

# UConn

UNIVERSITY OF CONNECTICUT

*Board of*



# TRUSTEES

VOL. 238 SEPTEMBER 27, 2023

## REVISED

### MEETING OF THE BOARD OF TRUSTEES UNIVERSITY OF CONNECTICUT

#### AGENDA

University of Connecticut  
Wilbur Cross Building  
North Reading Room (109)  
233 Glenbrook Road  
Storrs, Connecticut

September 27, 2023

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **9:00 a.m.**

1. Public Participation\*

\* Individuals who wish to speak during the Public Participation portion of the Wednesday, September 27, meeting must do so in person and sign up 24 hours in advance of the meeting's start time (i.e., 9:00 a.m. on Tuesday, September 26) by emailing [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu). Speaking requests must include a name, topic, and affiliation with the University (i.e., student, employee, member of the public). Per the University By-Laws, the Board may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Board via [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu), and all comments will be transmitted to the Board.

2. Chairman's Report

(a) Matters Outstanding

(b) Minutes of the Meeting of June 28, 2023

(c) Consent Agenda Items:

(1) Contracts and Agreements (Attachment 1)

(2) Annual Report of the Endowed Chair Program Entitled "Aetna English Chair of Writing" (Attachment 2)

(3) FY 2023 Capital Expenditures (Attachment 3)

(4) School of Medicine Tuition and Fee Rates for 2024–25 and 2025–26 Academic Years (Attachment 4)

(5) Project Budget (Final) for Electric Vehicle Charging Infrastructure and Service Upgrades (Attachment 5)

(6) Project Budget (Final) for Hydrogen Fuel Dispenser (Attachment 6)

(7) Project Budget (Final) for Eversource Second Electrical Feed (Attachment 7)

- (8) Project Budget (Revised Final) for South Campus Infrastructure, Phases 1 – 3 (Attachment 8)
- (9) Project Budget (Planning) for the UConn Health University Tower 7th Floor Pharmacy Fit-Out (Attachment 9)
- (10) Project Budget (Design) for the UConn Health Outpatient Pavilion 3rd Floor Backfill (Attachment 10)
- (11) Project Budget (Design) for the UConn Health Outpatient Pavilion X-Ray and Blood Draw Relocation (Attachment 11)
- (12) Project Budget (Design) for the UConn Health Musculoskeletal Institute Rehabilitation Expansion (Attachment 12)
- (13) Project Budget (Design) for the UConn Health Cryo Electron Microscope Installation (Attachment 13)
- (14) Project Budget (Final) for the UConn Health Fluoroscopy Equipment Replacement and Renovation (Attachment 14)
- (15) Project Budget (Final) for the UConn Health Muscular Skeletal Institute Chiller Replacement (Attachment 15)
- (16) Project Budget (Final) for the UConn Health Central Sterile Washer and Sterilizer Replacement (Attachment 16)
- (17) Project Budget (Final) for the UConn Health New England Sickle Cell Institute Renovation (Attachment 17)
- (18) Project Budget (Final) for the UConn Health Cadaver Lab Renovation and Air Handling Unit Replacement (Attachment 18)
- (19) Project Budget (Final) for the UConn Health Cell and Genome Sciences Building Data Center Cooling System Upgrades (Attachment 19)
- (20) Project Budget (Final) for the UConn Health 16 Munson Road Emergency Lighting and Egress Upgrades (Attachment 20)
- (21) Project Budget (Revised Final) for the UConn Health TB-121 Blood Bank Relocation (Attachment 21)
- (22) Project Budget (Revised Final) for the UConn Health 16 Munson Road Parking Lot Paving (Attachment 22)
- (23) Project Budget (Revised Final) for the UConn Health 836 Hopmeadow Street, Simsbury Clinical Practice Relocation (Attachment 23)
- (24) Project Budget (Revised Final) for the UConn Health 5 Munson Road Clinical Fit-Out (Attachment 24)
- (25) Tenure at Hire (Attachment 25)
- (26) Designation of Emeritus Status (Attachment 26)
- (27) Sabbatical Leave Recommendations (Attachment 27)

- (28) Appointment of Associate Professor Ali Bazzi as the Charles H. Knapp Associate Professor in Electrical Engineering in the School of Engineering (Attachment 28)
- (29) Appointment of Associate Professor Matthew Stuber to the Pratt & Whitney Associate Professorship in Advanced Systems Engineering in the School of Engineering (Attachment 29)
- (30) Appointment of Dr. Liang Zhang to the Pratt & Whitney Associate Professorship in Advanced Systems Engineering in the School of Engineering (Attachment 30)
- (31) Nicole D. Gabelman CLAS '12 Bench at the W.B. Young Building (Attachment 31)
- (32) UConn Foundation Named Gift Guidelines (Attachment 32)
- (33) Student Life Committee Membership (Attachment 33)
- (34) Annual Reports of Endowed Chairs (UConn Health) (Attachment 34)

3. President's Report

4. Academic Affairs Committee Report

- (a) Report on Committee activities
- (b) Item requiring Board discussion and approval:
  - (1) Proposal for the College of Engineering, including the School of Computing and School of Mechanical, Aerospace and Manufacturing Engineering (Attachment 35)
- (c) Community Engagement Presentation  
 Presenter: Dr. Indrajeet Chaubey, Dean  
 College of Agriculture, Health and Natural Resources

5. Financial Affairs Committee Report

- (a) Report on Committee activities
- (b) Informational Item:
  - (1) 2023 Finance Corporation Report of Operations (Attachment 36)

6. UConn Health Report

- (a) Report on UConn Health activities

7. Joint Audit and Compliance Committee Report

- (a) Report on Committee activities

8. Buildings, Grounds and Environment Committee Report

- (a) Report on Committee activities

9. Construction Management Oversight Committee Report

- (a) Report on Committee activities

10. Student Life Committee Report
  - (a) Report on Committee activities
11. Institutional Advancement Committee Report
  - (a) Report on Committee activities
12. Committee for Diversity, Equity and Inclusion Report
  - (a) Report on Committee activities
  - (b) Presentation: UConn after the U.S. Supreme Court Decisions: Race in Admissions  
Presenters: Vice President for Student Life and Enrollment Fuerst  
Senior Associate University Counsel Salafia
13. Committee on Compensation Report
  - (a) Report on Committee activities
14. Committee for Research, Entrepreneurship and Innovation Report
  - (a) Report on Committee activities
15. Other Business
16. Executive Session anticipated
17. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu) prior to the meeting.*

# **ATTACHMENT 1**

**CONTRACT AGREEMENTS  
FOR APPROVAL  
SEPTEMBER 27, 2023**

**PROCUREMENT - NEW\***

\*The Administration is seeking approval to enter into contracts based on the material terms and conditions identified below, subject to final legal review.

**BUS SERVICES**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose	CT Based	S/MBE
1	CT Department Of Transportation (CTDOT)	MOA	\$2,500,000	Upon Execution - 06/30/24	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	To supplement the cost of Husky-Go transit services provided by CTDOT that support UConn's transportation needs and to set the terms for the use of fare media provided y CTDOT for use by UConn students on certain in-state public transportation services.		
2	CT Department Of Transportation (CTDOT)	MOA	\$1,484,000	Upon Execution - 06/30/24	Multiple Sources	Stanley Nolan, Interim AVP Facilities Operations & Building Services	Fixed-route public transit services provided by Windham Region Transit District (WRTD) along Middle Turnpike (U.S. 44), Birch Road, Hunting Lodge Road, North Eagleville Road and Storrs Road (CT 195) for the term of 07/01/23-06/30/24.		

**ENERGY POWER PURCHASE AGREEMENT AND LICENSING**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose	CT Based	S/MBE
3	FuelCell Energy	LM090121-1	\$6,500,000	03/01/24-02/28/32	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations and Building Services	Installation of fuel cells are a integral component of the University goal for carbon neutrality by 2030. Selection of Hydrogen capable future equipment is necessary to meet the electrical demand on campus and improve our greenhouse gas emissions profile. The fuel cells are to service the Innovative Partnership Building which will generate electrical and thermal energy to be consumed at the installation site. The University will purchase the electricity and (if/when applicable) thermal energy generation form the fuel cell through the execution of a Energy Purchase agreement with the provider. This award is the result of a publicly advertised solicitation.		X
4	VFS Energy Services, LLC	LM090121-2	\$15,000,000	03/01/24-02/28/44	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations and Building Services	Installation of fuel cells are a integral component of the University goal for carbon neutrality by 2030. Selection of Hydrogen capable future equipment is necessary to meet the electrical demand on campus and improve our greenhouse gas emissions profile. The fuel cells are to service the Putnam Refractory and Hilltop Residence Hall which will generate electrical and thermal energy to be consumed at the installation site. The University will purchase the electricity and (if/when applicable) thermal energy generation form the fuel cell through the execution of a Energy Purchase agreement with the provider. This award is the result of a publicly advertised solicitation.		

**EXPERIENTIAL GLOBAL LEARNING PROGRAM**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose	CT Based	S/MBE
5	Lakeland Tours, LLC dba Worldstrides	UC-PaSS-24-081423	\$8,000,000	Upon execution - 5 Years After Execution Date	Multiple Sources	Daniel Weiner, Vice President for Global Affairs	Customized study abroad programming services for the University, including housing, office space, classrooms, library and computer access, arrangement of activities relevant to coursework, and financial and accounting services. WorldStrides has an established Academic Affiliation Agreement with the University. This entry is for the resultant purchasing agreement for the program services. The expenses associated with this contract are borne by the participating students, not by the University.		

**INSURANCE**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose	CT Based	S/MBE
6	Game Point Capital, LLC	UC-24-KA0831203	\$3,999,999	Upon execution - 08/31/24	Multiple Sources	David Benedict, Athletic Director	Postseason compensation, travel and award insurance. This is the result of a publicly advertised solicitation. Initial term is for one year with four one-year extensions available.		

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<b>NATIONAL CONFERENCES</b>									
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose	CT Based	S/MBE
7	Gaylord National Resort & Convention Center	KA070325	\$1,200,000	Upon execution - ends 7/12/2025	Multiple Sources	Anne D'alleva, Provost & Executive Vice President, Academic Affairs	The Training Institutes offer participants an opportunity to obtain in-depth information on how to develop and operate home- and community-based systems of care for children, youth, and young adults and their families. The Institutes are designed for a highly diverse group of participants including state and local policy makers and administrators, service providers, family and youth leaders, educators, researchers, and others concerned with improving services. An integral part of the program is a Youth Leadership Program that provides training to youth to become leaders in their communities, states, and nationally. The 2025 Training Institutes is proposed to be held at the Gaylord National in National Harbor, Maryland on July 6-10, 2025. Participants will be charged a registration fee that is not yet finalized, but will be at minimum of \$975 per person to offset the cost of the conference that includes food and beverage, conference materials and content.		
8	Gaylord National Resort & Convention Center	KA070327	\$1,200,000	Upon execution - ends 7/11/2027	Multiple Sources	Anne D'alleva, Provost & Executive Vice President, Academic Affairs	The Training Institutes offer participants an opportunity to obtain in-depth information on how to develop and operate home- and community-based systems of care for children, youth, and young adults and their families. The Institutes are designed for a highly diverse group of participants including state and local policy makers and administrators, service providers, family and youth leaders, educators, researchers, and others concerned with improving services. An integral part of the program is a Youth Leadership Program that provides training to youth to become leaders in their communities, states, and nationally. The 2027 Training Institutes is proposed to be held at the Gaylord National in National Harbor, Maryland on July 6-10, 2027. Participants will be charged a registration fee that is not yet finalized, but will be at minimum of \$975 per person to offset the cost of the conference that includes food and beverage, conference materials and content.		
<b>REVENUE AGREEMENT - NEW*</b>									
<b>AIR FORCE RESEARCH AGREEMENT</b>									
No.	Contractor	Contract No.	New Approval Amount	Term	Revenue Recipient	Program Director	Purpose	CT Based	S/MBE
9	Department of Defense/Air Force Research Laboratory	TBD	\$10,526,292 (Anticipated)	10/01/23-09/30/27 (Anticipated)	Tech Park	Rainer Hebert, Director of Pratt & Whitney Additive Manufacturing Center	This revenue generating research contract is a partnership between the Department of Defense Air Force Research Laboratory and the UConn Tech Park to develop, prototype and transition research to address: electron-beam weld modeling, cracking in and around weldments, material sourcing driven weld variations; and to address manufacturing aspects of advanced materials without a direct transition path: high-temperature oxide processing scale-up, metamaterials for sound, vibration and heat management and refractory alloy manufacturing. This is a cost reimbursement contract. The funds received will be used to support research and prototyping for hypersonic air-breathing weapons and advanced material manufacturing research.		
<b>NON-PROCUREMENT - AGREEMENT - NEW*</b>									
<b>ATHLETICS - FACILITIES USE AGREEMENT</b>									
No.	Contractor	Approval Amount	Term	Fund Source	Program Director	Purpose	CT Based	S/MBE	
10	Global Spectrum LLC, dba OVG360	\$1,550,000	10/01/23-04/15/24	Multiple Sources	David Benedict, Director of Athletics	License for University use of the XL Center in Hartford, Connecticut for men's basketball games, women's basketball games and men's ice hockey games for the 2023-24 season. Contract value includes the per game license fee and the facility fee (ticket surcharge) for each ticket sold up to 12,450 for men's and women's basketball games and up to 4,600 for men's ice hockey games.			



**CONTRACT AGREEMENTS  
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**PROCUREMENT - AMENDMENTS\***

<b>ARCHITECTURAL &amp; ENGINEERING SERVICES</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
11	BVH Integrated Services, P.C.	902040	\$4,500,000; [Contract Value Previously \$20,699,062; Total New Contract Value \$25,199,062]	11/05/15-11/04/25	Multiple Sources	Laura Cruickshank, Associate Vice President & University Master Planner and Chief Architect	\$20,319,705	\$2,165,429	\$1,884,944	Utility framework consulting services and utility engineering services for Storrs campus master planning to provide project support as needed. <b>Amend to increase contract \$4,500,00, for total new contract value of \$25,199,062.</b> Contract term remains the same. Zero extensions remain. Contract value increase is requested to support (300174) Mirror Lake Improvements, (300241) South Campus Infrastructure, (300247) Steam Vault B4, (902035) Utility GIS Upgrades.	X	
<b>CHEMICALS FOR SNOW AND ICE CONTROL</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
12	DRVN Enterprises	KS110119	\$500,000; [Contract Value Previously \$800,000; Total New Contract Value \$1,300,000]	07/01/20-06/30/25	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$637,444	\$171,402	\$253,640	Bulk delivery of snow and ice control chemicals for the UConn Storrs campus and Regional locations. <b>Amend to increase contract value \$500,000 for a total new contract value of \$1,300,000.</b> Contract value increase is requested to cover the continued purchase of bulk snow and ice control materials for the remainder of the contract term. Contract term remains the same. Zero extensions remain.	X	X
<b>CONSULTING SERVICES AND TEMPORARY STAFF</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/2023	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
13	HRP Consulting Group, a division of BRANY (Biomedical Research Alliance of New York)	MF100220	\$900,000; [Contract Value Previously \$1,693,000; Total New Contract Value \$2,593,000]	03/19/21 - 03/18/25	Operating Funds	Julie Schwager, AVP, Office of the Vice President for Research	\$1,335,807	\$717,044	\$488,600	Consulting services and temporary staffing assistance for the OVPR's Sponsored Programs Services and Research Compliance units. <b>Amend to increase contract value by \$900,000, for total new contract value of \$2,593,000. Amend to extend contract term one year, through 03/18/25.</b> Contract value increase is requested to cover additional staffing expenditures through the remainder of the extended contract term. Zero extensions remain.		

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<b>ELECTRICAL SUPPLIES</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
14	Sonepar Management, US, Inc. dba Northeast Electrical Distributors	19PSX0239	\$1,200,000; [Contract Value Previously \$4,000,000; Total New Contract Value \$5,200,000]	01/01/20-12/31/24	Multiple Sources	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$3,981,814	\$1,124,970	\$537,147	Electrical supplies for all University campuses. <b>Amend to increase contract value by \$1,200,000, for total new value of \$5,200,000. Amend to extend contract term eleven months, through 12/31/24.</b> Contract value increase is requested to cover the continued LED lighting conversion project at all University campuses and for purchase of various electrical supplies. Sourced through a State of Connecticut agreement. Future extensions may be exercised at the discretion of the State.		
<b>FIRE ALARM SYSTEM ALTERATIONS</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY22	Expenditures FY21	Purpose	CT Based	S/MBE
15	Johnson Controls LP	LM101118-1	\$0; [Contract Value Previously \$1,490,000; Contract Value Remains the Same]	03/01/19-06/30/24	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$750,070	\$138,238	\$371,577	Vendor provides fire alarm system adds, moves and deletions of Simplex and miscellaneous fire alarm systems and its components. <b>Amend to extend contract term four months, through 06/30/24.</b> Contract value remains the same. Extension requested to be consistent with the Fire Alarm System Testing, Inspection and Repair contract that will be merged together and solicited in the upcoming year. Zero extensions remain.		
16	Siemens Industry, Inc	LM101118	\$0; [Contract Value Previously \$4,300,000; Contract Value Remains the Same]	03/01/19-06/30/24	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$1,484,844	\$81,985	\$270,946	Vendor provides fire alarm system adds, moves and deletions of Siemens and miscellaneous fire alarm systems and its components. <b>Amend to extend contract term four months, through 06/30/24.</b> Contract value remains the same. Extension requested to be consistent with the Fire Alarm System Testing, Inspection and Repair contract that will be merged together and solicited in the upcoming year. Zero extensions remain.		
<b>FURNITURE - FREESTANDING OFFICE, LOUNGE, DORMITORY AND RESIDENTIAL</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
17	Sauder Manufacturing Co., dba Sauder Education	CNR01451	\$2,000,000 [Contract Value Previously \$3,600,000; Total New Contract Value \$5,600,000]	12/01/17-11/30/27	Multiple Sources	Joseph Thompson, AVP University Business Services and Chief Procurement Officer	\$3,014,056	\$1,050,727	\$0	Renewable/sustainable furniture for all University campuses. <b>Amend to increase contract value \$2,000,000, for total new contract value of \$5,600,000.</b> Contract term remains the same. Sourced through the Educational and Institutional Cooperative Service (E&I). Future extensions may be exercised at the discretion of the consortia. The increase is requested to support a pending purchases and future needs of Facilities Operations/Residential Life for furniture to be used primarily in dorms across campus.	X	

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IT CONSULTING SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
18	Tri-Com Consulting Group LLC	SO-19132	\$1,000,000 [Contract Value Previously \$4,500,000; Total New Contract Value \$5,500,000]	08/16/19-07/31/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$4,174,288	\$1,017,849	\$729,294	IT Professional Services for strategic planning and related services, project management, process analysis, infrastructure and related services, software/application development, and IT security support services. <b>Amend to increase contract value \$1,000,000, for total new contract value of \$5,500,000.</b> Contract term remains the same. Five extensions of one year each remain. Sourced through a Connecticut State Colleges and Universities (CSCU) agreement. Contract value increase is requested as agreement is used by ITS for temporary staffing needs to support various projects including: the enhancement and upgrading of PeopleSoft Campus Solutions (CS) 9.2; upgrading of telephone voice services and wireless access points on the Storrs and Regional campuses; and to support School of Social Work who is updating select trainings from the WBT library.	X	X
IT HARDWARE, SOFTWARE AND SOFTWARE LICENSES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
19	DLT Solutions, LLC	180233-001	\$200,000 [Contract Value Previously \$800,000; Total New Contract Value \$1,000,000]	12/12/18-11/30/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$649,128	\$228,592	\$156,692	Purchase and maintenance of Oracle products, as well as additional cloud services for other operational platforms for all University campuses. <b>Amend to increase contract value \$200,000, for total new contract value of \$1,000,000. Amend to extend for one year, through 11/30/24.</b> Sourced through an OMNIA Partners cooperative agreement. <b>Four extensions of one year each remain.</b> Future extensions may be exercised at the discretion of the Consortia. Contract value increase is requested to support annual maintenance renewals for ITS.		
20	Oracle America, Inc.	A-94-005/941T20005MB	\$2,500,000 [Contract Value Previously \$25,819,975 Total New Contract Value \$28,319,975]	11/27/07-12/31/50	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$10,936,975	\$1,373,096	\$1,662,201	Software licenses, maintenance and support for Oracle IT systems for all University campuses. <b>Amend to increase contract value \$2,500,000, for total new contract value of \$28,319,975.</b> Contract term remains the same. Sourced through a State of Connecticut contract. Future extensions may be exercised at the discretion of the State. Contract value increase is requested to support FY24 renewal costs as well as ongoing software maintenance.		
21	SHI International, Inc.	SO-19108	\$7,000,000; [Contract Value Previously \$14,000,000; Total New Contract Value \$21,000,000]	05/27/20-06/30/25	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$12,212,728	\$3,581,962	\$2,624,410	Information technology hardware, software, supplies and related services for all University campuses. <b>Amend to increase contract value \$7,000,000, for total new contract value of \$21,000,000.</b> Contract term remains the same. Five extensions of one year each remain. the contract value increase is requested to cover antedated expenditures through 06/30/25.		

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<b>LAB EQUIPMENT &amp; SUPPLIES</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
22	Fisher Scientific LLC	UC-17-CGP012016A	\$7,765,000 [Contract Value Previously \$22,235,000; Total New Contract Value \$30,000,000]	03/01/17-02/28/27	Multiple Sources	Joseph Thompson, AVP University Business Services and Chief Procurement Officer	\$17,108,244	\$3,631,155	\$4,544,339	Lab equipment and supplies for all University campuses. <b>Amend to increase contract value by \$7,765,000, for total new contract value \$30,000,000. Amend to extend term three years, through 02/28/27.</b> Increase is requested to cover anticipated expenditures through remainder of the extended contract term. Zero extensions remain.		
<b>OFFICE SUPPLIES</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
23	W.B. Mason	18PSX0032	\$500,000; [Contract Value Previously \$950,000; Total New Contract Value \$1,450,000]	01/01/19-12/31/24	Multiple Sources	Joseph Thompson, AVP University Business Services and Chief Procurement Officer	\$873,554	\$331,583	\$341,495	Office supplies, toner and cut sheet paper for all University campuses. <b>Amend to increase contract value \$500,000 for a total new contract value of \$1,450,000.</b> Contract term remains the same. Sourced through a State of Connecticut agreement. Future extensions may be exercised by the State of Connecticut.		
<b>PARKING SERVICES</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
24	LAZ Karp Assoc. (aka LAZ Parking Ltd., LLC)	DS030519	\$400,000; [Contract Value Previously \$2,557,943; Total New Contract Value \$2,957,943]	10/01/19-03/31/24	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$2,557,943	\$659,793	\$623,199	Vendor provides parking services management for the University's North and South Garages on the Storrs campus, as well as special events parking services. <b>Amend to increase contract value \$400,000, for a total new contract value of \$2,957,943. Amend to extend contract term six months, through 03/31/24.</b> One extension of up to two years remains. Increase and extension are requested to allow for continued parking services while preparing for a new solicitation.		X
<b>PROFESSIONAL SERVICES</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
25	R/GA Media	A02042022-RGA	\$600,000 [Contract Value Previously \$1,200,000; Total New Contract Value \$1,800,000]	06/02/22-12/31/24	Operating Funds	Pamir Alpay - Interim VP Research Innovation and Entrepreneurship	\$1,200,000	\$600,000	\$0	Venture studio management support services for Tech Commercial Services Group (TCS). <b>Amend to increase contract value by \$600,000, for total new contract value of \$1,800,000.</b> Contract term remains the same. Increase is requested to cover anticipated expenditures through remainder of contract term. Future extensions may be exercised by mutual agreement of all parties.		

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SECURITY HARWARD, NETWORK, AND RELATED SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 08/01/23	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
26	iBoss Inc	UC-01-170	\$760,790 [Contract Value Previously \$650,000; Total New Contract Value \$1,410,790]	09/01/21-08/31/26	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$506,730	\$253,365	\$253,365	Cloud security as a service to be used by Connecticut Education Network (CEN) to protect the services they provide to their membership (K-12 education and municipalities throughout the State of Connecticut). <b>Amend to increase contract value \$760,790, for total new contract value of \$1,410,790. Amend to extend term three years, through 08/31/26.</b> Sourced through a National Cooperative Purchasing Alliance (NCPA) consortium agreement. Future extensions may be exercised at the discretion of the consortia. Contract value is being increased to cover annual maintenance renewals for ITS.		
27	Indiana University	SSAG118431038	\$1,500,000; [Contract Value Previously \$2,455,588; Total New Contract Value \$3,955,588]	07/01/19-06/30/26	Multiple Sources	Michael Mundrane Vice President and Chief Information Officer	\$2,022,406	\$575,886	\$465,633	Provides Connecticut Education Network (CEN) and the University with software security services, including a 24x7x365 service desk that monitors the CEN network and serves as the "first responder" for all network issues. <b>Amend to increase contract value \$1,500,000, for total new contract value of \$3,955,588. Amend to extend contract term two years, through 06/30/26.</b> Sourced through a sole source agreement between UConn and Indiana University. Contract increase is requested to cover the cost of the extended term for annual fees and consulting. Zero extensions remain.		

**REAL ESTATE AGREEMENTS FOR APPROVAL \***

\*Not all provisions of all Agreements appearing below have been 100% completed, but the Administration is seeking approval to proceed to execution based on the material terms described below.

**UNIVERSITY AS LICENSOR - LICENSE AGREEMENTS**

No.	Licensee	Annual Amount Receivable	Term	Fund Source	Program Director	Purpose
1	T-Mobile Northeast, LLC	\$41,052 annually	10/01/23-09/30/28	Not Applicable	Michael Williams, University Director, Network Engineering and Design	A new license agreement permitting T-Mobile continued use of UConn property at the Avery Point Campus for the purpose of maintaining a cell tower on the premises.


**UNIVERSITY AS LESSEE - LEASE AMENDMENTS**

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Connecticut Children's Medical Center	\$6,000	10/01/23-09/30/24	Operating Funds-Clinical	Julie Vigil, Admin Director Pediatrics	Connecticut Children's subleases 5,330 square feet of space at located at 385 Washington Street, Hartford, to UConn Health's Department of Pediatrics for the HIV Community Service Group.
2	SCT Storrs Center I LLC	\$426,684.48 with 1.5% annual increases.	11/25/23-11/24/28	Operating Fund - General	Kyle Muncy, Director of Brand Partnerships	A Lease Amendment to exercise the first five-year renewal option in the Lease for 14,927 s.f. occupied by Barnes and Noble and the Ballard Institute and Museum of Puppetry.

## **ATTACHMENT 2**

September 27, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Annual Report of the Endowed Chair Program Entitled “Aetna Chair of Writing”

RECOMMENDATION:

In accordance with statute, the Board of Trustees directs the submittal of the annual report prepared by the University Administration to the Office of Higher Education concerning the endowed chair expenditures for the Endowed Chair Program entitled “Aetna Chair of Writing”.

RESOLUTION:

“Be it resolved that the Board of Trustees acknowledges receipt of the annual report concerning the endowed chair expenditures for the Endowed Chair Program entitled “Aetna Chair of Writing”; and directs the submittal of these annual reports to the Office of Higher Education.”

BACKGROUND:

The Aetna Chair of Writing is under the direction of Professor Brenda Jo Brueggemann and is funded jointly by the UConn Foundation and the Office of Higher Education Investment Fund. It was established during the 1988-89 fiscal year as authorized by C.G.S. Section 10a-20a. Subsection (f) of the statute states that “the Board of Trustees shall submit annual reports to the Office of Higher Education concerning the endowed chair expenditures.”

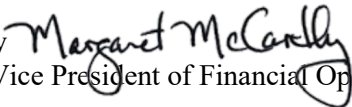
The 2023 annual report consists of a financial report and a narrative report by Professor Brueggemann.

Attachments



DATE: August 31, 2023

TO: Jeffrey Geoghegan  
Executive Vice President for Finance and Chief Financial Officer

FROM: Margaret McCarthy   
Interim Associate Vice President of Financial Operations and Controller

RE: Aetna Chair of Writing

Enclosed is a financial report for the Aetna Chair of Writing program, under the direction of Professor Brueggemann, for fiscal year ended June 30, 2023. The report provides the separate financial operations of the program managed by the University and the UConn Foundation, along with the combined total. The expenditures for fiscal year 2023 totaled \$41,116.65.

Professor Brueggemann has provided a narrative of the writing program's operation for fiscal year 2023, which is also enclosed. Section 10a-20a (f) of the Connecticut General Statutes requires an annual report approved by the University's Board of Trustees to be submitted to the Office of Higher Education (OHE) for the Endowed Chair of Writing.

Additional notes are provided on the financial report disclosing the year-end balances of the Foundation and OHE endowment funds.

Thank you for your assistance in this matter. If you should have any questions or need any additional information, please do not hesitate to contact me.



University of Connecticut and  
University of Connecticut Foundation  
Aetna Chair of Writing  
Fiscal Year Ended June 30, 2023

	University	Foundation	Combined Total
Beginning Balance as of July 1, 2022	\$ 40,293.97	\$ 49,034.42	\$ 89,328.39
<u>Revenue</u>			
Transferred From Office of Higher Education	9,394.56	-	9,394.56
Pooled Endowment Income Allocation	-	39,608.63	39,608.63
Transferred From UConn Foundation	40,916.65	(40,916.65)	-
<b>TOTAL REVENUE</b>	<b>50,311.21</b>	<b>(1,308.02)</b>	<b>49,003.19</b>
<u>Expenditures</u>			
Salary & Wages	4,871.49	-	4,871.49
Printing and Binding	2,197.00	-	2,197.00
Travel	1,256.66	-	1,256.66
Conferences and Meetings	10,285.55	-	10,285.55
TOTAL Contractual Services	13,739.21	-	13,739.21
Office Supplies	800.95	-	800.95
TOTAL Commodities	800.95	-	800.95
Student Aid Fees	21,705.00	-	21,705.00
TOTAL Sundry Charges	21,705.00	-	21,705.00
<b>TOTAL EXPENDITURES</b>	<b>41,116.65</b>	<b>-</b>	<b>41,116.65</b>
Ending Balance as of June 30, 2023	\$ 49,488.53	\$ 47,726.40	\$ 97,214.93

This endowed chair is not supported by any University funds, but the University maintains accounts which receive revenue from the Foundation and the Office of Higher Education (OHE). These University accounts disburse the funds in support of the program including all funds transferred from the Foundation and the OHE. There were transfers from the OHE totaling \$9,394.56 in fiscal year 2023. The first column above summarizes the University's revenue and expenditure activity for this chair. The Foundation also disburses certain expenses directly to third parties on behalf of the University as included above in the second column in fiscal year 2023, although there were no expenses in fiscal year 2023.

The Aetna Chair of Writing has funds held at the Foundation and the OHE. The Foundation endowed fund was established with a gift of \$506,989 (amount permanently restricted) in 1986 and was valued at \$890,314.85 at June 30, 2023, which included accumulated gains/losses on the original gift. The spendable portion of the Foundation endowed fund was \$47,726.40 as of June 30, 2023. The OHE endowed fund was established with \$500,000 of state funds and is invested in the Endowed Chair Investment Fund (managed by the State Treasurer). The interest earned on this fund supports the Aetna Chair of Writing. The value of this fund was \$505,779.58 at June 30, 2022, including principal of \$500,000 and interest of \$5,779.58.

**The Aetna Endowed Chair of Writing**  
**at the University of Connecticut**  
**Report of expenditures, support, and initiatives**  
August 20, 2023

**Aetna Chair of Writing, General Notes, 2022-2023**

*Prepared by: Brenda Brueggemann, Aetna Chair of Writing*

This 2022-2023 year, all Aetna Advisory Board members were asked to serve on an Aetna-supported prize committee (unless they were on sabbatical, research leave, etc.)

The annual Aetna Celebration of Student Writing Poster Fair & Awards Ceremony, that had been successfully carried out in April 2018 and April 2019, will have a (hopeful) return in April 2024 and be associated with the annual Conference on the Teaching of Writing (spearheaded by UConn's First-Year Writing program, with substantial support from the Aetna Chair of Writing).

A revision of the Graduate Student Awards funded by the Aetna Chair of Writing was undertaken and discussed at length at the annual Aetna Advisory Board meeting on May 22, 2023. It was unanimously approved by the full board. The changes will:

- Rename the overall graduate student support awards as: **Aetna Graduate Student Writing Support Awards** (Aetna GWS Awards).
- Expand the scope of the awards/funding (from the current statement: "Inquiries and engagements into the process and practice of writing in various settings—creative, literary, professional, interdisciplinary, etc." Include: research sites; conference attendance and presentations; event organizing; administrative positions and initiatives related to writing. Also include specific examples/prompts, such as:
  - AI Writing (e.g., ChatGPT), etc.
  - Writing and Cognition (which might attract graduate students studying psychology, linguistics, education, etc.)
  - Writing and Culture (which might attract LCL graduate students)
  - Writing Pedagogy Innovation (in First-Year writing but also all UConn "W" courses)
- Emphasize the importance of a "public venue" distribution for awarded projects – beyond just a brief paragraph-report about the project to the Aetna Chair of Writing.

- Extend support to graduate students who organize writing-related events of all kinds
- \$5,000 annually to support the GWS Awards

The Writing in the Disciplines (WID) Awards that were formerly managed by the UConn Writing Center, have now come under the direct supervision of the Aetna Chair of Writing (though no additional Department-level support has been extended for this labor and the management of the awards). For that reason, the three (3) Writing in the Disciplines Awards – for the Humanities, Social Sciences, and Science & Engineering – were all suspended in 2022-2023 while the Aetna Chair met the full Advisory Board and the English Department Administration about how to best support these awards in the years forward. On average, 80-100 submissions arrive each year for these 3 awards and the reading and evaluation of the papers received is substantial.

## **Aetna Chair of Writing, Discretionary Funds, 2022-2023**

*Prepared by: Brenda Brueggemann, Aetna Chair of Writing*

Discretionary funds of \$500 total for the 2022-2023 fiscal year were used to support an additional creative writing workshop for/with UConn students and the Conference on the Teaching of Writing (CTW) keynote speaker, Stacey Waite on Thursday, April 13, 2023.

## **Aetna Chair of Writing, Graduate Awards, 2022-2023**

*Prepared by: Brenda Brueggemann, Aetna Chair of Writing*

Four (4) research and travel awards were given:

1. Luisana Duarte-Almendariz (\$500)

Thanks to the Aetna Travel Award I received through UConn I was able to participate in the Kindling Words East (KWE) 2023 retreat. KWE is designed by and for writers, illustrators, and editors of children's literature. The experience was regenerative for my creative work and thanks to it, I've already progressed in my manuscript. The retreat was also extremely helpful in my own development as a children's author. I participated in workshops about school visits, writing non-fiction, and chatted with people who could relate to the benefits and struggles of being a contemporary author of color. Another advantage of participating in KWE was the networking opportunity, from which I have already set up a panel of middle-grade authors coming up on May 10<sup>th</sup> at Bank Square Books in Mystic and author visit opportunities for next academic year.

## 2. Madison Gehling (\$500)

On May 13, 2023, I presented my paper "'Legends are dangerous': Elective Amnesia in Young Adult Medievalism" on a special panel about young adult writing and pedagogy at the 58th annual International Congress on Medieval Studies in Kalamazoo, Michigan. During my presentation, I spoke about the rhetoric of elective cultural amnesia that many young adult authors address in their books—amnesia weaponized by white supremacists in positions of power to "forget" the harmful consequences of problematic power structures, racist institutions, revisionist histories, etc. I specifically argued that the complex writing style and rhetoric of these texts, written for young audiences, is particularly important in challenging this amnesia, since books like Tracy Deonn's *Legendborn* and Maggie Stiefater's *The Raven Cycle* series center young, BIPOC, queer and gender diverse voices. The characters in these texts value open dialogue, diversity, love, radical community work, and reparations; these values are positioned as the way forward. The panel was useful, and overall the conference was an incredibly valuable experience: the Q & A section of the presentation turned into an engaging conversation about dangerous political rhetoric in both the medieval academy and in contemporary pop culture. We also shared pedagogical tools and resources (specifically for teaching intro-level composition and literature courses) with each other.

## 3. Adam McClaim (\$262)

Attendance at, and presentation, Utopias/Dystopias Conference: "Through the Valley of the Shadow of Death": Approaching Zion through Utopia and Dystopia." In many visions and iterations, Zion is considered utopian—a place or feeling of sanctuary, peace, and wholehearted unity. The Latter-day Saint idea of Zion takes many forms: a physical space, be that Missouri, Illinois, or Utah; a metaphysical sanctuary, wherever the Saints are welcome, protected, and able to practice their beliefs; and a state of community, being "of one heart and one mind" (Moses 7:18). This paper approaches the Latter-day Saint conception of Zion through ideas and theories about utopia and dystopia

## 4. Luisana Duarte-Almendariz (\$500)

Travel to, and presentation at, this year's Children's Literature Association Conference (ChLA) in **Seattle, Washington from June 15–June 17, 2023**. Presentation titled: "Business as Usual (?): Depictions in children's literature of life in extreme environments and post-climate change related natural disasters." In this paper, I explore the writing of child characters who are affected and even displaced by natural disasters and how their environments are rebuilt in the aftermath.

Additionally, this year I was elected to serve in the Article Award Committee for ChLA. I'm excited to serve my term which begins during this

year's conference and lasts for three years. Even though this appointment is labeled as "service," I believe that I will greatly benefit as a student by being part of this committee. While reading academic articles has become part of my daily life, I'm still developing my skills when it comes to writing publishable papers. I look forward to becoming a critic of the articles submitted for consideration of this award and learning from my peers who are also serving in this committee.

Graduate Teaching of Writing Award for 2022-2023 (\$500): Hannah Taylor (English)

The committee reading applications for this award found Hannah Taylor's materials to be robust, detailed, well-supported, ambitious, and thought-provoking. A thoughtful syllabus and intriguing writing assignment were offered that connected to the course's larger learning objects; an impressive faculty observation letter for teaching was included; an engaged course inquiry and reading list grounded the course.

Graduate Critical Writing Award for 2022-2023 (\$500): Mackenzie Bergan (English)

The Aetna Graduate Critical Essay Prize Committee is pleased to award this year's prize to Mackenzie Bergan for her essay, "Theorizing the Uncanny Adaptation through *Hamlet*." The committee felt Bergan's paper demonstrates a deep familiarity with the scholarly conversation surrounding Shakespearean adaptation, and video game adaptation, specifically. One member of the committee highlighted the "comprehensive way in which the writer applies the concepts of the 'uncanny' and 'haunting' to *Elsinore*." Another found "the subject and style of the essay...enjoyable for both academic and general audiences." Bergan's observation that *Elsinore* allows viewers/players to "help shape where and how the uncanny familiarity...progresses and evolves" (13) reveals a crucial component of adaptation more generally: the constitutive role of the audience. By ultimately theorizing "uncanny adaptation" through the participatory medium of the video game, Bergan helps clarify the way an audience's prior knowledge of and cultural connection to a source text is essential to the "success" of the adaptation. The concept of the "uncanny adaptation" has the potential for wider application and invites further investigation in relation to *Hamlet* or other Shakespearean tragedies.

## Connecticut Writing Project 2022-2023, Aetna Chair Support

*Prepared by: Jason Courtmanche, CT Writing Project Director*

For the 2022 CWP participants, there are only three final Inquiry Projects because these are the groupings the teachers ended up in for their final projects.

For 2023, you see only their proposed Inquiry Projects. That some are the same is because we make suggestions during the interview process (College-Level Writing, Multimodal Composition, Reading/Writing Connection, and Writing for Social Justice were the broad topics we suggested this year). The teachers will ultimately form partnerships, and the Inquiry project titles will become more nuanced.

### Fellowship Recipients 2022

Mike Ewing	Fairchild Wheeler Interdistrict Magnet High School, Bridgeport	Writing Marathons
Mary Archambault	Pomfret Community School	Creative Writing: Break Down Barriers and Empower Students
Chris Buck	UMass-Amherst PhD Candidate	Writing Marathons
Patrick Gosselin	Eillington High School	Creative Writing: Break Down Barriers and Empower Students
Amythest Hamby	Farmington High School	Trauma Informed Teaching
Julianna Iacovelli	UConn, Neag Grad Student	Trauma Informed Teaching
Alex Klein	Killingly High School	Innovative Approaches to Teaching Students About Misinformation
Joe Tarantino	University High School of Science and Engineering, Hartford	Writing Marathons
Ashley Taylor	Griswold High School	Writing Marathons
Julia Ward	UConn BA in English, TCPCG student	Trauma Informed Teaching

## Fellowship Recipients 2023

Beth Richmond	Charles Murphy Elementary School, Oakdale	Remedial reading and Dyslexia
Dan Murphy	Bi-Cultural Hebrew Academy, Stamford	Multimodal Composition
Emily Genser	Conard High School, West Hartford	Multimodal Composition
Kyra Arena	UConn, Neag grad student	Writing and Social Action
Lexi Munger	Northwest Regional High School, Winsted	Increasing Student Confidence and Passion for Writing
Stacey Landowne	Fairfield Warde High School	The Reading/Writing Connection and How it is Impacted by Technology
Jane Tulley	Ridgefield High School	College-Level Writing and Multimodal Composition
Bailey Hosko	Killingly Intermediate School	The Reading/Writing Connection

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## UConn Creative Writing Program, 2022-2023, Aetna Chair Support

*Prepared by: Sean Forbes, Creative Writing Program Director*

### **Aetna Money Spent from Creative Writing Program FY 2022-2023**

**Amount Requested: \$9,000**

**Amount Used: \$8,682.63**

### **Aetna Writer-in-Residence Program (Poet): \$3,000**

(Author honorarium \$3,000)

The spring Aetna Writer-in-Residence Program was held in-person on Wednesday, March 1, 2023 at 6:30 PM at the UConn Bookstore, Storrs Center. Our featured reader was poet **Chen Chen**. Chen is the author of two books of poetry, *Your Emergency Contact Has Experienced an Emergency* (2022) and *When I Grow Up I Want to Be a List of Further Possibilities* (2017), which was longlisted for the National Book Award and won the Thom Gunn Award, among other honors. His work appears in many

publications, including *Poetry* and three editions of *The Best American Poetry*. He has received two Pushcart Prizes and fellowships from Kundiman, the National Endowment for the Arts, and United States Artists. He was the 2018-2022 Jacob Ziskind Poet-in-Residence at Brandeis University and currently teaches for the low-residency MFA programs at New England College and Stonecoast.

On Thursday, March 2, 2023, Chen met with six students for 45-minute one-on-one tutorials. Each student submitted a manuscript of 5-pages of poetry to be workshopped with Chen. The six students (two graduate students and four undergraduate students) were: **Frankie Devevo, Autumn Michels, Kayla Simon, Julia Brush, Anh Le, and Aayushi Agarwal.**

Here are a few testimonials:

“I've rarely felt so capable and inspired as I did after my tutorial with Chen. He was so gentle with my work, so careful. When he identified elements that weren't working for him, he asked me to explain my thinking so we could talk about how to realize my vision. And when he found a surprising phrase or vivid image, he was quick to articulate their strength. To have a poet I've studied and who I admire treat my work with such respect made my writing feel real and legitimate in a way it hadn't before.”

**-Frankie Devevo, Undergraduate English major**

“Chen Chen is a poet I look up to, so I was beyond excited when I found out that I would get the chance to get his feedback on my writing. I've had writer's block for some time now, so I was nervous about the upcoming revision process, but ten minutes into our conversation that fear was gone. He didn't give me structural suggestions, but rather small ones that felt manageable, minimally impactful in a way that would greatly improve my poems. I really appreciated his insight, and I am so grateful I was able to talk to him.”

**-Aayushi Agaral, Undergraduate English major**



“My experience workshopping with Chen Chen is a piece of my time in the UConn English program that I am going to remember forever. Chen provided such thoughtful and encouraging feedback when workshopping my poems. His suggestions were small and manageable fixes that are going to make my poems better in monumental ways; he pinpointed aspects of my poem that I could immediately tell would make the piece better once his suggestions were implemented in my own writing voice. I left the workshop feeling both excited to revise these poems and confident in my future writing! The personal significance of having the chance to work one-on-one with such a talented and respected poet cannot be understated, and I am infinitely grateful to the creative writing program for this opportunity.”

**-Kayla Simon, Undergraduate English major**

“It is not often that one has the opportunity to meet with a published poet, particularly a contemporary poet. Meeting with Chen Chen was an incredible experience. He took time and care to read through each of the poems I submitted and relayed extremely valuable advice and suggestions so I may better enhance my writing. The tutorial also allowed me to discuss how publishing works in the poetry genre and I gained extremely useful information from his first-hand knowledge. I am very grateful to have been able to meet with Chen Chen and know that my writing will only improve from his influence.”

**-Autumn Michels, Undergraduate English major**

“My one-on-one session with Chen Chen has solidified my desire to further pursue creative writing—not only in my graduate studies, but throughout my lifetime. His feedback on my five poems has aided me with strengthening my craft by guiding me to adopt a more flexible approach to writing poetry. Chen was calm, generous, kind, and receptive to the effect I was attempting to create with each poem, each stanza, each image. His advice about revision, which was to feel excited about the possible directions that a piece can take rather than becoming attached to its original form, is applicable to my life. This opportunity to work with such a talented, skillful, and sensitive poet whose voice needs to be further amplified in our society is something that I don’t take for granted. Thank you to the Creative Writing Program and the Aetna Chair of Writing for giving me the opportunity to share with Chen my work.”

**-Anh Le, Graduate English student**

### **Poetic Journeys: \$932.63**

Printing and reception for UConn Poetry Transportation project.

Under the direction of Daniel Healy who served this academic year as the Graduate Assistant Director of the Creative Writing Program, and in collaboration with undergraduate students from the UConn Design Center Studio, six posters were designed featuring short excerpts of poems by three undergraduate students and three graduate students, respectively: **Madison Bigelow, Sophia Ciraldo, Rylee Thomas, Christopher Bolster, Mykelle S. Coleman, and Anh Le.** The *Poetic Journeys* Release Party was held on Thursday, April 20, 2023, at 5:00 PM in the Stern Lounge of the Austin building.

### **Aetna Celebration of Creative Nonfiction: \$2,000**

(Author honorarium \$2,000)

The spring 2023 Aetna Celebration of Creative Nonfiction was held via a Zoom webinar on Thursday, March 23, 2023 with our featured writer: **Elissa Washuta.** The celebration honored and recognized two undergraduate student co-winners of the 2023 Aetna Creative Nonfiction Award, **Celine Agbotey** and **Jules Dowling**, who both read excerpts from their winning nonfiction essays.

Elissa Washuta is a member of the Cowlitz Indian Tribe. Her essay collection *White Magic* was selected as a finalist for the PEN/Open Book Award, longlisted for the PEN/Jean Stein Award, and named among the best books of 2021 by *TIME*, the New York Public Library, and NPR. She is the author of *My Body Is a Book of Rules* and *Starvation Mode*, and with Theresa Warburton, she co-edited the anthology *Shapes of Native Nonfiction: Collected Essays by Contemporary Writers*. Her honors include a National Endowment for the Arts Fellowship, a Creative Capital award, and the Artist Trust Arts Innovator Award. She is an associate professor at the Ohio State University, where she teaches in the MFA Program in Creative Writing.

### **Long River Review Production: \$2,000**

Partial support —other funding to come from Creative Writing Program, the Collins Prize, and journal sales.

This marked the 26<sup>th</sup> edition of the *Long River Review*, UConn's award-winning art and literary journal. Under the direction of faculty advisor Sean Frederick Forbes, 15 undergraduate students worked tirelessly to produce the journal in collaboration with the UConn Design Center Studio. 400 copies were printed. The Release Party took place on Thursday, April 27, 2023, at 7:00 PM at the UConn Bookstore, Storrs Center, 1 Royce Circle.

### **Aetna Creative Nonfiction Award: \$250**

Awarded to Celine Agbotey and Jules Dowling, co-winners, \$125 each

### **Aetna Translation Prize: \$250**

Awarded to Glorimarie Peña Alicea

### **Aetna Children's Literature Prize: \$250**

Awarded to Luisana Duarte Armendáriz

### **Long River Review Graduate Writing Award: \$250**

\*Committee decided not to award a prize this year.

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## **First-Year Writing Program, UConn: Aetna Chair Support, 2022-2023**

*Prepared by: Lisa Blansett, FYW Director*

Last year, 2022-2023, we were awarded \$7,000 by the Aetna Advisory Board. The following sections outline how that funding was used.

1. **Conference on the Teaching of Writing (\$2,000):** In 2023, we were able to return to an in-person conference on the Storrs Campus to host "What a Difference Makes." We have learned that the return to in-person work requires a period of transition, but we were pleasantly surprised to host 74 registrants at the conference from institutions across the US. We added some new features this year, including "poster sessions" where which faculty, graduate students, and undergraduates shared work they were doing in poster format. We hope to continue celebrating undergraduate work at the conference. For the conference, we were able to secure Dr. Stacey Waite, editor of *Ways of Reading: An Anthology for Writers* (a college reader) and [Inventing the Discipline: Student Work in Composition Studies](#) (defining the field of writing studies and first-year composition); author of *Teaching Queer: Radical Possibilities for Writing and Knowing*, as well as several books of poetry: *Butch Geography*, *the lake has no saint*, *Love Poem to Androgyny*, and *Choke*. Dr. Waite spent two days at UConn, facilitating a poetry workshop for

University of Connecticut First-Year Writing Program's  
Conference on the Teaching of Writing

# What a Difference Makes

→ **Friday, April 14th, 2023**  
UConn Student Union, Storrs, CT

Join us as we investigate the possibilities of difference to consider "What a Difference Makes" and to imagine the generative potential of difference in the teaching of writing and the writing classroom.

Our definition of **DIFFERENCE** encompasses  
Differences in identities, experiences, social groups, languages, social practices, curricula, and pedagogies, as well as in thought and action.

**Keynote Speaker**  
**STACEY WAITE**  
poet, educator, scholar

Sponsored by the Aetna Chair of Writing, Creative Writing Program; Dean for the College of Liberal Arts & Sciences; Department of English; Rainbow Center; University of Connecticut Humanities Institute; Women's Center, and the Department of Women's, Gender, & Sexuality Studies.

Please reach out to [fywconference@uconn.edu](mailto:fywconference@uconn.edu) if you have any questions.

Office of the Controller  
343 MANSFIELD ROAD  
STORRS, CT 06269-1074  
PHONE 860.486.2937  
FAX 860.486.0536  
[www.controller.uconn.edu](http://www.controller.uconn.edu)

undergraduates, a writing-pedagogy workshop for graduate instructors, and a poetry reading on Thursday; on Friday, Dr. Waite delivered the keynote address. The success of the two-day event has suggested we consider collaborating with other units both inside the English Department and across campuses to permanently expand the conference and its offerings.

**2. Scholarship of Teaching and Learning (SoTL) Research Project (\$2,500):**

We are completing analysis of the grant-supported Scholarship of Teaching and Learning project. Although Pandemic restrictions had hampered student participation, we gathered meaningful data that has led to fruitful analysis, facilitated in part by the Director's participation in the 2022 Dartmouth Summer Seminar in Writing Research. The funding we received is currently supporting the final stages of analysis and publication for this work. The research has shown that students embrace developing intellectual projects that bring together each course's shared inquiry and the students' relevant experiences, framed in academic and public-facing terms, circulated in multiple media. The research also demonstrated good teaching requires support and development, so we have put some of the funding (\$1,000) into supporting instructional development for a two-day workshop scheduled for May 30-31.

**3. Consultant-facilitators to support the CLAS Teaching Enhancement Grant we won in summer of 2022 (\$2,500).** Because of staffing constraints, particularly the absence of an Associate Director of FYW, we paused the collection of adjunct-faculty course development proposals and the consulting period until June 2023. These funds are being used to put the 2022-23 FYW APiR hires into consulting roles for this program. The CLAS Teaching Enhancement Grant is funding support for the "FYW Adjunct-Faculty Course Development Award." Adjunct faculty who teach FYW are invited to submit a proposal to develop an inquiry, assignment sequence, and course activities using the approach, framework, and learning objectives for FYW. The deadline for submissions is May 22. A panel of cross-campus WPAs will select up to 6 adjunct faculty to be awarded a modest stipend (\$775), and work directly with those instructors to develop strong, individualized courses that can serve as models for UConn FYW courses. The Aetna contribution will cover the new FYW APiRs who will serve as consultants to the adjunct faculty.

Lisa Blansett, Director of First-Year Writing

Christopher Bolster, Departing Assistant Director of First-Year Writing

Luisana Duarte Armendariz, Continuing Assistant Director of First-Year Writing

Mckenzie Bergan, Entering Assistant Director of First-Year Writing (Fall 2023)

## Writing Center, Aetna Funding Report for 2022-23

*Prepared by: Tom Deans, Writing Center Director*

Last May 2022, the Aetna Advisory Board approved \$800 for the following purposes:

- \$400 to fund an honorarium for an outside workshop or webinar leader.

In May 2022, a few weeks after the 2022 Aetna Board meeting, we brought in Noreen Lape, author of *Internationalizing the Writing Center*, to lead an online workshop with our leadership team—but we ended up being able to slip this into the 2021-22 funding cycle. We did not bring in another speaker or workshop leader during the 2022-23 academic year, so **we did not spend this \$400 allocation.**

- \$400 to fund student research projects and conference travel, with the usual caveat that we will first make good faith efforts to secure funds from other sources.

We did undertake successful undergraduate and graduate writing center research that resulted in 2 presentations at the Northeast Writing Center Association Annual Conference in Durham, NH (one on multilingualism in the writing center and one on a pilot of AI technologies/ChatGPT in the writing center) and 2 at the International Writing Center Association Annual Conference in Vancouver (one workshop on our “Centering Writing”/creative writing staff development and one on using AI in STEM graduate writing support). These panels included faculty, staff, graduate, and undergraduate researchers, but we were able to secure travel funding for everyone through other sources: the Office of Undergraduate Research, the Graduate School, UCPEA professional development fund, and a faculty research fund. **So this allocation went unspent too.**

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## UConn-Hartford Campus, Aetna Chair Report, 2022-2023

*Prepared by Scott Campbell, Writing Coordinator*

The year that is ending now was fraught with setbacks and challenges; most notably, about 40% of our FYW teaching staff turned over and our library has been closed since January because of a flood. As a consequence, much of the training and support for FYW teaching this year has been in a more on-demand and case-by-case mode, and we have not been able to find a time to meet together as a unit. I conferred with these

instructors, and the consensus was that an early fall semester preparatory meeting is preferable to a late or post-semester meeting. (We had been meeting in Aprils and Mays in previous years.) In short, **we did not use the Aetna funding** that was allocated for our workshop this year, and, as you will see in the funding request, I am hoping to use those funds for a new, more formalized “onboarding” meeting at the beginning of the academic year.

Request was for \$900, and we spent none of that.

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## **UConn-Waterbury Campus, Aetna Chair Support, 2022-2023**

*Prepared by Ellen Carillo, Writing Coordinator*

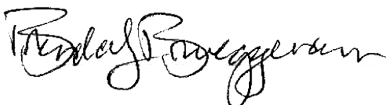
The Waterbury campus appreciates the funding provided by the Aetna Chair of Writing for Academic Year 22-23. Thanks to this funding, FYW faculty members were able to receive \$75 as compensation (to put toward books) for their participation in our meetings and professional development workshops. The funding also supported Academic Integrity Awareness in which 191 students participated. I did not, however, hold the Thank-You Project event, for which I requested funding. The timing of that event would have conflicted with the Thanksgiving event our new director planned. Additionally, half of the first-year writing instructors did not request compensation for their attendance at events/meetings, which is another reason why there is such a discrepancy between the request and what was spent.

Total Requested: \$700

Total Spent: \$315.02

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Respectfully submitted,



**Brenda Jo Brueggemann**

Professor, English & American Studies & WGSS

Aetna Endowed Chair of Writing

The University of Connecticut

**August 21, 2023**

# ENDOWED FACULTY ANNUAL IMPACT

## INSTRUCTIONS

Please share your accomplishments by completing the form below by June 30.

Please write in a first person narrative and utilize a conversational style of writing, using a layperson's language to the extent possible. See an example here.

If there are any questions regarding the report or the form submission, please contact The UConn Foundation Office of Donor Relations and Stewardship at [chairreports@foundation.uconn.edu](mailto:chairreports@foundation.uconn.edu).

Endowed Chair/Professorship Title\* - Aetna Endowed Chair of Writing

Faculty Name\* - Brenda Jo Brueggemann

Email\* [Brenda.brueggemann@uconn.edu](mailto:Brenda.brueggemann@uconn.edu)

Greeting and Thank You Message\*

Thanks to the Aetna Corporation for the initial funding toward this endowment, with further support from the Connecticut Office of Higher Education and with the additional resources offered by UConn's College of Liberal Arts and Sciences (CLAS), this past academic year has been particularly productive and impactful year for the Aetna Endowed Chair of Writing. It was my sixth year in this position after having joined the faculty at UConn in August 2016. In my second year holding the position (2017-2018), the UConn Foundation worked with me to arrange a lunch meeting with a chief officer at the Aetna Corporation. That introductory opportunity was much appreciated.

## Teaching and Research Highlights\*

My **research** projects and successes were notably expanded this year in advancing six new projects: (1) the Graduate Pandemic Narratives project in collaboration with three UConn graduate students, and supported by Aetna endowment funds; (2) exhibit co-curation and completion of all interpretive labels and an exhibit catalogue essay (“Accessing Deaf Art”) for the Terra Foundation for the American Arts’ new exhibit, “Deaf Art in America: Shaped by the American Dream”; (3) senior editor on a collaborative editorship of a new and significantly expanded 2<sup>nd</sup> edition of *Disability and the Teaching of Writing* (in proposal with the National Council of Teachers of English); (4) development and launch of a new international [Disability Studies Community Blog](#), with significant build contributions from UConn’s [Greenhouse Studios](#) and Student Design Center; (5) continued collaboration on the Aesthetics, Bioethics, and Rhetorics of Access in Art and Cultural Museums project with my colleagues Rosemarie Garland-Thomson (Emory) and Georgina Kleege (Berkeley) with two publications from that project forthcoming in Fall 2022; and finally, (6) a new multimodal, sociological-historical study of the cross-institutional connections between the Univ. of Connecticut and the former “Mansfield Training School and Hospital” that occupied the current grounds of the “UConn Depot Campus” for over 130 years. This last project and the new DSC Blog have also been generously supported by UConn’s College of Liberal Arts and Sciences.

It was a remarkable year of **teaching** for me, even though the Aetna Endowed Chair position has (so far) relieved me of two courses annually (thus, I only teach two courses per year at UConn). In an intensive 6-week summer term, I was, once again, on the faculty at the [Bread Loaf School of English](#)-- for my 6<sup>th</sup> summer in a row; I was also asked by the BLSE graduating seniors to deliver their commencement address. In Fall 2021, I volunteered myself to teach on the Waterbury campus (and loved every minute of it) –delivering the first-ever Disability Studies course there. In Spring 2022, I carried out a triple-cross-listed graduate seminar on Disability in American Literature and Culture, that enrolled 12 graduate students from five different programs/departments.

Outside of the direct classroom I also served as the faculty mentor for a current [University Scholar](#) (Elisa Shaholli) and a Senior Honors Thesis supervisor (Jessica Gallagher). Both students have forthcoming academic journal publications (in Fall 2022) from their work under my advisement. I also oversaw two undergraduate independent studies experiences and four different undergraduate writing internships, who worked with me in my role as editor of *Disability Studies Quarterly*.



## Publications, Conferences, and Awards\*

Conferences across the academic landscape were still in slow pandemic recovery, although I did attend and deliver research papers at both the Modern Language Association's annual convention and Ohio State University's "Multiple Perspectives on Access, Disability, and Inclusion" conference. I also delivered the following academic/public events:

the graduation address at Middlebury College's [Bread Loaf School of English](#); an invited research presentation at Western University of Ontario; [two workshops](#) at University of Hartford (on access and Universal Design for Learning); an invited public reading from my *Posting Mabel* book (in progress) at the [Cleveland Public Library](#); moderator and organizer for The UConn Foundation and Alumni's event, [#This is America: The Seen and Unseen Realities for People with Disabilities](#); and a "master class" for [The Academy for Teachers](#) in NYC.

Awards received this year included: the UConn-[CLAS Undergraduate Mentoring Award](#) (\$1000); a [Provost/GEOC grant](#) for new course development on two courses in professional writing (\$8,000); a CLAS summer research fund award for the UConn-MTS Cross-Institutional Memorial project (\$7800); and a WGSS summer research fund for the Aesthetics, Bioethics, and Rhetorics of Access in Art and Cultural Museums (\$1000).

## Service and Public Engagement\*

Several of the speaking roles listed above were also service/public engagement events. In addition, and in relation to further educational service beyond my work at UConn, I serve as a founding member on the Board of Directors for [Erase the Space](#), and as the director for the Bread Loaf School of English's new "[Curriculum for Change](#)." I continue my substantial editorial role at [Disability Studies Quarterly](#) (in a 6-year term from 2018-2024), the oldest journal and journal of record in the field of Disability Studies; and this 2021-2022 year, I spearheaded an expansion of *DSQ* by creating a new academic-activist-artist-community space, the Disability Studies Community ([DSC](#)) [Blog](#). (That blog development was in collaboration with UConn students from Greenhouse Studios and the Design Studio lab.) Finally, in January 2022, I was elected to the Modern Language Association's [Executive Council](#) (board of directors) and will serve a four-year term there (until 2026); that international field service at MLA also includes serving on the [Delegate Assembly Organizing Committee](#), and as adviser to the [Committee on Information Technology](#)

## Academic Programs and Faculty Development (Optional)

There were four primary engagements this past year that centered on academic programs and faculty development. First, I continued my service on UConn's General Education Oversight Committee (GEOC), also serving in a role as the co-chair of their Committee on Information, Digital, and Media Literacies. Second, I helped organize and present at UConn's Center for Excellence in Teaching and Learning (CETL) "[May Days](#)" event – a roundtable on engaging systems of access and inclusion in university

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classrooms. Third, I served on the CLAS new task force on “accessibility.” And finally, fourth, and most significant and wide-reaching, I was designated an Office of Diversity and Inclusion (ODI) Faculty Affiliate for Diversity, Equity, and Inclusion in 2021-2022 and in that role I carried out the following:

a report on best practices in DEI strategic planning from 18 other peer/benchmark institutions; an extended conversation with Univ. of Michigan’s Chief Diversity Officer; a faculty event that featured 4 UConn units with particularly excellent DEI plans or initiatives; four graduate student networking events –two for first generation graduate students and two for graduate students disabilities (these were the first such events ever at UConn); the development of a new graduate student fellowship, the AIDE (Access, Inclusion, Diversity, and Equity) fellows; and a summary report and bibliography on “Access @ UConn.”

### Looking Ahead\*


I am always looking ahead! In Fall 2022, we should finish up the Graduate Pandemic Narrative Project and launch its new website, while also aiming to do a few conference presentations and an academic research article around it. Before the year’s end, the Mansfield Training School-UConn Memorial and Museum will also be launched (thanks to generous summer research support from CLAS @ UConn), and we will have a book proposal out to several university presses about that cross-institutional history as well. Other research projects listed above will also continue but the most important “look-ahead” for me is in the further growth of the newly released Disability Studies Community Blog. I plan to apply for two different grants to support and grow that blog – one internal UConn grant and one external federal grant. Along with that blog growth, I hope to collaborate with others at UConn about building a disability studies program/initiative that would also serve not only the Storrs campus, but the Stamford and Waterbury students.

