROM: Dr. Radenka Maric *Radenka Maric*  
RE: Modification of the Carole and Ray Neag Innovation Professorship

RECOMMENDATION:

That the Board of Trustees, as approved by the donors, discontinue the Carole and Ray Neag Innovation Professorship in the School of Medicine, previously approved on June 30, 2021, and relocate all funds to the Carole and Ray Neag Innovative Research Awards.

BACKGROUND:

On May 10, 2021, Carole Neag pledged a gift to support an endowed professorship for a faculty member specializing in transformational research in the School of Medicine. The Board established the professorship in recognition of the Neags on June 30, 2021.

On September 15, 2022, Dr. Bruce Liang, Dean of the School of Medicine, requested to discontinue the Carole and Ray Neag Innovation Professorship and relocate the funds to the Carole and Ray Neag Innovative Research Awards to achieve a broader impact. On November 1, 2021, the donor approved the request.

The Institutional Advancement Committee approved the modification on June 12, 2023.

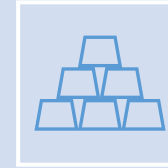
# **ATTACHMENT 37**



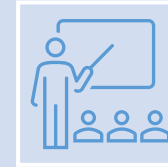
**UConn and UConn Health  
Budget Presentation to  
Board of Trustees – Financial Affairs**

# FY24 Budget Overview – UConn and UConn Health

- We continue to be grateful to the Governor, the Office of Policy and Management, and the General Assembly for continuous investment in UConn and UConn Health which has resulted in balanced operating budgets for FY24.
- Revenue changes are driven by growing enrollment, tuition and fee rate increases, and improved clinical revenues.
- Expense changes are driven by salary and wage increases, student financial aid support, new faculty hiring, and service and supply inflation.



Fringe Change &  
Consolidated Budget  
(3-4)



Storrs & Regionals  
(5-23)



UConn Health  
(24-42)

# Change in Fringe Benefits

The state budget incorporates a budget-neutral change in methodology related to employee fringe benefit costs. It results in the state funding all retirement costs for all employees and the university funding all non-retirement costs for all employees.

All Fringe (\$M)	Total	Current		New Methodology	
		State	UConn/UCH	State	UConn/UCH
Retirement	\$419.8	\$242.1	\$177.7	\$419.8	
Non-Retirement*	253.4	117.3	136.0		253.4
<b>Total</b>	<b>\$673.2</b>	<b>\$359.4</b>	<b>\$313.7</b>	<b>\$419.8</b>	<b>\$253.4</b>
			Change:	\$60.4	(\$60.4)

\*Includes medical and dental health insurance, FICA, unemployment and group life insurance

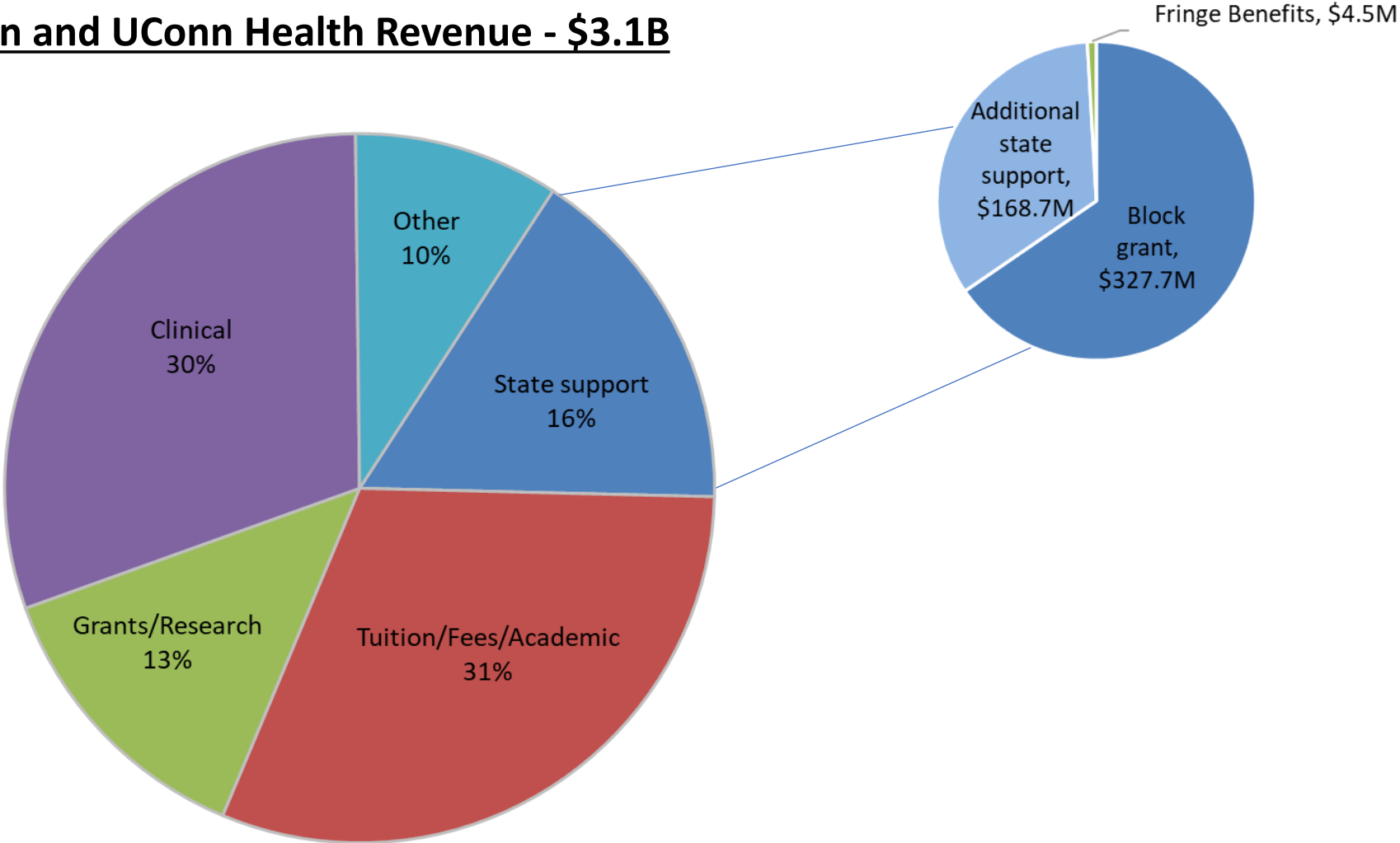
- To accomplish this budget-neutral change, the state block grant was reduced by \$60.4M (\$20.6M for UConn and \$39.8M for UConn Health).
- This change removes any future risk of increased costs of those unfunded liabilities, and we are hopeful the lower rates will make our faculty even more competitive when applying for externally funded research grants.



# FY24 Proposed Operating Budget Consolidated Total

## UConn and UConn Health Revenue - \$3.1B

State Support accounts for 16% of total revenues at UConn and UConn Health combined.





# Storrs & Regional Campuses



**STUDENTS FIRST, EXCELLENCE ALWAYS, HUSKIES FOREVER**

# FY23 Forecast

Through one-time salary savings and generous state support, UConn is forecasting a balanced budget for FY23.

- During FY23, the University recognized favorable housing and investment income revenues and significant vacancy savings.
- These gains offset additional spending in energy, equipment, travel, and other operating expenses.

UConn Storrs & Regional Campuses (\$M)	FY23 Forecast	FY23 Budget	Variance	Percent Variance
State Block Grant	\$ 229.9	\$ 229.9	\$ -	0.0%
Additional State Support	63.9	63.9	-	0.0%
State Fringe Benefits	197.0	191.3	5.7	3.0%
<b>Total State Support</b>	<b>490.8</b>	<b>485.1</b>	<b>5.7</b>	<b>1.2%</b>
Tuition	492.2	505.2	(13.1)	-2.6%
Course/Mandatory Fees	158.2	160.1	(1.9)	-1.2%
Grants & Contracts - Financial Aid	76.1	66.4	9.7	14.7%
Grants & Contracts - All Other	205.9	215.7	(9.7)	-4.5%
Auxiliary Revenue	226.4	201.9	24.5	12.1%
Other Revenues	80.4	73.4	7.0	9.6%
<b>Total Revenues</b>	<b>\$ 1,730.0</b>	<b>\$ 1,707.8</b>	<b>\$ 22.3</b>	<b>1.3%</b>
Salaries & Wages	\$ 671.9	\$ 678.1	\$ (6.2)	-0.9%
Fringe Benefits	376.1	382.2	(6.1)	-1.6%
Financial Aid - Tuition	164.9	164.9	-	0.0%
Financial Aid - Other	93.8	87.1	6.7	7.7%
Energy	28.2	21.4	6.8	31.7%
Equipment	33.8	26.2	7.6	28.9%
Capital Projects/Debt Payments	71.1	70.3	0.8	1.2%
Other Expenses	289.5	278.6	10.9	3.9%
<b>Total Expense</b>	<b>\$ 1,729.4</b>	<b>\$ 1,708.8</b>	<b>\$ 20.5</b>	<b>1.2%</b>
<b>Net Income/(Loss)</b>	<b>\$ 0.7</b>	<b>\$ -</b>	<b>\$ 1.7</b>	





# FY24 Proposed Spending Plan

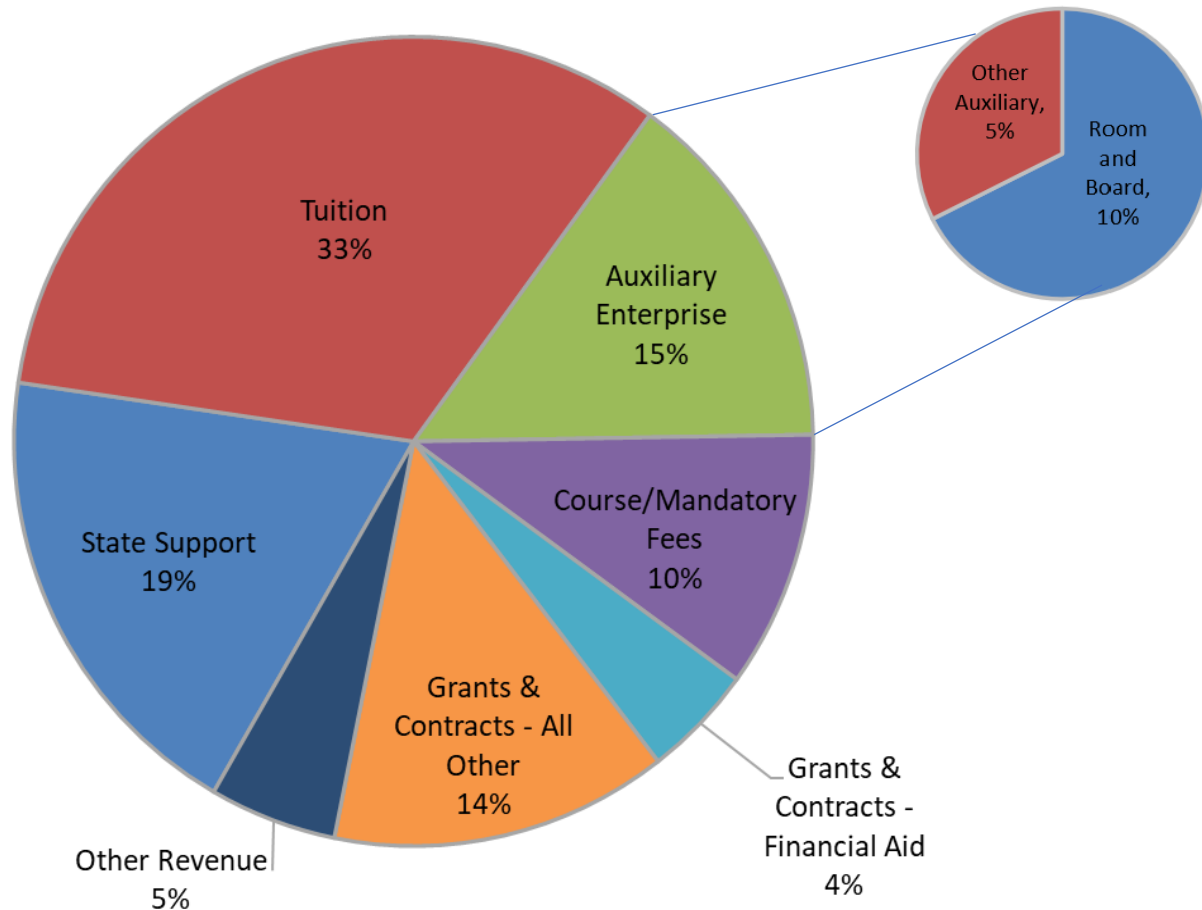
UConn Storrs & Regional Campuses (\$M)	FY24 Budget	FY23 Forecast	Variance Amount	Variance %
State Block Grant	216.2	229.9	(13.7)	-6.0%
Additional State Support	82.2	63.9	18.3	28.6%
Fringe Benefits	-	197.0	(197.0)	-100.0%
<b>State Support</b>	<b>\$ 298.4</b>	<b>\$ 490.8</b>	<b>\$ (192.4)</b>	<b>-39.2%</b>
Tuition	514.3	492.2	22.1	4.5%
Course/Mandatory Fees	161.4	158.2	3.2	2.0%
Grants & Contracts - Financial Aid	70.9	76.1	(5.2)	-6.9%
Grants & Contracts - All Other	214.6	205.9	8.7	4.2%
Auxiliary Enterprise	230.3	226.4	4.0	1.8%
Other Revenue (incl Foundation reimb.)	80.9	80.4	0.5	0.6%
<b>Total Revenues</b>	<b>\$ 1,570.7</b>	<b>\$ 1,730.0</b>	<b>\$ (159.3)</b>	<b>-9.2%</b>
Salaries & Wages	691.8	671.9	19.9	3.0%
Fringe Benefits	158.5	376.1	(217.7)	-57.9%
Financial Aid - Tuition	181.2	164.9	16.3	9.9%
Financial Aid - Other	101.9	93.8	8.1	8.7%
Energy	30.2	28.2	2.0	7.0%
Equipment	29.7	33.8	(4.1)	-12.0%
Purchased Services	178.0	166.9	11.1	6.6%
Other Expenses	126.9	122.5	4.3	3.5%
Debt/Capital	88.6	71.1	17.4	24.5%
<b>Total Expenditures</b>	<b>\$ 1,586.8</b>	<b>\$ 1,729.3</b>	<b>\$ (142.5)</b>	<b>-8.2%</b>
Use of fund balance	16.1	-	16.1	
<b>Net Gain/(Loss)</b>	<b>\$ 0.0</b>	<b>\$ 0.7</b>	<b>\$ (0.7)</b>	
Non-operating pension/retirement costs	<b>\$ 229.0</b>			

UConn will be utilizing prior year funds to balance the FY24 budget.

Note: The State is covering all pension/retirement fringe costs in FY24, so those costs have been removed from the budget on both the revenue and expense sides.

# FY24 Revenues

## Revenue Budget - \$1,570.7M



- Revenues will increase 4.0% over FY23, mainly driven by one-time state support and tuition revenue.
- 53% of total revenue is funded by students and their families through tuition and fees.
- The % of state support has dropped from prior years mainly due to fringe methodology change.
- Tuition rate increases and enrollment growth fueled revenues but is partially offset by financial aid needs.

# State Support

The University is grateful for the support provided by the State in FY24. The significant one-time funding in FY24 and FY25 provides UConn time to begin its strategic financial plan and reduce reliance on State funding.

State Support (\$M)			
State Support Salary	FY23	FY24	FY25
Operating Expenses	\$229.5	\$213.5	\$217.0
Institute for Municipal and Regional Policy	0.4	0.6	0.6
Veterinary Diagnostic Laboratory		0.3	0.3
UConn Veterans Program		0.3	0.3
Puerto Rican Studies Initiative		0.2	0.2
Health Services-Regional Campuses		1.4	1.4
<b>Total State Support – Salary</b>	<b>\$229.9</b>	<b>\$216.2</b>	<b>\$219.6</b>
Temporary Operating Support – ARPA	39.2	42.2	11.1
Temporary Operating Support - Carry Forward	24.7	40.0	20.0
<b>Total Additional State Support</b>	<b>\$63.9</b>	<b>\$82.2</b>	<b>\$31.1</b>
<b>Total Appropriation</b>	<b>\$293.8</b>	<b>\$298.4</b>	<b>\$250.7</b>
Fringe Benefits	197.0	*	*
<b>Total State Support</b>	<b>\$490.8</b>	<b>\$298.4</b>	<b>\$250.7</b>

← One-time funds

\*The State is covering all pension/retirement fringe costs in FY24, so those costs have been removed from the budget on both the revenue and expense sides.

# Tuition Revenue

Tuition revenue will grow from increases in rate, residency mix, and enrollment and will generate \$514.3M in FY24.

UConn is in year 4 of its 5-year tuition plan.

Years 3 – 5 of the current tuition plan rates					
2022-23		2023-2024		2024-2025	
Resident	Non-Resident	Resident	Non-Resident	Resident	Non-Resident
\$15,672	\$38,340	\$16,332	\$39,000	\$17,012	\$39,680

Tuition Revenue*		
FY23 Forecast	FY24 Budget	FY25 Projection
\$492.2M	\$514.3M	\$537.6M

\* Reflects undergraduate and graduate revenue

Undergraduate Enrollment				
Residency	FY23		FY24 Budget	
	Count	%	Count	%
In-State	18,049	75%	17,861	73%
Out-of-State Domestic	4,345	18%	4,956	20%
International	1,682	7%	1,735	7%
<b>Total</b>	<b>24,076</b>		<b>24,552</b>	

Increasing out-of-state and international enrollment fuels new revenue growth.



# Fee Revenue

Fee revenue growth is related to enrollment growth and modest rate increases.

Fee Revenue		
\$ M	FY23 Forecast	FY24 Budget
Course Fees	\$29.9	\$30.4
Intercession	28.3	28.8
Mandatory Fees	88.9	90.7
Other Fees	11.2	11.4
<b>Total</b>	<b>\$158.2</b>	<b>\$161.4</b>

## Course Fees \$30.4M

Includes programs in the Schools of Business, Education, Nursing, Social Work, Engineering, and Law.

Professional program fee revenues continue to be below pre-COVID levels.

## Intercession \$28.8M

Includes courses offered during the summer, winter and continuing education revenue.

## Mandatory Fees \$90.7

Includes GUF, IMF, Tech, and Student Health

The growth reflects increased rate and enrollment growth.

## Other Fees \$11.4M

This includes fees such as Application, VISA compliance, late, online course and enrollment fees.

# Auxiliary Enterprise Revenue

Auxiliary revenues will increase by nearly \$4 million in FY24, driven primarily by on-campus housing and dining rate increases and enrollment growth.

Auxiliary Revenue		
\$ M	FY23 Forecast	FY24 Budget
Housing	\$101.4	\$104.7
Dining	63.7	64.8
Dining Services/Retail	5.9	6.0
Athletics	29.2	28.2
Parking and Transit	9.4	9.6
Other	16.9	17.2
<b>Total</b>	<b>\$226.4</b>	<b>\$230.3</b>

## Student Housing \$104.7M

Housing rates will increase by 2.75% in FY24, with an anticipated occupancy rate of 100%.

## Athletics \$28.2M

Athletics includes Conference, Ticket Sales, and Sponsorship revenues. The slight decline is related to additional basketball revenues in FY23.

## Meal Plans/Dining Services and Retail \$70.8M

Dining rates will increase by 2.75% in FY24, driving revenue favorably and increasing meal plan purchases, reflecting increased student demand.

## Parking and Transit/Other \$26.8M

Includes health services fee for service, self-supporting auxiliary enterprises, student, employee and visitor parking.

# Foundation & Investment/Interest Income

Leveraging the Foundation and income from philanthropy will be an area of focus in the coming years for the University.

Foundation & Investment/Interest Income		
\$ M	FY23 Forecast	FY24 Budget
Foundation	\$25.4	\$24.9
Investment Income*	0.2	0.3
STIF Interest Income	8.2	8.7
<b>Total</b>	<b>\$33.9</b>	<b>\$33.9</b>

\*Endowment funds held by UConn

UConn has benefited from short term investment fund (STIF) rates of nearly 5%.

Nearly \$8.0M of Foundation income disbursed from fund balances, resulting in a risk for future income.

UConn will continue to work with the Foundation to maximize distributions.

# Other Revenues

Other revenues are expected to remain flat in FY24.

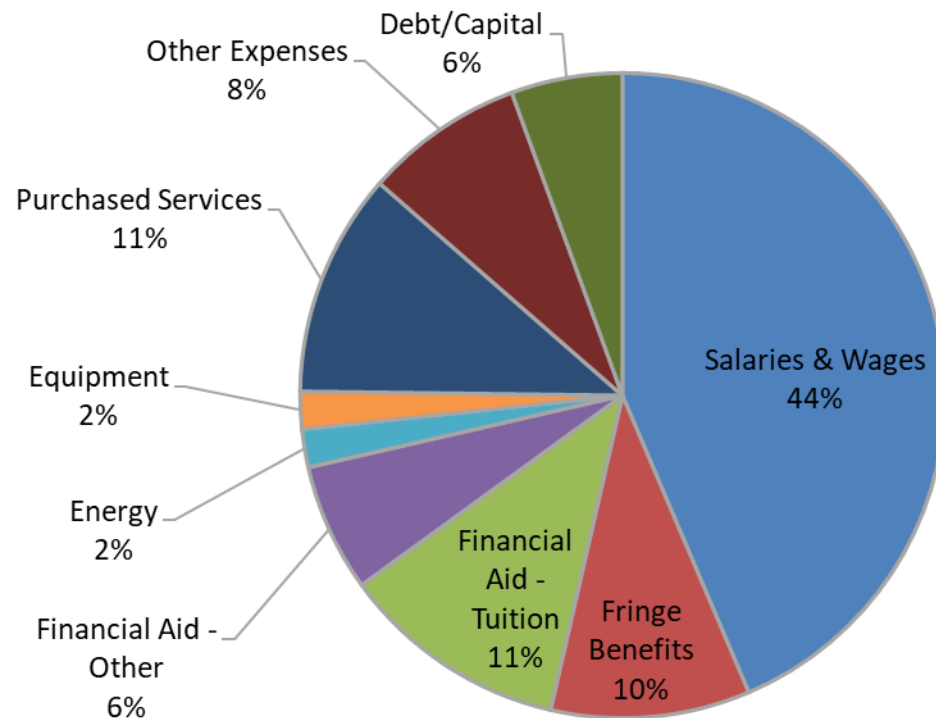
Other Revenues		
\$ M	FY23 Forecast	FY24 Budget
Building/Room Rental Income	\$1.2	\$1.2
Renewable Energy Credits	1.4	1.4
Royalties and License Income	1.3	1.3
One-UConn Revenues	12.6	15.0
Other Miscellaneous Revenue	5.6	3.2
<b>Total</b>	<b>\$22.1</b>	<b>\$22.1</b>

One-UConn's revenues make up most other revenues. One UConn has been effective in reducing redundancies and achieving cost savings at UConn and UConn Health.



# FY24 Expenses

## Expense Budget - \$1,586.8M

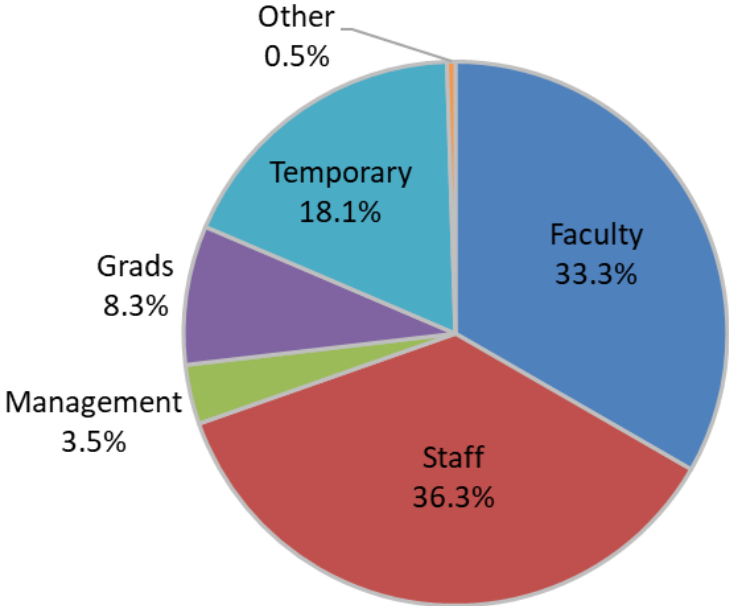


- Expenses will increase 5% over FY23 primarily driven by salary and wage increases and financial aid growth.
- 54% of total expense is allocated to personnel costs (salaries & wages + fringe benefits).
- Student financial aid commitment is significant with a 10% increase in tuition funded aid.

# Salaries & Wages

Growth in FY24 is driven by salary and wage increases at 4.5% and faculty hiring.

Salary Expense Budget - \$691.8M



**Temporary:** includes undergraduate students, adjuncts, overtime, and other professional temporary support  
**Other:** includes financial statement adjustments

Perm FTE	FY18	FY22	FY23	5 Year Growth
Faculty	1,581	1,664	1,637	3.5%
Staff + Mgmt	3,085	3,258	3,299	6.9%
<b>Total</b>	<b>4,666</b>	<b>4,922</b>	<b>4,936</b>	<b>5.8%</b>

Refills are reviewed and approved strategically with the recent wave of retirements.



# Energy/Equipment

The FY24 energy budget of \$30.2M assumes a 7% increase in price and increased consumption.

\$M	FY22 Actual	FY23 Forecast	FY24 Budget	2-yr increase
Energy	\$22.5	\$28.2	\$30.2	34%

The FY24 equipment budget of \$29.7M assumes slight inflation in cost along with a decrease due to a swap to bond funds, generously provided by the State.

Equipment (\$M)	FY24 Budget
Long term software	\$2.9
General equipment over \$5K	\$10.6
Research equipment	\$8.3
Computers/equipment under \$5K	\$7.3
Other (library, artwork, design)	\$0.6



# Purchased Services

The FY24 budget of \$178.0M assumes 2% inflationary growth plus adjustments for increased operating expenses for new buildings.

### Facilities - \$40.7M

Includes janitorial services, waste management, landscaping and other facilities contracts, etc.

### Dining - \$22.8M

Includes salary and fringe costs for contracted staff.

### Research - \$31.3M

Includes sub-agreements as well as consulting services and lab and facility costs.

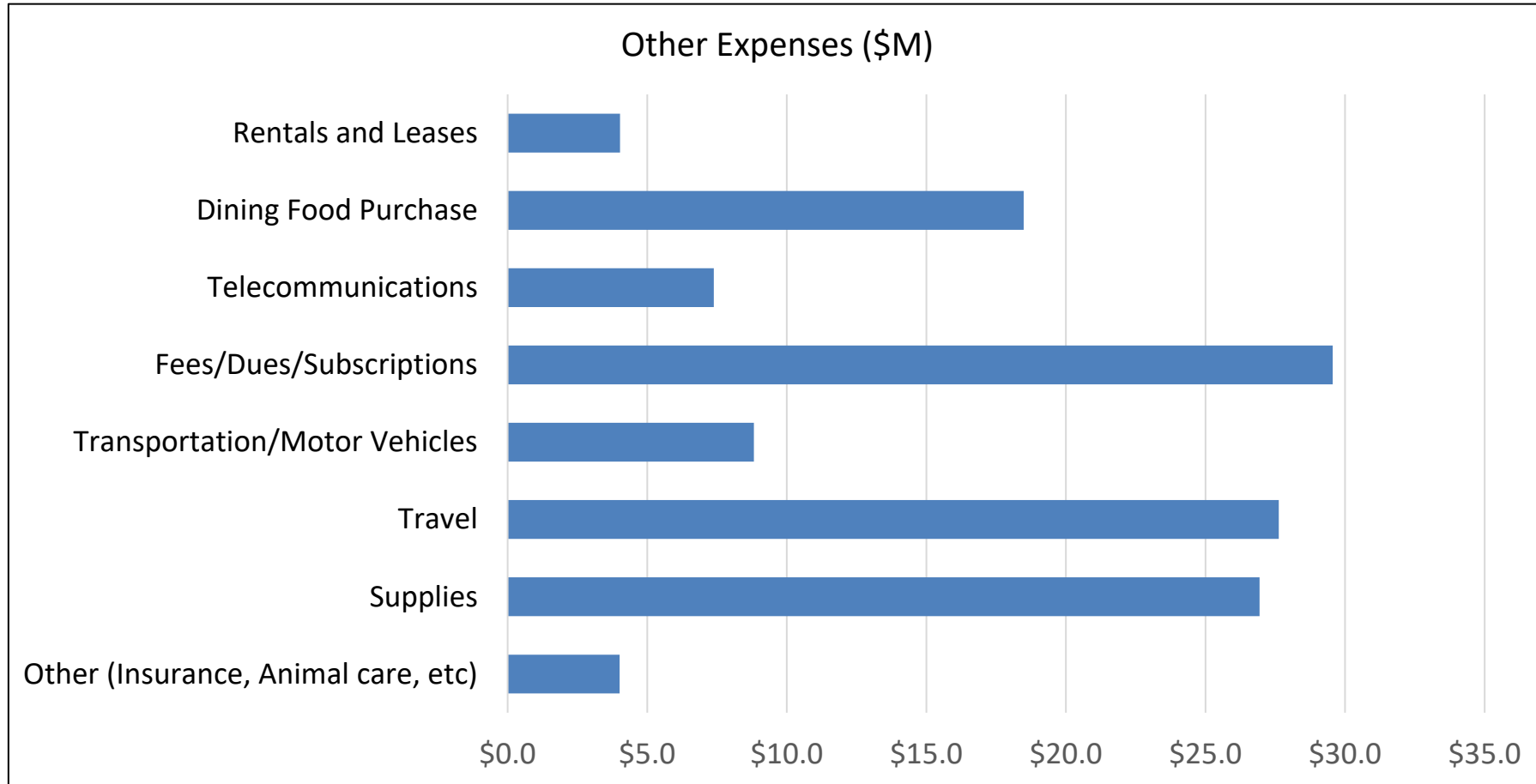
### Other - \$83.2M

Includes Education Abroad, ITS contracts, Foundation support, Athletics payments to CRDA, and game operations.



# Other Expenses

The remaining operating expenses of \$126.9M include various activities among the academic and administrative units.



# Financial Aid Expense

UConn is committed to providing student financial aid, reflecting a 10% increase in University Supported funds in the FY24 budget.

