

UConn

UNIVERSITY OF CONNECTICUT

Board of



TRUSTEES

VOL. 240 DECEMBER 6, 2023

**MEETING OF THE BOARD OF TRUSTEES
UNIVERSITY OF CONNECTICUT**

AGENDA

University of Connecticut
Wilbur Cross Building
North Reading Room (109)
233 Glenbrook Road
Storrs, Connecticut

December 6, 2023

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **9:00 a.m.**

1. Public Participation*

* Individuals who wish to speak during the Public Participation portion of the Wednesday, December 6, meeting must do so in person and sign up 24 hours in advance of the meeting's start time (i.e., 9:00 a.m. on Tuesday, December 5) by emailing boardoftrustees@uconn.edu. Speaking requests must include a name, topic, and affiliation with the University (i.e., student, employee, member of the public). Per the University By-Laws, the Board may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Board via boardoftrustees@uconn.edu, and all comments will be transmitted to the Board.

2. Chairman's Report

(a) Matters Outstanding

(b) Minutes of the Meeting of October 25, 2023

(c) Consent Agenda Items:

- | | |
|--|----------------|
| (1) Contracts and Agreements | (Attachment 1) |
| (2) Project Budget (Planning) for Energy Savings Performance Contract Phase 2 Investment Grade Energy Audit | (Attachment 2) |
| (3) Project Budget (Final) for Innovation Partnership Building Renovations for the Center for Clean Energy Engineering | (Attachment 3) |
| (4) Project Budget (Revised Final) for Jones Annex Renovation | (Attachment 4) |
| (5) Project Budget (Revised Final) for North and South Parking Garages Restoration, Phase 4 | (Attachment 5) |
| (6) Project Budget (Revised Planning) for Werth Residence Tower High Humidity Mitigation | (Attachment 6) |
| (7) Project Budget (Revised Design) for Field House – Old Recreation Center Renovation | (Attachment 7) |

- (8) Project Budget (Final) for the UConn Health Anatomic Pathology and Autopsy Renovation (Attachment 8)
 - (9) Project Budget (Revised Final) for the UConn Health TB-121 Blood Bank Relocation (Attachment 9)
 - (10) Project Budget (Revised Final) for the UConn Health Central Sterile Washer and Sterilizer Replacement (Attachment 10)
 - (11) Designation of Emeritus Status (Attachment 11)
 - (12) Sabbatical Leave Recommendations (Attachment 12)
 - (13) Bachelor of Science in Economics in the College of Liberal Arts and Sciences (Attachment 13)
 - (14) Doctor of Philosophy in Engineering Education in the College of Engineering (Attachment 14)
 - (15) Online Master of Social Work (Attachment 15)
 - (16) Graduate Certificate in Diversity Science (Attachment 16)
3. President's Report
- (a) Item requiring Board discussion and approval:
 - (1) University of Connecticut Strategic Plan: 2024 – 2034 (Attachment 17)
4. Academic Affairs Committee Report
- (a) Report on Committee activities
 - (b) Presentation: Community Engagement
Presenter: Eboni S. Nelson, Dean
School of Law
 - (c) Presentation: 2023 First Destination Outcomes Reporting and Student Success Program
Presenter: James R. Lowe, Associate Vice Provost, Executive Director
Center for Career Development
5. Financial Affairs Committee Report
- (a) Report on Committee activities
 - (b) Item requiring Board discussion and approval:
 - (1) Fiscal Year 2025 Student Fees for the University of Connecticut, Storrs and Regional Campuses (Attachment 18)
6. UConn Health Report
- (a) Report on UConn Health activities
7. Joint Audit and Compliance Committee Report
- (a) Report on Committee activities
8. Buildings, Grounds and Environment Committee Report
- (a) Report on Committee activities

9. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
10. Student Life Committee Report
 - (a) Report on Committee activities
11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
12. Committee for Diversity, Equity and Inclusion Report
 - (a) Report on Committee activities
13. Committee on Compensation Report
 - (a) Report on Committee activities
14. Committee for Research, Entrepreneurship and Innovation Report
 - (a) Report on Committee activities
15. Other Business
16. Executive Session anticipated
17. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

ATTACHMENT 1

**CONTRACT AGREEMENTS
FOR APPROVAL
December 6, 2023**

PROCUREMENT - NEW*

**The Administration is seeking approval to enter into contracts based on the material terms and conditions identified below, subject to final legal review.*

CT START EARLY STUDY

| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Purpose | CT Based | S/MBE |
|-----|--|--------------|---------------------|--------------------------------------|-------------|--|--|----------|-------|
| 1 | The Village | TBD | \$3,000,000 | 01/01/24 - 06/30/28 (Anticipated) | Grant Funds | Rachel Cohen, Principal Investigator/Asst Professor Tricia Leahey, Director - InChip | This study is designed to examine how early childhood programs can enhance children and families' wellness and children's school readiness, starting in the infant/toddler period. The funds will be invested in low- and mixed-income communities, specifically New Haven and Hartford. The program "CT Start Early" funding will provide the additional resource of a family consultant who has experience in home visiting. Family consultants will work with families to help gain access to comprehensive services, provide parenting support, and bring families together for peer support. It is anticipated that the final award will be made to two firms (The Village and Children's Community Programs of Connecticut). Sourced through a sole source based on sponsor mandate and direction from the State of CT as to which consulting companies can be utilized for this effort. Contractor will hire/provide family counselling services/management. Dual award with one contractor in Hartford Area and one in the New Haven area. Grant funds are from State of CT Office of Early Childhood. Services & Counseling will be to ~ 300 study participants in control group. | | |
| 2 | Children's Community Programs of Connecticut | TBD | \$3,000,000 | 01/01/24 - 06/30/28 (Anticipated) | Grant Funds | Rachel Cohen, Principal Investigator/Asst Professor Tricia Leahey, Director - InChip | This study is designed to examine how early childhood programs can enhance children and families' wellness and children's school readiness, starting in the infant/toddler period. The funds will be invested in low- and mixed-income communities, specifically New Haven and Hartford. The program "CT Start Early" funding will provide the additional resource of a family consultant who has experience in home visiting. Family consultants will work with families to help gain access to comprehensive services, provide parenting support, and bring families together for peer support. It is anticipated that the final award will be made to two firms (The Village and Children's Community Programs of Connecticut). Sourced through a sole source based on sponsor mandate and direction from the State of CT as to which consulting companies can be utilized for this effort. Contractor will hire/provide family counselling services/management. Dual award with one contractor in Hartford Area and one in the New Haven area. Grant funds are from State of CT Office of Early Childhood. Services & Counseling will be to ~ 300 study participants in control group. | | |

PROCUREMENT - AMENDMENTS*

BUS SERVICES

| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/2023 | Expenditures FY23 | Expenditures FY22 | Purpose | CT Based | S/MBE |
|-----|---|--------------|--|------------------------------|------------------|---|-------------------------------------|-------------------|-------------------|---|----------|-------|
| 3 | CT Department Of Transportation (CTDOT) | MOA | \$2,516,000 [Contract Value Previously \$1,484,000; Total New Contract Value \$4,000,000] | Upon Execution - 06/30/25 | Multiple Sources | Stanley Nolan, Interim AVP Facilities Operations & Building Services | \$0 | \$0 | \$0 | HuskyGo fixed-route public transit services provided by Windham Region Transit District (WRTD) along Middle Turnpike (U.S. 44), Birch Road, Hunting Lodge Road, North Eagleville Road and Storrs Road (CT 195) for the term of 07/01/23-06/30/24. Amend to increase contract value \$2,516,000 for total new contract value of \$4,000,000. Amend to extend contract term one year, through 06/30/25. Contract increase and extension are requested to provide services for students for an additional year. | | |