I do have some concerns about how these things will all be done while also carrying out some large field roles on the MLA Executive Council and as editor of *Disability Studies Quarterly* –while my department has also asked me to return to a 3-course teaching load. But I am always looking, eagerly, ahead!

## **ATTACHMENT 3**

September 27, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: FY23 Capital Expenditures

RECOMMENDATION:

That the Board of Trustees acknowledge the capital expenditures of \$216,764,132 (Storrs and Regional Campuses: \$211,685,003 and UConn Health: \$5,079,129) for Fiscal Year 2023.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the University has been reporting actual expenditures for the Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities (Deferred Maintenance) project line. After the fiscal year close, the final list of expenditures was submitted to the Board for information.

Since FY17, the University has submitted an all-funds capital budget to the Board. Therefore, in an effort to continue this transparency, the report of capital expenditures is no longer limited to Deferred Maintenance bond fund spending. The report includes all capital project expenditures for FY23 detailed by UCONN 2000 project lines and fund sources.

It is important to recognize that depending on the start and duration of a project, capital expenditures may occur over multiple years, and therefore the expenditures in the following report do not necessarily equal the capital budget for a specific year.

Attachment

## BUDGET

Excluding UCH Operating

**\$125M GO Bonds**  
**53M University Funds**  
**52M Other Funding Sources**  

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**\$230M Total FY23 Budget**



## PROJECTS FUNDED

Excluding UCH Operating

**\$122M GO Bonds**  
**44M University Funds**  
**5M Other Funding Sources**  

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**\$171M FY23 Total Funded**

## UConn EXPENSES

**\$156M GO Bonds**  
**54M University Funds**  
**2M Other Funding Sources**  

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**\$212M Total FY23 Expenses**



## UCH EXPENSES

Projects Over \$500K

**\$1M GO Bonds**  
**4M University Funds**  
**0M Other Funding Sources**  

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**\$5M Total FY23 Expenses**

## ACTIVE PROJECTS

Including UCH Projects Over \$500K

Most capital projects span multiple fiscal years. As of 6/30/23, there were 172 active projects with funded budgets totaling \$1.2B and expenditures of \$964M.



## CLOSED PROJECTS

Excluding UCH

127 projects with budgets totaling \$30M were financially closed in FY23. At closeout, \$7.0M (23%) was reallocated to other operating & capital initiatives.

# UCONN 2000 Capital Program 5 Year Summary

in millions	FY19	FY20	FY21	FY22	FY23*	Total	5 Yr Avg
GO Bonds	200	197	260	215	125	997	199.4
Revenue Bonds / Other	125	4	0	0	52	181	36
University Funds	35	49	55	56	53	248	50
<b>Total Capital Budget</b>	<b>\$360</b>	<b>\$250</b>	<b>\$315</b>	<b>\$271</b>	<b>\$230</b>	<b>\$1,426</b>	<b>\$285</b>
GO Bonds	194	212	243	236	122	1007	201
Revenue Bonds / Other	93	2	0	0	5	100	20
University Funds	35	17	62	48	44	206	41
<b>Total Projects Funded</b>	<b>\$322</b>	<b>\$231</b>	<b>\$305</b>	<b>\$284</b>	<b>\$171</b>	<b>\$1,313</b>	<b>\$263</b>
GO Bonds	184	137	153	197	157	828	166
Revenue Bonds / Other	67	56	16	6	2	147	29.4
University Funds	26	22	21	45	58	172	34
<b>Total Expenses</b>	<b>\$277</b>	<b>\$215</b>	<b>\$190</b>	<b>\$248</b>	<b>\$217</b>	<b>\$1,147</b>	<b>\$229</b>
Projects Closed	88	77	75	117	127	484	96.8
Closeout Funds Reallocated	\$9	\$12	\$11	\$23	\$7	\$62	\$12
% of Funds Reallocated	2%	2%	6%	3%	23%		7%
# of Active Projects	171	220	214	170	172		189
Budgets of Active Projects	\$1.3B	\$1.3B	\$1.6B	\$1.1B	\$1.2B		\$1.3B
Expenses of Active Projects	\$1.0B	\$1.0B	\$1.2B	\$0.8B	\$0.9B		\$1.0B

\* FY23 active and closed metrics include Facilities Operations expensed maintenance projects

**University of Connecticut**  
**Capital Project Expenditure Report - All Funding Sources**  
**Fiscal Year 2023 as of 6/30/2023**

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Fiscal Year to Date Expenditures By Funding Source			
				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Other Funding**
<b>Storrs and Regional Campuses</b>							
<b>Academic and Research Facilities</b>							
Academic & Research Facilities - Gant Building Renovations - STEM	169,827,606	150,149,004	Construction	3,099,940	3,100,034	(94)	
Academic & Research Facilities - STEM Research Center Science 1	190,000,000	163,009,960	Substantially Complete	38,719,023	38,719,023		
<b>Academic and Research Facilities Total</b>				<b>41,818,963</b>	<b>41,819,057</b>	<b>(94)</b>	<b>-</b>
<b>Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements &amp; Renovation Lump Sum and Utility, Administrative and Support Facilities</b>							
Ace Water Heater Replacement	275,000	262,315	Substantially Complete	45,843			45,843
Active Transportation Plan Study	100,000	97,696	Planning/Design	33,565	33,565		
Ag Bio-Technology Building Cagewasher Replacement	185,000	183,910	Substantially Complete	9,918			9,918
Alumni Center Wood Shake Roof Replacement	360,000	32,313	Construction	27,084			27,084
Alumni Residence Halls Roof Replacement	285,000	227,831	Planning/Design	-			
Andover Infrastructure & Software Upgrade	3,480,438	3,423,836	Substantially Complete	1,381,101		1,381,101	
Arjona Building 105 & 143 Lecture Hall Upgrades (TL2438)	450,000	60,243	Construction	60,243	60,243		
Athletics Master Plan	425,000	316,896	Planning/Design	316,896			316,896
Atwater A050 Trimming Lab	135,000	100,322	Construction	92,972			92,972
Atwater Aquatics Room A221 and A222	23,800	7,475	Planning/Design	7,475			7,475
Atwater Electrical Generator Replacement	674,851	674,851	Completed	280,372			280,372
Atwater Facade Repairs - Phase 2	42,000	25,953	Planning/Design	25,953			25,953
Avery Point Academic Building Chemistry Lab Renovation	282,329	282,329	Completed	18,402			18,402
Avery Point Academic Building Roof Replacement	1,270,000	922,205	Substantially Complete	191,455			191,455
Avery Point Sea Lab Floor Repair	230,000	181,652	Substantially Complete	163,252			163,252
Avery Point Seawall Restoration (FO500157)	3,063,069	71,614	Construction	71,614	71,614		
B4 Steam Vault Replacement	7,700,000	6,193,388	Substantially Complete	6,080,383	6,193,388	(113,005)	
Babbidge Library VAV Replacement	202,161	202,161	Completed	10,108			10,108
Beach Hall 130 Suite Renovation	295,000	68,812	Construction	68,812			68,812
Beach Hall 1st Floor Classrooms & Study Space Upgrade	190,000	60,502	Construction	60,502			60,502
Beach Hall Underground Storage Tank Replacement	250,000	-	Planning/Design	-			
Benton 106 Teaching Space Improvements	100,000	11,393	Planning/Design	11,393			11,393
Bio 4 Chiller Replacement	139,361	139,361	Completed	17,210			17,210
Bio Annex 4 Switchgear and Transformer Replacement	175,047	175,047	Completed	121,688			121,688
Bio/Physics Cold Room - Phase 1	215,300	215,300	Completed	215,300			215,300
Bishop Center Roof - Electrical & HVAC Upgrade	400,000	161,047	Substantially Complete	-			
Boiler Plant Equipment Replacement and Utility Tunnel Connection	43,000,000	31,436,665	Construction	7,526,580	7,526,580		
Bousfield 3rd Floor Office Upgrades	155,000	8,221	Planning/Design	8,221			8,221
Branford House Exterior Repairs	800,000	365,793	Substantially Complete	236,290			236,290
Bronwell Switchgear Service Replacement	350,000	281,656	Planning/Design	7,052			7,052
Campus Wayfinding 2022	300,000	253,266	Substantially Complete	253,266	253,266		
Castleman - 122, 123, 123A Renovations For NASA Research	209,943	185,837	Substantially Complete	43,486			43,477
Castleman 136 Renovations	58,107	37,579	Construction	28,698			28,698
Castleman Machine Shop Areas Upgrades	250,000	4,191	Construction	4,191			4,191
Central Utility Plant Office Renovation	175,000	131,821	Construction	113,169			113,169
Chemistry A102 Research Lab Renovation	118,900	101,499	Substantially Complete	9,904			9,904
Chemistry A302 Computer Lab Renovation	90,000	39,884	Construction	39,884			39,884
Chemistry Roof Snow Guard Repair	916,000	727,953	Substantially Complete	59,318			59,318
Coventry Boathouse Upgrades	190,000	100,395	Construction	100,395			100,395
CUP Equipment Replacement and Pumping Improvements	17,000,000	14,715,059	Substantially Complete	8,003	8,003		
CUP Main Campus Fire Pump Replacement	250,000	-	Construction	-			
Cyber Security Upgrades	275,000	210,938	Substantially Complete	7,705			7,705
Depot Campus - Sewer Line Inspection	400,000	-	Planning/Design	-			
Depot Campus School of Engineering Club Relocation (TL2383)	93,014	45,471	Construction	4,603	4,603		
Dining Hall Facilities Ventilation Upgrades	892,700	157,493	Substantially Complete	30,490			30,490
Downtown Hartford Front Entrance Paver Replacement	122,358	122,358	Completed	99,358			99,358
Drama Music 219 A&B Classroom Renovation	84,583	84,583	Completed	57,914			57,914
EH&S Building Interior Upgrades (TL2389)	149,783	116,023	Substantially Complete	40,480	40,480		
Engineering II 205 Renovation (TL2377)	219,693	219,693	Completed	113,437	2,994		110,443
Engineering II 303 Suite Office Renovation	30,000	-	Construction	-			
Eversource Second Electrical Feed - Planning	3,000,000	509,704	Planning/Design	311,974	311,974		
Facilities Building Boiler Steam/Condensate Repair	429,285	429,285	Completed	429,285			429,285
Facilities Code Remediation	196,757	150,403	Substantially Complete	48,179			48,179
Facilities Expensed Deferred Maintenance Projects	6,615,345	3,926,220	Construction	3,308,457			3,307,198
							1,259

**University of Connecticut**  
**Capital Project Expenditure Report - All Funding Sources**  
**Fiscal Year 2023 as of 6/30/2023**

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Fiscal Year to Date Expenditures By Funding Source			
				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Other Funding**
Family Studies R22 Split System	86,830	86,830	Completed	86,830		86,830	
Fenton River Well Field & Road Repair	1,700,000	230,230	Construction	56,276	56,276		
Field House - Old Recreation Center Renovation	4,500,000	1,569,347	Planning/Design	1,080,409	(488,938)	1,569,347	
Fine Arts - Krenicki Institute Renovation (TL2304)	441,551	441,551	Completed	3,154	4,173		(1,019)
Freitas Renovation	2,500,000	171,257	Planning/Design	171,257			171,257
Gampel Electrical Generator Replacement	325,000	53,308	Planning/Design	6,767		6,767	
Gampel Pavilion Court Replacement (FO500158)	688,480	250,610	Construction	250,610	250,610		
Gant 404 Lab Renovation	200,000	117,283	Construction	117,283		117,283	
Garrigus Staircase Replacement	154,400	58,268	Construction	46,124		46,124	
Garrigus Suites Control Valves Installation	200,000	80,422	Construction	80,422		80,422	
George J. Sherman Family Sports Complex Track Resurfacing	740,388	740,388	Completed	740,388		740,388	
Gilbert Road Site Preparation	6,600,000	1,878,637	Construction	1,327,701	1,327,701		
Greer Field House Indoor Track Resurface	807,092	-	Construction	-			
Hartford 38 Prospect Street Air Handling Unit Replacement	89,000	83,750	Substantially Complete	69,188		69,188	
Hartford Graphic Art & Interior Signage	350,000	313,135	Substantially Complete	180,405		180,405	
HEEP Pavilion and Pollinator Garden	50,000	11,912	Planning/Design	-			
Hilltop & Charter Oak Apartment Washer Replacement	360,000	-	Construction	-			
Hilltop Apartments Crawford Interior Improvements	150,000	99,550	Substantially Complete	99,550		99,550	
Human Development Center Houston Lab Renovation	115,000	65,484	Construction	65,484		65,484	
I-Lot Improvements	7,000,000	6,074,300	Substantially Complete	3,542,023	3,542,023		
IPB 3rd Floor NIUVT Office Fit Out	480,000	389,229	Planning/Design	385,844		385,844	
Jones Annex Renovation	940,000	347,018	Planning/Design	266,621	266,621		
Jorgensen Freight Elevator Modernization	550,000	531,532	Substantially Complete	438,270		438,270	
Jorgensen Lobby Renovations	159,000	115,229	Construction	115,229		115,229	
Kellogg Dairy Center HVAC Replacement	12,500	12,250	Planning/Design	12,250		12,250	
Kinesiology Building HALL Renovation (TL2355)	753,500	517,055	Substantially Complete	61,617	61,617		
Lafayette C110 Classroom Renovation	70,000	42,175	Construction	32,411		32,411	
Law School - Knight and Hosmer Window Replacement	80,000	35,416	Construction	35,416		35,416	
Law School Boiler Optimization	233,000	179,657	Substantially Complete	179,657		179,657	
Main Campus Substation Switchgear Relay Replacement	640,000	606,820	Substantially Complete	261,650		261,650	
McConaughy Elevator Modernization	230,000	30,369	Construction	-			
McMahon / 2019 Hillside Rd - Events & ISSS Renovation (TL2402)	454,775	402,311	Substantially Complete	260,102	260,102		
Mirror Lake Improvements	4,000,000	2,446,789	Planning/Design	1,222,552	1,222,552		
Mold / Lead / Asbestos Remediation	4,413,122	4,280,457	Substantially Complete	749,643		749,643	
Music Building Hypalon Roof Replacement	61,071	13,518	Planning/Design	-			
N. Eagleville Road and Discovery Drive Intersection Improvements	3,000,000	207,459	Construction	163,051	163,051		
North & South Garages Safety Screens Phase 2	52,400	20,976	Construction	20,976		20,976	
North and South Parking Garage Restoration	3,000,000	1,474,541	Construction	802,334		802,334	
North Campus Stairwell Infill Renovation	74,109	74,109	Completed	29,046		29,046	
North Campus Student Room Renovations Phase 3	2,149,631	2,149,631	Completed	1,584,391		1,584,391	
North Eagleville Road East Steam Repair	650,000	457,246	Substantially Complete	20,346	20,346		
North East Residence Halls - Security Camera System	1,471,333	1,471,333	Completed	110,228	110,228		
Northwest Quad - Science 1 - Site Improvements & Tunnel Phase II	56,000,000	44,267,898	Substantially Complete	9,444,696	9,444,696		
Northwest Quad Fire Panels	195,221	195,221	Completed	16,143		16,143	
Northwest Science Quad Infrastructure - Phase 1	20,750,000	20,291,618	Substantially Complete	1,003	1,003		
Northwest Science Quad Supplemental Utility Plant	67,000,000	56,307,135	Substantially Complete	5,283,401	5,283,401		
Oak Hall Building Lighting System Replacement	152,100	42,562	Construction	16,627		16,627	
Pharmacy/Biology Autoclave Replacement	175,000	136,778	Construction	136,778		136,778	
Phillips Comm. Science 143E Renovation	81,614	81,614	Completed	6,486		6,486	
Public Safety Building Improvements	7,750,000	7,056,488	Substantially Complete	1,987,639	1,987,639		
Public Safety Risk Assessment and Design Guideline	200,000	102,067	Planning/Design	100,420	100,420		
Putnam Refectory 2 Boiler Replacement	393,500	390,750	Substantially Complete	390,750		390,750	
Residence Hall Dryer Replacement	140,000	-	Planning/Design	-			
Residence Hall Water Bottle Filling Stations	100,000	20,702	Planning/Design	20,702		20,702	
School of Fine Arts Complex Acoustical Improvements	475,000	-	Planning/Design	-			
SFA Benton American Alliance of Museums Modification	150,000	102,475	Substantially Complete	89,703		89,703	
Shakers Testing Facility - Castleman	350,000	38,781	Planning/Design	-			
Sherman Complex Turf Replacement	1,640,997	-	Construction	-			
SOE Backfill Project	1,777,410	1,397,873	Substantially Complete	1,397,873		1,397,873	
South Campus Infrastructure	44,000,000	3,977,033	Construction	3,607,344	3,607,344		
Spring Manor Farm Demolition Mitigation	75,000	42,665	Substantially Complete	2,715	2,715		



**University of Connecticut**  
**Capital Project Expenditure Report - All Funding Sources**  
**Fiscal Year 2023 as of 6/30/2023**

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Fiscal Year to Date Expenditures By Funding Source			
				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Other Funding**
Stamford Abutting Property Restoration	2,500,000	1,745,728	Substantially Complete	3,798	3,798		
Stamford Campus Garage - Demolition	10,000,000	8,946,350	Substantially Complete	32,103	32,103		
Stamford Campus Pump Replacement	142,293	142,293	Completed	13,518		13,518	
Stamford Campus Surface Parking Lot	3,917,512	3,917,512	Completed	-		-	
Stamford Main Building Roof Replacement	57,054	25,755	Planning/Design	25,755		25,755	
Storrs Hall Simulations Lab Renovation (TL2535)	200,000	-	Planning/Design	-		-	
Storrs LED (SLED) Lighting Upgrade	7,850,000	7,530,138	Substantially Complete	1,632,020		1,632,020	
Student Union - Security Card Access & Cameras	93,321	93,321	Completed	30,234		30,234	
Student Union Cultural Center Renovation	180,000	86,026	Substantially Complete	34,459	34,459		
Tasker Admissions Roof Restoration	403,040	403,040	Completed	31,941		31,941	
Tasker/Foundation Buildings Retaining Wall Replacement	175,000	22,277	Construction	22,277		22,277	
Torrey Greenhouses Wiring Upgrade	200,000	14,847	Planning/Design	5,241		5,241	
Torrey Life Sciences 267/277 MCB New Faculty Lab Renovation	400,000	359,701	Construction	324,020		324,020	
Torrey Life Sciences 2nd Floor Biology Renovation (TL2314)	806,634	806,634	Completed	35,682	35,682		
Torrey Life Sciences 415 & 417 Lab Renovations (TL2325)	113,286	113,286	Completed	113,286	113,286		
Torrey Life Sciences Building Evaluation Study	175,000	163,770	Planning/Design	60,976	60,976		
Towers Mechanical Room Upgrade	278,937	278,937	Completed	157,371		157,371	
UCFM Code Remediation - McMahon Hall Laundry Operations	280,000	216,437	Substantially Complete	208,200		208,200	
UCFM Code Remediation - Williams Health Services Building	197,263	197,263	Completed	515	515		
UConn 2000 Code Remed - Stamford Downtown Relocation	22,000,000	16,818,464	Construction	6,439,122	6,439,122		
UConn Parking Services Relocation Project	682,000	679,349	Substantially Complete	46,556		46,556	
UConn Stamford Mill River Remediation	450,000	212,003	Planning/Design	124,510	124,510		
University Athletic District Development (a.k.a. Stadia)	21,800,000	21,788,282	Substantially Complete	22,651	22,651		
UPDC Modular Building Demolition	80,000	7,303	Planning/Design	7,303		7,303	
UPDC Relocation (TL2399)	960,000	882,158	Substantially Complete	170,190	170,190		
UTEB 150 Lecture Hall Upgrades	89,559	89,559	Completed	56,943		56,943	
UTEB SoE Faculty Offices Renovation (TL2378)	93,822	93,822	Completed	11,010	11,010		
Von Der Mehden Hall Roof Restoration	27,254	27,254	Completed	3,620		3,620	
Werth Champions Center Dining Renovation	1,268,000	881,986	Substantially Complete	784,233		784,233	
Werth Residence Hall High Humidity Mitigation	390,000	49,234	Planning/Design	49,234		49,234	
West ALSOP Mechanical Room Upgrade	173,811	173,811	Completed	140,629		140,629	
White Building 208, 210, 211 Lab Renovations	235,000	-	Planning/Design	-		-	
White Building Roof & Drainage System Replacement	945,800	501,807	Construction	417,514		417,514	
White Building Water Heater Replacement	275,000	223,920	Substantially Complete	223,920		223,920	
Whitney House Demolition	150,000	-	Planning/Design	-		-	
Wilbur Cross Cupola Repair	41,600	-	Construction	-		-	
William H Hall Building SoE 4th Floor Renovation (TL2417)	154,230	154,230	Completed	119,043	71,287	47,756	
WPCF Chlorine Contact Chamber Rehabilitation	197,728	197,728	Completed	15,562		15,562	
WPCF Clarifier Tank Improvement & Repair	242,012	242,012	Completed	221,457		221,457	
WPCF Collection System Interior Lift Stations	250,121	5,538	Construction	5,538		5,538	
WPCF SCADA Upgrade	300,000	-	Construction	-		-	
Y & Z Parking Lots and Electrical Restoration	1,933,846	1,933,846	Completed	628		628	
Young Quad Steam Repairs	189,933	66,014	Construction	66,014		66,014	
Zero Carbon Scenario Planning	250,000	211,827	Planning/Design	-		-	
<b>DM/Code/ADA/Infrastructure/Renovation/Utility/Administrative/Support Facilities Total</b>				<b>71,036,738</b>	<b>48,849,879</b>	<b>21,698,457</b>	<b>488,402</b>
<b>Equipment, Library Collections &amp; Telecommunications - Phase III</b>							
Academic Capital Equipment	33,042,029	32,112,570	Underway	2,597,332	2,597,332		
Cyber Security Upgrades	1,000,000	-	Underway	-		-	
ITS Capital Equipment	28,720,366	27,535,232	Underway	1,961,168	1,961,168		
Kuali Cloud Implementation	700,000	284,529	Underway	284,529	284,529		
Public Safety Capital Equipment	19,954,551	18,479,609	Underway	1,155,196	1,155,196		
Wired Access Layer (ITS) - Phase 1	3,632,326	3,551,684	Substantially Complete	25,296		25,296	
Wired Access Layer (ITS) - Phase 2	4,200,000	3,965,665	Substantially Complete	320,611		320,611	
Wired Access Layer (ITS) - Phase 3	4,000,000	3,582,169	Substantially Complete	3,433,288	3,433,288		
Wired Access Layer (ITS) - Phase 4	2,000,000	982,047	Construction	982,047	982,047		
<b>Equipment, Library Collections &amp; Telecommunications - Phase III Total</b>				<b>10,759,467</b>	<b>10,759,467</b>	<b>-</b>	<b>-</b>
<b>Intramural, Recreational &amp; Intercollegiate Facilities</b>							
UConn Hockey Arena	68,145,170	61,440,067	Substantially Complete	33,344,728		31,896,642	1,448,086
University Athletic District Development (a.k.a. Stadia)	67,125,696	65,575,474	Substantially Complete	512,096		5,561	506,535
<b>Intramural, Recreational &amp; Intercollegiate Facilities Total</b>				<b>33,856,824</b>	<b>-</b>	<b>31,902,203</b>	<b>1,954,621</b>
<b>Residential Life Facilities</b>							

**University of Connecticut**  
**Capital Project Expenditure Report - All Funding Sources**  
**Fiscal Year 2023 as of 6/30/2023**

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Fiscal Year to Date Expenditures By Funding Source			
				Total Fiscal Year Expenditures	UCONN 2000 Bonds	University Operating	Other Funding**
Res Life Facilities - Mansfield Apartments Redevelopment	12,000,000	6,508,756	Planning/Design	5,452,591	5,452,591		
Res Life Facilities - South Campus Residence Halls Improvements	64,633,990	51,545,559	Construction	48,760,420	48,760,420		
<b>Residential Life Facilities Total</b>				<b>54,213,011</b>	<b>54,213,011</b>		
<b>Sub Total - Storrs &amp; Regional Campuses:</b>				<b>211,685,003</b>	<b>155,641,414</b>	<b>53,600,566</b>	<b>2,443,023</b>

**UConn Health Center (Projects With Budgets Over \$500K)**

Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities - UCH							
16 Munson Road Emergency Lighting & Egress	116,500	92,500	Planning/Design	54,000	54,000		
16 Munson Road Parking Lot Paving	1,615,000	66,720	Construction	64,409	64,409		
5 Munson Road Clinical Fit-Out	1,730,000	37,637	Construction	37,637		37,637	
Building D & Building N Roof Replacement	2,400,000	47,738	Construction	47,738	47,738		
Building E & Building K Roof Replacement	630,000	42,963	Planning/Design	42,963	42,963		
Building F & Building H Hot Water Tank Replacement	580,200	26,420	Construction	640	640		
Cadaver Lab Renovation and Air Handling Unit Replacement	79,250	49,120	Planning/Design	49,120	49,120		
Canzonetti Building F Wound Care Center Renovation	1,225,000	-	Construction	-	-		
Cell & Genome Sciences Building Data Center Cooling System Upgrade	43,000	20,515	Planning/Design	20,515	20,515		
Central Sterile Washer & Sterilizer Replacement	1,189,784	12,225	Planning/Design	12,225		12,225	
CGSB and ARB Autoclave and Washer Replacement	1,192,441	304,414	Planning/Design	304,414		304,414	
Chilled Water Pump 4 Replacement	545,001	235,639	Construction	208,589	85,791	122,798	
Connecticut Tower 7th Floor Inpatient / Observation Unit Renovation	-	-	Planning/Design	-	-		
Cryo Electron Microscope Installation	510,000	12,850	Planning/Design	12,850		12,850	
Dermatology Clinic Renovation - C Building	2,600,000	2,337,872	Substantially Complete	-	-		
Electro Physiology Lab Equipment Replacement & Renovation	6,430,000	112,003	Construction	103,487			103,487
Health Information Management Workplace Improvements	763,000	40,240	Construction	33,410	33,410		
Hybrid Operating Room 2 Fit-out	-	-	Planning/Design	-	-		
Interventional Radiology Equipment Replacement & Renovation	-	-	Planning/Design	-	-		
Main Building Lab Renovations - 3rd Floor	6,884,560	6,552,334	Substantially Complete	4,697		4,697	
Main Building Lab Renovations - 2nd Floor	9,749,000	405,092	Construction	201,073		201,073	
Musculoskeletal Institute Rehabilitation Expansion	49,000	-	Planning/Design	-	-		
New England Sickle Cell Institute Renovation	143,400	137,150	Planning/Design	121,213		121,213	
Outpatient Pavilion 3rd Floor Backfill	270,000	34,589	Planning/Design	34,589		34,589	
Outpatient Pavilion X-Ray & Blood Draw Relocation	90,000	20,079	Planning/Design	20,079		20,079	
Pharmacy Area USP-800 Regulation Compliance	1,932,000	1,922,673	Substantially Complete	-	-		
Psychiatry Seclusion Suite & Nurse Station Security Renovation	1,197,000	35,427	Construction	14,804		14,804	
Simsbury Clinical Practice Relocation to 836 Hopmeadow Street	4,509,000	3,802,720	Substantially Complete	3,530,411		3,530,411	
TB-121 Blood Bank Relocation	48,000	5,150	Construction	5,150		5,150	
Tomotherapy Unit Replacement	3,849,000	-	Planning/Design	-	-		
Transitional Nursery Renovation	313,000	48,300	Planning/Design	45,000		45,000	
<b>DM/Code/ADA/Infrastructure/Renovation/Utility/Administrative/Support Facilities - UCH Total</b>				<b>4,969,013</b>	<b>398,586</b>	<b>4,466,940</b>	<b>103,487</b>
Equipment, Library Collections & Telecommunications - UCH							
UCH Capital Equipment	74,399,314	73,793,885	Underway	110,116	110,116		
<b>Equipment, Library Collections &amp; Telecommunications - UCH Total</b>				<b>110,116</b>	<b>110,116</b>		
<b>Sub Total - UConn Health Center (Projects With Budgets Over \$500K):</b>				<b>5,079,129</b>	<b>508,702</b>	<b>4,466,940</b>	<b>103,487</b>

<b>Total - Storrs, Regional Campuses and UConn Health Center - Current Year Expenditures:</b>	<b>\$ 216,764,132</b>	<b>\$ 156,150,116</b>	<b>\$ 58,067,506</b>	<b>\$ 2,546,510</b>
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<b>Adjustment for Timing and Transfers Between Fund Sources***:</b>	<b>\$ -</b>	<b>\$ 759,399</b>	<b>\$ (317,622)</b>	<b>\$ (441,777)</b>
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<b>Adjusted Total - Storrs, Regional Campuses and UConn Health Center - Current Year Expenditures:</b>	<b>\$ 216,764,132</b>	<b>\$ 156,909,515</b>	<b>\$ 57,749,884</b>	<b>\$ 2,104,733</b>
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\* - Current Funded Budget may be less than the approved budget, and represents the current funding available for the project

\*\* - Other funding sources include State Bond Funds, Gifts, Grants and Federal Funds

\*\*\* - UConn 2000 expenditures at the Health Center are reimbursed by the University as submitted. A timing difference is created since the reimbursements are not on the same fiscal year basis as this report. Additionally, transfers between funding sources may occur periodically, which creates a negative balance in the report if the transfer includes expenses paid in a previous fiscal year. This adjustment corrects for these timing differences.

**University of Connecticut**  
**Capital Project Financial and Funding Summary by Status**  
**Fiscal Year 2023 as of 6/30/2023**

<b>Financial Summary of FY23 Projects by Project Status</b>				
<b>Project Status</b>	<b>Funded Budget - FY23 Projects</b>	<b>Cumulative Project Expenditures - FY23 Projects</b>	<b>Total Project Count By Status</b>	<b>Total Fiscal Year Expenditures</b>
Planning / Design / Bidding:	41,926,801	14,631,202	52	10,431,929
Construction:	405,675,255	266,341,980	58	79,215,099
Underway (Equipment Only):	157,816,260	152,205,825	6	6,108,341
Substantially Complete:	602,402,580	530,436,321	56	116,117,584
Completed:	16,650,194	16,650,194	34	4,891,179
<b>Totals:</b>	<b>1,224,471,088</b>	<b>980,265,521</b>	<b>206</b>	<b>216,764,132</b>

<b>FY23 Expenditures by Status and Funding Source</b>				
<b>Project Status</b>	<b>UCONN 2000 Bonds</b>	<b>University Operating</b>	<b>Revenue Bonds</b>	<b>Other Funding*</b>
Planning / Design / Bidding:	7,250,869	2,692,907	-	488,153
Construction:	72,581,633	6,528,720	-	104,746
Underway (Equipment Only):	6,108,341	-	-	-
Substantially Complete:	69,860,098	44,302,856	-	1,954,630
Completed:	349,175	4,543,023	-	(1,019)
<b>Totals:</b>	<b>156,150,116</b>	<b>58,067,506</b>	<b>-</b>	<b>2,546,510</b>

\* - Other funding sources include State Bond Funds, Gifts, Grants and Federal Funds

**University of Connecticut  
Capital Project Analytics: By Status and Department  
Fiscal Year 2023 as of 6/30/2023**

<b>Fiscal Year Expenditures by Status and Department</b>						
<b>Project Status</b>	<b>Departments</b>					<b>Totals</b>
	<b>UPDC</b>	<b>Facilities Ops</b>	<b>Health Center</b>	<b>Academic Reno</b>	<b>All Others**</b>	
Planning / Design / Bidding:	9,583,901	109,974	716,968	21,086	-	<b>10,431,929</b>
Construction:	71,116,245	4,763,343	716,937	1,636,527	982,047	<b>79,215,099</b>
Underway (Equipment Only):	-	-	110,116	-	5,998,225	<b>6,108,341</b>
Substantially Complete:	100,746,329	5,931,915	3,535,108	2,125,037	3,779,195	<b>116,117,584</b>
Completed:	1,758,034	2,469,818	-	663,327	-	<b>4,891,179</b>
<b>Totals:</b>	<b>183,204,509</b>	<b>13,275,050</b>	<b>5,079,129</b>	<b>4,445,977</b>	<b>10,759,467</b>	<b>216,764,132</b>

<b>Cumulative Project Expenditures by Status and Department</b>						
<b>Project Status</b>	<b>Departments</b>					<b>Totals</b>
	<b>UPDC</b>	<b>Facilities Ops</b>	<b>Health Center</b>	<b>Academic Reno</b>	<b>All Others**</b>	
Planning / Design / Bidding:	13,655,773	179,639	774,705	21,085	-	<b>14,631,202</b>
Construction:	256,378,862	6,211,284	1,012,066	1,757,721	982,047	<b>266,341,980</b>
Underway (Equipment Only):	-	-	73,793,885	-	78,411,940	<b>152,205,825</b>
Substantially Complete:	480,848,235	19,306,210	14,615,599	4,566,759	11,099,519	<b>530,436,321</b>
Completed:	7,930,421	6,134,047	-	2,585,725	-	<b>16,650,194</b>
<b>Totals:</b>	<b>758,813,291</b>	<b>31,831,180</b>	<b>90,196,255</b>	<b>8,931,291</b>	<b>90,493,505</b>	<b>980,265,521</b>

<b>Funded Budget by Status and Department</b>						
<b>Project Status</b>	<b>Departments</b>					<b>Totals</b>
	<b>UPDC</b>	<b>Facilities Ops</b>	<b>Health Center</b>	<b>Academic Reno</b>	<b>All Others**</b>	
Planning / Design / Bidding:	30,595,000	1,215,426	8,475,375	1,641,000	-	<b>41,926,801</b>
Construction:	355,031,596	18,664,337	26,282,201	3,697,121	2,000,000	<b>405,675,255</b>
Underway (Equipment Only):	-	-	74,399,314	-	83,416,945	<b>157,816,260</b>
Substantially Complete:	547,693,866	21,537,687	15,925,560	5,413,141	11,832,326	<b>602,402,580</b>
Completed:	7,930,421	6,134,047	-	2,585,725	-	<b>16,650,194</b>
<b>Totals:</b>	<b>941,250,883</b>	<b>47,551,497</b>	<b>125,082,450</b>	<b>13,336,987</b>	<b>97,249,271</b>	<b>1,224,471,088</b>

<b>Project Count by Status and Department***</b>						
<b>Project Status</b>	<b>Departments</b>					<b>Totals</b>
	<b>UPDC</b>	<b>Facilities Ops</b>	<b>Health Center</b>	<b>Academic Reno</b>	<b>All Others**</b>	
Planning / Design / Bidding:	19	12	16	5	0	<b>52</b>
Construction:	10	20	11	16	1	<b>58</b>
Underway (Equipment Only):	0	0	1	0	5	<b>6</b>
Substantially Complete:	23	17	4	9	3	<b>56</b>
Completed:	7	17	0	10	0	<b>34</b>
<b>Totals:</b>	<b>59</b>	<b>66</b>	<b>32</b>	<b>40</b>	<b>9</b>	<b>206</b>

\*\* This category includes project managed by ITS, as well as projects managed centrally.

\*\*\* Project Count for Facilities Operations reported above includes a single project for Facilities Expensed Deferred Maintenance Projects. For Q4 FY23 this single project was comprised of 111 Facilities Operations and 35 Academic Renovations sub-projects.

## **ATTACHMENT 4**

September 27, 2023

TO: Members of the UConn Board of Trustees

FROM: Anne D'Alleva  
Provost and Executive Vice President for Academic Affairs

Jeffrey P. Geoghegan, CPA  
Executive Vice President for Finance and Chief Financial Officer

RE: School of Medicine Tuition and Fee Rates for 2024-25 and 2025-26  
Academic Years

RECOMMENDATION:

That the Board of Trustees approve an increase to the School of Medicine tuition and fee rates for the next two academic years, in the amount of 2.5% annually for the in-state resident tuition rates, and 0.5% annually for the non-resident and regional tuition rates, and that the professional school fees be increased by 4.5% for the 2024-25 academic year only.

RESOLUTION:

“Be it resolved that the University’s School of Medicine Tuition and Fee Rates for Academic Years 2024-25 and 2025-26 shall be set according to the attached Proposed SOM Tuition and Fee Increase schedule.”

BACKGROUND:

The tuition and fee rates for the School of Medicine (SOM) are now set by the Board of Trustees upon the recommendation of the Board of Directors.

The Academic Affairs Subcommittee has approved these recommended changes, and we now seek approval from the Board of Directors to move this proposal forward for approval by the UConn Board of Trustees.

This proposal increases School of Medicine tuition by 2.5% for resident tuition and 0.5% for out-of-state and regional tuition for each of the next two academic years, 2024-25, and 2025-26. It also increases professional school fees by 4.5% for 2024-25 only; there is no proposal to increase the professional school fee in 2025-26. Based on the latest available data, UConn School of

Medicine tuition and fees remain below that of most local public competitor schools, particularly for residents.

Only a small number of students pay the non-resident rate given the ease of converting to resident status after one year of attendance and the availability of scholarships or tuition waivers for many non-resident students.

While UConn graduates have lower indebtedness, especially when compared to other medical school graduates, we continue to carefully monitor student debt and remain focused on attracting the best-qualified applicants as future healthcare providers for Connecticut.

Attachments:

1. Proposed SOM Tuition and Fee Increase Schedule
2. Historic Public School Rankings – Combined Tuition, Fees, and Health Insurance
3. Comparisons to Local, Public Competitor Schools
4. Tuition at Schools where Applicants Elected to Matriculate
5. Tuition, Fees, Health Insurance Costs for 1<sup>st</sup> year students (public schools)
6. Tuition, Fees, Health Insurance Costs for 1<sup>st</sup> year students (AAMC all schools, public and private)
7. Financial Aid for 2021-2022
8. SOM Summary

# SCHOOL OF MEDICINE

## TUITION AND FEES Academic Years 2024-2025 and 2025-2026

Bruce T. Liang, MD, FACC, Dean

Melissa Held, MD, Interim Sr. Assoc. Dean for Medical Education

Donna McKenty, Sr. Director of Finance

Board of Trustees  
09/27/23

**UConn**



**PROPOSED SOM TUITION AND FEE INCREASE  
RECOMMENDED 2.5% INCREASE FOR RESIDENT TUITION  
RECOMMENDED 0.5% INCREASE FOR OUT OF STATE & REGIONAL TUITION  
2024-2025 and 2025-2026**

SCHOOL OF MEDICINE		CURRENT	PROPOSED			PROPOSED		
		AY23-24	AY24-25		AY25-26			
		Base	%	Amount Increase	FY25	%	Amount Increase	FY26
Tuition	Resident	\$44,666	2.50%	\$1,117	\$45,783	2.50%	\$1,145	\$46,928
	Non-Resident	\$74,367	0.50%	\$372	\$74,739	0.50%	\$374	\$75,113
	Regional*	\$70,502	0.50%	\$353	\$70,855	0.50%	\$354	\$71,209
<b>Professional School Fee</b>								
	Resident	\$2,660	4.50%	\$120	\$2,780	0.00%	\$0	\$2,780
	Non-Resident	\$2,660	4.50%	\$120	\$2,780	0.00%	\$0	\$2,780
	Regional	\$2,660	4.50%	\$120	\$2,780	0.00%	\$0	\$2,780
<b>TOTAL TUITION &amp; PROF FEES</b>								
	Resident	\$47,326	2.61%	\$1,237	\$48,563	2.36%	\$1,145	\$49,708
	Non-Resident	\$77,027	0.64%	\$492	\$77,519	0.48%	\$374	\$77,893
	Regional	\$73,162	0.65%	\$473	\$73,635	0.48%	\$354	\$73,989

**Health Insurance\*\***

	Resident	\$3,190	3.00%	\$96	\$3,286	3.00%	\$99	\$3,385
	Non-Resident	\$3,190	3.00%	\$96	\$3,286	3.00%	\$99	\$3,385
	Regional	\$3,190	3.00%	\$96	\$3,286	3.00%	\$99	\$3,385

**TOTAL: TUITION, FEES AND HEALTH INS\*\***

		CURRENT	PROPOSED			PROPOSED		
		AY23-24	AY24-25		AY25-26			
	Resident	\$50,516	2.64%	\$1,333	\$51,849	2.40%	\$1,244	\$53,093
	Non-Resident	\$80,217	0.73%	\$588	\$80,805	0.59%	\$473	\$81,278
	Regional	\$76,352	0.75%	\$569	\$76,921	0.59%	\$453	\$77,374

**Notes:**

\* The regional rate for tuition must be at least 150% the resident rate.

\*\* The health insurance rate increase for AY24-25 and AY25-26 are estimated based on recent trend data and students can opt out if they have other coverage

# HISTORIC PUBLIC SCHOOL RANKINGS COMBINED TUITION, FEES AND HEALTH INSURANCE

## RESIDENT

	AY16-17	AY17-18	AY18-19	AY19-20	AY20-21	AY21-22	AY22-23
National Average*	\$34,594	\$35,704	\$36,755	\$37,556	\$37,962	\$38,880	\$39,905
UConn*	\$40,054	\$41,564	\$43,196	\$44,290	\$45,916	\$47,303	\$48,762
National % increase	2.1%	3.2%	2.9%	2.2%	1.1%	2.4%	2.6%
UConn % increase	3.9%	3.8%	3.9%	2.5%	3.7%	3.0%	3.1%
UConn rank (1 - highest)	22	20	19	19	17	15	17
# of Schools reporting	88	90	91	91	90	93	93
UConn percentile	76%	79%	80%	80%	82%	85%	83%

## NON-RESIDENT

	AY16-17	AY17-18	AY18-19	AY19-20	AY20-21	AY21-22	AY22-23
National Average*	\$58,740	\$60,141	\$60,802	\$61,858	\$60,955	\$62,774	\$63,718
UConn*	\$71,262	\$74,176	\$77,276	\$78,370	\$79,996	\$79,973	\$79,973
National % increase	1.6%	2.4%	1.1%	1.7%	-1.5%	3.0%	1.5%
UConn % increase	3.9%	4.1%	4.2%	1.4%	2.1%	0.0%	0.0%
UConn rank (1 - highest)	14	11	9	7	8	9	11
# of Schools reporting	88	90	89	86	90	89	89
UConn percentile	85%	88%	91%	93%	92%	91%	89%

**Notes:**

\*Includes Tuition, Professional Fees and Health Insurance - public medical schools  
Data gathered from AAMC Tuition and Student Fees for First-Year Students

## PUBLIC SCHOOLS OF MEDICINE COMPARISONS TO LOCAL PUBLIC COMPETITOR SCHOOLS COMBINED TUITION & FEES & HEALTH INSURANCE

### RESIDENT

SCHOOL	AY22-23*	AY21-22	AY20-21
Stony Brook University - Renaissance	\$51,926	\$51,670	\$51,716
SUNY Downstate College of Medicine	\$50,741	\$49,824	\$48,768
Rutgers New Jersey Medical School	\$50,070	\$48,112	\$47,342
University at Buffalo Sch of Med - Jacobs	\$49,939	\$46,772	\$49,067
SUNY Upstate Medical University	\$49,834	\$45,213	\$48,377
Rutgers, Robert Wood Johnson Medical School	\$49,202	\$48,644	NI
<b>University of Connecticut School of Medicine</b>	<b>\$48,762</b>	<b>\$47,303</b>	<b>\$45,916</b>
University of Maryland School of Medicine	\$45,898	\$46,724	\$47,350
University of Massachusetts Medical School	\$45,521	\$44,085	\$43,181
Rowan University - Cooper Medical School	\$44,793	\$42,769	\$42,769
University of Vermont College of Medicine	\$42,370	\$42,034	\$42,048
CUNY School of Medicine	\$41,912	\$41,662	\$41,912

### NON RESIDENT

SCHOOL	AY22-23*	AY21-22	AY20-21
<b>University of Connecticut School of Medicine</b>	<b>\$79,973</b>	<b>\$79,973</b>	<b>\$79,996</b>
University of Maryland School of Medicine	\$75,574	\$77,106	\$76,445
Rutgers New Jersey Medical School	\$74,199	\$72,002	\$70,879
Stony Brook University - Renaissance	\$73,416	\$73,250	\$73,206
Rutgers, Robert Wood Johnson Medical School	\$73,331	\$72,534	NI
University of Massachusetts Medical School	\$72,901	\$70,797	\$69,500
SUNY Downstate College of Medicine	\$72,231	\$71,314	\$70,258
University at Buffalo Sch of Med - Jacobs	\$71,429	\$68,212	\$70,557
SUNY Upstate Medical University	\$71,324	\$66,703	\$69,867
Rowan University - Cooper Medical School	\$69,742	\$66,530	\$66,530
CUNY School of Medicine	\$69,572	\$69,572	\$0
University of Vermont College of Medicine	\$69,470	\$69,134	\$69,148

**Notes:**

\*Data sorted by AY22-23 in descending order

Data gathered from AAMC Public School Tuition and Fees First Year Tuition, Fees and Health Insurance Costs.

NI = No information; school did not report

**STUDENTS ACCEPTED TO UCONN SOM  
WHO MATRICULATED AT OTHER SCHOOLS (N=106 STUDENTS)  
TOP 14 COMPETITOR SCHOOLS  
CLASS ENTERING AY 2022-2023**

School	# of Students	# CT Residents	# Non-Residents	%	Resident Tuition, Fees & Health Ins.	Non-Resident Tuition, Fees & Health Ins.
Boston University	5	4	1	4.81%	\$73,851	\$73,851
Columbia University	4	2	2	3.85%	\$75,624	\$75,624
Emory University	4	2	2	3.85%	\$58,680	\$58,680
Uniformed Services University	4	3	1	3.85%	\$0	\$0
Tufts University	3	2	1	2.88%	\$75,642	\$75,642
University of Massachusetts	3	0	3	2.88%	\$45,521	\$72,901
Hofstra/Northwell	3	1	2	2.88%	\$62,074	\$62,074
Case Western Reserve	3	1	2	2.88%	\$73,380	\$73,380
Brown University	3	2	1	2.88%	\$72,799	\$72,799
Mount Sinai	3	2	1	2.88%	\$69,029	\$69,029
Thomas Jefferson University	3	1	2	2.88%	\$67,686	\$67,686
Cornell	3	0	3	2.88%	\$75,118	\$75,118
University of Pennsylvania	3	2	1	2.88%	\$73,293	\$73,293
UC San Diego	3	2	1	2.88%	\$44,950	\$69,742
<b>Subtotal / Average</b>	<b>47</b>	<b>24</b>	<b>23</b>	<b>45.19%</b>	<b>\$61,975</b>	<b>\$65,701</b>
<b>Median</b>					<b>\$70,914</b>	<b>\$72,850</b>
<b>University of Connecticut</b>					<b>\$48,762</b>	<b>\$79,973</b>

Notes:  
Source: 2022 Joint Acceptance Report from the AAMC  
Dollar amounts include Tuition, Fees and Health Insurance  
Schools represent matriculating students of 3 or more

## TUITION, FEES, HEALTH INSURANCE COST FOR FIRST YEAR MEDICAL STUDENTS AAMC PUBLIC SCHOOLS - AY 2022-2023

SCHOOL	RESIDENT TUITION & FEES & HLTH. INS.	Rank	% Tile Rank	SCHOOL	NON-RESIDENT TUITION & FEES & HLTH. INS.	Rank	% Tile Rank
Virginia Tech Carilion	\$61,054	1	100%	U Washington	\$94,364	1	100%
Oakland Beaumont	\$57,936	2	99%	South Carolina Columbia	\$91,861	2	99%
Carle Illinois	\$56,588	3	98%	South Carolina Greenville	\$91,858	3	98%
Oregon	\$55,510	4	97%	Northeast Ohio	\$91,153	4	97%
Virginia	\$54,384	5	96%	Michigan State	\$90,741	5	95%
Michigan	\$53,915	6	95%	Utah-Eccles	\$87,741	6	94%
U Washington	\$53,480	7	93%	Illinois	\$84,812	7	93%
Renaissance Stony Brook	\$51,926	8	92%	Missouri Columbia	\$82,948	8	92%
Illinois	\$51,632	9	91%	South Dakota-Sanford	\$81,708	9	91%
SUNY Downstate	\$50,741	10	90%	Oregon	\$80,298	10	90%
Michigan State	\$50,610	11	89%	<b>Connecticut</b>	<b>\$79,973</b>	<b>11</b>	<b>89%</b>
Rutgers New Jersey	\$50,070	12	88%	Hawaii-Burns	\$77,308	12	88%
Buffalo-Jacobs	\$49,939	13	87%	Maryland	\$75,574	13	86%
SUNY Upstate-Norton	\$49,834	14	86%	Kentucky	\$75,328	14	85%
Northeast Ohio	\$49,466	15	85%	Rutgers New Jersey	\$74,199	15	84%
Rutgers-RW Johnson	\$49,202	16	84%	Colorado	\$74,071	16	83%
<b>Connecticut</b>	<b>\$48,762</b>	<b>17</b>	<b>83%</b>	Renaissance Stony Brook	\$73,416	17	82%
<b>Average</b>	<b>\$39,905</b>			<b>Average</b>	<b>\$63,718</b>		
<b>Median</b>	<b>\$41,095</b>			<b>Median</b>	<b>\$65,744</b>		
<b>UConn</b>	<b>\$48,762</b>			<b>UConn</b>	<b>\$79,973</b>		

Note: 93 schools included in data set. List is truncated due to space limitations.

# TUITION, FEES, HEALTH INSURANCE COST FOR FIRST YEAR MEDICAL STUDENTS AAMC ALL SCHOOLS (PUBLIC & PRIVATE)- AY 2022-2023

SCHOOL	PUBLIC or PRIVATE	RESIDENT TUITION & FEES & HLTH. INS.	Rank	% Tile Rank	SCHOOL	PUBLIC or PRIVATE	NON-RESIDENT TUITION & FEES & HLTH. INS.	Rank	% Tile Rank
Hackensack Meridian	Private	\$76,609	1	100%	U Washington	Public	\$94,364	1	100%
Tufts	Private	\$75,642	2	99%	South Carolina Columbia	Public	\$91,861	2	99%
Columbia-Vagelos	Private	\$75,624	3	99%	South Carolina Greenville	Public	\$91,858	3	99%
Cornell-Weill	Private	\$75,118	4	98%	Northeast Ohio	Public	\$91,153	4	98%
Tulane	Private	\$74,834	5	97%	Michigan State	Public	\$90,741	5	97%
Northwestern-Feinberg	Private	\$74,590	6	97%	Utah-Eccles	Public	\$87,741	6	97%
Dartmouth-Geisel	Private	\$73,941	7	96%	Illinois	Public	\$84,812	7	96%
BU-Chobanian Avedisian	Private	\$73,851	8	95%	Missouri Columbia	Public	\$82,948	8	95%
Case Western Reserve	Private	\$73,380	9	95%	South Dakota-Sanford	Public	\$81,708	9	95%
Pennsylvania-Perelman	Private	\$73,293	10	94%	Oregon	Public	\$80,298	10	94%
Rochester	Private	\$72,855	11	94%	Connecticut	Public	\$79,973	11	93%
Brown-Alpert	Private	\$72,799	12	93%	Hawaii-Burns	Public	\$77,308	12	93%
Southern Cal-Keck	Private	\$72,758	13	92%	Hackensack Meridian	Private	\$76,609	13	92%
Georgetown	Private	\$72,505	14	92%	Tufts	Private	\$75,642	14	91%
Harvard	Private	\$72,163	15	91%	Columbia-Vagelos	Private	\$75,624	15	91%
Michigan State	Public	\$50,610	65	58%	UNLV-Kerkorian	Public	\$68,883	65	57%
Rutgers New Jersey	Public	\$50,070	66	58%	George Washington	Private	\$68,850	66	56%
Buffalo-Jacobs	Public	\$49,939	67	57%	Creighton	Private	\$68,754	67	56%
SUNY Upstate-Norton	Public	\$49,834	68	56%	West Virginia	Public	\$68,665	68	55%
Northeast Ohio	Public	\$49,466	69	56%	Einstein	Private	\$68,227	69	54%
Rutgers-RW Johnson	Public	\$49,202	70	55%	Kansas	Public	\$67,980	70	54%
Connecticut	Public	\$48,762	71	55%	Quinnipiac-Netter	Private	\$67,855	71	53%
<b>Average</b>		\$48,971			<b>Average</b>		\$63,874		
<b>Median</b>		\$47,596			<b>Median</b>		\$67,478		
<b>UCONN</b>		\$48,762			<b>UCONN</b>		\$79,973		

Note: 155 schools included in data set. List is truncated due to space limitations.

## SCHOOL OF MEDICINE FINANCIAL AID AY 2021-2022 (FY22)

ITEM	AMOUNT	INTEREST RATE %
<b>Federal Loans</b>		
Unsubsidized Direct Loans	\$ 10,369,504	7.05%
Grad Plus Direct Loans	\$ 2,766,939	8.05%
Military	\$ 127,406	
National Health Service Corp	\$ 26,697	
sub total	\$ 13,290,546	
<b>Institutional Loans</b>		
University Loan	\$ 679,522	fixed 5.00%
Health Professions Loan	\$ -	
Loans for Disadvantaged Students	\$ 2,500	
Primary Care Loans	\$ 219,700	
sub total	\$ 901,722	
<b>Institutional Grants</b>		
HCOP	\$ 2,740,000	
Merit	\$ 168,250	
Tuition Remission	\$ 2,402,957	
sub total	\$ 5,311,207	
<b>Grand Total</b>	<b>\$ 19,503,475</b>	

*Notes: Source 2021-2022 LCME Part I-B Student Financial Aid Questionnaire*

# Summary

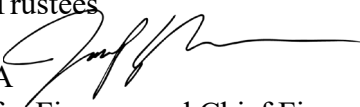
- While resident tuition is increasing, UConn SOM tuition and fees remain below that of most local public competitor schools.
- Resident tuition at UConn SOM is above the average for public schools but lower than the average for all public and private schools.
- UConn SOM medical school indebtedness:
  - UConn SOM graduates with indebtedness is 68% compared to the median of 76% for public schools and 74% for public and private schools.
  - UConn SOM graduates have an average of \$177K indebtedness compared to the average of \$183K and the median of \$189K among all medical schools.
- UConn SOM graduates have significantly lower medical school indebtedness among our local public competitor schools.
  - UConn SOM graduates have an average of \$177K indebtedness compared to the average of \$202K and the median of \$201K among local public competitor schools.



# **ATTACHMENT 5**

September 27, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Electric Vehicle Charging Infrastructure & Service Upgrades  
(Final: \$957,140)

RECOMMENDATION:

That the Board of Trustees approves the Final Budget of \$957,140 as detailed in the attached Electric Vehicle Charging Infrastructure & Service Upgrades project budget to purchase and install 18 electric vehicle chargers in seven locations on the University's Storrs campus and to provide 5-year third-party equipment management and repair services for the new and legacy electric vehicle charging stations and to join all those devices to the ChargePoint electric vehicle charging network. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$957,140 in University Funds for Electric Vehicle Charging Infrastructure & Service Upgrades project , and approve to purchase the required equipment and services through Department of Administrative Services Contract # 21PSX0027 Electric Vehicle Supply Equipment (EVSE) Services, Infrastructure, and planning.”

BACKGROUND:

This project involves the installation and make-ready site and facility work required to install 6 single-port (Level 2) electric vehicle charging stations in the North Parking Garage (3), South Parking Garage (3) and 12 dual-port (Level 2) electric vehicle charging stations in the following parking locations: Dairy Bar Lot, (3), Motor Pool Lot (1), Shippee Hall Lot (3), Lot T (3), and Lot Z (2); provide 5-year third-party management and equipment repair services of the new and 70 legacy electric vehicle charging stations on both the Storrs and Regional campuses; and to connect all those devices the ChargePoint electric vehicle charging network using secure cellular connections. We anticipate the project costs to be partially funded/reimbursed by CT Volkswagen (VW) EVSE ZEV Infrastructure Program (ZIP) grant funds (\$507K) and Eversource Commercial EV Charging rebate program (\$280K) funds (total subsidy \$787K) reserved for this purpose.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: Electric Vehicle Charging Infrastructure & Service Upgrades**


<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED FINAL 9/27/2023</u>
CONSTRUCTION	\$ 408,676
DESIGN SERVICES	\$ 90,806
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	\$ 291,231
CONSTRUCTION ADMINISTRATION	\$ 45,403
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
OTHER SOFT COSTS	-
<b>SUBTOTAL</b>	<b>\$ 836,116</b>
PROJECT CONTINGENCY	\$ 121,084
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 957,200</u></b>
 <b><u>SOURCE(S) OF FUNDING*</u></b>	
UNIVERSITY FUNDS	<u>\$ 957,200</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 957,200</u></b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# **ATTACHMENT 6**

September 27, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Hydrogen Fuel Dispenser (Final: \$835,500)

RECOMMENDATION:

That the Board of Trustees approves the Final Budget of \$835,500 as detailed in the attached Hydrogen Fuel Dispenser project budget, to purchase and install one Hydrogen fuel Dispenser within the boundaries of the Reclaimed Water Facility at the University's Storrs campus. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$835,500 in University Funds for the Hydrogen Fuel Dispenser project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed in accordance with sole source procurement procedures.”

BACKGROUND:

This project involves construction of a concrete landing pad, with removable protective bollards, to support a skid-mounted pre-chilled gaseous hydrogen dispenser (350 BAR/5K psig) with an adjacent permeable parking area sufficient to accommodate one gaseous hydrogen supply trailer used to supply fuel to the dispenser. It is expected that all Trade and Utility Service site work will be completed by UConn employees. The fuel dispenser includes (1) Electrical Enclosure, (1) Tube Trailer Stanchion, valve panel, cooling system, chiller, all piping for equipment interconnections, and commissioning.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

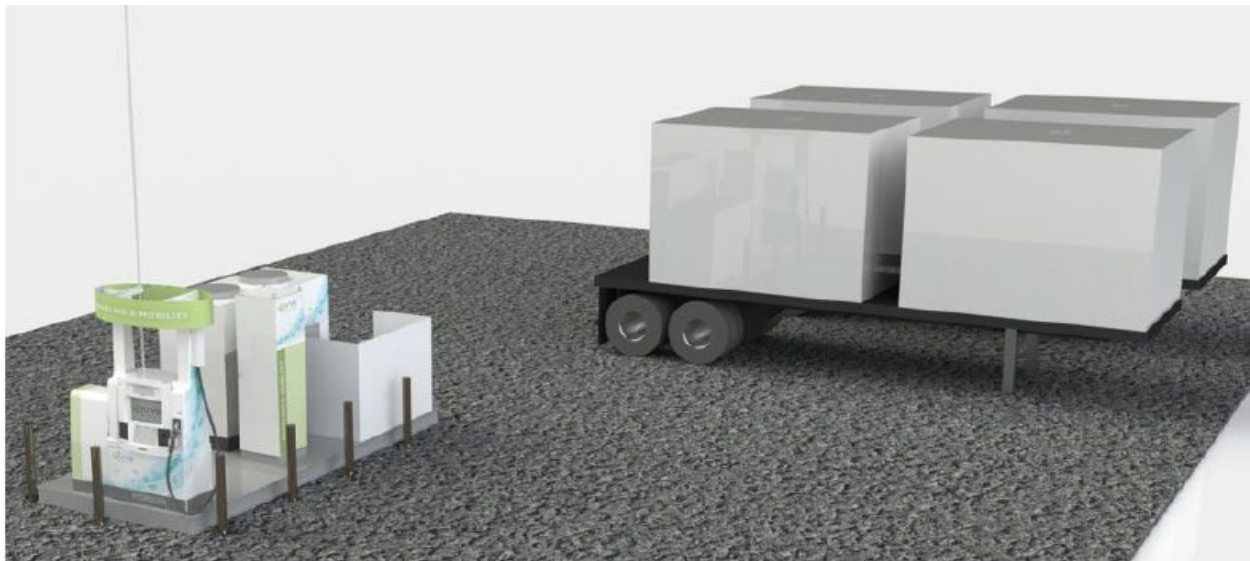
**TYPE BUDGET:** FINAL

**PROJECT NAME:** Hydrogen Fuel Dispenser

<u>BUDGETED EXPENDITURES</u>	<b>PROPOSED FINAL 9/27/2023</b>
CONSTRUCTION	\$ 100,000
DESIGN SERVICES	\$ 50,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	\$ 609,538
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
OTHER SOFT COSTS	-
<b>SUBTOTAL</b>	<b>\$ 759,538</b>
PROJECT CONTINGENCY	\$ 75,962
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 835,500</u></b>
 <b><u>SOURCE(S) OF FUNDING*</u></b>	
UNIVERSITY FUNDS	<u>\$ 835,500</u>
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 835,500</u></b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

HYDROGEN FUEL DISPENSER  
Project Budget (FINAL)  
SEPTEMBER 27, 2023



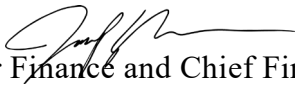
Moveable Hydrogen Refueler shown with 1,000 kg H<sub>2</sub> @ 520 bar mobile pipeline

# **ATTACHMENT 7**



September 27, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Eversource Second Electrical Feed (Final: \$15,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$15,000,000, as detailed in the attached project budget, for the Eversource Second Electrical Feed project, for Construction Phase 1, the purchase and installation of electrical equipment with long lead times. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$15,000,000 in UCONN 2000 bond funds for the Eversource Second Electrical Feed project.”

BACKGROUND:

The UConn Storrs campus receives electrical power from two sources: 1) the generation of power on campus at the Central Utility Plant by UConn owned and operated electrical generating turbines, and 2) the Utility provider's (Eversource) overhead power lines via a utility substation located west of the area "F" parking lot on the north side of North Eagleville Road.

The University's electrical demand at times exceeds the capacity of the on-campus generation system and the single connection to the Utility. The campus-wide demand also exceeds the capacity of the existing transformer infrastructure fed from the existing Eversource transmission circuit. Each of the sources was originally designed with the capacity to independently provide all electricity needed for the campus, thus providing the University with the reliability and redundancy necessary should one or the other service be interrupted. Studies have shown that as the University continues to expand in accordance with its Master Plan, the electrical demand has increased to a point where both imported and campus-based production of electrical power will need to be increased to maintain the required reliability and resiliency of the on-campus electrical distribution system.

This project establishes a new second connection to Eversource, terminating at a new 50-75 MVA transformer located on the exterior of the Supplemental Utility Plant (SUP) in the substation switchgear yard (Named UConn 38E, southeast of the SUP proper). Included is a required

modernization of the electrical distribution control system to manage multiple sources and the increased load demands which enables code compliant switching for personnel safety. Phase 1 of the SUP was completed in 2022 (building, electrical switchgear, emergency power generators, and chillers), with installation of a boiler in progress. Phase 2 of the SUP project, currently on hold, is required to add electrical generation capacity to maintain the reliability and resiliency of the campus.

Due to market conditions (long lead times and escalation for certain electrical equipment), the construction of the Eversource Second Electrical Feed project has been phased to allow for the timely procurement of equipment with long lead times (Phase 1). Phase 2 of the project, the balance of the work, will include construction of UConn's new 38E substation switchgear yard (including sitework and structures, the procurement and installation of all remaining equipment), and connections to existing UConn electrical infrastructure and Eversource.

The Eversource Second Electrical Feed project is currently in the Design phase with expected design completion in Fall 2023. Construction Phase 2 is currently scheduled to be bid in Fall/Winter 2025 and be complete in Fall 2026; the schedule for Phase 2 is responsive to the delivery dates of the equipment procured in Phase 1. The total project budget is anticipated to be approximately \$40,000,000.