Financial Aid		
\$ M	FY23 Forecast	FY24 Budget
<b>Total Tuition Funded*</b>	<b>\$164.9</b>	<b>\$181.3</b>
Department revenue	11.0	10.8
State	14.3	10.5
Federal	51.3	60.3
Other- private/foundation**	17.2	20.2
<b>Total</b>	<b>\$258.7</b>	<b>\$283.1</b>

\*Tuition Funded includes undergraduate and graduate aid funded by tuition.

\*\* Other funding comes from private sources such as the Foundation and Endowments.

FY24 UConn funded set-aside for need-based aid as a % of tuition revenues is 16.5% (15% requirement). Merit aid is discretionary to the University.

In FY24, over \$16M in new tuition-funded financial aid will be made available to students.

# Debt / Capital

The Debt / Capital category (\$88.6M) includes debt service payments for revenue bonds and funds transferred to non-operating capital accounts for projects.

Item (\$M)	FY24 Budget	Notes
Debt Payments	\$26.4	Recreation center, stadia, utility, cogen, & housing debt payments
Repair & renovation projects	\$35.0	Facilities and departmental projects
South campus residence hall	\$15.0	Portion of new construction
Lease entries (Accounting adjust. GASB 87,etc)	\$12.2	Recent change to be classified as finance leases. Shift to capital funds.

# Future Year Operating Budget Strategy

In FY25, one-time state support is ~\$50M less than the FY24 amount. With the guidance of the new strategic plan, the university will develop a flexible roadmap to financial sustainability.

- Use existing balances, both held at the University and Foundation, as a bridge until new revenues and cost savings are realized.
- Leverage the Foundation, with a targeted endowment market value of \$1.0 billion.
- Identify strategic revenue streams from new sources.
- Reduce expenses through efficiency savings, including administrative consolidations and process improvements.



# Vote - FY24 Spending Plan Resolution (Operating)

## **RECOMMENDATION:**

- That the Board of Trustees approve the Spending Plan for Fiscal Year 2024 of \$1,586.8 million for the University of Connecticut, Storrs and Regional Campuses.

## **RESOLUTION:**

- “Be it resolved that the Board of Trustees approve the Fiscal Year 2024 Spending Plan of \$1,586.8 million for the University of Connecticut, Storrs and Regional Campuses.”

# FY24 Capital Budget

**69% of the capital budget will provide funding for active construction projects.**

UCONN 2000 Bond Funded Projects by Statutory Named Lines	Total	By Program		
		Academic/Research	Deferred Maint.	Other
<b>Academic and Research Facilities</b>	<b>\$19.7</b>			
Nursing Program Facility	19.7	19.7		
<b>Deferred Maintenance</b>	<b>52.2</b>			
Field House Renovation	27.0		27.0	
Infrastructure-South Campus	12.9		12.9	
Programmatic Renovations	10.8	10.8		
Contingency	1.5			1.5
<b>Equipment</b>	<b>12.9</b>			
Academic & Research	5.3	5.3		
Information Technology	5.5		5.5	
Other	2.2			2.2
<b>Total UCONN 2000 Bond Funded Projects</b>	<b>\$84.7</b>	<b>\$35.7</b>	<b>\$45.3</b>	<b>\$3.7</b>
<b>Other Funded Projects (University, Gifts, SO/GO Bonds)</b>				
Equipment (State GO Bonds)	10.0	5.0	5.0	
Facilities Repairs & Improvements (University)	11.5		11.5	
Faculty Innovators (State GO Bonds)	14.5	14.5		
Field House Renovations (Gifts)	30.0		30.0	
Nursing Program Facility (State GO Bonds)	30.0	30.0		
Programmatic Renovations (University)	10.0	10.0		
South Campus Residence Hall (SO Bonds)	100.0			100.0
South Campus Residence Hall (University)	15.0			15.0
XL Center Academic Space Renovation (State GO Bonds)	5.0	5.0		
Other/Contingency (University)	4.3			4.3
<b>Total Other Funded Projects</b>	<b>\$230.3</b>	<b>\$64.5</b>	<b>\$46.5</b>	<b>\$119.3</b>
<b>Grand Total FY24 Capital Budget</b>	<b>\$315.0</b>	<b>\$100.2</b>	<b>\$91.8</b>	<b>\$123.0</b>

# Vote - FY24 Capital Resolution

## RECOMMENDATION:

- That the Board of Trustees approve a capital budget of \$315,000,000, as detailed in Attachment A, for Fiscal Year 2024 which is comprised of \$84,700,000 of UCONN 2000 State GO bond funds, \$59,489,200 of State GO bond funds, \$100,000,000 of Special Obligation bond funds, \$40,810,800 of University funds, and \$30,000,000 of Gift funds. The Administration recommends that the Board of Trustees adopt the Resolution below.

## RESOLUTION:

- “Be it resolved that the Board of Trustees approve the Fiscal Year 2024 capital budget of \$315,000,000 for the University of Connecticut Storrs and Regional Campuses.”

# Vote - FY24 Indentures

- 1) Revised Allocation of Bond Authorizations as set forth in the Twenty-Ninth Supplemental Indenture (University of Connecticut General Obligation Bonds).
  - Decrease Academic and Research Facilities by \$32,000,000 for a total Fiscal Year 2022-2023 bond authorization for such project of \$0;
  - Decrease Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities by \$916,000 for a total Fiscal Year 2022-2023 bond authorization for such project of \$25,534,000; and
  - Increase Residential Life Facilities by \$32,916,000 for a total Fiscal Year 2022-2023 bond authorization for such project of \$87,566,000.
- 2) Thirtieth Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds in an amount not to exceed \$84,700,000 plus costs of issuance, plus amounts carried forward from the Twenty-ninth Supplemental Indenture.
- 3) Sixth Supplemental Indenture Authorizing \$100,000,000 of Special Obligation Student Fee Revenue Bonds and Amending the Special Obligation Indenture of Trust and the Fifth Supplemental Indenture.



**UConn Health**

# FY23 Year End Forecast

## UConn Health Center

### Revenue and Expense (\$M)

	Fiscal Year 2023 Forecast	Fiscal Year 2023 Budget	Variance	% Variance
State Support Salary	\$153.2	\$151.5	\$1.7	1.1%
State Support Fringe	174.2	157.0	17.1	9.8%
Additional State Support	110.4	110.4	0.0	0.0%
Tuition and Fees	32.8	32.2	0.6	2.0%
Grants & Contracts	110.7	111.3	-0.6	-0.5%
Interns/Residents	77.5	77.5	0.0	0.0%
Net Patient Revenue	830.4	781.8	48.6	5.9%
Other Revenue	206.8	186.9	19.8	9.6%
<b>Total Operating Revenue</b>	<b>\$1,695.9</b>	<b>\$1,608.5</b>	<b>\$87.4</b>	<b>5.2%</b>
Personal Services	\$545.8	\$529.5	\$16.3	3.0%
Fringe Benefits	358.1	351.3	6.8	1.9%
Temporary/Contractual Support	145.4	120.8	24.6	16.9%
Drugs/Medical Supplies	270.3	261.3	9.0	3.3%
Resident and Fellow house staff	63.2	63.2	0.0	-0.1%
Utilities	14.4	15.2	-0.9	-6.0%
Interest Expense on Debt Service	8.3	8.3	0.0	0.5%
Purchased Services	128.1	138.6	-10.4	-8.1%
Other Expenses	71.0	79.7	-8.7	-12.3%
Capital Projects/Lease and Debt Payments	68.7	40.0	28.7	41.8%
<b>Total Operating Expense</b>	<b>\$1,673.3</b>	<b>\$1,607.9</b>	<b>\$65.4</b>	<b>3.9%</b>
<b>Excess/(Deficiency)</b>	<b>\$22.6</b>	<b>\$0.6</b>	<b>\$22.0</b>	

Note: Use of decimals may result in rounding differences.

# FY24 Proposed Spending Plan

UConn Health is presenting a balanced budget for FY24

	Fiscal Year 2024	Fiscal Year 2023		
Revenue and Expense (\$M)	Proposed Budget	Forecast	Variance	% Variance
State Support Salary	\$111.6	\$153.2	-\$41.6	-27.2%
State Support Fringe	0.0	160.7	-160.7	-100.0%
Fringe Benefit Differential	4.5	13.5	-9.0	-66.7%
Additional State Support	86.5	110.4	-23.9	-21.6%
Tuition and Fees	33.4	32.8	0.6	1.8%
Grants & Contracts	122.5	110.7	11.8	10.7%
Interns/Residents	82.8	77.5	5.2	6.8%
Net Patient Revenue	895.0	830.4	64.6	7.8%
Other Revenue	183.0	206.8	-23.7	-11.5%
<b>Total Operating Revenue</b>	<b>\$1,519.2</b>	<b>\$1,695.9</b>	<b>-\$176.7</b>	<b>-10.4%</b>
Personal Services	\$590.5	\$545.8	\$44.7	8.2%
Fringe Benefits	171.9	358.1	-186.2	-52.0%
Temporary/Contractual Support	148.7	145.4	3.2	2.2%
Drugs/Medical Supplies	287.2	270.3	16.9	6.3%
Resident and Fellow house staff	69.0	63.2	5.8	9.2%
Utilities	14.7	14.4	0.4	2.4%
Interest Expense on Debt Service	8.0	8.3	-0.3	-3.6%
Purchased Services	141.8	128.1	13.7	10.7%
Other Expenses	82.4	71.0	11.5	16.2%
Capital Projects/Lease and Debt Payments	27.0	68.7	-41.7	-60.7%
<b>Total Operating Expense</b>	<b>\$1,541.2</b>	<b>\$1,673.3</b>	<b>-\$132.1</b>	<b>-7.9%</b>
<b>Prior Year Unrestricted Fund Balance</b>	<b>\$22.1</b>	<b>-\$22.1</b>		
<b>Excess/(Deficiency)</b>	<b>\$0.0</b>	<b>\$0.5</b>		
<b>Non Operating Expense</b>				
Retirement/Pension expense	210.3	0.0	210.3	100.0%

Note: Use of decimals may result in rounding differences.



# State Support

UConn Health is grateful for the generous support provided by the State in FY24. The significant one-time funding in FY24 and FY25, will require UConn Health to implement Financial Improvement Plans over the next biennial budget.

	FY2023	FY2024	FY2025
<b><u>State Support Salary</u></b>			
Operating Expenses	\$ 133.4	\$ 94.3	\$ 94.5
AHEC	0.4	0.4	0.4
Block Grant CBI	14.3	16.9	19.2
27th Payperiod	5.1	-	-
<b>Total State Support - Salary</b>	<b>\$ 153.2</b>	<b>\$ 111.6</b>	<b>\$ 114.1</b>
<b><u>Additional State Support</u></b>			
Temporary Operating Support - ARPA	\$ 72.7	\$ 51.5	\$ 25.7
Temporary Operating Support - Carry Forward	37.7	35.0	17.5
<b>Total Additional State Support</b>	<b>\$ 110.4</b>	<b>\$ 86.5</b>	<b>\$ 43.2</b>
<b>Total Appropriation</b>	<b>\$ 263.6</b>	<b>\$ 198.1</b>	<b>\$ 157.3</b>
JDH FB Differential	\$ 13.5	\$ 4.5	\$ 4.3
In-Kind Fringe*	160.7	-	-
<b>Total State Support Fringe</b>	<b>\$ 174.2</b>	<b>\$ 4.5</b>	<b>\$ 4.3</b>
<b>Total State Support</b>	<b>\$ 437.8</b>	<b>\$ 202.6</b>	<b>\$ 161.6</b>

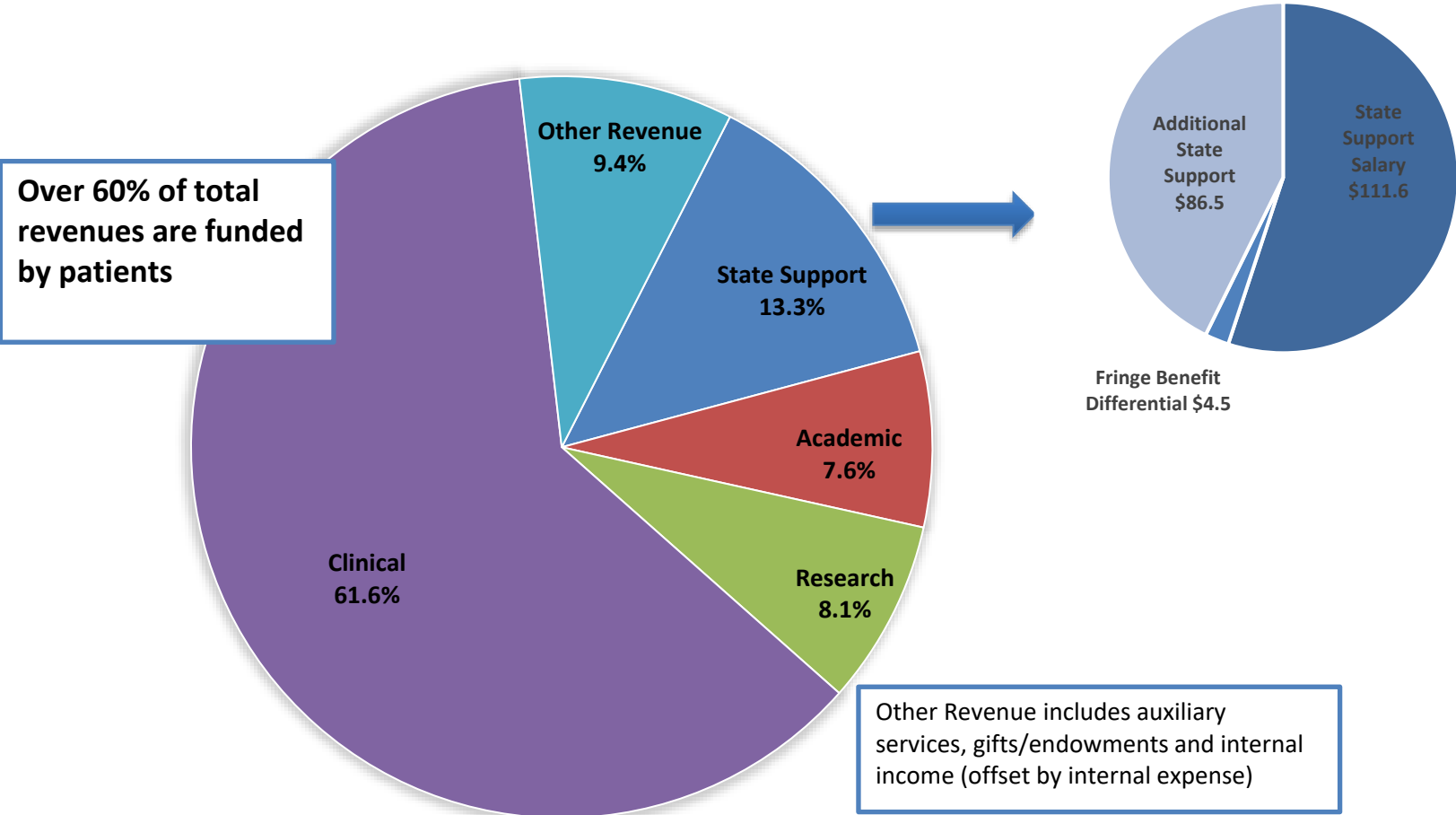
← One-time funds

\*New Fringe Methodology - Comptroller covers retirement fringe costs and UCH covers all other fringe costs  
Retirement/Pension estimated at \$210.3M