EMPLOYMENT SERVICES, TEMPORARY

| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/2023 | Expenditures FY23 | Expenditures FY22 | Purpose | CT Based | S/MBE |
|-----|---|--------------|--|-------------------|------------------|--|-------------------------------------|-------------------|-------------------|---|----------|-------|
| 4 | Security Services of CT Inc - Formerly Murphy Security Service, LLC/HKC Enterprises LLC | 17PSX0001 | \$150,000 [Contract Value Previously \$1,264,083; Total New Contract Value \$1,414,083] | 07/19/17-12/31/24 | Multiple Sources | Joseph Thompson, AVP and Chief Procurement Officer | \$1,211,649 | \$160,694 | \$143,709 | Security personnel services for all University campuses, as needed. Amend to increase contract value \$150,000, for total new contract value of \$1,414,083. Amend to extend contract term one year, through 12/31/24. Sourced through a State of Connecticut contract. Future extensions may be exercised by the State. Contract extension is requested to remain aligned with terms of State contract to allow departments to continue utilizing services provided under this agreement. | | X |

**CONTRACT AGREEMENTS
FOR APPROVAL
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| EMPLOYMENT SERVICES, TEMPORARY (Continued) | | | | | | | | | | | | |
|---|----------------------------------|------------------|--|-------------------|------------------|--|-------------------------------------|-------------------|-------------------|--|----------|-------|
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/2023 | Expenditures FY23 | Expenditures FY22 | Purpose | CT Based | S/MBE |
| 5 | United Security Incorporated | 17PSX0001 | \$150,000 [Contract Value Previously \$1,279,120; Total New Contract Value \$1,429,120] | 07/19/17-12/31/24 | Multiple Sources | Joseph Thompson, AVP and Chief Procurement Officer | \$1,241,173 | \$166,133 | \$166,221 | Security personnel services for all University campuses, as needed. Amend to increase contract value \$150,000, for total new contract value of \$1,429,120. Amend to extend contract term one year, through 12/31/24. Sourced through a State of Connecticut contract. Future extensions may be exercised by the State. Contract extension is requested to remain aligned with terms of State contract to allow departments to continue utilizing services provided under this agreement. | | X |
| IT HARDWARE AND SOFTWARE & SOFTWARE LICENSE AGREEMENTS | | | | | | | | | | | | |
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/23 | Expenditures FY23 | Expenditures FY22 | Purpose | CT Based | S/MBE |
| 6 | Apple Inc | 13PSX0280 | \$0; [Contract Value Previously \$7,704,676; Contract Value Remains the Same] | 10/01/15-01/31/24 | Multiple Sources | Michael Mundrane, Vice President and Chief Information Officer | \$6,499,392 | \$1,420,356 | \$1,240,518 | Apple equipment, products and services for all University campuses. Amend to extend contract term three months, through 01/31/24. Contract value remains the same. Sourced through a State of Connecticut contract. Future extensions may be exercised at the discretion of the State. An extension of three months is requested to remain aligned with the term under the State's agreement. | | |
| 7 | Academic Analytics LLC | SSAG112724622 | \$500,000 [Contract Value Previously \$777,500; Total New Contract Value \$1,277,500] | 02/25/19-12/31/25 | Multiple Sources | Michael Mundrane, Vice President and Chief Information Officer | \$468,400 | \$163,900 | \$0 | Academic Analytics combines a database of faculty publications, citations of publication, funded grants, and honorific awards along with an interface of analytical tools in a single product. This tool is used to identify the University's strength and weaknesses to make informed decisions regarding resource allocation and also to monitor performance. Amend to increase contract value by \$500,000, for total new contract value of \$1,277,500. Amend to extend contract term two years, through 12/31/25. Contract increase will cover renewal cost for two years. Zero extensions remain. | | |
| IT SECURITY HARDWARE, NETWORK, AND RELATED SERVICES | | | | | | | | | | | | |
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/2023 | Expenditures FY23 | Expenditures FY22 | Purpose | CT Based | S/MBE |
| 8 | Mainline Information Systems Inc | UC-16-SF071116-1 | \$5,000,000 [Contract Value Previously \$13,000,000; Total New Contract Value \$18,000,000] | 05/15/17-06/01/26 | Multiple Sources | Michael Mundrane, Vice President and Chief Information Officer | \$11,244,344 | \$3,688,426 | \$1,724,318 | Goods and services for all UConn Campuses, including operating systems, unstructured data storage, network, and security hardware and services. Amend to increase contract value \$5,000,000, for total new contract value of \$18,000,000. Contract term remains the same. Contract increase is requested to cover UConn and UCH unstructured data storage and provide 3rd party warranty services. Zero extensions remain. | | |
| JANITORIAL CLEANING SUPPLIES & EQUIPMENT | | | | | | | | | | | | |
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/2023 | Expenditures FY23 | Expenditures FY22 | Purpose | CT Based | S/MBE |
| 9 | C&C Janitorial Supplies | MHEC#MC16-G17 | \$2,500,000 [Contract Value Previously \$4,000,000; Total New Contract Value \$6,500,000] | 11/01/20-10/31/25 | Operating Funds | Stanley Nolan, Interim AVP Facilities Operations & Building Services | \$3,749,731 | \$1,511,682 | \$1,335,867 | Janitorial supplies and equipment for all University campuses. Amend to increase contract value \$2,500,000, for total new contract value of \$6,500,000. Amend to extend contract term two years, through 10/31/25. Sourced through the Massachusetts Higher Education Consortium (MHEC). Contract increase is requested to cover the continued purchase of janitorial supplies for all campuses through the remainder of the extended term. Contract extension is requested to remain aligned with the MHEC agreement. Zero extensions remain. | X | X |

**CONTRACT AGREEMENTS
FOR APPROVAL
December 6, 2023**

| LIBRARY - SCIENTIFIC JOURNALS | | | | | | | | | | | | |
|-------------------------------|----------------|----------------|--|-------------------|------------------|---|-------------------------------------|-------------------|-------------------|---|----------|-------|
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/2023 | Expenditures FY23 | Expenditures FY22 | Purpose | CT Based | S/MBE |
| 10 | Elsevier, B.V. | UC-24-UL010124 | \$1,000,000 [Contract Value Previously \$1,218,968; Total New Contract Value \$2,218,968] | 01/01/23-12/31/24 | Multiple Sources | Anne Langley, Dean of University Libraries | \$0 | \$0 | \$0 | Access for all University campuses to the permanent ownership of 1,200 electronic research journal backfiles (3 million articles), from issue 1 to issue 1995, and Major Reference Works published by Elsevier. This also includes access to thousands of ebooks published by Elsevier, including permanent access to highly used titles. This is a library media acquisition under Section 10a-151a of the Connecticut General Statutes. Amend to increase \$1,000,000, for total new contract value of \$2,218,968. Contract term remains the same. Contract increase requested to include the addition of the 1,200 journals. | | |

| MRO SUPPLIES | | | | | | | | | | | | |
|--------------|------------|------------------|--|-------------------|------------------|---|-------------------------------------|-------------------|-------------------|--|----------|-------|
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/2023 | Expenditures FY23 | Expenditures FY22 | Purpose | CT Based | S/MBE |
| 11 | Home Depot | Serial 16154-RFP | \$250,000 [Contract Value Previously \$750,000; Total New Contract Value \$1,000,000] | 02/01/17-12/31/26 | Multiple Sources | Joseph Thompson, AVP and Chief Procurement Officer | \$577,801 | \$193,698 | \$53,203 | Industrial, operating, maintenance supplies, and related products for all UConn Campuses. Amend to increase contract value \$250,000, for a new contract value of \$1,000,000. Contract term remains the same. Sourced through the Omnia Partners consortia. Future extensions may be exercised at the discretion of the consortia. Contract increase is requested to fund expenditures through the remainder of the existing term. | | |