The Final Budget is attached for your information.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

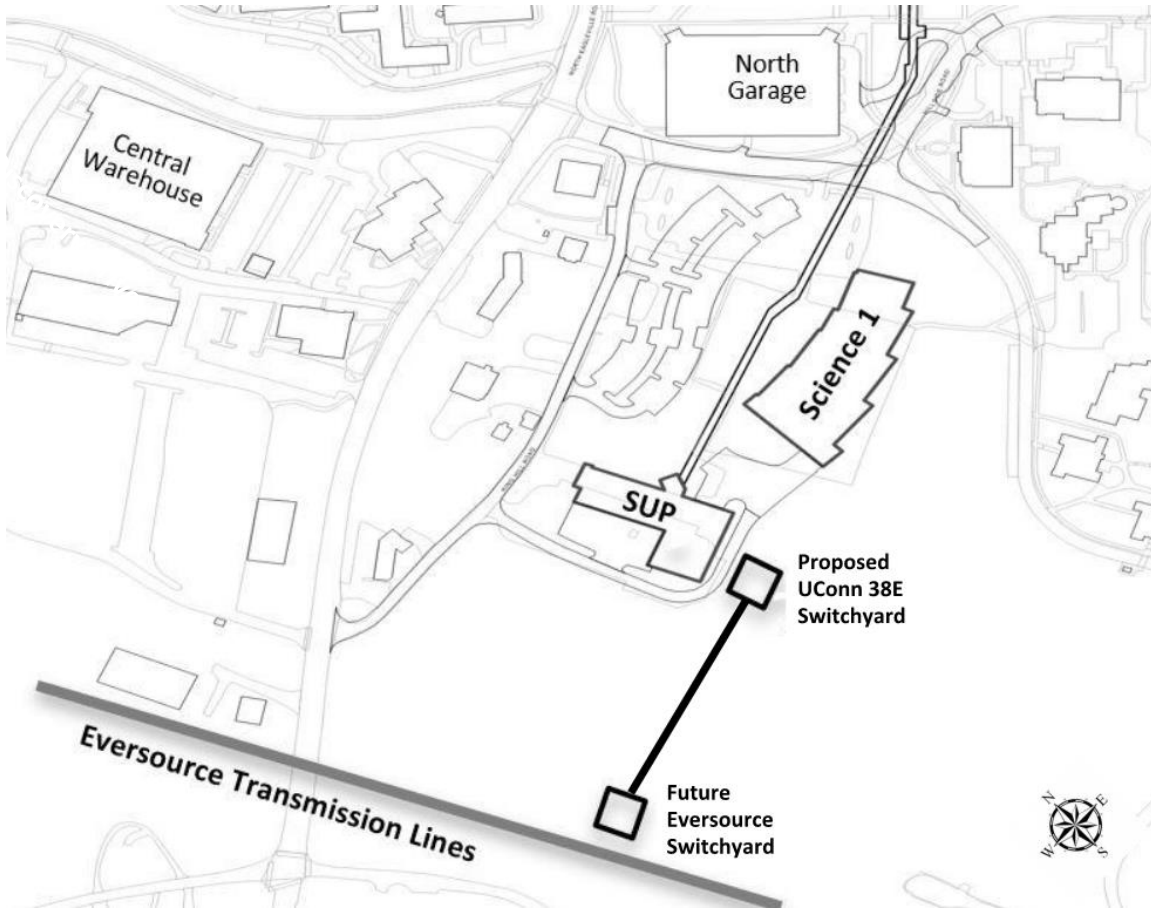
**TYPE BUDGET:**      **FINAL**

**PROJECT NAME:**      **EVERSOURCE SECOND ELECTRICAL FEED**

	<b>APPROVED PLANNING 2/21/2019</b>	<b>APPROVED REVISED PLANNING 3/12/2021</b>	<b>APPROVED REVISED PLANNING 8/20/2021</b>	<b>APPROVED DESIGN 12/8/2021</b>	<b>PROPOSED FINAL 9/27/2023</b>
<b><u>BUDGETED EXPENDITURES</u></b>	PRC	PRC	PRC		
CONSTRUCTION	\$ -	\$ -	\$ -	\$ 300,000	\$ 12,000,000
DESIGN SERVICES	75,000	200,000	315,000	2,300,000	1,445,000
TELECOMMUNICATIONS	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-	250,000
OTHER AE SERVICES (including Project Management)	5,000	10,000	12,000	95,000	500,000
ART	-	-	-	-	-
RELOCATION	-	-	-	-	-
ENVIRONMENTAL	-	-	-	-	-
INSURANCE AND LEGAL	3,000	5,000	5,000	5,000	5,000
MISCELLANEOUS	-	-	-	-	-
OTHER SOFT COSTS	-	-	-	-	-
<b>SUBTOTAL</b>	<b>\$ 83,000</b>	<b>\$ 215,000</b>	<b>\$ 332,000</b>	<b>\$ 2,700,000</b>	<b>\$ 14,200,000</b>
PROJECT CONTINGENCY	12,000	35,000	38,000	300,000	800,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 95,000</b>	<b>\$ 250,000</b>	<b>\$ 370,000</b>	<b>\$ 3,000,000</b>	<b>\$ 15,000,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>					
UCONN 2000 BOND FUNDS	\$ 95,000	\$ 250,000	\$ 370,000	\$ 3,000,000	\$ 15,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 95,000</b>	<b>\$ 250,000</b>	<b>\$ 370,000</b>	<b>\$ 3,000,000</b>	<b>\$ 15,000,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

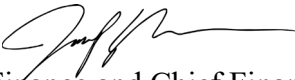
**EVERSOURCE SECOND ELECTRICAL FEED**  
**Project Budget (FINAL)**  
**September 27, 2023**



## **ATTACHMENT 8**

September 27, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for South Campus Infrastructure, Ph. 1-3  
(Revised Final: \$89,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$89,500,000, as detailed in the attached project budget, for the South Campus Infrastructure project. This represents an increase of \$7,500,000 to the previously approved Phase 1, 2, and 3 budget of \$82,000,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$89,500,000 in UCONN 2000 bond funds for the construction of Phases 1, 2, and 3 of the South Campus Infrastructure project.”

BACKGROUND:

Connecticut Public Act No 13-233, known as Next Generation Connecticut, authorized the University to undertake a special capital improvement program for the express purposes of constructing infrastructure, renovating existing facilities and developing new buildings. In 2015 the University completed the Next Gen CT Campus Masterplan and in 2016 the University performed a Framework Utility Analysis to create a systematic approach for infrastructure projects that support development of the Next Gen CT program. The intent of this project is to provide infrastructure improvements in the South Campus district to support the construction and sustainable operation of the South Campus Residence Hall.

The overall scope of this project will provide renewable infrastructure to the new South Campus Residence Hall and includes: new utilities including steam, electric, hot and chilled water, communications, sanitary, stormwater, and domestic water for the South Campus Residence Hall (Phase 2), and expansion of the South Campus Chiller Plant to accommodate a new geothermal heating and cooling system and to meet the needs of the Residence Hall (Phase 3).

The whole of the work has been bid and the total project cost, including design fees and Phase 1, 2 and 3 packages, has been confirmed. The previous Revised Final Budget of \$82,000,000 was approved before Phase 3 of the project was bid.

Because most of the scope of work is utilities and infrastructure, and the project includes only a small addition to the south campus chiller plant building, the project will not be registered with LEED and will not meet Connecticut High Performance Buildings requirements.

The Revised Final Budget is attached for your information.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: SOUTH CAMPUS INFRASTRUCTURE, PHASE 3

	APPROVED PLANNING 1/20/2022	APPROVED REVISED PLANNING 3/30/2022	APPROVED DESIGN 6/29/2022	APPROVED REVISED DESIGN 9/28/2022	APPROVED FINAL PHASE 1 12/7/2022	APPROVED FINAL PHASE 2 4/19/2023	APPROVED REVISED FINAL PHASE 3 6/28/2023	PROPOSED REVISED FINAL PHASE 3 9/27/2023
<b>BUDGETED EXPENDITURES</b>								
<b>PRC</b>								
CONSTRUCTION	\$ -	\$ -	\$ 200,000	\$ 400,000	\$ 6,400,000	\$30,000,000	\$63,000,000	\$ 75,200,000
DESIGN SERVICES	315,000	915,000	1,055,000	3,000,000	3,000,000	6,680,000	6,680,000	6,200,000
TELECOMMUNICATIONS	-	-	-	100,000	100,000	200,000	200,000	200,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-	75,000	75,000	40,000
CONSTRUCTION ADMINISTRATION	-	-	-	-	-	-	-	-
OTHER AE SERVICES (including Project Management)	30,000	50,000	100,000	385,000	385,000	4,000,000	4,500,000	3,350,000
ART	-	-	-	-	-	-	-	-
RELOCATION	-	-	-	-	-	-	-	-
ENVIRONMENTAL	50,000	75,000	75,000	100,000	100,000	15,000	15,000	5,000
INSURANCE AND LEGAL	5,000	5,000	10,000	10,000	10,000	5,000	5,000	5,000
MISCELLANEOUS	-	5,000	10,000	10,000	10,000	25,000	25,000	-
OTHER SOFT COSTS	-	-	-	-	-	-	-	-
<b>SUBTOTAL</b>	<b>\$ 400,000</b>	<b>\$ 1,050,000</b>	<b>\$ 1,450,000</b>	<b>\$ 4,005,000</b>	<b>\$ 10,005,000</b>	<b>\$ 41,000,000</b>	<b>\$ 74,500,000</b>	<b>\$ 85,000,000</b>
PROJECT CONTINGENCY	50,000	200,000	300,000	495,000	995,000	3,000,000	7,500,000	4,500,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 450,000</b>	<b>\$ 1,250,000</b>	<b>\$ 1,750,000</b>	<b>\$ 4,500,000</b>	<b>\$ 11,000,000</b>	<b>\$ 44,000,000</b>	<b>\$ 82,000,000</b>	<b>\$ 89,500,000</b>
<b>SOURCE(S) OF FUNDING*</b>								
UCONN 2000 BOND FUNDS	\$ 450,000	\$ 1,250,000	\$ 1,750,000	\$ 4,500,000	\$ 11,000,000	\$ 44,000,000	\$ 82,000,000	\$ 89,500,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 450,000</b>	<b>\$ 1,250,000</b>	<b>\$ 1,750,000</b>	<b>\$ 4,500,000</b>	<b>\$ 11,000,000</b>	<b>\$ 44,000,000</b>	<b>\$ 82,000,000</b>	<b>\$ 89,500,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.



SOUTH CAMPUS INFRASTRUCTURE  
Project Budget (REVISED FINAL)  
September 27, 2023




## **ATTACHMENT 9**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health University Tower (UT) 7th Floor Pharmacy  
Fit-Out (Planning: \$10,250,000)

## RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$10,250,000 for the UConn Health University Tower (UT) 7th Floor Pharmacy Fit-Out project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$10,250,000 from UConn Health Capital and General Obligation DM Bond Funds for the UConn Health University Tower (UT) 7th Floor Pharmacy Fit-Out project.”

## BACKGROUND:

The 7<sup>th</sup> floor of the new University Tower was constructed as a shell space to accommodate future program expansion. A portion of the floor was fit-out as administration space utilized for the deployment of the EPIC medical record system. The remainder of the floor remained as shell/storage space. This project will fit-out the remaining space for the relocation and expansion of the John Dempsey Hospital Pharmacy. The Pharmacy relocation will free up space to allow for the needed expansion and renovation of the John Dempsey Hospital Laboratory Medicine area.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the design is developed. This Planning Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments

# CAPITAL PROJECT BUDGET REPORTING FORM

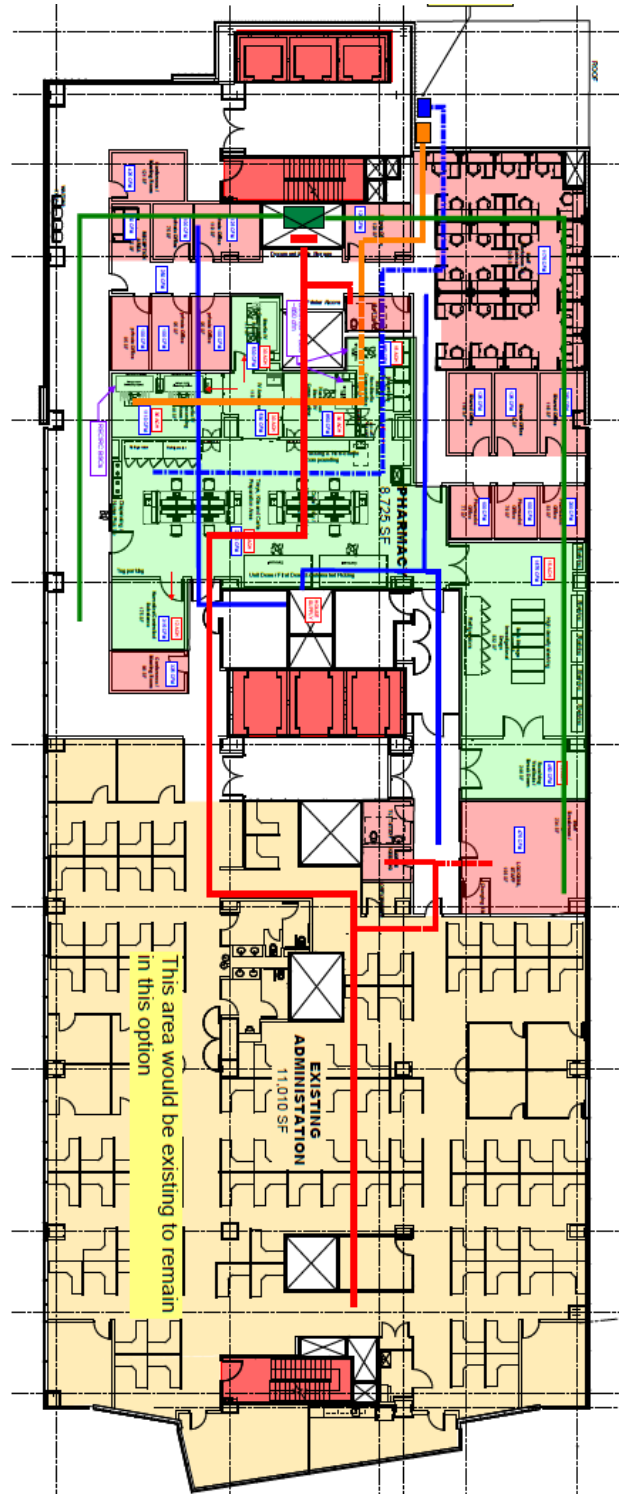
**TYPE BUDGET: PLANNING**

**PROJECT NAME: UCONN HEALTH - UNIVERSITY TOWER (UT) 7th FLOOR  
PHARMACY FIT-OUT**

<b><u>BUDGETED EXPENDITURES</u></b>	<b><u>PROPOSED PLANNING 9/27/2023</u></b>
CONSTRUCTION	\$ 7,360,000
DESIGN SERVICES	1,013,000
TELECOMMUNICATIONS	150,000
FURNITURE, FIXTURES AND EQUIPMENT	725,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	55,000
ART	5,000
RELOCATION	10,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
<b>SUBTOTAL</b>	<b>\$ 9,318,000</b>
PROJECT CONTINGENCY	932,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 10,250,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>	
UCONN HEALTH CAPITAL	\$ 6,575,000
FY 23 STATE GO BOND FUNDS	\$ 3,675,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 10,250,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health University Tower (UT) 7<sup>th</sup> Floor Pharmacy  
Fit-Out (Planning: \$10,250,000)  
September 27, 2023



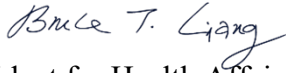
Proposed 7<sup>th</sup> Floor Plan


# **ATTACHMENT 10**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Outpatient Pavilion 3<sup>rd</sup> Floor Backfill  
(Design: \$3,300,000)

## RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$3,300,000 for the UConn Health Outpatient Pavilion 3<sup>rd</sup> Floor Backfill project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$3,300,000 from UConn Health Capital for the UConn Health Outpatient Pavilion 3<sup>rd</sup> Floor Backfill project.”

## BACKGROUND:

The Women’s OB/GYN, Maternal Fetal Medicine (MFM) & Minimally Invasive Gynecologic Surgery (MIGS) clinical practices located in the Outpatient Pavilion need to expand to address the increased demand for services. This project will renovate portions of the 3<sup>rd</sup> floor of the Outpatient Pavilion to allow for the relocation and expansion of these clinical practices.

The Design Budget is attached for your consideration. The Design Budget is based on consultant estimates and may change based on actual bids received. This Design Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: DESIGN**

**PROJECT NAME: UCONN HEALTH - OUTPATIENT PAVILION 3rd FLOOR BACKFILL**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/28/2023</u>	<u>PROPOSED DESIGN 9/27/2023</u>
CONSTRUCTION	\$ 1,650,000	\$ 1,650,000
DESIGN SERVICES	290,000	290,000
TELECOMMUNICATIONS	120,000	120,000
FURNITURE, FIXTURES AND EQUIPMENT	757,000	757,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	32,000	32,000
RELOCATION	10,000	10,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	9,000	9,000
<b>SUBTOTAL</b>	<b>\$ 2,868,000</b>	<b>\$ 2,868,000</b>
PROJECT CONTINGENCY	432,000	432,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 3,300,000</b>	<b>\$ 3,300,000</b>
 <u>SOURCE(S) OF FUNDING*</u>		
UCONN HEALTH CAPITAL FUNDS	\$ 3,300,000	\$ 3,300,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 3,300,000</b>	<b>\$ 3,300,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.



UCONN HEALTH/IMPROVEMENTS  
UConn Health Outpatient Pavilion 3rd Floor Backfill  
(Design: \$3,300,000)  
September 27, 2023



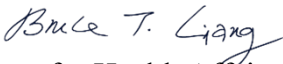
**Conceptual Floor Plan**


# **ATTACHMENT 11**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation (Design: \$850,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$850,000 for the UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$850,000 from UConn Health Capital for the UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation project.”

BACKGROUND:

Vacant space on the Outpatient Pavilion 1<sup>st</sup> floor will be renovated to accommodate the relocation of X-Ray and Blood Draw services from the 3<sup>rd</sup> floor of the Outpatient Pavilion to allow for the renovations required for the expansion of the Women’s OB/GYN, Maternal Fetal Medicine (MFM) & Minimally Invasive Gynecologic Surgery (MIGS) clinical practices.

The Design Budget is attached for your consideration. The Design Budget is based on consultant estimates and may change based on actual bids received. This Design Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: DESIGN**

**PROJECT NAME: UCONN HEALTH - OUTPATINET PAVILION X-RAY & BLOOD DRAW  
RELOCATION**

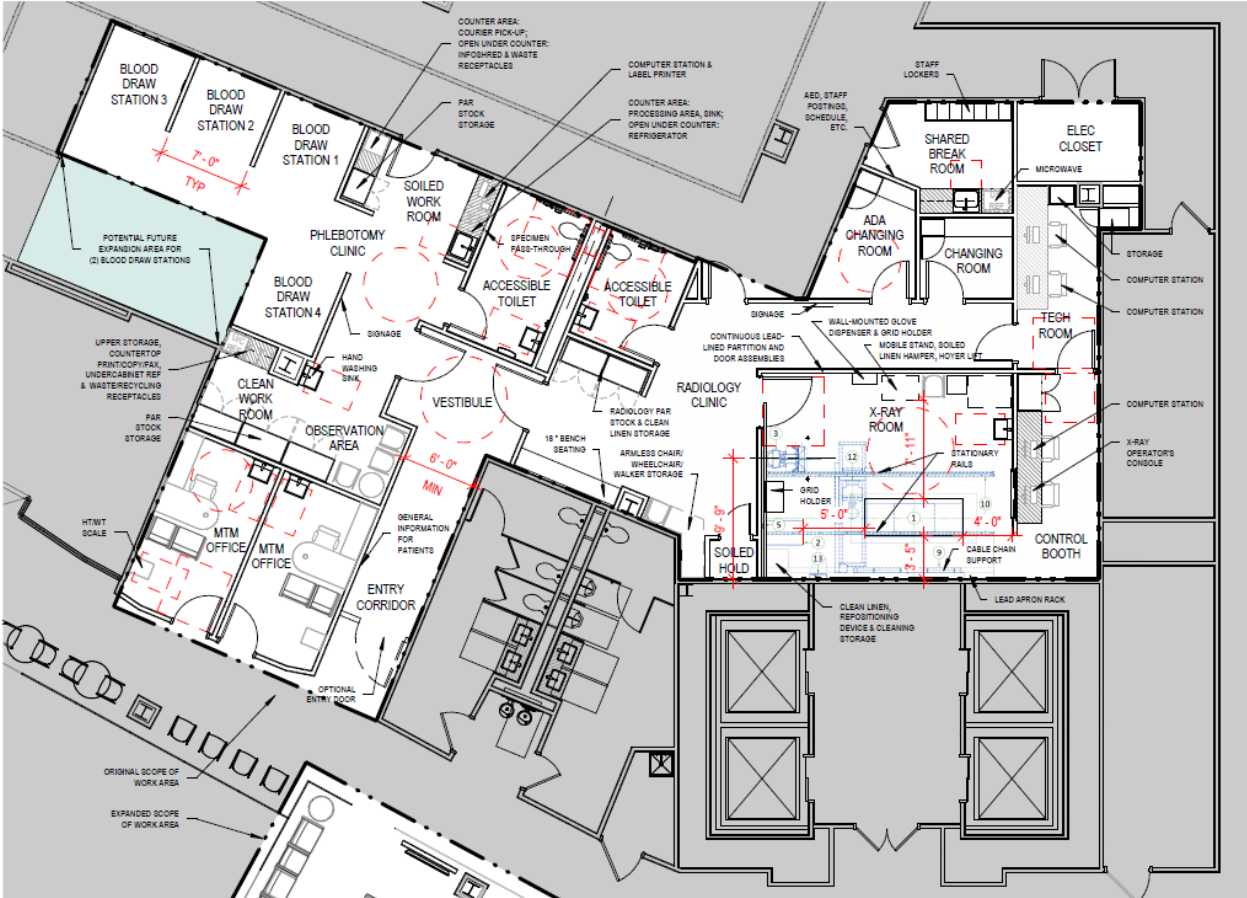
<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/28/2023</u>	<u>PROPOSED DESIGN 9/27/2023</u>
CONSTRUCTION	\$ 375,000	\$ 500,000
DESIGN SERVICES	90,000	115,000
TELECOMMUNICATIONS	47,000	60,000
FURNITURE, FIXTURES AND EQUIPMENT	48,000	75,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	15,000	15,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	5,000	5,000
<b>SUBTOTAL</b>	<b>\$ 580,000</b>	<b>\$ 770,000</b>
PROJECT CONTINGENCY	70,000	80,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 650,000</b>	<b>\$ 850,000</b>
 <u>SOURCE(S) OF FUNDING*</u>		
UCONN HEALTH CAPITAL FUNDS	\$ 650,000	\$ 850,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 650,000</b>	<b>\$ 850,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# UCONN HEALTH/IMPROVEMENTS

## UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation

Budget (Design) \$850,000  
September 27, 2023



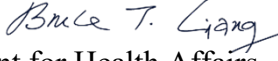
**Schematic Floor Plan**

## **ATTACHMENT 12**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Musculoskeletal Institute Rehabilitation  
Expansion (Design: \$640,000)

## RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$640,000 for the UConn Health Musculoskeletal Institute Rehabilitation Expansion project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$640,000 from UConn Health Capital for the UConn Health Musculoskeletal Institute Rehabilitation Expansion.”

## BACKGROUND:

To allow for the expansion of clinical programs on the 3<sup>rd</sup> floor of the Outpatient Pavilion, the Musculoskeletal Institute (MSI) & Outpatient Pavilion Physical Therapy /Rehabilitation programs will be consolidated in the MSI. An in-ground pool that is no longer used for water therapy located on the ground floor of the MSI will be removed and infilled to create the additional physical therapy treatment rooms needed for the consolidation of the two programs.

The Design Budget is attached for your consideration. The Design Budget is based on consultant estimates and may change based on actual bids received. This Design Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: DESIGN**

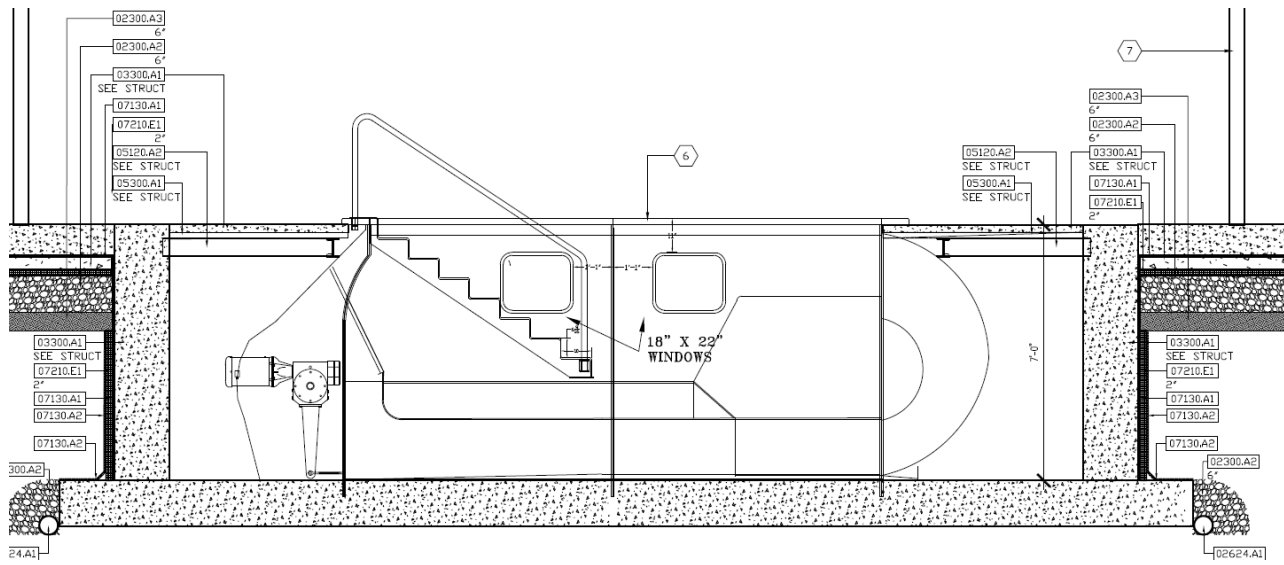
**PROJECT NAME: UCONN HEALTH - MUSCULOSKELETAL INSTITUTE REHABILITATION EXPANSION**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/28/2023</u>	<u>PROPOSED DESIGN 9/27/2023</u>
CONSTRUCTION	\$ 460,000	\$ 460,000
DESIGN SERVICES	65,000	65,000
TELECOMMUNICATIONS	5,000	5,000
FURNITURE, FIXTURES AND EQUIPMENT	32,000	32,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	10,000	10,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
<b>SUBTOTAL</b>	<b>\$ 572,000</b>	<b>\$ 572,000</b>
PROJECT CONTINGENCY	68,000	68,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 640,000</b>	<b>\$ 640,000</b>
 <u>SOURCE(S) OF FUNDING*</u>		
UCONN HEALTH CAPITAL FUNDS	\$ 640,000	\$ 640,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 640,000</b>	<b>\$ 640,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.



UCONN HEALTH/IMPROVEMENTS  
UConn Health Transitional Musculoskeletal Institute  
Rehabilitation Expansion (Design: \$640,000)  
September 27, 2023




**Existing Therapy Pool Section**


# **ATTACHMENT 13**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Cryo Electron Microscope Installation  
(Design: \$960,000)

## RECOMMENDATION:

That the Board of Trustees approves the Design Budget in the amount of \$960,000 for the UConn Health Cryo Electron Microscope Installation project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approves of the use of \$960,000 from UConn Health Research IDC Capital for the UConn Health Cryo Electron Microscope Installation project.”

## BACKGROUND:

The Molecular Biology department was awarded a \$1,457,000 NIH grant to purchase a Cryo Electron Microscope for research activities. In order to operate properly the electron microscope requires very specific environmental parameters including seismic, vibration, humidity and temperature. This project will construct the specialized climate-controlled rooms required to house the electron microscope.

The Design Budget is attached for your consideration. The Design Budget is based on consultant estimates and may change based on actual bids received. This Design Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: DESIGN**

**PROJECT NAME: UCONN HEALTH - CRYO ELECTRON MICROSCOPE INSTALLATION**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/28/2023</u>	<u>PROPOSED DESIGN 9/27/2023</u>
CONSTRUCTION	\$ 700,000	\$ 700,000
DESIGN SERVICES	113,000	113,000
TELECOMMUNICATIONS	35,000	35,000
FURNITURE, FIXTURES AND EQUIPMENT	2,000	2,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	5,000	5,000
ART	-	-
RELOCATION	4,000	4,000
ENVIRONMENTAL	8,000	8,000
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	5,000	5,000
<b>SUBTOTAL</b>	<b>\$ 872,000</b>	<b>\$ 872,000</b>
PROJECT CONTINGENCY	88,000	88,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 960,000</b>	<b>\$ 960,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>		
UCONN HEALTH RESEARCH IDC CAPITAL	\$ 960,000	\$ 960,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 960,000</b>	<b>\$ 960,000</b>

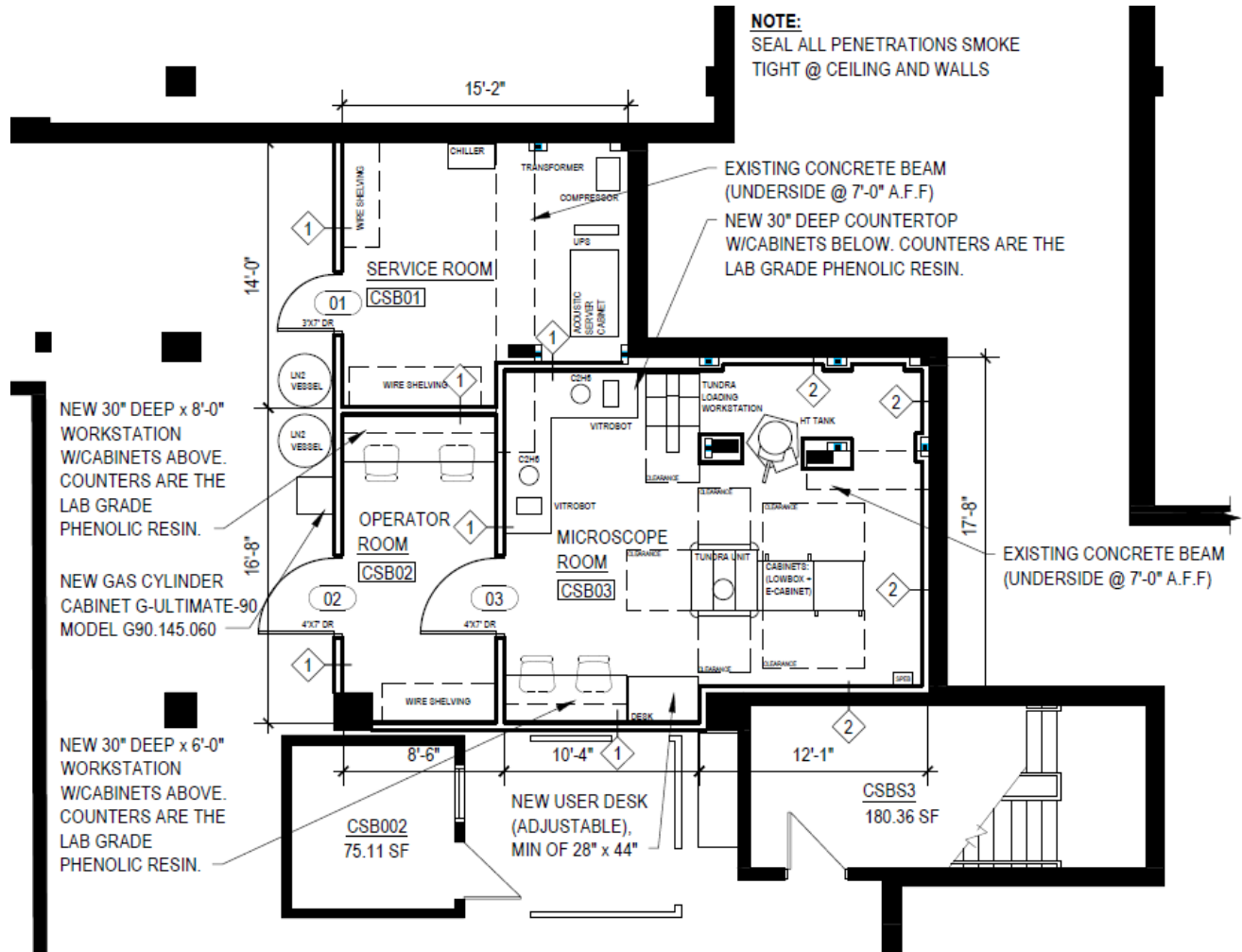
\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# UCONN HEALTH/IMPROVEMENTS

## UConn Health Cryo Electron Microscope Installation

### Budget (Design) \$960,000

### September 27, 2023




**Conceptual Floor Plan**


# **ATTACHMENT 14**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Fluoroscopy Equipment Replacement & Renovation (Final: \$745,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$745,000, as detailed in the attached project budget for the UConn Health Fluoroscopy Equipment Replacement & Renovation Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$745,000 from UConn Health Capital for the UConn Health Fluoroscopy Equipment Replacement & Renovation Project and; approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

## BACKGROUND:

A Master Plan for the renovation of the Radiology Department located on the Main Level of the Connecticut Tower has been developed to ensure outdated diagnostic radiology imaging equipment can be replaced in a manner that is in compliance with current Connecticut Department of Health design/construction guidelines, improve staff workflow and the patient experience.

This is the first project of the Master Plan and involves a renovation to create a space for the installation of a new Fluoroscopy Imaging unit. The purchase of the Fluoroscopy unit was done under a separate contract and is not included in the attached project budget. The project is currently being redesigned to address concerns raised by clinical personnel related to patient safety. The original budget for this project was estimated to be under \$500,000. The project budget has been adjusted to \$745,000 based on bid results prior to the redesign,

The Final Budget is attached for your consideration. The Final budget reflects current design estimates and may change based on actual bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments



# CAPITAL PROJECT BUDGET REPORTING FORM

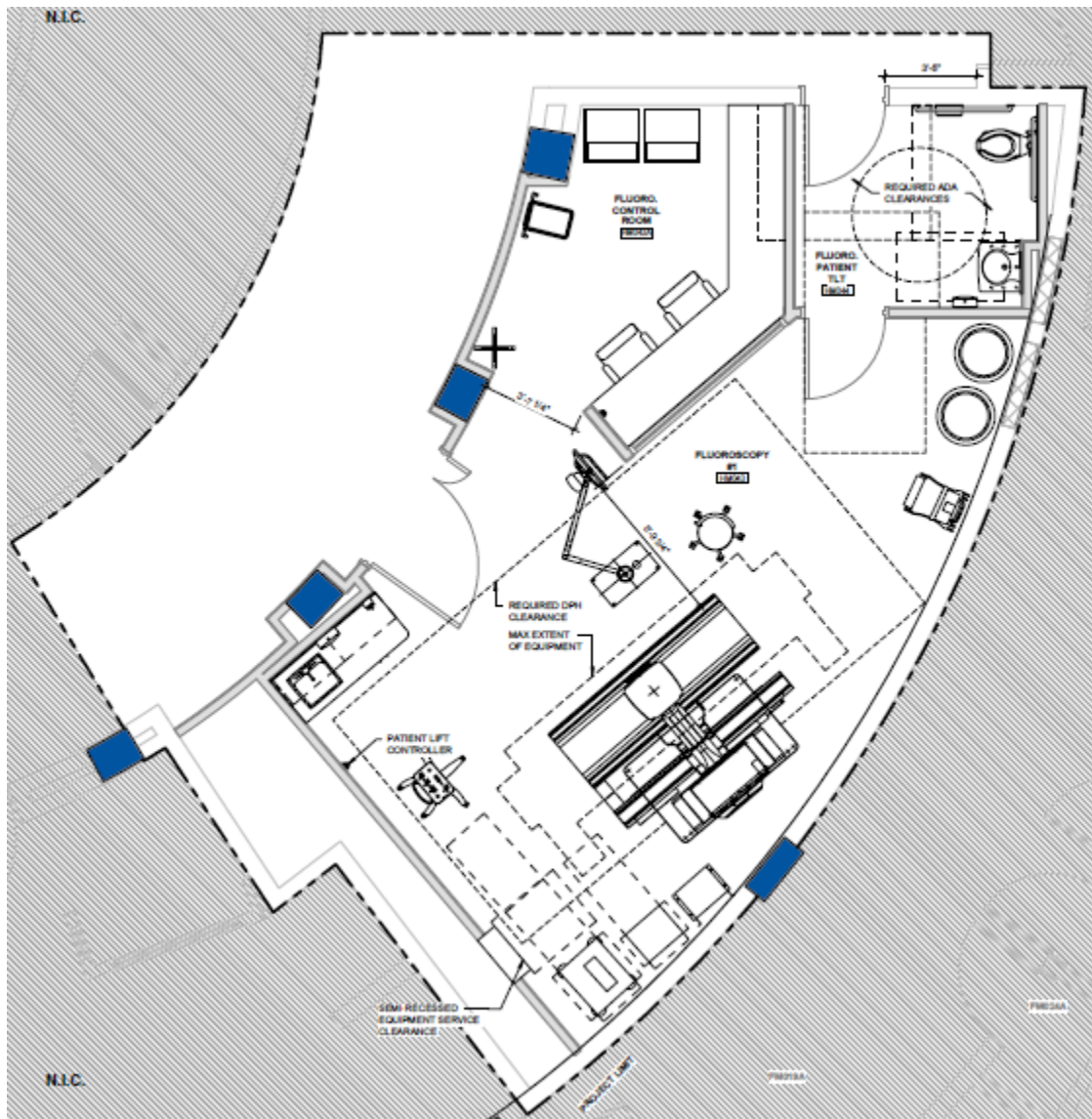
**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH -FLUOROSCOPY EQUIPMENT REPLACEMENT AND RENOVATION**

<b><u>BUDGETED EXPENDITURES</u></b>	<b><u>PROPOSED FINAL 9/27/2023</u></b>
CONSTRUCTION	\$ 553,000
DESIGN SERVICES	70,000
TELECOMMUNICATIONS	2,000
FURNITURE, FIXTURES AND EQUIPMENT	20,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	1,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	3,000
<b>SUBTOTAL</b>	<b>\$ 649,000</b>
PROJECT CONTINGENCY	96,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 745,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>	
UCONN HEALTH CAPITAL FUNDS	745,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 745,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Fluoroscopy Equipment Replacement &  
Renovation Project  
Budget (Final) \$745,000  
September 27, 2023



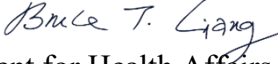
**REDESIGNED FLUOROSCOPY ROOM LAYOUT**


# **ATTACHMENT 15**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Muscular Skeletal Institute Chiller Replacement (Final: \$570,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$570,000, as detailed in the attached project budget for the UConn Health Muscular Skeletal Institute Chiller Replacement Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$570,000 from UCONN 2000 Phase III Deferred Maintenance Funds for the UConn Health Muscular Skeletal Institute Chiller Replacement Project and; approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

## BACKGROUND:

This project will replace a 55 ton chiller and associated pumps that serves critical areas within the Muscular Skeletal Institute including the MRI, CT Scanner and IT data closets.

The Final Budget is attached for your consideration. The Final budget reflects current design estimates and may change based on actual bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments

UCONN HEALTH/IMPROVEMENTS  
UConn Health Muscular Skeletal Institute Chiller  
Replacement Project  
Budget (Final) \$570,000  
September 27, 2023



**Packaged Roof Top Chiller Unit**

# CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH -MUSCULAR SKELETAL INSTITUTE CHILLER REPLACEMENT**

<b><u>BUDGETED EXPENDITURES</u></b>	<b><u>PROPOSED FINAL 9/27/2023</u></b>
CONSTRUCTION	\$ 435,000
DESIGN SERVICES	37,000
TELECOMMUNICATIONS	3,000
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
<b>SUBTOTAL</b>	<b>\$ 475,000</b>
PROJECT CONTINGENCY	95,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 570,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>	
UCONN 2000 BOND FUNDS	570,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 570,000</b>

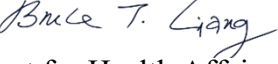
\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

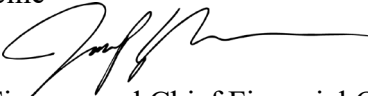
# **ATTACHMENT 16**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Central Sterile Washer & Sterilizer Replacement (Final: \$4,970,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$4,970,000, as detailed in the attached project budget for the UConn Health Central Sterile Washer & Sterilizer Replacement Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$4,970,000 from UConn Health Capital and UCONN 2000 phase III DM funds for the UConn Health Central Sterile Washer & Sterilizer Replacement Project.”

## BACKGROUND:

Central Sterile Services located in the basement level of the Connecticut Tower provides instrument sterilization services for the medical and dental clinics and outpatient surgical services. The main washer and sterilizer equipment is outdated and prone to downtime which significantly impacts clinical operations. This project will replace outdated equipment and supporting infrastructure.

The Final Budget is attached for your consideration. The Final budget reflects current design estimates and may change based on bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments



## CAPITAL PROJECT BUDGET REPORTING FORM

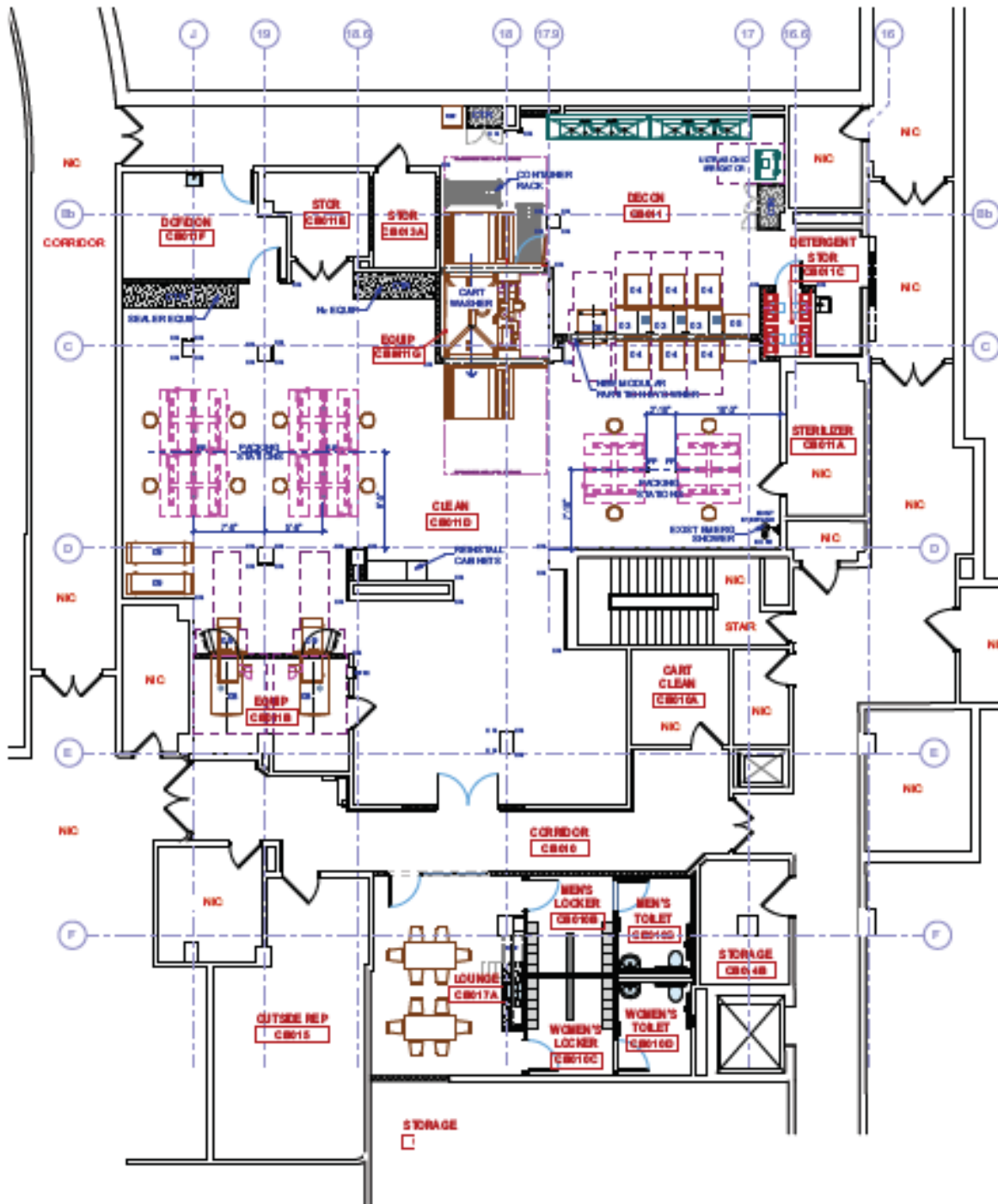
**TYPE BUDGET:** FINAL

**PROJECT NAME:** UCONN HEALTH - CENTRAL STERILE WASHER & STERILIZER REPLACEMENT

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/29/2022</u>	<u>APPROVED DESIGN 6/28/2023</u>	<u>PROPOSED FINAL 9/27/2023</u>
CONSTRUCTION	\$ 565,000	\$ 2,400,000	\$ 3,005,000
DESIGN SERVICES	95,000	165,000	170,000
TELECOMMUNICATIONS	2,000	50,000	50,000
FURNITURE, FIXTURES AND EQUIPMENT	991,000	990,000	1,260,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	10,000
ART	-	-	-
RELOCATION	-	-	5,000
ENVIRONMENTAL	-	15,000	15,000
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	3,000	2,000	-
<b>SUBTOTAL</b>	<b>\$ 1,656,000</b>	<b>\$ 3,622,000</b>	<b>\$ 4,515,000</b>
PROJECT CONTINGENCY	249,000	363,000	455,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,905,000</b>	<b>\$ 3,985,000</b>	<b>\$ 4,970,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>			
UCONN HEALTH CAPITAL FUNDS	\$ 1,905,000	\$ 2,135,000	\$ 2,135,000
UCONN 2000 BOND FUNDS	-	1,850,000	2,835,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,905,000</b>	<b>\$ 3,985,000</b>	<b>\$ 4,970,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Central Sterile Washer & Sterilizer  
Replacement Budget (Final) \$4,970,000  
September 27, 2023



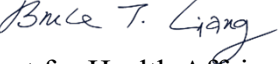
Proposed Equipment Plan

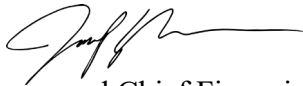
## **ATTACHMENT 17**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health New England Sickle Cell Institute  
Renovation (Final: \$4,865,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$4,865,000, as detailed in the attached project budget for the UConn Health New England Sickle Cell Institute Renovation Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$4,865,000 from UConn Health Capital and UCONN 2000 phase III DM funds for the UConn Health New England Sickle Cell Institute Renovation Project.”

## BACKGROUND:

The New England Sickle Cell Institute (NESCI) and Connecticut Blood Disorders (CBD) clinics were located on an under-utilized inpatient floor within the recently constructed University Tower. In response to the COVID pandemic, these clinics were downsized and relocated to allow for the expansion of inpatient services. This project will renovate the 4<sup>th</sup> floor of the Connecticut Tower to accommodate the relocation of the New England Sickle Cell Institute and Connecticut Blood Disorders clinics.

The Final Budget is attached for your consideration. The Final budget reflects current design estimates and may change based on bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

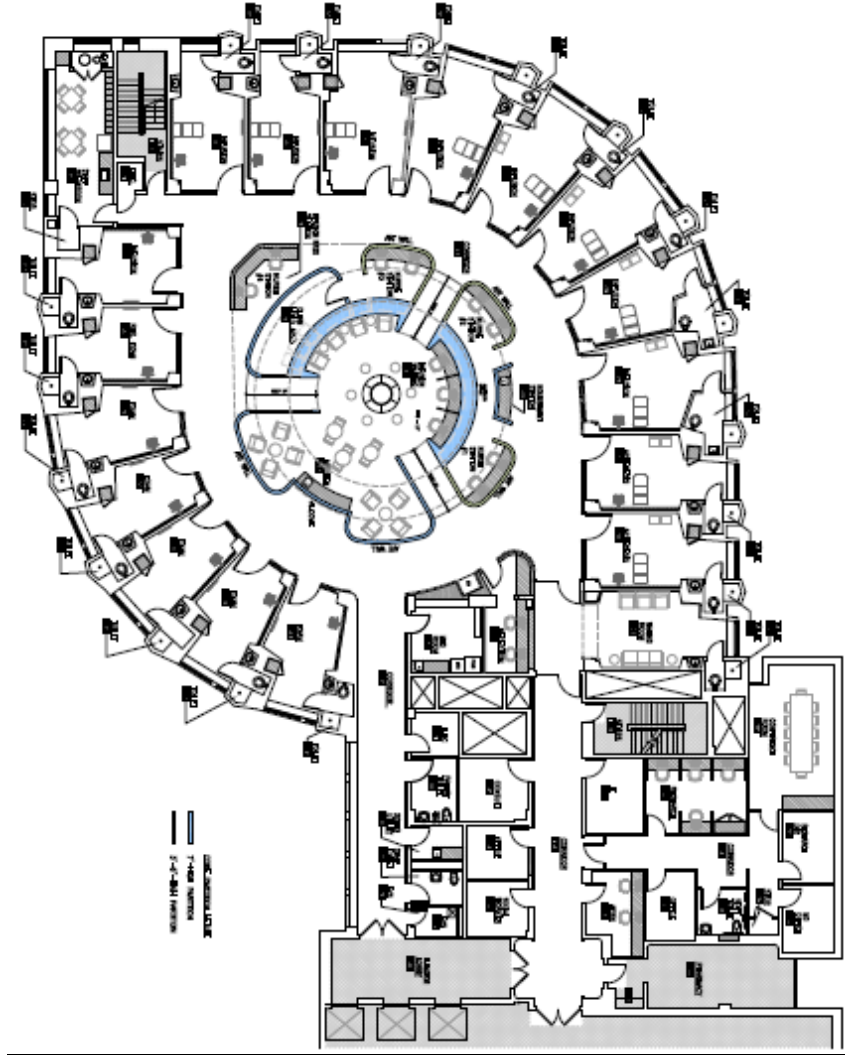
**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH - NEW ENGLAND SICKLE CELL INSTITUTE RENOVATION**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/29/2022</u>	<u>APPROVED DESIGN 6/28/2023</u>	<u>PROPOSED FINAL 9/27/2023</u>
CONSTRUCTION	\$ 2,235,000	\$ 3,645,000	\$ 3,645,000
DESIGN SERVICES	128,000	342,000	342,000
TELECOMMUNICATIONS	150,000	150,000	150,000
FURNITURE, FIXTURES AND EQUIPMENT	199,000	200,000	200,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-
ART	15,000	45,000	45,000
RELOCATION	-	5,000	5,000
ENVIRONMENTAL	-	30,000	30,000
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	-	5,000	5,000
<b>SUBTOTAL</b>	<b>\$ 2,727,000</b>	<b>\$ 4,422,000</b>	<b>\$ 4,422,000</b>
PROJECT CONTINGENCY	273,000	443,000	443,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 3,000,000</b>	<b>\$ 4,865,000</b>	<b>\$ 4,865,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>			
UCONN HEALTH CAPITAL FUNDS	\$ 3,000,000	\$ 3,270,000	\$ 3,270,000
UCONN 2000 BOND FUNDS	-	1,595,000	1,595,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 3,000,000</b>	<b>\$ 4,865,000</b>	<b>\$ 4,865,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health New England Sickle Cell Institute  
Renovation Project Budget (Final) \$4,865,000  
September 27, 2023




Conceptual Floor Plan

# **ATTACHMENT 18**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Cadaver Lab Renovation and Air Handling Unit Replacement (Final: \$2,960,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$2,960,000 as detailed in the attached project budget for the UConn Health Cadaver Lab Renovation and Air Handling Unit Replacement project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$2,960,000 from UCONN 2000 phase III DM funds for the UConn Health Cadaver Lab Renovation and Air Handling Unit Replacement project.”

## BACKGROUND:

The Bioscience Connecticut project: Academic Additions and Renovations included an alternate for the renovation of the Cadaver Lab utilized by students in the School of Medicine. Due to budgetary reasons, the alternate was not implemented. This project will replace the outdated air handling unit (AHU) and renovate the cadaver lab to meet current academic standards.

The Final Budget is attached for your consideration. The Final Budget is based on bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments



## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH - CADAVER LAB RENOVATION & AIR HANDLING UNIT REPLACEMENT**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED DESIGN 6/28/2023</u>	<u>PROPOSED FINAL 9/27/2023</u>
CONSTRUCTION	\$ 1,000,000	\$ 2,260,000
DESIGN SERVICES	100,000	85,000
TELECOMMUNICATIONS	65,000	-
FURNITURE, FIXTURES AND EQUIPMENT	310,000	307,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	15,000	15,000
ART	-	-
RELOCATION	10,000	10,000
ENVIRONMENTAL	15,000	12,000
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	5,000	1,000
<b>SUBTOTAL</b>	<b>\$ 1,520,000</b>	<b>\$ 2,690,000</b>
PROJECT CONTINGENCY	280,000	270,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,800,000</b>	<b>\$ 2,960,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>		
UCONN 2000 BOND FUNDS	\$ 1,800,000	\$ 2,960,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,800,000</b>	<b>\$ 2,960,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Cadaver Lab Renovation and Air Handling  
Unit Replacement Budget (Final) \$2,960,000  
September 27, 2023



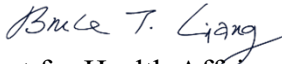
**Cadaver Lab Rendering**

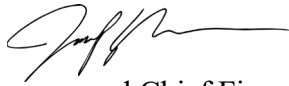
## **ATTACHMENT 19**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Cell & Genome Sciences Building Data Center Cooling System Upgrades (Final: \$840,000)

## RECOMMENDATION:

That the Board of Trustees approves the Final Budget in the amount of \$840,000 as detailed in the attached project budget for the UConn Health Cell & Genome Sciences Building Data Center Cooling System Upgrades project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$840,000 from UCONN 2000 phase III DM funds for the UConn Health Cell & Genome Sciences Building Data Center Cooling System Upgrades project.”

## BACKGROUND:

The data center located at the Cell & Genome Sciences Building (CGSB) supports the research of more than 1,000 users from the laboratories of more than 200 investigators across all UConn campuses, who currently have over \$200 million in active research funding, more than half of which are NIH grants. This project will renovate the data center cooling system and make electrical upgrades to provide necessary redundancy to avoid a shutdown in case of system failure.

The Final Budget is attached for your consideration. The Final Budget is based on actual bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:** FINAL

**PROJECT NAME:** UCONN HEALTH - CELL & GENOME SCIENCE BUILDING DATA CENTER COOLING UPGRADES

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED DESIGN 6/28/2023</u>	<u>PROPOSED FINAL 9/27/2023</u>
CONSTRUCTION	\$ 520,000	\$ 600,000
DESIGN SERVICES	50,000	45,000
TELECOMMUNICATIONS	9,000	2,000
FURNITURE, FIXTURES AND EQUIPMENT	-	117,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	10,000	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	2,000	-
<b>SUBTOTAL</b>	<b>\$ 591,000</b>	<b>\$ 764,000</b>
PROJECT CONTINGENCY	59,000	76,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 650,000</b>	<b>\$ 840,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>		
UCONN 2000 BOND FUNDS	\$ 650,000	\$ 840,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 650,000</b>	<b>\$ 840,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Cell & Genome Science Building Data  
Center Cooling System Upgrades (Final) \$840,000  
September 27, 2023




**ROOF TOP COOLING UNIT**


## **ATTACHMENT 20**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health 16 Munson Road Emergency Lighting & Egress Upgrades (Final: \$1,900,000)

## RECOMMENDATION:

That the Board of Trustees approves the Final Budget in the amount of \$1,900,000 as detailed in the attached project budget for the UConn Health 16 Munson Road Emergency Lighting & Egress Upgrades Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,900,000 from UConn Health Capital and UCONN 2000 phase III DM funds for the UConn Health 16 Munson Road Emergency Lighting & Egress Upgrades Project.”

## BACKGROUND:

A recent inspection of the 16 Munson Road building by the UConn Fire Marshal’s office resulted in a series of violations related to the existing emergency lighting system and building egress systems. At the request of Fire Marshal, UConn Health prepared a plan of Corrective Actions to address the violations. This project will move forward with necessary design services and construction activities required to implement the Corrective Action Plan.

The Final Budget is attached for your consideration. The Final Budget is based on actual bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments



## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH - 16 MUNSON EMERGENCY LIGHTING & EGRESS UPGRADES**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED DESIGN 12/8/2021</u>	<u>PROPOSED FINAL 9/27/2023</u>
CONSTRUCTION	\$ 725,000	\$ 1,540,000
DESIGN SERVICES	125,000	116,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
<b>SUBTOTAL</b>	<b>\$ 850,000</b>	<b>\$ 1,656,000</b>
PROJECT CONTINGENCY	85,000	244,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 935,000</b>	<b>\$ 1,900,000</b>
 <u>SOURCE(S) OF FUNDING*</u>		
UCONN HEALTH CAPITAL FUNDS	38,500	38,500
UCONN 2000 PHASE III DM	\$ 896,500	\$ 1,861,500
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 935,000</b>	<b>\$ 1,900,000</b>

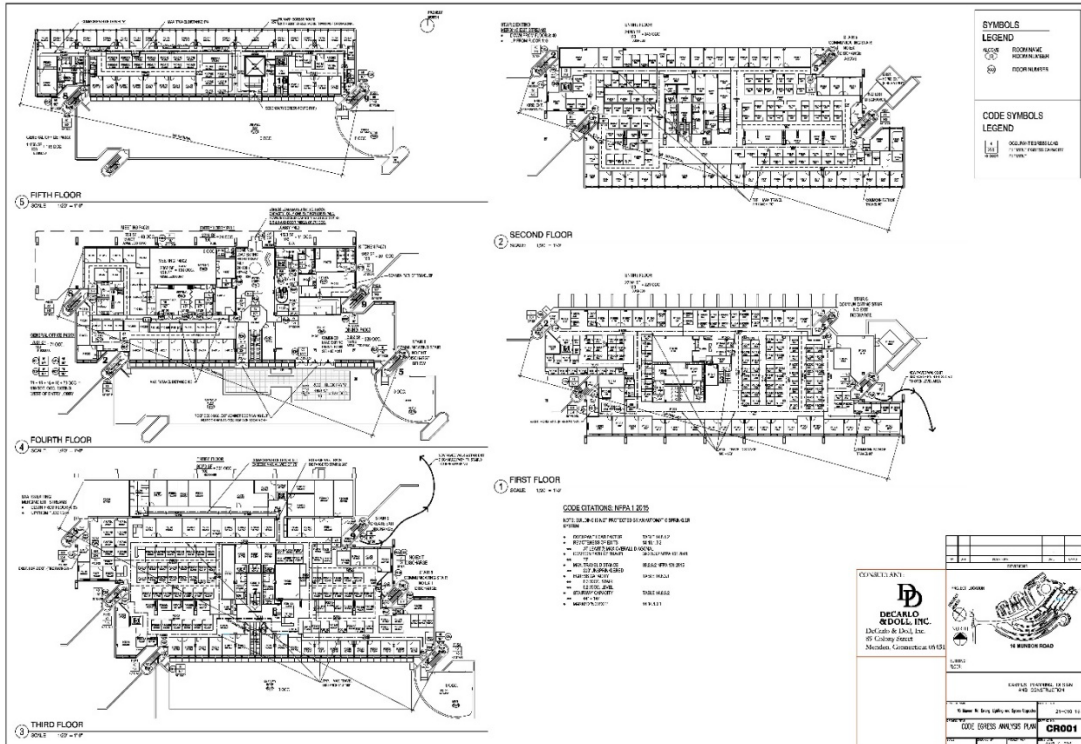
\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# UCONN HEALTH/IMPROVEMENTS

## UConn Health 16 Munson Road Emergency Lighting & Egress Upgrades

### Project Budget (Final) \$1,900,000

### September 27, 2023



### 16 MUNSON ROAD EGRESS ANALYSIS PLAN



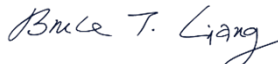
**16 MUNSON ROAD NON-COMPLIANT EGRESS STAIR RAILING**


# **ATTACHMENT 21**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health TB-121 Blood Bank Relocation  
(Revised Final: \$880,000)

## RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$880,000, as detailed in the attached project budget for the UConn Health TB-121 Blood Bank Relocation Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$880,000 from UConn Health Capital and funds received through the Cesium Irradiator Replacement Program (CIRP) for the UConn Health TB-121 Blood Bank Relocation Project.”

## BACKGROUND:

Prior to transfusion, blood is irradiated to prevent the donor white cells from replicating and mounting an immune response against a patient causing transfusion-associated graft-versus-host disease (TA-GvHD). The current irradiator in the UConn Health Blood Bank needs to be replaced. In addition, the current Blood Bank location on the 2<sup>nd</sup> floor of the Main Building Clinical complex is a great distance from the areas where the blood is required; John Dempsey Hospital Operating Rooms (ORs), the Emergency Department (ED), and Labor & Delivery (LD).

This project will relocate the Blood Bank to an unrenovated shell space on the ground floor of the John Dempsey Hospital which is adjacent to the ORs and significantly closer to the ED and LD. In addition, a new X-ray irradiator will be furnished and installed, and the existing irradiator removed through CIRP.

The project budget has been increased to account for the addition of a required pneumatic tube station.

The Revised Final Budget is attached for your consideration. The Revised Final budget reflects current design estimates and may change based on actual bids received. This Revised Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: REVISED FINAL**

**PROJECT NAME: UCONN HEALTH -TB-121 BLOOD BANK RELOCATION**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED FINAL 6/28/2023</u>	<u>PROPOSED REVISED FINAL 9/27/2023</u>
CONSTRUCTION	\$ 255,000	\$ 375,000
DESIGN SERVICES	50,000	50,000
TELECOMMUNICATIONS	25,000	35,000
FURNITURE, FIXTURES AND EQUIPMENT	320,000	320,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	5,000	5,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	<u>10,000</u>	<u>1,000</u>
<b>SUBTOTAL</b>	<b>\$ 665,000</b>	<b>\$ 786,000</b>
PROJECT CONTINGENCY	<u>100,000</u>	<u>94,000</u>
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 765,000</u></b>	<b><u>\$ 880,000</u></b>
 <b><u>SOURCE(S) OF FUNDING*</u></b>		
UCONN HEALTH CAPITAL FUNDS	662,000	751,735
CIRP	103,000	128,265
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 765,000</u></b>	<b><u>\$ 880,000</u></b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health TB-121 Blood Bank Relocation  
Project Budget (Revised Final) \$880,000  
September 27, 2023



**X-Ray Blood Irradiator**


## **ATTACHMENT 22**

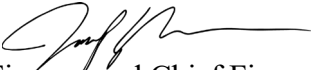


# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD,   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health 16 Munson Road Parking Lot Paving  
(Revised Final: \$2,075,000)

## RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$2,075,000, as detailed in the attached project budget for the UConn Health 16 Munson Road Parking Lot Paving Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$2,075,000 of UCONN 2000 Phase III Deferred Maintenance Funds for the UConn Health 16 Munson Road Parking Lot Paving Project.”

## BACKGROUND:

The existing parking lots serving 16 Munson Road are in poor condition, consisting of a patchwork of repaired areas and deteriorated pavement. Based on information gathered from previous repairs, the cause of pavement failure is due to poor drainage and inadequate subbase conditions. This project will address the subsurface conditions leading to the pavement failure and re-pave the parking lots. The project was put out to bid and subsequently cancelled due to the inability of bidders to meet the scheduled construction completion of the Fall of 2022. The project was rebid in November 2022 and resulted in savings.