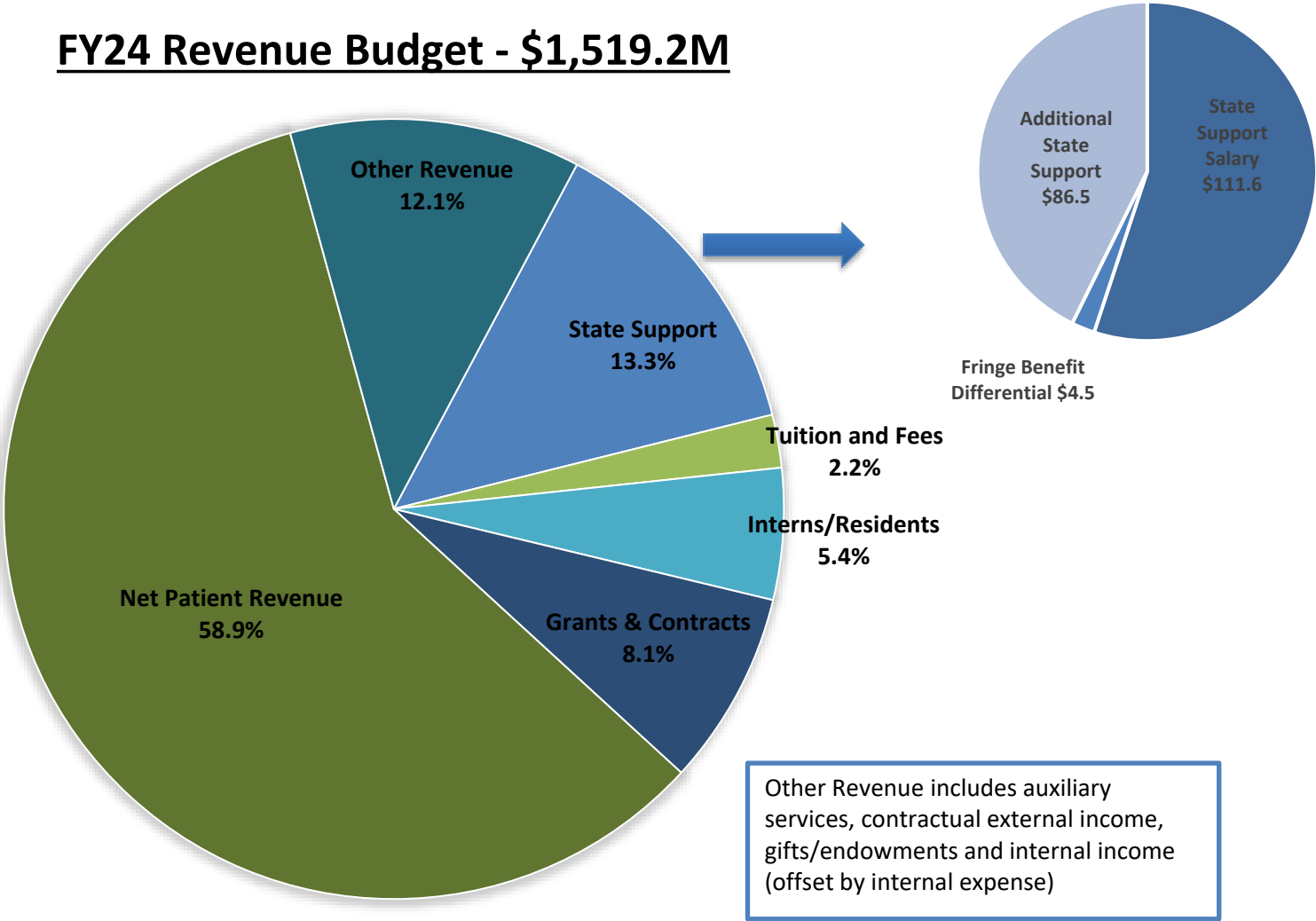
# FY24 Proposed Spending Plan

## FY24 Revenue by Source of Funds Budget - \$1,519.2M

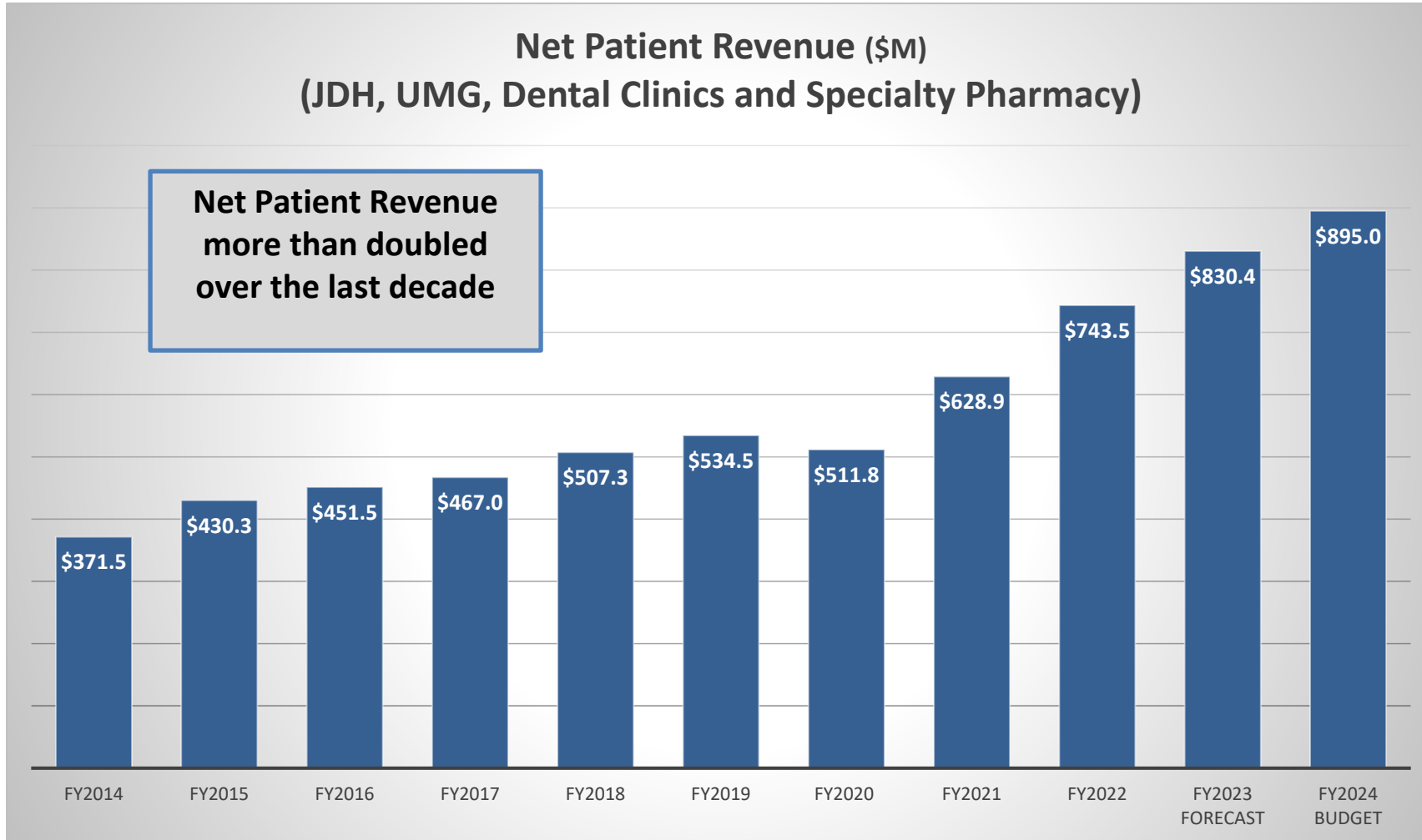


# FY24 Proposed Spending Plan

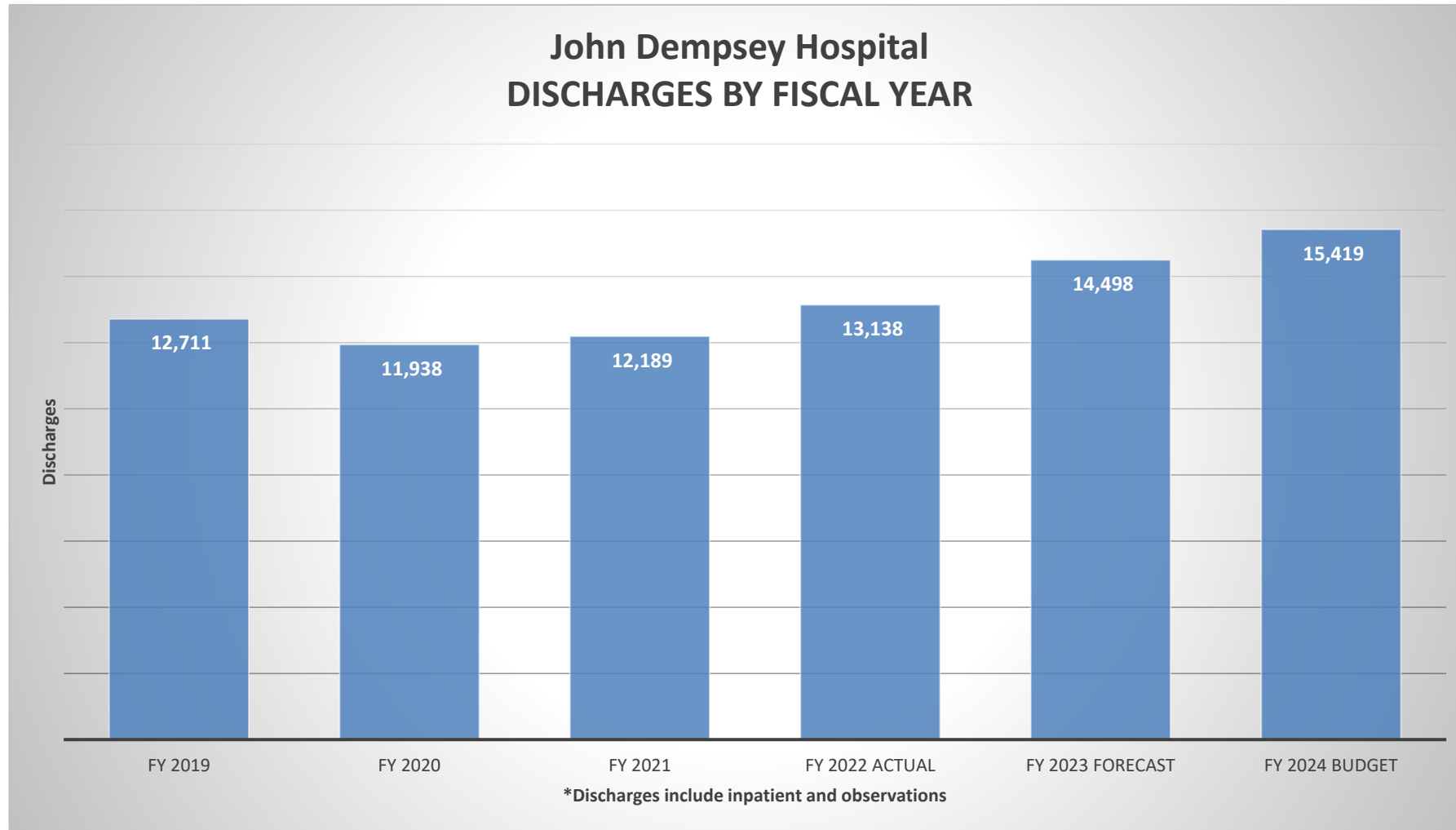
## FY24 Revenue Budget - \$1,519.2M



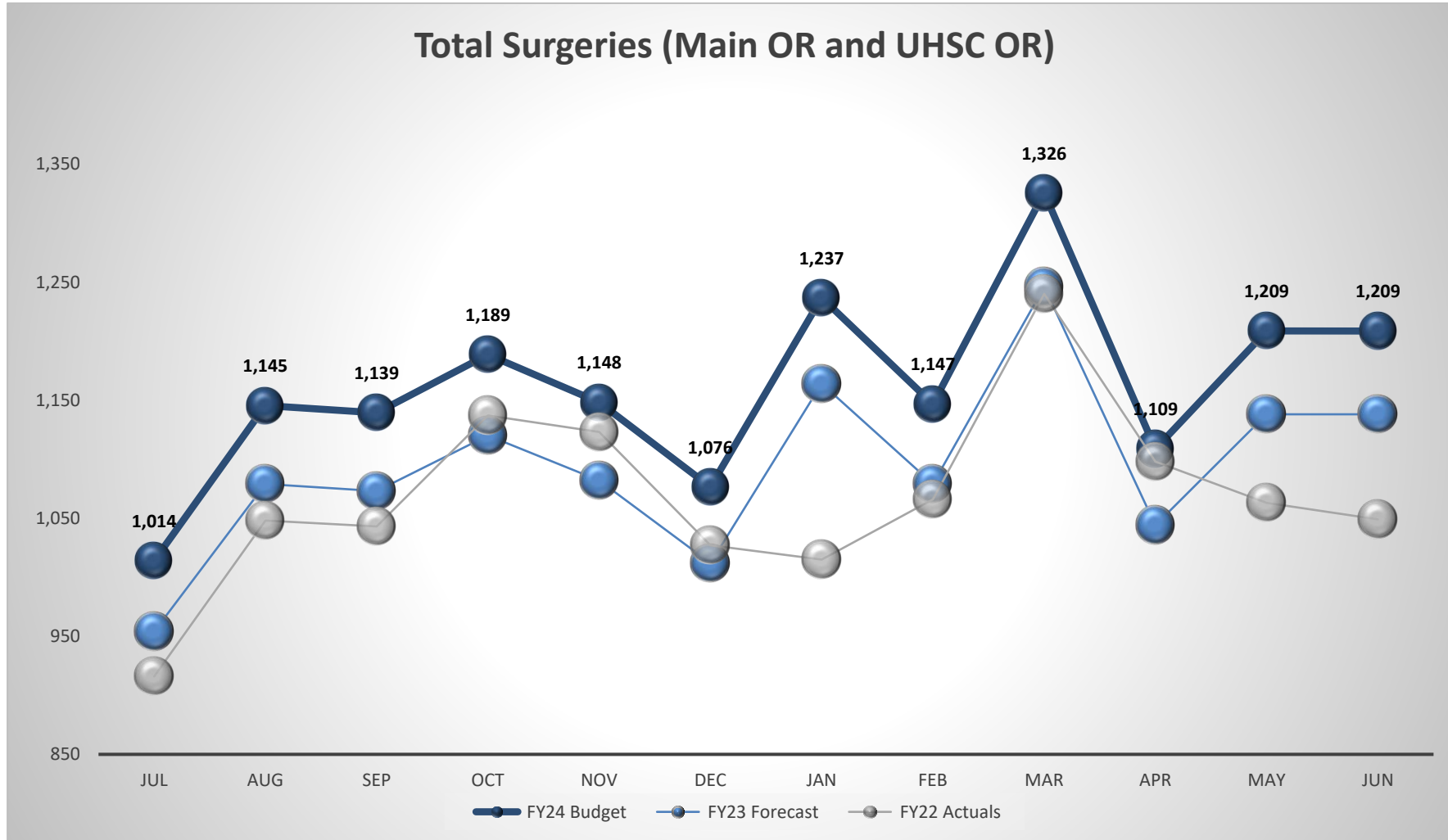
# Clinical



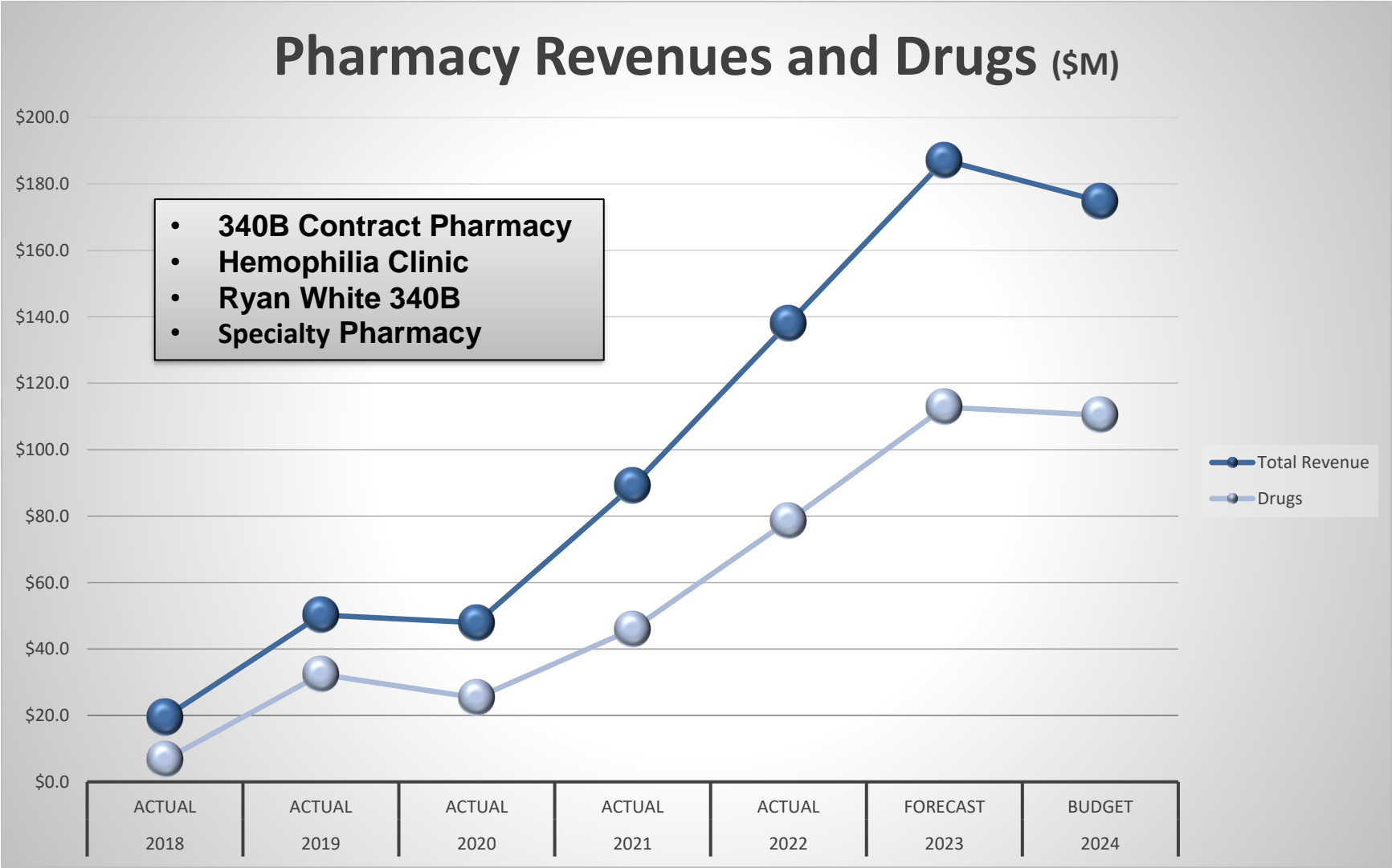
# Clinical



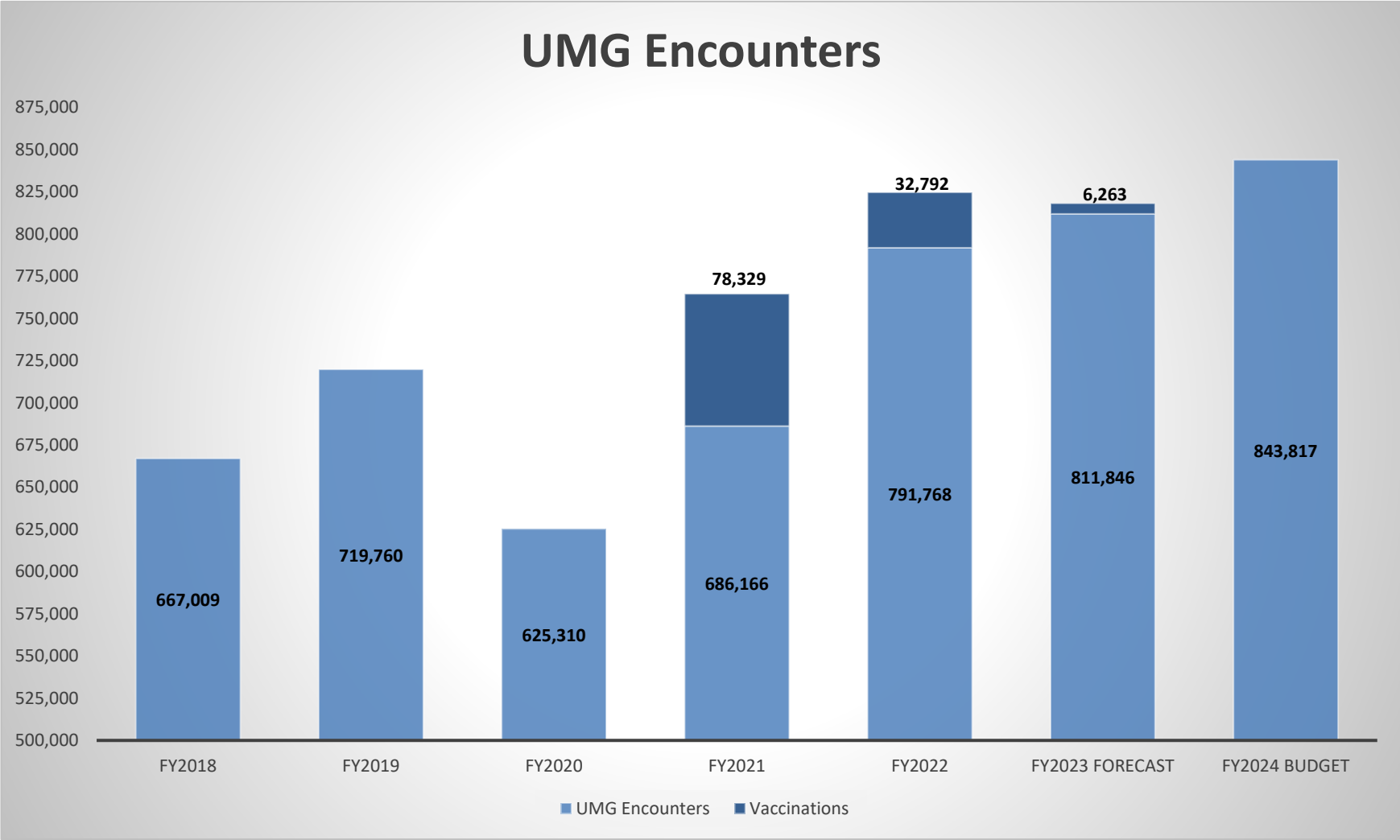
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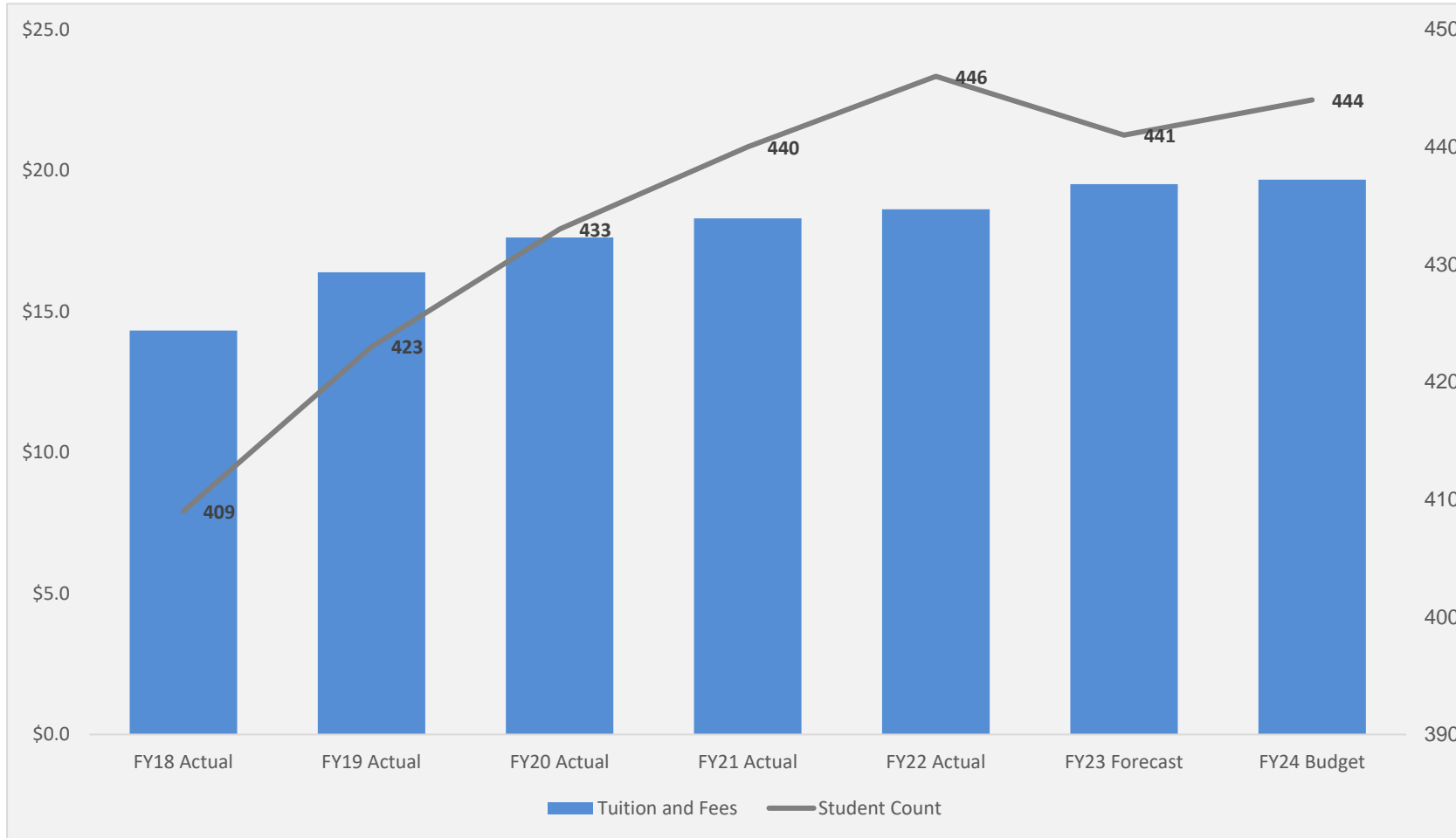
# Clinical



# Clinical

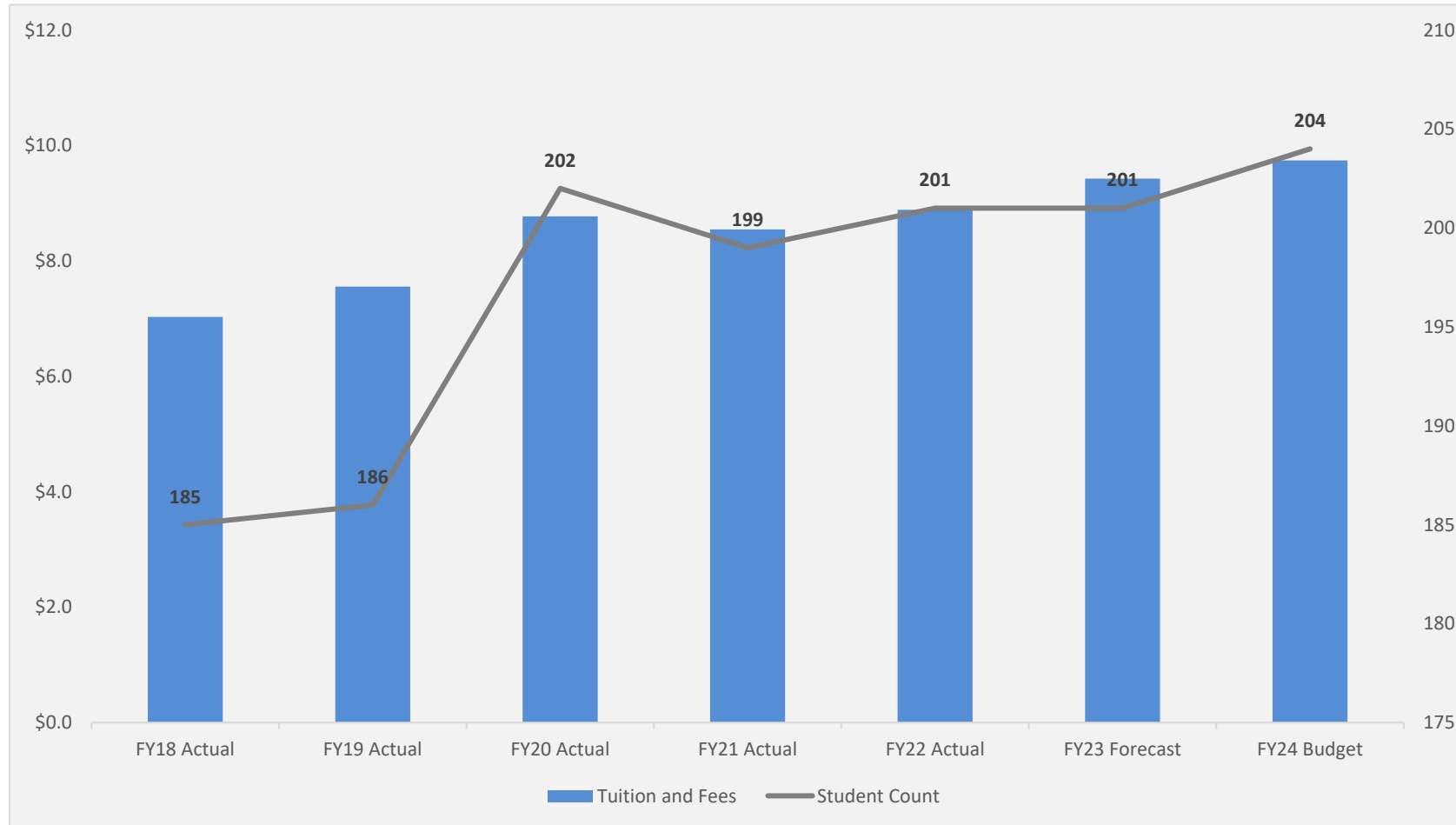


# Academic: School of Medicine

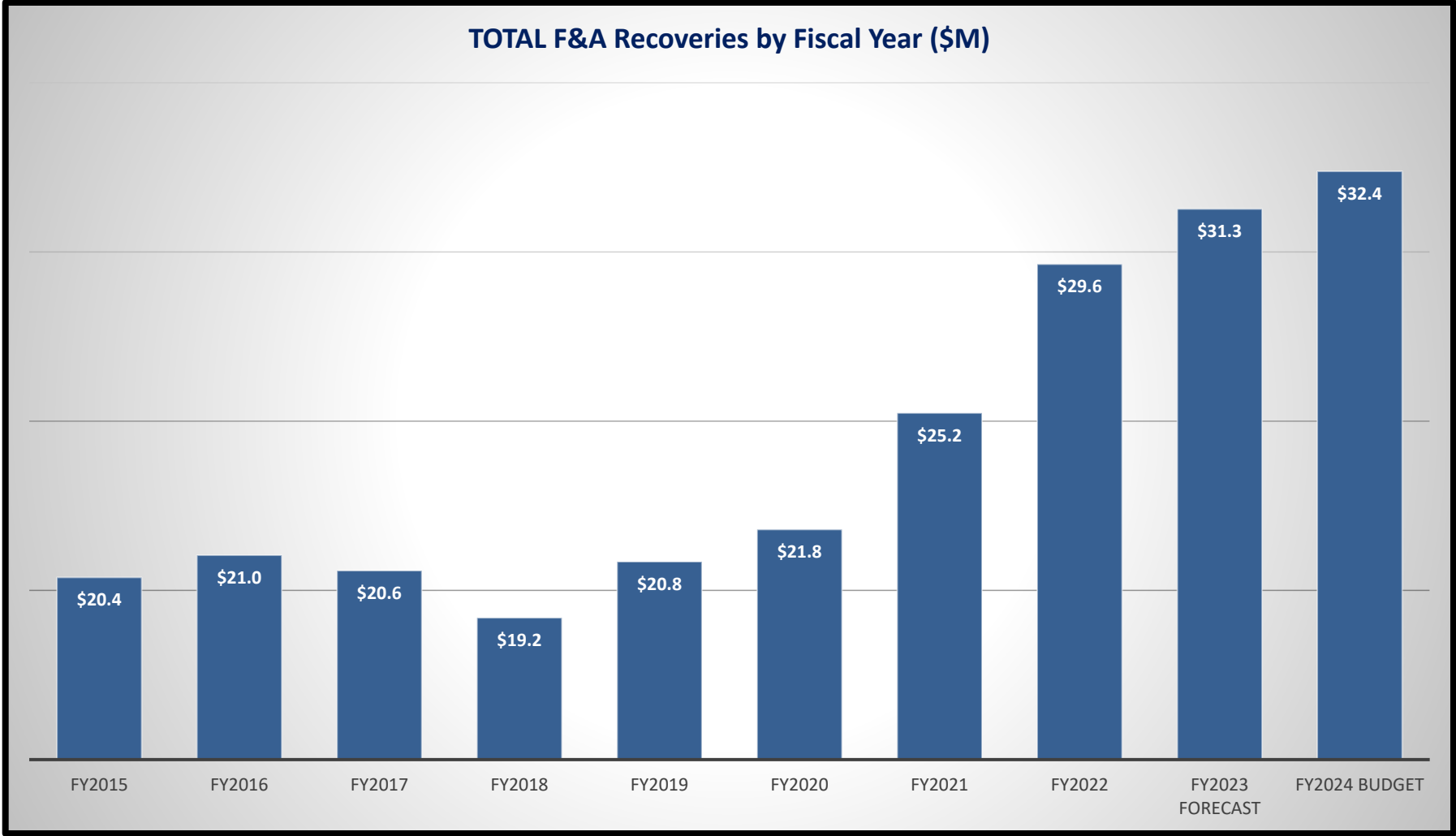




# Academic: School of Dental Medicine

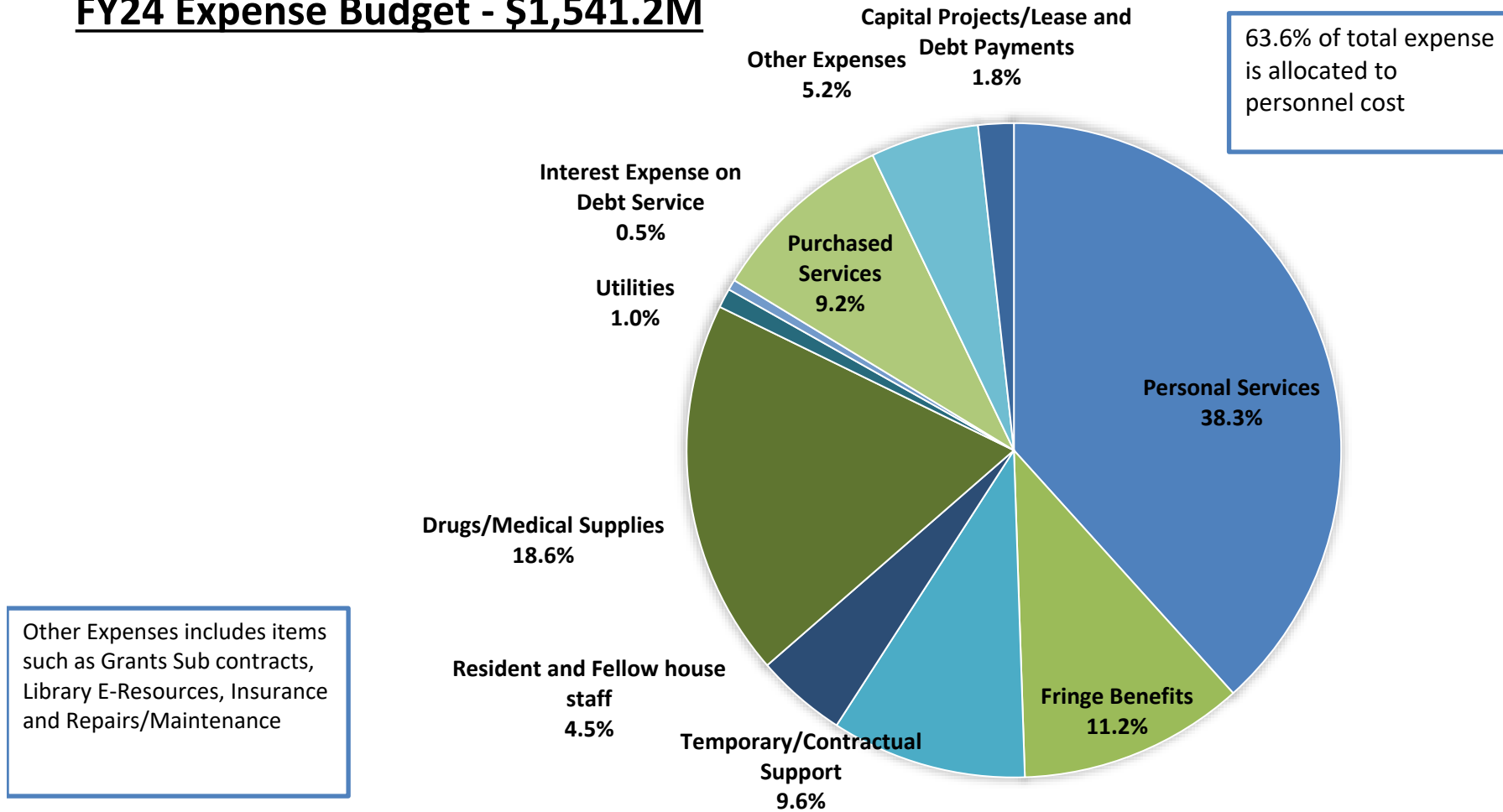


# Research



# FY24 Proposed Spending Plan

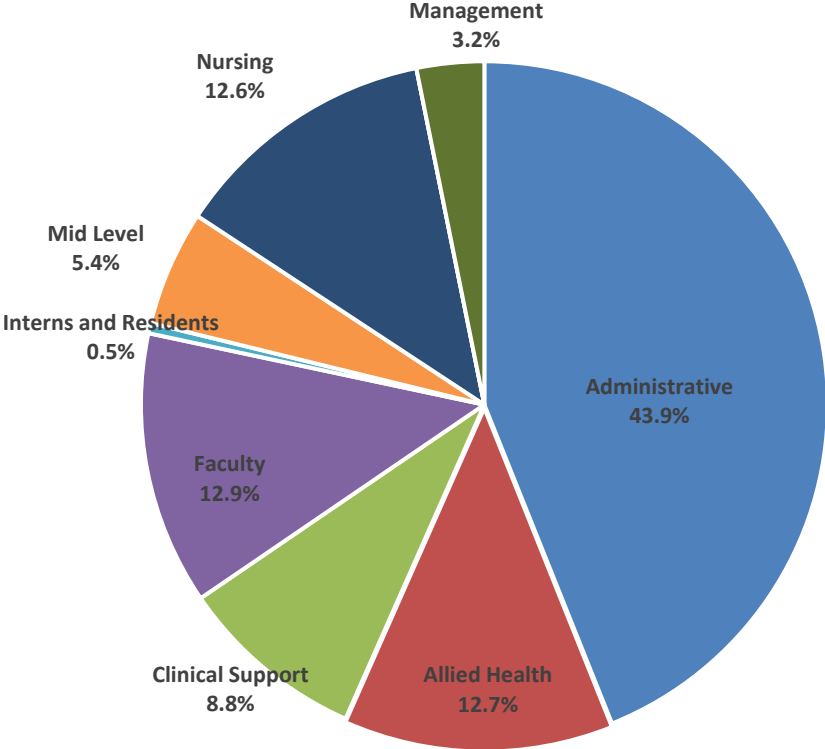
## FY24 Expense Budget - \$1,541.2M



# FY24 Proposed Spending Plan

## Salary Expense Budget - \$590.5M - 5,416 FTEs

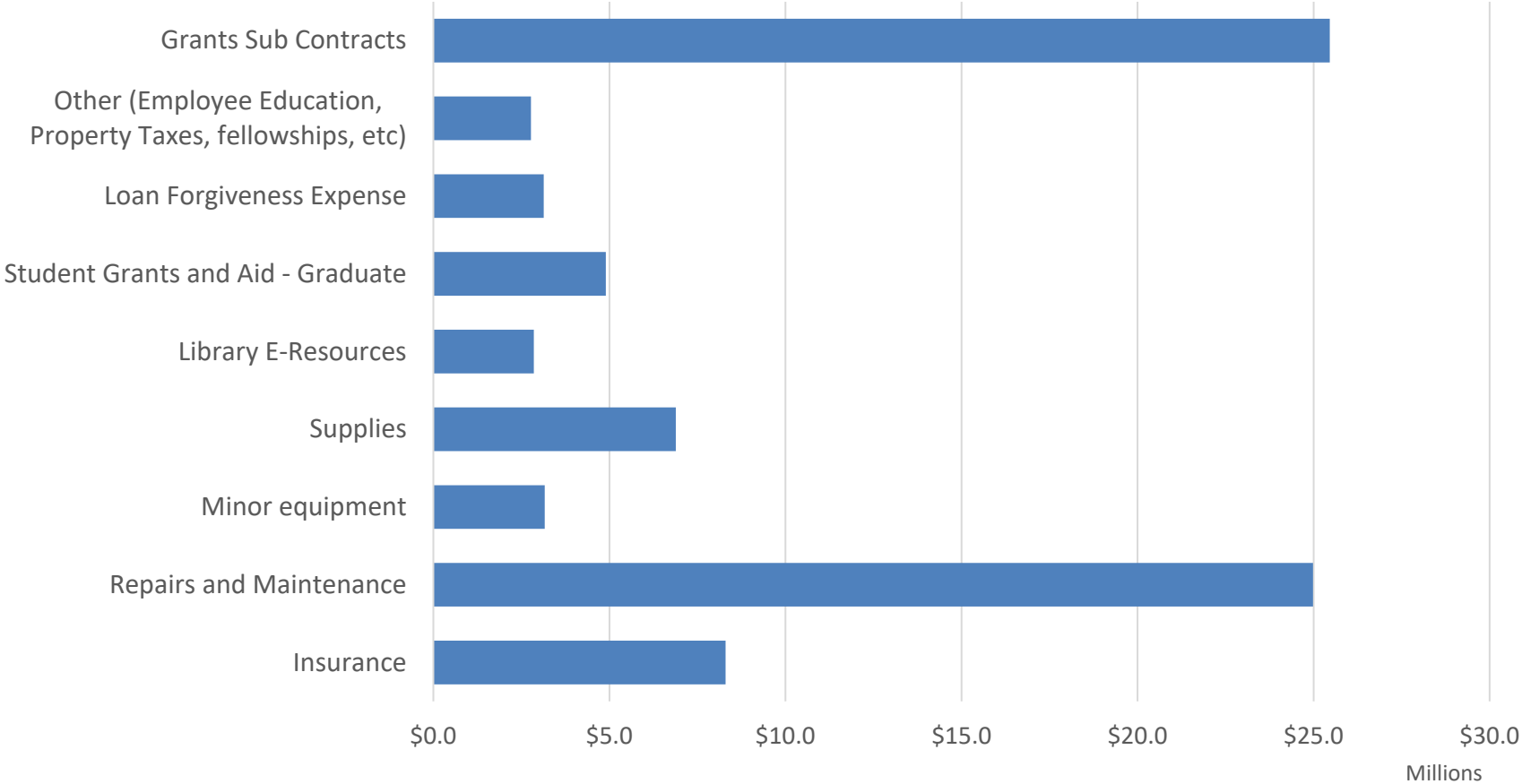
Growth in FY24 salaries is driven by Collective Bargaining increases of 4.5% and faculty/staff hiring due to clinical volume growth.



As part of the Financial Improvement Plan, vacant and new positions are reviewed and approved biweekly by the Position Control Review Committee

# FY24 Proposed Spending Plan

## Other Operating Expenses \$82.4M



# FY24 Spending Items to Monitor

## Staffing

Employee turnover is greater than historical trends; Overtime, Travel/Temporary Staff, Stipends

## Fringe Costs

New Fringe Benefit methodology - new process and the unknown of how to precisely determine the amount of retirement costs

## Other Expenses

Increased inflationary pressures and supply chain shortages, backorders and outages

## Patient Revenue

DSS Supplement/Enhanced payments Federal match

340B eligibility and the restriction of 340B pricing by manufacturers in the Contract Pharmacy realm of our 340B program

Proposed payment reductions and overall shift of services to outpatient

## FY25 forward

Our fiscal year 2025 forecast leaves UConn Health with a shortfall and will require financial improvement plans to generate new revenues and reduce expenses

# Vote - FY24 Spending Plan Resolution (Operating)

## **RECOMMENDATION:**

- That the Board of Trustees approve the Spending Plan for Fiscal Year 2024 of \$1,541.2 million for UConn Health.

## **RESOLUTION:**

- “Be it resolved that the Board of Trustees approve the Fiscal Year 2024 Spending Plan of \$1,541.2 million for UConn Health.”