REAL ESTATE AGREEMENTS FOR APPROVAL *

*Not all provisions of all Agreements appearing below have been 100% completed, but the Administration is seeking approval to proceed to execution based on the material terms described below.


| UNIVERSITY AS LICENSOR - LICENSE AGREEMENTS | | | | | | |
|---|---|--------------------------|--|-----------------|--|---|
| No. | Licensee | Annual Amount Receivable | Term | Fund Source | Program Director | Purpose |
| 1 | Tolland County Mutual Aid Fire Service, Inc | no annual rent | 3-year lease term with two 1-year renewal options. | Operating Funds | Scott Haddad, Manager, University Safety Emergency Communications | A new license agreement to permit Tolland County Mutual Aid Fire Service, Inc. continued use of the Tower located on UConn owned-property at 82 North Eagleville Road in Storrs for the purpose of maintaining emergency communications equipment. Under the new license agreement a back-up generator is to be installed. The generator project is to cost an estimated total of \$55,000.00. UConn is to contribute \$9,167 toward the installation and electrical work and another \$1,000 toward a grant administration fee for a total of \$10,167. The Town of Mansfield will also contribute \$10,167 toward the project while Tolland County Mutual Aid Fire Service, Inc will cover the remaining costs through a grant and other funds. |
| 2 | Town of Mansfield | no annual rent | 3-year lease term with two 1-year renewal options. | Operating Funds | Scott Haddad, Manager, University Safety Emergency Communications | A new license agreement to permit Town of Mansfield continued use of the Tower located on UConn owned-property at 82 North Eagleville Road in Storrs for the purpose of maintaining emergency communications equipment. Under the new license agreement a back-up generator is to be installed. The generator project is to cost an estimated at a total of \$55,000.00. UConn is to contribute an \$9,167 toward the installation and electrical work and another \$1,000.00 toward a grant administration fee for a total of \$10,167. The Town of Mansfield will also contribute \$10,167 toward the project while Tolland County Mutual Aid Fire Service, Inc. will cover the remaining costs through a grant and other funds. |

| UNIVERSITY AS TENANT | | | | | | |
|----------------------|------------------|-----------------------|-------------------|-----------------|--|--|
| No. | Landlord | Annual Amount Payable | Term | Fund Source | Program Director | Purpose |
| 1 | Orefice, Charles | \$25,200 | 04/27/24-04/20/25 | Operating Funds | Melissa Held, Interim Senior Assoc Dean-MSE Academic Affairs and Education | Lease of a 1,266 sf condominium located at 190 South Thames Street, Unit #20, Norwich, CT. This unit accommodates up to two medical students at a time while they are completing their 6-week clinical rotations at Backus Hospital. |

ATTACHMENT 2

December 6, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Energy Savings Performance Contract Phase 2 Investment
Grade Energy Audit (Planning \$500,000)

RECOMMENDATION:

That the Board of Trustees approve the Proposed Planning Budget of \$500,000, as detailed in the attached project budget, to perform an Investment Grade Energy Audit for Energy Savings Performance Contract Phase 2. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$500,000 in UConn Renewable Energy Funds for the Investment Grade Energy Audit of the Energy Savings Performance Contract Phase 2. ”

BACKGROUND:

This project is intended to reduce the University’s carbon impact and support the Sustainability Goal of Carbon Neutrality by 2030. The principal elements involve performing an Investment Grade Energy Audit (IGEA), compliant with the Federal Energy Management Program (FEMP), to identify and implement building and infrastructure improvements to reduce carbon-based energy and related costs in UConn facilities. This will allow annual cost savings to be applied to the annual payments of the cost of the improvements. The total project costs are anticipated to be \$30,000,000 when implemented and generate more than \$1,500,000/year in savings and incentives over 20 years.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: Planning

PROJECT NAME: ENERGY SERVICES PERFORMANCE CONTRACT - PHASE 2

| <u>BUDGETED EXPENDITURES</u> | <u>PROPOSED PLANNING 12/6/2023</u> |
|--|--|
| CONSTRUCTION | \$ 440,000 |
| DESIGN SERVICES | - |
| TELECOMMUNICATIONS | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - |
| CONSTRUCTION ADMINISTRATION | - |
| OTHER AE SERVICES (including Project Management) | 10,000 |
| ART | - |
| RELOCATION | - |
| ENVIRONMENTAL | - |
| INSURANCE AND LEGAL | - |
| MISCELLANEOUS | 4,545 |
| OTHER SOFT COSTS | - |
| SUBTOTAL | \$ 454,545 |
| PROJECT CONTINGENCY | 45,455 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 500,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | |
| RENEWABLE ENERGY FUND | \$ 500,000 |
| TOTAL BUDGETED FUNDING | <u>\$ 500,000</u> |

*At this time, the University plans to incur expenditures for this project against Plant Funds. The University may reimburse itself at a later date when UCONN 2000 bonds or other tax-exempt obligations are issued.

ATTACHMENT 3

December 6, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA
Executive Vice President for Finance and Chief Financial Officer



RE: Project Budget for Innovation Partnership Building (IPB) Renovations for the
Center for Clean Energy Engineering (C2E2) (Final: \$5,400,000)

RECOMMENDATION:

That the Board of Trustees approve the Proposed Final Budget of \$5,400,000, as detailed in the attached project budget, to relocate C2E2 to the Innovation Partnership Building. The additional \$4,000,000 is to fully fund construction of Phase 1. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$5,400,000 in UCONN 2000 Bond Funds for the design of the entire C2E2 to the Innovation Partnership Building relocation project and construction of Phase 1 and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed.”

BACKGROUND:

This project involves renovation of labs and offices in the Innovation Partnership Building. The work includes customizing office areas, building new labs in shell spaces and revising the layout of existing labs to accommodate new equipment. The project will entail the relocation of equipment, labs, and staff from C2E2 to create necessary accommodations for research staff in IPB. The total project costs are anticipated to be \$20,000,000.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **FINAL**

PROJECT NAME: **INNOVATION PARTNERSHIP BUILDING (IPB) RENOVATIONS FOR THE
CENTER FOR CLEAN ENERGY ENGINEERING (C2E2)**

| | PHASE 1 | PHASE 2 | PHASE 1 |
|-------------------------------------|-------------------|---------------------|---------------------|
| | PRC | APPROVED | PROPOSED |
| BUDGETED EXPENDITURES | 9/14/2023 | DESIGN | FINAL |
| | PRC | 10/25/2023 | 12/6/2023 |
| CONSTRUCTION | \$ - | \$ - | \$ 3,612,000 |
| DESIGN SERVICES | 368,000 | 1,288,000 | 1,288,000 |
| TELECOMMUNICATIONS | - | - | - |
| FURNITURE, FIXTURES AND EQUIPMEN | - | - | - |
| CONSTRUCTION ADMINISTRATION | - | - | - |
| OTHER AE SERVICES (including PM) | - | - | - |
| ART | - | - | - |
| RELOCATION | - | - | - |
| ENVIRONMENTAL | - | - | - |
| INSURANCE AND LEGAL | - | - | - |
| MISCELLANEOUS | - | - | - |
| OTHER SOFT COSTS | - | - | - |
| SUBTOTAL | \$ 368,000 | \$ 1,288,000 | \$ 4,900,000 |
| PROJECT CONTINGENCY | 32,000 | 112,000 | 500,000 |
| TOTAL BUDGETED EXPENDITURES | \$ 400,000 | \$ 1,400,000 | \$ 5,400,000 |
| <u>SOURCE(S) OF FUNDING*</u> | | | |
| UCONN 2000 BOND FUNDS | \$ 400,000 | \$ 1,400,000 | \$ 5,400,000 |
| TOTAL BUDGETED FUNDING | \$ 400,000 | \$ 1,400,000 | \$ 5,400,000 |


* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

INNOVATION PARTNERSHIP BUILDING (IPB)
RENOVATIONS FOR THE
CENTER FOR CLEAN ENERGY ENGINEERING (C2E2)
Project Budget (FINAL)
December 6, 2023



ATTACHMENT 4

December 6, 2023

TO: Members of the Board of Trustees 

FROM: Jeffrey P. Geoghegan, CPA
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Jones Annex Renovation (Revised Final: \$4,940,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$4,940,000, as detailed in the attached project budget, for the Jones Annex Renovation project, Construction. The increase of \$4,000,000 to the previously approved \$940,000 will enable construction to proceed. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$4,940,000 in UCONN 2000 bond funds for the Jones Annex Renovation project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

BACKGROUND:

The renovation of Jones Annex building will consolidate several outreach education, fee-based services at one location on the Storrs Campus: UConn Soil Nutrient Analysis Lab (SNAL), Home & Garden Education Center (H&GEC), and the Plant Diagnostic Center.