The pavement replacement started in the summer of 2023 and consisted of 3 phases. During Phase 2 unsuitable soils that will not drain or compact properly to form a stable base for the asphalt pavement were encountered. Several remediation scenarios have been explored, some ranging in cost of over \$1.5 million. The additional funding requested will be used to mitigate the soil condition without requiring complete removal, disposal and replacement. The Revised Final Budget is attached for your consideration. The Revised Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:** REVISED FINAL

**PROJECT NAME:** UCONN HEALTH - 16 MUNSON ROAD PARKING LOT PAVING

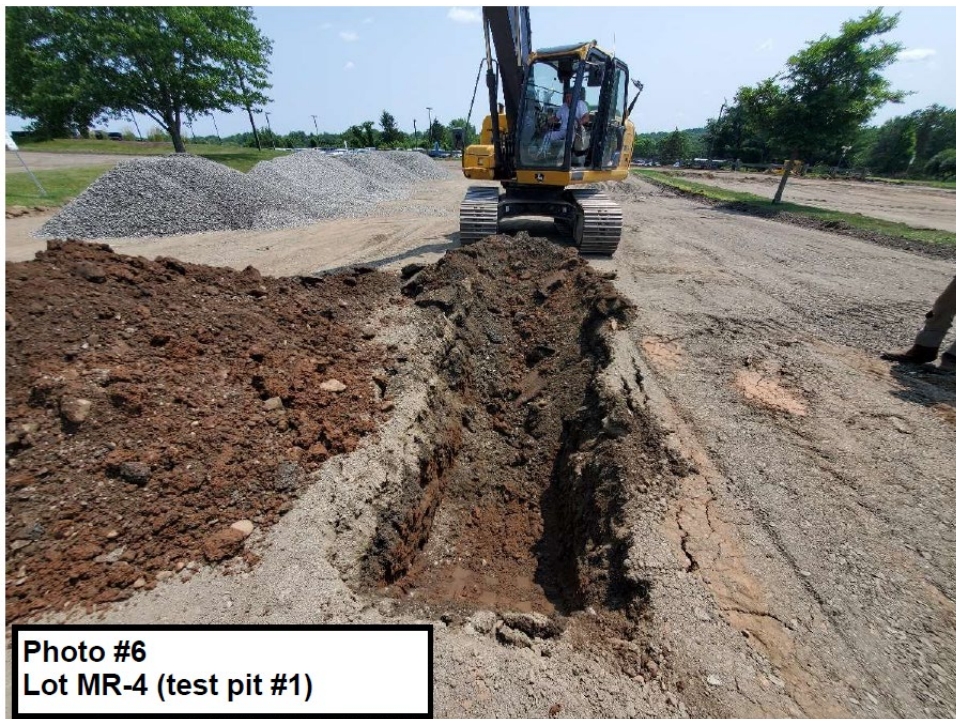
<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 12/8/2021</u>	<u>APPROVED DESIGN 6/29/2022</u>	<u>APPROVED FINAL 9/28/2022</u>	<u>APPROVED REVISED FINAL 2/22/2023</u>	<u>PROPOSED REVISED FINAL 9/27/2023</u>
CONSTRUCTION	\$ 940,000	\$ 1,232,000	\$ 1,600,000	\$ 1,320,000	\$ 1,800,000
DESIGN SERVICES	113,000	77,000	77,000	77,000	96,000
TELECOMMUNICATIONS	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-	5,000	15,000
ART	-	-	-	-	-
RELOCATION	-	-	-	-	-
ENVIRONMENTAL	-	-	-	-	3,000
INSURANCE AND LEGAL	-	-	-	-	-
MISCELLANEOUS	1,000	5,000	-	2,000	1,000
<b>SUBTOTAL</b>	<b>\$ 1,054,000</b>	<b>\$ 1,314,000</b>	<b>\$ 1,677,000</b>	<b>\$ 1,404,000</b>	<b>\$ 1,915,000</b>
PROJECT CONTINGENCY	106,000	131,000	253,000	211,000	160,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,160,000</b>	<b>\$ 1,445,000</b>	<b>\$ 1,930,000</b>	<b>\$ 1,615,000</b>	<b>\$ 2,075,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>					
UCONN 2000 PHASE III DM	\$ 1,160,000	\$ 1,445,000	\$ 1,930,000	\$ 1,615,000	\$ 2,075,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,160,000</b>	<b>\$ 1,445,000</b>	<b>\$ 1,930,000</b>	<b>\$ 1,615,000</b>	<b>\$ 2,075,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health 16 Munson Road Parking Lot Paving  
Project Budget (Revised Final) \$2,075,000  
September 27, 2023



**16 MUNSON ROAD PARKING LOTS**



**Photo #6  
Lot MR-4 (test pit #1)**


**TEST PIT AT UNSUITABLE SOIL LOCATION**


## **ATTACHMENT 23**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health 836 Hopmeadow Street, Simsbury Clinical Practice Relocation (Revised Final: \$4,729,000)

## RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$4,729,000 and note a report of a Revised Final Budget in the amount \$4,491,000 per the approval of the Executive Vice President for Finance and Chief Financial Officer, for the UConn Health 836 Hopmeadow Street, Simsbury Clinical Practice Relocation Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$4,729,000 and note the previous approval of \$4,491,000, of UConn Health Capital Funds and a Fit-out Allowance from Twenty-Two GC 2012 (the “Landlord”) for the UConn Health 836 Hopmeadow St, Simsbury Clinical Practice Relocation Project.”

## BACKGROUND:

UConn Health plans to combine the outpatient clinical sites of Simsbury Primary Care and Avon Orthopedic into one larger, new location in the center of Simsbury. This move facilitates program expansion and mitigates deteriorating operating conditions at the current Simsbury site.

The Medical services will include expanded Internal Medicine and Orthopedic offerings, on-site lab and x-ray and an expansion of outreach specialty services to include OB, MFM, Cardiology, Vascular Surgery, Neurology and Pulmonary. The new clinic will offer extended hours to the community.

The project is substantially complete and the clinic had a grand opening on June 13, 2023. Additional funds are required to address costs associated with; the replacement of furniture and equipment that was determined to be in poor condition and not suitable to relocate, construction change order work, and to cover a delay claim, that is expected to be reimbursed, associated with the landlords failure to complete the required core & shell work in a timely manner.

On July 26, 2023, the Executive Vice President for Finance and Chief Financial Officer approved an increase of \$212,000 (4.93% of the previous approved Final Budget) for an interim Revised Final Budget of \$4,491,000.

Board of Trustees policy permits the Executive Vice President for Finance and Chief Financial Officer to approve changes up to 5% of the project budget, providing that funding is available, and the BOT is subsequently notified of the revised project budget.

The Revised Final Budget is attached for your consideration. This Revised Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:** REVISED FINAL

**PROJECT NAME:** UCONN HEALTH - 836 HOPMEADOW STREET, SIMSBURY CLINICAL PRACTICE RELOCATION

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 9/29/2021</u>	<u>APPROVED DESIGN 12/8/2021</u>	<u>APPROVED FINAL 4/26/2022</u>	<u>PROPOSED REVISED FINAL 9/27/2023</u>
CONSTRUCTION	\$ 2,730,000	\$2,730,000	\$ 2,830,000	\$ 3,175,000
DESIGN SERVICES	124,000	124,000	124,000	153,000
TELECOMMUNICATIONS	290,000	290,000	407,000	415,000
FURNITURE, FIXTURES AND EQUIPMENT	348,000	348,000	448,000	860,000
CONSTRUCTION ADMINISTRATION	-	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-	-
ART	10,000	10,000	10,000	20,000
RELOCATION	17,000	17,000	84,000	80,000
ENVIRONMENTAL	-	-	-	-
INSURANCE AND LEGAL	-	-	-	-
MISCELLANEOUS	3,000	3,000	3,000	1,000
<b>SUBTOTAL</b>	<b>\$ 3,522,000</b>	<b>\$3,522,000</b>	<b>\$ 3,906,000</b>	<b>\$ 4,704,000</b>
PROJECT CONTINGENCY	353,000	353,000	391,000	25,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 3,875,000</b>	<b>\$3,875,000</b>	<b>\$ 4,297,000</b>	<b>\$ 4,729,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>				
UCONN HEALTH CAPITAL FUNDS	\$ 3,530,000	\$3,531,290	\$ 3,953,290	\$ 4,385,290
LANDLORD TENANT FIT OUT ALLOWANCE	345,000	343,710	343,710	343,710
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 3,875,000</b>	<b>\$3,875,000</b>	<b>\$ 4,297,000</b>	<b>\$ 4,729,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health 836 Hopmeadow St, Simsbury Clinical  
Practice Relocation  
Project Budget (Revised Final) \$4,729,000  
September 27, 2023



**PICTURE OF NEW WAITING ROOM**



**PICTURE OF TYPICAL EXAM ROOM**

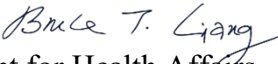



## **ATTACHMENT 24**

# UConn HEALTH

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health 5 Munson Road Clinical Fit-Out  
(Revised Final: \$9,344,000)

## RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$9,344,000, as detailed in the attached project budget for the UConn Health Main Building 5 Munson Road Clinical Fit-Out Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$9,344,000 from UConn Health Capital and a Fit-out Allowance from Metro Realty (the “Landlord”) for the UConn Health 5 Munson Road Clinical Fit-Out Project.”

## BACKGROUND:

UConn Health plans to create a Brain and Spine Institute by relocating and combining the specialty practices of Neurology, Neurosurgery/Cranial and the Comprehensive Spine (Orthopedics & Neurosurgery) from the Outpatient Pavilion into leased space located at the newly constructed building known as 5 Munson Road. The relocation of these practices will also allow for much needed expansion of clinical programs within the Outpatient Pavilion located on the Main Campus in Farmington, CT.

On March 25, 2022 the UConn Finance Corporation executed the lease for the space (approximately 23,000 sq. ft. on the first and second floors and 6,400 sq. ft. on the lower level). The lease terms provide the UConn Health use of the space for 20 years and one month (the “original term”) plus two, five-year extensions. The base lease rate for the space on the first and second floors is \$40.00 per sq. ft. with a 1.3% annual increase during the original term. The base

lease rate for the space on the lower level is \$11.00 per sq. ft. during the original term. The Landlord will be responsible for the tenant fit-out of the space. The Landlord has provided an allowance to UConn Health of \$150/square feet of useable space on the first and second floors (approximately \$3,262,000) to be used for the tenant fit-out. UConn Health is responsible for all construction costs in excess of the allowance.

The fit-out construction is underway and is on schedule for an end of December completion. The Final Budget was submitted in June of 2022 based on preliminary information regarding the Furniture, and Medical and Information Technology equipment required for the clinics. Additional funds are required to cover the actual calculated costs for these items.

The Revised Final Budget is attached for your consideration. The Revised Final budget is based upon actual bids received. This Revised Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on September 11, 2023.

Attachments

# CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:** REVISED FINAL

**PROJECT NAME:** UCONN HEALTH - 5 MUNSON ROAD CLINICAL FIT-OUT

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED FINAL 6/29/2022</u>	<u>PROPOSED REVISED FINAL 9/27/2023</u>
CONSTRUCTION	\$ 5,880,000	\$ 6,000,000
DESIGN SERVICES	-	104,000
TELECOMMUNICATIONS	623,000	1,110,000
FURNITURE, FIXTURES AND EQUIPMENT	950,000	1,320,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	50,000	45,000
RELOCATION	115,000	115,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
<b>SUBTOTAL</b>	<b>\$ 7,618,000</b>	<b>\$ 8,694,000</b>
PROJECT CONTINGENCY	762,000	650,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 8,380,000</b>	<b>\$ 9,344,000</b>
 <b><u>SOURCE(S) OF FUNDING*</u></b>		
UCONN HEALTH CAPITAL FUNDS	4,930,000	6,081,350
LANDLORD TENANT FIT-OUT ALLOWANCE	\$ 3,450,000	\$ 3,262,650
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 8,380,000</b>	<b>\$ 9,344,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health 5 Munson Road Clinical Fit-Out  
Project Budget (Revised Final) \$9,344,000  
September 27, 2023



**1<sup>st</sup> Floor and 2<sup>nd</sup> Floor Plans**

## **ATTACHMENT 25**

**UNIVERSITY OF CONNECTICUT**  
**TENURE AT HIRE RECOMMENDATIONS**  
**PRESENTED TO THE BOARD OF TRUSTEES - September 27, 2023**

<b><u>NAME</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE</u></b>
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*Effective August 23, 2023*

**TENURE AS PROFESSOR**

Curran, Laura	Social Work	Social Work
Vaughan Dickson, Victoria	Nursing	Nursing
Zhou, Ziao-Dong*	Chemical Engineering	Engineering

**TENURE AS ASSOCIATE PROFESSOR**

Skums, Pavel	Computer Science & Engineering	Engineering
Yu, Tingting	Computer Science & Engineering	Engineering

*\*The Academic Affairs Committee voted to recommend this tenure at hire at a special meeting on August 4, 2023.*

## **ATTACHMENT 26**



**University of Connecticut Department of Human Resources**  
**Emeritus Retirees**  
**September 27, 2023 Board of Trustees Meeting**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE*</u></b>	<b><u>RETIRED</u></b>	<b><u>HIRED</u></b>
<i>Faculty meeting “automatic” criteria for emeritus status</i>					
Desai, Manisha K.	Professor	Sociology	CLAS	9/1/2023	8/23/2007
Einbinder, Susan L.	Professor	Lit, Cultures and Languages	CLAS	9/1/2023	8/23/2012
Fisher, Timothy S.	Professor	Law	School of Law	9/1/2023	7/1/2013
Grant, David F.	Professor	Pharmaceutical Science	School of Pharmacy	9/1/2023	8/23/2001
Omara-Otunnu, Amii	Associate Professor	History	CLAS	9/1/2023	9/1/1988
Drachman, Diane <sup>+</sup>	Associate Professor	Social Work	Social Work	8/1/2023	9/1/1989
Miniutti, Tyler <sup>+</sup>	Associate Professor	Plant Science & Landscape Architecture	CAHNR	8/1/2023	9/1/1994

<sup>+</sup>*The Academic Affairs Committee voted to recommend at a special meeting on August 4, 2023.*

\*Key

CLAS – College of Liberal Arts & Sciences

CAHNR – College of Agriculture, Health & Natural Resources

## **ATTACHMENT 27**

University of Connecticut Office of the Provost

Sabbatical Leave Recommendations Requiring Board of Trustees Approval

September 27, 2023 Board of Trustees Meeting

**SABBATICAL MODIFICATION REQUESTS**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>PAY</b>	<b>PERIOD</b>
Peng, Jing	Asst Professor	Operations and Info Management	Business	Postponement from To	AY 2023-2024 AY 2024-2025
Seth, Anji	Professor	Geography	Liberal Arts and Sciences	Postponement from To	AY 2023-2024 AY 2024-2025
Teschke, Carolyn M.	Professor	Molecular and Cell Biology	Liberal Arts and Sciences	Postponement from To	Fall 2022 Fall 2027

**SABBATICAL LEAVE REQUESTS**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>PAY</b>	<b>PERIOD</b>
Battaly, Heather D.	Professor	Philosophy	Liberal Arts and Sciences	Full	Fall 2024
Britner, Preston A.	Professor	Human Dev and Family Sciences	Liberal Arts and Sciences	Full	Fall 2024
Campbell, Scott C.	Assoc Professor	English	Liberal Arts and Sciences	Full	Spring 2025
Cazenave, Noel A.	Professor	Sociology	Liberal Arts and Sciences	Full	Fall 2024
Gajewski, Jon	Assoc Professor	Linguistics	Liberal Arts and Sciences	Full	Fall 2024
Hanzlik, Louis R.	Professor	Music	Fine Arts	Full	Spring 2024
Herd, Kelly	Assoc Professor	Marketing	Business	Full	Spring 2024
Igarashi, Yohei	Assoc Professor	English	Liberal Arts and Sciences	Full	Fall 2024
Kovner, Alexander	Professor	Physics	Liberal Arts and Sciences	Half	AY 2024-2025

Lee, Seok Woo	Assoc Professor	Material Science and Engr	Engineering	Full	Fall 2023
McCarron, Daniel	Asst Professor	Physics	Liberal Arts and Sciences	Full	Fall 2024
Newport, Melanie D.	Asst Professor	History	Liberal Arts and Sciences	Full	Fall 2024
O'Neill, Rachel	Professor & Director	Systems Genomics Institute	N/A	Full	Spring 2024
Pelizzon, Vanessa P.	Professor	English	Liberal Arts and Sciences	Half	AY 2024-2025
Shor, Mikhael	Assoc Professor	Economics	Liberal Arts and Sciences	Full	Fall 2024

## **ATTACHMENT 28**

September 27, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Appointment of Associate Professor Ali Bazzi as the Charles H. Knapp Associate Professor in Electrical Engineering in the School of Engineering

RECOMMENDATION:

That the Board of Trustees appoint Associate Professor Ali Bazzi as the Charles H. Knapp Associate Professor in Electrical Engineering in the School of Engineering.

BACKGROUND:

The Charles H. Knapp Associate Professor in Electrical Engineering in the School of Engineering was established by an endowment from Robert Knapp on June 20, 2012, to support a nationally or internationally recognized research, scholar and teacher at the level of Associate Professor, who has made significant contributions to the field of electrical engineering. This recommendation is made by Dean Kazem Kazerounian along with Dean's Council for Promotion, Tenure and Reappointment. This appointment will be effective August 23, 2023 through August 22, 2028.

Dr. Bazzi received his Ph.D. in Electrical and Computer Engineering from the University of Illinois at Urbana-Champaign in 2010. He joined the faculty of the Department of Electrical and Computer Engineering at the University of Connecticut as an Assistant Professor in August 2012, and was promoted to Associate Professor in 2018.

Dr. Bazzi's innovations in the area of fault-tolerant power electronic and drive systems have captured interest from many sponsors and led to an NSF CAREER award. He co-founded a vertically integrated urban air mobility (UAM) company in Silicon Valley and Germany that will utilize such fault-tolerant systems in autonomous applications. Dr. Bazzi has attracted funding as PI or co-PI from federal sources such as NSF and the Office of Naval Research, and he has supported many companies in Connecticut. He has published over 110 peer-reviewed and refereed journal and conference articles. He has graduated four Ph.D. students as major advisor, and currently advises five Ph.D. students.

## **ATTACHMENT 29**

September 27, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Appointment of Associate Professor Matthew Stuber to the Pratt & Whitney Associate Professorship in Advanced Systems Engineering in the School of Engineering

RECOMMENDATION:

That the Board of Trustees appoint Associate Professor Matthew Stuber to the Pratt & Whitney Associate Professorship in Advanced Systems Engineering in the School of Engineering.

BACKGROUND:

The Pratt & Whitney Associate Professorship in Advanced Systems Engineering in the School of Engineering was established by an endowment from Raytheon Technologies Corporation (formerly known as United Technologies Corporation) on November 19, 2013, and subsequently amended on August 29, 2022, as part of a major investment at UConn to advanced systems engineering. This Professorship supports multiple Associate Professors who are nationally or internationally recognized researchers, scholars, and teachers, and who will have made significant contributions to the field of advanced systems engineering. This appointment will be effective August 23, 2023 through August 22, 2028.

The appointment of Dr. Matthew Stuber follows the unanimous recommendations of Dean Kazem Kazerounian, the School of Engineering Executive Council, and the Selection Committee of the Pratt & Whitney Institute for Advanced Systems Engineering (P&W-IASE).

Dr. Stuber received his Ph.D. from the Massachusetts Institute of Technology in 2013. He joined UConn in 2016 as an Assistant Professor and was promoted to Associate Professor with the award of tenure in August 2023. While at UConn, Prof. Stuber has published 13 peer-reviewed research articles in high-quality journals across a range of applications including water treatment and desalination, solar power hybridization for process industries, advanced manufacturing, healthcare, and advanced agriculture. Dr. Stuber is the creator of the world's first open-source deterministic global optimization solver that is as fast (or faster) than the state-of-the-art commercial solver by exploiting novel



algorithms and software implementations that enable the solution of many previously unsolvable problems. Dr. Stuber has given five invited talks (including three international). As a teacher, Dr. Stuber has developed the graduate course SE5102/CHEG5339 Uncertainty Analysis, Robust Design, and Optimization specifically for the IASE certificate and Master's programs. He has consistently received among the highest overall student reviews among UConn engineering graduate and professional courses.

## **ATTACHMENT 30**

September 27, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Appointment of Dr. Liang Zhang to the Pratt & Whitney Associate Professorship in Advanced Systems Engineering in the School of Engineering

RECOMMENDATION:

That the Board of Trustees appoint Associate Professor Liang Zhang to the Pratt & Whitney Associate Professorship in Advanced Systems Engineering in the School of Engineering.

BACKGROUND:

The Pratt & Whitney Associate Professorship in Advanced Systems Engineering in the School of Engineering was established by an endowment from Raytheon Technologies Corporation (formerly known as United Technologies Corporation) on November 19, 2013, and subsequently amended on August 29, 2022, as part of a major investment at UConn to advanced systems engineering. This Professorship supports multiple Associate Professors who are nationally or internationally recognized researchers, scholars, and teachers, and who will have made significant contributions to the field of advanced systems engineering. This appointment will be effective August 23, 2023 through August 22, 2028.


The appointment of Dr. Liang Zhang follows the unanimous recommendations of Dean Kazem Kazerounian, the School of Engineering's Executive Council, and the Selection Committee of the Pratt & Whitney Institute for Advanced Systems Engineering (P&W-IASE).

Dr. Zhang received his Ph.D. from the University of Michigan, Ann Arbor in 2009. He joined UConn in 2009 as an Assistant Professor and was promoted to Associate Professor with tenure in 2018. Dr. Zhang is an accomplished researcher with more than 50 journal publications in the areas of smart manufacturing, advanced energy storage systems, and cyber-security of power systems. Dr. Zhang's work appears in high-impact journals, and he has a Google Scholar h-index of 28 and i10-index of 52. Dr. Zhang is the PI and Director of the Southern New England Industrial Assessment Center, funded by the Department of Energy, a \$3.37M center with partners like Eversource/Avangrid, the CT Department of Economic Community Development, the CT Department of Energy and Environmental

Protection, and the Connecticut Manufacturers' Collaborative. Since July 2022, Dr. Zhang's team has performed a total of 13 energy assessments (two in NY and 11 in CT), resulting in 700+ pages of technical assessment reports. Dr. Zhang has been the recipient of multiple prominent best paper awards from international organizations over the past 10 years. As an IASE citizen, Dr. Zhang served as the chair of the IASE-hosted Institute of Electrical and Electronics Engineers (IEEE) International Conference on Complex Systems Engineering, in Storrs, CT. Dr. Zhang's has served as a Co-PI in IASE collaborative research projects with the Department of Energy and the Air Force Research Lab (AFRL), among others. Dr. Zhang's hosted multiple IASE events with participants from state government (including CT Chief Manufacturing Officer, Paul Lavoie), local companies, leadership of industrial organizations, and representatives from utility providers. Dr. Zhang has participated in industrial outreach events at the Innovation Partnership Building (IPB) and outreach trips to promote collaboration opportunities between UConn School of Engineering and local manufacturers. Dr. Zhang is the coordinator for joint senior design projects with Systems Engineering of the IASE and the UConn Department of Electrical and Computer Engineering.

# **ATTACHMENT 31**

September 27, 2023

TO: Members of the Board of Trustees  
FROM: Dr. Radenka Maric   
RE: Naming Recommendation for the Nicole D. Gabelman CLAS '12 Bench

RECOMMENDATION:

That the Board of Trustees authorize the naming of the bench located outside of the W. B. Young Building the “Nicole D. Gabelman CLAS’12 Bench.”

BACKGROUND:

Nicole D. Gabelman served the Department of Plant Science and Landscape Architecture in the College of Agriculture, Health and Natural Resources for over fourteen years before losing her life in a tragic accident. The College has proposed to dedicate this bench in Nicole’s honor, recognizing her commitment and dedication to the University and the College both as a student and staff member. Nicole received her B.S. in Biological Science from the College of Liberal Arts and Sciences in 2012.

The Institutional Advancement Committee approved this recommendation on September 12, 2023.

# **ATTACHMENT 32**

## NAMED GIFT GUIDELINES

<b>Policy Owner:</b>	Advancement
<b>Category:</b>	Fundraising and Gift Acceptance
<b>Applies to:</b>	All staff
<b>Approved by:</b>	Board of Directors (Committee: Advancement), UConn Board of Trustees
<b>Effective Date:</b>	Approved by Foundation Board: June 9, 2023 Proposed to UConn Board of Trustees on [REDACTED]
<b>Contact:</b>	Senior Vice President for Advancement
<b>Official Website:</b>	
<b>Revision History:</b>	November 7, 2014 (Foundation); December 10, 2014 (BOT) October 12, 2012 (Foundation); September 27, 2012 (BOT) March 9, 2007 (Foundation); March 6, 2007 (BOT)

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The University of Connecticut, inclusive of the University of Connecticut Health Center, (“University”) and the UConn Foundation, Inc. (“Foundation”) have jointly developed the following revised Named Gift Guidelines concerning University naming opportunities and Foundation funds which replace and supersede the prior guidelines approved by the Trustees on December 10, 2014<sup>1</sup>. The University and Foundation will review these guidelines from time to time and may submit recommended changes to the University’s Board of Trustees, through its Institutional Advancement Committee (“IA Committee”), for review and approval.

### I. Procedures for Approving Naming Opportunities

1. The following procedures are established for approving naming opportunities in recognition of charitable contributions:
  - a. Deanships, Distinguished Chairs, Chairs, and Professorships. All new deanships, distinguished chairs, chairs and professorships must be established and filled in accordance with University policies. Such positions may be named in recognition of donors with the approval of the Board of Trustees, upon recommendation and following approval by the IA Committee, when sufficient funds have been provided through an outright gift or a written pledge to support a significant share of the position-holder’s salary, and/or research, teaching or related academic expenses. See Recommended Gift Minimums provided below.

Upon recommendation of the Foundation President and either the University Provost or the Executive Vice President for Health Affairs for the UConn Health Center, the University President will present to the IA Committee a proposal (“Naming Memo”) for naming a deanship, distinguished chair, chair or professorship. Naming Memos for positions will address: the purpose of the named position in light of the University’s academic mission,

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<sup>1</sup> Any documented proposal related to a naming opportunity discussed with a donor prior to the effective date will be honored at the prior naming minimum funding level if requested for a period not to exceed one year from the date of approval of these guidelines by the Board of Trustees.



source of funding of the contribution, and such other matters as may be relevant to the creation of the named position.

- b. Schools, Institutes, and Centers. All new schools, institutes and centers must be established and approved in accordance with University policies. Schools, Institutes and Centers may be named by approval of the Board of Trustees, upon recommendation and following approval by the IA Committee, when significant funds have been provided through an outright gift or a written pledge to support the operation of the School, Institute or Center. See Recommended Gift Minimums provided below. Upon recommendation by the Foundation President and either the University Provost, the Executive Vice President for Health Affairs at the UConn Health Center, or the University Athletic Director, the University President will present to the IA Committee a proposal (“Naming Memo”) for establishment of a named school, institute, or center.
  
- c. Facility<sup>2</sup>. A Facility may be named for the useful life of the Facility when funds have been provided through an outright gift or written pledge in an amount that is significant in consideration of the cost, size, utility and visibility of the Facility. Contributions may, but are not required to, support the construction or maintenance of the Facility. Generally, the naming of a Facility will not be permitted if funds are committed through a bequest or deferred gift instrument; however, exceptional circumstances may support such naming recognition where the donor has demonstrated a long history of supporting the University at significant levels and/or where the bequest or deferred gift is expected to be augmented by additional current outright giving from the donor. See Recommended Gift Minimums below. Any Facility naming must be approved by the Board of Trustees, upon recommendation and following approval by the IA Committee. Upon recommendation of the Foundation President and either the University Provost, the Executive Vice President for Health Affairs at the UConn Health Center, or the University Athletic Director, the University President will present to the IA Committee a proposal (“Naming Memo”) for establishment of such a named Facility.

The naming of all Facilities must be consistent with Article XV, section F of the University of Connecticut bylaws, which provides:

“Naming of Facilities - The act of naming or renaming a University facility for a person, family or organization confers one of the University’s highest and most conspicuous honors. The President is authorized to establish guidelines and procedures in accordance with this policy for naming University facilities. The naming of any facility must be approved by the University of Connecticut Board of Trustees upon recommendation of the University President.”

When a Facility ceases to exist, the University will make reasonable efforts to continue to recognize the donor in an appropriate way; however, the University will not be obligated to

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<sup>2</sup> “Facility” for purposes of these guidelines mean any section of campus, proposed or existing building or section thereof, outdoor space, (such as a garden, courtyard or plaza) and other tangible and relatively permanent feature located on University property.

transfer recognition to another Facility. See the University's *Policy Regarding Demolition of Named Structures and Use Changes of Named Campus Areas* for additional information.

On occasion it may be appropriate for the Foundation to obtain pre-approval of multiple naming opportunities for a Facility construction or renovation project such as a: new building, new building wing, renovated building, renovation of a section of a building, or similar such project. The Foundation, after consultation with the school, college or unit engaged in the Facility project, may present to the IA Committee for approval a list of naming opportunities and associated gift values using a formula that takes into account the cost of a new project or the value of an existing Facility, plus the size, utility and visibility of the space to be named. The Foundation may rely on such pre-approved naming opportunities in soliciting gifts provided, however, it is understood that specific names for each space must still be presented for approval by the appropriate authority on an individual basis as described in these guidelines.

d. All other naming opportunities. All other naming opportunities may be named with the approval of the University Provost, the Executive Vice President for Health Affairs at the UConn Health Center, or the University Athletic Director upon recommendation of the head of the relevant college, school or unit. Examples include, but are not limited to, scholarship, program and research funds.

## **II. Removal of Naming Opportunities**

The University may remove a name from any Deanship, Distinguished Chair, Chair, Professorship, School, Institute, Center, Facility, or other naming opportunity upon the failure of a financial commitment to be satisfied by a donor. The University, at its sole discretion, also reserves the right to withdraw a name on any Deanship, Distinguished Chair, Chair, Professorship, School, Institute, Center, Facility or other naming opportunity under extraordinary circumstances when, based on information unavailable at the time of the naming or that arises thereafter, the continued use of the name would compromise the public trust or reflect adversely upon the University.

## **III. Documenting Naming Opportunities**

The following procedures are established for documenting University approval of the terms of named funds and accounts administered and held by the Foundation. All such funds and accounts must be established subject to the approval of any relevant naming opportunities, as specifically set forth above. The Foundation's Stewardship Department should be notified upon completion of documenting all naming opportunities.

### **1. Named Non-endowed Funds**

Named non-endowed funds must be documented through a memorandum of understanding or other appropriate document that provides the terms of the fund and is approved by (i) the donor; (ii) the Foundation President or his or her designee; (iii) the University Provost, Executive Vice President for Health Affairs, or the University Athletic Director; and (iv) the head of the relevant college, school or unit who will have signatory authority over the fund.

2. Named Endowed Funds

Named endowed funds must be documented in an endowment agreement or other appropriate document that provides the terms of the fund and is approved by the (i) donor; (ii) the Foundation President or his or her designee; (iii) the University Provost, Executive Vice President for Health Affairs, or the University Athletic Director; and, (iv) the head of the relevant college, school or unit who will have signatory authority over the fund.

**IV. Recommended Gift Minimums**

The following recommended gift minimums are provided for naming opportunities. A school, college, or unit may request an exception to these minimums based on the facts and circumstances of a particular gift. All such exceptions must be made in a manner that is consistent with the procedures for approval of naming opportunities set forth above.

Recommended gift minimums for endowed naming opportunities are expected to be fully funded during a period not to exceed five (5) years. However, in certain instances, with the approval of the authorities identified in Section I above, a naming opportunity may be approved based on the expectation of the endowment commitment being fully funded in a reasonable timeframe that may extend beyond the typical five-year period. For example, consideration may be given to the immediate activation of an endowed scholarship, program, or faculty position if an expendable, multi-year, irrevocable commitment is made by the donor through the first year the endowment is fully funded, which is equivalent to the spending allocation amount expected each year from the fully funded endowment. Please review the Foundation’s *Policy on Expenditures from Endowed Funds* for additional information on endowment spending allocations and endowment fund administration.

Recommended gift minimums for non-endowed naming opportunities are expected to provide an annual amount of support equal to the annual spending allocation amount an endowed fund provides for the same naming opportunity. Named non-endowed funds are expected to be maintained for a period of three to five years.

School/College Support	Endowment Minimum	Non-endowed Minimum
In order to name a school or college, a donor must provide a truly transformative gift in the form of an endowed fund to support that school or college.	Required amounts to be determined by the Board in consideration of prevailing peer/market minimums.	

Faculty Support	Endowment Minimum	Non-endowed Minimum (Annual)
Deanship	\$5,000,000	N/A
Distinguished Chair	\$4,000,000	\$160,000
Chair	\$3,000,000	\$120,000
Professorship	\$1,500,000	\$60,000
Associate Professorship	\$750,000	\$30,000
Program Directorship	\$500,000	\$20,000
Faculty In-Residence	\$250,000	\$10,000
Faculty Fellowship	\$200,000	\$8,000

Student and Program Support	Endowment Minimum <sup>3</sup>	Non-endowed Minimum (Annual)
Husky Pride Athletic Scholarship	\$125,000	\$5,000
Undergraduate Scholarship (Other Restrictions)	\$100,000	\$4,000
Graduate Fellowship (Other Restrictions)	\$100,000	\$4,000
Undergraduate scholarship (Priority Restrictions)	\$50,000	\$2,000
Graduate Fellowship (Priority Restrictions)	\$50,000	\$2,000
Academic Enhancement Funds supporting programs, conferences, lectures, etc.	\$50,000	\$2,000

Permitted “Priority Restrictions” include (i) merit and/or financial need, (ii) school, college, department, program and/or qualified academic major, or in the context of graduate students an equivalent program of study or area of curricular interest, and (iii) Connecticut state residency requirements.

“Other Restrictions” include all other legally permitted scholarship selection criteria (e.g., specific grade point average, specific CT town residency, specific non-CT state residency, employment, volunteer or extracurricular activities, non-varsity sports).

Athletics	Endowment Min.	Non-endowed Min. (Annual)
Athletic Directorship	\$ 5,000,000	\$ 200,000
Head Coach (Football, Basketball)	\$ 4,000,000	\$ 160,000
Head Coach (all other teams)	\$ 1,000,000	\$ 40,000
Assistant Coach Basketball, Football	\$ 750,000	\$ 30,000
Assistant Coach (all other teams)	\$ 500,000	\$20,000

<sup>3</sup> Graduate Fellowship (Priority Restrictions), Undergraduate Scholarships (Priority Restrictions), and Academic Enhancement Funds may be endowed at a minimum level of \$25,000 (or established as non-endowed at a minimum level of \$2,000) for funds established by, in honor of, or in memory of University faculty or staff.

Facility Type	Suggested Gift Minimum (gifts may be designated as endowed or non-endowed as appropriate based on needs of University)
Lecture Hall	\$500,000 – 1,000,000
Dean’s Suite	\$250,000 – 500,000
Seminar Room	\$250,000 – 500,000
Conference Room (Departmental/Large)	\$100,000 – 250,000
Library Reading Room	\$100,000 – 250,000
Research Lab	\$100,000 – 250,000
Faculty Lounge	\$50,000
Conference Room (Small/Multi-use)	\$25,000
Patient Room	\$25,000
Student Study Lounge	\$ 25,000 – 50,000
Graduate Office	\$ 15,000
Study Carrel	\$ 5,000

Common facility naming options are itemized above, with suggested minimum gift amounts noted. When approving gift minimums to name the listed Facilities (or others not listed) and/or granting exceptions, consideration should be given to, without limitation, the comparative funding requirements of peer institutions; the public visibility of the naming opportunity; the cost, size, prominence, location, usage and aesthetic quality of facilities; and other relevant factors. For additional guidance see Exhibit A.

Exhibit A

In considering whether the naming of a Facility is appropriate recognition for a contribution the following formula is provided as guidance. Individual circumstances may vary.

$$\text{Minimum Suggested Gift} = \text{Facility Size (sq. ft.)} \times \text{Percentage of the Cost Per Square Foot} \times \text{Utility Factor} \times \text{Location Factor}$$

Square Feet — The physical size of the space (e.g., square footage of the entire building, square footage of the room).

Percentage of the Cost Per Square Foot — The cost of construction of the physical space. (e.g., for a “new” building less than 10 years old cost is the actual construction cost multiplied by 50%, for an “older” building more than 10 years use replacement cost multiplied by 25%).

Utility Factor— Answers the question: how widely useful (or flexible) is a space? Spaces such as entire buildings, auditoriums, and lecture halls should have a utility factor of 1.0. Moderately useful/flexible spaces such as conference rooms and small classrooms should have a utility factor of .75. Less useful/flexible spaces such as faculty offices or staff conference rooms should have a utility factor of .5.

Location Factor—Answers the question: how prominent/visible is a space? Spaces such as high-traffic buildings, classrooms on a ground floor should have a location factor of 1.0. Spaces such as less prominent buildings, classrooms on upper floors should have a location factor of .75. Low-traffic rooms on upper floors should have a location factor of .5.

Two examples of the formula using the West Classroom Building on the Storrs Campus - \$42M Project completed in 2011 (67,000 square foot building):

Lecture Hall #101 (located on ground floor)

$$\begin{array}{l} \text{Size} \quad \times \quad \text{Cost Per Square Foot} \times 50\% \quad \times \quad \text{Utility Factor} \quad \times \quad \text{Location Factor} = \text{Min. Suggested Gift} \\ 2,833\text{sq}' \times \quad \$627/\text{sq}' \times .50 \quad \quad \quad \times \quad 1.0 \quad \quad \quad \times \quad 1.0 \quad \quad \quad = \quad \$ 888,145 \end{array}$$

Classroom #201 (located on 2<sup>nd</sup> floor)

$$\begin{array}{l} \text{Size} \quad \times \quad \text{Cost Per Square Foot} \times 50\% \quad \times \quad \text{Utility Factor} \quad \times \quad \text{Location Factor} = \text{Min. Suggested Gift} \\ 1,339\text{sq}' \times \quad \$627/\text{sq}' \times .50 \quad \quad \quad \times \quad .75 \quad \quad \quad \times \quad .75 \quad \quad \quad = \quad \$ 236,124 \end{array}$$


# **ATTACHMENT 33**

# UConn

## STUDENT LIFE AND ENROLLMENT

September 27, 2023

TO: Members of the Board of Trustees

FROM: Nathan Fuerst   
Vice President for Student Life & Enrollment

RE: Student Life Committee Membership

### RECOMMENDATION:

That the Board of Trustees appoint undergraduate students Lorien Touponse and Makenzie Robinson from September 27, 2023, to June 30, 2024, to the Student Life Committee of the Board of Trustees.

### BACKGROUND:

In accordance with Article V.F.3. of the *By-Laws*, upon the recommendation of the Undergraduate Student Government (USG), the Board shall appoint two undergraduate students as voting members to the standing committee having cognizance over student life matters.

The Undergraduate Student Government has recommended undergraduate students Makenzie Robinson and Lorien Touponse for appointment to the Student Life Committee.

Makenzie Robinson is a junior pursuing a degree in Political Science with minors in Africana Studies and Communications. On the Undergraduate Student Senate, Mackenzie has served on the USG Diversity and Outreach Commission and the Justice, Equity, Diversity, and Inclusion Committee. Last year, Mackenzie served as the Student Development Director, and has transitioned this year to Student Services. Outside of the Senate, Mackenzie is Husky Insider, Tour Guide, and Husky Ambassador at the Lodewick Visitors Center.

Lorien Touponse is a junior pursuing a degree in English with a minor in Women's, Gender, and Sexuality Studies, and she is in the Special Program in Law. Lorien is the Director of Student Services in the Undergraduate Student Government.

These appointments shall be from September 27, 2023, through June 30, 2024.



# **ATTACHMENT 34**



**Bruce T. Liang, MD**  
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

September 27, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD  
*Bruce T. Liang*  
Interim Executive Vice President for Health Affairs  
Dean, School of Medicine

RE: Annual Reports of Endowed Chairs

**RECOMMENDATION:**

That the University of Connecticut Board of Trustees approve the annual reports for the following Endowed Chairs for the period of July 1, 2022 to June 30, 2023

1. Infectious Diseases
2. Human Genetics
3. Transfusion Medicine

**BACKGROUND:**

The three endowed chairs referenced above were established during the Fiscal Year 1988 to 1989 as authorized by CGS 10a – 20a. Subsection (f) of the statute states the “Board of Trustees shall submit annual reports to the Board of Governors concerning their expenditures.” The reports presented today are for the period of July 1, 2022 to June 30, 2023.

**State of Connecticut Board of  
Governors for Higher Education  
Chair in Infectious Diseases**

**Kevin Dieckhaus, MD**

dieckhaus@uchc.edu



## **THANK YOU MESSAGE**

I would like to express my gratitude to the donors that support the endowed chair. The endowment provides material support for ongoing educational activities of infectious disease fellows, students, and residents pursuing research and interests in the field of infectious diseases. The chair also supports ongoing development activities to increase infectious disease related opportunities for learners at UConn.

## **RESEARCH AND TEACHING**

This chair supports the development of trainees interested in infectious diseases at all levels of medical training. Specifically, it facilitates the onsite tropical medicine training provided to University Connecticut trainees in Southwestern Uganda. This experience promotes the acquisition of direct medical skills as well as valuable cross cultural and integrative skills important for any physician practicing in a multiethnic world. In the past academic year, a total of 9 resident physicians traveled to Uganda for a 4-week experience each. With the easing of the pandemic, the chair is helping to support discussions to expand opportunities for collaborative research as well as immersive opportunities for learners in additional locations including Columbia, Sri Lanka, and Vietnam.

Collaboration with Storrs investigators have focused on CRISPR-based technology for respiratory disease diagnostics, resulting in two published papers and a third manuscript submission emanating from this collaboration thus far.

## **PUBLICATIONS, CONFERENCES, AND AWARDS**

The chair supported the UConn Global Health symposium series (April 2023) where the theme “The Price of life” was explored. This symposium at UConn health integrated with a related symposium at UConn Storrs, as well as Connecticut Children’s, and brought in a wide variety of speakers both nationally and internationally.

Cross-campus collaborations facilitated by this chair have led to two published manuscripts, with a third submitted.

## **SERVICE AND PUBLIC ENGAGEMENT**

Related to infectious diseases in the global setting, programs have fostered ongoing and developing relationships between collaborators in the global north and south. One specific focus has been to foster linkages between researchers at international sites within low and middle income countries with potential collaborators within the UConn system through numerous web-based formats. Over the past 12 months, discussions have advanced for locations including Colombia, Sri Lanka, Uganda and Vietnam. Formal memorandum of understandings for ongoing cooperation have been in place for the Uganda site as well as the University of Peradeniya in Sri Lanka.

## ACADEMIC PROGRAMS AND FACULTY DEVELOPMENT

Programs have fostered developing partnerships between collaborators at UConn with those in the global south. One specific focus has been to foster linkages between researchers at international sites within low and middle income countries with potential collaborators within the UConn system through numerous web-based formats.

## LOOKING AHEAD

We plan to continue to advance many of the initiatives already in process. This includes additional studies of CRISPR-based infectious diseases diagnostic and pursuing ongoing international collaborations in research, education, and clinical care. With the easing of the COVID-19 pandemic and travel restrictions, a renewed focus on learner participation in immersive global health experiences will resume. A seminar series is planned between the University of Connecticut and the University of Peradeniya in Sri Lanka to strengthen educational and research ties, with a site visit planned for autumn 2023.

UConn Health  
 Endowed Chair in Infectious Diseases/AIDS Research  
 631129-10141-10

	FY16	FY17	FY18	FY19	FY20	FY21	FY22	FY23
<b>Beginning Cash Balance</b>	<b>\$36,703.75</b>	<b>\$29,724.75</b>	<b>\$31,410.28</b>	<b>\$40,387.28</b>	<b>\$55,364.16</b>	<b>\$67,944.17</b>	<b>\$71,169.17</b>	<b>\$71,169.09</b>
<b>Receipts :</b>								
Interest Transferred from DHE:		3,327.03	13,237.81	17,433.88	26,250.38	5,887.00	2,161.82	19,330.15
<b>Total Receipts</b>	<b>-</b>	<b>3,327.03</b>	<b>13,237.81</b>	<b>17,433.88</b>	<b>26,250.38</b>	<b>5,887.00</b>	<b>2,161.82</b>	<b>19,330.15</b>
<b>Expenditures :</b>								
Salaries and Wages								
Fringe Benefits								
Purchased Services	6,979.00			285.00	3,919.98	2,047.00	1,547.55	5,928.27
Supplies				1,527.00	4,242.47	615.00		199.98
Equipment		1,641.50	4,260.81	645.00	5,507.92			
Change in accruals							614.35	
<b>Total Expenditures</b>	<b>6,979.00</b>	<b>1,641.50</b>	<b>4,260.81</b>	<b>2,457.00</b>	<b>13,670.37</b>	<b>2,662.00</b>	<b>2,161.90</b>	<b>6,128.25</b>
<b>Ending Cash Spendable Balance</b>	<b>\$29,724.75</b>	<b>\$31,410.28</b>	<b>\$40,387.28</b>	<b>\$55,364.16</b>	<b>\$67,944.17</b>	<b>\$71,169.17</b>	<b>\$71,169.09</b>	<b>\$84,370.99</b>

**Health Net, Inc. Chair in Human Genetics**

**David W. Rowe, MD**

drowe@uchc.edu



## THANK YOU MESSAGE

The prior years of Genetics Chair support to acquire and operate advanced microscope imaging systems has placed our Skeletal Center in an international leadership position for implementing high throughput imaging and computer analysis of skeletal tissues. This year it has allowed me to make two international trips to demonstrate how these new computer intense techniques will provide a pathway for the skeletal tissue discipline to participate in the new era of computer driven biological research.

## RESEARCH AND TEACHING

This technology enabled us to participate with the international HuBMAP consortium for spatial mapping individual cells in human joint cartilage. We are implementing two new cell mapping methods (CODEX and MERFISH) based on the latest advances in distinguishing cells within a tissue (single cell RNA composition). The methods will uncover how individual cells interact with each other in a manner analogous to "it takes a village" to make our joint cartilage support painless ambulation.

## PUBLICATIONS, CONFERENCES, AND AWARDS

My research group has continued to be successful in obtaining NIH research funding for our skeletal studies. We were recognized by the senior faculty of the School of Dental Medicine with the annual Outstanding Research Award. I have been invited to Israel and Korea to make major presentations based on the technologies we are developing.

## SERVICE AND PUBLIC ENGAGEMENT

In August 2022, my senior technician (Ms. Li Chen) and I traveled to Tel Aviv, Israel to lead a 3-day hands-on workshop for our histological methods. Then in May 2023, I was invited to give the plenary presentation at the Korean Bone Society on the topic of preparing for the big data environment that is now required for basic biological skeletal research. In both cases, it demonstrated that our approach is reaching an international audience.

## ACADEMIC PROGRAMS AND FACULTY DEVELOPMENT

The fluorescence imaging core utilizes the capabilities of the instrumentation obtained with the help of the chair funds. We have implemented new imaging applications for specific research faculty from Farmington, Storrs, Jackson Laboratory, and even outside laboratories. Work done for two different commercial enterprises will have platform presentations at their respective scientific societies.

## LOOKING AHEAD

I want to develop new educational technology programs for student and faculty computer scientists at Storrs and our imaging centers at UCHC to enhance the computer interpretation of visual data.

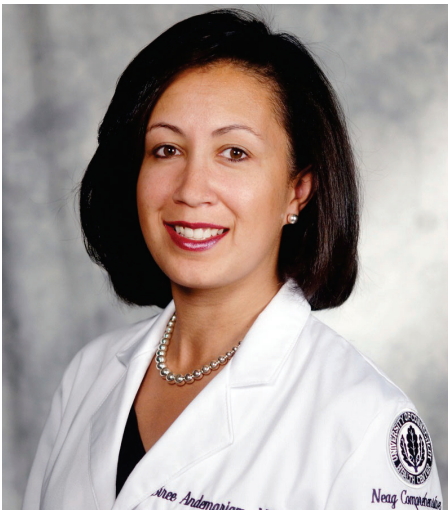
UConn Health  
 Endowed Chair in Human Genetics  
 300041-10600-10

	FY16	FY17	FY18	FY19	FY20	FY21	FY22	FY23
<b>Beginning Cash Balance</b>	<b>\$277,187.31</b>	<b>\$186,903.31</b>	<b>\$172,657.41</b>	<b>\$174,872.63</b>	<b>\$231,368.95</b>	<b>\$252,898.25</b>	<b>\$168,110.45</b>	<b>\$93,768.59</b>
<b>Receipts :</b>								
Interest Transferred from DHE:		5,992.10	5,581.85	8,968.00	18,720.97		1,577.13	14,101.91
Interest Transferred from UCONN Foundation:	78,968.00	74,710.00	79,254.86	79,455.00	74,454.25	79,521.78	84,668.98	86,692.02
<b>Total Receipts</b>	<b>78,968.00</b>	<b>80,702.10</b>	<b>84,836.71</b>	<b>88,423.00</b>	<b>93,175.22</b>	<b>79,521.78</b>	<b>86,246.11</b>	<b>100,793.93</b>
<b>Expenditures :</b>								
Salaries and Wages	88,817.00	49,024.00	18,122.14	5,140.00	438.88	17,941.00	87,612.66	17,559.36
Fringe Benefits	37,155.00	26,287.00	47,749.40	3,019.00	429.75	1,383.00	15,567.41	2,676.73
Purchased Services	42,045.00	19,637.00	16,749.95	1,993.00	48,490.60	61,721.33	63,030.54	54,458.18
Supplies				1,895.68		8,854.00	(5,722.14)	13,023.40
Equipment	1,235.00			19,879.00	22,286.69	68,963.67	99.50	1,419.50
Change in accruals						5,446.58	-	
<b>Total Expenditures</b>	<b>169,252.00</b>	<b>94,948.00</b>	<b>82,621.49</b>	<b>31,926.68</b>	<b>71,645.92</b>	<b>164,309.58</b>	<b>160,587.97</b>	<b>89,137.17</b>
<b>Ending Cash Spendable Balance</b>	<b>\$186,903.31</b>	<b>\$172,657.41</b>	<b>\$174,872.63</b>	<b>\$231,368.95</b>	<b>\$252,898.25</b>	<b>\$168,110.45</b>	<b>\$93,768.59</b>	<b>\$105,425.35</b>

**Health Net, Inc. - American Red  
Cross Chair in Transfusion Medicine**

**Biree Andemariam, MD**

andemariam@uchc.edu



## THANK YOU MESSAGE

I am deeply indebted to my donor. Being granted this endowed chair this academic year has been such an enormous honor and I remain humbled to have been selected. Hematology, and specifically transfusion medicine, is a field of medicine that is dwindling in numbers of experts despite very large clinical demand and research needs. On a more granular level, here at the UConn School of Medicine, my sickle cell and thalassemia programs account for 50% of the blood transfusions given on an annual basis. These transfusions are life saving and there has been little advance in either of these conditions toward reducing the ongoing transfusion burden. In sickle cell disease in particular, there is widespread use of unnecessary blood transfusions by physicians who are unfamiliar with managing the patients' steady-state anemia and do not know that avoiding transfusions except in clearly defined clinical circumstances is the standard of care. These unnecessary transfusions lead to a number of potential complications including red blood cell allo-immunization, iron overload and delayed hemolytic transfusion reactions. Moreover, such practice puts increased burden on the overall blood supply for the larger population. One transfusion that unnecessarily went to someone with sickle cell disease who didn't need it could have been used for another patient who desperately does. Having received the endowment has motivated me to focus my efforts toward (1) educating providers on when and when not to transfuse patients, (2) increasing the overall donor pool, and (3) identifying alternatives to blood transfusion for both sickle cell disease and thalassemia.

## RESEARCH AND TEACHING

The endowed chair has enhanced my research in multiple ways. It has given me even greater stature both within and outside the University that tells current and potential research collaborators that my institution finds me incredibly worthy of such an honor. It gives me protected time to conduct independent investigator-initiated research and develop additional testable research hypotheses. It also gives me the opportunity to enhance education around proper use of blood transfusions in sickle cell disease and to engage in community-directed efforts to expand the blood donor pool.

## PUBLICATIONS, CONFERENCES, AND AWARDS

In October of 2022, I received the 2022 Sickle Cell Disease Association of America Chairman's Award. This is a national award and recognizes my commitment to the sickle cell disease community. I was invited in 2022 to be amongst a select group of experts to author the Lancet Haematology commission guidelines on sickle cell disease. This will be published in 2023 and has been a huge honor for me. The commissioned guidelines will set the global priorities for sickle cell disease and will be disseminated all over the world.

I am an appointed member of the U.S. Health and Human Services Advisory Committee on Blood and Tissue Safety and Availability. In this role, I serve on the committee that advises the federal government on all concerns related to blood availability and general safety. As such, I play a critical role at the national level on ensuring that every American who needs blood can access it and rest assured that it is safe.



## SERVICE AND PUBLIC ENGAGEMENT

In my role as a member of the U.S. Health and Human Services Advisory Committee on Blood and Tissue Safety and Availability we moved policy to expand organ donation by adjusting the position related to HIV positive donor organ use. This was groundbreaking work.

Locally, I have worked with the American Red Cross to educate the African American community on the importance of blood donation. This culminated in a blood drive held on Juneteenth in Hartford that was geared toward recruiting African American donors. My work with sickle cell patients and educating the public on the transfusion needs of sickle cell patients (most of whom are African American) was particularly compelling. This was highlighted by several Connecticut news outlets on June 19th.

## LOOKING AHEAD

I want to expand efforts to partner with the American Red Cross to expand the numbers of African Americans who contribute to the blood donor pool. This is an area of great need as there is a disproportionate use of blood by African Americans in comparison to the availability of blood from African American donors. This is clinically important because blood is more likely to be compatible between individuals of the same racial/ethnic background and having this disparity in the donor pool can lead to lack of availability of matched blood for some African Americans. This is especially true for those with sickle cell disease.

UConn Health  
 Endowed Chair in Transfusion Medicine  
 300037-10149-10 (and 35021)

	FY17	FY18	FY19	FY20	FY21	FY22	FY23
<b>Beginning Cash Balance</b>	<b>\$423,141</b>	<b>\$425,555</b>	<b>\$429,380</b>	<b>\$438,097</b>	<b>\$450,927</b>	<b>\$410,633</b>	<b>\$300,935</b>
<b>Receipts :</b>							
Interest Transferred from UCONN Foundation:							\$25,289
Interest Transferred from DHE:	\$4,107	\$3,825	\$8,717	\$12,830	\$2,944	\$1,081	\$9,665
<b>Total Receipts</b>	<b>\$4,107</b>	<b>\$3,825</b>	<b>\$8,717</b>	<b>\$12,830</b>	<b>\$2,944</b>	<b>\$1,081</b>	<b>\$34,953</b>
<b>Expenditures :</b>							
Salaries and Wages					\$32,921	\$81,941	\$67,966
Fringe Benefits					\$10,317	\$28,838	\$22,599
Purchased Services	\$1,693						
Supplies							
Equipment							
Change in accruals							
<b>Total Expenditures</b>	<b>\$1,693</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$43,238</b>	<b>\$110,779</b>	<b>\$90,565</b>
<b>Ending Cash Spendable Balance</b>	<b>\$425,555</b>	<b>\$429,380</b>	<b>\$438,097</b>	<b>\$450,927</b>	<b>\$410,633</b>	<b>\$300,935</b>	<b>\$245,323</b>

# **ATTACHMENT 35**

September 27, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Proposal for the College of Engineering, School of Computing, and the School of Mechanical, Aerospace and Manufacturing Engineering

RECOMMENDATION:

That the Board of Trustees approve the renaming of the School of Engineering to the College of Engineering, the Department of Computer Science and Engineering to the School of Computing, and the Department of Mechanical Engineering to the School of Mechanical, Aerospace and Manufacturing Engineering.

BACKGROUND:

The School of Engineering was established at UConn in 1940 and has grown significantly in enrollment, program offerings and research impact. At present, seven departments comprise the School of Engineering, including Biomedical Engineering; Chemical and Biomolecular Engineering; Civil and Environmental Engineering; Electrical and Computer Engineering; Computer Science and Engineering; Mechanical Engineering; and Materials Science and Engineering. The School is also home to over twenty-five centers and institutes.

As of the 2022-2023 academic year, the School of Engineering has 3,622 undergraduate students, 900 graduate students, 600+ professional education enrollments, \$68 million in total research expenditures, 147 tenured and tenure-track faculty and 35 teaching faculty, 61 deanery and academic staff, and 29 research support staff.

The proposed change is a result of the School of Engineering's rapid growth and its impact on developing Connecticut's workforce. In Connecticut, UConn Engineering graduates constitute 65% of the current engineering workforce. The demand for engineers in Connecticut continues to grow. Elevating the School of Engineering to the College of Engineering will enhance UConn Engineering's external profile, streamline operations internally, and allow for future growth. Many peer institutions follow a similar model, and UConn's Engineering programs are in line with other colleges at the University including the College of Agriculture, Health, and Natural Resources as well as the College of Liberal Arts and Sciences.

This renaming will not require any additional resources. The School of Computing and School of Mechanical, Aerospace and Manufacturing Engineering will be overseen by Directors, who will report to the Dean of the College of Engineering. The Schools will function in a manner equivalent to a department within the College, and the School Directors will be hired and evaluated the same way that department heads are hired and evaluated.

## **UConn College of Engineering Proposal**

The University of Connecticut School of Engineering proposes to transition the UConn School of Engineering into the ***UConn College of Engineering***. In so doing, we also propose to:

1. Transition the Computer Science and Engineering department to the School of Computing
2. Transition the Mechanical Engineering Department to the School of Mechanical, Aerospace and Manufacturing Engineering

The transition to the College of Engineering and the corresponding changes above do not require additional resources from the university. This proposal is a request for approval, goodwill support and advocacy, without added expenses to the university or the school.

### **Improving Economic Development and Technology Workforce in Connecticut: The Role of UConn Engineering**

Connecticut is renowned for its thriving industries, including aerospace, advanced manufacturing, insurance, and financial services. These sectors are home to innovative, globally leading companies. Additionally, the state ranks among the top 10 in research with commercial potential, particularly in the fields of bioscience and clean energy. To further enhance our economic growth and attract a larger talent pool, we must focus on expanding education and training pathways, providing industry-aligned training and internships, and encouraging the retention of college graduates within the state.

One promising approach is to expand and align community college pathways, offering students more opportunities to pursue their desired career paths. By developing apprenticeship programs, we can bridge the gap between theoretical knowledge and practical skills, allowing students to gain valuable industry experience. Encouraging a greater number of students to focus on computer science and manufacturing will also contribute to the growth of these industries.

Upskilling efforts are crucial in enabling entry-level workers to acquire more advanced skills while they are employed. By providing opportunities for continuous learning and development, we can empower workers to contribute to the state's economy in more significant ways.

Engineering education serves as a catalyst for social mobility and plays a vital role in Connecticut's economy. Over 52% of the state's engineers are graduates of UConn, demonstrating the institution's impact. The current demand for engineers exceeds 1,000 annually, emphasizing the need for further investment in engineering education.

In the 21st century, climate and sustainability are the defining issues that will shape the future of humanity and the planet. Connecticut has a rich tradition in manufacturing and clean energy, with the state being the birthplace of the first electric car in 1902. Given the significant presence of clean energy and manufacturing industries, engineering students must possess new knowledge and skills to address emerging challenges.

UConn Engineering is committed to fostering joint solutions for grand clean energy challenges with utility companies. By facilitating the exchange of ideas and expanding research capabilities, the university aims to drive scientific breakthroughs in the field. The United States has set a goal to deploy 30 gigawatts (GW) of offshore wind by 2030, powering 10 million homes and reducing 78 million metric tons of carbon emissions. In Southern New England, offshore wind is projected to exceed 17 GW by 2030, accounting for over 50% of the federal goal. However, the U.S. wind industry faces a shortage of

university graduates equipped with high-quality technical skills and experience in the offshore wind sector.

To address this shortfall, we must invest in the education and training of future engineers, equipping them with the necessary expertise to meet the growing demand in the offshore wind industry. By providing specialized programs and hands-on experience, we can cultivate a skilled workforce that will contribute to the success of this crucial sector.

Connecticut's thriving industries and research potential make it an attractive location for innovative companies. To ensure continued economic growth, we must expand education and training pathways, provide industry-aligned programs and internships, and retain college graduates within the state. By investing in engineering education and addressing the demand for technical skills in emerging fields like clean energy, we can drive sustainable development and position Connecticut as a leader in the 21st-century economy.

Gov. Ned Lamont and the Office of Workforce Strategy and his Workforce Council created the [2020 Workforce Strategic Plan](#). In it they detailed a section on career building and educating Connecticut's workforce. Research shows that by 2025, 70% of Connecticut jobs will require some form of postsecondary education or training. "To address this need, we will need to significantly strengthen the standards for partnership among educators, employers, and supporting players," the council writes (p. 17). The council focused on several solutions, milestones and measures that the UConn College of Engineering can address, such as:

- The workforce office and council will support resources for colleges and universities to expand K-12 outreach programs and increase enrollment in college-level data science and computer science programs (p. 22). The School of Computing and the School of Engineering Education, Experiential Learning and Student Success can address needs in K-12 engineering education, especially relevant to data and computer sciences.
- The workforce office and council will work with educators, workforce development boards, and other partners to better align training programs with industry needs through redesigning curricula, incorporating work-based learning, and increasing the number of short-term certificate programs. These high-growth, high-demand industry sectors in Connecticut include advanced manufacturing, information technology, healthcare and life sciences (p. 18). The Center for Engineering Advancement will focus on career advancement, workforce development and other work-based learning strategies.
- Produce more life sciences/STEM graduates with the relevant applied skills and experiential learning needed for the life sciences workforce (p. 45). To meet the demand for graduates with applied skills and experiential learning, UConn's School of Engineering Education, Experiential Learning, and Student Success, in conjunction with the entire College of Engineering, should focus on producing graduates who possess hands-on experience and relevant skills sought after in the life sciences workforce.

Elevating UConn Engineering from a school to a college will enhance our profile externally, streamline operations internally, and allow for future growth. Many of our peer institutions and partners are already organized as Colleges, for example University of Michigan, Carnegie Mellon University, University of Wisconsin-Madison, UMass Amherst, University of Arizona, Georgia Tech, Penn State, Purdue, and numerous others. Externally, meeting our peers on more equal footing as a College will enhance the UConn Engineering brand and elevate the stature of our existing departments and programs. Operationally, centralizing certain functions within the structure of a college will promote specialization and excellence while also facilitating resiliency within the organization. Creation of a platform for future

growth across the full breadth of our educational, research, and engagement missions requires a more elastic and efficient organization that is aligned with a *college* structure.

### **College vs. School (within the context of a university)**

Typically, a college includes a greater variety of subsidiary units that encompass a broader range of disciplines as compared to a school. The constituent units of a College may themselves be quite diverse in their form, size, and function. Colleges are typically larger in size (e.g., number of students, number of faculty and staff, quantity of resources for research, and magnitude of impact). As a result of all of these factors, leadership by a college dean versus a school dean requires greater subtlety and flexibility to effectively lead the more diverse group toward its unified mission.