# FY24 Capital Budget Spending Plan

<b>State GO Bonds</b>	
Deferred maintenance, code compliance and infrastructure improvements	30.0
System telecommunications infrastructure upgrades, improvements and expansions	3.0
Equipment, library collections and telecommunications	10.0
<b>Total State GO Bonds</b>	<b>\$43.0</b>
<b>UConn Health Operating Funds*</b>	
Campus Renovations	12.0
Clinical Equipment	12.0
Deferred Maintenance	3.1
Information Technology Security and equipment	13.0
Revenue Growth Investment	12.0
Research Capital Use Allowance	8.1
Other/Contingency	1.3
<b>Total UConn Health Operating Funds</b>	<b>\$61.5</b>
<b>Grand Total FY24 Capital Budget</b>	<b>\$104.5</b>

\*Categories/Projects may be redistributed based on UConn Health Capital Prioritization



# Vote - FY24 Capital Spending Plan Resolution

## **RECOMMENDATION:**

- That the Board of Trustees approve a capital budget of 104.5 million for Fiscal Year 2024 which is comprised of \$43.0 million of State GO bond funds and \$61.5 million of UConn Health Operating funds.


## **RESOLUTION:**

- “Be it resolved that the Board of Trustees approve a capital budget of \$104.5 million for Fiscal Year 2024 which is comprised of \$43.0 million of State GO bond funds and \$61.5 million of UConn Health Operating funds.”

# **ATTACHMENT 38**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Fiscal Year 2024 Spending Plan for the University of Connecticut, Storrs and Regional Campuses

**RECOMMENDATION:**

That the Board of Trustees approve the attached Spending Plan for Fiscal Year 2024 of \$1,586.8 million for the University of Connecticut, Storrs and Regional Campuses.

**RESOLUTION:**

“Be it resolved that the Board of Trustees approve the Fiscal Year 2024 Spending Plan of \$1,586.8 million for the University of Connecticut, Storrs and Regional Campuses.”

**BACKGROUND:**

The FY24 Spending Plan includes \$1,570.7 million of revenue, to cover \$1586.8 million in expenses and transfers and includes the use of \$16.1 million in unrestricted reserves to balance the budget.

The General Assembly has approved an FY24 state budget that includes a block grant of \$216.2 million and additional one-time support of \$82.2 million to cover CBI’s and non-retirement fringe costs for a total of \$298.4 million in state support. We are grateful to the Governor, the Office of Policy and Management and General Assembly for their continued support of UConn.

The state budget also included a budget-neutral fringe methodology change resulting in the state funding all retirement costs for all employees and the university funding all non-retirement costs for all employees. These state funded retirement costs have been removed from the budget on both the revenue and expense sides.

UConn will manage its budget closely and continues to focus on protecting academic excellence and providing strong student support. The proposed budget increases our tuition-funded financial aid budget by 10% and includes an overall student financial aid budget of \$283.1 million.

# University of Connecticut, Storrs & Regional Campuses

## Fiscal Year 2024 Spending Plan

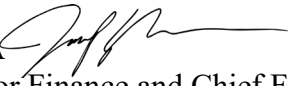
### Operating Budget

UConn Storrs & Regional Campuses	FY24 Budget
State Block Grant	216.2
Additional State Support	82.2
Fringe Benefits	0.0
<b>State Support</b>	<b>\$ 298.4</b>
Tuition	514.3
Course/Mandatory Fees	161.4
Grants & Contracts - Financial Aid	70.9
Grants & Contracts - All Other	214.6
Auxiliary Enterprise	230.3
Other Revenue (incl Foundation reimb.)	80.9
<b>Total Revenues</b>	<b>\$ 1,570.7</b>
Salaries & Wages	691.8
Fringe Benefits	158.5
Financial Aid - Tuition	181.2
Financial Aid - Other	101.9
Energy	30.2
Equipment	29.7
Purchased Services	178.0
Other Expenses	126.9
Debt/Capital	88.6
<b>Total Expenditures</b>	<b>\$ 1,586.8</b>
Use of fund balance	16.1
<b>Net Gain/(Loss)</b>	<b>\$ 0.0</b>
Non-operating pension/retirement costs	<b>\$ 229.3</b>

# **ATTACHMENT 39**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Fiscal Year 2024 Capital Budget for the University of Connecticut, Storrs and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a capital budget of \$315,000,000, as detailed in Attachment A, for Fiscal Year 2024 which is comprised of \$84,700,000 of UCONN 2000 State GO bond funds, \$59,489,200 of State GO bond funds, \$100,000,000 of Special Obligation bond funds, \$40,810,800 of University funds, and \$30,000,000 of Gift funds. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the Fiscal Year 2024 capital budget of \$315,000,000 for the University of Connecticut Storrs and Regional Campuses.”

BACKGROUND:

To manage all capital fund sources in a strategic and transparent manner, UConn is proposing an all-funds capital budget for approval.

The proposed capital budget for FY24 of \$315,000,000 includes \$84,700,000 of UCONN 2000 State GO bond funds, \$59,489,200 of State GO bond funds, \$100,000,000 of Special Obligation bond funds, \$40,810,800 of University funds, and \$30,000,000 of Gift funds. The two attached documents reflect the capital budget spending plan detail:

- Attachment A - the proposed FY24 capital budget spending plan
- Attachment B - the UCONN 2000 Phase III State Bond Phasing Plan by Statutory Named Line

The FY24 capital budget does not provide approval for specific projects, per our capital policies and procedures; all capital projects, no matter the fund source, costing \$500,000 or more are submitted for Board action on a project-by-project basis. Projects costing less than \$500,000 are approved by the internal capital governance committee.

**Program & Planning Adjustments:**

The University has benefitted tremendously from the UCONN 2000 Infrastructure Improvement Program established by the General Assembly in 1995. We are now in the third phase of this 32-year program, which is designed to modernize, rehabilitate, and dramatically expand the physical plant of the University. This phase, which extends through FY27, includes the NextGenCT and the Bioscience Connecticut initiatives. The Bioscience initiative at UConn Health, completed in 2018 and the NextGenCT program at Storrs and the Regional Campuses is moving along aggressively. We are about to begin year 10 of the 13-year NextGenCT program.

Numerous projects are currently in construction with \$84,700,000 of required funding to be allotted in FY24 through FY27. In FY24, NextGenCT bond funds will be set-aside for a new nursing program facility, renovations to the field house, infrastructure improvements, academic programmatic renovations, and equipment purchases.

We are appreciative of the State’s support of the NextGenCT capital initiative and the recognition that planned levels of capital funding remain intact to support these projects to avoid additional costs associated with delaying or shutting down projects in construction. Also, while it is critical to have a long-term capital plan with a stable funding source, it is important to recognize that the plan is a live document which is continuously under review by senior management. This approach allows the University the flexibility to be responsive to changing project needs as well as external market factors/drivers.

The table below reflects the UCONN 2000 State GO bond authorizations (in millions):

<b>UCONN 2000 Bonding Schedule (\$M)</b>		<b>Statute</b>
Phase I	FY96-FY99	\$382.0
Phase II	FY00-FY05	580.0
Phase III	FY05-FY23	3,182.2
	FY24	84.7
	FY25	44.0
	FY26	14.0
	FY27	9.0
	<b>Total</b>	

**Indenture Amendments:**

The law specifically gives the Board of Trustees the authority to revise project budgets and related indentures. It would be impossible to manage a thirty-two-year capital program without the authority to make such adjustments. These revisions are complex because 1) there are many projects, 2) UConn must operate within statutory annual bond caps, 3) tax-related expenditure requirements must be observed, and 4) the adjustments to numerous lines involve projects that span a number of years. While revisions may affect current projects, given the annual bond caps, they also have a rollout effect over the next decade. The Board of Trustees has the authority to amend past indentures to reflect changes as project budgets are finalized or other events affect

the capital budget for a given prior fiscal year. Currently, the University is proposing revisions to the 29<sup>th</sup> Supplemental Indenture.

### **State General Obligation Bonds**

From time to time, in addition to the UCONN 2000 program, the State will authorize General Obligation bonds for special initiatives. In 2021, the Innovation Faculty Hires and Entrepreneurial Ecosystem Initiative was approved. This program spans FY22 through FY26 and includes \$46.1 million of State bonding (\$14,489,200 for FY24). These State funds along with a University investment will support the hiring of faculty innovators, lab/equipment start-up costs, and entrepreneurial support such as angel and venture funds.

Additionally, for FY24 the Governor and General Assembly authorized the following:

- Design, land acquisition and construction of a nursing program facility, not exceeding \$30,000,000;
- Acquisition or leasing of property at the XL Center, and planning, design and construction related to use of such property as academic space for the University of Connecticut Hartford campus, not exceeding \$5,000,000; and
- Equipment, library collections and telecommunications, not exceeding \$10,000,000.

The total FY24 State General Obligation bond amount is \$59,489,200. This authorization is pending allotment by the State Bond Commission.

### **Special Obligation Bonds:**

As needed, UConn may issue Special Obligation bonds secured by certain pledged revenues to support select projects. Unlike the State General Obligation bonds, the debt payments for these bonds are the responsibility of the University. In FY24, \$100,000,000 of Special Obligation bonds will be issued and utilized to fund construction of the new South Campus Residence Hall.

### **University/Gift Funds:**

UConn utilizes other fund sources for high priority capital and maintenance initiatives. These requests are reviewed and approved in the same way as bond funded projects. In addition, the University may choose to utilize cash balances to temporarily fund capital projects in advance of the issuance of new UConn Special Obligation bonds or to bridge cash flow for Gift funded projects. The FY24 capital budget includes \$40,810,800 of University funds and \$30,000,000 of Gift Funds for facilities repairs & improvements, academic programmatic renovations, south campus residence hall construction, and field house renovations.



**University of Connecticut**  
**FY24 Capital Budget Spending Plan**  
**Proposed Projects by Statutory Named Lines & by Program\***

UCONN 2000 Bond Funded Projects by Statutory Named Lines	Total	By Program		
		Academic/Research	Deferred Maint.	Other
<b>Academic and Research Facilities</b>	<b>\$19.7</b>			
Nursing Program Facility	19.7	19.7		
<b>Deferred Maintenance</b>	<b>52.2</b>			
Field House Renovation	27.0		27.0	
Infrastructure-South Campus	12.9		12.9	
Programmatic Renovations	10.8	10.8		
Contingency	1.5			1.5
<b>Equipment</b>	<b>12.9</b>			
Academic & Research	5.3	5.3		
Information Technology	5.5		5.5	
Other	2.2			2.2
<b>Total UCONN 2000 Bond Funded Projects</b>	<b>\$84.7</b>	<b>\$35.7</b>	<b>\$45.3</b>	<b>\$3.7</b>
<b>Other Funded Projects (University, Gifts, SO/GO Bonds)</b>				
Equipment (State GO Bonds)	10.0	5.0	5.0	
Facilities Repairs & Improvements (University)	11.5		11.5	
Faculty Innovators (State GO Bonds)	14.5	14.5		
Field House Renovations (Gifts)	30.0		30.0	
Nursing Program Facility (State GO Bonds)	30.0	30.0		
Programmatic Renovations (University)	10.0	10.0		
South Campus Residence Hall (SO Bonds)	100.0			100.0
South Campus Residence Hall (University)	15.0			15.0
XL Center Academic Space Renovation (State GO Bonds)	5.0	5.0		
Other/Contingency (University)	4.3			4.3
<b>Total Other Funded Projects</b>	<b>\$230.3</b>	<b>\$64.5</b>	<b>\$46.5</b>	<b>\$119.3</b>
<b>Grand Total FY24 Capital Budget</b>	<b>\$315.0</b>	<b>\$100.2</b>	<b>\$91.8</b>	<b>\$123.0</b>


\*Projects less than \$500,000 are approved by UConn administrative committee. Projects costing \$500,000 or more are

<b>UCONN 2000 Bonds</b>				
<b>State Bond Phasing Plan by Statutory Named Line for Informational Purposes Only - Revised 6/28/23</b>				
<b>Project</b>	<b>FY05-FY23</b>	<b>FY24</b>	<b>FY25-FY27</b>	<b>Total Phase III</b>
Academic and Research Facilities	\$401,007,429	\$19,697,009		\$420,704,438
Arjona and Monteith (new classroom buildings)	128,219,871			128,219,871
Avery Point Campus Undergraduate & Library Building	10,461,246			10,461,246
Avery Point Renovation	8,327,448			8,327,448
Beach Hall Renovations	5,146,688			5,146,688
Benton State Art Museum Addition	2,903,509			2,903,509
Biobehavioral Complex Replacement	3,495,807			3,495,807
Bishop Renovation	2,480,141			2,480,141
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities	841,840,272	52,152,991	42,450,000	936,443,263
Engineering Building	92,579,390			92,579,390
Equipment, Library Collections & Telecommunications	197,091,656	12,850,000	24,550,000	234,491,656
Family Studies (DRM) Renovation	2,868,306			2,868,306
Farm Buildings Repairs/Replacement	6,408,304			6,408,304
Fine Arts Phase II	38,792,721			38,792,721
Floriculture Greenhouse	6,691,799			6,691,799
Gant Building Renovations	12,455,770			12,455,770
Gentry Renovation & Completion	9,628,209			9,628,209
Hartford Relocation Acquisition/Renovation	139,027,625			139,027,625
Heating Plant Upgrade	11,877,724			11,877,724
Intramural, Recreational & Intercollegiate Facilities	31,009,921			31,009,921
Jorgensen Renovation	3,899,129			3,899,129
Koons Hall Renovation/Addition	1,461,146			1,461,146
Lakeside Renovation	3,800,000			3,800,000
Law School Renovations/Improvements	16,660,677			16,660,677
Manchester Hall Renovation	772,577			772,577
Mansfield Training School Improvements	3,000,000			3,000,000
Natural History Museum Completion	500,000			500,000
North Hillside Road Completion	6,700,000			6,700,000
Old Central Warehouse Renovation	126,000			126,000
Parking Garage #3	75,214			75,214
Psychology Building Renovation/Addition	24,337,399			24,337,399
Residential Life Facilities	248,538,476			248,538,476
School of Pharmacy/Biology	6,000,000			6,000,000
Stamford Campus Improvements/Housing	1,500,870			1,500,870
Storrs Hall Addition	14,664,091			14,664,091
Student Union Addition	13,000,000			13,000,000
Support Facility (Architectural & Engineering Services)	16,583			16,583
Torrey Life Science Renovation & Completion	1,530,373			1,530,373
Torrington Campus Improvements	369,156			369,156
Waterbury Downtown Campus	1,608,764			1,608,764
West Hartford Campus Renovations/Improvements	6,774,305			6,774,305
Young Building Renovation/Addition	23,651,403			23,651,403
<b>SUBTOTAL FOR STORRS &amp; REGIONAL CAMPUS</b>	<b>\$2,331,300,000</b>	<b>\$84,700,000</b>	<b>\$67,000,000</b>	<b>\$2,483,000,000</b>
CLAC Renovation Biosafety Level 3 Lab	15,901,466			15,901,466
Deferred Maintenance-UCH	76,959,697			76,959,697
Dental School Renovation	3,525,000			3,525,000
Equipment, Library Collections & Telecom-UCH	116,429,390			116,429,390
Library/Student Computer Center Renovation	1,266,460			1,266,460
Main Building Renovation	117,484,316			117,484,316
Medical School Academic Building Renovation	39,578,232			39,578,232
Planning & Design Costs	25,000,000			25,000,000
Research Tower	67,992,229			67,992,229
Support Building Addition/Renovation	100,000			100,000
UCH New Construction and Renovation	386,663,210			386,663,210
<b>SUBTOTAL FOR HEALTH CENTER</b>	<b>\$850,900,000</b>	<b>-</b>	<b>-</b>	<b>\$850,900,000</b>
<b>GRAND TOTAL</b>	<b>\$3,182,200,000</b>	<b>\$84,700,000</b>	<b>\$67,000,000</b>	<b>\$3,333,900,000</b>

# **ATTACHMENT 40**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Revised Allocation of Bond Authorizations as set forth in the Twenty-Ninth Supplemental Indenture (University of Connecticut General Obligation Bonds)

RECOMMENDATION:

That the Board of Trustees approves the reallocation of bond authorizations among capital projects by amending Appendix A of the Twenty-ninth Supplemental Indenture, as follows:

- Decrease Academic and Research Facilities by \$32,000,000 for a total Fiscal Year 2022-2023 bond authorization for such project of \$0;
- Decrease Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities by \$916,000 for a total Fiscal Year 2022-2023 bond authorization for such project of \$25,534,000; and
- Increase Residential Life Facilities by \$32,916,000 for a total Fiscal Year 2022-2023 bond authorization for such project of \$87,566,000.

BACKGROUND:

The Board of Trustees approved the Twenty-ninth Supplemental Indenture on June 29, 2022 supplementing the Master Indenture of Trust dated November 1, 1995. The Twenty-ninth Supplemental Indenture was deemed approved by the Governor on August 14, 2022. No bonds were issued under the Twenty-ninth Supplemental Indenture.

Appendix A, attached hereto, lists the projects and the amount of bond proceeds authorized for each project for Fiscal Year 2022-2023, including this submission, and updates the total amounts of debt service commitment bonds (“DSC Bonds”) needed.

**RESOLUTION AMENDING THE TWENTY-NINTH SUPPLEMENTAL INDENTURE  
TO REALLOCATE FISCAL YEAR 2022-2023  
GENERAL OBLIGATION BOND AUTHORIZATIONS**

**WHEREAS**, on June 29, 2022, the University of Connecticut (the “University”), by vote of its Board of Trustees, approved the Twenty-ninth Supplemental Indenture supplementing the Master Indenture of Trust dated as of November 1, 1995 between the University and Fleet National Bank of Connecticut (predecessor to U.S. Bank Trust Company, National Association), as trustee (the “Master Indenture”); and

**WHEREAS**, it has been determined that the allocations for the proceeds of the bonds authorized by the Twenty-ninth Supplemental Indenture should be revised to reflect the amounts to be spent on certain of the projects; and

**WHEREAS**, the University desires to amend the Twenty-ninth Supplemental Indenture to restate the bond authorizations as set forth in Appendix A of the Twenty-ninth Supplemental Indenture.

**NOW THEREFORE, BE IT RESOLVED** by the Board of Trustees of the University as follows:

That Appendix A to the Twenty-ninth Supplemental Indenture hereby is amended to reallocate the Fiscal Year 2022-2023 bond authorization amongst projects as follows: (a) decrease Academic and Research Facilities by \$32,000,000 for a total Fiscal Year 2022-2023 bond authorization for such project of \$0; (b) decrease Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities by \$916,000 for a total Fiscal Year 2022-2023 bond authorization for such project of \$25,534,000; (c) increase Residential Life Facilities by \$32,916,000 for a total Fiscal Year 2022-2023 bond authorization for such project of \$87,566,000; and to update the total amounts of debt service commitment (“DSC”) bonds needed.

This Resolution shall take effect upon adoption by the Board of Trustees.

**TWENTY-NINTH SUPPLEMENTAL INDENTURE**  
**UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM**  
**FISCAL YEAR 2022-2023**  
**UCONN 2000 BOND AUTHORIZATIONS**  
**\_\_\_ SERIES \_\_\_ BOND PROJECTS**

UCONN 2000 Projects*	UCONN 2000 Phase III Total (\$) Needed -D.S.C. Bonds**	Fiscal Year 2022-2023 Bond Authorization <sup>(2)</sup>
<b><u>--Storrs and Regional Campuses</u></b>		
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities <sup>(3)</sup>	936,443,262.83	25,534,000.00
Equipment, Library Collections & Telecommunications	234,491,656.00	12,000,000.00
Residential Life Facilities	248,538,476.01	87,566,000.00
<b>Subtotal – Storrs and Regional Campuses</b>		<b><u>\$125,100,000.00</u></b>
<b>Total Fiscal Year 2022-2023 Bond Authorization</b>		<b>\$125,100,000.00</b>

\*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

\*\*Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward and does not include \$50 million of Phase II Project authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

<sup>(1)</sup> The Board of Trustees approved the Twenty-ninth Supplemental Indenture on June 29, 2022 and amended it on June 28, 2023.


<sup>(2)</sup> The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Executive Vice President for Administration and Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

<sup>(3)</sup> Public Act 17-2 passed October 31, 2017 and changed the UCONN 2000 named project formally known as “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”, to the new UCONN 2000 project name of “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities” and UConn Health’s UConn 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Sum — Health Center” to the new UConn 2000 project name of “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure & Improvements Renovation Lump Sum and Utility, Administrative and Support Facilities – Health Center. Similarly, Public Act 16-4 effective July 1, 2016 changed the name of UCONN 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Lump Sum” to “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”.

# **ATTACHMENT 41**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Thirtieth Supplemental Indenture Authorizing University of  
Connecticut General Obligation Bonds

RECOMMENDATION:

That the Board of Trustees approves the Thirtieth Supplemental Indenture, substantially in the form attached hereto, authorizing University of Connecticut General Obligation Bonds secured by the State of Connecticut's (the "State") Debt Service Commitment in an amount not to exceed \$84,700,000 plus costs of issuance, plus amounts carried forward from the Twenty-ninth Supplemental Indenture.

BACKGROUND:

The University of Connecticut's (the "University") General Obligation Bonds authorized by the UCONN 2000 Act (Sections 10a-109a to 10a-109y, inclusive, of the Connecticut General Statutes, as amended) are secured by a Master Indenture of Trust by and between the University and U.S. Bank Trust Company, National Association, dated as of November 1, 1995, as amended (the "Master Indenture") which provides that each new issue of bonds be issued pursuant to a supplemental indenture. For bonds secured by the State Debt Service Commitment, the law sets maximum annual amounts that the University, through its Board of Trustees, may issue.

The Thirtieth Supplemental Indenture authorizes the appropriations for and issuance of bonds in the maximum amount of \$84,700,000 plus costs of issuance to finance fiscal year 2024 capital projects pursuant to Section 10a-109e(a) of the UCONN 2000 Act, as amended effective July 1, 2023, plus amounts carried forward from the Twenty-ninth Supplemental Indenture. The list includes projects which the Board has approved undertaking during Phase III of UCONN 2000 at Storrs and the regional campuses and the UConn Health Center.

The Thirtieth Supplemental Indenture also authorizes that the exact amount of the bonds be determined at the time of issuance depending on cash expenditure requirements for twelve months or less following issuance. Appendix A of the Thirtieth Supplemental Indenture lists the UCONN 2000 projects that may be financed by the bonds (excluding the projects financed by the carry forward amounts).



This recommendation, if approved, will serve as the Board of Trustees' resolution for approval of the Thirtieth Supplemental Indenture and for the series of bonds to be issued in accordance therewith. The resolution, with supplemental information, as appropriate, will be sent to the Governor for approval. If the Governor chooses not to exercise his statutory authority to approve or disapprove the resolution within 30 days of its submission, it will be deemed approved in accordance with the Act.

UNIVERSITY OF CONNECTICUT

as Issuer

and

U.S. BANK TRUST COMPANY, NATIONAL ASSOCIATION

as Trustee

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THIRTIETH SUPPLEMENTAL INDENTURE

AUTHORIZING

THE UNIVERSITY OF CONNECTICUT  
GENERAL OBLIGATION BONDS

(Secured by the State Debt Service Commitment)

and

AMENDING THE GENERAL OBLIGATION MASTER INDENTURE OF TRUST  
DATED AS OF NOVEMBER 1, 1995, as amended

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Dated as of \_\_\_\_\_

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**THIRTIETH SUPPLEMENTAL INDENTURE  
AUTHORIZING THE ISSUANCE OF  
THE UNIVERSITY OF CONNECTICUT  
GENERAL OBLIGATION BONDS  
(SECURED BY THE STATE DEBT SERVICE COMMITMENT)**

**ARTICLE I**

**Definitions and Statutory Authority**

101. **Thirtieth Supplemental Indenture.** This Thirtieth Supplemental Indenture authorizing the Bonds is supplemental to, and constitutes a Supplemental Indenture within the meaning of, and is adopted in accordance with Article X of the General Obligation Master Indenture of Trust between the University of Connecticut ( the “University”) and the Trustee dated as of November 1, 1995 (the “Master Indenture”) as amended and supplemented to the date hereof, the form of which was approved by the State Bond Commission as required by Section 10a-109g of the General Statutes of Connecticut.

102. **Definitions.** All terms defined, and the rules of construction set forth, in Article I of the Indenture shall have the same meanings in this Thirtieth Supplemental Indenture as such terms are given in such Article I except that, as used in this Thirtieth Supplemental Indenture, the following terms shall have the following respective meanings, unless the context shall otherwise require:

“**Authorized Officer’s Certificate**” means a copy of a resolution of the Board of Trustees certified by an Authorized Officer.

“**Authorized Officer for Sale Purposes**” means the Authorized Officer, who shall be an officer, official or trustee serving on the financial affairs committee of the Board of Trustees.

“**Bond Insurance Policy**” means the municipal bond insurance policy, if any, issued by the Bond Insurer that guarantees payment of principal of and interest on the Bonds and constitutes a Bond Facility under the Indenture.

“**Bond Insurer**” means a financial guaranty insurance company, if any, or any successor thereto which insures the Bonds as provided in the Certificate of Determination.

“**Bonds**” means any series of bonds issued pursuant to this Thirtieth Supplemental Indenture.

“**Certificate of Determination**” means the certificate of determination of the Treasurer required by section 502 hereof and otherwise referenced herein.

“**Indenture**” means the General Obligation Master Indenture of Trust between the University and the Trustee dated as of November 1, 1995, as from time to time amended or supplemented.

“**Insured Bonds**” means any series or certain maturities in any series of bonds to be insured by a municipal bond new issue insurance policy to be issued simultaneously with the delivery of Bonds by the Bond Insurer.

**“Official Statement”** means the official statement of the University relating to the Bonds.

**“Preliminary Official Statement”** means the preliminary official statement of the University relating to the Bonds.

**“Principal”** or **“principal”** means the principal amount of each Bond payable at maturity.

**“Principal Amount”** means the outstanding principal of a Bond.

**“Twenty-ninth Supplemental Indenture”** means the Twenty-ninth Supplemental Indenture adopted by the Board of Trustees of the University on June 29, 2022 and deemed approved by the Governor on August 14, 2022 authorizing the Fiscal Year 2022-2023 bonds.

**“Thirtieth Supplemental Indenture”** means this Thirtieth Supplemental Indenture as may be amended from time to time, authorizing the Bonds.

**“Underwriters”** means the initial purchasers of the Bonds pursuant to a bond purchase agreement duly executed by the University, the Treasurer and such purchasers.

103. **Authority for the Thirtieth Supplemental Indenture.** This Thirtieth Supplemental Indenture is entered into by the University and the Trustee pursuant to the provisions of the Act and the Indenture.

## ARTICLE II

### Authorization, Terms and Issuance of Bonds

201. **Authorization of Fiscal Year 2023-2024 Bonds, Maximum Amount, Delegation, Designation and Pledge.** Bonds for Fiscal Year ending June 30, 2024 entitled to the benefit, protection and security of the Act and Indenture, and constituting Bonds to be secured by the State Debt Service Commitment are hereby authorized to be issued in one or more series under the Indenture and pursuant to the Act in a maximum amount not to exceed \$84,700,000 for the UConn Projects as set forth in Appendix A (attached hereto and hereby made a part hereof) and constituting UConn 2000 Projects (provided nothing herein shall preclude the amendment of Appendix A pursuant to the Act and in accordance with the Indenture and as provided by Appendix A), plus the amount of the Costs of Issuance to be funded from the proceeds of such Bonds. The amount of bonds which may be secured by the State debt service commitment and be issued for fiscal year ending 2024 but not authorized herein, if any, are hereby carried forward to be authorized at a future time.

All of the principal amount of bonds authorized but unissued under the Twenty-ninth Supplemental Indenture, submitted to the Governor on July 15, 2022 and deemed approved by the Governor on August 14, 2022, is carried forward to Fiscal Year 2023-2024 in accordance with the Act. No bonds were issued under the Twenty-ninth Supplemental Indenture. The amount of authorized but unissued bonds carried forward from the Twenty-ninth Supplemental Indenture is \$340,200,000.

The exact amount of the Bonds to be issued in each series under this Thirtieth Supplemental Indenture is hereby delegated to and is to be determined by a certificate of, the Authorized Officer for

Sale Purposes in accordance with Section 10a-109g of the Connecticut General Statutes respecting the anticipated cash expenditure requirements for authorized UConn 2000 Projects within the year following issuance plus not more than twenty (20%) percent in excess thereof, provided that such amount shall not exceed \$84,700,000 (plus Costs of Issuance and any carry forward amounts). The amount of the balance of Bonds herein authorized for Fiscal Year ending 2024 and not funded by the Bonds shall be issued subsequently pursuant to an additional Certificate of Authorized Officer for Sale Purposes as an additional series of Bonds hereunder or pursuant to a Supplemental Indenture or Supplemental Indentures depending on the remaining cash expenditure requirements respecting each UConn 2000 Project theretofore authorized by a Supplemental Indenture.

The Bonds shall be designated as and shall be distinguished from other Bonds by the additional title “20\_\_ Series \_\_” or such other designation or designations of “Series \_\_” inserting the applicable number and letter, respectively, reflecting the year and series issued, as provided in the Certificate of Determination, pursuant to and subject to the terms, conditions and limitations established in the Indenture, this Thirtieth Supplemental Indenture, an Authorized Officer’s Certificate and the Treasurer’s Certificate of Determination. In accordance with the Act, the amount of the State Debt Service Commitment in each fiscal year is hereby pledged for the punctual payment of the Special Debt Service Requirements on the Bonds as the same arise and shall become due and payable.

202. **Purposes.** The Bonds will be issued and used to provide funds for deposit in the following accounts of the Bond Proceeds Fund: (i) Construction Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Indenture, shall be held and maintained by the Trustee, for construction and equipping of certain facilities (or reimbursement to the University for funds expended therefor) that are included and that have been authorized as a UCONN 2000 Project by the Board of Trustees and (ii) Cost of Issuance Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Indenture, shall be held and maintained by the Treasurer, to pay or provide for the Bonds’ costs of issuance. The Treasurer and University shall cause the proceeds from the sale of the Bonds to be so deposited in the Bond Proceeds Fund. Monies in the Construction Account respecting the proceeds of the Bonds heretofore issued may be disbursed from time to time pursuant to Section 603 of the Master Indenture, particularly paragraph (5) thereof, for any such UConn 2000 Project but not in excess of the aggregate amount authorized for such UConn 2000 Project by the Board of Trustees.

203. **Interest Payments.** The Bonds shall bear interest from their respective dates, payable on the date or dates, and at the rates as shall be determined by the Treasurer in the Certificate of Determination. Except as otherwise may be provided in such Certificates, interest shall be computed on the basis of a 360-day year consisting of 12 months of 30 days each.

204. **Form, Denomination, Numbers and Letters.** The Bonds shall be in fully registered form and shall initially be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York (“DTC”), which will act as securities depository for the Bonds. The Bonds shall be in denominations to be determined by the Treasurer in the Certificate of Determination. The Bonds shall be lettered “AR-\_\_” or such other letters provided in the Certificate of Determination. Each such letter shall be followed by the number of the Bonds. The Bonds shall be numbered consecutively from one upward in order of issuance.