Currently, the H&GEC and the diagnostic services are located on the Storrs campus in the Ratcliffe-Hicks building while the SNAL is located on the Depot campus (Union Cottage). The consolidation will facilitate efficient management of personnel, collaboration between groups, increase opportunity for professional staff to contribute to undergraduate life transformative educational activities, and other departmental service and professional improvement activities.

The 8,000 GSF renovation also creates two faculty research laboratories and laboratory spaces for extracting and preparing samples for analysis, drying and sieving soils, instrumentation room, chemical storage, grinding, storage for soil/compost educational items, computer area, offices, and office support.

The proposed budget is attached for information and reflects an increase of \$4,000,000 to the previously approved revised planning budget of \$940,000.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: JONES ANNEX RENOVATION

| <u>BUDGETED EXPENDITURES</u> | APPROVED | APPROVED | APPROVED | PROPOSED |
|-------------------------------------|------------------|-------------------|-------------------|---------------------|
| | PLANNING | REVISED | FINAL | REVISED |
| | 5/21/2021 | PLANNING | DESIGN | FINAL |
| | PRC | 3/25/2022 | 9/28/2022 | 12/6/2023 |
| CONSTRUCTION | \$ - | \$ - | \$ - | \$ 3,650,000 |
| DESIGN SERVICES | 72,000 | 420,000 | 800,000 | 800,000 |
| TELECOM | - | - | - | - |
| EQUIPMENT ETC | - | - | - | - |
| CONSTRUCTION ADMIN | - | - | - | - |
| OTHER AE (inc PM) | 3,000 | 15,000 | 35,000 | 35,000 |
| ART | - | - | - | - |
| RELOCATION | - | - | - | - |
| ENVIRONMENTAL | - | - | - | - |
| INSURANCE & LEGAL | 5,000 | 5,000 | 5,000 | 5,000 |
| MISCELLANEOUS | - | - | - | - |
| OTHER SOFT COSTS | - | - | - | - |
| SUBTOTAL | \$ 80,000 | \$ 440,000 | \$ 840,000 | \$ 4,490,000 |
| PROJECT CONTINGENCY | 10,000 | 50,000 | 100,000 | 450,000 |
| TOTAL BUDGETED | \$ 90,000 | \$ 490,000 | \$ 940,000 | \$ 4,940,000 |
| <u>SOURCE(S) OF FUNDING*</u> | | | | |
| UCONN 2000 BOND FUNDS | \$ 90,000 | \$ 490,000 | \$ 940,000 | \$ 4,940,000 |
| TOTAL BUDGETED FUNDING | \$ 90,000 | \$ 490,000 | \$ 940,000 | \$ 4,940,000 |

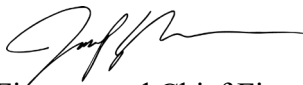
* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 12.06.23
300203 / TL2540

ATTACHMENT 5

December 6, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for North and South Parking Garages Restoration, Phase 4
(Revised Final: \$4,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$4,000,000 as detailed in the attached project budget, for North and South Parking Garages Restoration, Phases 1, 2, 3, & 4 for Construction. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$4,000,000 in University Funds for North and South Parking Garages Restoration, Phases 1,2,3, & 4 project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

BACKGROUND:

UConn owns two precast concrete parking garages on the Storrs Campus. The North Garage, adjacent to the Jorgensen Center for Performing Arts, is a five-level structure constructed in 1997. The South Garage, adjacent to the Harry A. Gampel Pavilion, is a six-level structure constructed in 2000. The University commissioned a condition assessment and structural evaluation for each structure, which concluded that, while both structures are in good condition, certain repairs were recommended. The University has used a phased approach to completing the repairs. This project entails the fourth phase of the repairs and focuses primarily on structural repairs in the North Garage, and to a lesser extent the South Garage.

This project has multiple phases. The current cost of the entire project is estimated at around \$5M. The priority of repairs dictates the sequence of work. The final budget for Phases 1, 2 and 3 was approved previously for \$3M. The final budget for Phase 4 is \$1M. The combined total is \$4M. The final budget for Phase 4 is based on the consultant’s preliminary opinion of construction costs during design.

Required repairs include the following:

- Replacement of joint sealants that have reached the end of their service life.
- Repair of deteriorated or damaged concrete on floors, beams, columns, walls, curbs.
- Repair and/or sealing of cracks using epoxy injection or other specified techniques.
- Repair or replacement of welded shear connectors.
- Repair or replacement of corroded beam supports.
- Miscellaneous cleaning and repairs of drainage system.
- Painting of miscellaneous surfaces and items.

The Final Budget is attached for information.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: NORTH AND SOUTH PARKING GARAGES RESTORATION, PHASES 1 THRU 4

| <u>BUDGETED EXPENDITURES</u> | <u>PHASE 1 APPROVED PLANNING 2/24/2020</u> | <u>PHASE 1 APPROVED FINAL 10/28/2020</u> | <u>PHASES 1&2 APPROVED FINAL 6/30/2021</u> | <u>PHASES 1,2&3 APPROVED FINAL 12/7/2022</u> | <u>PHASES 1,2,3 & 4 PROPOSED REVISED FINAL 12/6/2023</u> |
|-------------------------------------|--|--|--|--|--|
| | PRC | | | | |
| CONSTRUCTION | \$ 728,000 | \$ 728,000 | \$ 1,456,000 | \$ 2,256,000 | \$ 3,000,560 |
| DESIGN SERVICES | 172,000 | 172,000 | 344,000 | 444,000 | 599,440 |
| TELECOM | - | - | - | - | - |
| EQUIPMENT ETC | - | - | - | - | - |
| CONSTRUCTION ADMIN | - | - | - | - | - |
| OTHER AE (inc PM) | - | - | - | - | - |
| ART | - | - | - | - | - |
| RELOCATION | - | - | - | - | - |
| ENVIRONMENTAL | - | - | - | - | - |
| INSURANCE & LEGAL | - | - | - | - | - |
| MISCELLANEOUS | - | - | - | - | - |
| OTHER SOFT COSTS | - | - | - | - | - |
| SUBTOTAL | \$ 900,000 | \$ 900,000 | \$ 1,800,000 | \$ 2,700,000 | \$ 3,600,000 |
| PROJECT CONTINGENCY | 100,000 | 100,000 | 200,000 | 300,000 | 400,000 |
| TOTAL BUDGETED | <u>\$ 1,000,000</u> | <u>\$ 1,000,000</u> | <u>\$ 2,000,000</u> | <u>\$ 3,000,000</u> | <u>\$ 4,000,000</u> |
| <u>SOURCE(S) OF FUNDING*</u> | | | | | |
| UNIVERSITY FUNDS | <u>\$ 1,000,000</u> | <u>\$ 1,000,000</u> | <u>\$ 2,000,000</u> | <u>\$ 3,000,000</u> | <u>\$ 4,000,000</u> |
| TOTAL BUDGETED FUNDING | <u>\$ 1,000,000</u> | <u>\$ 1,000,000</u> | <u>\$ 2,000,000</u> | <u>\$ 3,000,000</u> | <u>\$ 4,000,000</u> |

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.