### **History and Background**

From a modest beginning supporting agriculture, UConn Engineering has evolved into a superb program distinguished by an outstanding faculty, state-of-the-art facilities—with more on the way—and the most academically accomplished students in UConn history. In 1901, the renamed Connecticut Agricultural College established a two-year course in mechanical arts. In 1916, the College expanded its mechanical engineering program to a four-year curriculum taught by two instructors and culminating in a B.S. degree. In 1920, the Division of Mechanical Engineering moved into its own dedicated Mechanic Arts Building, and the College graduated its first engineering student. In 1935, the College expanded its program to include civil, electrical and mechanical engineering offered within the Division of Engineering. The University of Connecticut School of Engineering was established as a separate school with its own faculty and curricula in 1940, one year after UConn was officially named, and the first five engineering students graduated. The school was launched with three departments: Civil, Mechanical, and Electrical Engineering. In the 80+ years since, society’s need for qualified, technical, and forever curious engineers has only increased, and our school has continued to grow and adapt to meet those demands. In particular, since 2010, the School of Engineering has been on a steep incline of steady growth. Since the onset of the “Next Generation” state initiative, our enrollment has grown over 83 percent. As of the 2022-2023 academic year we have 3,622 undergraduate students, 900 graduate students, 600+ professional education enrollments, seven departments, 27 centers and institutes, \$68 million in total research expenditures, 147 T/TT and 35 teaching faculty, 61 deanery and academic staff, and 29 research support staff (who are mostly supported by soft funds). Many of our centers and institutes collaborate with other schools and colleges. In recent years, we have expanded those partnerships to include shared majors and dual degrees with the College of Liberal Arts and Sciences, the Neag School of Education, The School of Fine Arts, and the Gladstein Family Human Rights Institute, among others.

Within the context of a modern land-grant university, the School of Engineering drives societal benefits including economic growth through innovation and entrepreneurship, workforce development, and outreach and engagement with the broader community. The School of Engineering accomplishes these outcomes by providing a high-quality, high-value engineering education and by conducting cutting-edge basic, applied, and engaged scholarship in partnership with industry, government agencies, and non-profit organizations. The purpose of our educational, research, and engagement work is to apply engineering expertise to address pressing societal challenges. For example, UConn Engineers are working to help stakeholders mitigate and adapt to climate change through innovations in energy, infrastructure, and transportation, among others.

*By transitioning from the UConn School of Engineering into the UConn College of Engineering, we seek to convert nearly a century of education, research, and outreach on behalf of the people of Connecticut and beyond into the stable foundation for future growth to meet the challenges of the next century.*



### Current Size & Scope of UConn Engineering

UConn Engineering is already comparable in size, complexity, and impact to other UConn colleges. As illustrated in Table 1, the School of Engineering's 2022 enrollment and faculty size fall within the range from CAHNR to CLAS. Once reorganized as a college, Engineering will be comprised of six departments and three schools, similar in number to the eight current departments of CAHNR. Most importantly, by adopting a college structure we aim to better embrace our broadening teaching, research, and engagement mission as exemplified by UConn's two other Colleges.

Table 1 The School of Engineering vs. UConn peers currently structured as Colleges.

2022 Data					
College	UG Enrollment	Research Expenditures	Faculty	Staff	Academic Depts. & Schools
CLAS	9725	\$57M	784	250	25
Engr. (SOE)	3305	\$68M	182	90	7 (10 as proposed)
CAHNR	2058	\$37M	155	100	8

Current Departments: Biomedical Engineering; Chemical and Biomolecular Engineering; Civil and Environmental Engineering; Electrical and Computer Engineering; Computer Science and Engineering; Mechanical Engineering; Materials Science and Engineering.

Current Centers and Institutes:

- Bioengineering and Biomedical Engineering Center (BioME); waiting on approval
- Center for Clean Energy Engineering (C2E2)
- Center for Materials Processing Data (CMPD)
- Center for Science of Heterogeneous Additive Printing of 3D Materials (SHAP3D)
- Collins Aerospace Systems Center for Advanced Materials
- Connecticut Advanced Computing Center (C3)
  - Comcast Center of Excellence for Security Innovation
  - Center for Hardware and Embedded Systems Security and Trust (CHEST)
  - Synchrony Financial Center of Excellence in Cybersecurity
  - VoTeR: Center for Voting Technology Research
- Connecticut Center for Applied Separations Technology (CCAST)
- Connecticut Transportation Institute (CTI)
  - Connecticut Advanced Pavement Lab (CAP Lab)
  - Connecticut Training and Technical Assistance Center
  - Connecticut Transportation Safety Research Center (CTSRC)
- Enterprise Solution Center
  - Connecticut Manufacturing Simulation Center (CMSC)
  - Quiet Corner Innovation Cluster (QCIC)
  - Proof of Concept Center (POCC)
  - Connecticut Manufacturing Resource Center (CMRC)
- Eversource Energy Center (EEC) – a university wide center in collaboration with School of Business and College of Allied Health and Natural Resources
- IN-siTU/Operando Electron Microscopy (InToEM)
- National Institute for Undersea Vehicle Technology (NIUVT)

- Nursing and Engineering Innovation Institute (NursEng) – in collaboration with the School of Nursing
- Pratt & Whitney Additive Manufacturing Innovation Center
- Pratt & Whitney Institute for Advanced Systems Engineering
- Project Daedalus Air Force Research Laboratory
- Research in Advanced Manufacturing (AFRL-RAM)
- Reverse Engineering Fabrication Inspection & Non-Destructive Evaluation (REFINE)
- UConn Thermo Fisher Scientific Center for Advanced Microscopy and Materials Analysis (CAMMA) – Under IMS management

### Proposed College of Engineering Structure

There will be two principal changes to UConn Engineering’s organizational structure as it transitions from a School to College:

#### 1. School of Computing

Under the College of Engineering, the newly transitioned School of Computing will accommodate the rising growth of the Computer Science and Engineering department. The School of Computing will also support the university-wide Master of Science in data sciences, and include new degree programs such as cybersecurity engineering and engineering data sciences. The apex of applied computing will be computer science and data science, which will be supported by other suitable applied computing disciplines within the School. The School will measure success by its impact on local workforce development, economic, and societal outcomes, especially in regard to cybersecurity, misinformation, and the ethics of AI.

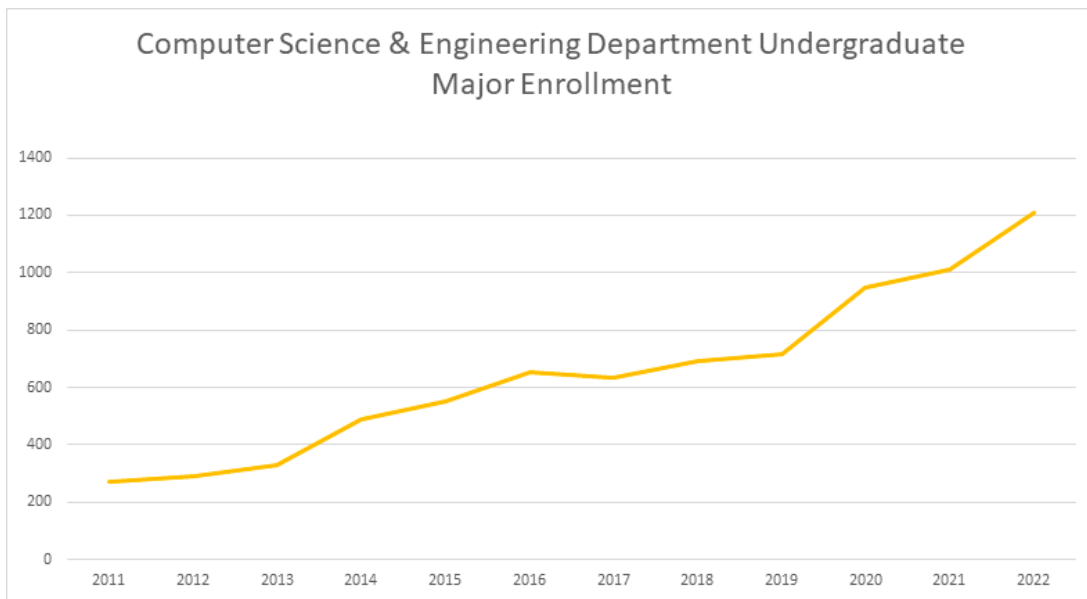


Figure 1 The Computer Science and Engineering department enrollment over the past decade has experienced explosive growth as seen in Fig. 1; there is every indication that this growth will continue in future years.

The computing workforce shortage has been well documented. In CT, a state with established STEM industries, the demand for computing professionals has consistently outpaced supply. In particular, workforce shortages have posed significant challenges for CT companies and organizations. UConn is well equipped to expand our current educational and research strengths in computing within a School of

Computing, in alignment with the national trends. Many of the CSE departments in the nation have transitioned into schools (or colleges) of computing, including MIT, Georgia Tech, UMass Amherst, University of Arizona, and Southern Illinois University.

The School of Computing will have research strengths in key areas including AI/ML, Cybersecurity, Bio and Biomedical Informatics, Data Science, and High-Performance Computing. Research collaborations will be broadened and strengthened with other units within UConn, given that computing has become inevitable for conducting research in every area of science and engineering.

## **2. School of Mechanical, Aerospace and Manufacturing Engineering**

We propose to establish the School of Mechanical, Manufacturing and Aerospace Engineering to further build on the recognition of the research and educational stature of UConn Mechanical Engineering as an international leader in this field. A key outcome of the School will be to establish a platform for future growth in these critical educational and research areas for the state. The school will offer undergraduate (B.S.) and selective graduate (M.S. and Ph.D.) degrees in Mechanical Engineering, Management and Engineering in Manufacturing (MEM) – a joint program with the UConn School of Business - Aerospace Engineering, and Manufacturing Engineering.

The proposed School of Mechanical and Aerospace Engineering will position us at the forefront of a small number of research institutions that are transforming the way mechanical and aerospace engineering are being taught and practiced around the world, while focusing on serving the State of Connecticut, the Northeast, the nation, and society through innovation and knowledge generation and transfer, entrepreneurship, and modern workforce development for the state’s key aerospace industry. The School will be built on a comprehensive, interdisciplinary approach that explores the synergies between mechanical, aerospace, and manufacturing engineering. Furthermore, it will promote world class scholarship and modern teaching practices to understand, predict, and enhance the behavior, design, fabrication, and performance of complex engineered systems. We also foresee new and changing research and curriculum foci, such as ocean engineering; sustainable heating, ventilation or air conditioning systems; and responsible and ethical use of machine learning tools in support of engineering activities.

The principal justification for the establishment of a School of Mechanical, Aerospace and Manufacturing engineering at UConn is based on three key factors:

- a. World class research and innovation with impact on a local, regional and national scale

The new School will build on our current strengths to further stimulate and enhance synergistic multidisciplinary research and education programs in mechanical and aerospace engineering and manufacturing in close partnership with industry and government. This, in turn, will have a profound impact on current and emerging science and technologies, fostering exemplary, synergistic multidisciplinary research and education programs. At the same time, the school will raise the national awareness of the totality and high quality of engineering research and education at UConn.

- b. Enhanced impact of our graduates

By combining components of mechanical engineering, aerospace engineering and manufacturing, our graduates will be well equipped to change the world by solving many of the technical, environmental, and societal problems facing humanity.

- c. Workforce development to support the growing and specific needs of our state’s aerospace and manufacturing industry

Our school will graduate highly skilled, ethical, and diverse group of mechanical, aerospace and manufacturing engineers equipped with business acumen who will thrive in a rapidly changing world and a continuously transforming industry at the local, regional, and national level. The synergistic skillset of our graduates will position them to become future leaders in technology and society. The new multidisciplinary school will help fill the needs of Connecticut's industry to hire the best and brightest engineers while significantly increasing employee retention with broad impacts to the state's economy.

#### Define a path for other engineering departments to transition into a School

Under the new College of Engineering structure, other departments could aspire to become schools and submit proposals as appropriate to 1) the Dean of the College of Engineering and subsequently upon internal college approval to 2) the Provost's Office. The College of Engineering structure allows organization and opportunity for each school, department, center, institute and program, which encourages overall growth for the UConn Engineering enterprise.

The schools and departments will function as hubs for additional degree programs and concentrations specific to global challenges we face today and in preparation for the future. These areas are not limited to any single school, department, institute or center. Through the College structure, we hope to further collaboration with other offices, schools and colleges throughout the university and beyond.

#### **Engineering's Future Role in Sustainable, Healthy, and Equitable Society**

All of these proposed changes must be done within the context of our impact on society. Responsible engineers understand the impact they have on technology, sustainability, and society at large.

In Connecticut, UConn Engineering graduates constitute 65 percent of the current engineering workforce. However, with the escalating demand for engineering solutions, this figure must increase. Fortunately, by investing in the advancement of the UConn College of Engineering, it is possible to boost the number of qualified engineers in the state. The UConn College of Engineering already educates over half (52 percent) of Connecticut's engineers, and further progress is achievable with the right support. By appropriately designating UConn Engineering as a college, we can unlock a host of opportunities. Being recognized by our peers and partners will provide a clearer understanding of our current operating capacity, allowing us to expand the diversity and scale of our academic, research, and engagement programs.

September 7, 2023

Provost Anne D'Alleva  
UConn Office of the Provost

Dear Provost D'Alleva,

I hope this letter finds you well. As the Dean of the School of Engineering, I am writing to express my strong support for the proposal to transition the UConn School of Engineering into the UConn College of Engineering. This proposal is a significant step forward for our institution and holds great promise for the future of our engineering programs.

The UConn School of Engineering has been a cornerstone of academic excellence, consistently delivering high-quality education, groundbreaking research, and impactful community and industry engagement. However, as higher education evolves, and the diversity and size of our programs increase, it has become clear that this transition is timely and essential for our continued growth and success.

This proposal, endorsed by our faculty in a vote on September 6th, 2023, includes two key components:

- 1- Transition of the Computer Science and Engineering department to the School of Computing: This aligns Computer Science and Engineering more closely with their academic peers, promoting synergy and collaboration without imposing additional financial burdens.
- 2- Transition of the Mechanical Engineering Department to the School of Mechanical, Aerospace, and Manufacturing Engineering: This optimizes our organizational structure in responding to industry's needs in the State of Connecticut and fostering collaboration and interdisciplinary research opportunities.

Importantly, these transitions leverage existing resources and expertise, ensuring no added financial strain on the University or the School.

Elevating the School of Engineering to a College of Engineering offers advantages both internally and externally. It enhances our visibility among peer institutions, streamlines operations, encourages specialization, and promotes excellence within our programs. It also provides the flexibility needed to support future growth and innovation in education, research, and community engagement.

In conclusion, I wholeheartedly endorse the UConn College of Engineering proposal. It aligns with the aspirations of our faculty and sets the stage for our school's continued growth and success. I kindly request your approval, goodwill support, and advocacy for this proposal to enhance the reputation and impact of the University of Connecticut's engineering programs.

Thank you for your attention to this matter.

Sincerely,

  
Prof. Kazem Kazerounian  
Dean

September 8, 2023

Kazem Kazerounian, Dean  
University of Connecticut  
School of Engineering  
Unit 3237

Dear Dean Kazerounian,

I am writing to let you know that a voting was done within the CSE Department to determine whether the proposal to rename the department as a School of Computing can be accepted or not. The voting results unanimously (with 33 Yes, 0 No, and 0 Abstain) approve the renaming of the department. This reveals how receptive and excited the faculty members are in welcoming this transformation.

I truly believe that the elevation of the CSE Department into a School will help us to be viewed as a larger entity than a department (from within and outside UConn). This will benefit us in terms of fundraising, working with the state, industry, etc. Given the importance of computing in the society, there is a national trend in converting Computer Science Departments into Schools of Computing. Even small colleges and universities have done this already. A prime example will be Southern Illinois University.

I view this elevation as a great opportunity to strengthen the research, teaching, and outreach missions of our unit. Currently, we are offering five different undergraduate majors: Computer Science, Computer Science and Engineering, Computer Engineering, Data Science and Engineering, and Robotics. There is a potential for expanding this list with possible majors in such areas as Cybersecurity, Bioinformatics, and Quantum Computing. This in turn will mean that we can significantly increase the highly skilled workforce that we create and offer to the state of CT as well as the nation.

The School of Computing will have expanded capacity to admit a larger population of graduate students, both for M.S. and Ph.D. degrees. In addition, we can train a much larger population of professionals with our MENG and Certificate programs. The School can offer a wide range of interdisciplinary research programs and further bolster UConn's Tier I research university status.

The School of Computing can serve as a strong hub to connect with other schools and colleges of UConn to create more multidisciplinary, interdisciplinary and data-driven convergence research projects to better serve the larger body of the University. For instance, Biomedical informatics can strengthen its connection with UCHC and JAX-GM at Farmington. The research collaboration will extend to the industry. According to NAIC, "Cybersecurity is perhaps one of the most important topics for the insurance sector today". The state's insurance industry should have keen interests in collaborating with the School's cybersecurity cluster. AI and Machine Learning have taken over the entire world. We do have excellent strength in these vital areas. As a School we will be able to reach out to every area of science and engineering and apply our AI/ML expertise to solve critical problems of importance to the society.

The School of Computing can be proactive in reaching out to K-12 schools, particularly to high school students to help galvanize an interest in computing, a crucial prerequisite to

maintaining and retaining Connecticut's STEM workforces. The School can also help high schools prepare and develop computing related curricula, a well-documented bottleneck on that front. Other similar initiatives are ReadyCT connection and DEI which will also help the School advertise its presence to a wider community in the state and the nation. In addition, the School can better serve the state with its specific outreach programs, facilities, and channels to industry and community colleges.

Overall, my faculty and I are highly excited about the exaltation of our Department into a School. We are looking forward to expanding our efforts in all the aspects of research, teaching, professional training, outreach, and workforce development. DEI will always be the guiding principle in all of our activities. We truly appreciate all the time and effort you have put forth in the creation of the School of Computing.

Please let me know if I could provide more details on any of the above topics.

Sincerely Yours,



Sanguthevar Rajasekaran, Ph.D.  
Department Head, Board of Trustees Distinguished Professor, and  
Pratt & Whitney Chair Professor  
Computer Science and Engineering  
University of Connecticut

September 11, 2023

Dean Kazem Kazerounian  
School of Engineering,  
University of Connecticut

Subject: Departmental Vote

Dear Dean Kazerounian:

The Mechanical Engineering (ME) faculty voted on the proposal to transition the ME department into the School of Mechanical, Aerospace, and Manufacturing Engineering and the outcome of the vote is an overwhelming support for this course of action.

This transition will allow us to further build on the recognition of the research and educational stature of UConn Mechanical Engineering as an international leader in this field. The proposed School of Mechanical, Aerospace and Manufacturing Engineering will position us at the forefront of a small number of research institutions that are transforming the way mechanical and aerospace engineering are being taught and practiced around the world, while focusing on serving the State of Connecticut, the Northeast, the nation, and society through innovation and knowledge generation and transfer, entrepreneurship, and modern workforce development for the state's key aerospace industry. The new School will be built on a comprehensive, interdisciplinary approach that explores the synergies between mechanical, aerospace, and manufacturing engineering. Furthermore, the new School will promote world class scholarship and modern teaching practices to understand, predict, and enhance the behavior, design, fabrication, and performance of complex engineered systems.

Sincerely,



Horea Ilies

Professor and Head

Department of Mechanical Engineering

[horea.ilies@uconn.edu](mailto:horea.ilies@uconn.edu)



# **ATTACHMENT 36**

# UConn HEALTH

September 29, 2023

TO: Members University of Connecticut Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Director, Finance Corporation

Chad Bianchi   
Chief Financial Officer, Finance Corporation

RE: 2023 Finance Corporation Report of Operations

## BACKGROUND:

Pursuant to CGS 10a-253, the Finance Corporation shall report on its operations for the preceding fiscal year to the Board of Trustees of The University of Connecticut. The report shall include a summary of the activities of the corporation, a statement of operations and, if necessary, recommendations for legislation to promote the purposes of the corporation.

The following report contains four sections to address these requirements and provide a general overview of the business. The four sections are: Overview and Corporate Structure, Statements of Operations, Summary of Significant Activities, and Requested Legislative Actions.

Quarterly Finance Corporation meetings are open to the public and listed on UConn Health's website. Our subsidiary boards typically meet once a year in the fall with meetings also open to the public.

## **SECTION 1: Overview and Corporate Structure**

Effective July 1, 1987, The University of Connecticut Health Center Finance Corporation (the Finance Corporation) was established pursuant to Public Act No. 87-458. The purpose of the Finance Corporation is to provide greater flexibility for John Dempsey Hospital (21002 Fund) (the Hospital), UConn Medical Group (UMG), and University Dentists and Educational Clinics and to promote the more efficient provision of health care services. The Finance Corporation has been empowered to purchase supplies and equipment; acquire facilities; approve write-offs of accounts receivable; negotiate and enter into joint ventures, shared service, and other agreements for all of the entities; and process malpractice claims on behalf of the University of Connecticut Health Center (UConn Health) and its entities.

The Finance Corporation is administered by a board of directors currently consisting of the President of the University of Connecticut, the Secretary of the Office of Policy and Management for the State of Connecticut, a member of the Board of Directors of UConn Health, the Executive Vice President for Health Affairs, and the Chairman of the Board of Trustees for the University of Connecticut, who is appointed by the Governor of the State of Connecticut or their respective designees. The Governor appoints one of these members as Chairman of the Board of the Finance Corporation.

The University of Connecticut Health Center Finance Corporation Circle Road Corporation (Circle Road Corporation), a subsidiary of the Finance Corporation, was formed pursuant to Section 10a-254 of the Connecticut General Statutes by the Finance Corporation (its sole member). This subsidiary corporation is administered by a board of directors elected on an annual basis by the sole member's board of directors or appointed by the Governor of the State of Connecticut, as prescribed in the bylaws of Circle Road Corporation. The number of directors shall not be less than three or more than ten, and 50% shall be members of the board of directors of the sole member or appointed by the Governor. At least one of these directors must be an independent director. There are four members of the subsidiary corporation's board of directors and five members of the sole member's board of directors.

In 2018, the Finance Corporation created a wholly owned subsidiary corporation, UConn Health Pharmacy Services, Inc. (UHPSI) pursuant to the authority granted by Section 10a-254 of the Connecticut General Statutes. The subsidiary's main focus is the provision of pharmacy operation services on behalf of UConn Health and its clinical units, including the Hospital and UMG. The subsidiary has been empowered to apply for and obtain all licenses, certificates or other credentials as required for pharmacy operations and granted the ability to enter into such contracts that are necessary or desirable for, or incidental to, the conduct of the subsidiary's business and affairs.

The sole member of UHPSI is the Finance Corporation (the Member). The Member has the power to elect and remove directors to/from UHPSI's Board of Directors. The property and affairs of UHPSI are managed by UHPSI's Board of Directors.

In 2022, the Finance Corporation entered into a joint venture with OIA of Connecticut, LLC to form UConn Health Imaging, LLC. UConn Health Imaging will provide additional radiology services off UConn Health's main campus in Farmington in a non-hospital clinic setting. The Finance Corporation will retain a 75% ownership interest. The business is expected to begin operations later in fiscal 2024.

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## SECTION 2: Statement of Operations (unaudited)

UCONN HELATH PHARMACY SERVICES, INC.	
FY23 OPERATIONS	
SEPTEMBER 19, 2023	
	The University of
	Connecticut
	Health Center
	Finance
	(Unaudited)
<b>Operating Revenues</b>	
Interest income - right-to-use building	\$ 255,081
Lease Revenue - right-to-use building	1,774,805
Interest income from direct financing lease	10,171,221
Contract and other income	1,025,232
Pharmaceutical revenues, net	111,329,599
<b>Total Operating Revenues</b>	<b>124,555,938</b>
<b>Operating Expenses</b>	
Professional services	42,813
Internal contractual support	2,033,041
Outside agency per diems	8,209,706
Pharmaceuticals/medical supplies	104,139,390
Equipment and software leases	88,453
Insurance	750
Interest expense	8,118,817
Depreciation	757,578
Amortization - right-to-use assets	120,913
Other	22,482
<b>Total Operating Expenses</b>	<b>123,533,943</b>
<b>Operating Income</b>	<b>1,021,995</b>
<b>Nonoperating Revenue (Expense)</b>	
Loan servicing fee	(11,892)
<b>Net Nonoperating (Expense) Revenue</b>	<b>(11,892)</b>
<b>Gain (Loss) before Transfers</b>	<b>1,010,103</b>
<b>Net Transfers from UConn</b>	
Health - Unrestricted (note 5)	10,375,000
<b>Increase in Net Position</b>	<b>11,385,103</b>
<b>Net Position - Beginning of year</b>	<b>32,845,777</b>
Cumulative Effect of Implementing GASB 87	(385,051)
<b>Net Position - Beginning of year (as restated 2022)</b>	<b>32,460,726</b>
<b>Net Position - End of year</b>	<b>\$ 43,845,829</b>

UCONN HELATH PHARMACY SERVICES, INC.	
FY23 OPERATIONS	
SEPTEMBER 19, 2023	
	UConn Health
	Pharmacy Services,
	Inc.
	(Unaudited)
<b>Operating Revenues</b>	
Contract and other income	\$ 1,025,232
Net patient Service revenues	<u>111,329,599</u>
<b>Total Operating Revenues</b>	<u>112,354,831</u>
<b>Operating Expenses</b>	
Internal contractual support	2,033,041
Outside agency per diems	8,209,706
Pharmaceuticals/medical supplies	104,139,390
Rent	88,453
Equipment and software leases	750
Repairs and maintenance	25,347
Interest expense	4,278
Depreciation	120,913
Amortization - RTU property	<u>19,800</u>
<b>Total Operating Expenses</b>	<u>114,641,678</u>
<b>Operating Income</b>	<u>(2,286,847)</u>
<b>Gain (Loss) before Transfers</b>	<u>(2,286,847)</u>
Net Transfers from UConn Health	9,000,000
<b>Increase in Net Position</b>	6,713,153
<b>Net Position - Beginning of year (as previously stated)</b>	<u>2,075,493</u>
<b>Cumulative Effect of Implementing GASB 87</b>	<u>(66,789)</u>
<b>Net Position - Beginning of year (as restated 2021)</b>	<u>2,008,704</u>
<b>Net Position - End of year</b>	<u>\$ 8,721,857</u>

UCHCFC CIRCLE ROAD CORP.	
FY23 OPERATIONS	
SEPTEMBER 19, 2023	
	UCHCFC
	Circle Road
	Corporation
	(Unaudited)
<b>Operating Revenues</b>	
Interest income from direct	
financing lease	\$ 10,171,221
<b>Total Operating Revenues</b>	<u>10,171,221</u>
<b>Operating Expenses</b>	
Professional services	3,497
Repairs and maintenance	7,865,439
Amortization - RTU property	<u>2,682</u>
<b>Total Operating Expenses</b>	<u>7,871,618</u>
<b>Operating Income</b>	<u>2,299,603</u>
<b>Nonoperating Revenue (Expense)</b>	
Interest income-RTU Building	20,078
Lease Revenue -RTU Building	16,793
Loan servicing fee	<u>(5,750)</u>
<b>Net Nonoperating (Expense) Revenue</b>	<u>31,121</u>
<b>Increase in Net Position</b>	2,330,724
<b>Net Position - Beginning of year (as previously stated)</b>	<u>12,504,846</u>
<b>Cumulative Effect of Implementing GASB 87</b>	<u>(33,411)</u>
<b>Net Position - Beginning of year (as restated 2022)</b>	<u>12,471,435</u>
<b>Net Position - End of year</b>	<u>\$ 14,802,159</u>

### **SECTION 3: Summary of Significant Activities**

**Finance Corporation:** The main Finance Corporation entity continues to pay the mortgage for the Musculoskeletal building and serve as facilitator for bad debt write-offs and joint ventures. In the current year, we have continued to work towards opening a new offsite radiology center which will be a joint venture with Orthopedic Imaging Associates (OIA) called UConn Health Imaging. It is expected that this center will open in March of 2024.

**Circle Road Corporation:** Circle Road Corporation continue to maintain the Outpatient Pavilion property in accordance with the mortgage. There were no significant operational issues in the current year and the 990 was filed on time in the spring.

**UHPSI:** UHPSI continued to expand its service offering and as a result grew its business during the past year. Total revenues grew approximately \$36 million though its bottom-line income decreased by roughly \$1.8 million. The UHPSI pharmacy, through its 340B contracted pharmacy relationship with the Hospital is an overall positive contributor to UConn Health though the entity itself shows operational losses on its individual income statement. The total estimated impact to UConn Health (via contributed margins in John Dempsey Hospital) was \$15.6 million.

UHPSI is also working to create a new entity, called UConn Health Infusion Pharmacy Services (UHIPS) with an outside business partner. This business will focus on infusion of specialty drugs based on scripts written by UConn Health providers.

UHPSI will continue to play a significant role in 340B maximization as well as in assisting UConn Health clinical units adapt to a changing landscape around medication coverages and reimbursement in the upcoming years.

### **SECTION 4: Requested Legislative Actions**

The Finance Corporation (and its subsidiaries) are not requesting legislative considerations at this time.



# **INFORMATIONAL ITEMS**

**University of Connecticut Department of Human Resources  
Hires Processed from May 31, 2023 to August 31, 2023  
Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Abdelaziz,Moataz Maher Kilany Maher	Postdoctoral Research Assoc	CT Transportation Institute	7/14/2023
Abeywickrama,Chathura Shalitha	Asst Professor	Pharmaceutical Science	8/23/2023
Acosta Bello,Maria Paula	Ed Program Assistant 1	Molecular and Cell Biology	8/25/2023
Agboola,Abiola S	Asst Professor	Civil and Environ Engineering	8/23/2023
Aldana Marquez,Beatriz	Asst Professor	Sociology	8/23/2023
Annechino,Katherine Joy	Financial Assistant 1	Athletics Business	7/14/2023
Anson,April J	Asst Professor	English	8/23/2023
Arce,David Josue	Research Asst 1	Physiology and Neurobiology	6/30/2023
Bailey,Heidi Elizabeth	Instructor in Residence	Marketing	8/23/2023
Ball,Christopher	Asst Professor in Res	Anthropology	8/23/2023
Bannerman,William Ian	Laboratory Technician 1	Chemistry	8/11/2023
Basiri,Nasim	Visiting Asst Professor	Women, Gender and Sexuality	8/28/2023
Beaucage Jr,Timothy W	Student Services Program Admin	Enrichment Programs	8/25/2023
Begg,Leah A	Visiting Asst Professor	English	8/28/2023
Belanger,Shaina Lynn	Ed Program Assistant 2	Engineering	7/14/2023
Benalcazar,Mary Ellen Kane	Mental Health Clinician	Student Health and Wellness	8/25/2023
Bergan,Johanna Christine Marit	Research Asst 3	Innovations Institute	8/11/2023
Bertotti,Renata	Asst Coop Ext Edu	Dept of Extension Middlesex	6/2/2023
Bhaskar,Nitu	Postdoctoral Research Assoc	Materials Science Institute	6/30/2023
Bibeault,Robin L.	Nurse	Student Health and Wellness	8/25/2023
Bilik,Lena	Research Asst 3	Innovations Institute	7/14/2023
Bilodeau,Chloe Lynn	Ed Program Assistant 2	Plant Sci and Landscape Arch	7/14/2023
Blau,Shane Reuven	Postdoctoral Research Assoc	Linguistics	8/25/2023
Bolton,Jennifer	Student Services Prog Assist 2	Law	7/14/2023
Bonosconi,Jessica Rae	Admin Program Support 2	Engineering	8/25/2023

**University of Connecticut Department of Human Resources**  
**Hires Processed from May 31, 2023 to August 31, 2023**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Bouchard,Gabrielle Marie	Financial Assistant 1	CLAS Business Center	6/2/2023
Boucher,Melissa Nicole	Asst Research Professor	Physiology and Neurobiology	8/23/2023
Bourque Jr,Robert Michael	Research Asst 1	Speech, Lang and Hearing Sci	6/30/2023
Boutilier,Drew	Residence Hall Director	Residential Life	7/28/2023
Bowdy,Kristie Lee	Clinical Instructor	Nursing Instruct and Research	8/23/2023
Boyle,Margaret Mary	Asst Professor in Res	Natural Resources and Environ	8/23/2023
Breittholz,Heather Rose	Instructional Developer	UConn eCampus	6/16/2023
Briskin,Benjamin Louis	Student Services Prog Assist 2	Students with Disabilities Ctr	6/16/2023
Brooks,D'Ana Marie	Clinical Instructor	Nursing Instruct and Research	8/23/2023
Browne,Shannon	Residence Hall Director	Residential Life	7/28/2023
Bu,Sihan	Research Assoc 1	Molecular and Cell Biology	7/14/2023
Bueschlen,Haley B.	Visiting Asst Professor	Art and Art History	8/23/2023
Burkholder,Kristen Marie	Library Assistant 3 - Manager	UConn Library	7/28/2023
Burland,Elizabeth	Asst Research Professor	Public Policy	8/23/2023
Burnett,Marketa Antoinette	Asst Professor	Human Dev and Family Sciences	8/23/2023
Byers,David Seth	Assoc Professor	Social Work Instruct and Rsrch	8/23/2023
Byers,Jason Scott	Asst Professor	Political Science	8/23/2023
Calcaterra,Julianna Rose	Research Asst 1	InCHIP	6/2/2023
Caldwell,Owen	Asst Mngr Ath Equip Facilities	Athletics Equipment Center	6/2/2023
Callahan,Russell Patrick	Asst Professor	Earth Sciences	8/23/2023
Cardoza,Tonya M	Specialist IA	Athletics WI Basketball	7/14/2023
Casarella,Joseph	Laboratory Technician 1	Chemistry	6/2/2023
Castillo Romero,Cristian R	Financial Assistant 1	Vice President for Research	6/30/2023
Chen,Sijia	Mental Health Clinician	Student Health and Wellness	6/30/2023
Chen,Wei	Assoc Professor	Operations and Info Management	8/23/2023
Chill,Camille Donohue	Financial Assistant 1	Law	8/11/2023

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Choffel,Julie C	Asst Professor	English	8/23/2023
Clark,Molly Mae	Ed Program Assistant 2	Avery Point Campus	8/11/2023
Clarke,Talia	Ed Program Assistant 2	Dept of Extension Tolland	6/30/2023
Clyburn,Caitlin	Clinical Instructor	Nursing Instruct and Research	8/23/2023
Codeanne,Katherine	Career Consultant	Law	8/11/2023
Coduroglu,Busra Nur	Visiting Asst Professor	Digital Media Design	8/25/2023
Collier,Zachary Kendall	Asst Professor	Educational Psychology	8/23/2023
Colombo,Simone	Asst Professor	Physics	8/23/2023
Cooper Owens,Deirdre	Assoc Professor	History	8/23/2023
Cooper Owens,Edward Bryan	Visiting Instructor	Africana Studies Institute	8/23/2023
Cooper,Ressa Amanda	Asst Professor	Communication	8/23/2023
Cooper,Spencer Richard	Asst Professor	Economics	8/23/2023
Corey,Christina Rose	Visiting Asst Professor	Art and Art History	8/23/2023
Courtney,Kaylin Joan	Financial Assistant 1	Chemistry	7/28/2023
Cozens,Margaret Anne	Visiting Asst Ext Educator	Department of Extension	8/23/2023
Curran,Laura	Dean	Social Work	8/11/2023
Curry,Timothy Andrew	Asst Professor in Res	Computer Sci and Engineering	8/23/2023
Dann,Ruby Julien Brown	Publicity/Marketing Coord	Business	7/14/2023
De Vos,Marc	Research Assoc 1	CT Inst Resilience and Adapt	8/25/2023
Delasanta,Lana Jade	Ed Program Assistant 1	Psychological Sciences	8/11/2023
DeScenza,Victoria Rose	Asst Professor in Res	Kinesiology	8/23/2023
Desmarais,Janet Lynn	Research Asst 2	Speech, Lang and Hearing Sci	8/25/2023
DiBacco,Mark	Network Administrator 1	Network Engr and Design	7/14/2023
DiLeo,Timothy Gerald	Specialist IA	Athletics Strength Center	6/16/2023
Doan,Minh Luan	Visiting Asst Professor	Mathematics	8/23/2023

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Doggett,Christopher	Computer Support Technician 2	Campus Technology Services	7/28/2023
Dowd,John	University Director	Procurement Services	8/11/2023
Duan,Haowu	Postdoctoral Research Assoc	Physics	8/25/2023
Duhart,Taylor Alexandria	Admissions Officer 1	Admissions	7/28/2023
Dunson,Stephanie Elaine	Asst Professor in Res	English	8/23/2023
Duplisea,Jeffrey George	Student Services Prog Mngr 1	Student-Athlete Success Prog	8/25/2023
Dupuy,Alexander Davis	Asst Professor	Material Science and Engr	8/23/2023
Essig,Haley Karen Costello	Visiting Asst Professor - LAW	Law Instruction and Research	8/23/2023
Ewart,Katherine Belle	Admin Program Support 4	Athletics Compliance Office	6/16/2023
Falk,Georgia Grace	Research Asst 1	InCHIP	6/2/2023
Famiglietti,Christian Carlos	Student Services Prog Assist 2	UConn Recreation	8/11/2023
Farah,Kaitlyn Sue	Research Asst 1	Psychological Sciences	6/30/2023
Faucon,Casey Elizabeth	Assoc Professor - LAW	Law Instruction and Research	8/23/2023
Ferreira van Leer,Kevin Anthony	Asst Professor	Human Dev and Family Sciences	8/23/2023
Ferreira,Molly D	Research Asst 1	Educational Psychology	8/11/2023
Ficara,Jonathan Joseph	Sr Student Services Prog Admin	Care and Concern	8/25/2023
Fields,Jennifer Brooke	Asst Professor	Nutritional Sciences	8/23/2023
Figueredo,Carlos Enrique	Asst Professor	Art and Art History	8/23/2023
Formica,Samantha Marie	Asst Clinical Professor	Speech, Lang and Hearing Sci	8/23/2023
Galligan,Jonathan M.	Ed Program Assistant 2	Psychological Sciences	6/30/2023
Garneau,Kyle Vern	Ed Program Assistant 1	CAHNR Acad Prog	7/28/2023
Garnelis,Alexandra N	Admin Program Support 2	Career Development Center	6/30/2023
Gervasi,Maria Gracia	Asst Professor	Animal Science	8/23/2023
Ghavamnia,Syedhamed	Asst Professor	Computer Sci and Engineering	8/23/2023
Gibilisco,Jenna	Residence Hall Director	Residential Life	6/2/2023

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Glebova, Olga	Assoc Professor in Res	Computer Sci and Engineering	8/23/2023
Glenn, Brian Paul	U Ed Asst 3	Human Resources Operations	6/16/2023
Grady, Patrick Gero-Simkins	Postdoctoral Research Assoc	Molecular and Cell Biology	8/11/2023
Gray, Sarah Alta Olivia	Assoc Professor	Psychological Sciences	8/23/2023
Greenwell, Mary	U Ed Asst 2	Provost Academic Affairs	7/28/2023
Grimmelpont, Margot	Postdoctoral Research Assoc	Natural Resources and Environ	8/11/2023
Haiderer, Martina M.	Admin Program Support 4	Payroll	6/2/2023
Hamilton, Christal	Asst Professor	Public Policy	8/23/2023
Han, Dong	Postdoctoral Research Assoc	Biomedical Engineering	8/25/2023
Harrison, Abigail Elizabeth	Research Asst 2	CT Advanced Computing Center	7/28/2023
Hartl, Michaela	Ed Program Assistant 2	CT Entrepreneurship Innov Ctr	6/2/2023
Hartley, Gabrielle Ann	Postdoctoral Research Assoc	Molecular and Cell Biology	8/11/2023
He, Zizhou	Postdoctoral Research Assoc	Clean Energy Engineering Ctr	8/25/2023
Her, Malina	Asst Research Professor	Human Dev and Family Sciences	8/23/2023
Hinch, Madeleine Claire	Specialist IA	Athletics WI Field Hockey	8/21/2023
Hooker, Aaron Jevon	Academic Advisor 1	ISS Cntr for Access PScnd Succ	6/30/2023
Horakova, Anna	Asst Professor	Lit, Cultures and Languages	8/23/2023
Hossain, Khondker Shourov	Visiting Instructor - LAW	Law Instruction and Research	6/2/2023
Howard, Maureen Mary	Student Services Prog Assist 2	Student-Athlete Success Prog	8/11/2023
Hu, Rong	Postdoctoral Research Assoc	Allied Health Sciences	8/25/2023
Huang, Jiheng	Postdoctoral Research Assoc	Civil and Environ Engineering	8/11/2023
Hughes, Jason Paul	Research Asst 2	CT Transportation Institute	8/11/2023
Huisman, Gavin Michael	Academic Advisor 1	Biological Sciences	6/16/2023
Humberson, Rachel Henry	Contract Specialist 2	Vice President for Research	6/2/2023
Isard, Risa Faye	Asst Professor	Educational Leadership	8/23/2023

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Islam,Najnin	Asst Professor	English	8/23/2023
Jackson,Blake Austin	Asst Research Professor	Mathematics	8/23/2023
Jackson,Karima U.	Student Services Program Admin	ISS 1st Yr Prog and Learn Cmty	6/16/2023
Jacobs,Elizabeth	Asst Professor	Sociology	8/23/2023
Jacobs,Hayley	Student Services Prog Assist 2	Students with Disabilities Ctr	8/11/2023
Jiang,Ningwei	Visiting Asst Professor	Mathematics	8/23/2023
Johnson,Alexa Rose	Laboratory Assistant	Biological Sciences	8/11/2023
Johnson,Hannah Reilly	Admissions Officer 1	Admissions	7/28/2023
Jones,Mikayla Jeanette	Assistant Teacher	Human Development Child Labs	8/21/2023
Joslyn,Donielle Streuli	Student Services Prog Coord	Enrichment Programs	6/30/2023
Kaplan,Michael Samuel	Asst Professor	Dramatic Arts	8/23/2023
Kegler,Bonnie J	Asst Coop Ext Edu	Department of Extension	7/14/2023
Kelley,Audrey Lynn	Student Services Program Admin	Student Health and Wellness	8/25/2023
Kellinsky-Gonzalez,Kevin	Visiting Asst Professor	Mathematics	8/23/2023
Kerrigan,Mary Katherine	Ed Program Assistant 1	Human Dev and Family Sciences	6/30/2023
Keyes,Mackenzie	Specialist IA	Athletics WI Softball	7/23/2023
Kim,Harim	Asst Professor	Economics	8/23/2023
King,Teisha Pamela	Ed Program Coordinator	Ecology and Evolutionary Bio	7/28/2023
Kling,Kristen	Asst Professor in Res	Psychological Sciences	8/23/2023
Koenig,Raphael David	Asst Professor	Lit, Cultures and Languages	8/23/2023
Kuscul,Gonul Hilal	Visiting Asst Professor	Human Dev and Family Sciences	8/23/2023
Lamaita,John	Residence Hall Director	Residential Life	7/28/2023
LaMalfa,Matthew Peter	Asst Mngr Ath Equip Facilities	Athletics Equipment Center	6/30/2023
Lantz,Benjamin David	Visiting Asst Professor	Mathematics	8/23/2023
Lapa,Elena	Assistant Teacher	Human Development Child Labs	8/24/2023

**University of Connecticut Department of Human Resources  
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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Lawrence,Samantha E	Research Assoc 1	Social Work Instruct and Rsrch	7/28/2023
Lemke,Eric Ryan	Research Asst 3	CT Transportation Institute	6/16/2023
Lestage,Nataliya	U Staff Professional 1	Audit and Mgmt Advisory Svcs	6/16/2023
Li,Andrea	Admin Program Support 1	Kinesiology	8/25/2023
Li,Jifeng	Postdoctoral Research Assoc	Physiology and Neurobiology	8/25/2023
Lim,Jaechan	Research Scientist	Biomedical Engineering	8/25/2023
Lim,Jessica Elise	Research Asst 3	Innovations Institute	8/25/2023
Lin,Ting-An	Asst Professor	Philosophy	8/23/2023
Liu,Chang	Asst Professor	Mechanical Engineering	8/23/2023
Llakmani,Ilva	Research Asst 3	Social Work Instruct and Rsrch	8/11/2023
Lombardo,Shauna Marie	Ed Program Assistant 1	Psychological Sciences	7/28/2023
Lowry,Lori Anne	Clinical Instructor	Nursing Instruct and Research	8/23/2023
Ma,Zexin	Asst Professor	Communication	8/23/2023
Mackbach,Alexis V	Admissions Officer 1	Admissions	7/28/2023
Maggu,Akshay Raj	Asst Professor	Speech, Lang and Hearing Sci	8/23/2023
Maltz,Mia Rose	Asst Professor	Plant Sci and Landscape Arch	8/23/2023
Martin,Alyssa	U Staff Professional 1	Institutional Equity	8/25/2023
Massotto,Jacob Michael	Research Asst 3	CT Transportation Institute	7/28/2023
Mayer,Joshua Lee	Asst Professor	Anthropology	8/23/2023
McCarty,Laurie Lynn	Academic Asst 5	Faculty Development	8/11/2023
McKee,Sarah Lynn	Postdoctoral Research Assoc	InCHIP Rudd Center	6/2/2023
McKenzie,Alicia Monique	Student Services Prog Mngr 3	African-American Cultural Ctr	8/11/2023
McManus,Justin C	Asst Professor	Music	8/23/2023
Melz,Heidi Marie	Research Assoc 1	Innovations Institute	8/11/2023
Miller,Tracey Leavens	Academic Asst 3	Speech, Lang and Hearing Sci	8/25/2023



**University of Connecticut Department of Human Resources**  
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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Miller, Yonatan	Asst Professor	Lit, Cultures and Languages	8/23/2023
Mirkovic, Zeljko	Assoc Research Professor	Werth Institute	6/16/2023
Mitre Becerril, David	Asst Professor	Public Policy	8/23/2023
Moody, Raymond L	Asst Professor	Human Dev and Family Sciences	8/23/2023
Morillo-Galindo, Hannah	Ed Program Assistant 1	Department of Extension	7/28/2023
Mukherjee, Bonnya	Research Asst 2	Social Work Instruct and Rsrch	7/28/2023
Mullen, Robert E	Managing Editor	Athletics MI Basketball	8/25/2023
Murray, Claire Marie	U Staff Professional 3	Audit and Mgmt Advisory Svcs	6/16/2023
Niaz, Atif Khan	Postdoctoral Research Assoc	Clean Energy Engineering Ctr	8/25/2023
Nsiah-Asare, Paschaline Yaa Asantewaah	Visiting Instructor - LAW	Law Instruction and Research	8/23/2023
Nwakasi, Candidus	Asst Professor	Human Dev and Family Sciences	8/23/2023
Nyame, Durga Nadjoa	Student Services Prog Coord	Engineering Undergrad Programs	6/30/2023
Oliveira, Liliana Fernandes	Research Asst 1	CAHNR Acad Prog	6/16/2023
Ortiz, Matthew Gregory	Residence Hall Director	Residential Life	7/28/2023
Oswald, Flora Everest	Asst Research Professor	Psychological Sciences	8/23/2023
Ozkan, Desen Sevi	Asst Professor	Chem and Biomolecular Engr	8/23/2023
Ozuguzel, Umut	Asst Professor in Res	Chemistry	8/23/2023
Paceley, Megan Sophia	Assoc Professor	Social Work Instruct and Rsrch	8/23/2023
Papalionakis, Katherine	Admin Program Support 2	Procurement Services	6/16/2023
Parikh, Jhanvi Mehul	Research Asst 1	Psychological Sciences	8/25/2023
Park, Yoo Min	Asst Professor	Geography	8/23/2023
Paszkowski, Marlen	Financial Analyst 1	Budget, Plan and Inst Research	8/25/2023
Paul, Arinjita	Postdoctoral Research Assoc	Computer Sci and Engineering	8/25/2023
Paul, Ian H	Library Assistant 2	UConn Library	6/30/2023
Peterson, Jeremy	Student Services Prog Assist 2	Stamford Campus	8/11/2023

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Pierce,Scott Christophe	Asst Professor in Res	Chemistry	8/23/2023
Pineda,Eunices E	Ed Program Assistant 2	Social Work Instruct and Rsrch	7/28/2023
Placido,Owen Russell	Visiting Asst Ext Educator	Department of Extension	7/28/2023
Prunty,James J	U Staff Professional 2	Security Services	7/14/2023
Qi,Zhenzhen	Asst Professor	Digital Media Design	8/23/2023
Rahlwes,Christopher William	Visiting Asst Professor	Philosophy	8/23/2023
Ramar,Mohankumar	Postdoctoral Research Assoc	Pharmaceutical Science	8/25/2023
Ransijn,Lillian Mae	Visiting Asst Professor	Dramatic Arts	8/23/2023
Raymond,Michael E.	Laboratory Technician 3	Tech Park	8/25/2023
Rendon-Garcia,Sarah A	Asst Professor	Human Dev and Family Sciences	8/23/2023
Renshaw,Jody Kathryn	U Staff Professional 1	Institutional Equity	7/28/2023
Roberts,Bryan Michael	Visiting Instructor	Communication	8/23/2023
Rochon,Monica A	Postdoctoral Research Assoc	Educational Leadership	8/11/2023
Rockwood,Janice Elaine	U Ed Asst 3	Vice President for Research	6/2/2023
Rodriguez,Nikita	Residence Hall Director	Residential Life	7/28/2023
Romano,Erin Nicolette	Visiting Instructor - LAW	Law Instruction and Research	8/23/2023
Rosen,Aviana Orli	Asst Professor in Res	Allied Health Sciences	8/23/2023
Rosensohn,Zachary Leo	Applications Administrator 1	Enterprise Applications	8/25/2023
Rothermel,Noah	Research Asst 1	CT Transportation Institute	8/11/2023
Roy Chowdhury,Sharmishtha	Asst Professor in Res	History	8/23/2023
Runghen,Rogini	Postdoctoral Research Assoc	Ecology and Evolutionary Bio	6/30/2023
Rusgrove Berry,Kaleigh	Visiting Asst Professor	Art and Art History	8/23/2023
Saha Roy,Sayantana	Asst Professor	Anthropology	8/23/2023
Samokar,Amanda Lee	U Ed Asst 3	Vice President for Research	8/25/2023
Sanchez,Brenda Ofelia	Ed Program Assistant 1	Department of Extension	7/28/2023

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Sanchez,Lesly C	Asst Professor in Res	Social Work Instruct and Rsrch	8/23/2023
Sauyet,Theodore Duncan	Postdoctoral Research Assoc	Tech Park	6/16/2023
Savino-Jauregui,Alison	Visiting Asst Professor	Dramatic Arts	8/23/2023
Schechter,Sarah Nicole	Visiting Asst Ext Educator	Department of Extension	6/30/2023
Senna,Kayllyn Michelle	Laboratory Technician 1	Molecular and Cell Biology	6/2/2023
Seraphin,Bruno Walker Simpson	Asst Professor	Anthropology	8/23/2023
Shalabi,Abdelrahman	Postdoctoral Research Assoc	Chemistry	7/28/2023
Sharma,Madhu	Postdoctoral Research Assoc	Pharmaceutical Science	8/11/2023
Sharma,Sharan H	Financial Assistant 1	Fine Arts	7/14/2023
Shaw,Colleen Terese	Instructor in Residence	Linguistics	8/23/2023
Silva,Kayla	Clinical Instructor	Nursing Instruct and Research	8/23/2023
Simpson,Omar Andre	Financial Assistant 1	Agriculture,Health,Natural Rcs	7/28/2023
Skums,Pavel	Assoc Professor	Computer Sci and Engineering	8/23/2023
Smith,Ashley	Mental Health Clinician	Student Health and Wellness	8/25/2023
Smith,Melissa Kathleen	Asst Clinical Professor - LAW	Law Instruction and Research	8/23/2023
Smith,Peter D	Asst Director	Student Health and Wellness	8/11/2023
Soliman,Ahmed Hussein M. M.	Postdoctoral Research Assoc	Computer Sci and Engineering	8/25/2023
Solis,Lorena Alexandra	Asst Professor	Psychological Sciences	8/23/2023
Sorensen,Matthew	Visiting Asst Professor	Dramatic Arts	8/23/2023
Soriano,Nina Francesca Balanon	Research Asst 1	Pathobiology	8/25/2023
Soto,Anthony	U Staff Professional 1	Institutional Equity	8/25/2023
Spilka,Rosa Cristina	Research Asst 1	Social Work Instruct and Rsrch	6/2/2023
St. Laurent,Lisa	Financial Assistant 1	Fine Arts	7/21/2023
Staack,Jessica Kaitlynn	Financial Assistant 1	Agriculture,Health,Natural Rcs	7/14/2023
Stanfield,Jessica Yvonne	Admin Program Support 1	Law	8/25/2023

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Stern,Matthew Brandon	Ed Program Assistant 2	Innovations Institute	7/28/2023
Storer,Jessica Marie	Assoc Research Scientist	Molecular and Cell Biology	6/2/2023
Suite,Annette	Business Ops Specialist 1	Connecticut Repertory Theatre	8/25/2023
Svirskiy,Kira	Ed Program Assistant 2	History	8/25/2023
Swol,Diane F.	U Staff Professional 1	Audit and Mgmt Advisory Svcs	6/16/2023
Szymanski,Michael	Postdoctoral Research Assoc	InCHIP	7/14/2023
Tanaka,Shinsuke	Asst Professor	Agricultural and Resource Econ	8/23/2023
Tennyson,Michelle Elizabeth	Visiting Asst Professor	Lit, Cultures and Languages	8/23/2023
Theiss,William August	Asst Professor	History	8/23/2023
Tillquist,Nicole Mary Belle	Postdoctoral Research Assoc	Animal Science	6/30/2023
Tindall,John Stewart	IT Team Lead 2	Enterprise Systems	8/11/2023
Tropea,Bettina	Publicity/Marketing Coord	Business	7/28/2023
Tudisca,Bradley T	Systems Administrator 2	CT Education Network ASC	8/25/2023
Tugui,Codrin	Postdoctoral Research Assoc	Mechanical Engineering	6/30/2023
Tuohy,Abigail Lynne	U Ed Asst 3	Human Resources Operations	7/14/2023
Uddin,Md Elias	Asst Professor	Animal Science	8/23/2023
Vaidya,Sushrut Sanjiv	Postdoctoral Research Assoc	Engineering	8/25/2023
Vaughan,Victoria S	Dean	Nursing	8/1/2023
Veeranki,Yedukondala Rao	Postdoctoral Research Assoc	Biomedical Engineering	6/16/2023
Vertefeuille,Marcella L	U Ed Asst 3	Provost Academic Affairs	7/28/2023
Vider,Stephen Joshua	Assoc Professor	History	8/23/2023
Vo,Phuong Minh	Postdoctoral Research Assoc	Agricultural and Resource Econ	7/14/2023
Walker II,Roger Craig	Postdoctoral Research Assoc	Materials Science Institute	7/14/2023
Waller,James	Professor & Director	Lit, Cultures and Languages	8/23/2023
Wan,Xibo	Postdoctoral Research Assoc	Agricultural and Resource Econ	8/14/2023

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Wang,Yakai	Postdoctoral Research Assoc	Natural Resources and Environ	8/25/2023
Wang,Yudong	Asst Research Professor	Clean Energy Engineering Ctr	8/23/2023
Wang,Zequan	Postdoctoral Research Assoc	Nursing Instruct and Research	8/25/2023
Wang,Zhao X	Postdoctoral Research Assoc	Earth Sciences	8/25/2023
Wanyama,Dan	Asst Professor in Res	Geography	8/23/2023
Watson,Timothy Hyde	Graphic Designer	Athletics	6/2/2023
Webson,Kamali Aubrey	Specialist IA	Athletics MI Soccer	6/2/2023
Wetherell,Stephen Jon	Laboratory Technician 1	Nursing Instruct and Research	6/2/2023
Whittle,Tikeyah M	Audio Visual Technician 1	CLAS Information Technology	6/2/2023
Wiggins,Eva Csiki	Business Ops Specialist 1	Agriculture,Health,Natural Rcs	7/28/2023
Wilk,Hailey Sarah Chamberlin	U Ed Asst 2	Provost Academic Affairs	7/17/2023
Williams,Elisabeth	Student Services Program Admin	Business Undergrad Programs	8/25/2023
Williams,Sarah Anne	Asst Professor	Anthropology	8/23/2023
Wood,Renee Kristen	Admin Program Support 1	Innovations Institute	8/25/2023
Wunder Jr,Elsio Augusto	Asst Professor	Pathobiology	8/23/2023
Xie,Lijia	Assoc Professor	Operations and Info Management	8/23/2023
Xu,Fasheng	Asst Professor	Operations and Info Management	8/23/2023
Yu,Tingting	Assoc Professor	Computer Sci and Engineering	8/28/2023
Zhang,Lingxiao	Asst Research Professor	Mathematics	8/23/2023
Zhou,Xiao-Dong	Professor	Chem and Biomolecular Engr	8/23/2023
Zigmont,Donna	Research Asst 3	InCHIP	8/11/2023
Zmetra,Kevin Mark	Asst Research Professor	CT Transportation Institute	8/23/2023

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<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Arias-Cirinna, Claudia P.	Dept Head Student Affairs	Separation	Dean of Students	8/4/2023
Beam, Brian	Student Services Prog Assist 2	Separation	UConn Recreation	7/14/2023
Bompoti, Nefeli Maria	Asst Research Professor	Separation	Civil and Environ Engineering	8/3/2023
Boyle, Ashley Marie	Asst Research Professor	Separation	InCHIP	6/24/2023
Breslin, Katherine	Research Asst 3	Separation	Social Work Instruct and Rsrch	6/30/2023
Brooks, Nina	Asst Professor	Separation	Public Policy	7/1/2023
Brousseau, Natalie M	Assoc Research Scientist	Separation	InCHIP	6/1/2023
Brown, Kelsey Jean	Librarian 1	Separation	UConn Library	7/8/2023
Buckridge, Christopher	Computer Support Technician 2	Separation	Business	6/16/2023
Campbell, Donna Lee	Publicity/Marketing Admin	Retirement	UConn eCampus	7/1/2023
Cantor, Connie C	Publicity/Marketing Admin	Retirement	Publ Hlth and Hlth Policy Ctr	7/1/2023
Cantu, Henry Michael	Educational Program Manager 1	Separation	Social Work Instruct and Rsrch	7/27/2023
Caughey, Melanie	Postdoctoral Research Assoc	Separation	Ed Psychology Gift and Talent	8/3/2023
Chaput, Jennifer L	Librarian 2	Separation	UConn Library	6/3/2023
Clark, Richard Kai	Facilities Manager 1	Separation	FO Mechanical and Plumbng Svcs	6/16/2023
Cole, James L	Professor	Retirement	Molecular and Cell Biology	6/1/2023
Collins, Emily K	Ed Program Assistant 1	Separation	UConn Marching Band	7/3/2023
Conti, Alana Audrey	Ed Program Assistant 1	Separation	Connecticut Repertory Theatre	8/9/2023
Corbin, Joanne Nancy	Assoc Dean & Professor	Separation	Social Work Instruct and Rsrch	7/15/2023
Das, Akshat Tarun	Visiting Asst Professor	Separation	Mathematics	6/26/2023
DeKay, McKaylah Elizabeth	Graphic Designer	Separation	Vice President for Research	6/28/2023
Derechinsky, Joel	Student Services Prog Mngr 2	Separation	Student-Athlete Success Prog	7/6/2023
Dever, Lauren Brooke	Student Services Prog Assist 2	Separation	Student-Athlete Success Prog	6/24/2023
DeVereaux, Constance	Director & Assoc Professor	Separation	Arts Leadrshp and Cultural Mgt	8/1/2023
Diplock, Peter C	Assoc Vice Provost	Separation	CETL	8/1/2023
Divito, Joseph	Research Asst 2	Separation	InCHIP	7/22/2023
Drachman, Diane	Assoc Professor	Retirement	Social Work Instruct and Rsrch	8/1/2023
Dryden, Nancy H	Librarian 4	Retirement	UConn Library	7/1/2023

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<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Eror,Ellyssa L	Asst Director	Separation	Student Health and Wellness	8/11/2023
Fang,Ruo Zhou	Postdoctoral Research Assoc	Separation	Clean Energy Engineering Ctr	6/17/2023
Field,Carrianna Kristhina	Educational Program Manager 2	Separation	Law	5/19/2023
Franklin Jr,Michael	Procurement Specialist 1	Separation	Procurement Services	7/28/2023
Funke,Deborah	Student Services Prog Assist 2	Retirement	Law	7/1/2023
Garchow,Amanda Rose	Laboratory Assistant	Separation	Ecology and Evolutionary Bio	7/2/2023
Gentile,Joseph	Residence Hall Director	Separation	Residential Life	6/2/2023
Golla,Vinaya Kumar	Postdoctoral Research Assoc	Separation	Molecular and Cell Biology	6/19/2023
Gregory,Jenny	Library Assistant 2	Separation	UConn Library	7/28/2023
Griffin,Katlyn H	Social Worker	Separation	Stamford Campus	7/4/2023
Guediche,Sara	Postdoctoral Research Assoc	Separation	Speech, Lang and Hearing Sci	7/29/2023
Hallenbeck,Theresa	Admin Program Support 2	Retirement	Athletics	7/1/2023
Hall-Faul,Maria Adriana	Research Assoc 1	Separation	Social Work Instruct and Rsrch	8/5/2023
Hancock,Roeland	Asst Research Professor	Separation	Psychological Sciences	6/24/2023
Haskell,Matthew	Asst Mngr Ath Equip Facilities	Separation	Athletics	7/28/2023
Holmes,Tatiana J	U Ed Asst 3	Separation	Vice President for Research	6/30/2023
Ibrahim,Faisal	Postdoctoral Research Assoc	Separation	Pharmaceutical Science	8/1/2023
Jahnke,Sabrina Marie	Admin Program Support 1	Separation	Pathobiology	7/14/2023
Jednak,Peter Michael	Assoc Vice President	Separation	Facilities Operations	8/5/2023
Kamin,Samuel Joseph	Postdoctoral Research Assoc	Separation	Educational Leadership	7/14/2023
Kaufman,Neil B	Residence Hall Director	Retirement	Residential Life	8/1/2023
Kenderdine,Thomas J	Postdoctoral Research Assoc	Separation	Chemistry	6/17/2023
Khairallah,John A	IT Team Lead 1	Separation	Network Engr and Design	8/11/2023
Kiefer,Valerie	Ad Practice Registered Nurse	Retirement	Student Health and Wellness	8/1/2023
Kumar,Neeraj	Postdoctoral Research Assoc	Separation	Chemistry	6/26/2023
Kurz,Brenda	Director & Assoc Professor	Retirement	Social Work Instruct and Rsrch	6/1/2023
Kytan,Alexandra	Sr Student Services Prog Admin	Separation	Community Standards	6/16/2023
Lanno,Stephen Michael	Lecturer	Separation	Allied Health Sciences	8/1/2023