205. **Places of Payment and Paying Agent.** So long as all of the Bonds are registered in the name of Cede & Co., as nominee of DTC, or any other nominee of DTC or its successor as

securities depository, Principal, Sinking Fund Installments, if any, Redemption Price of and interest on the Bonds shall be payable from the Trustee to DTC or its successor as securities depository for the Bonds, as determined by the Treasurer in the Certificate of Determination. If any of the Bonds shall no longer be registered in the name of a nominee of DTC or any successor securities depository or its nominee, interest on the Bonds shall be payable by check mailed to the registered owners of the Bonds, and Principal, Sinking Fund Installments, if any, or Redemption Price of the Bonds shall be payable at the principal corporate trust office of the Paying Agent for the Bonds.

206. **Sale.** Pursuant to Section 10a-109g of the Connecticut General Statutes, the Treasurer is authorized to sell the Bonds by negotiation or public competitive sale, in such manner, at such price or prices, at such time or times, in one or more series, and on such terms and conditions as the Treasurer shall determine to be in the best interests of the State and the University. The terms and particulars of each such sale, the receipt of each proposal and each award of the Bonds and all other action appropriate or necessary in connection therewith shall be set by the Treasurer, including the selection of the Trustee pursuant to Article VIII of the Indenture, in conjunction with the Authorized Officer for Sale Purposes to whom such matters are hereby delegated and shall be recited in the Treasurer's Certificate of Determination, and confirmed by the Authorized Officer for Sale Purposes.

207. **Execution.** The Bonds shall be signed in the name of the University by the manual or facsimile signature of its President and the seal of the University (or a facsimile thereof) shall be affixed, imprinted, engraved or otherwise reproduced thereon and attested by an Authorized Officer. The Bonds shall be authenticated manually by the Trustee in accordance with the provisions of the Indenture.

208. **Delivery and Application of Bond Proceeds.** After their execution as provided herein and in the Indenture, the Bonds shall be delivered to the Trustee for authentication as provided in the Indenture and shall thereupon be delivered to the Underwriters upon receipt by the Trustee of the purchase price therefor in accordance with the documents of sale and upon satisfaction of the conditions contained therein and in the Indenture. The proceeds of the Bonds shall be deposited in the Bond Proceeds Fund in the amounts and for the Construction Account and Costs of Issuance Account, as more particularly set forth in the Certificate of Determination of the Treasurer.

209. **Defeasance.** Pursuant to Section 1001(1)(b) of the Master Indenture, for purposes of the Bonds and for any bonds issued under the Master Indenture after the date hereof, Section 1402, of the Master Indenture at the end of the second sentence, item (c) is hereby amended in its entirety as follows.

(c) in the event said Bonds are not by their terms subject to redemption within the next succeeding 60 days, the University shall have given the Trustee in form satisfactory to it irrevocable instructions to mail at least once, or to publish at least twice at an interval of not less than seven days between publications in an Authorized Newspaper, as soon as practicable, a notice to the Holders of such Bonds that the deposit required by (b) above has been made with the Trustee and that said Bonds are deemed to have been paid in accordance with this Section and stating such maturity or redemption date upon which moneys are to be available for the payment of the principal or Redemption Price, if applicable, on said Bonds.

210. **UConn Infrastructure Improvement Program.** Pursuant to Sections 1001(1)(b) and 1001(6) of the Master Indenture, for purposes of the Bonds and any bonds issued under the Master Indenture after the date hereof, Section 909(C) is deleted in its entirety.

211. **Continuing Disclosure Undertaking.** Pursuant to Sections 1001(1) and 1512 of the Master Indenture, for purposes of the Bonds and for any bonds issued under the Master Indenture after the date hereof, Article XV is hereby deleted in its entirety.

### ARTICLE III

#### Form of the Bonds

301. **Form of the Bonds.** The Bonds shall be substantially in the form set forth in the Indenture with such additions or deletions anticipated by this Thirtieth Supplemental Indenture as are set forth in the Certificate of Determination.

### ARTICLE IV

#### Tax Covenant

401. **Tax Exemption.** In order to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Bonds, the University hereby covenants to comply with the provisions of the Code, and any regulations or rulings issued thereunder, applicable to the Bonds. Further, the University covenants that it will not take any action or fail to take any action that would cause the Bonds to be “arbitrage bonds” within the meaning of Section 148(a) of the Code. In fulfilling the covenants set forth in this Section, the University hereby agrees to instruct all parties acting by or on behalf of the University or in any manner with respect to the Bonds regarding all acts necessary to satisfy and fulfill such covenants.

### ARTICLE V

#### Miscellaneous

501. **No Recourse.** No recourse shall be had for the payment of the principal of or interest on the Bonds or for any claim based thereon or on this Thirtieth Supplemental Indenture against any member of the Board of Trustees or the State Bond Commission or any officer of the University or the State or any person executing the Bonds and neither the members of the Board of Trustees nor the State Bond Commission nor officers of the University or the State nor any person executing the Bonds, or with respect to execution of documents hereinafter mentioned, including the Preliminary Official Statement, the Official Statement and any Bond Purchase Agreement, Tax Regulatory Agreement or documents in connection with the authorization, issuance and sale of the Bonds shall be liable personally thereon or be subject to any personal liability or accountability by reason of the issuance or execution thereof. Pursuant to Section 10a-109s of the Connecticut General Statutes, the provisions of Sections 4-165 and 5-141d of the General Statutes shall apply to any employee or official of the



University or other State agency who is discharging his duties or acting within the scope of his employment in furtherance of the UCONN 2000 Infrastructure Improvement Program.

**502. Delegation of Authority to Treasurer and to Authorized Officer for Sale Purposes or the Chief Financial Officer**

(A) The Treasurer is delegated, pursuant to the Act on behalf of the University and subject in all respects to the Indenture, the authority to determine with respect to the Bonds the date or dates and maturities (provided, however, that the Bonds issued to finance equipment and collections shall mature not later than five (5) years from their dated date and the Bonds issued to finance any other purpose shall mature not later than thirty (30) years from their dated date); provisions for either serial or term bonds, sinking fund requirements, if any; due dates of interest; denominations; the terms, if any, of optional or extraordinary redemption, with or without premium; time or times of sale (subject to the cash flow requirements of the University to cover the cost of the UCONN 2000 Infrastructure Improvement Program) and manner of sale; interest rates and limitations with respect thereto; provisions for receipt and deposit or investment of the good faith deposit pending delivery; and such other terms and conditions of the Bonds and of the issuance and sale thereof as the Treasurer may determine to be in the best interests of the State and University. The Treasurer shall file a Certificate of Determination with the University and Secretary of the State Bond Commission on or before the date of delivery of the Bonds setting forth the details and particulars of the Bonds determined by him or her in accordance with this delegation. Such Certificate of Determination shall be delivered to the Trustee on or before the date of closing of the Bonds.

(B) The Treasurer is also delegated, pursuant to the Act and, in accordance with Section 10a-109g of the Connecticut General Statutes pursuant to certain provisions of Section 3-20 of the General Statutes of the State of Connecticut, as amended, the authority to enter into agreements in consultation with the University (through an Authorized Officer) with respect to the issuance and sale of the Bonds, including financial advisory agreements, bond purchase agreements, tax regulatory agreements, and agreements with respect to security for the Bonds.

(C) The Authorized Officer for Sale Purposes or the Chief Financial Officer is hereby delegated and the Treasurer is further delegated, pursuant to the Act, the authority to approve the final terms of and publication and distribution of the Official Statement in connection with the offering and sale of the Bonds and to sign and certify that the Preliminary Official Statement is an official statement that the University deems final as of its date for purposes of Rule 15c-2-12 of the Securities and Exchange Commission ("Rule 15c-2-12"), except for certain permitted omissions described in paragraph (b)(1) of Rule 15c-2-12. The mailing, publication and distribution of the Preliminary Official Statement is hereby approved. The Treasurer, in conjunction with the Authorized Officer for Sale Purposes or the Chief Financial Officer, is further authorized and directed to sign any amendment or supplement or certificate with respect to the Official Statement or the Preliminary Official Statement that may, in the Treasurer's judgment, be necessary or appropriate on or before the date of delivery of the Bonds.

(D) Subsequent to adoption of the resolution of the Board of Trustees authorizing the Thirtieth Supplemental Indenture, the Authorized Officer for Sale Purposes is hereby authorized to make such changes, insertions, deletions or provisions to the Thirtieth Supplemental Indenture, not materially inconsistent with the intent of the provisions of the Thirtieth Supplemental Indenture as so adopted as may be necessary or appropriate to respond to the requirements of the Governor, the

Treasurer, the Underwriters of the Bonds, the Bond Insurer, if any, or the rating agencies with respect to the Thirtieth Supplemental Indenture as evidenced by approval of the Certificate of Determination and may rely on a Counsel's Opinion for advice with respect to the foregoing. In addition, any Authorized Officer is authorized and directed to sign other documents ancillary to the authorization, issuance and delivery of the Bonds within the scope of such Authorized Officer's duties at the University and under the Act.

503. **Declaration of Official Intent.** The University reasonably expects to incur expenditures (the "Expenditures") in connection with the Bond projects of which a general functional description is contained in Appendix A attached hereto (collectively, the "Project"). The University reasonably expects to reimburse itself for the cost of Expenditures with respect to the Project with the proceeds of Bonds, tax-exempt obligations to be issued by the University, not later than eighteen (18) months after the later of the date the original Expenditure is paid or the date the Project is placed in service or abandoned, but in no event more than three (3) years after the original Expenditure is paid. The maximum principal amount of such debt with respect to the Project is not expected to exceed the amount as set forth in Appendix A. This declaration of official intent is a declaration of official intent made pursuant to Section 1.150-2 of the Regulations.

## ARTICLE VI

### Amendment to the Master Indenture

601. **Definitions.** Pursuant to Section 1001(7) of the Master Indenture, the following definition contained in Section 101 of the Master Indenture is hereby amended in its entirety as follows:

"**Authorized Officer**" means, in the case of the University, the Chairman or Vice-Chairman of the Board of Trustees, the financial affairs committee of the Board of Trustees (acting by resolution and constituting the finance committee of the Board of Trustees within the meaning of the Act), the President, the Provost and Executive Vice President for Academic Affairs, the Chief Financial Officer, the Controller (for the purpose of making disbursements and investments only), the Director of Debt Management (for the purpose of making disbursements and investments only), or any other person duly authorized by the bylaws or resolution of the University to perform the act or sign the document in question

**IN WITNESS WHEREOF**, the University of Connecticut has caused this Thirtieth Supplemental Indenture to be signed by its President and sealed the same with its seal attested by an Authorized Officer, and the Trustee, for itself and its successor or successors, has caused this Thirtieth Supplemental Indenture to be signed and sealed by its duly authorized officer and has by its execution hereof signified its acceptance of the trust hereby created and imposed.

THE UNIVERSITY OF CONNECTICUT

By: \_\_\_\_\_  
Dr. RADENKA MARIC  
Its President

(SEAL)

ATTEST:

By: \_\_\_\_\_

U.S. BANK TRUST COMPANY, NATIONAL  
ASSOCIATION,  
as Trustee

Date: \_\_\_\_\_, 202\_

By: \_\_\_\_\_  
Name:  
Title:

**THIRTIETH SUPPLEMENTAL INDENTURE  
 UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM  
 FISCAL YEAR 2023-2024  
 UCONN 2000 BOND AUTHORIZATIONS  
 \_\_\_ SERIES \_\_\_ BOND PROJECTS**

<b>UCONN 2000 Projects*</b>	<b>UCONN 2000 Phase III Total (\$) Needed -D.S.C. Bonds**</b>	<b>Fiscal Year 2023-2024 Bond Authorization <sup>(2)</sup></b>
<b>--<u>Storrs and Regional Campuses</u></b>		
Academic and Research Facilities	\$420,704,438.00	\$19,697,009.00
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities <sup>(3)</sup>	936,443,262.83	52,152,991.00
Equipment, Library Collections & Telecommunications	234,491,656.00	12,850,000.00
<b><u>Subtotal – Storrs and Regional Campuses</u></b>		<b><u>\$84,700,000.00</u></b>
<b>Total Fiscal Year 2023-2024 Bond Authorization</b>		<b>\$84,700,000.00</b>

\*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

\*\*Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward and does not include \$50 million of Phase II authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

<sup>(1)</sup> The Board of Trustees approved the Thirtieth Supplemental Indenture on June 28, 2023.

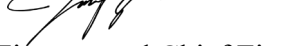
<sup>(2)</sup> The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

<sup>(3)</sup> Public Act 17-2 passed October 31, 2017 and changed the UCONN 2000 named project formally known as “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”, to the new UCONN 2000 project name of “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities” and UConn Health’s UConn 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Sum — Health Center” to the new UConn 2000 project name of “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure & Improvements Renovation Lump Sum and Utility, Administrative and Support Facilities – Health Center. Similarly, Public Act 16-4 effective July 1, 2016 changed the name of UCONN 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Lump Sum” to “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”.

# **ATTACHMENT 42**

June 28, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Sixth Supplemental Indenture Authorizing \$100,000,000 of Special Obligation Student Fee Revenue Bonds and Amending the Special Obligation Indenture of Trust and the Fifth Supplemental Indenture

RECOMMENDATION:

That the Board of Trustees approves the Sixth Supplemental Indenture, substantially in the form attached hereto, authorizing University of Connecticut Special Obligation Bonds secured by Student Fees in an amount not to exceed \$100,000,000 plus costs of issuance, any capitalized interest, and any required reserves, to fund the UCONN 2000 Project listed in the Act as “Residential Life Facilities” for certain projects including a new South Campus Residence Hall on the Storrs Campus and amending the Special Obligation Indenture of Trust and the Fifth Supplemental Indenture as described below.

BACKGROUND:

The University of Connecticut’s (the “University”) Special Obligation Bonds are authorized by the UCONN 2000 Act and issued pursuant to a Special Obligation Indenture of Trust by and between the University and U.S. Bank Trust Company, National Association, dated as of January 1, 1997, as amended (the “Master Indenture”) which provides that each new issue of bonds be issued pursuant to a supplemental indenture.

The Sixth Supplemental Indenture authorizes the issuance of bonds in the maximum amount of \$100,000,000 plus costs of issuance, any capitalized interest, and any required reserves to finance the UCONN 2000 Project listed in the Act as “Residential Life Facilities” for the new South Campus Residence Hall on the Storrs Campus.

The Sixth Supplemental Indenture further authorizes that the exact amount of the bonds to be issued will be determined at the time of issuance. Appendix A of the Sixth Supplemental Indenture lists the UCONN 2000 project that may be financed by the bonds.

The Sixth Supplemental Indenture also amends Appendix A of the Fifth Supplemental Indenture to decrease the bond authorization contained therein by \$33,000,000. The Board of Trustees approved the Fifth Supplemental Indenture on November 1, 2017. The Governor signed the Fifth Supplemental Indenture on November 21, 2017. The Fifth Supplemental Indenture authorized Special Obligation bonds in the maximum amount of \$185,000,000 to fund the UCONN 2000 Project listed in the act as “Intramural, Recreational and Intercollegiate

Facilities”. The University issued \$152,000,000 of bonds in March 2018 and has not issued further bonds pursuant to the Fifth Supplemental Indenture.

The Sixth Supplemental Indenture also amends the Master Indenture for certain updates and clarifications consistent with current market practice and regulations. These changes include updating titles and responsibilities of Authorized Officers due to personnel changes; and confirming the Student Health and Wellness Fee as a pledged revenue separate from the General University Fee.

This recommendation, if approved, will serve as the Board of Trustees' resolution for approval of the Sixth Supplemental Indenture and for the series of bonds to be issued in accordance therewith. The resolution, with supplemental information, as appropriate, will be sent to the Governor for approval. If the Governor chooses not to exercise his statutory authority to approve or disapprove the resolution within 30 days of its submission, it will be deemed approved in accordance with the Act.

It is expected that, upon Board of Trustees' and Governor approval, the bonds will be issued in Fall 2023 by the University with the approval and assistance of the State Treasurer. The term of the bonds will be 30 years. The proceeds of the bonds will be used for the construction of the new South Campus Residence Hall.

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UNIVERSITY OF CONNECTICUT  
as Issuer

AND

U.S. BANK TRUST COMPANY, NATIONAL ASSOCIATION  
as Trustee

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SIXTH SUPPLEMENTAL INDENTURE

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AUTHORIZING

THE UNIVERSITY OF CONNECTICUT  
SPECIAL OBLIGATION STUDENT FEE REVENUE BONDS,

AMENDING THE SPECIAL OBLIGATION INDENTURE OF TRUST  
DATED AS OF JANUARY 1, 1997

AND

AMENDING THE FIFTH SUPPLEMENTAL INDENTURE  
DATED AS OF MARCH 1, 2018

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Dated as of \_\_\_\_\_

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**SIXTH SUPPLEMENTAL INDENTURE**

**authorizing**

**THE UNIVERSITY OF CONNECTICUT  
SPECIAL OBLIGATION STUDENT FEE REVENUE BONDS,**

**AMENDING THE SPECIAL OBLIGATION INDENTURE OF TRUST  
DATED AS OF JANUARY 1, 1997**

**and**

**AMENDING THE FIFTH SUPPLEMENTAL INDENTURE  
DATED AS OF MARCH 1, 2018**

This SIXTH SUPPLEMENTAL INDENTURE, dated as of \_\_\_\_\_, \_\_\_\_ (the "*Sixth Supplemental Indenture*"), supplements and amends the Special Obligation Indenture of Trust, dated as of January 1, 1997, as amended and supplemented to date (the "*Master Indenture*" and, collectively with the Sixth Supplemental Indenture, the "*Indenture*"), by and between the University of Connecticut (the "*University*"), a constituent unit of the State of Connecticut system of public higher education and a body politic and corporate and an instrumentality and agency of the State of Connecticut (the "*State*") and U.S. Bank Trust Company, National Association (as successor to State Street Bank and Trust Company) (the "*Trustee*"). Capitalized terms used but not defined herein shall have the meanings given such terms as set forth in the Master Indenture.

**WITNESSETH:**

WHEREAS, the University is empowered under Section 10a-109f of the University of Connecticut 2000 Act, Sections 10a-109a to 10a-109g inclusive of the General Statutes of Connecticut, as amended (the "*Act*"), when directed by vote of its Board of Trustees, to borrow money and enter into financing transaction proceedings in anticipation of assured revenues, project revenues or other funding sources in the name of the University, on behalf of the State, and issue securities in connection with such proceedings to finance the cost of UConn 2000 or any one project thereof, or more than one, or any combination of projects thereof; and

WHEREAS, the University entered into the Master Indenture with the Trustee to provide for the issuance of Special Obligation Bonds of the University to finance UConn 2000 Projects; and

WHEREAS, the Master Indenture provides for the issuance of the Special Obligation Bonds of the University, payable solely from Pledged Revenues (which include certain Project Revenues and Assured Revenues, each as defined in the Master Indenture and in the Act) and other receipts, funds or monies pledged under the Master Indenture; and

WHEREAS, on February 21, 2018, the University, by vote of its Board of Trustees, approved a separate Student Health Services Fee (now known as the Student Health and Wellness Fee), which previously had been charged as a component of the General University Fee and is still

recognized under the Master Indenture as a part of the General University Fee pledged to the payment of the Special Obligation Bonds as Pledged Revenues; and

WHEREAS, the University, by vote of its Board of Trustees approving this Sixth Supplemental Indenture, desires to bifurcate the Student Health and Wellness Fee from the General University Fee for all purposes of the Indenture and separately pledge such fee to the payment of all Special Obligation Bonds issued thereunder as Pledged Revenues; and

WHEREAS, the University further desires to enter into this Sixth Supplemental Indenture to provide for the issuance of not exceeding \$100,000,000 University of Connecticut Special Obligation Student Fee Revenue Bonds (the "*Bonds*") which may be issued in one or more series to provide financing for the construction of the UConn 2000 Project set forth in Appendix A attached hereto, plus the amount of Costs of Issuance of the Bonds, capitalized interest, if any, and the amounts required to fund reserve funds, as needed (collectively, the "*Project*") and to issue notes in anticipation thereof; and

WHEREAS, on November 1, 2017, the University, by vote of its Board of Trustees, approved the Fifth Supplemental Indenture amending and supplementing the Master Indenture (the "*Fifth Supplemental Indenture*"), to provide, among other things, for the issuance of not exceeding \$185,000,000 of its Special Obligation Student Fee Revenue Bonds to finance the construction of certain UConn 2000 Projects listed in the Act as "Intramural, Recreational and Intercollegiate Facilities" and including the Student Recreation Center and certain athletic stadia on the Storrs Campus (the "*Prior Projects*"); and

WHEREAS, the University, in conjunction with the Treasurer of the State of Connecticut, issued the University's Special Obligation Student Fee Revenue Bonds, 2018 Series A to finance, in part, the Prior Projects, which Prior Projects have since been completed; and

WHEREAS, the University, by vote of its Board of Trustees approving this Sixth Supplemental Indenture, now desires to amend the Fifth Supplemental Indenture in order to reduce the bond authorization set forth therein; and

WHEREAS, Section 1001 of the Master Indenture provides that the University may enter into a Supplemental Indenture without the consent of the bondholders to, among other things, (i) cure any ambiguity or defect or inconsistent provision in the Master Indenture or to insert such provisions clarifying matters or questions arising under the Master Indenture as are necessary or desirable in the event any such modifications are not contrary to or inconsistent with this Master Indenture as heretofore in effect, or (ii) to surrender any right, power or privilege reserved to or conferred upon the University by the Master Indenture (which by definition includes any previously adopted Supplemental Indenture), provided that the surrender of such right, power or privilege is not contrary to or inconsistent with the covenants and agreements of the University contained in the Master Indenture; and

WHEREAS, all things necessary to make the Bonds, when issued and authenticated by the Trustee as provided in this Sixth Supplemental Indenture, the legal, valid, and binding obligations of the University enforceable against the University according to the import thereof, and to constitute this Sixth Supplemental Indenture a valid assignment and pledge of the amounts pledged to the payment of the Principal and Redemption Price, if any, of and the interest on the Bonds have been done and performed, and the creation, execution and delivery of this Sixth Supplemental Indenture, and the creation, execution and delivery of a series of Bonds subject to the terms hereof, have in all respects been duly authorized.

## ARTICLE I

### Definitions and Statutory Authority

**101. Sixth Supplemental Indenture.** This Sixth Supplemental Indenture authorizing the Bonds in one or more series and amending the Master Indenture, is supplemental to, and constitutes a Supplemental Indenture within the meaning of and is adopted in accordance with Article X of the Master Indenture.

**102. Definitions.** All terms defined, and the rules of construction set forth, in Article I of the Master Indenture shall have the same meanings in this Sixth Supplemental Indenture as such terms are given in such Article I except that, as used in this Sixth Supplemental Indenture, the following terms shall have the following respective meanings, unless the context shall otherwise require:

“**Bonds**” means the bonds or any series of bonds authorized by Article II of this Sixth Supplemental Indenture.

“**Bond Insurance Policy**” means the municipal bond insurance policy, if any, issued by the Bond Insurer that guarantees payment of principal of and interest on the Bonds and constituting a Bond Facility under the Indenture.

“**Bond Insurer**” means a financial guaranty insurance company, if any, or any successor thereto which insures the Bonds as provided in the Certificate of Determination.

“**Certificate of Determination**” means the certificate or certificates of determination of the Treasurer relating to a particular series of Bonds required by section 502 hereof and otherwise referenced herein.

“**Fifth Supplemental Indenture**” has the meaning as set forth in the Recitals hereto.

“**Indenture**” has the meaning as set forth in the Recitals hereto.

[“**Investment Obligations**” means, with respect to the Bonds and any bonds issued under the Master Indenture after the date hereof, any of the following: **UNDER REVIEW**]

“**Master Indenture**” has the meaning as set forth in the Recitals hereto.

“**Official Statement**” means the official statement of the University relating to a series of the Bonds.

“**Preliminary Official Statement**” means the preliminary official statement of the University relating to a series of the Bonds.

“**Sixth Supplemental Indenture**” has the meaning as set forth in the Recitals hereto.

“**Trustee**” shall mean for purposes of the Indenture the bank or trust company meeting the requirements of the Indenture and selected in accordance with the Act and pursuant to Sections 502 and 503 hereof.

“**Underwriters**” means the initial purchasers of the Bonds pursuant to a bond purchase agreement duly executed by the University, the Treasurer and such purchasers.

**103. Authority for the Sixth Supplemental Indenture.** This Sixth Supplemental Indenture is entered into by the University and the Trustee pursuant to the provisions of the Act and the Master Indenture.

## ARTICLE II

### Authorization of the Project and Authorization, Terms and Issuance of Bonds

**201. Authorization.** There shall be issued under and secured by the Master Indenture one or more series of Bonds to be designated "The University of Connecticut Special Obligation Student Fee Revenue Bonds, in the aggregate principal amount of not exceeding \$100,000,000 (the "*Bonds*"), plus the amount of Cost of Issuance to be provided from the proceeds of any series of the Bonds, the amount of any net original issue discount, and the amount required to fund any reserve funds, as needed. The exact amount of each series of Bonds is delegated to, and is to be determined by a certificate of, the Authorized Officer for Sale Purposes in accordance with Section 10a-109g of the Act. In accordance with the Act, the terms and provisions of the sale of each series of the Bonds shall be determined by the Treasurer pursuant to a Certificate of Determination. The Bonds shall be designated as and shall be distinguished from other bonds by the additional title “ \_\_\_ Series \_\_\_ ” pursuant to and subject to the terms, conditions and limitations established in the Indenture, the certificate of the Authorized Officer for Sale Purposes and the Treasurer’s Certificate of Determination.

**202. Reserve Funds.** The Bonds may be supported by the Debt Service Reserve Fund as determined by the Chief Financial Officer of the University, all in accordance with the provisions of the Indenture, the Certificate of Determination of the Treasurer and the certificate of the Authorized Officer for Sale Purposes.

**203. Purposes; Plan of Financing.** The Bonds will be issued pursuant to the Indenture (i) to finance the Cost of the UConn 2000 Projects set forth in Appendix A attached hereto and made a part hereof, (ii) to pay Costs of Issuance and capitalized interest, if any; (iii) to pay net original issue discount, if any; and (iv) to fund the Debt Service Reserve Fund to its Debt Service Reserve Fund Requirement, if any.

## ARTICLE III

### Form of Bonds

**301. Form, Denomination, Numbers and Letters.** All Bonds shall be in fully registered form and shall initially be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York ("DTC"), which will act as securities depository for the Bonds. The Bonds shall be in denominations to be determined by the Treasurer in a Certificate of Determination. The Bonds shall be lettered “AR- \_\_\_ ” or such other letters provided in the Certificate of Determination. Each such letter shall be followed by the number of the Bonds. The Bonds shall be numbered consecutively from one upward in order of issuance.

**302. Places of Payment and Paying Agent.** So long as all of the Bonds are registered in the name of Cede & Co., as nominee of DTC, or any other nominee of DTC or its successor as securities depository, Principal, Sinking Fund Installments, if any, Redemption Price of and interest on the Bonds shall be payable from the Trustee to DTC or its successor as securities depository for the Bonds, as determined by the Treasurer in a Certificate of Determination. If any of the Bonds shall no longer be registered in the name of a nominee of DTC or any successor securities depository or its nominee, interest on the Bonds shall be payable by check mailed to the registered owners of the Bonds, and Principal, Sinking Fund Installments, if any, or Redemption Price of the Bonds shall be payable at the principal corporate trust office of the Paying Agent for the Bonds.

**303. Amount and Terms.** The Bonds of each series shall be dated, shall be in the exact amount, shall mature in the years not exceeding five (5) years with respect to equipment and library collections and thirty (30) years for any other purposes under the UConn 2000 Infrastructure Improvement Program, in the respective principal amounts, shall be subject to mandatory or optional redemption and mandatory or optional tender, shall bear such interest rate or rates payable at least semiannually after the first year and may be insured by a Bond Facility as, with respect to the foregoing matters pursuant to the Act, the Master Indenture and Section 502 of this Sixth Supplemental Indenture, the State Treasurer (as set forth in a Certificate of Determination) in conjunction with an Authorized Officer for Sale Purposes shall determine in the best interests of the State and University.

**304. Interest.** The Bonds of each series shall bear interest from their date, payable on the date or dates and at the rates specified in the Treasurer's Certificate of Determination. Interest on the Bonds of each series shall be computed on the basis of a 360-day year of twelve 30-day months.

**305. Delivery and Application of Bond Proceeds.** In accordance with Section 611 of the Master Indenture, all monies received from any series of the Bonds are pledged to the payment of principal and interest on any notes issued hereunder in anticipation of such series of the Bonds, which pledge shall have a priority over any other pledge of such proceeds created by this Sixth Supplemental Indenture, and to the extent no such bond anticipation notes shall be outstanding, the proceeds of the Bonds shall be available to the Trustee to make the following deposits, as more particularly set forth in a Certificate of Determination of the Treasurer.

(1) that amount, if any, representing the Debt Service Reserve Fund Requirement for deposit in the Debt Service Reserve Fund, such that the amount therein is equal to the Debt Service Reserve Fund Requirement, if any;

(2) that amount for deposit in the Interest Account, which represents the amount of accrued interest on the Bonds if any;

(3) that amount representing the Costs of Issuance of such series of Bonds, for deposit in the Costs of Issuance Account established therefor; and

(4) that amount, representing the remainder of the proceeds of the sale of each series of Bonds, for deposit into the Bond Proceeds Series Account established therefor.

**306. Mandatory and Optional Redemption of the Bonds.** The Bonds may also be subject to redemption as a whole or in part prior to maturity, as more particularly set forth in the Certificate of Determination of the Treasurer, together with the terms of any such redemption.

**307. Mandatory and Optional Tender of Bonds.** The Bonds may also be subject to mandatory and optional tender as more particularly set forth in the Certificate of Determination of the Treasurer, together with the terms of any such tender.

**308. Form.** The Bonds shall be substantially in the form set forth in Exhibit A of this Sixth Supplemental Indenture and with such insertions, omissions and variations as may be deemed necessary or appropriate by an Authorized Officer of the University and as shall be permitted by the Indenture and the Act. Any covenants and conditions set forth in the form of the Bonds are incorporated into this Sixth Supplemental Indenture by reference and shall be binding upon the University as though set forth in full herein.