NORTH & SOUTH PARKING GARAGES RESTORATION,
PHASE 4
Project Budget (REVISED FINAL)
December 6, 2023



ATTACHMENT 6

December 6, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Werth Residence Tower High Humidity Mitigation
(Revised Planning: \$925,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Planning Budget of \$925,000, as detailed in the attached project budget, for the Werth Residence Tower High Humidity Mitigation project, Planning and Design. This reflects an increase of \$460,000 to the previously approved Revised Planning budget of \$465,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$925,000 in in University Funds for the Revised Planning Phase of the Werth Residence Tower High Humidity Mitigation project.”

BACKGROUND:

Werth Residence Tower is a 400 room, 8-story dormitory building, which opened in 2016, and was constructed using a design/build method. Since opening, UConn has reported concerns of condensation on the windows and ceilings immediately adjacent to the HVAC system. Approximately 40% of the rooms have issues with condensation, with no apparent pattern to the condensation identified. Mold has developed in some rooms on the ceiling, walls, pipes and furniture. A forensic MEP engineering firm was hired to investigate the HVAC and develop a plan to remedy this situation. Multiple mockups of potential solutions were installed in student rooms and data from sensors is collected bi-weekly. Although the data collection will continue through the heating season, all the data indicates that the high humidity has not decreased.

This increase of \$460,000 is to bring a MEP engineer on board to design potential modifications to the three existing rooftop supply air units, allowing them to provide dehumidified air to student residential floors. Data collection and analysis to confirm high humidity levels will continue during design of the modifications. Additional funding requests will be submitted for the design of duct distribution to student rooms after mockup testing is complete, and for construction (this request does not include costs for construction).

The Revised Planning Phase Budget is attached for your information.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED PLANNING**

PROJECT NAME: **WERTH RESIDENCE HALL HIGH HUMIDITY MITIGATION**


| | APPROVED PLANNING 5/21/2021 | APPROVED REVISED PLANNING 6/6/2023 | APPROVED REVISED PLANNING 9/14/2023 | PROPOSED REVISED PLANNING 12/6/2023 |
|--|--|---|--|--|
| | PRC | PRC | PRC | |
| <u>BUDGETED EXPENDITURES</u> | | | | |
| CONSTRUCTION | \$ - | \$ 100,000 | \$ 185,000 | \$ 285,000 |
| DESIGN SERVICES | 60,000 | 150,000 | 200,000 | 500,000 |
| TELECOMMUNICATIONS | - | - | - | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - | - | - | - |
| CONSTRUCTION ADMINISTRATION | - | - | - | - |
| OTHER AE SERVICES (including Project Management) | - | 5,000 | 5,000 | 5,000 |
| ART | - | - | - | - |
| RELOCATION | - | - | - | - |
| ENVIRONMENTAL | - | - | - | - |
| INSURANCE AND LEGAL | - | - | - | - |
| MISCELLANEOUS | 10,000 | 20,000 | 25,000 | 25,000 |
| OTHER SOFT COSTS | - | - | - | - |
| SUBTOTAL | \$ 70,000 | \$ 275,000 | \$ 415,000 | \$ 815,000 |
| PROJECT CONTINGENCY | 10,000 | 35,000 | 50,000 | 110,000 |
| TOTAL BUDGETED EXPENDITURES | <u>\$ 80,000</u> | <u>\$ 310,000</u> | <u>\$ 465,000</u> | <u>\$ 925,000</u> |
| <u>SOURCE(S) OF FUNDING</u> | | | | |
| UNIVERSITY FUNDS | <u>\$ 80,000</u> | <u>\$ 310,000</u> | <u>\$ 465,000</u> | <u>\$ 925,000</u> |
| TOTAL BUDGETED FUNDING | <u>\$ 80,000</u> | <u>\$ 310,000</u> | <u>\$ 465,000</u> | <u>\$ 925,000</u> |

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

ATTACHMENT 7

December 6, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Field House – Old Rec Center Renovation
(Revised Design: \$8,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Design Budget of \$8,500,000, as detailed in the attached project budget, for the Field House – Old Rec Center Renovation project, Revised Design Phase. This reflects an increase of \$4,000,000 to the previously approved Design budget of \$4,500,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$5,500,000 in UCONN 2000 Bond Funds and \$3,000,000 in University Funds for the Revised Design Phase of the Field House – Old Rec Center Renovation project.”

BACKGROUND:

The Guyer Gymnasium was occupied by the Student Recreation Center before relocating to the new recreation facility in 2019. This project will renovate the vacated space of the gymnasium to meet programmatic needs of the Division of Athletics, satisfy academic needs of the Student Athlete Success Program, provide additional space for the Department of Kinesiology and address Title IX requirements for various athletics teams. The Guyer Gymnasium renovations represent the largest part of the project and will also necessitate re-allocation and renovation of smaller spaces within the adjacent connecting buildings known as Wolff-Zackin Natatorium and Greer Fieldhouse.

Interior work will include approximately 105,000 gsf of renovations to create new tutor rooms, study areas, offices, team rooms, strength & conditioning, hydrotherapy, rehabilitation, recovery, biomechanical analysis, training, practice areas and locker rooms. Exterior renovations will include approximately 69,000 sf of new roofing, rooftop mechanical units, 23,000 sf of masonry repairs, a new accessible entrance and access to public restrooms for sporting events at Sherman Field.

The Planning Phase of the Field House – Old Rec Center Renovation was completed in 2023 and the Design Phase is anticipated to be complete by fall 2024. Pending additional funding for construction, renovations are scheduled to start in spring 2025 and be complete by the end of 2026.

The project will meet High Performance Building Code requirements and will seek LEED Certification, but the exact level requires further investigation and confirmation. Since the exterior of the building is only being partially modified, LEED Gold Certification may not be attainable, but LEED Silver should be attainable at a minimum. If LEED Gold is not attainable, the project will request a reduction in the requirement to LEED Silver at the next Board approval.

The Revised Design Phase Budget is attached for information and reflects an increase of \$4,000,000 to complete the design and construction documents and prepare the project for bid.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED DESIGN**