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<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
LaPointe, Jessica	Procurement Specialist 2	Separation	University Business Services	7/14/2023
Larson, Caroline	Postdoctoral Research Assoc	Separation	Clinical Psychology	7/2/2023
Leavitt, Daniel F	Theater Production Specialist	Separation	Jorgensen Performing Arts Ctr	7/28/2023
Lee, SooWan	Postdoctoral Research Assoc	Separation	Pharmaceutical Science	6/10/2023
Li, Naren	Asst Research Professor	Separation	Physiology and Neurobiology	7/17/2023
MacLean, Erica Ann	Admin Program Support 1	Separation	Agriculture, Health, Natural Rcs	6/1/2023
Massicotte, Marc Edward	Financial Assistant 1	Separation	Chemistry	6/2/2023
McGee, Nora	Admin Program Manager 1	Separation	FO Business Service Center	6/2/2023
Merkouriou, Alexandra	Academic Asst 2	Separation	Tech Park	7/26/2023
Mingarelli, Chiara	Asst Professor	Separation	Physics	7/2/2023
Miniutti, Peter J	Assoc Professor	Retirement	Plant Sci and Landscape Arch	8/1/2023
Mishra, Debasish	Postdoctoral Research Assoc	Separation	PW Inst Advanced Systems Engr	7/2/2023
Mitra, Ayan	Postdoctoral Research Assoc	Separation	Psychological Sciences	7/7/2023
Moreno, Maria Paula	Research Asst 1	Separation	InCHIP	6/1/2023
Morley, Alexander John	Applications Administrator 2	Separation	Enterprise Applications	6/2/2023
Morrissey, Margaret Chase	Postdoctoral Research Assoc	Separation	Kinesiology	8/1/2023
Mountanos, Tristan Jacob	Computer Support Technician 1	Separation	Student Affairs Info Tech	6/17/2023
Muthu, Manikandan	Postdoctoral Research Assoc	Separation	Materials Science Institute	6/25/2023
Norris, Carrie B.	Student Services Prog Mngr 3	Separation	Financial Aid	6/16/2023
Novatin, Christie	Specialist IA	Separation	Athletics WI Softball	6/27/2023
O'Connell, Meghan L	Research Assoc 1	Separation	Nursing Instruct and Research	6/3/2023
Orcutt, Lisa G	IT Team Lead 1	Retirement	Campus Technology Services	8/1/2023
Orzolek, Brandais Chantal	Admin Program Support 1	Separation	UConn Recreation	8/11/2023
Owens, Leshonda M	Admin Program Support 4	Separation	Education	7/18/2023
Ozdemir, Tanju	Assoc Professor	Separation	Digital Media Design	7/17/2023
Perry, Edward R	Instructor in Residence	Retirement	Mathematics	6/1/2023
Petersen, Bettie	Postdoctoral Research Assoc	Separation	Linguistics	7/20/2023
Plamondon, David	IT Team Lead 2	Separation	Network Engr and Design	8/8/2023



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Reilly,Anastasia Rae	Admin Program Support 2	Separation	Computer Sci and Engineering	7/15/2023
Reilly,Sean	Financial Assistant 1	Separation	Chemistry	8/1/2023
Robbins,Gary A	Professor	Retirement	Natural Resources and Environ	6/1/2023
Rolheiser,Lyndsey Anne	Asst Research Professor	Separation	Finance	7/1/2023
Sargent,Shirley	Asst Clinical Professor	Separation	Nursing	6/2/2023
Scales,Kaitlyn	Asst Mngr Ath Equip Facilities	Separation	Athletics Equipment Center	6/3/2023
Schober,Melissa	Research Assoc 1	Separation	Innovations Institute	7/15/2023
Schoener,Nina	Research Asst 2	Separation	Psychological Sciences	7/16/2023
Shalabi,Abdelrahman	Postdoctoral Research Assoc	Separation	Chemistry	7/31/2023
Shaw,Jessica Sue Turner	Postdoctoral Research Assoc	Separation	Marine Sciences	6/4/2023
Sheets,Donal	Postdoctoral Research Assoc	Separation	Tech Park	6/17/2023
Shi,Xuetao	Postdoctoral Research Assoc	Separation	Computer Sci and Engineering	7/17/2023
Simmons,Louise B	Professor	Retirement	Social Work Instruct and Rsrch	7/1/2023
SINGH,ROHIT	Postdoctoral Research Assoc	Separation	Pharmaceutical Science	8/6/2023
Soleymani,Amir Peyman	Postdoctoral Research Assoc	Separation	Clean Energy Engineering Ctr	6/12/2023
Song,Yu	Asst Research Professor	Separation	CT Transportation Institute	7/22/2023
Spencer,Ayanna De'Vante	Asst Professor	Separation	Philosophy	7/22/2023
Sylvester,Christine M	Professor	Retirement	Political Science	7/1/2023
Tan,Mingyang	Postdoctoral Research Assoc	Separation	Materials Science Institute	7/15/2023
Tanner,Jessica S	Instructor in Residence	Separation	Linguistics	5/23/2023
Troy,Haley Benton	Research Asst 2	Separation	InCHIP	5/20/2023
Utz,Stephen G	Professor - LAW	Retirement	Law Instruction and Research	7/1/2023
Vernon,Mary Elizabeth	Asst Professor	Separation	Accounting	7/14/2023
Wade,Juli	Dean	Separation	Liberal Arts and Sciences	7/1/2023
Wang,Yuxiang	Postdoctoral Research Assoc	Separation	Pathobiology	5/22/2023
Warner,Sarah	Admin Program Support 3	Separation	Innovations Institute	7/28/2023
Webster,Gregory M	Assoc Professor	Separation	Dramatic Arts	6/17/2023
Wessell,Lindsay Katherine	Grants and Contracts Spec	Separation	Social Work	7/8/2023

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West,Samantha Irene Eldredge	Financial Assistant 2	Separation	Environmental Sci and Engr Ctr	6/24/2023
Wollschlager,Meradith Ganow	Student Services Program Admin	Separation	Business Undergrad Programs	5/27/2023
Woodaman,Brien	Audio Visual Technician 3	Separation	Campus Technology Services	6/1/2023
Wu,Xiulan	Research Asst 2	Separation	Social Work Instruct and Rsrch	6/30/2023
Young,Anna	Instructional Developer	Separation	Student Health and Wellness	8/25/2023
Yue,Lindsay	Research Asst 1	Separation	Ecology and Evolutionary Bio	8/1/2023
Zoli,Corrinne	Research Scholar	Separation	International Studies Assoc	7/1/2023

**University of Connecticut Department of Human Resources  
 Leaves of Absence Processed through 9/8/23  
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NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY
			START	END		STATUS
Percy,Marie C	Asst Professor in Res	Dramatic Arts	8/23/2023	5/21/2024	Personal	Unpaid
Trallero,Sarah Melissa	Instructor in Residence	Physics	8/23/2023	1/6/2024	Personal	Unpaid
Jacobs, Elizabeth	Asst. Professor	Sociology	8/23/2023	12/31/2023	Personal	Unpaid
Rendon-Garcia,Sarah A	Asst. Professor	Human Dev and Family Sciences	8/23/2023	5/21/2024	Personal	Unpaid

# **COMMITTEE AGENDAS**

# AGENDA

Meeting of the  
**BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE**  
September 19, 2023, at 10:00 a.m.  
University of Connecticut

Meeting held by Telephone

Public Call In Number:

(415) 655-0002 US Toll

Access Code: 2620 537 1545

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **10:00 a.m.**

1. Public Participation\*

\*Individuals who wish to speak during the Public Participation portion of the Tuesday, September 19, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, September 18) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

**TAB**

- |  |   |
|--|---|
| 2. Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of June 13, 2023, as circulated   | 1 |
| 3. Construction Assurance Office Report – June 2023<br>➤ Presenter: Angelo Quaresima, Associate Vice President and Chief Audit Executive   | 2 |
| 4. Project Updates ~ Storrs Based Programs (Facilities Operations)<br>➤ Presenter: Stanley Nolan, Interim Associate Vice President for Facilities Operations                     | 3 |
| 5. Project Updates ~ Storrs Based Programs (UPDC)<br>➤ Presenter: Laura Cruickshank, Associate Vice President for University Planning, Design and Construction                   | 4 |
| 6. UConn Health Updates, Facilities Development and Operations<br>➤ Presenter: George Karsanow, Associate Vice President for UConn Health Campus Planning, Design & Construction | 5 |

7. **Projects Reviewed by BGE and to be presented to Financial Affairs on 09/27/23:**

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
Electric Vehicle Charging Infrastructure & Service Upgrades	Final	\$957,140	6
Hydrogen Fuel Dispenser	Final	\$835,500	7
Eversource Second Electrical Feed	Final	\$15,000,000	8
South Campus Infrastructure, Ph. 1-3	Revised Final	\$89,500,000	9
 <u>UConn HEALTH</u>			
University Tower (UT) 7th Floor Pharmacy Fit-Out	Planning	\$10,250,000	10
Outpatient Pavilion 3 <sup>rd</sup> Floor Backfill	Design	\$3,300,000	11
Outpatient Pavilion X-Ray & Blood Draw Relocation	Design	\$850,000	12
Musculoskeletal Institute Rehabilitation Expansion	Design	\$640,000	13
Cryo Electron Microscope Installation	Design	\$960,000	14
Fluoroscopy Equipment Replacement & Renovation	Final	\$745,000	15
Muscular Skeletal Institute Chiller Replacement	Final	\$570,000	16
Central Sterile Washer & Sterilizer Replacement	Final	\$4,970,000	17
New England Sickle Institute Renovation	Final	\$4,865,000	18
Cadaver Lab Renovation and Air Handling Unit Replacement	Final	\$2,960,000	19
Cell & Genome Sciences Building Data Center Cooling System Upgrades	Final	\$840,000	20
16 Munson Road Emergency Lighting & Egress Upgrades	Final	\$1,900,000	21
TB-121 Blood Bank Relocation	Revised Final	\$880,000	22
16 Munson Road Parking Lot Paving	Revised Final	\$2,075,000	23
836 Hopmeadow Street, Simsbury Clinical Practice Relocation	Revised Final	\$4,729,000	24
5 Munson Road Clinical Fit-Out	Revised Final	\$9,344,000	25

**INFORMATION ITEMS:**

- 8. Summary of Individual Change Orders Greater Than 3% of Project Cost (Storrs based projects) 26
- 9. Status of Code Correction Projects 27
  - Construction Management Oversight Committee Quarterly Code Correction Status Report – Code Exception Report
  - Quarterly Construction Status Report, Period Ending June 30, 2023  
[UConn Quarterly Construction Status Report 06302023web.pdf](#)
- 10. Construction Projects Status Report  
<https://bpir.uconn.edu/home/capital-budget/capital-reports-and-presentations/construction-status-reports/>

11. 2024 BGE Meeting Schedule

28

12. Other Business

13. Executive Session (*As Needed*)

14. Adjournment

## SPECIAL TELEPHONE MEETING

### UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES

#### COMMITTEE ON COMPENSATION

#### AGENDA

Meeting held by Telephone

August 18, 2023

Public Call In Number:  
(415) 655-0002 US Toll  
Access Code: 2621 599 8239

Public Access Link (with live captioning):  
<http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

#### **Call to order at 8:00 a.m.**

1. Public Participation (limited to agenda items)\*  
\* Individuals who wish to address the Committee during the Public Participation portion of the Friday, August 18, 2023 meeting, limited to agenda items, must submit a request in writing 24 hours in advance of the start of the meeting (by Thursday, August 17 at 8:00 a.m.) to the following email address: [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.
2. Minutes of the Committee on Compensation Special Meeting of December 16, 2022
3. Executive Session
4. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu) prior to the meeting.*



## AGENDA

Meeting of the  
**CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE**  
**September 19, 2023, at 10:00 a.m.**  
**University of Connecticut**

Meeting held by Telephone

Public Call In Number:  
(415) 655-0002 US Toll  
Access Code: 2620 537 1545

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **10:00 a.m.**

1. Public Participation\*

\*Individuals who wish to speak during the Public Participation portion of the Tuesday, September 19, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, September 18) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

2. Construction Management Oversight Committee Membership

3. Executive Session (*As Needed*)

4. Adjournment

## Telephone Meeting

### University of Connecticut Board of Trustees Institutional Advancement Committee Tuesday, September 12, 2023, at 1:15 p.m.

#### AGENDA

##### Meeting held by Telephone

Public Call-In Number: (415) 655-0002 US Toll

Access Code: 2621 183 6281

Public Streaming Link (with live captioning): <http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

1. Public Participation\*

\*Individuals who wish to speak during the Public Portion of the Tuesday, September 12, meeting must do so 24 hours in advance of the meeting's start time (1:15 p.m. on Monday, September 11) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

2. Institutional Advancement 2024 Meeting Dates

Dr. Dennis-LaVigne

**Attachment 1**

3. Minutes from the June 12, 2023, Meeting

Dr. Dennis-LaVigne

**Attachment 2**

4. Naming Resolution

Provost D'Alleva

**Attachment 3**

Nicole D. Gabelman CLAS '12 Bench at the W.B. Young Building

5. UConn Foundation Named Gift Guidelines

Mr. Greenblatt

**Attachment 4**

6. Legislative Update

Ms. Lombardo

7. UConn Foundation / Alumni Update

Ms. Cotton Kelley /  
Mr. Greenblatt

8. University Communications Update

Mr. Kendig

**Attachment 5**

9. Other Business

10. Executive Session (as needed)

11. Adjournment

University of Connecticut & UConn Health  
**Joint Audit & Compliance Committee Meeting**  
**September 21, 2023**

**Agenda**

10:00 am – 10:30 am - Executive Session / 10:30 am – 12:00 pm - Public Session

Meeting held by Telephone

Public Call in # +1-415-655-0002 US Toll  
 Access code: 2622 357 1734

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Topic	Proposed Action	Attachment
Executive Session Anticipated	Review	None
<b>1. GENERAL</b>		
<ul style="list-style-type: none"> <li>• Public Comment*</li> </ul>		None
<ul style="list-style-type: none"> <li>• Minutes of the June 15, 2023, Meeting</li> </ul>	Approval	1.1
<b>2. EXTERNAL AUDIT ACTIVITIES</b>		
<ul style="list-style-type: none"> <li>• Status of External Audit Engagements</li> </ul>	Update	2.1
<ul style="list-style-type: none"> <li>• UConn Health 340B Program - Audit Close-out Report CY22</li> </ul>	Update	2.2
<ul style="list-style-type: none"> <li>• Auditors of Public Accounts – UConn Departmental Audit for Fiscal Years Ending June 30, 2019, 2020, and 2021</li> </ul>	Presentation Report	2.3 2.4
<b>3. SIGNIFICANT INTERNAL AUDIT ACTIVITIES</b>		
<ul style="list-style-type: none"> <li>• Status of Audit Assignments</li> </ul>	Update	3.1
<ul style="list-style-type: none"> <li>• Status of Audit Findings</li> </ul>	Update	3.2
<ul style="list-style-type: none"> <li>• Draft Audit Plan FY 2024</li> </ul>	Approval	3.3
<b>4. COMPLIANCE ACTIVITIES</b>		
<ul style="list-style-type: none"> <li>• Significant Compliance Activities</li> </ul>	Update	4.1
<ul style="list-style-type: none"> <li>• Draft Compliance Plan FY 2024</li> </ul>	Approval	4.2
<ul style="list-style-type: none"> <li>• Draft UConn Compliance and Ethics Committee Charter</li> </ul>	Approval	4.3
<ul style="list-style-type: none"> <li>• Healthcare Compliance and Privacy Dashboard</li> </ul>	Update	4.4
<ul style="list-style-type: none"> <li>• Informational/Educational Items</li> </ul>	Informational	4.5
<b>5. INFORMATION TECHNOLOGY</b>		
<ul style="list-style-type: none"> <li>• UConn</li> </ul>	Update	5.1
<ul style="list-style-type: none"> <li>• UConn Health</li> </ul>	Update	5.2
<b>6. OTHER BUSINESS</b>		
<b>7. ADJOURNMENT</b>		

\* Individuals who wish to speak during the Public Participation portion of the Thursday, September 21, meeting must do so 24 hours in advance of the meeting’s start time (i.e., 10:00 a.m. on Wednesday, September 20) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comments to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

## Telephone Meeting

### University of Connecticut Board of Trustees Committee for Research, Entrepreneurship and Innovation

Thursday, September 28, 2023

#### AGENDA

Meeting held by Telephone:

Public Call in #: (415) 655-0002 US Toll

Access Code: 2621 161 3696

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **1:00 p.m.**

1. Public Participation\*

\*Individuals who wish to speak during the Public Participation portion of the Thursday, September 28, meeting must do so 24 hours in advance of the meeting's start time (i.e., 1:00 p.m. on Wednesday, September 27) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of the public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments via [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2. Minutes from the June 29, 2023, Meeting

3. Glycogen Storage Disease Research

Presentation by Youngmok Lee, Ph.D.  
Assistant Professor, Department of Pediatrics

4. Pioneering Research in Cell Analysis and Modeling

Presentation by Pedro Mendes, Ph.D.  
Professor, Department of Cell Biology  
Director, Center for Quantitative Medicine

5. Board of Trustees Statement in Support of the University's Initiatives on Sustainability and the Environment

6. Other Business

7. Executive Session (as needed)

8. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu) prior to the meeting.*

## TELEPHONE MEETING

### UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES

#### STUDENT LIFE COMMITTEE

September 20, 2023, 4:00 p.m.

#### AGENDA

##### Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 2622 981 7218

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

1. Call to Order
2. Public Participation\*  
\*Individuals who wish to speak during the Public Participation portion of the Wednesday, September 20, meeting must do so 24 hours in advance of the meeting's start time (i.e., 4:00 p.m. on Tuesday, September 19) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.
3. Minutes from the April 12, 2023, Special Meeting
4. Introduction of new Student Life, Student Success & Dean of Students Leaders
5. Overview of Structural Changes
6. Update on Fall Opening, Move-In & Housing Capacity
7. Update on Wellness Learning Community
  - Suzanne Onorato, Executive Director, Student Health & Wellness
8. Other Business
9. Executive Session (as needed)
10. Adjournment

# Academic Affairs

# TELEPHONE MEETING

Board of Trustees  
**ACADEMIC AFFAIRS COMMITTEE**  
University of Connecticut  
September 26, 2023  
8:30 a.m.

## AGENDA

### Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 2620 795 2935

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **8:30 a.m.**

### 1. Public Participation\*

\*Individuals who wish to speak during the Public Participation portion of the Tuesday, September 26, meeting must do so 24 hours in advance of the meeting's start time (i.e., 8:30 a.m. on Monday, September 25) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

## ACTION ITEMS

2. Minutes from the August 4, 2023, Meeting (Attachment 1)
3. Tenure at Hire (Attachment 2)
4. Designation of Emeritus Status (Attachment 3)
5. Sabbatical Leave Recommendations (Attachment 4)
6. Proposal for naming of the College of Engineering, including the School of Computing and School of Mechanical, Aerospace and Manufacturing Engineering (Attachment 5)

7. Appointment of Associate Professor Ali Bazzi as the Charles H. Knapp Associate Professor in Electrical Engineering in the School of Engineering (Attachment 6)
8. Appointment of Associate Professor Matthew Stuber to the Pratt & Whitney Associate Professorship in Advanced Systems Engineering in the School of Engineering (Attachment 7)
9. Appointment of Associate Professor Liang Zhang to the Pratt & Whitney Associate Professorship in Advanced Systems Engineering in the School of Engineering (Attachment 8)

**INFORMATIONAL ITEMS**

10. Title Change from Regional Campus Director to Campus Dean and Chief Administrative Officer (Attachment A)
11. Academic Program Inventory (Attachment B)
12. Student Affairs Reorganization Update
13. Executive Session (as needed)
14. Other Business
15. Adjournment



**ATTACHMENT A**

September 26, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Title change from Regional Campus Director to Campus Dean and Chief  
Administrative Officer

BACKGROUND:

The University's regional campuses, including Avery Point, Hartford, Stamford and Waterbury, are each overseen by a regional campus director. The directors are responsible for strategic vision and operational leadership of all aspects of the campus, including setting priorities and collaborating with our academic deans to achieve excellence in research, outreach, and undergraduate and graduate education.

To better recognize the significant role the director plays in leading the campus both internally and externally to its constituents, they will now hold the title of Campus Dean and Chief Administrative Officer. This title change has been approved internally and is in line with leadership structures at other institutions with similar campus structures. This change does not require any additional resources.

**ATTACHMENT B**

September 26, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Academic Program Inventory

BACKGROUND:

The Office of Higher Education maintains an inventory of approved academic programs offered by public and independent colleges and universities in Connecticut. Those listings are available to the general public through the Office's web site and provide the most accurate up-to-date information about programs of study in our state.

The information on the Inventory of Approved Academic programs is an important resource and is used to convey educational information to a broad range of constituencies, both in Connecticut and across the country. Additionally, in order for veterans to receive their earned educational benefits, they must be enrolled in a program that is accredited.

The following non-substantive changes and updates are provided to the Board for informational purposes.

- Name change from: M.S. in Financial Risk Management to M.S. in Financial and Enterprise Risk Management

# Financial Affairs

# AGENDA

Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**September 26, 2023, at 10:00 a.m.**  
**University of Connecticut**

Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 2622 855 7564

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **10:00 a.m.**

1) Public Participation\*

\*Individuals who wish to speak during the Public Participation portion of the Tuesday, September 26, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, September 25) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

**ACTION/PRESENTATION ITEMS:**

**TAB**

- |  |   |
|--|---|
| 2) Minutes of the June 27, 2023, Meeting   | 1 |
| 3) Contracts and Agreements for Approval   | 2 |
| 4) Annual Report of the Endowed Chair Program Entitled<br>"Aetna English Chair of Writing" | 3 |
| 5) FY23 Capital Expenditures   | 4 |
| 6) School of Medicine Tuition and Fee Rates for 2024-25 and 2025-26<br>Academic Years      | 5 |

## **CAPITAL PROJECT BUDGETS FOR APPROVAL:**

	<b><u>Phase</u></b>	<b><u>Budget</u></b>	<b><u>Tab</u></b>
<b><u>STORRS BASED PROGRAMS</u></b>			
7) Electric Vehicle Charging Infrastructure & Service Upgrades	Final	\$957,140	6
8) Hydrogen Fuel Dispenser	Final	\$835,500	7
9) Eversource Second Electrical Feed	Final	\$15,000,000	8
10) South Campus Infrastructure, Ph. 1-3	Revised Final	\$89,500,000	9
<b><u>UCONN HEALTH</u></b>			
11) University Tower (UT) 7th Floor Pharmacy Fit-Out	Planning	\$10,250,000	10
12) Outpatient Pavilion 3 <sup>rd</sup> Floor Backfill	Design	\$3,300,000	11
13) Outpatient Pavilion X-Ray & Blood Draw Relocation	Design	\$850,000	12
14) Musculoskeletal Institute Rehabilitation Expansion	Design	\$640,000	13
15) Cryo Electron Microscope Installation	Design	\$960,000	14
16) Fluoroscopy Equipment Replacement & Renovation	Final	\$745,000	15
17) Muscular Skeletal Institute Chiller Replacement	Final	\$570,000	16
18) Central Sterile Washer & Sterilizer Replacement	Final	\$4,970,000	17
19) New England Sickle Institute Renovation	Final	\$4,865,000	18
20) Cadaver Lab Renovation and Air Handling Unit Replacement	Final	\$2,960,000	19
21) Cell & Genome Sciences Building Data Center Cooling System Upgrades	Final	\$840,000	20
22) 16 Munson Road Emergency Lighting & Egress Upgrades	Final	\$1,900,000	21
23) TB-121 Blood Bank Relocation	Revised Final	\$880,000	22
24) 16 Munson Road Parking Lot Paving	Revised Final	\$2,075,000	23
25) 836 Hopmeadow Street, Simsbury Clinical Practice Relocation	Revised Final	\$4,729,000	24
26) 5 Munson Road Clinical Fit-Out	Revised Final	\$9,344,000	25

### **INFORMATION ITEMS:**

- 27) UConn Health Finance Corporation Report on Operations 26
- 28) Construction Project Status Report  
<https://bpir.uconn.edu/wp-content/uploads/sites/3452/2023/09/Construction-Status-Report-9.27.23.pdf>
- 29) UCONN 2000 Book 56  
<https://bpir.uconn.edu/wp-content/uploads/sites/3452/2023/09/UCONN-2000-BOOK-56.pdf>
- 30) Other Business
- 31) Executive Session (*as needed*)
- 32) Adjournment

**ATTACHMENT A**



**CONSTRUCTION PROJECTS STATUS REPORT**  
**BOARD OF TRUSTEES MEETING -September 27, 2023**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 9/13/23)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Planning Budget Phase</b>								
<b>School of Nursing Building</b>	300260	\$2,000,000	\$38,121	P	UCONN 2000 Bond Funds	6/28/23		
<b>Design Budget Phase</b>								
Field House- Old Rec Center Renovation	300209	\$4,500,000	\$2,561,034	RP-\$3M D-\$4.5M	UCONN 2000 Bond Funds University Funds	06/29/22 06/28/23		
Jones Annex Renovation	300203	\$940,000	\$454,608	D-\$940k	UCONN 2000 Bond Funds	09/28/22		
<b>Revised Design Budget Phase</b>								
Mirror Lake Improvements	300174	\$4,000,000	\$2,992,748	D-\$1.6M RD-\$2.6M RD- \$4.0M	UCONN 2000 Bond Funds	10/27/21 03/30/22 04/19/23		
<b>Final Budget Phase</b>								
Academic and Research Facilities - STEM Research Center	901802	\$220,000,000	\$172,039,583	P-\$1M RP-\$5M RP-\$15M D-\$15M F-\$220M	UCONN 2000 Bond Funds	11/13/13 06/29/16 09/27/17 06/26/19 04/29/20	Fall 2023	98%
Avery Point Seawall Exigent Improvements	300253	\$3,063,069	\$2,529,195	F	UCONN 2000 Bond Funds	12/07/22	December 2023	80%
Branford House Exterior Repairs, Phases 1 & 2	FO500106	\$800,000	\$749,554	F	University Funds	02/22/23	December 2023	50%
Dining Hall Facilities Ventilation Upgrades	FO500010	\$892,700	\$380,874	F	University Funds	02/21/18	August 2024	25%
Electric Vehicle Charging Infrastructure & Service Upgrades	FO502039	\$957,200	\$0	F	University Funds	09/27/23-pending		
Eversource Second Electrical Feed	300136	\$15,000,000	\$1,710,004	D-\$3M F-\$15M	UCONN 2000 Bond Funds	12/08/21 09/27/23-pending	Fall 2026	0%
Fenton River Well Field and Road Replacement	901653	\$1,700,000	\$1,520,405	F	UCONN 2000 Bond Funds	04/19/23	Fall 2023	75%
Freitas Renovation	300252	\$2,500,000	\$676,363	F	Gift Funds	04/19/23	Fall 2023 Summer 2024	Phase 1: 95% Phase 2: 0%
Gampel Pavilion Court Replacement	300255	\$688,480	\$626,901	F	University Funds	12/07/22	October 2023	95%
Gilbert Road Site Preparation	300235	\$6,600,000	\$3,173,689	RD-\$1.25M F-\$6.6M	UCONN 2000 Bond Funds	06/29/22	Fall 2023	70%
Greer Field House Indoor Track Resurfacing	FO500159	\$807,092	\$758,810	F	University Funds	12/07/22	October 2023	95%
Hydrogen Fuel Dispenser	FO502031	\$835,500	\$0	F	University Funds	09/27/23-pending		
Kinesiology Bldg. Human Anatomy Learning Laboratory Renovation	300188	\$753,500	\$517,055	F	UCONN 2000 Bond Funds	12/09/20	October 2023	99%

**CONSTRUCTION PROJECTS STATUS REPORT**  
**BOARD OF TRUSTEES MEETING -September 27, 2023**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 9/13/23)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Final Budget Phase - continued</b>								
Northwest Quad: Science 1-Site Improvements	300050	\$56,000,000	\$52,373,387	RP-\$1M D-\$7.5M F-\$56M	UCONN 2000 Bond Funds	02/21/18 06/27/18 04/29/20	Fall 2023	99%
Residential Life Facilities: Mansfield Apartments Redevelopment - Part 1	300234	\$12,000,000	\$7,382,252	P-\$850k D-\$4.6M F-\$12.0M	UCONN 2000 Bond Funds	09/29/21 03/30/22 06/29/22	Summer 2023 Spring 2024	Phase 1: 100% Phase 2 -0%
Residential Life Facilities: South Campus Residence Hall	300200	\$215,000,000	\$152,797,837	P-\$800k D-\$6.5M F-\$215M	UCONN 2000 Bond Funds	12/08/21 12/09/20 08/03/22	Fall 2024	55%
School of Engineering Backfill Renovations	TL2405	\$1,771,410	\$1,679,200	F	University Funds	06/29/22	October 2023	75%
Stamford Abutting Property Remediation	300149	\$2,500,000	\$1,977,418	F	UCONN 2000 Bond Funds	04/24/19	Fall 2023	99%
UConn Hockey Arena	300133	\$70,000,000	\$66,598,428	RP- \$0.85M D-\$2.85M RD-\$4.6M F-\$70M	University Funds Revenue Bonds Gift Funds	08/14/19 01/29/20 09/03/20 04/28/21	October 2023	98%
White Building Roof and Drainage System Replacement	FO500127	\$945,800	\$938,488	F	University Funds	06/29/22	July 2023 November 2023	Phase 1 - 100% Phase 2 - 5%
Wired Access Layer Infrastructure Refresh - Phase III	Various	\$4,000,000	\$3,942,041	F	UCONN 2000 Bond Funds	09/29/21	October 2023	98%
Wired Access Layer Infrastructure Refresh - Phase IV	Various	\$2,000,000	\$1,833,760	F	UCONN 2000 Bond Funds	06/29/22	October 2023	75%
Wired Access Layer Infrastructure - Cabling Phase V	Various	\$2,000,000	\$0	F	UCONN 2000 Bond Funds	06/28/23	August 2024	0%
<b>Revised Final Phase</b>								
Academic & Research Facilities - Gant Building Renovations - STEM	901803	\$268,000,000	\$152,987,591	P-\$1M RP-\$5M D-\$15M F-\$85M RF-\$170M	UCONN 2000 Bond Funds University Funds	11/13/13 09/30/15 08/10/16 02/22/17 06/26/19	TBD	MOB-100% Phase 1-100% Phase 2-100% Phase 3-0%
Andover Infrastructure and Software Upgrade- Phase I, II, III	FO500073	\$3,480,439	\$3,480,052	F-\$2.6M F-\$3.346M RF-\$3.48M	University Funds	09/30/20 02/24/21 04/27/22 06/28/23	COMPLETE COMPLETE COMPLETE July 2024	Phase - 1 100% Phase - 2 100% Phase -3 100% Phase - 4 0%
Boiler Plant Equipment Replacement and Utility Tunnel Connection	300151	\$43,000,000	\$38,255,083	RP-\$1.25M D-\$2.3M F-\$40M RF-\$43M	UCONN 2000 Bond Funds	04/24/19 08/14/19 02/26/20 06/28/23	Fall 2023	92%
Main Campus SubStation Switchgear Relay Replacement AET 5P-14G SCADA	FO500090	\$640,000	\$640,000	F-\$565k RF-\$592k RF-\$640k	University Funds	02/26/20 04/27/22 06/29/22	October 2023	98%

**CONSTRUCTION PROJECTS STATUS REPORT**  
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Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 9/13/23)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Revised Final Budget Phase - continued</b>								
North & South Parking Garages Restoration, Phase I & II	FO500056	\$3,000,000	\$2,674,550	F-\$1.0M	University Funds	10/28/20	July 2023	Phase 1- 100%
				RF-\$2.0M		06/30/21	October 2023	Phase 2- 98%
				RF\$3.0M		12/07/22	October 2023	Phase 3-20%
							TBD	Phase 4 - 0%
North Eagleville Road and Discovery Drive Intersection Improvements	300169	\$3,000,000	\$2,199,095	D-\$750k	UCONN 2000 Bond Funds	09/30/20	Winter 2024	15%
				F-\$800k		10/27/21		
				RF-\$2.0M		04/27/22		
South Campus Infrastructure-Phase I, II, III	300241	\$89,500,000	\$35,182,662	RP-\$1.25M	UCONN 2000 Bond Funds	03/30/22	Winter 2026	5%
				D-\$1.750M		06/29/22		
				RD- \$4.5M		09/28/22		
				F-\$11.0M		12/07/22		
				RF-\$44M		04/19/23		
				RF-\$82M		06/28/23		
RF-\$89.5M	09/27-23-pendng							
Storrs LED (SLED) Lighting Upgrade	FO500025	\$7,850,000	\$7,745,981	F-\$5.35M RF-\$7.85M	University Funds	12/09/20	October 2023	95%
UCONN 2000 Code Remediation: Stamford Downtown Relocation	201523	\$22,000,000	\$19,422,249	P-\$2M	University Funds	10/28/15	Jan. 2021	Phase 1: 100%
				RP-\$2.5M	UCONN 2000 Bond Funds	10/23/19	Fall 2023	Phase 2: 92%
				F-\$4M		02/26/20		
				RF-\$22M		02/24/21		
<b>Recently Completed Projects <sup>(1)</sup></b>								
Atwater Laboratory Emergency Power Installation	FO500058	\$682,700	\$674,851	F-\$535k	University funds	04/28/21	July 2023	100%
				RF-\$603k		10/27/21		
				RF-\$682k		06/29/22		
B4 Steam Vault and Line Exigent Repair	300247	\$7,700,000	\$6,893,171	F-\$5.5M	UCONN 2000 Bond Funds	03/30/22	Complete	100%
				RF-\$7.7M	University Funds	06/29/22		
Chemistry Building Roof Snow Guard Replacement	FO500093	\$916,000	\$821,605	F	University Funds	09/30/20	July 2023	100%
George J. Sherman Family Sports Complex Turf Replacement	FO500156	\$1,640,997	\$1,465,144	F	University Funds	06/26/22	July 2023	100%
Public Safety Building Improvements	201703	\$7,750,000	\$7,422,537	F-\$4.55M	UCONN 2000 Bond Funds	3/27/19	Complete	100%
				RF-\$7.0M	University Funds	12/09/20		
				RF-\$7.45M		09/29/21		
				RF-\$7.75M		06/29/22		
Supplemental Utility Plant	300025	\$67,000,000	\$63,059,774	P-\$5M	UCONN 2000 Bond Funds	09/27/17	Complete	100%
				D -\$5M		06/26/19		
				F-\$67M		02/26/20		

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<b>Planning Budget Phase</b>								
UCH - Connecticut Tower (CT) 7th Floor Med-Surg/Observation Unit Renovation	23-027	\$2,500,000	\$0	P	UConn Health Capital Funds	(06/12/23)* 06/28/23		
UCH - Hybrid OR#2 Fit-Out	23-035	\$7,100,000	\$0	P	UConn Health Capital Funds	(06/12/23)* 06/28/23		
UCH - Interventional Radiology Equipment Replacement & Renovation	20-001	\$4,700,000	\$0	P	UConn Health Capital Funds	(06/12/23)* 06/28/23		
UCH-Tomotherapy Unit Replacement	20-058	\$5,220,000	\$207,899	P-\$5.22M	UConn Health Capital Funds	(06/12/23)* 04/19/23		
UCH-University Tower (UT) 7th Floor Pharmacy Fit-Out	23-024	\$10,250,000	\$3,000	P	UCONN 2000 Bond Funds UConn Health Capital Funds	(09/11/23)* 09/27/23-pending		
<b>Design Budget Phase</b>								
UCH - Cryo Electron Microscope Installation	22-055	\$960,000	\$154,030	P-\$960k D-\$960k	UConn Health Research IDC Capital	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23-pending		
UCH - Musculoskeletal Institute Rehabilitation Expansion	23-029	\$640,000	\$38,200	P-\$640k D-\$640k	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23-pending		
UCH - Outpatient Pavilion 3rd Floor Backfill	22-060	\$3,300,000	\$246,100	P-\$3.3M D-\$3.3M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23-pending		
UCH - Outpatient Pavilion X-Ray & Blood Draw Relocation	22-046	\$850,000	\$110,200	P-\$650k D-\$850k	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23-pending		
<b>Final Budget Phase</b>								
UCH - Building D & Building N Roof Replacement	22-601.02	\$2,500,000	\$2,169,165	P-\$1.1M D-\$2.565M F-\$2.5M	UCONN 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (06/12/23)* 06/28/23	November 2023	2%
UCH - Building E & Building K Roof Replacement	22-601.01	\$2,160,000	\$103,400	P-\$630K D-\$2.16M F-\$2.16M	UCONN 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (06/12/23)* 06/28/23	November 2023	0%

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<b>Final Budget Phase - continued</b>								
UCH - Cadaver Lab Renovation & Air Handling Unit Replacement	23-601.12	\$2,960,000	\$79,250	D-\$1.8M F-\$2.96	UCONN 2000 Bond Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23-pending		
UCH - Canzonetti (F) Building Wound Care Center Renovation	22-019	\$1,225,000	\$0	D-\$850k F-\$1.225M	UConn Health Capital Funds Restorix Health Inc Design & Construction Allowance	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23	April 2024	0%
UCH - Cardio Catheterization (Cath) & Electro Physiology (EP) Lap Renovation	22-017	\$6,430,000	\$5,342,051	P-\$3.7M D-\$6.166M F-\$6.430M	UConn Health Capital Funds	(06/13/22)* 06/29/22 (12/05/22)* 12/07/22	October 2024	10%
UCH -Cell & Genome Science Building Data Center Cooling Upgrades	23-601.07	\$840,000	\$43,000	D-\$650k F-\$840k	UCONN 2000 Bond Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23-pending		
UCH - Central Sterile Washer & Sterilizer Replacement	21-034	\$4,970,000	\$0	P-\$1.9M D-\$3.985M F-\$4.97M	UCONN 2000 Bond Funds UConn Health Capital Funds	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (09/11/23)* 09/27/23-pending		
UCH-CGSB & ARB Autoclave and Washer Replacement	22-012	\$1,200,000	\$1,103,069	F-\$1.2M	UConn Health Research IDC Capital	(12/05/22)* 12/07/22	October 2023	75%
UCH-Fluoroscopy Equipment Replacement and Renovation	21-009	\$745,000	\$62,500	F	UConn Health Capital Funds	(09/11/23)* 09/27/23-pending		
UCH-HIM Workplace Improvements	21-019	\$763,000	\$736,729	F	UCONN 2000 Bond Funds	(03/13/23)* 02/22/23	October 2023	95%
UCH-Main Building (L) Lab Renovations - 2nd Floor	22-013	\$10,200,000	\$4,829,721	P-\$7.8M D-\$8.972M F-\$10.2M	UCONN 2000 Bond Funds UConn Health Research IDC Capital UCH School of Medicine Operating Funds UConn Health Capital Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (12/05/22)* 12/07/22	May 2024	20%
UCH - 16 Munson Road Emergency Lighting & Egress Upgrades	21-010	\$1,900,000	\$38,500	D-\$935k F-\$1.9M	UCONN 2000 Bond Funds UConn Health Capital Funds	(12/06/21)* 12/08/21 (09/11/23)* 09/27/23-pending		
UCH - Muscular Skeletal Institute Chiller Replacement	23-601.20	\$570,000	\$116,378	F	UCONN 2000 Bond Funds	(09/11/23)* 09/27/23-pending		

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<b>Final Budget Phase - continued</b>								
UCH - New England Sickle Cell Institute Renovation	21-063	\$4,865,000	\$142,150	P-\$3M D-\$4.865M F-\$4.865M	UCONN 2000 Bond Funds UConn Health Capital Funds	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (09/11/23)* 09/27/23-pending		
UCH - Replace Chilled Water Pump CHWP#4	21-018	\$642,000	\$295,090	F	UCONN 2000 Bond Funds UConn Health Capital Funds	(12/06/21)* 12/08/21	October 2023	75%
UCH-Transitional Nursery Renovation	21-016	\$1,800,000	\$89,800	D-\$1.8M F-\$1.8M	UConn Health Capital Funds	(03/13/23)* 02/22/23 (06/12/23)* 06/28/23	May 2024	0%
<b>Revised Final Phase</b>								
UCH - 5 Munson Road Clinical Fit-Out	22-042	\$9,344,000	\$674,590	F-\$8.4M RF-\$9.3M	UConn Health Capital Funds Landlord Tenant Fit-out Allowance	(06/13/22)* 06/29/22 (09/11/23)* 09/27/23-pending	December 2023	55%
UCH-16 Munson Road Parking Lot Paving	22-601.03	\$2,075,000	\$1,414,681	P-\$1.16M D-\$1.445M F-\$1.93M RF-\$1.61M RF-\$2.1M	UCONN 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (9/12/22)* 09/28/22 (09/12/22)* 09/28/22 (0313/23)* 02/22/23 (09/11/23)* 09/27/23-pending	November 2023	50%
UCH-836 Hopmeadow Street, Simsbury Clinical Practice Relocation	21-036	\$4,729,000	\$4,286,115	P-\$3.875M D-\$3.875M F-\$4.297M RF-\$47.3	UConn Health Capital Funds Landlord Tenant Fit Out Allowance	(09/20/21)* 09/29/21 (12/06/21)* 12/08/21 (06/13/22)* 04/27/22 (09/11/23)* 09/27/23-pending	May 2023	99%
UCH - Psychiatry Seclusion Suite & Nurse Station Security Renovation	21-050	\$1,197,000	\$808,969	D-\$790k F-\$1.042M RF-\$1.197M	UConn Health Capital Funds	(06/13/22)* 06/29/22 (12/05/22)* 12/07/22	February 2024	30%

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<b>Revised Final Phase - continued</b>								
UCH - Replace Building F & H Hot Water Tanks	19-603.11	\$845,000	\$422,600	F-547k RF-\$845k	UConn 2000 Bond Funds	(12/06/21)* 12/08/21 (09/12/22)* 09/28/22	January 2024	10%
UCH - TB-121 Blood Bank Relocation	23-010	\$880,000	\$43,000	F-\$765k RF-\$880k	UConn Health Capital Funds CIRP	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23-pending	May 2024	0%
<b>Recently Completed Projects <sup>(1)</sup></b>								
P = Planning Budget		RD = Revised Design Budget						
RP = Revised Planning Budget		F = Final Budget						
D = Design Budget		RF = Revised Final Budget						
* UConn Health Board of Directors Reviewed and Recommended for Board of Trustees Approval								
<sup>(1)</sup> Completed projects assume "Completed, Commissioned and Occupied". Projects continue to be included in this report for 1 year after completion to accommodate initial financial closeout adjustments.								

**ATTACHMENT B**



UConn  
2000

56 REPORT



**UConn**  
UNIVERSITY OF CONNECTICUT



Thanks in good measure to UConn 2000, the University continues to attract scores of the state and nation's best and brightest students to Connecticut, most of whom live and work in the Nutmeg State after commencement.

# **UCONN 2000**

## **REBUILDING, RENEWING AND ENHANCING THE UNIVERSITY OF CONNECTICUT**

**July 2023**

**The Fifty-Sixth**  
in a series of reports to

Governor Ned Lamont  
and the  
Connecticut General Assembly

**UNIVERSITY OF CONNECTICUT**

## **UCONN 2000: THE UPDATE**

This is the fifty-sixth in a series of semi-annual reports to the Governor and the General Assembly pursuant to the provisions of Sections 10a-109 through 10a-109y of the Connecticut General Statutes, originally An Act to Enhance the Infrastructure of the University of Connecticut, now known as UCONN 2000. These reports have been issued biannually since passage of UCONN 2000 on June 7, 1995. The law also requires a four-year progress report, which was filed on January 15, 1999, as well as two five-year performance reviews, which were submitted January 15, 2006 and January 15, 2011. The Act further provides that not later than December 31, 2019 and every 5 years thereafter, the University shall conduct an assessment of the University's progress in meeting the purposes set forth and incorporated in the Act by Next Generation Connecticut.

## **UCONN 2000: PROGRAM IMPROVEMENT**

During this reporting period, the requirements concerning UCONN 2000 contained in Public Act 06-134 continue to be implemented. In 2008, the Construction Management Oversight Committee (CMOC) concluded its most significant and major undertaking, approving the policies and procedures developed by the University. In December 2014, the CMOC unanimously voted that the CMOC had successfully concluded its work as defined in statute and the four members appointed by the Governor and legislative leaders resigned from the committee. Despite requests to refill vacancies, no new appointments have been made and the committee has not been able to meet due to the lack of a quorum. Absent the appointments being made, the UConn Board of Trustees voted to transfer the CMOC's responsibilities to the Board's Buildings, Grounds and Environment Committee (BGE). BGE meets on a regular basis to review reports from the Office of Audit and Management Advisory Services (AMAS), which has taken on the statutory responsibilities of the Office of Construction Assurance. The office reviews the University's management of projects for conformance with the applicable policies and procedures governing construction, and is headed by the Chief Audit Executive, who reports to the Joint Audit & Compliance Committee, UConn Board of Trustees and the President. BGE also reviews the status of major projects and remaining code correction programs.

Pursuant to Chapter 185b, Section 10a-109z of the Connecticut General Statutes (the Statute), the Board of Trustees for the University of Connecticut appointed the independent accounting firms of Marcum LLP (formerly UHY LLP), and RSM US LLP (formerly McGladrey LLP), to perform audits of the UCONN 2000 project expenditures for the Fiscal Years 2005 through 2010 and 2011 through 2015, respectively. Marcum and RSM issued an unmodified or clean audit opinion for each year of the engagement. With the exception of Fiscal Year 2013, no material weaknesses or significant deficiencies in internal controls were reported to management in connection with the audits of UCONN 2000 project expenditures for Fiscal Years 2005 through 2015. In connection with the audit of UCONN 2000 project expenditures for the 2013 Fiscal Year, RSM identified a deficiency in internal control that they determined constituted a significant deficiency related to the application of certain markups on change orders and base contracts. University management has taken steps to enhance the effectiveness of internal control to ensure that markup calculations are accurate and in accordance with contract terms. CohnReznick was appointed to perform audits of the UCONN 2000 project expenditures for the Fiscal Years 2016 through 2020. CohnReznick

issued unmodified audit opinions with no material weakness or significant deficiencies in internal controls reported to management for each year of the engagement.

Mayer Hoffman McCann P.C. (MHM) has been appointed to perform audits of the UCONN 2000 project expenditures for the Fiscal Years 2021 through 2023. For the 2022 Fiscal Year, MHM issued a report with no material weaknesses or significant deficiencies in internal controls as related to the statutory requirements.

The University employs building and life safety inspectors who review and sign off on all University construction plans and conduct field inspections to ensure that construction work meets all state building and life safety codes. The University inspectors work closely and effectively with the State Building Inspectors to ensure projects are code compliant.

## **RECENT CAPITAL FUNDING HISTORY: UNPARALLELED STATE COMMITMENT**

In 2011, the State of Connecticut made strategic investments in the University of Connecticut and the University of Connecticut Health Center (UConn Health) to position Connecticut at the forefront of the growing bioscience industry. The investments were made with the promise that they would leverage other bioscience initiatives.

In 2011, the General Assembly approved the Bioscience Connecticut Initiative (Public Act 11-75), a bold \$864 million plan to reinvigorate all three areas of the UConn Health mission – research, education and clinical care. These investments aimed to generate long term sustainable economic growth based on bioscience research, innovation, entrepreneurship and commercialization. The project also called for short-term economic activity through a surge of construction-related jobs attributable to the expansion and renovation of infrastructure at UConn Health.

Construction components of Bioscience Connecticut on the UConn Health campus included:

- Renovating and modernizing, for the first time in its history, UConn Health’s original research facilities on the Farmington campus. This included expanding space for start-up bioscience businesses at the Cell and Genome Sciences Building.
- Constructing a new hospital tower.
- Renovating portions of the John Dempsey Hospital and the Clinic Building.
- Constructing, with private financing, a new UConn Health Outpatient Pavilion on the lower campus.
- Construction of an addition to the Academic Building to support an increase in Medical and Dental School class sizes.

Programmatic components of Bioscience Connecticut included:

- Recruiting new faculty, including clinicians and basic scientists, to increase healthcare access and federal and industry research awards.
- Doubling existing business incubator space to support commercialization and start-up companies.
- Expanding the School of Medicine and School of Dental Medicine class sizes by 30 percent.
- Implementing a loan forgiveness program for UConn Medical School graduates who pursue careers in primary care in Connecticut.
- Sponsoring a Health Disparities Institute to enhance research and the delivery of care to the minority and medically underserved populations of the state.
- Supporting the development of a primary care institute located on the campus of Saint Francis Hospital and Medical Center – the Connecticut Institute for Primary Care Innovation – intended to increase the number of primary care providers in the state.
- Supporting the development of a comprehensive cancer center to expand clinical trials and advance patient care at multiple sites in the Hartford region.

The groundbreaking for the first Bioscience Connecticut project took place on June 11, 2012. As previously reported, all projects associated with Bioscience Connecticut are complete and the UConn Health campus has been transformed into a modern, state-of-the-art academic medical center campus. Key construction projects that have been finalized include the Main Building Research Lab Renovations, the Technology Incubator Addition, the Academic Addition and Renovations, the Outpatient Pavilion, a new hospital bed tower known as the University Tower, three new parking garages, and many roadway improvements both on and off campus. The final project, Renovations to the Clinical Area of the Main Building, was completed in May 2019. With the completion of the final projects, FY18 was the final year of funding for UConn Health projects under the Bioscience Connecticut Initiative.

Also in 2011, legislation was enacted, which was subsequently amended in 2014 that provided \$169.5 million in funding to create the first building of a Technology Park (The Innovation Partnership Building – IPB) on the Storrs campus. The new Tech Park, like the Bioscience Connecticut Initiative, is a critical component of Connecticut’s plan to stimulate long-term economic growth by supporting innovation, new technologies and the creation of new companies and high-paying, sustainable jobs. Twenty-first century tech park models emphasize invention, incubation and entrepreneurship. The first building of the Tech Park will help hit the mark by promoting academic and industrial partnerships and by providing the physical and intellectual capacity to foster discovery. The IPB was completed in 2020.

In October 2011, just months after the passage of the capital investment at UConn Health, the General Assembly adopted in special session, Public Act 11-2 establishing the Connecticut Bioscience Collaboration Program (the “Collaboration”) supporting the establishment of a Bioscience cluster anchored by the world-renowned Jackson Laboratory. The Jackson Laboratory Center for Genomic Medicine research building, located on UConn Health’s campus in Farmington, opened in October 2014.

In July 2013, the General Assembly adopted Public Act 13-233 establishing Next Generation Connecticut for the purposes of construction, renovations, infrastructure and equipment related to the development of preeminence in the University of Connecticut's research and innovation programs. The Act not only authorized additional projects, but it also extended the capital program by 6 years through 2024 and added \$1.551 billion of additional funding. Pending annual operating appropriations, the Next Generation Connecticut initiative will also allow the University to (1) hire and support outstanding faculty, (2) train and educate more science, technology, engineering and math (STEM) graduates to meet the future workforce needs of Connecticut, and (3) initiate collaborative partnerships that lead to scientific and technological breakthroughs. During the 2017 legislative session, the phasing of the capital program funding was changed and the Next Generation Connecticut initiative was extended by three years to 2027.

For UConn Health, during the 2021 legislative session, the General Assembly approved an additional \$25 million in the UCONN 2000 Program, Phase III, for deferred maintenance. During the 2022 legislative session, the General Assembly authorized the bond commission to issue an additional \$40 million in bonds to fund UConn Health deferred maintenance. In December 2022 the State Bond Commission approved the authorization of \$40 million in bond funds for UConn Health deferred maintenance. These critical funds will be used to repair or replace building infrastructure components that are outdated and/or past their projected life span. The overall need for such funding is projected to be \$295 million over a 10-year period.

During the 2023 legislative session, the General Assembly approved State bond funds (outside of the UCONN 2000 Program) as follows:

<b>State GO Bond Funds (\$M)</b>			
<b>UConn</b>		<b>FY24</b>	<b>FY25</b>
	Academic & Research Equipment	10.0	10.0
	Gampel Pavilion Renovation		10.0
	New Nursing Program Facility	30.0	
	XL Center Academic Space-Acquisition/Leasing & Renovation	5.0	
<b>UConn Total</b>		<b>\$45.0</b>	<b>\$20.0</b>
<b>UCH</b>			
	Deferred Maintenance	30.0	30.0
	Clinical Equipment to Enhance Revenue Growth	10.0	10.0
	Information Technology Security, Infrastructure & Equipment	3.0	3.0
<b>UCH Total</b>		<b>\$43.0</b>	<b>\$43.0</b>
<b>Grand Total</b>		<b>\$88.0</b>	<b>\$63.0</b>

The authorization for these funds requires approval and allotment by the State Bond Commission. In July 2023, the university submitted a formal request for the following FY24 funds to be placed on a bond commission agenda for approval: New Nursing Program Facility, UConn and UConn Health Equipment, Library Collections & Telecommunications and UConn Health System Telecommunications Infrastructure Upgrades, Improvements & Expansions.

## **UCONN 2000: STATUS REPORT**

### **MASTER PLANNING, DESIGN AND MANAGEMENT**

The University's Master Plan provides a template for the development of the University's physical plant and infrastructure. The 1998 Storrs Campus Master Plan was updated in 2006 to reflect the extensive physical changes on all the campuses resulting from the UCONN 2000 initiatives. It should be noted that the Master Plan has been modified throughout the project planning, design and construction process of UCONN 2000. As part of the Technology Park initiative, an update to the North Campus Master Plan was prepared to delineate various site, vehicular and pedestrian pathways, utility corridors, and landscape improvements to facilitate the future development of that part of campus. This work is incorporated in the comprehensive 2015 Master Plan for the campus.

The 2015 Master Plan reflects the impacts of the proposed projects included in the Next Generation Connecticut initiative and guides the development of the Storrs campus for the twenty (20) year period between 2015 and 2035. The 2015 Master Plan documents the state of existing conditions on campus and issues related to land use; space needs for academic, research, student life and administrative uses; recommended deferred maintenance and capital investments in the physical plant; environmental issues and sustainable design principles for future development; parking, circulation and transportation issues; and specific strategies to improve landscape quality and open spaces. The Master Plan references the programmatic ties to the Regional campuses, but does not include separate master plans for those locations.

The 2015 Master Plan is a comprehensive summary of the current conditions of the campus as of 2015 and a guideline for the proposed development of the Storrs campus over the next 20 years. The first ten years of development (2015-2024) are driven by the adopted Academic Vision Plan and fueled by the Next Generation Connecticut funding program. The plan also forecasts development that may occur beyond the Next Generation Connecticut projects (2028 -2035). This potential future development will require new funding sources and builds on the success of the first decade of growth. The total amount of development portrayed in the plan includes facilities that would be needed to grow the undergraduate student population by 5,000, accommodate a substantially larger research enterprise, repair or replace obsolete facilities and meet the commitments of the Climate Action Plan for a sustainable campus.

#### **Implementation of the Master Plan**

Future planned development will be programmed and designed to conform to the concepts and the development pattern contained in the Master Plan documents. The Master Plan will inform the design and implementation of the utility infrastructure, storm water management systems, roads and parking areas, landscape and land use, and individual projects. The urban form of the campus will take shape as envisioned in the Master Plan and will be refined by future design initiatives as specific developments are designed and built. While the Master Plan will inform and guide future development decisions, the final location, design, and programming of specific projects will be decided when those projects are implemented. Individual construction projects undertaken as part of this plan will, as always, be brought to the Board of Trustees for approval, along with the project budgets.



The final version of the Master Plan and its Executive Summary, Design Guidelines and Appendices, dated May 2015, were issued and are posted on the UConn website at <http://masterplan.uconn.edu/documents/>.

## **BIOSCIENCE CONNECTICUT**

All of the Bioscience Connecticut construction projects are complete. Through March 2019, over 6,200 construction workers were employed on the Bioscience Connecticut projects and 85% of the construction contracts were awarded to Connecticut companies. The Minority/Women/Disadvantaged Business participation reached 23%, exceeding the statutory minimum of 6.25% by nearly 17%.

The Bioscience Connecticut initiative planned for \$69 million in funding from operations, special eligible gifts or other sources toward new construction and renovation projects. UConn Health and the University of Connecticut Foundation have met this requirement.

## **CAPITAL PROGRAM**

The Board of Trustees, at its June 28, 2023 meeting, approved the FY24 Capital Budget totaling \$315,000,000 as shown in Exhibit A. This amount reflects \$84,700,000 of UCONN 2000 State bond funds, \$59,489,200 of other State bond funds, \$100,000,000 of University Special Obligation bond funds, \$40,810,800 of University funds, and \$30,000,000 of Gift funds.

### **Storrs & Regional Campuses**

The following is a brief description of some of the named projects included in the FY24 Capital Budget or previous budgets, which are currently in planning, design or construction.

#### ***Academic and Research Facilities***

The 285,000 gross square foot Gant Science Complex will be renovated to accommodate current and future University needs in response to growing student enrollment in STEM programs. These improvements will include classrooms, lecture halls, teaching and research laboratories, faculty offices and support spaces. Exterior site and plaza areas will be improved to make them more inviting and accessible to the campus community. The building envelope (façades and roofs) will be reconstructed to provide a more attractive waterproof skin with better energy performance. A new light court was built as part of phase 1 which enclosed the existing opening at the Gant Plaza. Phased construction began in Winter 2018. Phase 1 and 2 were completed in 2022. The schedule for completion of Phase 3 is pending and will likely be done after FY24.



### New School of Nursing Building

The School of Nursing currently resides in Storrs Hall, a historic building constructed in 1906. In 2012, an expansion was added to accommodate the growing needs of the school, resulting in a total of 51,000 gross square feet (GSF). However, due to the continued growth of the school's program, the existing building can no longer adequately fulfill the school's requirements in terms of size and spatial configuration.

The goal of this project is to construct a new building for the School of Nursing to accommodate an increased enrollment and to address the shortage of nurses, the changing nature of health care, and the increasing disparity in health outcomes. The new approximately 80,000 square foot building will be strategically located near the existing Brain Imaging Research Center, aligning with the vision outlined in the 2015-2035 Campus Master Plan.

### ***Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities***

Per statute, the “deferred maintenance” portion of the project name is defined as “repair of an infrastructure or structure that was not maintained, repaired or replaced in the usual course of maintenance and repair.” In addition, the University intentionally designs projects to repair under-maintained facilities and infrastructure up to current standards and with necessary improvements

to accommodate the current needs of the campuses. Also, the statutory named line clarification and new definition allows the University to construct new utility, infrastructure, administrative and support facilities as needed. In general, projects fall into one or more of the following categories:

- Safety, code and ADA required improvements
- Roof and exterior repairs
- Building mechanical system improvements
- Utilities repairs and upgrades
- General building renovations
- Roads, walks and grounds
- Environmental remediation
- New utility, infrastructure, administrative and support facilities

Some of the major projects to be funded within this category during FY24 are Northwest Science Quad-Science 1- Site Improvements and Tunnel Phase II, South Campus Infrastructure, Field House Renovation, Boiler Plant Equipment Replacement and Utility Tunnel Connection, Mirror Lake Improvements, and various programmatic renovations. Seven of the projects currently underway in this category are summarized below.

#### Northwest Quad: Science 1- Site Improvements and Tunnel Phase 2

The Board of Trustees approved the Master Plan on February 25, 2015 that provides a vision and a series of development guidelines for new construction on the Storrs campus and specifically for the advancement of the area known as the Northwest Science Quad District. The District will be the location for the expansion of UConn's research enterprise of buildings and infrastructure in support of new STEM research and teaching facilities. This project consists of a series of enabling projects that support the new quad development including the utility tunnel extension from the existing Gant tunnel, the direct burial of utilities for connections to the campus loop, stormwater management with a woodland corridor extension from the Gant complex, a new surface parking lot, and improvements to King Hill and Hillside Roads, including a new roundabout. These projects were designed concurrently with the STEM Research Center-Science 1 project. Construction on the enabling projects began in Summer 2020 and was substantially complete in Fall 2022, however on-going punchlist and system testing continues.

#### UCONN Code Remediation – Stamford Downtown Relocation

After completing a required plan review and field inspection of the UCONN 2000 Code Remediation-Stamford Campus Downtown Relocation project, the Office of the Fire Marshal and Building Inspector cited numerous code discrepancies related to the original project. This project will mitigate the balance of the discrepancies and integrate the designed mitigation with planned programmatic renovations. The project will be completed in phases over a number of consecutive summers in order to minimize the impacts on the use and occupancy of the building during construction. Scope of work includes sprinkler, egress, fire separation



assemblies, restrooms, and office/classroom renovations. The first phase was completed in 2020. Construction on the second phase began in Summer 2021 with completion in Summer 2023.

### University Safety Building Addition

The University Safety Building is the headquarters for the Division of University Safety and



houses the UConn Police Department, UConn Fire Department, statewide Dispatch Center as well as Division administrative staff. The building serves to support UConn's statewide public safety efforts at all UConn campuses. The building was opened in 1992 and has not

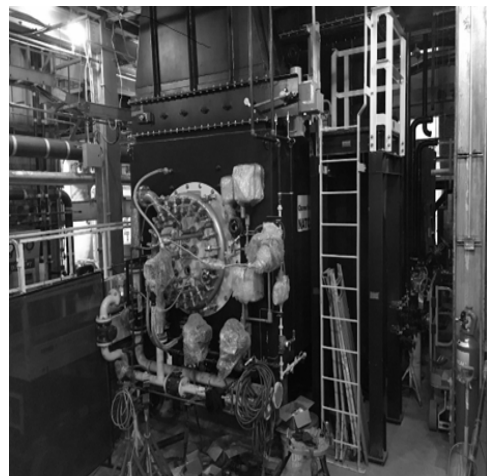
expanded in the nearly 30 years it has been occupied, even though the Division has grown significantly during this time. This project will construct a new addition at the lawn area adjacent the existing fire department equipment bays to house the fire department staff. The floor area in the existing facility currently occupied by the Fire Department will be renovated to provide additional locker areas for the Police Department, and an expanded dispatch area. Construction began in Spring 2021 and will be completed in Summer 2023.

### Boiler Plant Equipment Replacement & Utility Tunnel Connection

This project will replace the existing 1960's vintage Central Utility Plant (CUP) Boilers as well as complete the utility tunnel interconnection between the future Supplemental Utility Plant and the existing Central Utility Plant. The existing boilers are inefficient and require extensive maintenance to remain operational. In addition, CT Department of Energy and Environmental Protection notified the University that the boilers are to be de-commissioned by Summer 2023 due to non-compliance with more stringent emissions regulations. The new efficient boilers will reduce greenhouse gas emissions by 3.5%-5.25% from current levels.

The Boiler Plant Equipment Replacement and Utility Tunnel Connection project will be implemented in three phases. In Phase I, the University pre-purchased three factory-fabricated, dual-fuel water-tube boilers.

In Phase II, the existing boilers were decommissioned and demolished in preparation for Phase III. Also, in phase II, the utility tunnel connection was completed. Work included extension of the steam, chilled water, and electrical connections from their current locations within the existing "North Utility Tunnel" at the northeast corner of the UTEB building into the CUP.



Phase III will install the new boilers. Construction began in Summer 2020 with anticipated completion in Summer 2023.

### Field House Renovation

The Greer Field House was the former Student Recreation Center, and upon their move to the new facility in August 2019, space became available to meet other Athletic Department program needs. In the summer of 2021, design consultants were hired to begin the programming and conceptual design for the backfill of the facility.

This project will bring athletics, research, sports medicine, hydrotherapy, training, rehabilitation, recovery, nutrition, compliance, clinical operations as well as academic support together in one building. The proposed program for the facility will include a consolidated Academic Center to support the Student Academic Success Program (SASP) for all student athletes and additional space for Kinesiology. This project also seeks to address Title IX requirements for the various athletic teams.

This project will renovate existing offices, support spaces, team rooms, coaches and official's locker rooms, and team locker rooms for the following sports: men's and women's track and field, women's field hockey, women's rowing, women's tennis, and women's swimming & diving. These renovated spaces within the Field House and Wolff-Zackin facility will be constructed to a standard established in the new locker rooms within the Rizza Performance Center. Exterior accessed toilet rooms for use by the public at sporting events at Sherman Field will be provided. Design of the project will continue through FY24.