**309. Provisions for Payment.** The Principal or Redemption Price, if any, of and interest on the Bonds as they respectively become due shall be payable at the principal corporate trust office of the Trustee. Interest on the Bonds shall be payable to the person appearing on the registration books of the Trustee as the registered Owner thereof on the Record Date (1) by check or draft mailed on the Interest Payment Date to the registered Owner, or (2) by wire transfer on the Interest Payment Date to any Owner of at least \$1,000,000 in aggregate principal amount of the Bonds upon written notice provided by the Owner to the Trustee not later than the Record Date for such interest payment; except that if and to the extent there shall be a default in the payment of the interest due on any Interest Payment Date, the defaulted interest shall be paid to the Owners in whose names the Bonds are registered at the close of business on the fifth Business Day next preceding the date of payment of the defaulted interest. Interest payments made by check or draft shall be mailed to each Owner at his address as it appears on the registration books of the Trustee on the applicable Record Date or at such other address as he may have filed with the Trustee for that purpose. Wire transfer payments of interest shall be made at such wire transfer address as the owner shall specify in his notice requesting payment by wire transfer.

**310. Continuing Disclosure Undertaking.** Pursuant to Sections 1001(1) and 1512 of the Master Indenture, for purposes of the Bonds and for any bonds issued under the Master Indenture after the date hereof, Article XV is hereby deleted in its entirety.

## ARTICLE IV

### Miscellaneous Matters

**401. Tax Exemption.** (a) In order to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Bonds, the University hereby covenants to comply with the provisions of the Code, and any regulations or rulings issued thereunder, applicable to the Bonds. Further, the University covenants that it will not take any action or fail to take any action that would cause the Bonds to be "arbitrage bonds" within the meaning of Section 148(a) of the Code. In fulfilling the covenants set forth in this Section, the University hereby agrees to instruct all parties acting by or on behalf of the University or in any manner with respect to the Bonds regarding all acts necessary to satisfy and fulfill such covenants.

(b) *Declaration of Official Intent.* The University initially adopted its "Declaration of Official Intent" for the Project (as defined below) at its Board of Trustees meeting on April 19, 2023. The University hereby confirms that it reasonably expects to incur expenditures (the "*Expenditures*") in connection with the Bond projects of which a general functional description is contained in Appendix A attached hereto (collectively, the "*Project*"). The University reasonably expects to reimburse itself



for the cost of Expenditures with respect to the Project with the proceeds of Bonds, tax-exempt obligations to be issued by the University, not later than eighteen (18) months after the later of the date the original Expenditure is paid or the date the Project is placed in service or abandoned, but in no event more than three (3) years after the original Expenditure is paid. The maximum principal amount of such debt with respect to the Project is not expected to exceed the amount shown in Appendix A attached hereto. This declaration of official intent is a declaration of official intent made pursuant to Section 1.150-2 of the Regulations.

**402. Self Sufficiency.** With respect to the Projects, the Chief Financial Officer shall deliver a certificate to the Trustee that the self-sufficiency requirements of Section 910 A(2) of the Master Indenture have been met.

**403. Sale.** Pursuant to Section 10a-109g of the Act, the Master Indenture and Section 201 hereof, on behalf of the University, the State Treasurer is hereby authorized to sell the Bonds at public or private sale in such manner, at such price or prices, at such time or times, and on such terms and conditions as the State Treasurer shall determine to be in the best interests of the State and University. The terms and particulars of such sale shall be recited in the State Treasurer's Certificate of Determination, to be filed with the University and the Secretary of the State Bond Commission.

**404. Execution.** The Bonds shall be executed and attested by manual or facsimile signatures sealed and authenticated manually in accordance with the provisions of the Indenture.

**405. Delivery.** After their execution as provided herein and in the Master Indenture, the Bonds shall be delivered to the Trustee for authentication as provided in the Master Indenture and shall thereupon be delivered to the Underwriters upon receipt by the Trustee of the purchase price therefor in accordance with the documents of sale and upon satisfaction of the conditions contained therein and in the Indenture.

**406. Other Provisions or Covenants.** To the extent provisions such as purchasing bond insurance or covenants of like or different character from those contained herein or in the Master Indenture are consistent with the Act and are determined by the State Treasurer in the conjunction with an Authorized Officer of the University to be necessary, convenient or desirable in order to better secure the Bonds, or which will tend to make the Bonds marketable, and which are in the best interests of the State, may be included in the State Treasurer's Certificate of Determination.

**407. Notes.** Prior to the issuance of any series of the Bonds and thereafter to the extent the State Treasurer determines pursuant to the Certificate of Determination referred to in Sections 301 and 502 hereof not to issue the full amount of any series of Bonds, the State Treasurer (or, in his or her absence, the Deputy Treasurer), is further delegated in conjunction with an Authorized Officer of the University, pursuant to the Act and the Indenture to sell notes in anticipation of the issuance of the Bonds and for financing the same purposes for which such Bonds are authorized. Pursuant to such Certificate of Determination or supplement thereto, the State Treasurer shall determine whether or not such notes shall be parity notes or subordinated notes and other matters required for the issuance and sale thereof and under the Master Indenture, particularly Section 203 thereof. Subject to such Certificate of Determination, such notes may be issued in combination with other notes, issued under the Master Indenture.

## ARTICLE V

### No Recourse and Delegation of Authority

**501. No Recourse.** No recourse shall be had for the payment of the principal of or interest on the Bonds or for any claim based thereon or on this Sixth Supplemental Indenture against any member of the Board of Trustees or any officer of the University or the State or any person executing the Bonds and neither the members of the Board of Trustees or officers of the University or the State nor any person executing the Bonds, or with respect to execution of documents hereinafter mentioned, including the Preliminary Official Statement, the Official Statement and any Bond Purchase Agreement, Tax Regulatory Agreement or documents in connection with the authorization, issuance and sale of the Bonds, shall be liable personally thereon or be subject to any personal liability or accountability by reason of the issuance or execution thereof. Pursuant to Section 10a-109s of the Act, the provisions of Sections 4-165 and 5-141d of the General Statutes shall apply to any employee or official of the University or other State agency who is discharging his duties or acting within the scope of his employment in furtherance of the UConn 2000 Infrastructure Improvement Program.

**502. Delegation of Authority to Treasurer.** (a) The Treasurer is delegated, pursuant to the Act on behalf of the University and subject in all respects to the Indenture, the authority to determine with respect to the Bonds the date or dates and maturities (provided, however, that the Bonds issued to finance equipment and library collections shall mature not later than five (5) years from their dated date and the Bonds issued to finance any other of the Projects shall mature not later than thirty (30) years from their dated date); provisions for either Variable Interest Rate Bonds, serial or term bonds, sinking fund requirements, if any; due dates of interest; denominations; the terms, if any, of optional or extraordinary redemption, with or without premium; time or times of sale (subject to the cash flow requirements of the University to cover the cost of the Projects) and manner of sale; interest rates and limitations with respect thereto; provisions for receipt and deposit or investment of the good faith deposit pending delivery; and such other terms and conditions of the Bonds and of the issuance and sale thereof and provisions, if any, pursuant to section 407 hereof, for the sale and issuance of notes or subordinated notes in anticipation of the Bonds all, with respect to the foregoing, as the Treasurer may determine pursuant to this Sixth Supplemental Indenture, particularly Article III hereof, and to be in the best interests of the State and University. The Treasurer shall file a Certificate of Determination with the University and Secretary of the State Bond Commission on or before the date of delivery of each series of the Bonds setting forth the details and particulars of the series of Bonds determined by her in accordance with this delegation. Such Certificate of Determination shall be delivered to the Trustee on or before the date of closing of each series of the Bonds.

(b) The Treasurer is also delegated pursuant to this Sixth Supplemental Indenture of Trust, the authority to consolidate any Bonds authorized and to be issued pursuant hereto with any series of refunding bonds that may be authorized by the Board of Trustees pursuant to a supplemental indenture. The Treasurer shall set forth the particulars of such consolidation in the applicable Certificate of Determination.

(c) The Treasurer is further delegated, pursuant to the Indenture and, in accordance with Section 10a-109g of the Act, pursuant to certain provisions of Section 3-20 of the General Statutes of the State of Connecticut, as amended, the authority to enter into agreements in consultation with the University (through its Authorized Officer for Sale Purposes) with respect to the issuance and sale of the Bonds, including financial advisory agreements, bond purchase agreements, tax regulatory

agreements, investment agreements, and agreements with respect to a Bond or Swap Facility for the Bonds.

**503. Delegation of Authority.** (a) The Chief Financial Officer is hereby delegated and the Treasurer is further delegated, pursuant to the Act, the authority to approve the final terms of and publication and distribution of the Preliminary Official Statement and the Official Statement in connection with the offering and sale of the Bonds and to sign and certify that the Preliminary Official Statement is an official statement that the University deems final as of its date for purposes of Rule 15c2-12 of the Securities and Exchange Commission ("Rule 15c-12"), except for certain permitted omissions described in paragraph (b)(1) of Rule 15c2-12. The Treasurer, in conjunction with the Chief Financial Officer, is further authorized and directed to sign any amendment or supplement or certificate with respect to the Official Statement or the Preliminary Official Statement that may, in his or her judgment, be necessary or appropriate on or before the date of delivery of the Bonds.

(b) Subsequent to adoption of the resolutions of the Board of Trustees authorizing this Sixth Supplemental Indenture, the Authorized Officer for Sale Purposes is hereby authorized to make such changes, insertions, deletions or provisions thereto, respectively, not materially inconsistent with the intent of the provisions of the Master Indenture and this Sixth Supplemental Indenture as so adopted, so as to comply with the requirements of the Treasurer, the Underwriters of the Bonds or the rating agencies and may rely on a Counsel's Opinion for advice with respect to the foregoing. In addition, any Authorized Officer is authorized and directed to sign other documents ancillary to the authorization, issuance and delivery of the Bonds within the scope of such Officer's duties at the University and under the Act.

## ARTICLE VI

### Amendments to the Master Indenture

**601. Definitions.** (a) The following definitions contained in Section 101 of the Master Indenture are hereby amended to read in their entirety as follows:

**"Authorized Officer"** means, in the case of the University, the Chairman or Vice-Chairman of the Board of Trustees, the financial affairs committee of the Board of Trustees (acting by resolution and constituting the finance committee of the Board of Trustees within the meaning of the Act), the President, the Provost and Executive Vice President for Academic Affairs, the Chief Financial Officer, the Controller (for the purposes of making disbursements and investments only), or the Director of Debt Management (for the purpose of making disbursements and investments only), or any other person duly authorized by the bylaws or resolution of the University to perform the act or sign the document in question.

**"Pledged Revenues"** means special revenues, subject to the prior lien on and pledge thereof noted in Section 907 hereof, to be received by the University from fees and charges for certain auxiliary activities, including the Residential Life Room Fee, the Student Apartment Rentals, the Greek Housing Fee, the Board (Dining) Fee, the Infrastructure Maintenance Fee, the Parking and Transportation Fee, the General University Fee, the Student Health and Wellness Fee, the Athletic Stadia FIT Fee and the Student Recreation Center Fee, (1) such other legally available revenues, including but not limited to other fees and charges and Special Eligible Gifts, as the Board of

Trustees may determine to pledge hereunder by or pursuant to a Supplemental Indenture excluding in any event Assured Revenues from the State Debt Service Commitment and the Minimum State Operating Provision and (2) any interest earned or gains realized by the investment of moneys which are treated hereunder as Pledged Revenues and which constitute a part of the Trust Estate.

(b) The following definitions are hereby added to Section 101 of the Master Indenture:

**“Student Health and Wellness Fee”** means the mandatory student fee for health and wellness services.

**602. Coverage Covenant.** Section 903 of the Master Indenture is hereby amended by revising the definition of "Gross Revenue Amount" therein in its entirety as follows:

“Gross Revenue Amount” for the purpose of this section and Section 910 only constitutes that amount of Pledged Revenues with respect to the (i) Infrastructure Maintenance Fee, (ii) the General University Fee, (iii) the Student Health and Wellness Fee, (iv) the Student Recreational Center Fee and (v) the Athletic Stadia FIT Fee, prior to any payments, deductions, offsets or provisions, respectively, and (vi) those Pledged Revenues described in clause (2) of the definition thereof.

## ARTICLE VII

### Amendments to the Fifth Supplemental Indenture

**701. Authorized Principal Amount.** All references in the Fifth Supplemental Indenture to a bond authorization of \$185,000,000 are hereby reduced for all purposes thereof to \$152,000,000, with the effect that the authorized but unissued balance of bonds thereunder is \$0.00.

## ARTICLE VIII

### Miscellaneous

**801. When Effective.** This Sixth Supplemental Indenture shall take effect as of the date of adoption by the Board of Trustees of the University, the acceptance of the Trustee, the approval of the Governor and its execution by the University and the Trustee.

**802. Ratified and Confirmed.** Except as expressly modified herein, the Master Indenture and the Fifth Supplemental Indenture are in all respects ratified and confirmed and all their respective terms, provisions and conditions shall be and remain unaffected and in full force and effect.

**IN WITNESS WHEREOF**, the University of Connecticut has caused this Sixth Supplemental Indenture to be signed by its President and sealed the same with its seal attested by an Authorized Officer, and the Trustee, for itself and its successor or successors, has caused this Sixth Supplemental Indenture to be signed by its duly authorized officer and has by its execution hereof signified its acceptance of the trust hereby created and imposed.

THE UNIVERSITY OF CONNECTICUT

By: \_\_\_\_\_  
Radenka Maric  
Its President

(SEAL)

ATTEST:

By: \_\_\_\_\_  
Jeffrey Geoghegan  
Its Executive Vice President for Finance  
and Chief Financial Officer

U.S. BANK TRUST COMPANY,  
NATIONAL ASSOCIATION, as Trustee

By: \_\_\_\_\_  
Name:  
Title:

**UConn 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM  
Sixth Supplemental Indenture  
UConn 2000 Special Obligation Bond Authorizations<sup>(1)</sup>**

**\_\_\_\_ SERIES \_ BOND PROJECTS**

PROJECT NAME	Total Additional UConn 2000 Bonds (Special Ob) Needed <sup>(2)</sup>	6th Supplemental Indenture Bond Authorization <sup>(3)</sup>
Residential Life Facilities	\$0	\$100,000,000
<b>TOTAL</b>	<b>\$0</b>	<b>\$100,000,000</b>

(1) The Board of Trustees approved the Sixth Supplemental Indenture on June 28, 2023.

(2) It is expected that an additional \$ 248,538,476.01 of General Obligation Bonds (Secured by the Debt Service Commitment) will be authorized for the Project.

(3) The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University, (iii) be made only that the UConn 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

**EXHIBIT A**

[BOND FORM]

NO. AR-\_\_

\$ \_\_\_\_\_

NEITHER THE STATE OF CONNECTICUT NOR ANY POLITICAL SUBDIVISION THEREOF, OTHER THAN THE UNIVERSITY, SHALL BE OBLIGATED TO PAY THE PRINCIPAL OF OR THE INTEREST ON THE BONDS. THE UNIVERSITY IS OBLIGATED TO PAY THE PRINCIPAL OF AND INTEREST ON THE BONDS SOLELY FROM THE TRUST ESTATE. NEITHER THE FAITH AND CREDIT NOR THE TAXING POWER OF THE STATE OF CONNECTICUT OR OF ANY POLITICAL SUBDIVISION THEREOF, INCLUDING THE UNIVERSITY, IS PLEDGED TO THE PAYMENT OF THE PRINCIPAL OF OR INTEREST ON THE BONDS. THE UNIVERSITY HAS NO TAXING POWER.

UNIVERSITY OF CONNECTICUT  
SPECIAL OBLIGATION STUDENT FEE REVENUE BONDS,  
\_\_\_\_\_ SERIES \_

<u>MATURITY DATE</u>	<u>INTEREST RATE</u>	<u>BOND DATE</u>	<u>CUSIP</u>
_____	_____ %	_____	914225__

REGISTERED OWNER: Cede & Co.

PRINCIPAL AMOUNT: \_\_\_\_\_ Dollars (\$ \_\_\_\_\_)

The UNIVERSITY OF CONNECTICUT (the "University"), a body politic and corporate organized and existing under the laws of the State of Connecticut (the "State") for value received, hereby promises to pay to the registered owner identified above or registered assigns on the maturity date set forth above, the principal amount set forth above upon presentation and surrender hereof and in like manner to pay interest on such principal amount from the date hereof until the University's obligation with respect to payment of such principal amount shall be discharged, at the interest rate set forth above, semi-annually on the \_\_\_ day of \_\_\_\_\_ and \_\_\_\_\_ in each year, commencing \_\_\_\_\_, \_\_\_\_\_. Interest on this bond shall be paid by check or draft mailed to the person in whose name this bond is registered as of the close of business on the last day of \_\_\_\_\_ and \_\_\_\_\_ in each year or the preceding business day if such last day is not a business day (the "Record Date"), at such owner's address as shown on the registration books kept by the University or its designated agent. The principal or redemption price, if any, of this bond are payable at the corporate trust office of U.S. Bank Trust Company, National Association, Trustee and Paying Agent under the Indenture (as hereinafter defined), in Hartford, Connecticut, or at the office designated for such payment of its respective successor as paying agent, in any coin or currency of the United States of America which, on the respective dates of payment thereof, is legal tender for the payment of public and private debts.

**This bond and the issue of which it forms a part are special obligations of the University payable solely out of the receipts, funds or moneys held in and secured by a pledge of and lien upon the Trust Estate created under the Special Obligation Indenture (as hereinafter defined), subject only to any agreements permitted by the Indenture (as hereinafter defined) with the holders of particular notes or bonds pledging any particular revenues, receipts, funds or moneys. This bond does not constitute a general obligation of the University or a debt or liability issued or guaranteed by the State including within the meaning of Section 3-21 of the General Statutes of the State or any political subdivision of the State or a pledge of the faith and credit of the State, the University or any political subdivision of the State.**

This bond is one of an authorized issue of Special Obligation Student Fee Revenue Bonds, \_\_\_\_\_ Series \_ of the University in the aggregate principal amount of \$ \_\_\_\_\_ (the “\_\_\_\_\_ Series \_ Bonds”) which are issued for the purpose of providing funds for the UConn 2000 Infrastructure Improvement Program.

The \_\_\_\_\_ Series \_ Bonds will be dated \_\_\_\_\_, \_\_\_\_\_. The \_\_\_\_\_ Series \_ Bonds will be issued as serial bonds which will mature on \_\_\_\_\_ in each of the years and in the amounts and will bear interest payable semiannually on \_\_\_\_\_ and \_\_\_\_\_ in each year, commencing \_\_\_\_\_, \_\_\_\_\_, at the rates per annum set forth below:

<u>Year</u>	<u>Principal Amount</u>	<u>Interest Rate</u>	<u>Year</u>	<u>Principal Amount</u>	<u>Interest Rate</u>
-------------	-------------------------	----------------------	-------------	-------------------------	----------------------

The \_\_\_\_\_ Series \_ Bonds maturing in any one year are issuable in registered form, without coupons, in denominations of five thousand dollars (\$5,000.00) or any integral multiple thereof not exceeding the aggregate amount of \_\_\_\_\_ Series \_ Bonds maturing in such year.

The \_\_\_\_\_ Series \_ Bonds are authorized pursuant to The University of Connecticut 2000 Act, being Sections 10a-109a to 10a-109y, inclusive, of the General Statutes of Connecticut, as amended to date (the “Act”), and are issued pursuant to a resolution adopted by the University’s Board of Trustees on \_\_\_\_\_, \_\_, a Special Obligation Indenture of Trust, dated as of January 1, 1997, as amended, (the “Special Obligation Indenture”), and the Sixth Supplemental Indenture , dated as of \_\_\_\_\_, \_\_\_\_\_ (the “Sixth Supplemental Indenture and, together with the Special Obligation Indenture the “Indenture”), each entered into by and between the University and U.S. Bank Trust Company, National Association, as successor trustee (such trustee and any successor thereto under the Special Obligation Indenture being herein called the “Trustee”), and other proceedings had and taken in conformity therewith, or determined pursuant thereto, including a Series Certificate of Determination relative to the \_\_\_\_\_ Series \_ Bonds dated as of \_\_\_\_\_, \_\_\_\_\_, executed by the State Treasurer, and filed with the Secretary of the State Bond Commission and with the University. Reference to the Indenture and any



and all supplements thereto and modifications and amendments thereof and to the Act is made for a description of the pledges and covenants securing the \_\_\_\_ Series \_ Bonds, the nature, extent and manner of enforcement of such pledges, the rights and remedies of the owners of the \_\_\_\_ Series \_ Bonds with respect thereto and the terms and conditions upon which the \_\_\_\_ Series \_ Bonds are issued and additional bonds may be issued, including for the purpose of refunding outstanding bonds. Terms not defined herein shall have the meanings set forth for such terms in the Indenture. Certified copies of the Indenture are on file in the office of the Trustee and in the office of the University.

[The \_\_\_\_ Series \_ Bonds are NOT subject to redemption prior to maturity.] [The \_\_\_\_ Series \_ Bonds maturing on or after \_\_\_\_\_, \_\_\_\_ are subject to redemption prior to their maturity, at the election of the University, in whole or in part, at any time on or after \_\_\_\_\_, \_\_\_\_ (each herein, the “Redemption Date”), from time to time, and in such maturity or maturities (by lot within a maturity among bonds bearing interest at the same rate) as the University shall determine at the respective redemption prices (expressed as percentages of the principal amount of bonds to be redeemed) set forth in the following table, together with interest accrued and unpaid to the Redemption Date.

<u>Redemption Date</u>	<u>Redemption Price</u>
_____ and thereafter	100%

In the event any of the \_\_\_\_ Series \_ Bonds are to be called for redemption, notice thereof shall be given, by first-class mail, postage prepaid to the registered owners of \_\_\_\_ Series \_ Bonds which are to be redeemed, at their last known addresses, if any, appearing on the registration books of the University at least thirty (30) days but not more than forty-five (45) days prior to the Redemption Date and a second notice of redemption shall be sent by registered or certified mail at such address to any registered owner who has not submitted his \_\_\_\_ Series \_ Bonds to the Trustee or Paying Agent for payment on or before the date sixty (60) days following the date fixed for redemption. Such notices shall specify the maturities of the \_\_\_\_ Series \_ Bonds to be redeemed, the redemption date and the place or places where amounts due upon such redemption will be payable and, if less than all of the \_\_\_\_ Series \_ Bonds are to be redeemed, the letters and numbers or other distinguishing marks of such \_\_\_\_ Series \_ Bonds so to be redeemed, in the case of registered \_\_\_\_ Series \_ Bonds to be redeemed in part only, such notice shall also specify the respective portions of the principal amount thereof to be redeemed, and any conditions precedent to such redemption. Such notice shall further state that, assuming the satisfaction of all conditions precedent to such redemption, on such date there shall become due and payable upon each \_\_\_\_ Series \_ Bond to be redeemed the Redemption Price thereof, or the Redemption Price of the specified portions of the principal thereof to be redeemed in part only, together with interest accrued to the redemption date, and that from and after such date interest thereon shall cease to accrue and be payable.

The failure of any \_\_\_\_ Series \_ Bondowner to receive notice shall not affect the validity of the proceedings for the redemption of \_\_\_\_ Series \_ Bonds. If, on the redemption date, moneys for the redemption of all the \_\_\_\_ Series \_ Bonds or portions thereof, together with interest to the redemption date, shall be held by the Trustee and Paying Agents so as to be available therefor on said date and if notice of redemption shall have been given as aforesaid, and all conditions precedent to such redemption, if any, shall have been satisfied, then, from and after the redemption date, interest on the \_\_\_\_ Series \_ Bonds or portions thereof so called for redemption shall cease to accrue. If said moneys shall not be so available on the redemption date, such \_\_\_\_ Series \_ Bonds or portions thereof shall continue to bear interest until paid at the same rate as they would have borne had they not been called for redemption and, except with respect to any mandatory redemption, shall not be deemed to be in default hereunder.]

This \_\_\_\_ Series \_ Bond is transferable only upon the books of the University, which shall be kept for that purpose at the principal corporate trust office of the Trustee, by the registered owner hereof in person or by his attorney duly authorized in writing, upon surrender hereof together with a written instrument of transfer satisfactory to the Trustee duly executed by the registered owner or his duly authorized attorney. Upon such transfer, the University shall issue in the name of the transferee a new \_\_\_\_ Series \_ Bond of the same aggregate principal amount, tenor and series and maturity and interest rate as this \_\_\_\_ Series \_ Bond.

The University, the Trustee and each Paying Agent may deem and treat the person in whose name this \_\_\_\_ Series \_ Bond is registered upon the books of the University as the absolute owner hereof, whether this \_\_\_\_ Series \_ Bond is overdue or not, for the purpose of receiving payment of, or on account of, the principal or Redemption Price, if any, of and interest on this \_\_\_\_ Series \_ Bond and for all other purposes, and all such payments so made to any such registered owner or upon his order shall be valid and effective to satisfy and discharge the liability upon this \_\_\_\_ Series \_ Bond to the extent of the sum or sums so paid, and neither the University nor any Fiduciary shall be affected by any notice to the contrary.

Anything contained herein to the contrary notwithstanding, so long as the \_\_\_\_ Series \_ Bonds are held by The Depository Trust Company (“DTC”) or its nominee Cede & Co. (or a successor depository or nominee) pursuant to a Letter of Representations between the University and DTC (or a successor depository or nominee) as the same may be amended (the “Letter of Representations”) which was entered into to effect a book-entry system to evidence ownership and transfer of the \_\_\_\_ Series \_ Bonds, if any terms of the \_\_\_\_ Series \_ Bonds (other than the Principal Amount, Interest Rate, Maturity Date, Record Date or payment dates) are inconsistent with the terms of the Letter of Representations, the terms of the Letter of Representations shall prevail. The right of DTC to discontinue providing services as securities depository, the right of the University to discontinue the book-entry system with DTC and the terms and conditions of the obligation of the University to deliver replacement bonds to the beneficial owners of the \_\_\_\_ Series \_ Bonds are all set forth in the Letter of Representations, counterparts of which are on file with the University and DTC.

The Indenture permits, with certain exceptions as therein provided, the amendment thereof and the modification of the rights and obligations of the University and the rights of the holders of the \_\_\_\_ Series \_ Bonds at any time by the University with the consent of the holders of not less than two-thirds in aggregate principal amount of the \_\_\_\_ Series \_ Bond at the time outstanding thereunder. Any such consent shall be conclusive and binding upon each such holder and upon all future holders of each \_\_\_\_ Series \_ Bond and of any \_\_\_\_ Series \_ Bond issued upon the transfer or exchange thereof, whether or not notation of such consent is made thereon. The Indenture also contains provisions permitting the Trustee to waive certain past defaults and their consequences. The holder of this \_\_\_\_ Series \_ Bond shall have no right to enforce the provisions of the Indenture, to institute action to enforce the provisions and covenants thereof or to institute, appear in or defend any suit or other proceedings with respect thereto, except as provided in the Indenture.

This \_\_\_\_ Series \_ Bond is issued pursuant to and in full compliance with the Constitution and laws of the State of Connecticut. It is hereby certified, recited and declared that all acts, conditions and things required to exist, happen and be performed precedent to and in the issuance of this \_\_\_\_ Series \_ Bond do exist, have happened and have been performed in due time, form and manner as required by law and that the issuance of this \_\_\_\_ Series \_ Bond and of the issue of which it forms a part, together with all other obligations of the University, do not exceed or violate any constitutional or statutory limitation.

Neither the officers of the University nor any person executing this \_\_\_\_ Series \_A Bond shall be liable personally or be subject to any personal liability or accountability by reason of the issuance hereof.

After issuance, pursuant to the Act this \_\_\_\_ Series \_ Bond shall be conclusively presumed to be fully and duly authorized and issued under the laws of the State of Connecticut, and any person or governmental unit shall be estopped from questioning its authorization, sale, issuance, execution or delivery by the University.

This \_\_\_\_ Series \_ Bond shall not be valid or become obligatory for any purpose or be entitled to any security or benefit under the Indenture until the certificate or authentication hereon shall have been signed by the Trustee.

IN WITNESS WHEREOF, THE UNIVERSITY OF CONNECTICUT has caused this \_\_\_\_\_ Series \_ Bond to be executed in its name by the manual or facsimile signature of its President and its official seal or a facsimile thereof to be hereunto impressed or imprinted hereon and attested by the manual or facsimile signature of an Authorized Officer all as of the bond date set forth above.

UNIVERSITY OF CONNECTICUT

[SEAL]

By: \_\_\_\_\_  
Radenka Maric  
President

Attest:

By: \_\_\_\_\_  
[ \_\_\_\_\_ ]  
Authorized Officer

TRUSTEE'S CERTIFICATE OF AUTHENTICATION

This bond is one of the bonds of the issue described in the within mentioned Indenture and is one of the Special Obligation Student Fee Revenue Bonds, \_\_\_\_ Series \_\_, of the University of Connecticut. The facsimile signatures of the President and the Executive Vice President for Finance and Chief Financial Officer are genuine facsimile signatures of such officers and the facsimile seal hereon has been adopted by the University of Connecticut as its genuine seal. The legal opinions delivered herewith are true and correct copies of the legal opinions approving the legality of this issue rendered by \_\_\_\_\_ of \_\_\_\_\_, \_\_\_\_\_, Lead Bond Counsel, and \_\_\_\_\_ of \_\_\_\_\_, \_\_\_\_\_, Co-Bond Counsel, as of the date hereof, copies of which are on file with the Trustee.

U.S. BANK TRUST COMPANY, NATIONAL  
ASSOCIATION,  
as Trustee

By \_\_\_\_\_  
Authorized Representative

Date of Authentication: \_\_\_\_\_, \_\_\_\_\_

ASSIGNMENT

FOR VALUE RECEIVED, the Undersigned hereby sells, assigns and transfers unto  
(PLEASE PRINT OR TYPE NAME AND ADDRESS OF ASSIGNEE)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

the within Bond and does hereby irrevocably constitute and appoint  
\_\_\_\_\_ Attorney to transfer the Bond on the books kept for the  
registration thereof, with full power of substitution in the premises.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Notice: The signature to this  
assignment must correspond  
with the name as it appears  
upon the face of the within  
bond in every particular.