PROJECT NAME: **FIELD HOUSE- OLD REC CENTER RENOVATION**

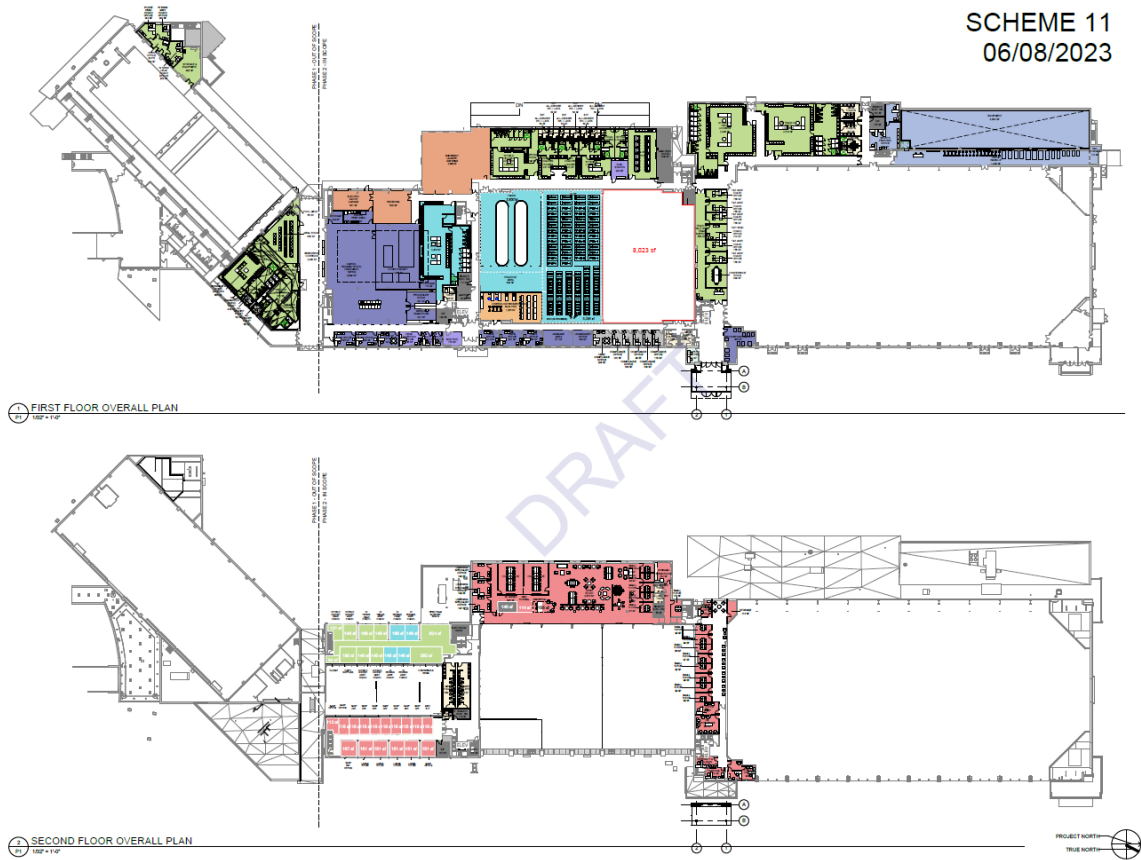
| | APPROVED PLANNING 5/21/2021 | APPROVED REVISED PLANNING 11/3/2021 | APPROVED REVISED PLANNING 6/29/2022 | APPROVED DESIGN 6/28/2023 | PROPOSED REVISED DESIGN 12/6/2023 |
|--|--|--|--|--|--|
| <u>BUDGETED EXPENDITURES</u> | | | | | |
| | PRC | PRC | | | |
| CONSTRUCTION | \$ - | \$ - | \$ - | \$ 285,000 | \$ 500,000 |
| DESIGN SERVICES | 385,000 | 425,000 | 2,280,000 | 3,425,000 | 6,900,000 |
| TELECOMMUNICATIONS | - | - | - | - | - |
| FURNITURE, FIXTURES AND EQUIPMENT | - | - | - | - | - |
| CONSTRUCTION ADMINISTRATION | - | - | - | - | - |
| OTHER AE SERVICES (including Project Management) | 15,000 | 15,000 | 150,000 | 250,000 | 250,000 |
| ART | - | - | - | - | - |
| RELOCATION | - | - | - | - | - |
| ENVIRONMENTAL | - | - | 250,000 | 70,000 | 130,000 |
| INSURANCE AND LEGAL | 5,000 | 5,000 | 15,000 | 10,000 | 10,000 |
| MISCELLANEOUS | - | - | 5,000 | 10,000 | 10,000 |
| OTHER SOFT COSTS | - | - | - | - | - |
| SUBTOTAL | \$ 405,000 | \$ 445,000 | \$ 2,700,000 | \$ 4,050,000 | \$ 7,800,000 |
| PROJECT CONTINGENCY | 45,000 | 50,000 | 300,000 | 450,000 | 700,000 |
| TOTAL BUDGETED EXPENDITURES | \$ 450,000 | \$ 495,000 | \$ 3,000,000 | \$ 4,500,000 | \$ 8,500,000 |
| <u>SOURCE(S) OF FUNDING</u> | | | | | |
| UNIVERSITY FUNDS | \$ 450,000 | \$ 495,000 | \$ 3,000,000 | \$ 3,000,000 | \$ 3,000,000 |
| UCONN 2000 BOND FUNDS | - | - | - | 1,500,000 | 5,500,000 |
| TOTAL BUDGETED FUNDING | \$ 450,000 | \$ 495,000 | \$ 3,000,000 | \$ 4,500,000 | \$ 8,500,000 |

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

FIELD HOUSE – OLD REC CENTER RENOVATION

Project Budget (REVISED DESIGN)

December 6, 2023



First and Second Floor Plan – Wolff Zackin and Fieldhouse



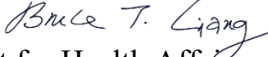
Concept Entry Pavillion to Athletic Success Center

ATTACHMENT 8

UConn HEALTH

December 6, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC 
Interim Executive Vice President for Health Affairs
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Anatomic Pathology & Autopsy Renovation
(Final: \$1,175,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,175,000, as detailed in the attached project budget, for the UConn Health Anatomic Pathology & Autopsy Renovation Project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,175,000 from UConn Health Capital for the UConn Health Anatomic Pathology & Autopsy Renovation Project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

BACKGROUND:

This project will replace outdated equipment and renovate the Anatomic Pathology Lab and Autopsy area at UConn Health.

The Final Budget is attached for consideration. The Final budget is based on actual bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on December 4, 2023.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