### South Campus Infrastructure

In 2015, the University completed the NextGenCT Campus Masterplan and in 2016 the University performed a Framework Utility Analysis to create a systematic approach for infrastructure projects that support development of the NextGenCT program. The intent of this project is to provide infrastructure improvements in the South Campus district to support the construction and sustainable operation of the South Campus Residence Hall.

The overall scope of this project will provide renewable infrastructure to the new South Campus Residence Hall and includes: new utilities (steam, electric, hot and chilled water), communications, sanitary, stormwater, and domestic water for the South Campus Residence Hall, and expansion of the South Campus Chiller Plant to accommodate a new geothermal heating and cooling system and to meet the needs of the Residence Hall and surrounding buildings.

### Mirror Lake Improvements

Mirror Lake has been a beloved and historic campus landmark since 1922. After multiple decades of deferred maintenance and campus growth within its watershed, numerous studies through 2021 concluded that critical improvements to the lake and its dam are necessary. Permitting, design, and preconstruction activities were completed in late 2022 and collaborative working meetings with CT DEEP were conducted through early 2023, with a Memorandum of Understanding completed concerning same. The project scope of work will include interim improvements and repairs to the dam, stormwater attenuation and water quality improvements associated with construction in the watershed, and alternatives to attenuate stormwater from previous development since 1993.

The Mirror Lake Improvements project is now finalizing the design and the project will be bid in Fall 2023. Construction is anticipated to begin in Summer 2024.

### ***Equipment, Library Collections & Telecommunications***

The enhancement of the University's infrastructure includes its instructional and scientific equipment. The equipment replacement category permits the University to replace outmoded items with state-of-the-art laboratory devices and computers. The funding encompasses seven major categories: management information systems, computers, research equipment, instructional equipment, furnishings, operational and public safety support, and library materials.

### ***Residential Life Facilities***

This named project represents the overarching authorization to undertake activities to provide housing and dining facilities for the University's students. Although the quantity and the diversity of campus living arrangements were expanded under the first two phases of UCONN 2000, much remains to be done. Funding will support improvements to current dormitory amenities and other deferred maintenance needs in all of the residential and dining facilities. Construction of a new residence hall, the Peter J. Werth Residence Tower, was completed in 2016 and renovations of the older dormitories and dining halls and code improvements are continuously ongoing.

Preliminary planning and design of a new South Campus Residence Hall was started in 2021. The new building will create much needed swing space for the housing rehabilitation and replacement program. The new 647 bed residence hall includes an adjoining 500-seat dining hall. Construction of the South Campus Residence Hall commenced in the Fall of 2022 with anticipated completion for the Fall of 2024.

In Fall 2021, the University began planning the redevelopment of the



current Mansfield Apartments complex circa 1940. The University’s Campus Master Plan identified the Mansfield Apartments site as a primary location for a denser apartment-style development. Apartment-style housing is in high demand from students and the new complex would help satisfy that demand. In Fall 2022, abatement and demolition of the existing apartments began at the site. The project is expected to be bid in the Winter 2023-2024.

## **UConn Health**

In 2018, an external third party provided UConn Health with a ten-year Facilities Condition Assessment (FCA). The assessment identified \$295 million in Deferred Maintenance needs across the following categories:

- **Recurring Deferred Renewal:** Items that have not been replaced during the normal course of maintenance that have reached or exceeded their expected life: \$119 million (e.g., original 1970 era HVAC systems).
- **Recurring Projected Renewal:** Items that during the 2018-2028 time period will “age out” and need to be replaced: \$159 million (e.g., roofs that are 25 years old now but will reach their 30 year life expectancy in the next 5 years and need to be replaced).
- **Nonrecurring:** Items that require replacement on a one-time basis: \$17 million (e.g., stair railings that are not code compliant and need to be replaced but are not expected to be replaced again).

The FY22 allocation of \$25 million in new UCONN 2000 funding for deferred maintenance needs marked the first new funds for UConn Health since the Bioscience Connecticut Initiative funding ended in FY18. In December of 2022, the State Bond Commission voted to approve a state bond allocation for UConn Health in the amount of \$40 million to finance deferred maintenance needs of our buildings and grounds. During the 2023 legislative session, the General Assembly approved an additional \$43 million in State GO bonds to be allocated to Deferred Maintenance (\$30 million), Telecommunications Systems & Infrastructure Upgrades (\$3 million), and for Equipment, Library Collections, and Telecommunications (\$10 million). UConn Health will request DM funding on an on-going basis to meet the needs identified in the FCA.

## ***Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities***

Per statute, the “deferred maintenance” portion of the project name is defined as “repair of an infrastructure or structure that was not maintained, repaired or replaced in the usual course of maintenance and repair.” In addition, the University intentionally designs projects to repair under-maintained facilities and infrastructure up to current standards and with necessary improvements to accommodate the current needs of the campuses. The statutory named line clarification and new definition also allows the University to construct new utility, infrastructure, administrative

and support facilities as needed. In general, projects fall into one or more of the following categories:

- Safety, code and ADA required improvements
- Roof and exterior repairs
- Building mechanical system improvements
- Utilities repairs and upgrades
- General building renovations
- Roads, walks and grounds
- Environmental remediation
- New utility, infrastructure, administrative and support facilities

Numerous projects involving paving, roof replacements, academic and research renovations, and replacement of building infrastructure components are under design or construction including the 16 Munson Road Emergency & Egress Upgrades, Buildings E & K Roof Replacement, Cadaver Lab Renovation & AHU Replacement, 16 Munson Road Parking Lot Repaving, and the Buildings D & N Roof Replacement.

### **UCONN 2000: SET-ASIDE CONTRACTOR**

Public Act 99-241 called for, among other things, information regarding use of Connecticut owned businesses on UCONN 2000 program projects, including those owned by women and minorities (“set-aside” contractors). Since Fiscal Year 1996, construction and related contracts for the UCONN 2000 program totaled \$4.8 billion from all fund sources; \$3.8 billion is strictly UCONN 2000 funds. As of April 2023, Connecticut businesses have accounted for \$2.87 billion of the total contracted dollars with “set-aside” general contractors and subcontractors accounting for \$995.5 million. Overall, small business participation has amounted to \$554.6 million, minority business participation has amounted to \$133.9 million, and women-owned business participation has amounted to \$308.2 million.

### **UCONN 2000: FINANCE**

Pursuant to Section 10a-109x of the Connecticut General Statutes, the semi-annual report to the General Assembly is to provide, among other things, information on the number of projects and securities authorized, approved and issued; the payment of debt service requirements, and the payment of principal and interest on the UCONN 2000 securities; and the amount of investment earnings. This section provides that information.

### **Legislative Authorizations - Selected Discussion**

In 2023, the General Assembly enacted and the Governor signed Public Act 23-1 that decreased the amount of bonds secured by the Debt Service Commitment that may be issued for fiscal year 2025 and in the aggregate by \$12,000,000 which decreased the Fiscal Year 1996 to 2027 total Debt Service Commitment amounts to \$4,295,900,000.

During June 2021, the Governor signed Public Act 21-2 increasing the Debt Service Commitment amount for Fiscal Year 2022 by \$25,000,000 to \$215,500,000. The estimated costs in the act were also changed including increasing the project known as “Deferred Maintenance/Code



Compliance/ADA Compliance/Infrastructure & Improvements Renovation Lump Sum and Utility, Administrative and Support Facilities - Health Center”.

During March 2020, Public Act 20-1 was approved which reallocated the authorized Debt Service Commitment debt funding paid for by the State among the Fiscal Years 2020 through 2027 but did not change the total Debt Service Commitment amount authorized for UCONN 2000 projects.

During October 2017, the General Assembly passed Public Act 17-2 and the Governor signed on October 31, 2017 “An Act Concerning the State Budget for the Biennium Ending June 30, 2019, Making Appropriations Therefor, Authorizing and Adjusting Bonds of the State And Implementing Provisions of the Budget” that which among other things, moved some of the authorized DSC bond funding from Fiscal Years 2018 through 2023 and added it to 2024, and the newly added Fiscal Years 2025, 2026 and 2027 (without changing total DSC funding); and changed the name of the UCONN 2000 project “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum” to “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities” and UConn Health’s UCONN 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Sum — Health Center” to the new UCONN 2000 project name of “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure & Improvements Renovation Lump Sum and Utility, Administrative and Support Facilities – Health Center”.

During the May 2016 Special Session, the General Assembly passed Public Act 16-4 effective July 1, 2016 which among other things changed the name of the UCONN 2000 project “Deferred Maintenance/Code/ADA Renovation Lump Sum” to “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum” and also shifted \$26,000,000 of Debt Service Commitment authorizations from Fiscal Year 2017 to Fiscal Year 2018.

During May 2014, Governor Malloy signed Public Act 14-98 which clarified that the language in the UCONN 2000 legislation limiting UCONN 2000 debt applied only to the debt service commitment debt that the State pays and the limit does not apply to UCONN 2000 Special Obligation Student Fee Revenue bonds and other types of UCONN 2000 debt which the University and not the State pays. Public Act 14-5 was also signed in May 2014 and eliminated certain UCONN 2000 Act language that limited leasing outside the Storrs Campus.

In July 2013, Governor Malloy signed Public Act 13-233, “An Act Concerning Next Generation Connecticut”, which extended the UCONN 2000 program another six years until Fiscal Year 2024; increased the statutory authorizations for the UCONN 2000 bonds secured by the State’s debt service commitment by \$1.551 billion for a total of \$4,282,900,000; changed certain Fiscal Year bond authorizations; added a new named project called “Academic and Research Facilities”; and also added the housing language to the “Stamford Campus Improvements/Housing” project.

In July 2011, Governor Malloy signed Public Act 11-75, “An Act Concerning the University of Connecticut Health Center”, the Bioscience Connecticut initiative, which increased the statutory authorizations for the UCONN 2000 bonds secured by the State’s debt service commitment by

\$262,900,000 for a total of \$2,731,900,000; changed certain Fiscal Year bond authorizations; eliminated a prior provision relating to the \$100 million contribution of federal, private or other non-state money; and provided for funding to renovate research laboratory space and create additional business incubator space.

On August 25, 2011, the Governor signed Public Act 11-57 for creation of a world-class Technology Park on the Storrs Campus to serve as a cornerstone for a new Connecticut research triangle. The Technology Park is expected to generate hundreds of new jobs in Connecticut, encourage new business growth, and leverage millions of dollars in federal and private research funding. The Technology Park will link to UConn Health in Farmington, the Connecticut Bioscience Initiative to Yale University in New Haven and others. A total of \$169.5 million of State General Obligation bond funding has been authorized by the State Bond Commission for the Technology Park. On August 26, 2011, the State Bond Commission authorized the first \$18 million of State General Obligation bonding to begin planning and design. On April 26, 2013, the State Bond Commission authorized the release of \$20 million in State General Obligation bonding to cover the purchase of equipment. On May 11, 2015, the State Bond Commission authorized the release of \$131.5 million in State General Obligation bonding to fund the construction of the new facility.

During the October 2011 special session, the General Assembly adopted Public Act 11-2 which established the Connecticut Bioscience Collaboration Program (the “Collaboration”) and authorized \$290,685,000 of State General Obligation bonds to be issued over a ten-year period and to be deposited in the Connecticut Bioscience Collaboration Fund. The Collaboration will support the establishment of a bioscience cluster anchored by the Jackson Laboratory for Genomic Medicine located on UCH’s Farmington campus.

In Fiscal Year 2002, the General Assembly enacted and the Governor signed into law Public Act 02-3, An Act Concerning 21st Century UConn. This act authorized additional projects for the University and UConn Health for Phase III of UCONN 2000. This act amended Public Act 95-230 and extended the UCONN 2000 financing program.

Public Act 95-230 enabled the University to borrow money in its own name for a special 10-year capital improvement program designed to modernize, rehabilitate, and expand the physical plant of the University. It authorized projects for Phases I and II of UCONN 2000, estimated to cost \$1,250 million, of which \$962 million was financed by bonds of the University secured by the State Debt Service Commitment; \$18 million was funded by State General Obligation Bonds; and the balance of \$270 million was financed by gifts, other revenue, or borrowing resources of the University, including Special Obligation Student Fee Revenue bonds.

### **General Obligation Bonds**

Section 10a-109 of the Connecticut General Statutes empowers the University to issue General Obligation Bonds secured by the State’s Debt Service Commitment (“DSC”). These bonds are referred to as “General Obligation Debt Service Commitment Bonds” or “GO-DSC Bonds” throughout the remainder of this report. These bonds are issued pursuant to the General Obligation Master Indenture of Trust, dated as of November 1, 1995, between the University of Connecticut, as Issuer, and Fleet National Bank of Connecticut as Trustee (now U.S. Bank Trust Company,

National Association). The University's Board of Trustees on November 10, 1995, and the State Bond Commission on December 21, 1995 approved the Master Indenture of Trust. The Master Indenture was subsequently amended and approved by the University's Board of Trustees on September 26, 2003 and the State Bond Commission on December 19, 2003. The Board of Trustees and the Governor approve the subsequent Supplemental Indenture for each bond issue. The University and the Office of the State Treasurer, working in conjunction, manage the GO-DSC Bond sale process.

### **General Obligation Bond Issues Completed**

As of June 30, 2023, there have been thirty-six GO-DSC Bond issues for the UCONN 2000 program including twenty-four new money and twelve refunding bond issues.

There have been twenty-four new money GO-DSC Bonds issues totaling \$3,511,652,146.50 in par value which, together with original issue premium, funded \$3,804,000,000 of UCONN 2000 projects. The remaining balance, together with accrued interest and net original issue premium, funded the costs of issuance including deposits to the State Treasurer pursuant to the indentures.

Pursuant to Section 10a-109g of the Connecticut General Statutes, the UCONN 2000 GO-DSC Bonds authorized, approved and issued to date are listed below:

**GO-DSC Bonds Phase I, II & III**

<u>Date of Issue</u>	<u>Par Amount</u>	<u>TIC (1)</u>	<u>Bond Issue</u>
February 21, 1996	\$83,929,714.85	4.94%	1996 Series A <sup>(2)</sup>
April 24, 1997	124,392,431.65	5.48%	1997 Series A <sup>(2)</sup>
June 24, 1998	99,520,000.00	4.78%	1998 Series A <sup>(2)</sup>
April 8, 1999	79,735,000.00	4.46%	1999 Series A <sup>(2)</sup>
March 29, 2000	130,850,000.00	5.42%	2000 Series A <sup>(2)</sup>
April 11, 2001	100,000,000.00	4.54%	2001 Series A <sup>(2)</sup>
April 18, 2002	100,000,000.00	4.74%	2002 Series A <sup>(2)(3)</sup>
March 26, 2003	96,210,000.00	3.97%	2003 Series A <sup>(2)(4)</sup>
January 22, 2004	97,845,000.00	3.76%	2004 Series A <sup>(2)(5)</sup>
March 16, 2005	98,110,000.00	4.20%	2005 Series A <sup>(2)(6)</sup>
March 15, 2006	77,145,000.00	4.20%	2006 Series A <sup>(2)(7)</sup>
April 12, 2007	89,355,000.00	4.14%	2007 Series A <sup>(2)(8)</sup>
April 16, 2009	144,855,000.00	4.01%	2009 Series A <sup>(2)(9)</sup>
May 25, 2010	97,115,000.00	3.64%	2010 Series A <sup>(2)(10)</sup>
December 8, 2011	179,730,000.00	3.31%	2011 Series A <sup>(2)(11)</sup>
July 31, 2013	172,660,000.00	3.58%	2013 Series A <sup>(12)</sup>
April 22, 2014	109,050,000.00	3.37%	2014 Series A <sup>(13)</sup>
April 16, 2015	220,165,000.00	3.09%	2015 Series A <sup>(14)</sup>
April 21, 2016	261,510,000.00	2.76%	2016 Series A <sup>(15)</sup>
January 19, 2017	311,200,000.00	3.42%	2017 Series A <sup>(16)</sup>
May 3, 2018	276,075,000.00	3.67%	2018 Series A <sup>(17)</sup>
May 8, 2019	174,785,000.00	3.05%	2019 Series A <sup>(18)</sup>
December 17, 2020	160,230,000.00	2.21%	2020 Series A <sup>(19)</sup>
<u>April 13, 2022</u>	<u>\$227,185,000.00</u>	3.25%	2022 Series A <sup>(20)</sup>
<b>Subtotal Phase I, II &amp; III</b>	<b>\$3,511,652,146.50</b>		

**GO-DSC Refunding Bonds**

January 29, 2004	\$216,950,000.00	3.55%	2004 Series A Refunding <sup>(2)(5)</sup>
March 15, 2006	61,020,000.00	4.17%	2006 Series A Refunding <sup>(2)(7)</sup>
April 12, 2007	46,030,000.00	4.22%	2007 Series A Refunding <sup>(2)(8)</sup>
May 25, 2010	36,095,000.00	2.86%	2010 Series A Refunding <sup>(2)(10)</sup>
December 8, 2011	31,905,000.00	2.29%	2011 Series A Refunding <sup>(2)(11)</sup>
July 31, 2013	51,250,000.00	2.71%	2013 Series A Refunding <sup>(12)</sup>
April 22, 2014	92,940,000.00	1.41%	2014 Series A Refunding <sup>(13)</sup>
April 16, 2015	34,625,000.00	2.15%	2015 Series A Refunding <sup>(14)</sup>
April 21, 2016	80,425,000.00	1.70%	2016 Series A Refunding <sup>(15)</sup>
January 19, 2017	33,950,000.00	2.01%	2017 Series A Refunding <sup>(2)(16)</sup>
May 8, 2019	64,680,000.00	2.23%	2019 Series A Refunding <sup>(18)</sup>
<u>December 17, 2020</u>	<u>\$119,085,000.00</u>	0.95%	2020 Series A Refunding <sup>(19)</sup>
<b>Subtotal Refunding Bonds</b>	<b>\$868,955,000.00</b>		

**Total GO-DSC Bonds** \$4,380,607,146.50

- (1) TIC is the true interest cost reflecting the interest rate for the time value of money across an entire bond issue.
- (2) Bonds are no longer outstanding.
- (3) The GO-DSC 2002 Series A Bonds provided \$994,688.03 directly to the Office of the State Treasurer.
- (4) The GO-DSC 2003 Series A Bonds par amount of \$96,210,000 plus \$3,790,000 of the original issue premium totaled \$100,000,000 available for projects.
- (5) The GO-DSC 2004 Series A Bonds new money and GO-DSC Refunding 2004 Series A Bonds were issued under a single Official Statement with a par amount of \$216,950,000. The GO-DSC 2004 new money bonds totaled \$100,000,000 for projects, funded by the \$97,845,000 par amount plus \$2,155,000 of the original issue premium; and the GO-DSC Refunding 2004 Series A Bonds provided \$223,160,000 for a refunding escrow. Additional proceeds, including premium, funded the refunding escrow and the costs of issuance.
- (6) The GO-DSC 2005 Series A Bonds totaled \$100,000,000 available for projects funded by the \$98,110,000 par amount plus \$1,890,000 of the original issue premium. Phase II authorizations accounted for \$50 million and Phase III for \$50 million.
- (7) The GO-DSC 2006 Series A Bonds new money and GO-DSC Refunding 2006 Series A Bonds were issued under a single Official Statement with a par amount of \$138,165,000. The GO-DSC 2006 Series A Bonds new money totaled \$79,000,000 available for projects, funded by the \$77,145,000 par amount plus \$1,835,000 of the original issue premium, and the GO-DSC Refunding 2006 Series A Bonds provided \$65,472,900 for a refunding escrow. Additional proceeds, including premium, funded the refunding escrow and the costs of issuance.
- (8) The GO-DSC 2007 Series A Bonds new money and GO-DSC Refunding 2007 Series A Bonds were issued under a single Official Statement with a par amount of \$135,385,000. The GO-DSC 2007 Series A Bonds new money totaled \$89,000,000 available for projects, and the GO-DSC Refunding 2007 Series A Bonds provided \$49,505,476.89 for a refunding escrow. Additional proceeds, including premium, funded the refunding escrow and the costs of issuance.
- (9) The GO-DSC 2009 Series A Bonds par amount of \$144,855,000 plus \$5,145,000 of the original issue premium totaled \$150,000,000 available for projects.
- (10) The GO-DSC 2010 Series A Bonds new money and GO-DSC Refunding 2010 Series A Bonds were issued under a single Official Statement with a combined par amount of \$133,210,000. The GO-DSC 2010 Series A Bonds new money par amount of \$97,115,000 plus \$7,885,000 of the original issue premium totaled \$105,000,000 available for projects; and the GO-DSC Refunding 2010 Series A Bonds par amount of \$36,095,000 plus \$2,609,428.69 of the original issue premium provided \$38,704,428.69 for the refunding escrow. Additional proceeds, including premium, funded the costs of issuance.
- (11) The GO-DSC 2011 Series A Bonds new money and GO-DSC Refunding 2011 Series A Bonds were issued under a single Official Statement with a combined par amount of \$211,635,000. The GO-DSC 2011 Series A Bonds new money par amount of \$179,730,000 plus \$20,700,000 of the original issue premium totaled \$200,000,000 available for projects; and the GO-DSC Refunding 2011 Series A Bonds par amount of \$31,905,000 plus \$4,936,565.62 of the original issue premium provided \$36,841,565.62 for the refunding escrow. Additional proceeds, including premium, funded the costs of issuance.
- (12) The GO-DSC 2013 Series A Bonds new money and GO-DSC Refunding 2013 Series A Bonds were issued under a single Official Statement with a combined par amount of \$223,910,000. The GO-DSC 2013 Series A Bonds new money par amount of \$172,660,000 plus \$16,340,000 of the original issue premium totaled \$189,000,000 available for projects; and the GO-DSC Refunding 2013 Series A Bonds par amount of \$51,250,000 plus \$6,978,911.08 of the original issue premium provided \$58,228,911.08 for the refunding escrow. Additional proceeds, including premium, funded the costs of issuance.
- (13) The GO-DSC 2014 Series A Bonds new money and GO-DSC Refunding 2014 Series A Bonds were issued under a single Official Statement with a combined par amount of \$201,990,000. The GO-DSC 2014 Series A Bonds new money par amount of \$109,050,000 plus \$10,950,000 of the original issue premium totaled \$120,000,000 available for projects; and the GO-DSC Refunding 2014 Series A Bonds par amount of \$92,940,000 plus \$6,573,683.06 of the original issue premium provided \$99,513,683.06 for the refunding escrow. Additional proceeds, including premium, funded the costs of issuance.
- (14) The GO-DSC 2015 Series A Bonds new money and GO-DSC Refunding 2015 Series A Bonds were issued under a single Official Statement with a combined par amount of \$254,790,000. The GO-DSC 2015 Series A Bonds new money

par amount of \$220,165,000 plus \$29,835,000 of the original issue premium totaled \$250,000,000 available for projects; and the GO-DSC Refunding 2015 Series A Bonds par amount of \$34,625,000 plus \$5,654,495.56 of the original issue premium totaled \$40,279,495.56 deposited to the refunding escrow. Additional proceeds, including premium, funded the costs of issuance.

- (15) The GO-DSC 2016 Series A Bonds new money and GO-DSC Refunding 2016 Series A Bonds were issued under a single Official Statement with a combined par amount of \$341,935,000. The GO-DSC 2016 Series A Bonds new money par amount of \$261,510,000 plus \$38,490,000 of the original issue premium totaled \$300,000,000 available for projects; and the GO-DSC Refunding 2016 Series A Bonds par amount of \$80,425,000 plus \$10,056,693.44 of the original issue premium totaled \$90,481,693.44 deposited to the refunding redemption fund and/or escrow. Additional proceeds, including premium, funded the costs of issuance.
- (16) The GO-DSC 2017 Series A Bonds new money and GO-DSC Refunding 2017 Series A Bonds were issued under a single Official Statement with a combined par amount of \$345,150,000. The GO-DSC 2017 Series A Bonds new money par amount of \$311,200,000 plus \$38,800,000 of the original issue premium totaled \$350,000,000 available for projects; and the GO-DSC Refunding 2017 Series A Bonds par amount of \$33,950,000 plus \$3,010,192.04 of the original issue premium totaled \$36,960,192.04 deposited to the refunding redemption fund and/or escrow. Additional proceeds, including premium, funded the costs of issuance.
- (17) The GO-DSC 2018 Series A Bonds were issued with a par amount of \$276,075,000 plus \$23,925,000 of the original issue premium funded \$300,000,000 for projects. Additional proceeds, including premium, funded the costs of issuance.
- (18) The GO-DSC 2019 Series A Bonds new money and GO-DSC Refunding 2019 Series A Bonds were issued under a single Official Statement with a combined par amount of \$239,465,000. The GO-DSC 2019 Series A Bonds new money par amount of \$174,785,000 plus \$25,215,000 of the original issue premium totaled \$200,000,000 available for projects; and the GO-DSC Refunding 2019 Series A Bonds par amount of \$64,680,000 plus \$8,133,086.38 of the original issue premium totaled \$72,813,086.38 deposited to the trustee bank redemption fund which was immediately used to call and refund all of the outstanding \$72,060,000 DSC 2009 bonds and pay the accrued interest on the same. Additional premium proceeds funded the costs of issuance.
- (19) The GO-DSC 2020 Series A Bonds new money and GO-DSC Refunding 2020 Series A Bonds were issued under a single Official Statement with a combined par amount of \$279,315,000. The GO-DSC 2020 Series A Bonds new money par amount of \$160,230,000 plus \$39,770,000 of the original issue premium funded \$200,000,000 for projects; and the GO-DSC Refunding 2020 Series A Bonds par amount of \$119,085,000 plus \$22,298,769.83 of the original issue premium totaled \$141,383,769.83 deposited to the trustee bank redemption fund which was used to refund \$138,340,000.00 of principal of outstanding bonds as follows: on December 17, 2020 to call and refund \$43,695,000.00 of certain maturities of the GO-DSC 2010 bonds principal outstanding plus \$724,583.42 of accrued interest for a total of \$44,419,583.42; and on February 15, 2021 to call and refund \$89,850,000.00 of the GO-DSC 2011 new money bonds principal outstanding plus \$2,208,875.00 accrued interest for a total of \$92,058,875.00; and to call and refund \$4,795,000.00 of the GO-DSC 2011 Refunding bonds Principal outstanding, plus \$119,875.00 of accrued interest for a total of \$4,914,875.00. Additional premium proceeds funded the costs of issuance.
- (20) The GO-DSC 2022 Series A Bonds were issued with a par amount of \$227,185,000 plus \$32,815,000 of the original issue premium funded \$260,000,000 for projects. Additional premium proceeds funded the costs of issuance.

### **General Obligation Bonds – Projects**

During Fiscal Year 2005, the University finished Phase I and Phase II and initiated Phase III of the UCONN 2000 project authorizations secured by the State's DSC. As of June 30, 2023, the UCONN 2000 Act provides for a total of \$4,295,900,000 of UCONN 2000 bonds secured by the State's DSC. As of June 30, 2023, the following projects totaling \$4,144,200,000 have been authorized to receive GO-DSC Bond proceeds by both the Governor and the Board of Trustees. The total authorization does not include the Thirtieth Supplemental Indenture which is pending the Governor's approval as of June 30.

<b><u>Phase I &amp; II</u></b>	<b><u>Authorized</u></b>
Agricultural Biotechnology Facility Completion	\$3,000,000.00
Agricultural Biotechnology Facility	9,400,000.00
Alumni Quadrant Renovations	11,183,623.91
Avery Point Marine Science Research Center-Phase I	30,000,000.00
Avery Point Marine Science Research Center-Phase II	7,254,246.03
Avery Point Renovation	4,875,717.46
Benton State Art Museum Addition	700,000.00
Business School Renovation	7,958,470.42
Central Warehouse New	6,933,751.77
Chemistry Building	53,062,000.00
Deferred Maintenance & Renovation Lump Sum	40,798,259.65
Deferred Maintenance & Renovation Lump Sum Balance	117,386,096.72
East Campus North Renovations	7,382,604.53
Equipment, Library Collections & Telecommunications	60,500,000.00
Equipment, Library Collections & Telecommunications Completion	105,812,000.00
Gant Plaza Deck	7,287,174.10
Gentry Renovation	9,664,596.69
Grad Dorm Renovations	2,928,227.59
Heating Plant Upgrade	9,969,000.00
Hilltop Dormitory Renovations	8,176,528.89
Ice Rink Enclosure	3,280,000.00
International House Conversion/(a.k.a. Museum of Natural History)	886,134.00
Litchfield Agricultural Center-Phase I	1,000,000.00
Mansfield Apartments Renovation	2,071,000.00
Mansfield Training School Improvements	3,500,000.00
Monteith Renovation	444,348.00
Music Drama Addition *	7,400,000.00
North Campus Renovation	10,996,050.15
North Superblock Site & Utilities	7,668,000.00
Northwest Quadrant Renovation-Phase I	2,001,000.00
Northwest Quadrant Renovation-Phase II	30,000,000.00
Parking Garage-North	9,658,000.00
Pedestrian Walkways/(a.k.a. Fairfield Road Pedestrian Mall)	6,074,000.00
School of Business	25,652,366.00
School of Pharmacy	88,609,000.00
Shippee/Buckley Renovations	6,920,000.00
South Campus Complex	12,251,000.00
Stamford Downtown Relocation-Phase I	55,781,471.55
Student Union Addition	44,622,633.00
Technology Quadrant-Phase IA	39,993,000.00
Technology Quadrant-Phase II	34,120,000.00
Torrey Life Science Renovation	251,109.43
Towers Renovation	17,950,243.11

Underground Steam & Water Upgrade	6,000,000.00
Underground Steam & Water Upgrade Completion	6,000,000.00
Waring Building Conversion	11,452,000.00
Waterbury Property Purchase	200,000.00
West Campus Renovations	519,507.20
White Building Renovation	2,427,268.80
<u>Wilbur Cross Building Renovation</u>	<u>19,999,571.00</u>
<b>TOTAL PHASE I &amp; II PROJECTS</b>	<b>\$962,000,000.00</b>

*\* Board of Trustees added Project 2/22/2001*

<b><u>Phase III - Storrs and Regional Campuses</u></b>	<b><u>Authorized</u></b>
Academic and Research Facilities	\$433,007,429.00
Arjona and Monteith (new classroom buildings)	128,219,870.93
Avery Point Campus Undergraduate and Library Building	10,461,245.77
Avery Point Renovation	8,327,447.54
Beach Hall Renovations	5,146,688.33
Benton State Art Museum Addition	2,903,508.88
Biobehavioral Complex Replacement	3,495,807.00
Bishop Renovation	2,480,140.83
Deferred Maintenance/Code Compliance/ADA Compliance/ Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities	842,756,271.83
Engineering Building (with Environmental Research Institute)	92,579,389.76
Equipment, Library Collections & Telecommunications	197,091,656.00
Family Studies (DRM) Renovation	2,868,306.20
Farm Buildings Repairs/Replacement	6,408,304.09
Fine Arts Phase II	38,792,721.25
Floriculture Greenhouse	6,691,798.67
Gant Building Renovations	12,455,770.32
Gentry Completion	9,628,208.95
Hartford Relocation Acquisition/Renovation	139,027,625.42
Heating Plant Upgrade	11,877,724.42
Intramural, Recreational and Intercollegiate Facilities	31,009,920.63
Jorgensen Renovation	3,899,128.58
Koons Hall Renovation/Addition	1,461,146.00
Lakeside Renovation	3,800,000.00
Law School Renovations/Improvements	16,660,677.09
Manchester Hall Renovation	772,577.13
Mansfield Training School Improvements	3,000,000.00
Natural History Museum Completion	500,000.00
North Hillside Road Completion	6,700,000.00
Old Central Warehouse *	126,000.00
Parking Garage #3	75,214.27
Psychology Building Renovation/Addition	24,337,399.00
Residential Life Facilities	215,622,476.01



School of Pharmacy/Biology Completion	6,000,000.00
Stamford Campus Improvements/Housing	1,500,870.00
Storrs Hall Addition	14,664,091.44
Student Union Addition	13,000,000.00
Support Facility (Architectural and Engineering Services)	16,583.05
Torrey Renovation Completion and Biology Expansion	1,530,373.00
Torrington Campus Improvements	369,156.42
Waterbury Downtown Campus	1,608,764.00
West Hartford Campus Renovations/Improvements	6,774,305.19
<u>Young Building Renovation/Addition</u>	<u>23,651,403.00</u>
<b>Total – Storrs &amp; Regionals Project List</b>	<b>\$2,331,300,000.00</b>

### **UConn Health**

CLAC Renovation Biosafety Level 3 Lab	\$15,901,465.91
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure & Improvements Renovation Lump Sum and Utility, Administrative and Support Facilities - Health Center	76,959,697.17
Dental School Renovation	3,525,000.00
Equipment, Library Collections and Telecommunications - Health Center	116,429,390.00
Library/Student Computer Center Renovation	1,266,459.97
Main Building Renovation	117,484,315.91
Medical School Academic Building Renovation	39,578,232.00
Planning and Design Costs	25,000,000.00
Research Tower	67,992,229.21
Support Building Addition/Renovation	100,000.00
<u>The University of Connecticut Health Center New Construction and Renovation</u>	<u>386,663,209.83</u>
<b>Total – UConn Health Project List</b>	<b>\$850,900,000.00</b>

**TOTAL PHASE III PROJECTS** **\$3,182,200,000.00**

**TOTAL GO-DSC BONDS: PHASE I, II AND III PROJECTS** **\$4,144,200,000.00**

\* The Old Central Warehouse was added by PA 07-108 effective 7-1-2007

### **General Obligation Bonds – Refundings**

Pursuant to Section 10a-109 of the Connecticut General Statutes, the University may issue refunding securities.

As of June 30, 2023, the University achieved \$93.7 million in cumulative gross debt service savings for Connecticut taxpayers by issuing GO-DSC Refunding Bonds in 2004, 2006, 2007, 2010, 2011, 2013, 2014, 2015, 2016, 2017, 2019 and 2020 as detailed below.

On January 29, 2004, the University achieved \$15.2 million in debt service savings for Connecticut taxpayers through the \$216,950,000 of UCONN 2000 GO-DSC Refunding 2004 Series A Bonds. Proceeds pre-refunded \$223,160,000 of the portions of the 1996, 1997, 1998, 2000, 2001 and 2002 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$15,215,582.84 on a gross cash

debt service savings basis or \$10,117,718.77 on a net present value basis (4.53% savings over refunded bonds debt service) spread across Fiscal Years 2004 to 2020.

On March 15, 2006, the University achieved \$4.0 million in debt service savings through the issuance of \$61,020,000 of UCONN 2000 GO-DSC Refunding 2006 Series A Bonds. Proceeds pre-refunded \$61,675,000 of the portions of the 1998, 1999, 2000, 2001 and 2002 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$4,003,734.09 on a gross cash debt service savings basis or \$2,495,916.47 on a net present value basis (3.94% savings over refunded bonds debt service) spread across Fiscal Years 2006 to 2020.

On April 12, 2007, the University achieved \$1.7 million in debt service savings through the issuance of \$46,030,000 of UCONN 2000 GO-DSC Refunding 2007 Series A Bonds. Proceeds pre-refunded \$46,695,000 of the portions of the 2002 and 2003 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$1,680,056.23 on a gross cash debt service savings basis or \$1,387,269.87 on a net present value basis (2.97% savings over refunded bonds debt service) spread across Fiscal Years 2008 to 2022.

On May 25, 2010, the University achieved \$1.8 million in debt service savings through the issuance of \$36,095,000 of UCONN 2000 GO-DSC Refunding 2010 Series A Bonds. Proceeds pre-refunded \$35,885,000 of portions of the 1999, 2001, 2003 and 2004 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$1,791,739.17 on a gross cash debt service savings basis or \$1,470,720.91 on a net present value basis (4.1% savings over refunded bonds debt service) spread across Fiscal Years 2011 to 2021.

On December 8, 2011, the University achieved \$1.9 million in debt service savings through the issuance of \$31,905,000 of UCONN 2000 GO-DSC Refunding 2011 Series A Bonds. Proceeds pre-refunded \$33,735,000 of portions of the 2003 and 2004 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$1,918,938.89 on a gross cash debt service savings basis or \$1,680,287.49 on a net present value basis (5.0% savings over refunded bonds debt service) spread across Fiscal Years 2011 to 2021.

On July 31, 2013, the University achieved \$4.8 million in debt service savings through the issuance of \$51,250,000 of UCONN 2000 GO-DSC Refunding 2013 Series A Bonds. Proceeds pre-refunded \$54,375,000 of portions of the 2004 and 2005 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$4,768,953.75 on a gross cash debt service savings basis or \$3,982,216.07 on a net present value basis (7.3% savings over refunded bonds debt service) spread across Fiscal Years 2014 to 2024.

On April 22, 2014, the University achieved \$8.5 million in debt service savings through the issuance of \$92,940,000 of UCONN 2000 GO-DSC Refunding 2014 Series A Bonds. Proceeds refunded \$97,930,000 of portions of the 2004 and 2005 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$8,491,280.28 on a gross cash debt service savings basis or \$7,697,944.62 on a net present value basis (7.9% savings over refunded bonds debt service) spread across Fiscal Years 2015 to 2025.

On April 16, 2015, the University achieved \$4.9 million in debt service savings through the issuance of \$34,625,000 of UCONN 2000 GO-DSC Refunding 2015 Series A Bonds. Proceeds

refunded \$38,550,000 of certain maturities of the 2006 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$4,944,870.51 on a gross cash debt service savings basis or \$4,281,359.73 on a net present value basis (11.1% savings over refunded bonds debt service) spread across Fiscal Years 2016 to 2026.

On April 21, 2016, the University achieved \$8.5 million in debt service savings through the issuance of \$80,425,000 of UCONN 2000 GO-DSC Refunding 2016 Series A Bonds. Proceeds refunded \$46,535,000 of certain maturities of the 2006 UCONN 2000 GO-DSC Refunding Bonds and pre-refunded \$42,000,000 of certain maturities of the 2007 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$8,489,980.00 on a gross cash debt service savings basis or \$7,751,484.82 on a net present value basis (8.8% savings over refunded bonds debt service) spread across Fiscal Years 2017 to 2027.

On January 19, 2017, the University achieved \$3.8 million in debt service savings through the issuance of \$33,950,000 of UCONN 2000 GO-DSC Refunding 2017 Series A Bonds. Proceeds refunded \$36,095,000 of the 2007 UCONN 2000 GO-DSC Refunding Bonds 2018 to 2022 maturities. Debt service savings amounted to \$3,763,591.11 on a gross cash debt service savings basis or \$3,295,890.33 on a net present value basis (9.1% savings over refunded bonds debt service) spread across Fiscal Years 2018 to 2022.

On May 8, 2019, the University achieved \$9.6 million in debt service savings through the issuance of \$64,680,000 of UCONN 2000 GO-DSC Refunding 2019 Series A Bonds. Proceeds refunded \$72,060,000 of the 2009 UCONN 2000 GO-DSC Refunding Bonds 2020 to 2029 maturities. Debt service savings amounted to \$9,567,690.89 on a gross cash debt service savings basis or \$8,110,020.66 on a net present value basis (10.9% over refunded bonds debt service) spread across Fiscal Years 2020 to 2029.

On December 17, 2020, the University achieved \$29.0 million in debt service savings through the issuance of \$119,085,000 (plus original issue premium) of UCONN 2000 GO-DSC Refunding 2020 Series A Bonds. Proceeds refunded \$138,340,000.00 of principal of outstanding bonds as follows: on December 17, 2020 to call and refund \$43,695,000.00 of certain maturities of the GO-DSC 2010 bonds principal outstanding plus \$724,583.42 of accrued interest for a total of \$44,419,583.42; and on February 15, 2021 to call and refund \$89,850,000.00 of the GO-DSC 2011 new money bonds principal outstanding plus \$2,208,875.00 accrued interest for a total of \$92,058,875.00; and to call and refund \$4,795,000.00 of the GO-DSC 2011 Refunding bonds Principal outstanding, plus \$119,875.00 of accrued interest for a total of \$4,914,875.00. Additional premium proceeds funded the costs of issuance. Apart from the 2/15/2021 maturity of the 2011 New Money and Refunding bonds all the outstanding principal was refunded. Debt service savings amounted to \$29,010,290.14 on a gross cash debt service savings basis or \$27,416,150.18 on a net present value basis (19.81% over refunded bonds debt service) spread across Fiscal Years 2021 to 2031.

### **Special Obligation Student Fee Revenue Bonds**

UCONN 2000 also authorizes the University to issue Special Obligation Revenue bonds. Unlike the UCONN 2000 GO-DSC Bonds that are paid from the State's General Fund, debt on the Special Obligation Bonds is paid from certain pledged revenues of the University as defined in the particular bond series indenture.

A Special Capital Reserve Fund may be established for UCONN 2000 Special Obligation bond issues only if the Board of Trustees determines that the Special Obligation bond issue is self-sufficient as defined in the Act. The self-sufficiency finding by the University must be submitted to and confirmed as not unreasonable or arbitrary by the State Treasurer prior to issuance of the bonds. Once approved, the Special Capital Reserve Fund is funded at issuance by the University to meet the minimum capital reserve requirement.

### **Special Obligation Student Fee Revenue Bond Issues Completed**

The UCONN 2000 Special Obligation Student Fee Revenue Bonds ("SO-SFR Bonds") authorized, approved and issued to date are listed below.

SO-SFR Bonds have been issued pursuant to the Special Obligation Indenture of Trust, dated as of January 1, 1997, between the University as Issuer and U.S. Bank Trust Company, National Association as successor to State Street Bank & Trust as Trustee ("the Special Obligation Master Indenture"). The Board of Trustees approved the Special Obligation Master Indenture on November 8, 1996. The Board of Trustees and the Governor approve the subsequent Supplemental Indentures for each Special Obligation bond issue.

There have been eight bond issues, including four refundings, pursuant to the Special Obligation Master Indenture that, as mentioned above, are not secured by the State's DSC. The University and the Office of the State Treasurer, working in conjunction, manage the Special Obligation bond sale process. UCONN 2000 SO-SFR Bonds issued to date are summarized below:

## UCONN 2000 SO-SFR Bonds

<u>Date of Issue</u>	<u>Par Amount</u>	<u>TIC</u> <sup>(1)</sup>	<u>Bond Issue</u>
February 4, 1998	\$33,560,000	5.08%	1998 Series A <sup>(2)</sup>
June 1, 2000	\$89,570,000	6.02%	2000 Series A <sup>(2)</sup>
February 14, 2002	\$75,430,000	4.94%	2002 Series A <sup>(2)</sup>
March 29, 2018	\$141,725,000	4.06%	2018 Series A
February 27, 2002	\$96,130,000	4.89%	Refunding 2002 Series A <sup>(2)</sup>
June 16, 2010	\$47,545,000	3.14%	Refunding 2010 Series A <sup>(2)</sup>
December 13, 2012	\$87,980,000	2.47%	Refunding 2012 Series A <sup>(2)</sup>
November 15, 2022	\$52,515,000	3.80%	Refunding 2022 Series A

<sup>(1)</sup> TIC is the true interest cost reflecting the interest rate for the time value of money across an entire bond issue. The UCONN 2000 SO-SFR Bonds are generally issued for an approximate 30-year final maturity, compared to a 20-year final maturity for the GO-DSC Bonds; hence the TIC may appear relatively higher for SO-SFR Bonds.

<sup>(2)</sup> Bonds are no longer outstanding

On February 4, 1998, the University issued \$33,560,000 of UCONN 2000 Student Fee Revenue 1998 Series A Bonds with a final maturity of November 15, 2027. The Special Obligation First Supplemental Indenture was also dated January 1, 1997 and authorized the issuance of bonds up to a principal amount not to exceed \$30,000,000 for construction of the South Campus Residence and Dining Hall, plus amounts necessary to fund a Special Capital Reserve Fund (“SCRF”) and provide for costs of issuance. The University managed the issuance and sale of these bonds and realized a favorable true interest cost over the term. Debt service for these bonds is paid from pledged revenues as further defined in the Indenture of Trust. Such pledged revenues also help support future operation and maintenance costs for facilities built or renovated through UCONN 2000.

On June 1, 2000, the University issued \$89,570,000 of the UCONN 2000 Student Fee Revenue 2000 Series A Bonds pursuant to the Special Obligation Master Indenture and the SO-SFR Bonds Second Supplemental Indenture dated as of May 1, 2000. Bond proceeds funded \$87,000,000 of construction for the Hilltop Dormitory, Hilltop Student Rental Apartments, and Parking Garage South. They also provided for capitalized interest and costs of issuance. The \$89,570,000 Student Fee Revenue 2000 Series A Bonds were defeased in substance on February 27, 2002, as further described below, and are no longer reflected as outstanding debt on the University’s financial statements.

On February 14, 2002, the University issued \$75,430,000 of the UCONN 2000 Student Fee Revenue 2002 Series A Bonds pursuant to the Special Obligation Master Indenture and the SO-SFR Bonds Fourth Supplemental Indenture dated as of November 16, 2001. Bond proceeds funded \$72,180,000 of construction for the Alumni Quadrant Renovations, Shippee/Buckley Renovations, East Campus North Renovations, Towers Renovations (including Greek Housing), and North Campus Renovations (including North Campus Student Suites and Apartments).

On March 29, 2018, the University issued \$141,725,000 of the UCONN 2000 Student Fee Revenue 2018 Series A Bonds pursuant to the Special Obligation Master Indenture and the SO-SFR Bonds Fifth Supplemental Indenture dated as of November 21, 2017. Bond proceeds funded \$152,000,000 of construction for the UCONN 2000 Project Intramural, Recreational and Intercollegiate Facilities including a new Student Recreation Center, associated infrastructure, and Stadia. They also funded capitalized interest and costs of issuance.

**Special Obligation Student Fee Revenue Bonds - Projects**

As of June 30, 2023, the following ten projects totaling \$374,180,000 have been authorized to receive 2000 SO-SFR Bond proceeds by both the Governor and the Board of Trustees. The total authorization does not include the Sixth Supplemental Indenture which is pending the Governor’s approval as of June 30. Of the authorized amounts, \$341,180,000 of SO-SFR Bonds were issued (some of these projects were also supported by GO-DSC or other funding) as follows:

<b><u>Phase I &amp; II</u></b>	<b><u>Authorized &amp; Issued</u></b>
Alumni Quadrant Renovations	7,000,000.00
East Campus North Renovations	1,000,000.00
Hilltop Dormitory New	21,000,000.00
Hilltop Student Rental Apartments	42,000,000.00
North Campus Renovation (including North Campus Student Suites and Apartments)	45,000,000.00
Parking Garage-South	24,000,000.00
Shippee/Buckley Renovations	5,000,000.00
South Campus Complex	30,000,000.00
Towers Renovations (including Greek Housing)	14,180,000.00
<b>TOTAL SO-SFR BONDS: PHASE I AND II PROJECTS</b>	<b><u>\$189,180,000.00</u></b>

<b><u>Phase III</u></b>	<b><u>Authorized SFR 2018*</u></b>
Intramural, Recreational and Intercollegiate Facilities Authorized	185,000,000.00
Intramural, Recreational and Intercollegiate Facilities Not Issued	(33,000,000.00)
<b>TOTAL SO-SFR PHASE III BONDS AUTHORIZED &amp; ISSUED</b>	<b><u>\$152,000,000.00</u></b>

\*Although the SO-SFR Fifth Supplemental Indenture authorized \$185 million only \$152 million was issued by the SO-SFR 2018A bonds. The remaining \$33 million has not been issued as of 6/30/2023 and is not available to be spent.

<b><u>Phase I, II &amp; III</u></b>	<b><u>Authorized &amp; Issued</u></b>
<b>TOTAL SO-SFR BONDS AUTHORIZED</b>	<b>\$374,180,000.00</b>
<b><u>TOTAL SO-SFR BONDS NOT ISSUED</u></b>	<b><u>(\$33,000,000.00)</u></b>
<b>TOTAL SO-SFR BONDS AUTHORIZED &amp; ISSUED</b>	<b><u>\$341,180,000.00</u></b>

### **Special Obligation Student Fee Revenue Bonds – Calls and Refundings**

Pursuant to Section 10a-109 of the Connecticut General Statutes, the University may issue refunding securities. The University achieved a total of \$50.3 million in gross debt service savings for Connecticut taxpayers by issuing SO-SFR Refunding Bonds or calling and retiring debt as follows.

On February 27, 2002, the University achieved debt service savings of \$3,553,672 on a gross cash debt service savings basis or \$2,383,106 on a net present value basis (3.036% savings over refunded bonds debt service) through its issuance of \$96,130,000 of the UCONN 2000 Student Fee Revenue Refunding 2002 Series A Bonds. The bonds were issued pursuant to the Special Obligation Master Indenture and the SO-SFR Bonds Third Supplemental Indenture, dated as of February 1, 2002. Proceeds were used to take advantage of favorable market conditions to advance refund and defease in substance all the \$89,570,000 of Student Fee Revenue 2000 Series A Bonds outstanding. Proceeds were deposited with the Trustee bank in an irrevocable escrow fund sufficient to satisfy future debt service and call premiums on the prior issue.

On June 16, 2010, the University achieved debt service savings of \$9,479,927 on a gross cash debt service savings basis or \$7,211,753 on a net present value basis (9.915% savings over refunded bonds debt service) (including the free up of \$2,126,425 of SCRF amounts), through its issuance of \$47,545,000 of the UCONN 2000 Student Fee Revenue Refunding 2010 Series A Bonds. The bonds were issued pursuant to the Special Obligation Master Indenture and the SO-SFR Bonds Third Supplemental Indenture, dated as of February 1, 2002. Proceeds were used to take advantage of favorable market conditions to refund, advance refund and defease in substance \$51,305,000 of prior bonds (including \$25,140,000 of the Student Fee Revenue 1998 Series A Bonds and \$26,165,000 of the Student Fee Revenue 2002 Series A Bonds outstanding). The par amount of \$47,545,000 plus \$4,267,926 of the original issue premium and \$2,126,425 from the 1998 Special Capital Reserve Fund release were deposited with the Trustee bank in a \$53,939,351 irrevocable escrow fund to satisfy future debt service and call premiums on the prior issues.

On December 13, 2012 the University achieved debt service savings of \$31,861,283 on a gross cash debt service savings basis or \$26,701,079 on a net present value basis (25.2% savings over refunded bonds debt service) spanning Fiscal Years 2013 thru 2030 by issuing \$87,980,000 of the UCONN 2000 Student Fee Revenue Refunding 2012 Series A Bonds. We achieved a stunning Total Net Present Value Savings of \$26,701,079 or 25.2% of the refunded principal. Working in conjunction with the Office of the State Treasurer, the University negotiated a remarkable all in True Interest Cost of 2.48 % which is the lowest interest rate for a single bond issue in the history of the program. We refunded \$106,030,000 of prior bonds including \$32,430,000 of the SO-SFR 2002 A new money bonds (all the outstanding), and \$73,600,000 of the SO-SFR Refunding 2002 A bonds (all the outstanding). The par amount of \$87,980,000 plus \$19,690,292 of the original issue premium were deposited with the Trustee bank in a \$107,670,292 irrevocable escrow fund to satisfy future debt service and call premiums on the prior issues. The bonds were issued pursuant to the Special Obligation Master Indenture and the Special Obligation Student Fee Revenue Bonds Third Supplemental Indenture, dated as of February 1, 2002.

On November 15, 2019 the University achieved debt service savings of \$2,683,550 by calling and retiring at face value the outstanding principal of \$16,745,000 of Special Obligation Student Fee Revenue 2010 Refunding bonds on their call date of November 15, 2019, from available pledged revenues. Interest payments on the outstanding debt have an average coupon of 4.6% per year. Calling and retiring the \$16,745,000 principal eliminates the \$2,683,550 of scheduled interest payments, providing a 16% return on this transaction. The University pledged revenues had been invested in the State Short Term Investment Fund which yields vary daily, but which averaged only 0.72% over the eight years prior to the call. The benefit of calling and retiring the \$16,745,000 bond principal eight years early minus the cost of earning an average of 0.72% per year results in a return of 9.66% in net present value savings of \$1,617,919 (9.66% of refunded par) in favor of retiring the bonds. Accordingly, the Board of Trustees approved the transaction on October 23, 2019, and University pledged revenues were wired to the Trustee bank's dedicated redemption account, pursuant to the indentures, sufficient to call and retire the outstanding \$16,745,000 principal at par on the day of the call. The original bonds refunded all the outstanding SO-SFR 1998-A Bonds and part of the 2002-A Bonds as further described above.

On November 15, 2022 the University achieved debt service savings of \$2,688,850 on a gross cash debt service savings basis or \$2,352,390 on a net present value basis for Fiscal Years 2023 thru 2030 by issuing \$52,515,000 of the UCONN 2000 Student Fee Revenue Refunding 2022 Series A Bonds. Working in conjunction with the Office of the State Treasurer, the University negotiated an all in True Interest Cost of 3.80 %. We refunded all outstanding SO-SFR 2012 Refunding Series A bonds, totaling \$54,795,000. The par amount of \$52,515,000 plus \$3,073,700 of the original issue premium, less costs of issuance, were deposited with the Trustee bank in a \$54,893,565 irrevocable escrow fund to satisfy future debt service and call premiums on the prior issues.

**UCONN 2000 Heating Plant Upgrade Tax-Exempt Governmental Lease Purchase Agreement for the Cogeneration Facility**

Pursuant to Section 10a-109 of the Connecticut General Statutes, the University may issue other UCONN 2000 securities pursuant to other financing documents. The Board of Trustees authorized \$81,900,000 of UCONN 2000 debt in the form of a Heating Plant Upgrade Tax-Exempt Governmental Lease Purchase Agreement ("Heating Plant Upgrade GLPA") secured by the University's general obligation for the Cogeneration facility portion of the UCONN 2000 Heating Plant Upgrade project on the Storrs Campus.

This \$81,900,000 of UCONN 2000 debt was not issued under the UCONN 2000 General Obligation or Special Obligation Indentures of Trust but was entered into under certain separately negotiated documents and agreements in two parts. On December 18, 2003, the University entered a privately placed \$75,000,000 Heating Plant Upgrade GLPA with a reported nominal interest rate of 4.42% compounded monthly to finance the design and construction of a combined heat and power plant. On August 15, 2005, the University amended the agreement for an additional Heating Plant Upgrade GLPA of \$6,900,000 at a 5.09% interest rate compounded monthly (resulting in a combined interest rate of approximately 4.5%).



The Heating Plant Upgrade is a UCONN 2000 Act listed project and the funding, in addition to the \$81.9 million, has also included GO-DSC proceeds. The cogeneration facility is a linchpin of the University's commitment to energy efficiency and generates much of the needs for electrical power, heating and cooling on the Storrs campus. Cost avoidance achieved through the construction and operation of the facility is expected to provide funds to pay the debt service.

The Heating Plant Upgrade GLPA is not rated by the credit rating agencies, however, all UCONN 2000 debt is considered in the credit rating analysis of the UCONN 2000 General Obligation and Special Obligation programs.

### **UCONN 2000 Heating Plant Upgrade GLPA– Refundings**

Pursuant to the financing documents the University twice renegotiated the interest rate on the original financings of the Heating Plant Upgrade GLPAs and achieved a total of \$7.4 million in gross debt service savings (\$5.0 million net present value) for Connecticut taxpayers through two separate transactions.

During July 2013, the University achieved gross debt service savings of \$5.2 million by negotiating a lower interest rate amendment to the UCONN 2000 Heating Plant Upgrade-Cogeneration Facility Tax-Exempt Governmental Lease Purchase Agreement. The interest rate was lowered, effective in the August 29, 2013 monthly payment, from 4.42% on the original \$75 million loan, and 5.09% on the original \$6.9 million loan, to 3.22% on both. The combined remaining balance of the loans was approximately \$59 million. The amendment achieved combined gross nominal debt service savings of \$5,168,392.06 million or \$3,238,326.69 (4.2%) on a net present value basis over the remaining 12 years and 5 months of the original financing.

During November 2016 the University achieved gross debt service savings of \$2.2 million by negotiating another lower interest rate amendment to the UCONN 2000 Heating Plant Upgrade-Cogeneration Facility Tax-Exempt Governmental Lease Purchase Agreement. The interest rate was lowered a full 100 basis points to 2.22% from 3.22% on the outstanding \$45.1 million balance for a debt service nominal savings of \$2,246,699.46 or present value savings of \$1,758,933.37 (3.9% as a percentage of par) over the remaining term. Monthly debt service payments which UConn pays for out of its own resources were reduced by \$20,802.76 or about \$249,633.06 a year. The new rate was effective from January 2017 to the original term of the loan ending on 12/29/2025 (approximately nine years).

### **UCONN 2000 Residential Life Facilities – Nathan Hale Inn Promissory Taxable Note**

On December 1, 2016, the UCONN 2000 Residential Life Facilities – Nathan Hale Inn Promissory Taxable Note was fully paid off and retired.

On April 29, 2015, the Board of Trustees authorized \$5,500,000 of UCONN 2000 debt in the form of a taxable Promissory Note secured by the University's general obligation for that portion of the UCONN 2000 Residential Life Facilities project used to purchase the Nathan Hale Inn on the Storrs Campus. The Governor approved the financing on May 19, 2015.

On July 1, 2015, the University entered with Webster Bank a privately placed \$5,376,712.73 principal amount at a 6.84% interest rate known as "UCONN 2000 Residential Life Facilities (Nathan Hale Inn) Promissory Note (Taxable)" which was secured by the general obligation of the

University with an approximate 18-month maturity (August 1, 2015 to December 1, 2016). The note's debt service payments are \$44,989.20 monthly with a balloon payment due on December 1, 2016. The UCONN 2000 Residential Life Facilities (Nathan Hale Inn) Promissory Note (Taxable) was issued pursuant to certain separately negotiated (by the University with Webster Bank) documents and agreements. UCONN 2000 bond counsel reviewed the process. The note was not issued pursuant to the UCONN 2000 General Obligation or Special Obligation Indentures of Trust.

### **UCONN 2000 DEBT SERVICE**

Pursuant to Section 10a-109x of the Connecticut General Statutes, the Semiannual Report to the General Assembly is to list the payment of debt service requirements and the payment of principal and interest on the UCONN 2000 securities.

### **DEBT SERVICE: General Obligation Debt Service Commitment Bonds**

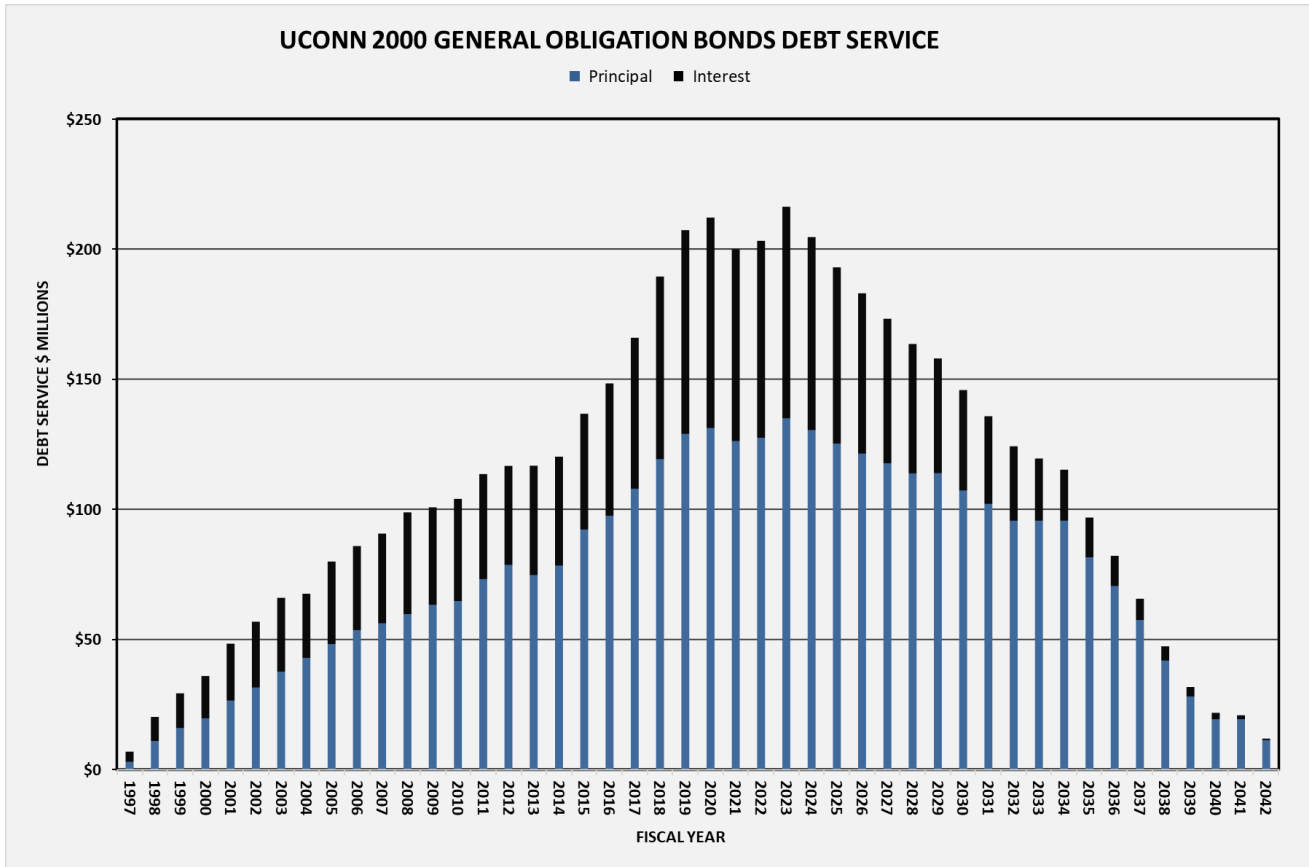
The State General Fund pays the debt service and any arbitrage rebate due on the University's GO-DSC Bonds.

As of June 30, 2023:

- Since the program's inception in 1996, total GO-DSC debt service (which is paid by the state) amounted to \$5,131.1 million, representing \$3,453.6 million of principal and \$1,677.5 million of interest (including the Refunding Bonds but net of refunded debt).
- Of this amount, debt service of \$3,037.2 million, representing \$1,905.2 million of principal and \$1,132.0 million of interest (including capital appreciation bonds) has been paid.
- Future debt service requirements amount to \$2,093.8 million, representing \$1,548.4 million of principal and \$545.4 million of interest (including capital appreciation bonds).

For the Fiscal Year ending June 30, 2023, the GO-DSC bonds debt service paid was \$216.2 million, representing \$135.0 million of principal and \$81.2 million of interest.

As of June 30, 2023, the following graph shows UCONN 2000 GO-DSC debt service by Fiscal Year including refunding issues, but net of refunded.