In the presence of:

NOTICE: The signature must be guaranteed by an institution that is a member of one of the following  
recognized signature guarantee programs:

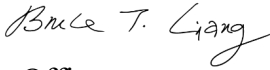
- (1) The Securities Transfer Agents Medallion  
Program (STAMP);
- (2) The New York Stock Exchange Medallion  
Signature Program (MSP);
- (3) The Stock Exchange Medallion Program (SEMP)


# **ATTACHMENT 43**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD   
Interim Chief Executive Officer  
Dean | UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Fiscal Year 2024 Spending Plan for UConn Health

## RECOMMENDATION:

That the Board of Trustees approve the attached Spending Plan for Fiscal Year 2024 in the amount of \$1,541.2 million for UConn Health.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve the Fiscal Year 2024 Spending Plan in the amount of \$1,541.2 million for UConn Health.”

## BACKGROUND:

The FY24 Spending Plan includes \$1,519.2 million of revenue, including estimated state support of \$202.6 million, plus \$22.1 million of unrestricted fund balances from FY23 operations, to cover \$1541.2 million in expenses.

The General Assembly has approved an FY24 budget that includes a block grant of \$111.6 million for UConn Health. It also includes additional one-time state operating support and fringe benefit differential funds of \$91 million. We are grateful to the Governor and General Assembly for their continued support of UConn Health.

UConn Health will continue to manage its budget closely monitoring State support, clinical volume and operating costs. We will also continue to focus on providing excellent patient care, protecting academic excellence, and supporting the research mission.



## UConn Health Center

	Fiscal Year 2024
	Proposed Budget
<b>Revenue and Expense (\$M)</b>	
State Support Salary	\$111.6
State Support Fringe	0.0
Fringe Benefit Differential	4.5
Additional State Support	86.5
Tuition and Fees	33.4
Grants & Contracts	122.5
Interns/Residents	82.8
Net Patient Revenue	895.0
Other Revenue	183.0
<b>Total Operating Revenue</b>	<b>\$1,519.2</b>
Personal Services	\$590.5
Fringe Benefits	171.9
Temporary/Contractual Support	148.7
Drugs/Medical Supplies	287.2
Resident and Fellow house staff	69.0
Utilities	14.7
Interest Expense on Debt Service	8.0
Purchased Services	141.8
Other Expenses	82.4
Capital Projects/Lease and Debt Payments	27.0
<b>Total Operating Expense</b>	<b>\$1,541.2</b>
<b>Prior Year Unrestricted Fund Balance</b>	<b>\$22.1</b>
<b>Excess/(Deficiency)</b>	<b>\$0.0</b>
<b>Non Operating Expense</b>	
Retirement/Pension expense	210.3



Note: Use of decimals may result in rounding differences.

# **ATTACHMENT 44**

# UConn HEALTH

June 28, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD   
Interim Chief Executive Officer  
Dean | UConn School of Medicine  
  
Jeffrey P. Geoghegan, CPA  
Executive Vice President for Finance and Chief Financial Officer

RE: Fiscal Year 2024 Capital Budget Spending Plan for UConn Health

## RECOMMENDATION:

That the Board of Trustees approve a capital budget spending plan of \$104.5 million, for Fiscal Year 2024 which is comprised of \$43 million of State GO bond funds, and \$61.5 million of UConn Health Operating funds.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve a capital budget spending plan of \$104.5 million, for Fiscal Year 2024 for UConn Health.”

## BACKGROUND:

In order to manage all capital fund sources in a strategic and transparent manner, UConn Health is proposing an all funds capital budget for approval.

The proposed capital budget spending plan for FY24 of \$109.5 million is comprised of \$43 million of State GO bond funds, and \$61.5 million of UConn Health Operating funds. The following chart provides the proposed capital budget spending plan.


**UConn Health  
FY24 Capital Budget Spending Plan**

<b>State GO Bonds</b>		
Deferred maintenance, code compliance and infrastructure improvements		30.0
System telecommunications infrastructure upgrades, improvements and expansions		3.0
Equipment, library collections and telecommunications		10.0
<b>Total State GO Bonds</b>		<b>\$43.0</b>
<b>UConn Health Operating Funds</b>		
Campus Renovations		12.0
Clinical Equipment		12.0
Deferred Maintenance		3.1
Information Technology Security and equipment		13.0
Revenue Growth Investment		12.0
Research Capital Use Allowance		8.1
Other/Contingency		1.3
<b>Total UConn Health Operating Funds</b>		<b>\$61.5</b>
<b>Grand Total FY24 Capital Budget</b>		<b>\$104.5</b>

# **ATTACHMENT 45**



June 28, 2023

TO: Members of the Board of Trustees  
FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance & Chief Financial Officer

Anne D'Alleva   
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2024 Student Fees for the University of Connecticut, Storrs and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve increases to select Academic Program Fees and the implementation of optional meal plans at the Avery Point and Stamford Campuses for Fiscal Year 2024, as detailed in the attachments.

RESOLUTION:

“Be it resolved that the University Board of Trustees approve optional meal plans at the Avery Point and Stamford Campuses and Academic Program Fees in the College of Liberal Arts and Sciences and the School of Fine Arts.”

BACKGROUND:

Institutional Fees

Institutional Fees are recommended by senior leadership and include fees such as Housing and Board, specific fees (e.g., Infrastructure Maintenance Fee, Transit Fee, etc.), and other fees such as late fees, escrow fees, etc.

Starting Fall 2023, students at Avery Point may opt for a new UConn meal plan. They may choose from five meals per week with \$50 in dining points for \$830 per semester or ten meals per week with \$50 in dining points for \$1,470 per semester.

In addition, the Stamford Campus will launch a Merchant meal plan where students may buy meal blocks at local restaurants that have partnered with UConn.

Students may decide how many meals to add to their fee bill as both programs are optional.

## Academic Program Fees

Academic Program fees include specific program or course fees and are reviewed and proposed to senior leadership by a committee led by the Provost's Office. Academic Program fees are applied to all students within a specific program.

### **College of Liberal Arts and Sciences**

- Online Graduate Certificate in Nonprofit Management
- Master of Public Administration Fellows Program
- Survey Research – MA and Graduate Certificate

**Recommendation:** To approve a fee increase from \$900/credit to \$1,000/credit (11%).

**Justification:** The rates for these programs will be increased to match the rates of traditional MPA and MPP programs. This change will fund additional student support, marketing, and recruitment. Despite the increase, fees will still be competitive with other institutions. The programs had their last fee increase in 2021 and currently have 23 enrolled students.

### **School of Fine Arts**

- Music Performer's Certificate

**Recommendation:** To approve a fee decrease from \$1,010/credit to \$925/credit (-8%).

**Justification:** The program initially offered in-state tuition to all, irrespective of residency. However, it has shifted to a fee-based model to match the School of Music's other certificate programs and stay competitive. This has resulted in an 8% reduction in the rate.

### **School of Business**

- Executive MBA

**Recommendation:** To approve a rate decrease from \$95,000 to \$90,000 for the full program.

**Justification:** The Executive MBA program's credit requirement will be reduced from 48 to 42. This change will result in a decrease in program costs, and the new rate will be in line with our competitors at this credit level.

# **INFORMATIONAL ITEMS**



**University of Connecticut Department of Human Resources**  
**Hires Processed from March 31, 2023 to May 31, 2023**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Alyeldin,Sameh	Envir Health and Safety Spec 2	Environmental Hlth and Safety	4/21/2023
Barretta,Ryan	Research Asst 1	CT Transportation Institute	5/19/2023
Bartholomew,Jenna A	Research Asst 1	Environmental Sci and Engr Ctr	5/19/2023
Beausoleil,Travis	Student Services Prog Mngr 2	Avery Point Campus	5/24/2023
Blain,Ashley Lynne	Training & Development Coord	Environmental Hlth and Safety	4/7/2023
Boyko Jr,Wayne R.	Systems Administrator 2	Campus Technology Services	4/21/2023
Budarz,Daniel	Grants and Contracts Assistant	Sponsored Programs	4/21/2023
Clark,Megan Marie	Systems Administrator 2	Enterprise Systems	4/21/2023
Corrao,Vanessa	Ed Program Coordinator	CT Transportation Institute	5/19/2023
Costa,Lisa K.	U Staff Professional 1	University Compliance	5/5/2023
Doming,Alex Joseph	Grants and Contracts Spec	InCHIP	5/19/2023
Dyer,Thomas E	U Staff Professional 1	Audit and Mgmt Advisory Svcs	5/5/2023
Eugenio Jr,Paul Myles	Postdoctoral Research Assoc	Physics	5/5/2023
Feely,Matthew	Contract Specialist 2	Vice President for Research	5/19/2023
Friis,Sarah Elizabeth	Sr Grants & Contracts Spclist	Sponsored Programs	5/19/2023
Fullerton,Joseph	U Staff Professional 2	Office of Sustainability	4/7/2023
Gardiner,Jordan	Software Developer 1	Student Affairs Info Tech	4/7/2023
Goldfarb,Jessica Leah	Academic Advisor 1	Data Science	5/5/2023
Grosse,Christopher D	U Staff Professional 2	Athletics Marketing	5/19/2023
Halloran,Hannah Joyce	Student Services Prog Coord	Dean of Students	4/21/2023
Hamilton,Ashley Paige	Research Asst 1	CT Ntl Estuarine Research Rsrv	4/21/2023
Heacox,Kathryn Elizabeth	Admin Program Support 2	Athletics Compliance Office	4/7/2023
Hilliard,Amalia Paige	Specialist IA	Athletics MI Football	5/11/2023
Hoyt,Savannah Jade	Postdoctoral Research Assoc	Molecular and Cell Biology	5/5/2023
Jagiello,Nicole H	Grants and Contracts Assistant	Materials Science Institute	5/19/2023

**University of Connecticut Department of Human Resources  
Hires Processed from March 31, 2023 to May 31, 2023  
Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Kattithara Joseph,Carmel Christy	Postdoctoral Research Assoc	Gladstein Fam Human Rights Ins	4/7/2023
Labonte,Rebecca Jane	Ed Program Assistant 2	Engineering	5/5/2023
Liston,Cameron J	U Staff Professional 3	Institutional Equity	4/7/2023
Makarska,Kora Maria	Research Asst 1	Psychological Sciences	5/5/2023
Marshall,Megan K	Sr Student Services Prog Admin	Student Activities	4/7/2023
McClure,Andrew William	Specialist IA	Athletics MI Football	5/23/2023
Mohapatra,Debashrita	Postdoctoral Research Assoc	Agricultural and Resource Econ	4/21/2023
Murray,Matilda	Business Ops Specialist 1	Plant Sci and Landscape Arch	5/5/2023
Palliardi,Jessica Elaine	Ed Program Administrator	CETL	5/19/2023
Palumbo,Erica Jane	Sr Student Services Prog Admin	Students with Disabilities Ctr	5/5/2023
Perez Velazquez Sr,Carlos Geovany	Data Analyst 1	Student Affairs Info Tech	5/19/2023
Pichardo,Rossy	Ed Program Assistant 1	Digital Media Design	5/5/2023
Reilly,Sean	Financial Assistant 1	Chemistry	4/7/2023
RODGERS,CHRISTIE ANN	Sr Grants & Contracts Spclist	Sponsored Programs	5/19/2023
Rohn,Katherine Chau	Postdoctoral Research Assoc	Educational Leadership	4/7/2023
Santos,Crismar Margarita	Academic Advisor 1	ISS Cntr for Access PScnd Succ	5/19/2023
Schwab,Emily Rose	Sr Student Services Prog Admin	Enrichment Programs	4/7/2023
Senechal III,Richard Joseph	U Staff Professional 1	Dining Services	4/21/2023
Shea,Sarah Marie	Academic Advisor 2	Engineering Undergrad Programs	5/5/2023
Shi,Katie	Computer Support Specialist 2	Campus Technology Services	5/5/2023
Sorrentino,Kimberly A.	Educational Program Manager 2	Education	5/19/2023
Webb,Hunter James	Specialist IA	Athletics MI Football	5/5/2023
White Sr,Tristan Harris	Academic Advisor 1	ISS Cntr for Access PScnd Succ	5/19/2023
Yavuz,Mustafa Selman	Research Assoc 1	Materials Science Institute	5/19/2023
Zhu,Yizhi	Institutional Resrch Analyst 2	Budget, Plan and Inst Research	5/19/2023

**University of Connecticut Department of Human Resources  
Separations Processed from March 31, 2023 to May 31, 2023  
Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Aguero,Jorge M	Assoc Professor	Death	Economics	5/8/2023
Ali,Tabassum	Student Clinical MHS Manager	Separation	Student Health and Wellness	4/7/2023
Arbelo,Ulises	Ed Program Assistant 1	Separation	Physiology and Neurobiology	4/15/2023
Barkley,Nicole Erin	U Ed Asst 2	Separation	Tech Comm and Industry Rltns	5/5/2023
Bell,Donald James	Career Consultant	Separation	Law	4/29/2023
Brown,Tiffany	Asst Professor	Separation	Educational Leadership	5/13/2023
Capaldi,Panagiota C	Business Ops Specialist 1	Separation	Connecticut Repertory Theatre	4/21/2023
Chaudhri,Nivedita	Postdoctoral Research Assoc	Separation	Chemistry	5/19/2023
Chowdhury,Pallabita	Postdoctoral Research Assoc	Separation	Pharmaceutical Science	5/6/2023
Dann,Amy R	IT Team Lead 1	Separation	CLAS Information Technology	5/2/2023
Debald,Helena Adairre	Student Services Prog Coord	Separation	ISS 1st Yr Prog and Learn Cmty	4/7/2023
Delello,Christopher	Chief Human Resources Officer	Separation	HR and Organizational Dev	5/2/2023
Demeola,Karen L	U Staff Professional 3	Separation	Law	5/4/2023
Devarakonda,Parvathi Madhavi	Postdoctoral Research Assoc	Separation	Molecular and Cell Biology	4/24/2023
Diamond,Aynsley	Academic Asst 4	Separation	Faculty Development	5/5/2023
Eakins,Sharleen May	Ed Program Assistant 2	Separation	Engineering	5/5/2023
Ennis,Pamela Memmott	Admin Program Support 4	Separation	Werth Institute	4/22/2023
Gardiner,Jordan	Software Developer 1	Separation	Student Affairs Info Tech	4/21/2023
Hamlin,Ann M	Laboratory Technician 3	Retirement	Physiology and Neurobiology	5/1/2023
Harris,Edward B	Financial Analyst 1	Separation	Agriculture,Health,Natural Rcs	4/8/2023
He,Wu	Academic Asst 3	Separation	Ctr Open Research Resrcs/Equip	3/26/2023
Heavenor,Justin C	Facilities Manager 1	Separation	CLAS Facility Services	5/5/2023
Howard,Rachel Lauren	Student Services Prog Assist 2	Separation	Athletics	3/31/2023
Huang,Yawen	Postdoctoral Research Assoc	Separation	Natural Resources and Environ	3/25/2023
Inzirillo,Bridget	U Staff Professional 3	Separation	Provost Academic Affairs	4/21/2023
Lee,Kevin Xin De	Postdoctoral Research Assoc	Separation	Clean Energy Engineering Ctr	4/29/2023
Leonard,Conner Robert	Publicity/Marketing Admin	Separation	Athletics Marketing	4/27/2023
Lirot,Erin E	Admin Program Support 2	Separation	FO Business Service Center	5/7/2023

**University of Connecticut Department of Human Resources  
 Separations Processed from March 31, 2023 to May 31, 2023  
 Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Lohr,Karen Louise	Residence Hall Director	Separation	Residential Life	4/7/2023
Lord,Dorian R	U Staff Professional 1	Retirement	Institutional Equity	5/1/2023
Marty-Rivera,Michael	Research Asst 3	Separation	Ecology and Evolutionary Bio	4/1/2023
Medina,Sarah M.	Financial Assistant 1	Separation	Sponsored Programs	3/31/2023
Melhorn,Maggie Catherin	Specialist IA	Separation	Athletics Strength Center	4/5/2023
Mohan,Anusha	Research Asst 2	Separation	Psychological Sciences	5/1/2023
Mueller,Megan Anne	Research Asst 3	Separation	Earth Sciences	5/6/2023
Norze,Jeantyl	Academic Asst 2	Separation	Agriculture,Health,Natural Rcs	4/4/2023
Nouri Gharajalar,Sahar	Research Assoc 2	Separation	Molecular and Cell Biology	4/7/2023
Omsberg,Cameron	Specialist IA	Separation	Athletics MI Soccer	4/25/2023
Petrarca,Jacob	Specialist IA	Separation	Athletics MI Football	3/27/2023
Pham,Julie	Research Asst 1	Separation	InCHIP	4/25/2023
Powell,Kathleen M	Admin Program Support 2	Separation	Career Development Center	4/29/2023
Restrepo,Melina Raquel	Ed Program Assistant 2	Separation	Law	4/1/2023
Riggs,Parker Anderson	Asst Mngr Ath Equip Facilities	Separation	Athletics Equipment Center	5/13/2023
Sabra,Rayan	Postdoctoral Research Assoc	Separation	Pharmaceutical Science	4/22/2023
Schrier,Michael E	Executive Director	Separation	Planning, Design and Construct	5/12/2023
Schulz,Cheri L	Asst Coach (Specialist IA)	Separation	Athletics WI Field Hockey	5/2/2023
Tsui,Dennis	Ed Program Assistant 1	Separation	Plant Sci and Landscape Arch	4/7/2023
Twiss,Susan L	Admin Program Support 2	Separation	University Communications	5/2/2023
Vanden Berg-Foels,Wendy	Asst Professor	Separation	Biomedical Engineering	4/7/2023
Wice,Rachel Adrienne	U Staff Professional 1	Separation	Provost Academic Affairs	5/19/2023
Williams,Charles Joseph	Research Asst 1	Separation	Psychological Sciences	5/5/2023
Zimon,Cara J	Ed Program Assistant 2	Separation	Education	4/7/2023

**University of Connecticut Department of Human Resources**  
**Leaves of Absence Processed through 6/14/23**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY
			START	END		STATUS
Meyer, Katherine	Research Assoc 2	Educational Psychology	3/27/2023	3/31/2023	Maternity	Unpaid
Meyer, Katherine	Research Assoc 2	Educational Psychology	4/3/2023	4/18/2023	Bonding	Unpaid
Li, Naren	Asst Research Professor	Physiology and Neurobiology	3/8/2023	5/8/2023	Personal	Unpaid
Olinghouse, Natalie	Assoc Professor	Educational Psychology	2/24/2023	5/8/2023	Medical	Unpaid
Olinghouse, Natalie	Assoc Professor	Educational Psychology	5/9/2023	5/22/2023	Medical	Partial Pay - 25%
Coble, Denis	Assoc Professor	Allied Health Sciences	5/2/2022	5/22/2023	Medical	Unpaid

# **COMMITTEE AGENDAS**

# AGENDA

Meeting of the  
**BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE**  
**June 13, 2023 at 10:00 a.m.**  
**University of Connecticut**

Meeting held by Telephone

Public Call In Number:

(415) 655-0002 US Toll

Access Code: 2624 555 4568

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **10:00 a.m.**

1. Public Participation\*

\* Individuals who wish to speak during the Public Participation portion of the Tuesday, June 13, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, June 12) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

**TAB**

- |  |   |
|--|---|
| 2. Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of April 12, 2023, as circulated                  | 1 |
| 3. FY24 Capital Budget Presentation<br>➤ Presenter: Reka Wrynn, Associate Vice President for Budget, Planning and Institutional Research | 2 |
| 4. Approval of Revised Capital Projects Policies & Procedures Manual for Finance   | 3 |
| 5. Construction Assurance Office Report – June 2023<br>➤ Presenter: David Hook, Construction Auditor                                     | 4 |
| 6. Proposed New Lease for 36 North Main Street, Waterbury CT   | 5 |

7. UConn Health Updates, Facilities Development and Operations 6  
 ➤ Presenter: George Karsanow, Associate Vice President for UConn Health  
 Campus Planning, Design & Construction
8. Project Updates ~ Storrs Based Programs 7  
 ➤ Presenter: Laura Cruickshank, Associate Vice President for University Planning,  
 Design and Construction

9. **Projects Reviewed by BGE and to be presented to Financial Affairs on 06/27/23:**

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	
School of Nursing Building	Planning	\$2,000,000	8
Field House – Old Rec Center Renovation	Final	\$11,000,000	9
Boiler Plant Equipment Replacement and Utility Tunnel Connection	Revised Final	\$43,000,000	10
South Campus Infrastructure	Revised Final	\$82,000,000	11
Andover Infrastructure and Software Upgrade Phases I, II, & III	Revised Final	\$3,480,439	12
Wired Access Layer Cabling – Phase V	Final	\$2,000,000	13
 <u>UCONN HEALTH</u>			
Cryo Electron Microscope Installation	Planning	\$960,000	14
Connecticut Tower (CT) 7 <sup>th</sup> Floor Med- Surg/Observation Unit Renovation	Planning	\$2,500,000	15
Hybrid OR#2 Fit-Out	Planning	\$7,100,000	16
Interventional Radiology Equipment Replacement & Renovation	Planning	\$4,700,000	17
Muscular Skeletal Institute Rehabilitation Expansion	Planning	\$640,000	18
Outpatient Pavilion 3 <sup>rd</sup> Floor Backfill	Planning	\$3,300,000	19
Outpatient Pavilion X-Ray & Blood Draw Relocation	Planning	\$650,000	20
Cadaver Lab Renovation and Air Handling Unit Replacement	Design	\$1,800,000	21
Cell & Genome Science Building Data Center Cooling System Upgrades	Design	\$650,000	22
Central Sterile Washer & Sterilizer Replacement	Design	\$3,985,000	23
New England Sickle Institute Renovation	Design	\$4,865,000	24
TB-121 Blood Bank Relocation	Final	\$765,000	25
Building D & Building N Roof Replacement	Final	\$2,500,000	26
Building E & Building K Roof Replacement	Final	\$2,160,000	27
Canzonetti (F) Building Wound Care Center Renovation	Final	\$1,225,000	28
Transitional Nursery Renovation	Final	\$1,800,000	29



## **INFORMATION ITEMS:**

10. Summary of Individual Change Orders Greater Than 3% of Project Cost (Storrs based projects) 30
11. Status of Code Correction Projects 31
  - Construction Management Oversight Committee Quarterly Code Correction Status Report – Code Exception Report
  - Quarterly Construction Status Report, Period Ending March 31, 2023  
[UConn\\_Quarterly\\_Construction\\_Status\\_Report\\_03312023web.pdf](https://bpir.uconn.edu/home/capital-budget/capital-reports-and-presentations/construction-status-reports/)
12. Construction Projects Status Report  
<https://bpir.uconn.edu/home/capital-budget/capital-reports-and-presentations/construction-status-reports/>
13. Other Business
14. Executive Session (*As Needed*)
15. Adjournment

## AGENDA

### Meeting of the CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE (CMOC)

**June 13, 2023, at 10:00 a.m.**

**University of Connecticut**

#### Meeting held by Telephone

Public Call In Number:

(415) 655-0002 US Toll

Access Code: 2624 555 4568

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **10:00 a.m.**

#### 1. Public Participation\*

\*Individuals who wish to speak during the Public Participation portion of the Tuesday, June 13, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, June 12) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

#### 2. Construction Management Oversight Committee Membership

#### 3. Executive Session (*As Needed*)

#### 4. Adjournment

## SPECIAL TELEPHONE MEETING

### UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES COMMITTEE FOR DIVERSITY, EQUITY and INCLUSION

#### AGENDA

Meeting held by Telephone

May 23, 2023

Public Call-in Number: (415) 655-0002 US Toll

Access Code: 2621 492 4008

Public Streaming Link (with live captioning): <http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **12:30 p.m.**

1. Public Participation (limited to agenda items)\*

\* Individuals who wish to speak during the Public Participation portion of the Tuesday, May 23, meeting must do so 24 hours in advance of the meeting's start time (i.e., 12:30 p.m. on Monday, May 22) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of the public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments via [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2. Minutes of the Special Meeting of November 15, 2022

3. Welcome and Introductions of Committee Members – Dr. Andrea Dennis-LaVigne, Committee Vice-Chair

4. ODI Updates – Dr. Franklin Tuitt, Vice President and Chief Diversity Officer, Office for Diversity and Inclusion

a. Truth, Racial Healing and Transformation (TRHT) Campus Center – Dr. Jeffrey Hines, Associate Vice President and Chief Diversity Officer, UConn Health

5. U.S. Anti-Black Racism Course – Drs. Franklin Tuitt, VP/CDO and Anne D'Alleva, Provost & Executive Vice President for Academic Affairs

6. Faculty & Staff Diversity Data at UConn – Dr. Anne D'Alleva, Provost & Executive Vice President for Academic Affairs

7. Faculty & Staff of Color Townhalls – Dr. David Embrick, Associate Professor of Sociology & Africana Studies Institute

8. Executive Session (as needed)

9. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu) prior to the meeting.*

## Telephone Meeting

### University of Connecticut Board of Trustees Institutional Advancement Committee Monday, June 12, 2023, at 1:00 p.m.

#### AGENDA

##### Meeting held by Telephone

Public Call-In Number: (415) 655-0002 US Toll

Access Code: 2620 278 8059

Public Streaming Link (with live captioning): <http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

1. Public Participation\* .....Dr. Dennis-LaVigne  
\*Individuals who wish to speak during the Public Portion of the Monday, June 12, meeting must do so 24 hours in advance of the meeting's start time (i.e., 1:00 p.m. on Friday, June 9) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.
2. Meeting Minutes of February 7, 2023.....Dr. Dennis-LaVigne  
**Attachment 1**
3. Naming Resolution .....Dr. D'Alleva  
**Attachment 2**
  - **Recognition of Susan Herbst**
4. Naming Resolutions .....Mr. Greenblatt  
**Attachment 3**
  - **Modification of the Carole and Ray Neag Innovation Professorship**
  - **Named Gift Opportunities for Plant & Soil Health Center**
5. Proposed Statement of Work for the UConn Foundation for Fiscal Year 2024 ..... Mr. Geoghegan  
**Attachment 4**
6. Legislative Update.....Ms. Lombardo
7. UConn Foundation / Alumni / Communications Update.....Ms. Cotton Kelly & Mr. Greenblatt
8. University Communications Update.....Mr. Kendig  
**Attachment 5**
9. Other Business
10. Executive Session (as needed)
11. Adjournment

**University of Connecticut & UConn Health  
Joint Audit & Compliance Committee Meeting**

**June 15, 2023**

**Agenda**

10:00 am – 10:30 am - Executive Session / 10:30 am – 12:00 pm - Public Session

**Meeting held by Telephone**

Public Call in # +1-415-655-0002 US Toll

Access code: 2622 327 5325

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Topic	Proposed Action	Attachment
<b>Executive Session Anticipated</b>	Review	None
<b>1. GENERAL</b>		
<ul style="list-style-type: none"> <li>• Public Comment*</li> </ul>		None
<ul style="list-style-type: none"> <li>• Minutes of the March 23, 2023 Meeting</li> </ul>	Approval	1.1
<b>2. EXTERNAL AUDIT ACTIVITIES</b>		
<ul style="list-style-type: none"> <li>• Status of External Audit Engagements</li> </ul>	Update	2.1
<ul style="list-style-type: none"> <li>• Auditors of Public Accounts - UConn and UConn Health annual audit of Federal Funds required under the Federal Single Audit Act – FY22 <a href="https://wp.cga.ct.gov/apa/wp-content/cgacustom/reports/FullReports/STATEWIDE_FULL_20230329_FY2022.pdf">https://wp.cga.ct.gov/apa/wp-content/cgacustom/reports/FullReports/STATEWIDE_FULL_20230329_FY2022.pdf</a></li> </ul>	Presentation	2.2
<ul style="list-style-type: none"> <li>• Annual 340B Drug Pricing Program Audits - Pharmacy Consultants, Inc. for the period June 1, 2022-November 30, 2022, as well as Findings and Areas for Improvements for:                             <ul style="list-style-type: none"> <li>• John Dempsey Hospital (JDH)</li> <li>• Division of Infectious Diseases (Ryan White Part A)</li> <li>• Hemophilia Treatment Center (HTC)</li> </ul> </li> </ul>	Presentation	
	Audit Report	2.3
	Audit Report	2.4
	Audit Report	2.5
<b>3. SIGNIFICANT INTERNAL AUDIT ACTIVITIES</b>		
<ul style="list-style-type: none"> <li>• Status of Audit Assignments</li> </ul>	Update	3.1
<ul style="list-style-type: none"> <li>• Status of Audit Findings</li> </ul>	Update	3.2
<ul style="list-style-type: none"> <li>• AMAS Organization Chart</li> </ul>	Update	3.3
<b>4. COMPLIANCE ACTIVITIES</b>		
<ul style="list-style-type: none"> <li>• Significant Compliance Activities</li> </ul>	Update	4.1
<ul style="list-style-type: none"> <li>• Compliance Education and Awareness Annual Report</li> </ul>	Presentation	4.2
<ul style="list-style-type: none"> <li>• 2023 Reports and Investigations Data Summary</li> </ul>	Presentation	4.3
<ul style="list-style-type: none"> <li>• Informational/Educational Items</li> </ul>	Informational	4.4
<b>5. INFORMATION TECHNOLOGY</b>		
<ul style="list-style-type: none"> <li>• UConn</li> </ul>	Update	5.1
<ul style="list-style-type: none"> <li>• UConn Health</li> </ul>	Update	5.2
<b>6. OTHER BUSINESS</b>		
<b>7. ADJOURNMENT</b>		

\* Individuals who wish to speak during the Public Participation portion of the Thursday, June 15, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Wednesday, June 14) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comments to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

# Academic Affairs

# SPECIAL TELEPHONE MEETING

Board of Trustees  
**ACADEMIC AFFAIRS COMMITTEE**

University of Connecticut

Friday, June 23, 2023

12:00 p.m.

## AGENDA

### Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 2621 948 3989

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **12:00 p.m.**

1. Public Participation (limited to agenda items)\*

\* Individuals who wish to speak during the Public Participation portion, limited to the agenda items, of the Friday, June 23, meeting must do so 24 hours in advance of the meeting's start time (i.e., 12:00 p.m. on Thursday, June 22) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

### ACTION ITEMS:

### ATTACHMENT

- |   |   |
|---|---|
| 2. Minutes of the Academic Affairs Committee Meeting of April 18, 2023  | 1 |
| 3. Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2022-2023                                     | 2 |
| 4. Tenure at Hire   | 3 |
| 5. Designation of Emeritus Status   | 4 |
| 6. Sabbatical Leave Recommendations   | 5 |
| 7. Appointment of Professor Robert Day to the Robert Cizik Professorship in Manufacturing and Technology Management in the School of Business | 6 |

- |  |    |
|--|----|
| 8. Appointment of Professor John Mathieu to the GE Capital Professor in Business in the School of Business   | 7  |
| 9. Reappointment of Professor Timothy B. Folta to the Thomas John and Bette Wolff Family Chair in Strategic Entrepreneurship in the School of Business                       | 8  |
| 10. Appointment of Professor James Waller to the Christopher J. Dodd Chair in Human Rights Practice in the Gladstein Family Human Rights Institute, Office of Global Affairs | 9  |
| 11. Appointment of Associate Professor Jessica Rouge to the Paul Krenicki Professorship of Chemistry in the College of Liberal Arts and Sciences                             | 10 |

**INFORMATION ITEMS:**

- |   |   |
|---|---|
| 12. Academic Program Inventory                  | A |
| 13. Academic Centers & Institutes               | B |
| 14. Provost's Summit for Student Success Update |   |
| 15. Executive Session ( <i>as needed</i> )      |   |
| 16. Adjournment                                 |   |



**ATTACHMENT A**

June 23, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Academic Program Inventory

BACKGROUND:

The Office of Higher Education maintains an inventory of approved academic programs offered by public and independent colleges and universities in Connecticut. Those listings are available to the general public through the Office's web site and provide the most accurate up-to-date information about programs of study in our state.