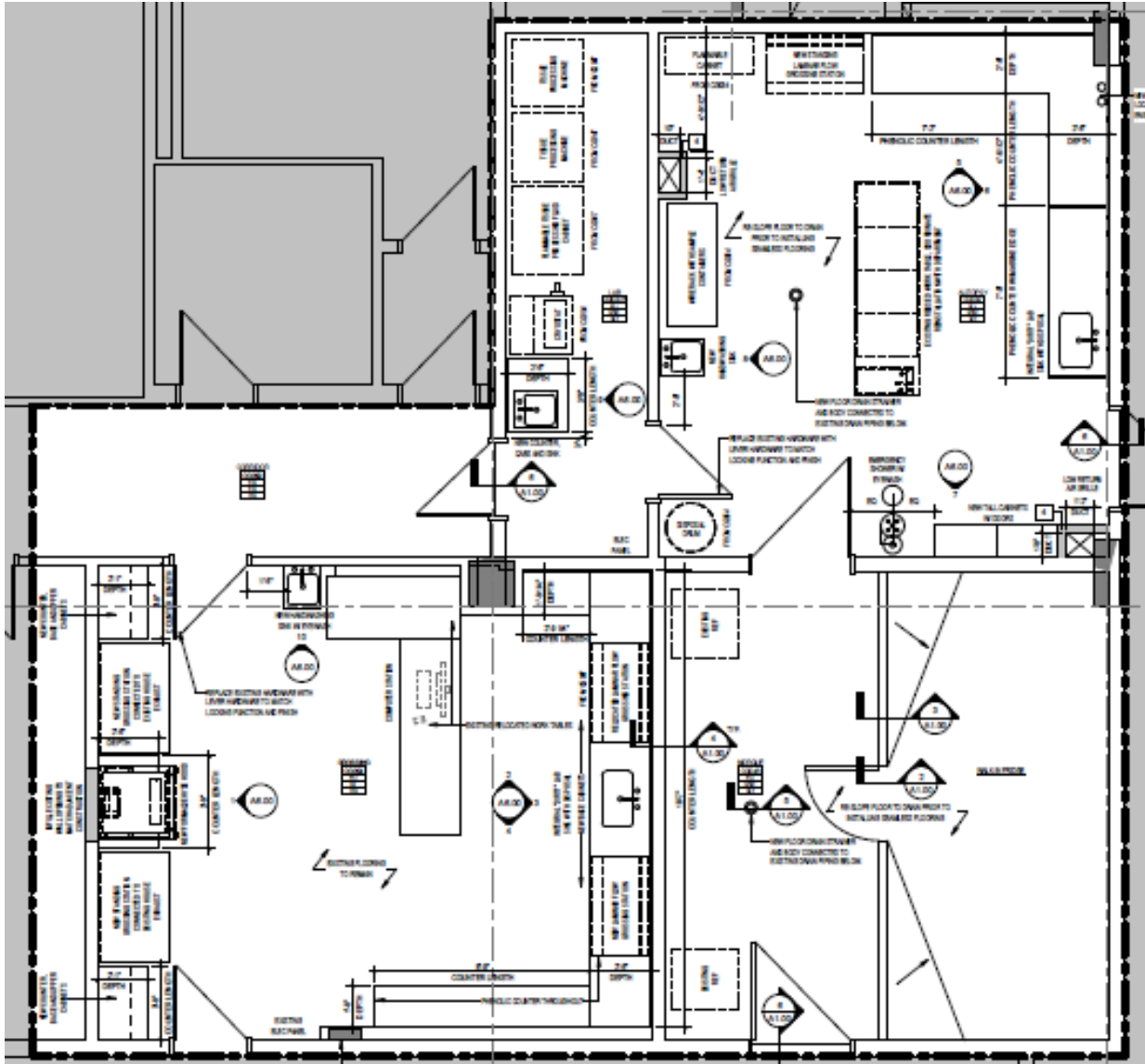
TYPE BUDGET: FINAL

**PROJECT NAME: UCONN HEALTH -ANATOMIC PATHOLOGY & AUTOPSY
RENOVATION**

| <u>BUDGETED EXPENDITURES</u> | <u>PROPOSED FINAL 12/6/2023</u> |
|--|--|
| CONSTRUCTION | \$ 857,000 |
| DESIGN SERVICES | 80,000 |
| TELECOMMUNICATIONS | 15,000 |
| FURNITURE, FIXTURES AND EQUIPMENT | 110,000 |
| CONSTRUCTION ADMINISTRATION | - |
| OTHER AE SERVICES (including Project Management) | - |
| ART | - |
| RELOCATION | 1,000 |
| ENVIRONMENTAL | 5,000 |
| INSURANCE AND LEGAL | - |
| MISCELLANEOUS | 2,000 |
| SUBTOTAL | \$ 1,070,000 |
| PROJECT CONTINGENCY | 105,000 |
| TOTAL BUDGETED EXPENDITURES | \$ 1,175,000 |
| <u>SOURCE(S) OF FUNDING*</u> | |
| UCONN HEALTH CAPITAL | 1,175,000 |
| TOTAL BUDGETED FUNDING | \$ 1,175,000 |

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS
UConn Health Anatomic Pathology and Autopsy
Renovation Project
Budget (Final) \$1,175,000
December 6, 2023



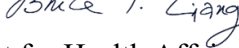
Proposed Floor Plan

ATTACHMENT 9

UConn HEALTH

December 6, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC 
Interim Executive Vice President for Health Affairs
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health TB-121 Blood Bank Relocation
(Revised Final: \$1,075,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$1,075,000, as detailed in the attached project budget, for the UConn Health TB-121 Blood Bank Relocation Project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,075,000 from UConn Health Capital and funds received through the Cesium Irradiator Replacement Program (CIRP) for the UConn Health TB-121 Blood Bank Relocation Project.”

BACKGROUND:

Prior to transfusion, blood is irradiated to prevent the donor white cells from replicating and mounting an immune response against a patient causing transfusion-associated graft-versus-host disease (TA-GvHD). The current irradiator in the UConn Health Blood Bank needs to be replaced. In addition, the current Blood Bank location on the 2nd floor of the Main Building Clinical complex is a great distance from the areas where the blood is required; John Dempsey Hospital Operating Rooms (ORs), the Emergency Department (ED), and Labor & Delivery (LD).

This project will relocate the Blood Bank to an unrenovated shell space on the ground floor of the John Dempsey Hospital which is adjacent to the ORs and significantly closer to the ED and LD. In addition, a new X-ray irradiator will be furnished and installed, and the existing irradiator removed through CIRP.

The project budget has been increased to reflect actual construction bids received. The Revised Final Budget is attached for your consideration. This Revised Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on December 4, 2023.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: UCONN HEALTH -TB-121 BLOOD BANK RELOCATION

| <u>BUDGETED EXPENDITURES</u> | <u>APPROVED FINAL 6/28/2023</u> | <u>APPROVED REVISED FINAL 9/27/2023</u> | <u>PROPOSED REVISED FINAL 12/6/2023</u> |
|--|---|---|---|
| CONSTRUCTION | \$ 255,000 | \$ 375,000 | \$ 615,000 |
| DESIGN SERVICES | 50,000 | 50,000 | 50,000 |
| TELECOMMUNICATIONS | 25,000 | 35,000 | 35,000 |
| FURNITURE, FIXTURES AND EQUIPMENT | 320,000 | 320,000 | 300,000 |
| CONSTRUCTION ADMINISTRATION | - | - | - |
| OTHER AE SERVICES (including Project Management) | - | - | - |
| ART | - | - | - |
| RELOCATION | 5,000 | 5,000 | 5,000 |
| ENVIRONMENTAL | - | - | - |
| INSURANCE AND LEGAL | - | - | - |
| MISCELLANEOUS | 10,000 | 1,000 | 1,000 |
| SUBTOTAL | \$ 665,000 | \$ 786,000 | \$ 1,006,000 |
| PROJECT CONTINGENCY | 100,000 | 94,000 | 69,000 |
| TOTAL BUDGETED EXPENDITURES | \$ 765,000 | \$ 880,000 | \$ 1,075,000 |
| <u>SOURCE(S) OF FUNDING*</u> | | | |
| UCONN HEALTH CAPITAL FUNDS | 662,000 | 751,735 | 946,735 |
| CIRP | 103,000 | 128,265 | 128,265 |
| TOTAL BUDGETED FUNDING | \$ 765,000 | \$ 880,000 | \$ 1,075,000 |

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS
UConn Health TB-121 Blood Bank Relocation
Project Budget (Revised Final) \$1,075,000
December 6, 2023



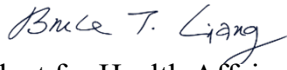
X-Ray Blood Irradiator

ATTACHMENT 10

UConn HEALTH

December 6, 2023

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC 
Interim Executive Vice President for Health Affairs
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Central Sterile Washer & Sterilizer
Replacement (Revised Final: \$6,340,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$6,340,000, as detailed in the attached project budget, for the UConn Health Central Sterile Washer & Sterilizer Replacement Project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$6,340,000 from UConn Health Capital and UCONN 2000 Phase III DM funds for the UConn Health Central Sterile Washer & Sterilizer Replacement Project.”

BACKGROUND:

Central Sterile Services, located in the basement level of the Connecticut Tower, provides instrument sterilization services for the medical and dental clinics and outpatient surgical services. The main washer and sterilizer equipment is outdated and prone to downtime which significantly impacts clinical operations. This project will replace outdated equipment and supporting infrastructure.