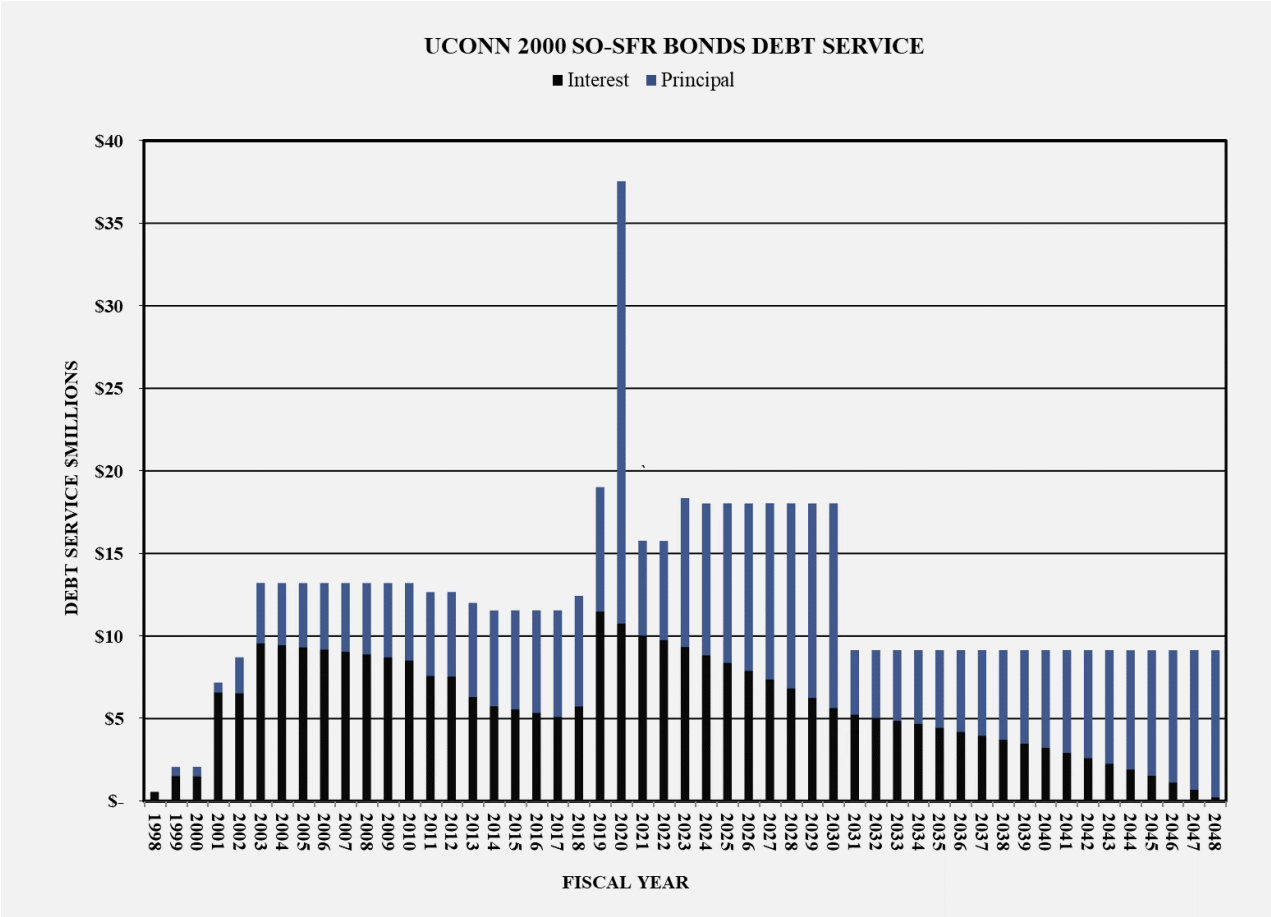
**DEBT SERVICE: Special Obligation Student Fee Revenue Bonds**

The University is responsible for paying the debt service and any arbitrage rebate due on its Special Obligation debt. All other things equal, the Special Obligation bonds incur proportionally more interest expense because they are generally issued for terms of up to approximately thirty years compared to twenty years for the GO-DSC Bonds. The longer term of the bonds (up to 30 years) generally reflects the long-term debt cost of the assets financed by the bonds being spread more evenly over the annual benefits received by the student populations utilizing the assets.

As of June 30, 2023 (comprising the outstanding SO-SFR 2018 New Money and the Refunding 2022 Series A Bonds, all previous SO-SFR bonds have been retired):

- Total UCONN 2000 SO-SFR debt service (which is paid by the University) amounted to \$619.6 million, representing \$322.8 million of principal and \$296.9 million of interest.
- Of this amount, the University had paid debt service of \$328.7 million representing \$139.2 million of principal and \$189.6 million of interest.
- Debt service remaining totals \$290.9 million comprising \$183.6 million of principal and \$107.3 million of interest (including capital appreciation bonds).

As of June 30, 2023, the graph below shows the SO-SFR debt service paid using UConn’s own funds.



\* Fiscal Year 2020 debt service includes a voluntary early call and defeasement of the SO-SFR 2010 bonds of \$16,745,000 in outstanding principal to provide future debt service savings.

### **DEBT SERVICE: UCONN 2000 Heating Plant Upgrade GLPA**

The University is responsible for paying the debt service for the Heating Plant Upgrade GLPA. Originally, there were two financing tranches providing a total of \$81,900,000 of funding for the UCONN 2000 Heating Plant Upgrade the Storrs Campus cogeneration facility for the project on the Storrs Campus. Heating Plant Upgrade GLPA debt service payments commenced on January 29, 2006.

Originally, debt service was to be paid in 240 monthly installments of approximately \$517,135 (which over the life of the financing would have totaled \$124,112,424 comprising \$42,212,424 of interest and \$81,900,000 of principal).

During July 2013, the University negotiated and amended the agreement to the lower rate of 3.22% providing for the (at that time) remaining 149 monthly installments to be lowered to approximately \$482,448, which over the life of the financing, totals to debt service of \$118,944,031 comprising \$37,044,031 of interest and \$81,900,000 of principal.

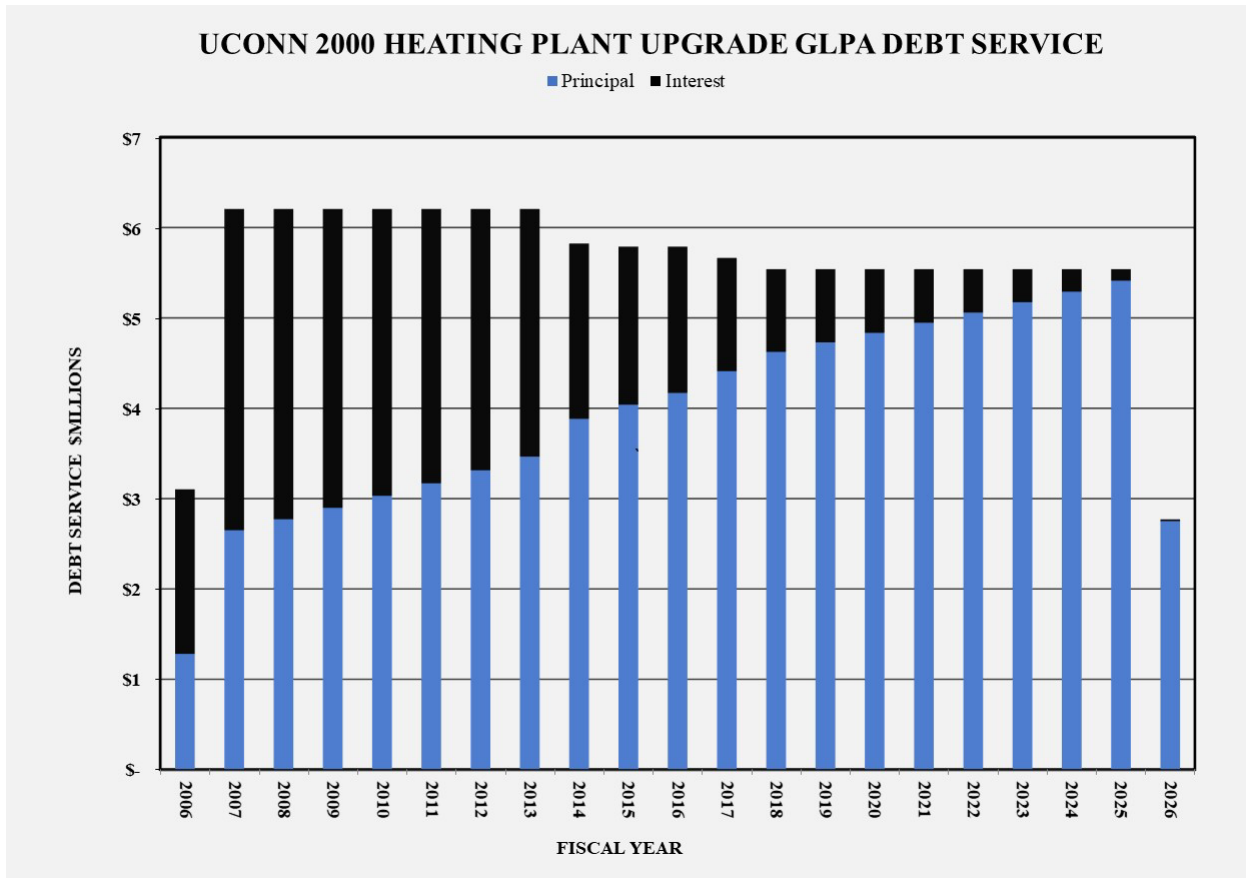
During November 2016, the University renegotiated and amended the agreement to a lower interest rate of 2.22% providing for the remaining 79 monthly installments to be lowered to approximately \$461,645.13 effective January 29, 2017. Following this amendment over the life of the financing total debt service now totals \$116,697,331.91 comprising \$34,797,331.91 of interest and \$81,900,000.00 of principal.

As of June 30, 2023:

- Total UCONN 2000 Heating Plant Upgrade GLPA debt service (which is paid for by the University) amounted to \$116.7 million representing \$81.9 million of principal and \$34.8 million of interest (post both refinancings to lower rates).
- The University had paid down the Heating Plant Upgrade GLPA debt service by \$102.8 million representing \$68.4 million of principal and \$34.4 million of interest.
- Remaining debt service amounts to \$13.8 million representing \$13.5 million of principal and \$0.4 million of interest.

For the Fiscal Year ending June 30, 2023, the University paid from its own resources Heating Plant Upgrade GLPA debt service of \$5.5 million (representing \$5.2 million of principal and \$0.4 million of interest).

As of June 30, 2023, the below graph shows the UCONN 2000 Heating Plant Upgrade GLPA (for the cogeneration plant) debt service by Fiscal Year.



**DEBT SERVICE: UCONN 2000 Residential Life Facilities (Nathan Hale Inn) Promissory Note (Taxable)**

The UCONN 2000 Residential Life Facilities (Nathan Hale Inn) Promissory Note (Taxable) was retired on December 1, 2016. Over the eighteen-month term, the University paid total debt service of \$5.9 million representing \$5.4 million of principal and \$0.5 million of interest.

**Investment of Debt Proceeds - Management, Investment and Earnings**

The proceeds of any bond sale by the University are part of the Trust Estate established under the General Obligation Master Indenture of Trust with the Trustee Bank as security for bondholders. Consequently, the University holds all of the bond proceeds at the Trustee Bank, with the exception of the Cost of Issuance account funded by the University’s GO-DSC Bonds, which may be held and invested by the State Treasurer’s Office in a segregated account from which the Treasurer pays debt service to the Trustee Bank. The Special Obligation Master Indenture Trust Estate provisions includes all of the Trustee Bank Special Obligation bond proceeds received at issuance including the Costs of Issuance account, plus the periodically funded debt service fund, and the Renewal and Replacement Fund which was not funded with tax-exempt bond proceeds. The University directs the Trustee to invest and disburse these accounts.

Prior to June 1998, all UCONN 2000 GO-DSC Bond proceeds were deposited with the Office of the State Treasurer and treated like state bond proceeds, including payments made to vendors through the Office of the State Comptroller. Subsequently, the Office of the Attorney General opined that the University, and not the State, issues UCONN 2000 bonds. Accordingly, upon advice of bond counsel and in conformity with the Master Indenture of Trust, GO-DSC Bond construction fund proceeds were deposited to the Trustee Bank and disbursed as directed by the University pursuant to the Indenture. The UCONN 2000 GO-DSC Bond proceeds for costs of issuance are still treated like State bond proceeds and deposited with the Office of the State Treasurer and disbursed through the Office of the State Comptroller.

The Indentures of Trust provide that the University is authorized and directed to order each disbursement from the Construction Account held by the Trustee upon a certification filed with the Trustee bank and, in the case of the DSC bonds, the State Treasurer. The Indentures provide that such certification shall be signed by an Authorized Officer of the University and include certain disbursement information. Once the Authorized Officer certification filings are made, the University can directly disburse payments.

The investment of tax-exempt debt proceeds is heavily regulated by the Internal Revenue Service, the relevant Indentures of Trust with bondholders, Connecticut law, and other regulatory restrictions. In addition to meeting those requirements, the University's general investment policy is to balance an appropriate risk-return level, heavily weighted towards safety of assets, with estimated cash flow needs and liquidity requirements. The University is also mindful that the rating agencies, bond buyers, and bond insurers often weigh the quality of an issuer's investment portfolio.

Bond proceeds form part of the Trust Estate established with the Trustee Bank as security for bondholders. To date, the University has directed the Trustee Bank to invest any DSC construction fund proceeds in the State Treasurer's Short Term Investment Fund ("STIF") which is "AAAm" rated by Standard and Poor's and offers daily liquidity and historically attractive risk-adjusted yields. As discussed above, the State Treasurer's Office holds and invests the University's GO-DSC funded Costs of Issuance account.

The GO-DSC Refunding 2004, 2006, 2007, 2010, 2011, 2013, 2014, 2015, 2016, 2017, 2019 and 2020 Series A Bond proceeds, other than the costs of issuance, were deposited with the Trustee Bank to immediately redeem bonds or invest in irrevocable escrow funds, which are invested in U.S. Treasury Securities and/or U.S. Treasury State and Local Government Securities ("SLGS") and cash pursuant to the indenture and respective Escrow Agreements.

It has been the University's practice to invest all of the Special Obligation new money bond proceeds, including the debt service funds, in dedicated STIF accounts, with the exception of the 1998 Special Obligation Special Capital Reserve Fund which at times had also been invested in longer term highly rated federal agencies' fixed income Investment Obligations as defined in the Special Obligation Indenture of Trust.

The SO-SFR Refunding 2002, 2010, 2012, and 2022 Series A Bond proceeds, other than the costs of issuance and debt service accounts that were invested in STIF, were deposited with the Trustee Bank in their respective irrevocable Escrow Accounts, which were invested in SLGS, and cash pursuant to the Escrow Agreement.

The University's GO-DSC Bond proceeds investment earnings are retained and accounted for by the State Treasurer's Office and do not flow to the University or to the Trustee Bank. The University's Special Obligation bond investment earnings are part of the pledged revenues and are directly retained by the Trustee Bank to pay debt service on the bonds and may also be used to flow to other Trustee bond accounts, if necessary, pursuant to the Indenture of Trust.

Fiscal Year end June 30, 2023, UCONN 2000 SO-SFR Bond funds (not including the refunding escrows and the Renewal & Replacement Fund) investment earnings amounted to \$54,185.27 (cash basis). Additionally, for Fiscal Year end June 30, 2023, the non-bond proceeds Renewal & Replacement Fund held at the Trustee Bank (U.S. Bank Trust Company, NA) earned \$554,818.84 of investment earnings and were retained in that Trustee Bank account.

Investment earnings on the SO-SFR Bonds Refunding Escrow Accounts flow to their respective irrevocable escrows and are used by the Trustee Bank to meet debt service payments and redeem the defeased bonds. Similarly, investment earnings on the GO-DSC Refunding Escrow Accounts flow to each respective irrevocable escrow and are used by the Trustee Bank to meet debt service payments and redeem the defeased bonds.

On December 29, 2005, the University received \$15,847,241.65 representing the last advance of the \$81,900,000 of funds to the University under the Heating Plant Upgrade GLPA. These funds, and the related investment income, are for uses related to the Cogeneration financing and were deposited in a dedicated STIF account. During December 2006, part of the remaining proceeds, representing the initial December 18, 2003 financing, was yield restricted by investing it in a dedicated Tax-Exempt Proceeds Fund. All the Heating Plant Upgrade GLPA proceeds have been spent.

#### **Future UCONN 2000 Debt Issuance**

The University has issued the entire \$962 million of UCONN 2000 Phase I & II authorizations for the GO-DSC bonds.

UCONN 2000 Phase III, as amended, provides for an additional \$3,333,900,000 of funding with GO-DSC bonds. Of this amount, as of June 30, 2023, \$3,182,200,000 of Phase III has been authorized by the Board of Trustees and the Office of the Governor, of which \$340,200,000 is unissued. The total authorization does not include the Thirtieth Supplemental Indenture which is pending the Governor's approval as of June 30.

During Fiscal Year 2024, the University tentatively plans to issue new money GO-DSC Bonds, and if conditions warrant a possible GO-DSC refunding bond issue. On June 28, 2023, UConn's Board of Trustees approved a Fiscal Year 2024 capital budget of \$315.0 million that includes \$84.7 million of GO-DSC bond funds. In future Fiscal Years, the University may issue additional new money GO-DSC bonds as well as refund certain GO-DSC bonds to provide debt service savings to the program, should conditions warrant.



Also included in the Fiscal Year 2024 capital budget, the Board of Trustees approved the Special Obligation Student Fee Revenue Bonds Sixth Supplemental Indenture, authorizing \$100,000,000 for the UCONN 2000 Project “Residential Life Facilities”. The Sixth Supplemental Indenture is pending the Governor’s approval as of June 30. Accordingly, the University may issue additional SO-SFR new money bonds at a future date, provided that there is financial self-sufficiency capacity and aggregate pledged revenues sufficient to meet requirements of the Special Obligation Master Indenture. Additionally, the University may refund certain SO-SFR bonds to provide debt service savings to the program, should conditions warrant.

The University could enter other types of tax-exempt or taxable financings pursuant to the UCONN 2000 Act. Market conditions and other factors could also lead to the refunding of other non-public debt in the future.

### **Credit Ratings**

The capital markets have recognized the tangible benefits to the state’s economy of meeting the infrastructure and educational goals of the program, as well as the University’s success in implementing them. A good credit rating not only provides the State and the University with less expensive access to the capital markets but also supports the State’s quality reputation among investors.

#### *General Obligation Ratings*

As of June 30, 2023, the University’s GO-DSC Bonds were rated “AA-” by S&P Global Ratings, “Aa3” by Moody’s Investors Service, and “A+” by Fitch Ratings.

On November 21, 2022, S&P Global Ratings upgraded the University’s GO-DSC Bonds to “AA-” from “A+” following an upgrade of the State’s General Obligation Bonds to “AA-” from “A+”.

On March 31, 2021, Moody’s Investors Service upgraded the University’s GO-DSC Bonds to “Aa3” from “A1” following an upgrade of the State’s General Obligation Bonds to “Aa3” from “A1”.

On May 14, 2021, Fitch Ratings upgraded the University’s GO-DSC Bonds to “A+” from “A” following an upgrade of the State’s General Obligation Bonds to “AA-” from “A+”.

#### *Special Obligation Ratings*

As of June 30, 2023, the University’s SO-SFR Bonds were rated “A+” by S&P Global Ratings and “Aa3” by Moody’s Investors Service. Fitch Ratings does not have an underlying rating for the University’s SO-SFR Bonds.

On April 5, 2019, S&P Global Ratings downgraded the University’s SO-SFR Bonds to “A+” from “AA-”.

On October 13, 2022 Moody’s Investors Service upgraded the University’s SO-SFR Bonds to “Aa3” from “A1”.

In addition to the underlying credit ratings, in the past the University at times would secure certain bond maturities at issuance with municipal bond insurance from FGIC, MBIA and FSA which originally provided “AAA” credit ratings. Subsequently many bond insurers experienced credit rating downgrades and other changes. At times, this has resulted in the UCONN 2000 bonds underlying credit ratings exceeding the credit ratings for certain bond insurers. Additionally, at times investors might utilize bond insurance at their own expense in the secondary market. As a result, people are advised to check with the credit rating agencies for the most recent bond ratings including those that might be so insured.

### **UCONN 2000 Debt Reflected on Audited Financial Statements**

UCONN 2000 financings are debt obligations of the University, as the issuer, and are reflected on UConn’s financial statements accordingly. For example, the SO-SFR Bonds, the Heating Plant Upgrade GLPA, and the UCONN 2000 Residential Life Facilities (Nathan Hale Inn) Promissory Note (Taxable) (prior to being paid off and retired by December 1, 2016) debt are shown as liabilities on the University’s financial statements and the related capital improvements are shown as assets. The University is the issuer and ultimately responsible for the GO-DSC Bonds which also fund certain assets at UConn Health, and consequently are also partially reflected on UConn Health’s financial statements as further discussed below.

Phase III of the Act authorizes the University to fund projects for UConn Health with, among other sources, GO-DSC Bonds and the University has done so. Accordingly, the UCONN 2000 GO-DSC Phase III project proceeds and any unspent debt proceeds are reflected on both UConn’s and UConn Health’s financial statements. As bonds are issued, the amount of the commitment for UConn Health is recorded as an offset to revenue on UConn’s financial statements in the University’s Other Changes in Net Position section of the Statement of Revenues, Expenses, and Changes in Net Position. A corresponding liability is recorded in due to affiliate in UConn’s Statement of Net Position for the unspent portion of the bonds due to UConn Health. Correspondingly, UConn Health records that portion of UCONN 2000 bond issuance proceeds for UConn Health in the capital appropriation line in UConn Health’s Statement of Revenues, Expenses, and Changes in Net Position. The corresponding receivable is recorded for the unspent portion of the bonds in the Statement on Net Position. The State’s DSC for interest on the UCONN 2000 General Obligation bonds is included in Non-Operating Revenues (Expenses) section of the Statement of Revenues, Expenses, and Changes in Net Position.

### **UCONN 2000: Private Financial Support**

For Fiscal Year 2023, the Foundation raised \$157.9 million in new gifts and commitments, \$42.6 million designated to endowed accounts and \$115.3 million to restricted.

- Anonymous \$40 million to support School of Nursing
- Ms. Trisha M. Bailey PhD, CLAS ’99 \$15 million to support Athletics
- The Honorable Antonietta Boucher, BUS ’02 \$8 million to support the School of Business
- Mr. David E. Gannon MD, MED ’80 \$8 million to support the School of Medicine
- Mr. James E. Belmont, ENGR ’86 \$5 million to support the School of Engineering

## **UCONN 2000 in CYBERSPACE**

Information about UCONN 2000 is available on the World Wide Web at:  
<https://bpir.uconn.edu/home/capital-budget/capital-reports-and-presentations/uconn2000reports/>

The website contains all previous legislative updates to the Governor and the General Assembly (Progress Reports), the four-year Progress Report, the original UCONN 2000 proposal and UCONN 2000 project updates.

<b>University of Connecticut</b>					
<b>FY24 Capital Budget Spending Plan</b>					
<b>Proposed Projects by Statutory Named Lines &amp; by Program*</b>					
<b>UCONN 2000 Bond Funded Projects by Statutory Named Lines</b>		<b>Total</b>	<b>By Program</b>		
			<b>Academic/ Research</b>	<b>Deferred Maintenance</b>	<b>Other</b>
<b>Academic and Research Facilities</b>		<b>\$ 19,697,009</b>			
Nursing Program Facility	19,697,009		19,697,009		
<b>Deferred Maintenance</b>		<b>52,152,991</b>			
Field House Renovation	27,000,000			27,000,000	
Infrastructure-South Campus	12,850,010			12,850,010	
Programmatic Renovations	10,800,000		10,800,000		
Contingency	1,502,981				1,502,981
<b>Equipment, Library Collections &amp; Telecommunications</b>		<b>12,850,000</b>			
Academic & Research	5,250,000		5,250,000		
Information Technology	5,450,000			5,450,000	
Other	2,150,000				2,150,000
<b>Total UCONN 2000 Bond Funded Projects</b>		<b>\$ 84,700,000</b>	<b>\$ 35,747,009</b>	<b>\$ 45,300,010</b>	<b>\$ 3,652,981</b>
<b>Other Funded Projects (University, Gifts, State GO Bonds)</b>					
Equipment (State GO Bonds)		10,000,000	5,000,000	5,000,000	
Facilities Repairs & Improvements (University)		11,500,000		11,500,000	
Faculty Innovators (State GO Bonds)		14,489,200	14,489,200		
Field House Renovations (Gifts)		30,000,000		30,000,000	
Nursing Program Facility (State GO Bonds)		30,000,000	30,000,000		
Programmatic Renovations (University)		10,000,000	10,000,000		
South Campus Residence Hall (SO Bonds)		100,000,000			100,000,000
South Campus Residence Hall (University)		15,000,000			15,000,000
XL Center Academic Space Renovation (State GO Bonds)		5,000,000	5,000,000		
Other/Contingency (University)		4,310,800			4,310,800
<b>Total Other Funded Projects</b>		<b>\$ 230,300,000</b>	<b>\$ 64,489,200</b>	<b>\$ 46,500,000</b>	<b>\$ 119,310,800</b>
<b>Grand Total FY23 Capital Budget</b>		<b>\$ 315,000,000</b>	<b>\$ 100,236,209</b>	<b>\$ 91,800,010</b>	<b>\$ 122,963,781</b>

\*Projects less than \$500,000 are approved by UConn administrative committee. Projects costing \$500,000 or more are submitted for Board action on a project by project basis.

**UCONN 2000 Bonds**  
**State General Obligation Bond Phasing Plan & Actuals by Statutory Named Line**

Project	Authorization as of 6.30.23*				Actuals as of 5.31.23		
	FY96-FY22	FY23	FY24-27	Total Phases I, II, III	Expenditures	Encumbrances	Available**
Academic and Research Facilities	\$401,007,429		\$19,697,009	\$420,704,438	\$321,712,508	\$11,372,219	\$67,922,702
Agricultural Biotechnology Facility & Completion	12,400,000			12,400,000	12,400,000		
Alumni Quadrant Renovations	11,183,624			11,183,624	11,183,624		
Arjona and Monteith (new classroom buildings)	128,219,871			128,219,871	128,219,871		
Avery Point Campus Undergraduate & Library Building	10,461,246			10,461,246	10,461,246		
Avery Point Marine Science Research Center - Phase I & II	37,254,246			37,254,246	37,254,246		
Avery Point Renovation	13,203,165			13,203,165	13,203,165		
Beach Hall Renovations	5,146,688			5,146,688	5,146,688		
Benton State Art Museum Addition	3,603,509			3,603,509	3,603,509		
Biobehavioral Complex Replacement	3,495,807			3,495,807	3,495,807		
Bishop Renovation	2,480,141			2,480,141	2,480,141		
Business School Renovation - Phase II	7,958,470			7,958,470	7,958,470		
Central Warehouse New	6,933,752			6,933,752	6,933,752		
Chemistry Building	53,062,000			53,062,000	53,062,000		
Deferred Maintenance-Storrs & Regionals	974,490,629	25,534,000	94,602,991	1,094,627,620	815,545,818	33,086,460	151,392,351
East Campus North Renovations	7,382,605			7,382,605	7,382,605		
Engineering Building	92,579,390			92,579,390	92,579,390		
Equipment, Library Collections & Telecommunications	351,403,656	12,000,000	37,400,000	400,803,656	339,527,189	4,093,780	19,782,687
Family Studies (DRM) Renovation	2,868,306			2,868,306	2,868,306		
Farm Buildings Repairs/Replacement	6,408,304			6,408,304	6,408,304		
Fine Arts Phase II	38,792,721			38,792,721	38,792,721		
Floriculture Greenhouse	6,691,799			6,691,799	6,691,799		
Gant Plaza Deck	7,287,174			7,287,174	7,287,174		
Gant Building Renovations	12,455,770			12,455,770	12,455,770		
Gentry Renovation & Completion	19,292,806			19,292,806	19,292,806		
Grad Dorm Renovations	2,928,228			2,928,228	2,928,228		
Hartford Relocation Acquisition/Renovation	139,027,625			139,027,625	139,027,625		
Heating Plant Upgrade	21,846,724			21,846,724	21,846,724		
Hilltop Dormitory Renovations	8,176,529			8,176,529	8,176,529		
Ice Rink Enclosure	3,280,000			3,280,000	3,280,000		
International House Conversion (Natural History Museum)	886,134			886,134	886,134		
Intramural, Recreational & Intercollegiate Facilities	31,009,921			31,009,921	31,009,921		
Jorgensen Renovation	3,899,129			3,899,129	3,899,129		
Koons Hall Renovation/Addition	1,461,146			1,461,146	1,461,146		
Lakeside Renovation	3,800,000			3,800,000	3,800,000		
Law School Renovations/Improvements	16,660,677			16,660,677	16,660,677		
Litchfield Agricultural Center - Phase I	1,000,000			1,000,000	1,000,000		
Manchester Hall Renovation	772,577			772,577	772,577		
Mansfield Apartments Renovation	2,071,000			2,071,000	2,071,000		
Mansfield Training School Improvements	6,500,000			6,500,000	6,137,450		362,550
Monteith Renovation	444,348			444,348	444,348		
Music Drama Addition	7,400,000			7,400,000	7,400,000		
Natural History Museum Completion	500,000			500,000	500,000		
North Campus Renovation	10,996,050			10,996,050	10,996,050		
North Hillside Road Completion	6,700,000			6,700,000	6,700,000		
North Superblock Site & Utilities	7,668,000			7,668,000	7,668,000		
Northwest Quadrant Renovation - Phase I & II	32,001,000			32,001,000	32,001,000		
Old Central Warehouse Renovation	126,000			126,000	126,000		
Parking Garage North	9,658,000			9,658,000	9,658,000		
Parking Garage #3	75,214		75,214	75,214	75,214		
Pedestrian Walkways (Fairfield Road Pedestrian Mall)	6,074,000			6,074,000	6,074,000		
Psychology Building Renovation/Addition	24,337,399			24,337,399	24,337,399		
Residential Life Facilities	160,972,476	87,566,000		248,538,476	177,623,012	15,529,519	55,385,946
School of Business	25,652,366			25,652,366	25,652,366		
School of Pharmacy/Biology	94,609,000			94,609,000	94,428,468		180,532
Shippee/Buckley Renovations	6,920,000			6,920,000	6,920,000		
South Campus Complex	12,251,000			12,251,000	12,251,000		
Stamford Downtown Relocation - Phase I	55,781,472			55,781,472	55,781,472		
Stamford Campus Improvements/Housing	1,500,870			1,500,870	1,500,870		
Storrs Hall Addition	14,664,091			14,664,091	14,664,091		
Student Union Addition	57,622,633			57,622,633	57,622,633		
Support Facility (Architectural & Engineering Services)	16,583			16,583	16,583		
Technology Quadrant - Phase IA	39,993,000			39,993,000	39,993,000		
Technology Quadrant-Phase II	34,120,000			34,120,000	34,120,000		
Torrey Life Science Renovation & Completion	1,781,482			1,781,482	1,781,482		
Torrington Campus Improvements	369,156			369,156	369,156		
Towers Renovation	17,950,243			17,950,243	17,950,243		
Underground Steam & Water Upgrade - Phase I & II	12,000,000			12,000,000	12,000,000		

**UConn 2000 Bonds**  
**State General Obligation Bond Phasing Plan & Actuals by Statutory Named Line**

Project	Authorization as of 6.30.23*				Actuals as of 5.31.23		
	FY96-FY22	FY23	FY24-27	Total Phases I, II, III	Expenditures	Encumbrances	Available**
Waring Building Conversion	11,452,000			11,452,000	11,452,000		
Waterbury Property Purchase	200,000			200,000	200,000		
Waterbury Downtown Campus	1,608,764			1,608,764	1,608,764		
West Campus Renovations	519,507			519,507	519,507		
West Hartford Campus Renovations/Improvements	6,774,305			6,774,305	6,774,305		
White Building Renovation	2,427,269			2,427,269	2,427,269		
Wilbur Cross Building Renovation	19,999,571			19,999,571	19,999,571		
Young Building Renovation/Addition	23,651,403			23,651,403	23,651,403		
<b>SUBTOTAL FOR STORRS &amp; REGIONAL CAMPUS</b>	<b>\$3,168,200,000</b>	<b>\$125,100,000</b>	<b>\$151,700,000</b>	<b>\$3,445,000,000</b>	<b>\$2,934,191,255</b>	<b>\$64,081,978</b>	<b>\$295,026,767</b>
CLAC Renovation Biosafety Level 3 Lab	15,901,466			15,901,466	15,901,466		
Deferred Maintenance-UCH	76,959,697			76,959,697	50,781,234		26,178,463
Dental School Renovation	3,525,000			3,525,000	3,525,000		
Equipment, Library Collections & Telecom-UCH	116,429,390			116,429,390	115,823,961		605,429
Library/Student Computer Center Renovation	1,266,460			1,266,460	1,266,460		
Main Building Renovation	117,484,316			117,484,316	117,484,316		
Medical School Academic Building Renovation	39,578,232			39,578,232	39,578,232		
Planning & Design Costs	25,000,000			25,000,000	25,000,000		
Research Tower	67,992,229			67,992,229	67,992,229		
Support Building Addition/Renovation	100,000			100,000	100,000		
UCH New Construction and Renovation	386,663,210			386,663,210	386,663,210		
<b>SUBTOTAL FOR HEALTH CENTER</b>	<b>\$850,900,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$850,900,000</b>	<b>\$824,116,108</b>	<b>\$0</b>	<b>\$26,783,892</b>
<b>GRAND TOTAL</b>	<b>\$4,019,100,000</b>	<b>\$125,100,000</b>	<b>\$151,700,000</b>	<b>\$4,295,900,000</b>	<b>\$3,758,307,362</b>	<b>\$64,081,978</b>	<b>\$321,810,659</b>

\* UCONN 2000 Bond Authorization is separate from and does not agree to UCONN 2000 Bonds Sold, which totals \$3,804,000,000 as of the reported date. This results in a difference of \$340,200,000 between total UCONN 2000 Bonds authorized and total UCONN 2000 Bonds sold.

\*\* The available balance is calculated as FY96-FY23 UCONN 2000 Bond Authorization, less expenditures and encumbrances. As stated above, the bond authorization is separate from bonds sold. The total available balance from bonds sold would be \$340,200,000 less, or \$(18,389,341).

## **EXHIBIT C**

### **POLICIES: SELECTION AND ACQUISITION OF PROFESSIONAL SERVICES**

Section 10a-109d (5) of the Connecticut General Statutes authorizes the University to contract with professionals to plan, design and supervise UCONN 2000 projects and other capital projects.

1. The Executive Vice President for Finance and Chief Financial Officer has the responsibility for the administration of these policies. Authority for their implementation may be delegated to appropriate operating departments and/or individuals.
2. A selection committee shall be appointed to select professional services for each project in accordance with university policies and procedures.
3. The selection committee shall develop the rating and evaluation criteria.
4. The contract shall be negotiated in consultation with the University Master Planner and Chief Architect or with the Associate Vice President for Campus Planning Design and Construction for the Health Center.
5. Changes in scope that affect the cost of the project must be approved by the Executive Vice President for Administration and Chief Financial Officer, or as delegated to appropriate operating departments and/or individuals.

## **EXHIBIT D**

### **POLICIES: PRE-QUALIFICATION AND SELECTION OF CONTRACTORS**

Section 10a-109n (c) (2) of the Connecticut General Statutes authorizes the University to contract with construction firms for the building of UCONN 2000 projects and other capital projects.

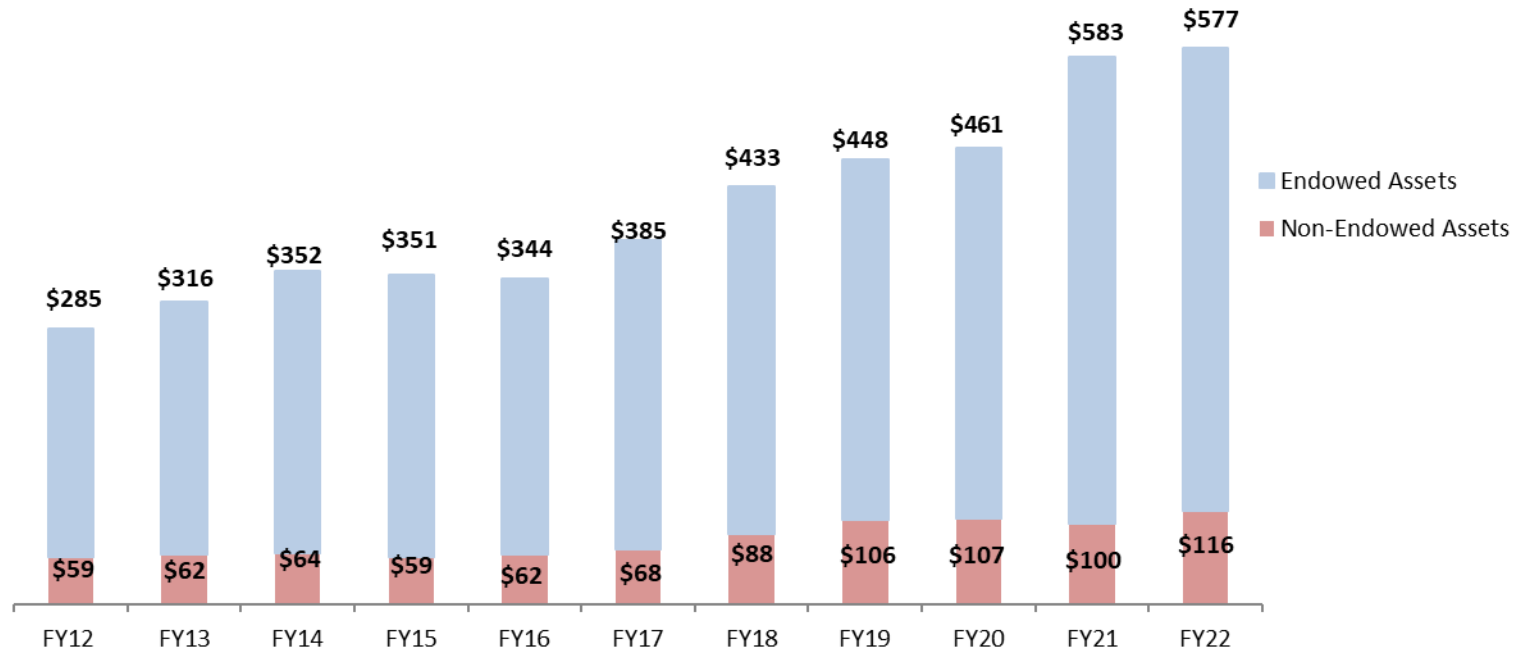
1. The Executive Vice President for Administration and Chief Financial Officer has the responsibility for the administration of these policies. Implementing authority may be delegated to appropriate operating departments.
2. Pre-qualification shall be required of all bidders for projects over \$100,000.
3. Objective written criteria for pre-qualification of firms shall be developed.
4. A list of pre-qualified contractors shall be developed on a project basis. Firms will be evaluated on written criteria, including but not limited to: (1) the firm's previous experience with similar projects, (2) the firm's financial ability to complete the project, (3) the firm's ability to post surety bonds, (4) evaluation of the firm's integrity, (5) a determination that the firm has no conflict of interest in connection with the project, (6) technical ability, (7) managerial ability and (8) whether a contractor or one of their subcontractors on one of their previous projects has been in compliance with the provisions of Part III of Chapter 557 and Chapter 558 during the previous five calendar years.
5. Pre-qualified firms shall be invited to bid upon a specific contract. Contracts shall be awarded to the lowest responsible bidder who conforms to the University's standards for the project.
6. If it is in the best interest of the University and the State of Connecticut, all bids may be rejected and the contracting process reopened.
7. The University may negotiate with any pre-qualified contractor that is currently under contract for incorporation work under exigent circumstance. This new work need not be related to the original contract. The new work shall be of a similar nature as the basic contract.
8. Exigent circumstances include work necessary to:
  - a. Prevent loss of grant income or other revenue
  - b. Produce additional revenue or grant income
  - c. Insure renovations are complete for new faculty and staff members
  - d. Provide for safety and health of the University Community
  - e. Comply with any Federal or State laws or regulations



- f. Insure timely and orderly completion of UCONN 2000 or 21<sup>st</sup> Century UConn Projects.
9. The Executive Vice President for Administration and Chief Financial Officer shall approve use of the exigency provision for an individual job based upon a written recommendation from the University Master Planner and Chief Architect or by the Associate Vice President for Campus Planning Design & Construction as designee for the Health Center
10. The negotiations may be conducted with any contractor or contractors currently performing one of the following types of contracts; lump sum, design build or construction manager at risk.
11. The University Master Planner and Chief Architect or by the Associate Vice President for Campus Planning Design & Construction as designee for the Health Center will prepare a price negotiations memorandum detailing the basis for the award.
12. The Executive Vice President for Administration and Chief Financial Officer shall also stipulate whether the added work shall be incorporated into the contract by change order or be consummated as a new contract.

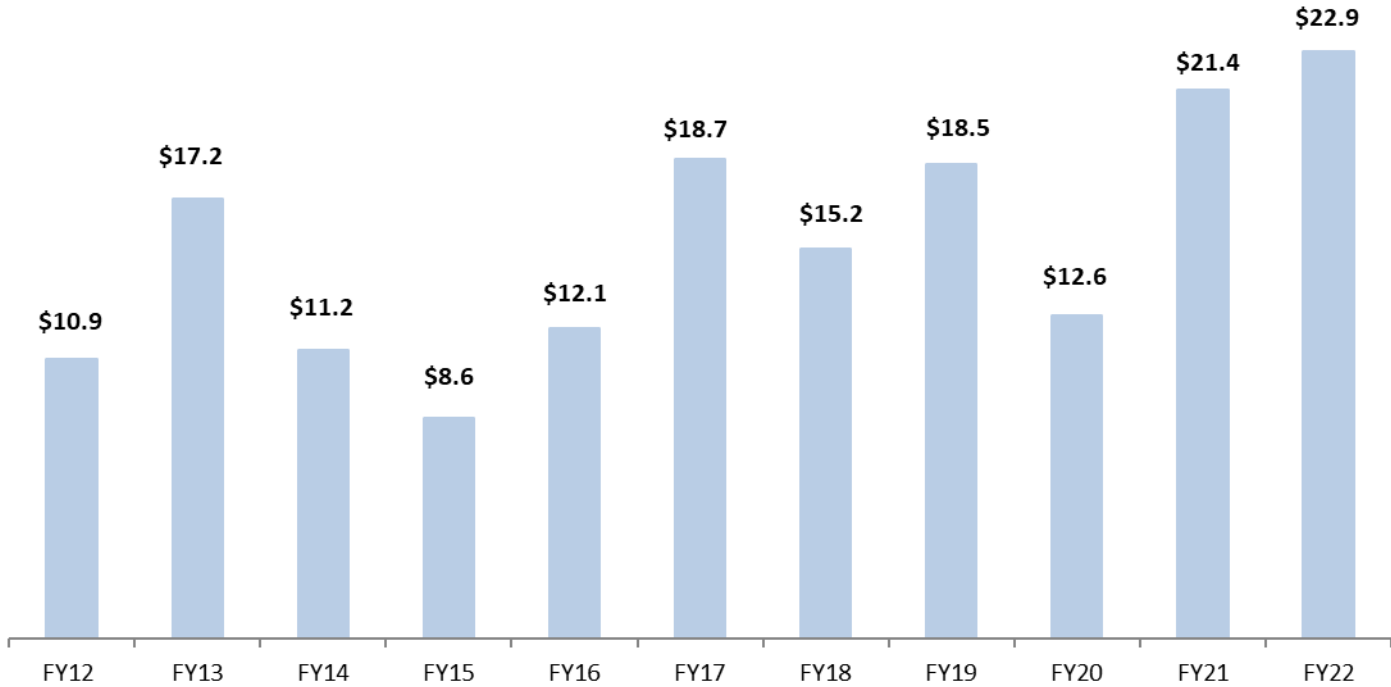
# Investment Growth

In Millions of Dollars



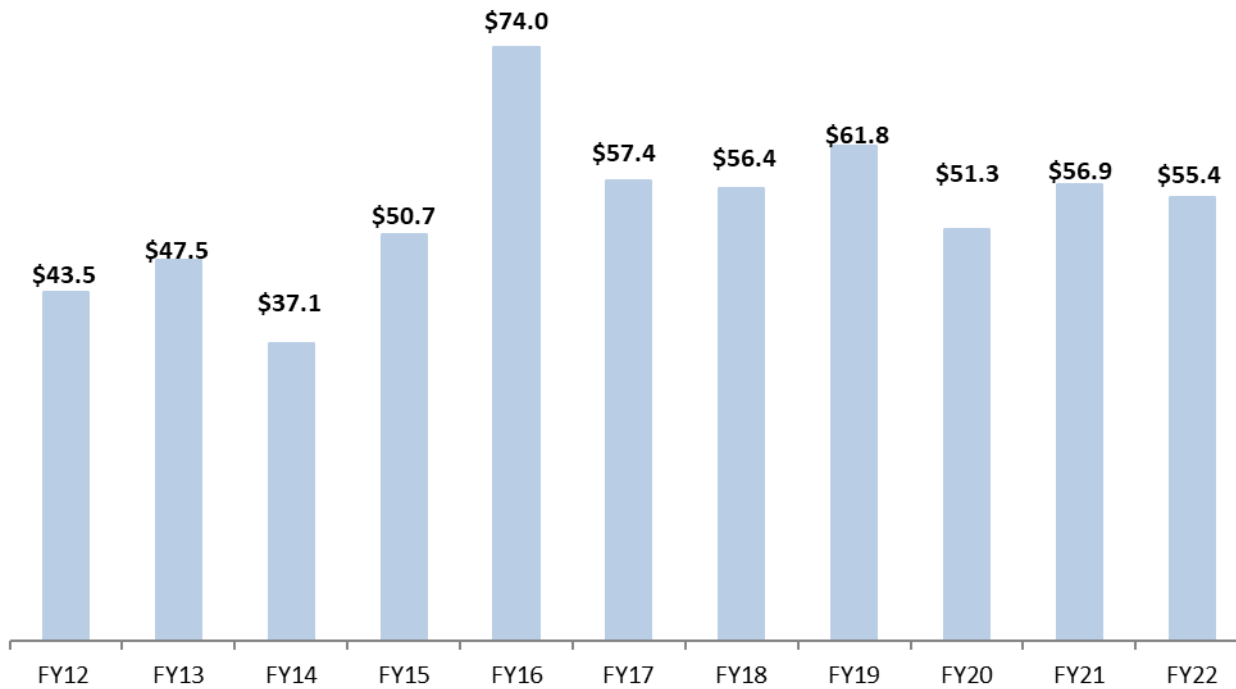
# Total Endowment Gift Receipts for the University of Connecticut

In Millions of Dollars



## Total Gift Receipts for the University of Connecticut

In Millions of



## PREVAILING WAGE COMPLIANCE REPORT

The attachments referred to below cover the reporting period from January 1, 2023 thru June 30, 2023 and are issued pursuant to the requirements of subsection (f) of section (7) of Public Act 02-3, an Act Concerning 21<sup>st</sup> Century UCONN, by providing the following information:

(1) The names and addresses of contractors and subcontractors performing repair, addition, alteration and new construction on the university's campuses in the previous six months.

*Attachment A provides the listing in alphabetical order. This is being filed in conjunction with the July 2023 Report Number Fifty-Six to provide a consolidated report for UCONN 2000 activities. There is no sub-grouping of contractors or subcontractors as the nature of their business makes each interchangeable with the other as business opportunities become available.*

*Attachment B is based on information from the State of Connecticut Department of Labor, Wage and Workplace Standards Division, Contract Compliance Unit and represents a combined sharing of information by the University of Connecticut and the Department of Labor. No report is made from the Department of Labor, for this reporting period.*

*Attachment C is the Debarment list issued by the Department of Labor.*

**ATTACHMENT A**ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
OCTOBER 1, 2022 THRU MARCH 31, 2023

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
A Best Buy Premium Fuel & Trucking LLC	196 W Pond Road Extension		North Branford	CT	06471
A Quick Pick Crane Service Company Inc	205 Water Street		Derby	CT	06418
A Royal Flush Inc	146 Andover Street		Bridgeport	CT	06605
AAIS/Spectrum Environmental		PO Box 26066	West Haven	CT	06516
Acorn Glass Inc	30 Century Drive		Stratford	CT	06615
Adtech Systems LLC	1627 Tolland Turnpike		Manchester	CT	06042
Advance Security Integration LLC	158 North Main Street		Southington	CT	06489
Advanced Sheetmetal Associates Inc	52 Industrial Park Access Road		Middlefield	CT	06455
AEPM International	200 Main Street		Ansonia	CT	06401
Air Temp Mechanical Services Inc	360 Captain Lewis Drive		Southington	CT	06489
AKF Engineers LLP	1 Liberty Plaza, 165 Broadway, 22nd Floor		New York	NY	10006
All Electric Construction and Communication LLC	80 Farwell Street		New Haven	CT	06515
All Seasons Landscaping Inc	120 Commerce Court		Cheshire	CT	06410
All State Construction Inc	449 Cooke Street	PO Box 805	Farmington	CT	06034
Amenta Emma Architects PC	242 Trumbull Street		Hartford	CT	06103
American Ladders & Scaffolds	129 Kreiger Lane		Glastonbury	CT	06033
Anderson Electrical Contractors Ltd	55 Airport Road, Suite 101		Hartford	CT	06114
Antinozzi Associates PC	271 Fairfield Avenue		Bridgeport	CT	06604
Armani Restoration Inc	95 Bysiewicz Drive		Middletown	CT	06457
Array Systems LLC	205 Research Drive, Unit 4		Milford	CT	06460
ASA Environmental Products Inc	22 Bayview Avenue		Stonington	CT	06378
Atlantic Masonry Products Corporation	67 Quinipiac Avenue		North Haven	CT	06473
Automated Logic Contracting Services (DBA Automated Logic Connecticut)	29 North Plains Highway, Suite #17		Wallingford	CT	06492
A-Z Corporation	46 Norwich-Westerly Road		North Stonington	CT	06359
Barall & Konover Floors Inc	714 Blue Hills Avenue		Hartford	CT	06112
Barber Firestop Systems LLC	175 Englewood Drive		Orange	CT	06477
Bartholomew Company Inc	3324 Main Street		Hartford	CT	06120
Beacon Light & Supply Company	180 Walnut Street		Hartford	CT	06120
Bestech Incorporated of Connecticut	25 Pinney Street		Ellington	CT	06029
Bond Brothers Inc	370 James Street #405		New Haven	CT	06513
C & C Janitorial Supplies Inc	665 New Britain Avenue		Newington	CT	06111
C&A Distributors Inc	595 Church Street		Newington	CT	06111
Capasso Restoration Inc	39 Sugar Hill Road		North Haven	CT	06473
Caruso Electric Company	815 Farmington Avenue		New Britain	CT	06053
CDM Smith Inc	75 State Street, Suite 701		Boston	MA	02109
Central Connecticut Holdings LLC	699 Bloomfield Avenue		Bloomfield	CT	06002
Charter Oak Environmental Services Inc	33 Ledgebrook Drive		Mansfield Center	CT	06250

**ATTACHMENT A**  
ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
OCTOBER 1, 2022 THRU MARCH 31, 2023

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
Chase Glass Company Inc	73-75 James Street		East Hartford	CT	06108
Christopher Williams Architects LLC	85 Willow Street		New Haven	CT	06511
Clohesy Harris & Kaiser LLC	573 Hopmeadow Street		Simsbury	CT	06070
Collier Electrical Corporation	50 Harvard Street		New Britain	CT	06051
Columbia Sheet Metal Company Inc	4 Commerce Drive		North Windham	CT	06256
Commercial Flooring Concepts Inc	350 Addison Road		Windsor	CT	06095-0628
Commercial Storefront Services Inc	470 Governors Highway		South Windsor	CT	06074
Connecticut Boiler Repair & Manufacturing Company Inc	694 Oakwod Avenue		West Hartford	CT	06110
Connecticut Carpentry Corporation	1850 Silas Deane Highway		Rocky Hill	CT	06067
Consolidated Electric Inc	100 Wheeler Street, Unit F		New Haven	CT	06512
Consulting Engineering Services Inc	811 Middle Street		Middletown	CT	06457
Construction Specialties of New England (DBA CSNE LLC)	636 Nutmeg Road North		South Windsor	CT	06074
Daniel O'Connells Sons Inc	234 Church Street, Suite 403		New Haven	CT	06510
Decarlo & Doll Inc Architect	89 Colony Street		Meriden	CT	06451
Decco International	67 Poland Street		Bridgeport	CT	06605
Desco Professional Builders Inc	290 Somers Road		Ellington	CT	06029
Dimeo Construction Company	700 State Street, Suite 101		New Haven	CT	06511
Eagle Environmental Inc	8 South Main Street, Suite 3		Terryville	CT	06786
Electrical Contractors Inc	3510 Main Street		Hartford	CT	06120
Electrical Energy Systems Corporation Voice & Data LLC	215 Captain Lewis Drive		Southington	CT	06489
Electrical Power Solutions LLC	39 Ledger Street		Hartford	CT	06106
Elite Fire Stop LLC	133-B Horse Fence Hill Road		Southbury	CT	06488
Environmental Testing & Balancing Inc	154 State Street, Suite 204		North Haven	CT	06473
Ernest Peterson Inc	1830 Broad Street		Hartford	CT	06114
Fairfield Electric Supply Inc	711 Post Road		Fairfield	CT	06824
Ferguson Mechanical Company Inc	112 Northwest Drive		Plainville	CT	06062
Fibre Optics Plus Inc	585 Nutmeg Road North		South Windsor	CT	06074
Flow Tech Inc	800 Cottage Grove Boulevard, Building #3		Bloomfield	CT	06002
Frank Capasso & Sons Inc	1003 Middletown Avenue		Northford	CT	06472
G Donovan Associates Inc	627 Route 32		North Franklin	CT	06254
General Welding & Fabrication Inc	977 Echo Lake Road		Watertown	CT	06795
Genovesi Construction LLC	62 Tall Oak Drive		Coventry	CT	06238
Gerber Construction Inc	1 Nutmeg Drive		Ellington	CT	06029
Haz-Pros Inc	125-A Brook Street		West Hartford	CT	06110
Hoffman Architects Inc	2321 Whitney Avenue		Hamden	CT	06518
Horizon Services Corporation (DBA Horizon Services Company)	250 Governor Street		East Hartford	CT	06108
ID3A LLC	655 Winding Brook Drive		Glastonbury	CT	06033

**ATTACHMENT A**  
ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
OCTOBER 1, 2022 THRU MARCH 31, 2023

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
J&M Steel Erectors LLC		PO Box 651	North Branford	CT	06471
James S Sullivan Cable Company Inc	123 East Aurora Street		Waterbury	CT	06708
John Boyle Company		PO Box 397	New Britain	CT	06050
John Paul Garcia & Associates PC	190 Fairwood Road		Bethany	CT	06524
Joseph Campbell (dba Eastern Mechanical)		PO Box 57	Lebanon	CT	06249
Keith's Appliances	320 W Thames Street		Norwich	CT	06360
Kenneth Boroson Architects LLC	315 Peck Street		New Haven	CT	06513
Kim Industries Inc	34 Mill Plain Road #2D		Danbury	CT	06811
Kohler Ronan LLC	93 Lake Avenue, Suite 301		Danbury	CT	06810
L & P Gate Company Inc	83 Meadow Street		Hartford	CT	06114
L K Sheet Metal Inc	35 Wrobel Place		East Hartford	CT	06108
Lab Furniture Installations & Sales Inc	11 River Street, Suite 2		Middleton	MA	01949
Landmark Facilities Services Inc	252 East Avenue		Norwalk	CT	06855
Langan Insulation LLC	420 Sackett Point Road, #4a		North Haven	CT	06473
LePine Electric	436 Lisbon Road		Canterbury	CT	06331
Liquore Sand & Gravel LLC	117 North Street		Enfield	CT	06082
LK Sheet Metal Inc	35 Wrobel Place		East Hartford	CT	06108
Loureiro Engineering Associates Inc	100 Northwest Drive		Plainville	CT	06062
M Frank Higgins & Company Inc	780 North Mountain Road		Newington	CT	06111
MacKenzie Painting Company	60 Mead Street		Stratford	CT	06615
MacKenzie Services Corporation	55 Moffitt Street		Stratford	CT	06615
Maier Design Group LLC	100 Wells Street, Suite 2i		Hartford	CT	06103
Mather Corporation	21 West Dudley Town Road		Bloomfield	CT	06002
Mechanical Solutions Inc	121 Commerce Way #3		South Windsor	CT	06074
Mercury Cabling Systems LLC (DBA The Mercury Group)	300 Avon Street		Stratford	CT	06615
Milton C Beebe & Sons Inc	12 Beebe Lane		Storrs	CT	06268
MT Ford Industries Inc	645 Main Street		Somers	CT	06071
Murray Enterprise LLC (DBA Murray Kaizer Inc)	215 Fairhill Lane		Suffield	CT	06078
NBI New England Inc (DBA Interscape Commercial Environments)	10 Waterside Drive, Suite 201		Farmington	CT	06032
Net Services LLC	1185 Elm Street Extension	PO Box 847	Rocky Hill	CT	06067
New England Yankee Construction	34 High Street		West Haven	CT	06516
New Haven Firestop Systems LLC	192 Dixwell Avenue #1		New Haven	CT	06511
Northeast Flooring & Kitchens LLC	233 B Kennedy Drive		Putnam	CT	06260
Northeast Lightning Protection LLC	10 Peters Road		Bloomfield	CT	06002
Northeastern Communications & Electrical LLC	896 Bear Hill Road		Middletown	CT	06457
Northeastern Communications Inc	7 Great Hill Road		Naugatuck	CT	06770
OFI Contract Interiors	28 Garfield Street		Newington	CT	06111

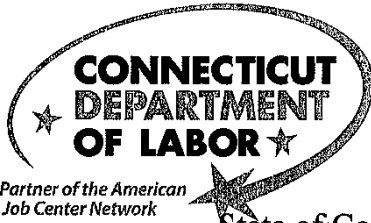


**ATTACHMENT A**ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
OCTOBER 1, 2022 THRU MARCH 31, 2023

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
Old Colony Construction	10 Bluff Avenue #121		Clinton	CT	06413
Omni Environmental		PO Box 1002	Salem	NH	03079
One Diversified	60 Dodge Ave		North Haven	CT	06473
Orissa LLC	8 Burnt Hollow Court		Cheshire	CT	06410
Oscar's Abatement LLC	29 1/2 Meadow Street		Hartford	CT	06114
Park Hardware Company Inc	415 Park Street		Hartford	CT	06106
Precision Installations Inc	1 Bert Drive		West Bridgewater	MA	02379
Prime Electric LLC	33 Wisconsin Avenue, Suite 101		Norwich	CT	06360
Proiron LLC	300 Morgan Lane		West Haven	CT	06516
Quisenberry Arcari Malik LLC	195 Scott Swamp Road		Farmington	CT	06032
Red Thread	300 East River Drive		East Hartford	CT	06108
Reynolds Welding & Fabrication	3486 Main Street		Hartford	CT	06120
RJB Contracting Inc	588 Winsted Road		Torrington	CT	06790
Roadstone Construction LLC	2 Eastview Terrace		Rocky Hill	CT	06067
Roberge Painting Company Inc	29 Church Avenue		Bristol	CT	06010
Roman Electric LLC	23 Kozley Road		Tolland	CT	06084
Rowe Enterprises LLC	One Regency Drive, Suite 310		Bloomfield	CT	06002
Safety Solution Consultants Inc	99 Rainbow Road #H		East Granby	CT	06026
Sarazin General Contractors Inc	6 North Commerce Drive		North Windham	CT	06256
Savy and Sons	612 Church Street		Amston	CT	06231
Scholar Painting LLC (DBA Scholar Painting & Restoration)	2 Klarides Village Drive, #145		Seymour	CT	06483
Security Technologies Inc		PO Box 5357	Bolton	CT	06043
Sign Pro Inc	168 Stanley Street		New Britain	CT	06051
Sil/Carr Corporation	34 Newberry Road		East Windsor	CT	06088
Silver Petrucelli & Associates Inc	3190 Whitney Avenue		Hamden	CT	06518
Silver Petrucelli & Associates Inc	3190 Whitney Avenue		Hamden	CT	06518
Simpson Gumpertz & Heger Inc	41 Seyon Street, Building 1, Suite 50		Waltham	MA	02453
SK Mechanical LLC	266 Center Street		Manchester	CT	06040
Sound Mechanical Contractors LLC	82 Colonial Road		Manchester	CT	06042
Southern New England Electrical Testing LLC	3 Buel Street, Unit 2		Wallingford	CT	06492
Steeltech Building Products Inc	636 Nutmeg Road North		South Windsor	CT	06074
Stonehedge Landscaping Company Inc	1616 Willard Avenue		Newington	CT	06111
Sullivan Benson LLC	112 Northwest Drive		Plainville	CT	06062
Sullivan Industrial Services LLC	655 Plains Road, Suite 14	PO Box 5190	Milford	CT	06460
Summit Masonry & Building Restoration	178 Front Avenue		West Haven	CT	06516
SystemOne LLC	215 Captain Lewis Drive		Southington	CT	06489
TC Lawncare LLC (DBA TC Landscaping LLC)	101 Crouch Road		Amston	CT	06231

**ATTACHMENT A**ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
OCTOBER 1, 2022 THRU MARCH 31, 2023

COMPANY NAME	ADDRESS 1	ADDRESS 2	CITY	STATE	ZIP CODE
Test-Con Inc	16 East Franklin Street		Danbury	CT	06810
The Whiting-Turner Contracting Company	195 Church Street, 16th Floor		New Haven	CT	06516
Thomas W Raftery Inc	1055 Broad Street		Hartford	CT	06106
Total Fence LLC	525 Ella Grasso Boulevard		New Haven	CT	06519
Tradesource Inc	205 Hallene Road, Units 211-212		Warwick	RI	02886
Tri State Materials Testing Lab LLC	160 South Turnpike Road, Suite 3		Wallingford	CT	06492
Trueflow Testing & Balancing LLC	489 Old Hartford Road		Colchester	CT	06415
Turner Construction Company	856 Howard Avenue		New Haven	CT	06519
Tyco Fire & Security Management Inc	27 Inwood Road		Rocky Hill	CT	06067
Ultimate Construction LLC	19C Andover Drive		West Hartford	CT	06110
VIP Supply Inc	68 Nod Road		Clinton	CT	06413
Walch & Company Inc	35 North River Road		Tolland	CT	06084
WC McBride Electrical Contractors LLC	1027 Fairfield Avenue		Bridgeport	CT	06605
West State Mechanical Inc	10 West State Drive		Litchfield	CT	06759
Whiting-Turner Contracting Company	395 West Street		Rocky Hill	CT	06067
Wilco Sales & Services Inc	18 King Street		Stratford	CT	06615



June 8, 2017

State of Connecticut Debarment List Pursuant to Section 31-53a(a)

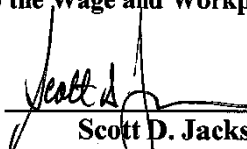
No contract shall be awarded to any person or firms appearing on this list or to any firm, corporation, partnership, or association in which such persons or firms have an interest until the expiration date listed has elapsed.

Please be informed that the following persons or firms have been debarred in accordance with Section 31-53a of the Connecticut General Statutes, as amended:

**DEBARMENT LIST**

<u>Name of Person or Firm</u>	<u>Expiration Date</u>
-Canterbury Communications, Canterbury Marion T. Mershon, an individual	Indefinite Indefinite
-City Electrical Enterprises LLC, Hartford Lloyd Thompson, an individual	Indefinite Indefinite
-Elite Roofing, Cheshire Sam Stevens, an individual	Indefinite Indefinite
-Gatollari Enterprises Inc., Rochelle Park, NJ Robert Gatollari, an individual	Indefinite Indefinite
-Greenland Enterprises Inc., Wayne, NJ Oneil Mendez, an individual Raymond Blum-Romero, an individual	Indefinite Indefinite Indefinite
-Jarosz Welding Company Inc., Hartford Andrew W. Jarosz, an individual	Indefinite Indefinite
-SCD Services, LLC, Somers Philip Snow, an individual	Indefinite Indefinite
-Seakco Construction, Stamford Robert Evans, an individual	Indefinite Indefinite
-Sun Ray Electric, Prospect Reinaldo DeSousa, an individual	Indefinite Indefinite

Any inquiries regarding this list should be directed to the Wage and Workplace Standards Division at (860)263-6790.

  
 Scott D. Jackson  
 Labor Commissioner

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