The information on the Inventory of Approved Academic programs is an important resource and is used to convey educational information to a broad range of constituencies, both in Connecticut and across the country. Additionally, in order for veterans to receive their earned educational benefits, they must be enrolled in a program that is accredited.

The following non-substantive changes and updates are provided to the Board for informational purposes.

- Masters of Business Administration new area of concentration: Supply Chain Management
- Undergraduate program name change *from* Agriculture and Natural Resources (AGNR) *to* Agriculture, Health and Natural Resources (AHNR)

**ATTACHMENT B**

June 23, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Academic Centers and Institutes

The *By-Laws of the University of Connecticut*, Article XII, state that “All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University’s mission,” and that the “Provost will routinely inform the Board of Trustees ... of the establishment and discontinuation of all centers and institutes.” This document represents such notice.

BACKGROUND:

Following review and deliberation, the following Academic Centers and Institutes are being established, renewed or discontinued:

Established

- Nursing and Engineering Innovation Center, School of Engineering & School of Nursing
- National Institute for Undersea Vehicle Technology, School of Engineering
- Center for mHealth and Social Media, Institute for Collaboration on Health, Intervention and Policy

Renewed for five-year term

- Center for Behavioral Education and Research, Neag School of Education
- Center for Land Use Education and Research, College of Agriculture, Health and Natural Resources
- Goldenson Center for Actuarial Research, College of Liberal Arts and Sciences
- Insurance Law Center, School of Law

Discontinued

- Center for the Advancement of Business Analytics, School of Business
- Wildlife and Fisheries Conservation Research Center, College of Agriculture, Health and Natural Resources

Mission statements for established and renewed Academic Centers and Institutes are available on the following pages.

### Nursing and Engineering Innovation Center, School of Engineering & School of Nursing

The mission of the NursEng Innovation Center is to advance healthcare, workforce, and economic development through interdisciplinary collaborations between nursing and engineering that promote innovations in health technology.

### National Institute for Undersea Vehicle Technology, School of Engineering

The National Institute for Undersea Vehicle Technology (NIUVT) is a partnership between the University of Connecticut (UConn), University of Rhode Island (URI) and General Dynamics Electric Boat (EB) to enhance performance and reduce costs of the shipbuilding process while ensuring that advanced technologies are implemented into the next generation and next platform of undersea vehicles. NIUVT builds upon the experience and expertise of EB, UConn and URI to engage in research, technology transition, and workforce development. Through these activities, NIUVT will develop the personnel and knowledge needed to accelerate critical research and enhance U.S. superiority in submarine and other undersea vehicle technologies.

### Center for mHealth and Social Media, Institute for Collaboration on Health, Intervention and Policy

The mission of the UConn Center for mHealth and Social Media is to increase capacity for digital health and social media research at UConn and affiliated campuses, create research collaborations, facilitate industry-academic partnerships, and to become an international leader in the use of technology to improve public health.

### Center for Behavioral Education and Research, Neag School of Education

The purpose of CBER is to conduct rigorous research and translate and disseminate empirically supported practices that promote equity and improve educational outcomes for all learners, especially those with or at risk for learning and behavioral difficulties.

### Center for Land Use Education and Research, College of Agriculture, Health and Natural Resources

The mission of the Center for Land Use Education and Research (CLEAR) is to provide information and assistance to land use decision makers and other audiences in support of better land use decisions, healthier natural resources, and more resilient communities.

### Goldenson Center for Actuarial Research, College of Liberal Arts and Sciences

To provide high quality applied actuarial research services to serve the needs of the insurance and financial services industry in the region. The strategy to achieve this is by partnering top actuarial students and faculty at UConn with actuarial professionals in industry in applied research projects.

### Insurance Law Center, School of Law

The Insurance Law Center was founded in 1998 with a generous endowment from the insurance community and is unique for our interdisciplinary research on the role of insurance in society. Our full-time faculty brings a wide array of disciplines, including law, economics, finance, history, sociology, linguistics and philosophy, to the study of financial services law. Together with our experienced adjunct faculty, our students, financial regulators, members of the insurance bar and fellow scholars at other universities, we explore the issues of the day in scholarly workshops and conferences. The center also hosts visiting scholars from around the world, has an insurance law collection unmatched by any other U.S. university, and collaborates in publication of the Connecticut Insurance Law Journal.

# Financial Affairs

# AGENDA

Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**June 27, 2023, at 10:00 a.m.**  
**University of Connecticut**

Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 2622 855 7564

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **10:00 a.m.**

1) Public Participation\*

\* Individuals who wish to speak during the Public Participation portion of the Tuesday, June 27, 2023, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, June 26) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

**ACTION/PRESENTATION ITEMS:**

**TAB**

- |   |   |
|---|---|
| 2) Minutes of the Financial Affairs Committee Meeting of May 17, 2023   | 1 |
| 3) Contracts and Agreements for Approval  | 2 |
| 4) Proposed New Lease for 36 North Main Street, Waterbury, CT   | 3 |
| 5) FY24 Budget Presentation   | 4 |
| 6) Fiscal Year 2024 Spending Plan for the University of Connecticut, Storrs & Regional Campuses   | 5 |
| 7) Fiscal Year 2024 Capital Budget for the University of Connecticut, Storrs and Regional Campuses  | 6 |
| 8) Revised Allocation of Bond Authorizations as set forth in the Twenty-Ninth Supplemental Indenture (University of Connecticut General Obligation Bonds) | 7 |
| 9) Thirtieth Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds  | 8 |

10) Sixth Supplemental Indenture Authorizing \$100,000,000 of Special Obligation Student Fee Revenue Bonds and Amending the Special Obligation Indenture of Trust and the Fifth Supplemental Indenture	9
11) Fiscal Year 2024 Spending Plan for UConn Health	10
12) Fiscal Year 2024 Capital Budget Spending Plan for UConn Health	11
13) Fiscal Year 2024 Student Fees for the University of Connecticut, Storrs and Regional Campuses	12
14) Statement of Work for the UConn Foundation for Fiscal Year 2024	13

### **CAPITAL PROJECT BUDGETS FOR APPROVAL:**

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	
15) School of Nursing Building	Planning	\$2,000,000	14
16) Field House – Old Rec Center Renovation	Design	\$4,500,000	15
17) Boiler Plant Equipment Replacement and Utility Tunnel Connection	Revised Final	\$43,000,000	16
18) South Campus Infrastructure	Revised Final	\$82,000,000	17
19) Andover Infrastructure and Software Upgrade Phases I, II, & III	Revised Final	\$3,480,439	18
20) Wired Access Layer Cabling – Phase V	Final	\$2,000,000	19
 <u>UCONN HEALTH</u>			
21) Cryo Electron Microscope Installation	Planning	\$960,000	20
22) Connecticut Tower (CT) 7 <sup>th</sup> Floor Med-Surg/Observation Unit Renovation	Planning	\$2,500,000	21
23) Hybrid OR#2 Fit-Out	Planning	\$7,100,000	22
24) Interventional Radiology Equipment Replacement & Renovation	Planning	\$4,700,000	23
25) Musculoskeletal Institute Rehabilitation Expansion	Planning	\$640,000	24
26) Outpatient Pavilion 3 <sup>rd</sup> Floor Backfill	Planning	\$3,300,000	25
27) Outpatient Pavilion X-Ray & Blood Draw Relocation	Planning	\$650,000	26
28) Cadaver Lab Renovation and Air Handling Unit Replacement	Design	\$1,800,000	27
29) Cell & Genome Sciences Building Data Center Cooling System Upgrades	Design	\$650,000	28
30) Central Sterile Washer & Sterilizer Replacement	Design	\$3,985,000	29
31) New England Sickle Institute Renovation	Design	\$4,865,000	30
32) TB-121 Blood Bank Relocation	Final	\$765,000	31
33) Building D & Building N Roof Replacement	Final	\$2,500,000	32
34) Building E & Building K Roof Replacement	Final	\$2,160,000	33
35) Canzonetti (F) Building Wound Care Center Renovation	Final	\$1,225,000	34
36) Transitional Nursery Renovation	Final	\$1,800,000	35



**INFORMATION ITEMS:**

37) Construction Project Status Report

<https://bpir.uconn.edu/home/capital-budget/capital-reports-and-presentations/construction-status-reports/>

38) Other Business

39) Executive Session (*as needed*)

40) Adjournment

**ATTACHMENT A**

**CONSTRUCTION PROJECTS STATUS REPORT**  
**BOARD OF TRUSTEES MEETING -June 28, 2023**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/7/23)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Planning Budget Phase</b>								
<b>School of Nursing Building</b>	TBD	\$2,000,000	\$0	P	UCONN 2000 Bond Funds	06/28/23-pending		
<b>Design Budget Phase</b>								
Eversource Second Electrical Feed	300136	\$3,000,000	\$1,723,530	D-\$3M	UCONN 2000 Bond Funds	12/08/21		
Jones Annex Renovation	300203	\$940,000	\$464,682	D-\$940k	UCONN 2000 Bond Funds	09/28/22		
<b>Revised Design Budget Phase</b>								
Mirror Lake Improvements	300174	\$4,000,000	\$2,488,718	D-\$1.6M RD-\$2.6M RD- \$4.0M	UCONN 2000 Bond Funds	10/27/21 03/30/22 04/19/23		
<b>Final Budget Phase</b>								
Academic and Research Facilities - STEM Research Center	901802	\$220,000,000	\$170,410,133	P-\$1M RP-\$5M RP-\$15M D-\$15M F-\$220M	UCONN 2000 Bond Funds	11/13/13 06/29/16 09/27/17 06/26/19 04/29/20	Summer 2023	98%
Avery Point Seawall Exigent Improvements	300253	\$3,063,069	\$2,528,919	F	UCONN 2000 Bond Funds	12/07/22	Fall 2023	15%
Branford House Exterior Repairs, Phases 1 & 2	FO500106	\$800,000	\$404,704	F	University Funds	02/22/23	September 2023	50%
Chemistry Building Roof Snow Guard Replacement	FO500093	\$916,000	\$829,805	F	University Funds	09/30/20	July 2023	98%
Dining Hall Facilities Ventilation Upgrades	FO500010	\$892,700	\$380,874	F	University Funds	02/21/18	October 2023	25%
Fenton River Well Field and Road Replacement	901653	\$1,700,000	\$1,498,786	F	UCONN 2000 Bond Funds	04/19/23	November 2023	5%
Field House- Old Rec Center Renovation	300209	\$11,000,000	\$2,473,195	RP-\$3M F-\$11M	UCONN 2000 Bond Funds University Funds	06/29/22 06/28/23-pending	TBD	0%
Freitas Renovation	300252	\$2,500,000	\$452,023	F	Gift Funds	04/19/23	TBD	0%
Gampel Pavilion Court Replacement	300255	\$688,480	\$626,901	F	University Funds	12/07/22	July 2023	50%
George J. Sherman Family Sports Complex Turf Replacement	FO500156	\$1,640,997	\$1,491,815	F	University Funds	06/26/22	July 2023	25%
Gilbert Road Site Preparation	300235	\$6,600,000	\$3,136,422	RD-\$1.25M F-\$6.6M	UCONN 2000 Bond Funds	06/29/22	Fall 2023	55%
Greer Field House Indoor Track Resurfacing	FO500159	\$807,092	\$733,735	F	University Funds	12/07/22	July 2023	25%
Kinesiology Bldg. Human Anatomy Learning Laboratory Renovation	300188	\$753,500	\$517,055	F	UCONN 2000 Bond Funds	12/09/20	July 2023	99%
Northwest Quad: Science 1-Site Improvements	300050	\$56,000,000	\$51,777,166	RP-\$1M D-\$7.5M F-\$56M	UCONN 2000 Bond Funds	02/21/18 06/27/18 04/29/20	Summer 2023	99%

**CONSTRUCTION PROJECTS STATUS REPORT**  
**BOARD OF TRUSTEES MEETING -June 28, 2023**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/7/23)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Final Budget Phase - continued</b>								
Residential Life Facilities: Mansfield Apartments Redevelopment - Part 1	300234	\$12,000,000	\$8,480,932	P-\$850k D-\$4.6M F-\$12.0M	UCONN 2000 Bond Funds	09/29/21 03/30/22 06/29/22	Summer 2023	99%
Residential Life Facilities: South Campus Residence Hall	300200	\$215,000,000	\$56,182,652	P-\$800k D-\$6.5M F-\$215M	UCONN 2000 Bond Funds	12/08/21 12/09/20 08/03/22	Fall 2024	45%
School of Engineering Backfill Renovations	TL2405	\$1,771,410	\$1,374,534	F	University Funds	06/29/22	August 2023	75%
Stamford Abutting Property Remediation	300149	\$2,500,000	\$1,977,380	F	UCONN 2000 Bond Funds	04/24/19	Fall 2023	99%
Supplemental Utility Plant	300025	\$67,000,000	\$62,803,057	P-\$5M D-\$5M F-\$67M	UCONN 2000 Bond Funds	09/27/17 06/26/19 02/26/20	Summer 2023	98%
UConn Hockey Arena	300133	\$70,000,000	\$64,781,525	RP-\$0.85M D-\$2.85M RD-\$4.6M F-\$70M	University Funds Revenue Bonds Gift Funds	08/14/19 01/29/20 09/03/20 04/28/21	Summer 2023	97%
White Building Roof and Drainage System Replacement	FO500127	\$945,800	\$647,488	F	University Funds	06/29/22	July 2023	98%
Wired Access Layer Infrastructure Refresh - Phase III	Various	\$4,000,000	\$3,933,686	F	UCONN 2000 Bond Funds	09/29/21	July 2023	95%
Wired Access Layer Infrastructure Refresh - Phase IV	Various	\$2,000,000	\$1,663,964	F	UCONN 2000 Bond Funds	06/29/22	August 2023	60%
Wired Access Layer Infrastructure - Cabling Phase V	Various	\$2,000,000	\$0	F	UCONN 2000 Bond Funds	06/28/23-pending	August 2024	0%
<b>Revised Final Phase</b>								
Academic & Research Facilities - Gant Building Renovations - STEM	901803	\$268,000,000	\$152,553,223	P-\$1M RP-\$5M D-\$15M F-\$85M RF-\$170M	UCONN 2000 Bond Funds University Funds	11/13/13 09/30/15 08/10/16 02/22/17 06/26/19	TBD	MOB-100% Phase 1-100% Phase 2-100% Phase 3-0%
Andover Infrastructure and Software Upgrade- Phase I, II, III	FO500073	\$3,480,439	\$3,480,052	F-\$2.6M F-\$3.346M RF-\$3.48M	University Funds	09/30/20 02/24/21 04/27/22 06/28/23-pending	COMPLETE COMPLETE 6/30/23	100% 100% 95%
Atwater Laboratory Emergency Power Installation	FO500058	\$682,700	\$674,851	F-\$535k RF-\$603k RF-\$682k	University funds	04/28/21 10/27/21 06/29/22	July 2023	98%
B4 Steam Vault and Line Exigent Repair	300247	\$7,700,000	\$6,704,655	F-\$5.5M RF-\$7.7M	UCONN 2000 Bond Funds University Funds	03/30/22 06/29/22	Fall 2023	85%
Boiler Plant Equipment Replacement and Utility Tunnel Connection	300151	\$43,000,000	\$38,109,653	RP-\$1.25M D-\$2.3M F-\$40M RF-\$43M	UCONN 2000 Bond Funds	04/24/19 08/14/19 02/26/20 06/28/23-pending	Fall 2023	90%

**CONSTRUCTION PROJECTS STATUS REPORT**  
**BOARD OF TRUSTEES MEETING -June 28, 2023**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/7/23)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Revised Final Budget Phase - continued</b>								
Main Campus SubStation Switchgear Relay Replacement AET 5P-14G SCADA	FO500090	\$640,000	\$640,000	F-\$565k RF-\$592k RF-\$640k	University Funds	02/26/20 04/27/22 06/29/22	July 2023	98%
North & South Parking Garages Restoration, Phase I & II	FO500056	\$3,000,000	\$2,658,402	F-\$1.0M RF-\$2.0M RF\$3.0M	University Funds	10/28/20 06/30/21 12/07/22	July 2023	Phase 1- 100% Phase 2- 98% Phase 3-20%
North Eagleville Road and Discovery Drive Intersection Improvements	300169	\$3,000,000	\$2,199,082	D-\$750k F-\$800k RF-\$2.0M	UCONN 2000 Bond Funds	09/30/20 10/27/21 04/27/22	Winter 2024	11%
Public Safety Building Improvements	201703	\$7,750,000	\$7,385,699	F-\$4.55M RF-\$7.0M RF-\$7.45M RF-\$7.75M	UCONN 2000 Bond Funds University Funds	3/27/19 12/09/20 09/29/21 06/29/22	Summer 2023	99%
South Campus Infrastructure-Phase I, II, III	300241	\$82,000,000	\$4,561,722	RP-\$1.25M D-\$1.750M RD- \$4.5M F-\$11.0M RF-\$44M RF-\$82M	UCONN 2000 Bond Funds	03/30/22 06/29/22 09/28/22 12/07/22 04/19/23 06/28/23-pending	Summer 2025	0%
Storrs LED (SLED) Lighting Upgrade	FO500025	\$7,850,000	\$7,676,945	F-\$5.35M RF-\$7.85M	University Funds	12/09/20	July 2023	95%
UCONN 2000 Code Remediation: Stamford Downtown Relocation	201523	\$22,000,000	\$18,920,343	P-\$2M RP-\$2.5M F-\$4M RF-\$22M	University Funds UCONN 2000 Bond Funds	10/28/15 10/23/19 02/26/20 02/24/21	Jan. 2021-Ph. 1 Fall 2023-Ph. 2	Phase 1: 100% Phase 2: 90%
<b>Recently Completed Projects <sup>(1)</sup></b>								
Agricultural Biotechnology Laboratory Phoenix Upgrade	FO500077	\$592,350	\$522,660	F	University Funds	09/30/20	January 2022	100%
Athletic Facilities Storrs LED Lighting Upgrade	FO500014	\$1,377,772	\$1,186,275	F	University Funds	05/02/18	October 2021	100%
FacOps Bldg. Merger of Parking Services	TL2384	\$682,000	\$679,349	F-\$650k RF-\$682k	University Funds	09/3/21 04/27/22	November 2022	100%
George J. Sherman Family Sports Complex Track Resurfacing	FO500118	\$763,000	\$740,388	F	University Funds	06/30/21	January 2023	100%
Heating Plant Upgrade - Emergency Power System Upgrade	901697	\$2,500,000	\$435,961	RP-\$2.5M D-\$2.5M F-\$2.5M	UCONN 2000 Bond Funds	06/25/14 08/05/15 10/28/15	Project Closed	0%
Horsebarn Hill Sewage Pump Station Upgrade	FO500016	\$1,500,000	\$1,404,745	F-\$650k RF-\$1.5M	University Funds	06/27/18 06/26/19	July 2022	100%
I-Lot Improvements	300173	\$7,000,000	\$6,789,779	F	UCONN 2000 Bond Funds	04/28/21	July 2023	100%
NER East Steam Repair	300185	\$650,000	\$479,348	F	UCONN 2000 Bond Funds	04/28/21	April 2022	100%
Jorgensen Freight Elevator Modernization	FO500135	\$550,000	\$532,997	RF	University Funds	12/07/22	July 2023	100%

**CONSTRUCTION PROJECTS STATUS REPORT**  
**BOARD OF TRUSTEES MEETING -June 28, 2023**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/7/23)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Recently Completed Projects Continued <sup>(1)</sup></b>								
North and East Area Residence Halls - Security Camera System	30020	\$1,750,000	\$1,471,333	F	UCONN 2000 Bond Funds	05/02/18	April 2022	100%
North Campus Student Room Renovations Phase 3	300232	\$2,415,000	\$2,218,092	F	University Funds	03/30/22	August 2022	100%
Residential Life Facilities- North Campus Residence Hall Renovations Phase 2	300164	\$2,170,000	\$1,817,004	F-\$2.17M	UCONN 2000 Bond Funds	02/26/20	January 2022	100%
Tasker Roof Restoration	FO500082	\$662,040	\$403,040	F	University Funds	10/28/20	March 2023	100%
Torrey Life Sciences 2nd Floor Biology Renovation	300152	\$900,000	\$806,634	F	UCONN 2000 Bond Funds	12/11/19	January 2023	100%
University Athletics District Development	201696	\$96,600,000	\$87,384,992	RP-\$4.8M RP-\$6.3M D-\$8M F-\$25M RF- \$96.6M	UCONN 2000 Bond Funds University Funds Other -Gift Funds Revenue Bond Funds	02/22/17 05/02/18 08/01/18 12/12/18 03/27/19	July 2021	100%
UPDC Relocation	300207	\$960,000	\$882,158	F-\$960k	UCONN 2000 Bond Funds	09/29/21	July 2022	100%
Utility Infrastructure GIS Mapping	902035	\$3,236,000	\$759,444	F	UCONN 2000 Bond Funds	03/30/16	Project Closed	0%
Werth Family Basketball Champions Center Dining Renovation	300237	\$1,268,000	\$866,824	F	University Funds	06/30/21	November 2022	100%
Wired Access Layer Infrastructure Refresh - Phase II	Various	\$4,200,000	\$4,142,683	F	UCONN 2000 Bond Funds	06/24/20	November 2022	100%
Y & Z Parking Lots Repaving and Electrical Restoration	FO500076	\$2,377,000	\$1,933,846	F	University Funds	09/30/20	Complete	100%
<b>Planning Budget Phase</b>								
UCH - Cryo Electron Microscope Installation	22-055	\$960,000	\$17,330	P	UConn Health Research IDC Capital	(06/12/23)* 06/28/23-pending		
UCH - Connecticut Tower (CT) 7th Floor Med-Surg/Observation Unit Renovation	23-027	\$2,500,000	\$0	P	UConn Health Capital Funds	(06/12/23)* 06/28/23-pending		
UCH - Hybrid OR#2 Fit-Out	23-035	\$7,100,000	\$0	P	UConn Health Capital Funds	(06/12/23)* 06/28/23-pending		
UCH - Interventional Radiology Equipment Replacement & Renovation	20-001	\$4,700,000	\$0	P	UConn Health Capital Funds	(06/12/23)* 06/28/23-pending		
UCH - Musculoskeletal Institute Rehabilitation Expansion	23-029	\$640,000	\$0	P	UConn Health Capital Funds	(06/12/23)* 06/28/23-pending		
UCH - Outpatient Pavilion 3rd Floor Backfill	22-060	\$3,300,000	\$69,000	P	UConn Health Capital Funds	(06/12/23)* 06/28/23-pending		
UCH - Outpatient Pavilion X-Ray & Blood Draw Relocation	22-046	\$650,000	\$83,450	P	UConn Health Capital Funds	(06/12/23)* 06/28/23-pending		
UCH-Tomotherapy Unit Replacement	20-058	\$5,220,000	\$0	P-\$5.22M	UConn Health Capital Funds	(06/12/23)* 04/19/23		
<b>Design Budget Phase</b>								
UCH - 16 Munson Road Emergency Lighting & Egress Upgrades	21-010	\$935,000	\$38,500	D	UCONN 2000 Bond Funds UConn Health Capital Funds	(12/06/21)* 12/08/21		

**CONSTRUCTION PROJECTS STATUS REPORT**  
**BOARD OF TRUSTEES MEETING -June 28, 2023**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/7/23)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Design Budget Phase Continued</b>								
UCH - Cadaver Lab Renovation & Air Handling Unit Replacement	23-601.12	\$1,800,000	\$79,250	D	UCONN 2000 Bond Funds	(06/12/23)* 06/28/23-pending		
UCH -Cell & Genome Science Building Data Center Cooling Upgrades	23-601.07	\$650,000	\$43,000	D	UCONN 2000 Bond Funds	(06/12/23)* 06/28/23-pending		
UCH - Central Sterile Washer & Sterilizer Replacement	21-034	\$3,985,000	\$0	P-\$1.9M D-\$3.985M	UCONN 2000 Bond Funds UConn Health Capital Funds	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23-pending		
UCH - New England Sickle Cell Institute Renovation	21-063	\$4,865,000	\$142,150	P-\$3M D-\$4.865M	UCONN 2000 Bond Funds UConn Health Capital Funds	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23-pending		
<b>Final Budget Phase</b>								
UCH - Building D & Building N Roof Replacement	22-601.02	\$2,500,000	\$97,765	P-\$1.1M D-\$2.565M F-\$2.5M	UCONN 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (06/12/23)* 06/28/23-pending	November 2023	0%
UCH - Building E & Building K Roof Replacement	22-601.01	\$2,160,000	\$103,400	P-\$630K D-\$2.16M F-\$2.16M	UCONN 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (06/12/23)* 06/28/23-pending	November 2023	0%
UCH - Canzonetti (F) Building Wound Care Center Renovation	22-019	\$1,225,000	\$0	D-\$850k F-\$1.225M	UConn Health Capital Funds Restorix Health Inc Design & Construction Allowance	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23-pending	March 2024	0%
UCH - Cardio Catheterization (Cath) & Electro Physiology (EP) Lap Renovation	22-017	\$6,430,000	\$5,342,051	P-\$3.7M D-\$6.166M F-\$6.430M	UConn Health Capital Funds	(06/13/22)* 06/29/22 (12/05/22)* 12/07/22	September 2024	10%
UCH-CGSB & ARB Autoclave and Washer Replacement	22-012	\$1,200,000	\$1,095,152	F-\$1.2M	UConn Health Research IDC Capital	(12/05/22)* 12/07/22	September 2024	60%
UCH-HIM Workplace Improvements	21-019	\$763,000	\$595,227	F	UCONN 2000 Bond Funds	(03/13/23)* 02/22/23	August 2023	10%
UCH-Main Building (L) Lab Renovations - 2nd Floor	22-013	\$10,200,000	\$588,887	P-\$7.8M D-\$8.972M F-\$10.2M	UCONN 2000 Bond Funds UConn Health Research IDC Capital UCH School of Medicine Operating Funds UConn Health Capital Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (12/05/22)* 12/07/22	March 2024	10%
UCH - 5 Munson Road Clinical Fit-Out	22-042	\$8,380,000	\$183,436	F	UConn Health Capital Funds Landlord Tenant Fit-out Allowance	(06/13/22)* 06/29/22	December 2023	35%

**CONSTRUCTION PROJECTS STATUS REPORT**  
**BOARD OF TRUSTEES MEETING -June 28, 2023**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/7/23)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Final Budget Phase - continued</b>								
UCH - Replace Chilled Water Pump CHWP#4	21-018	\$642,000	\$295,090	F	UCONN 2000 Bond Funds UConn Health Capital Funds	(12/06/21)* 12/08/21	August 2023	70%
UCH - TB-121 Blood Bank Relocation	23-010	\$765,000	\$43,000	F	UConn Health Capital Funds CIRP	(06/12/23)* 06/28/23-pending	May 2024	0%
UCH-Transitional Nursery Renovation	21-016	\$1,800,000	\$77,800	D-\$1.8M F-\$1.8M	UConn Health Capital Funds	(03/13/23)* 02/22/23 (06/12/23)* 06/28/23-pending	May 2024	0%
<b>Revised Final Phase</b>								
UCH-16 Munson Road Parking Lot Paving	22-601.03	\$1,615,000	\$1,399,973	P-\$1.16M D-\$1.445M F-\$1.93M RF-\$1.62M	UCONN 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (9/12/22)* 09/28/22 (09/12/22)* 09/28/22 (0313/23)* 02/22/23	September 2023	15%
UCH - Psychiatry Seclusion Suite & Nurse Station Security Renovation	21-050	\$1,197,000	\$758,969	D-\$790k F-\$1.042M RF-\$1.197M	UConn Health Capital Funds	(06/13/22)* 06/29/22 (12/05/22)* 12/07/22	November 2023	10%
UCH - Replace Building F & H Hot Water Tanks	19-603.11	\$845,000	\$422,600	F-547k RF-\$845k	UCONN 2000 Bond Funds	(12/06/21)* 12/08/21 (09/12/22)* 09/28/22	November 2023	10%
<b>Recently Completed Projects <sup>(1)</sup></b>								
UCH-836 Hopmeadow Street, Simsbury Clinical Practice Relocation	21-036	\$4,297,000	\$4,162,997	P-\$3.875M D-\$3.875M F-\$4.297M	UConn Health Capital Funds Landlord Tenant Fit Out Allowance	(09/20/21)* 09/29/21 (12/06/21)* 12/08/21 (06/13/22)* 04/27/22	May 2023	100%
UCH-Main Building (L) Lab Renovations-3rd Floor	19-007	\$7,800,000	\$6,571,494	D-\$7.8M F-\$7.8M	UConn Health Research IDC Capital UConn Health School of Medicine Dean's IDC UConn Health School of Medicine Operating UConn Health Gift Funds	(09/16/19)* 10/23/19 (03/03/20)* 02/26/20	September 2021	100%
UCH- Musculoskeletal Institute Building (MSI)	20-052	\$1,762,500	\$1,744,068	F-\$1.6M RF- \$1.7M	Uconn Health Capital Funds Eversource Rebate- 50% Actual Cost	(03/09/21)* 02/24/21 (04/09/21)* 04/09/21	July 2021	100%
P = Planning Budget		RD = Revised Design Budget						
RP = Revised Planning Budget		F = Final Budget						
D = Design Budget		RF = Revised Final Budget						
* UConn Health Board of Directors Reviewed and Recommended for Board of Trustees Approval								
<sup>(1)</sup> Completed projects assume "Completed, Commissioned and Occupied". Projects continue to be included in this report for 1 year after completion to accommodate initial financial closeout adjustments.								