The project budget has been increased to reflect actual construction bids received. The Revised Final Budget is attached for consideration. This Revised Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on December 4, 2023.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

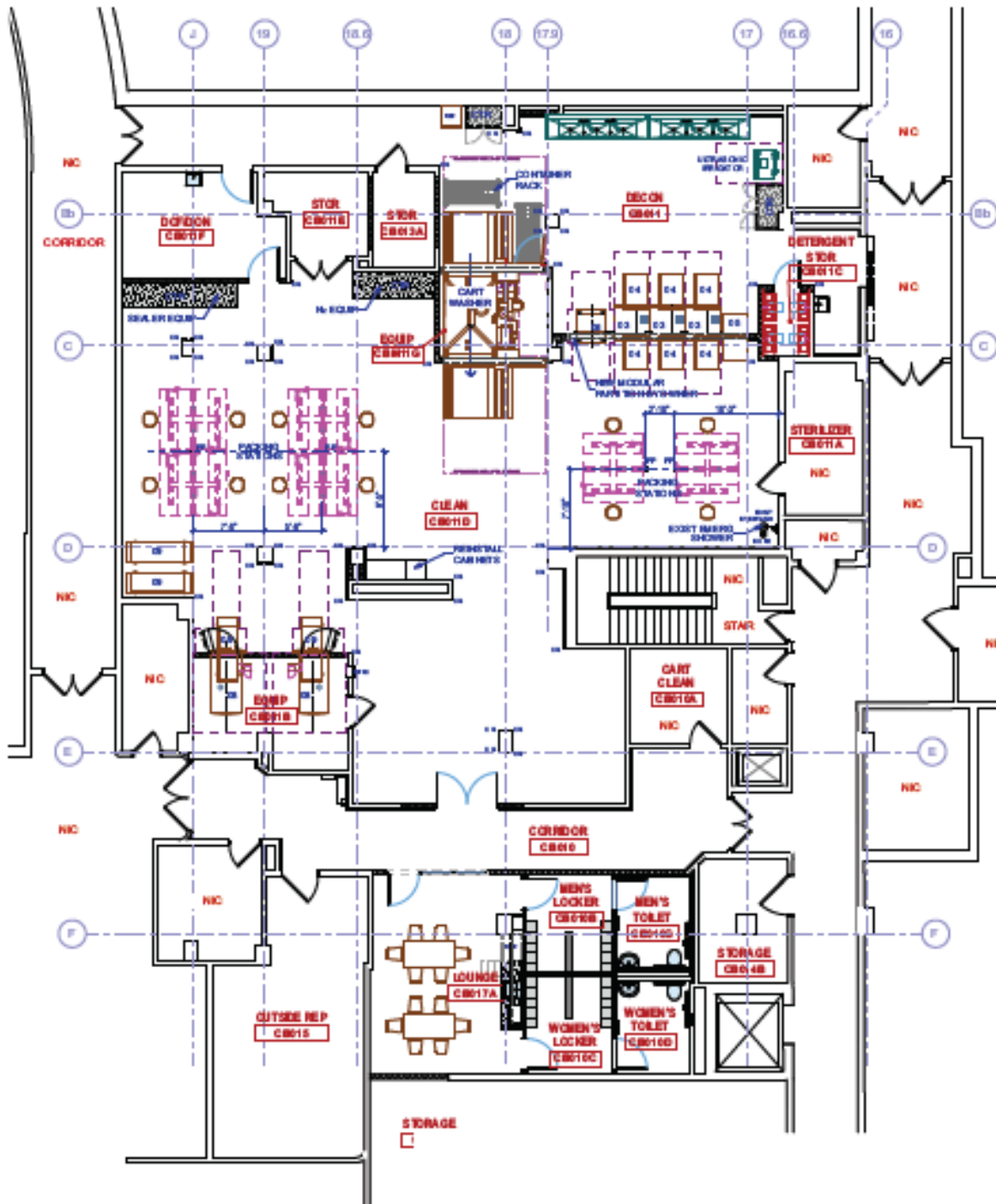
TYPE BUDGET: REVISED FINAL

PROJECT NAME: UCONN HEALTH - CENTRAL STERILE WASHER & STERILIZER REPLACEMENT

| <u>BUDGETED EXPENDITURES</u> | <u>APPROVED PLANNING 6/29/2022</u> | <u>APPROVED DESIGN 6/28/2023</u> | <u>APPROVED FINAL 9/27/2023</u> | <u>PROPOSED REVISED FINAL 12/6/2023</u> |
|--|--|--|---|---|
| CONSTRUCTION | \$ 565,000 | \$2,400,000 | \$ 3,005,000 | \$ 4,377,000 |
| DESIGN SERVICES | 95,000 | 165,000 | 170,000 | 170,000 |
| TELECOMMUNICATIONS | 2,000 | 50,000 | 50,000 | 50,000 |
| FURNITURE, FIXTURES AND EQUIPMENT | 991,000 | 990,000 | 1,260,000 | 1,260,000 |
| CONSTRUCTION ADMINISTRATION | - | - | - | - |
| OTHER AE SERVICES (including Project Management) | - | - | 10,000 | 12,000 |
| ART | - | - | - | - |
| RELOCATION | - | - | 5,000 | 5,000 |
| ENVIRONMENTAL | - | 15,000 | 15,000 | 20,000 |
| INSURANCE AND LEGAL | - | - | - | - |
| MISCELLANEOUS | 3,000 | 2,000 | - | 6,000 |
| SUBTOTAL | \$ 1,656,000 | \$3,622,000 | \$ 4,515,000 | \$ 5,900,000 |
| PROJECT CONTINGENCY | 249,000 | 363,000 | 455,000 | 440,000 |
| TOTAL BUDGETED EXPENDITURES | \$ 1,905,000 | \$3,985,000 | \$ 4,970,000 | \$ 6,340,000 |
| <u>SOURCE(S) OF FUNDING*</u> | | | | |
| UCONN HEALTH CAPITAL FUNDS | \$ 1,905,000 | \$2,135,000 | \$ 2,135,000 | \$ 3,505,000 |
| UCONN 2000 BOND FUNDS | - | 1,850,000 | 2,835,000 | 2,835,000 |
| TOTAL BUDGETED FUNDING | \$ 1,905,000 | \$3,985,000 | \$ 4,970,000 | \$ 6,340,000 |

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS
UConn Health Central Sterile Washer & Sterilizer
Replacement Budget (Revised Final) \$6,340,000
December 6, 2023



Proposed Equipment Plan

ATTACHMENT 11

University of Connecticut Department of Human Resources

Emeritus Retirees

December 6, 2023 Board of Trustees Meeting

| <u>NAME</u> | <u>TITLE</u> | <u>DEPARTMENT</u> | <u>SCHOOL/COLLEGE*</u> | <u>RETIRED</u> | <u>HIRED</u> |
|---|----------------------------------|--------------------------|-------------------------------|-----------------------|---------------------|
| <i>Faculty meeting “automatic” criteria for emeritus status</i> | | | | | |
| Coble, Denis | Associate Professor | Allied Health Sciences | CAHNR | 11/1/2023 | 10/1/1987 |
| <i>Recommendations from the Emeritus Committee</i> | | | | | |
| McEvoy, Kevin | Assistant Professor-in-Residence | Marketing | Business | 7/1/2022 | 8/23/2004 |

Key

*CAHNR – College of Agriculture, Health and Natural Resources

Kevin McEvoy

Professor Kevin McEvoy was initially hired as adjunct faculty in 2001 and then was hired full-time as an Instructor-in-Residence in 2004. Upon completion of his Ph.D. in Business Education in 2011 from NYU's Steinhardt School of Culture, Education, & Human Development, he was promoted to Assistant Professor-in-residence. Professor McEvoy retired in Summer 2022 as Assistant Professor-in-Residence, and thus did not automatically receive emeritus status at retirement.

Dr. McEvoy has gone above and beyond his role as faculty and thus has improved the lives of the students he has taught and supervised, the UConn Stamford community, the larger Stamford community, and the university as a whole. Most notable about Dr. McEvoy's teaching career is his numerous awards in this role. He has received both the Alumni Faculty Excellence in Undergraduate Teaching Award (2021) and the Alumni Faculty Excellence in Graduate Teaching Award (2019). He also won the Center for Excellence in Teaching and Learning (CETL) Teaching Scholar Award and the CETL Award for Instructional Excellence. In addition to these four university-wide awards, he has won five awards from the School of Business for his teaching at both the graduate and undergraduate levels, as well as recognition for his teaching at UConn Stamford on multiple occasions. Given the depth and breadth of our faculty at UConn, this rate of winning UConn teaching awards is particularly impressive.

Dr. McEvoy's engagement in teaching is also evidenced by the fact that he has taught nine different courses, at both the undergraduate and graduate level. He was also heavily engaged in mentoring, having supervised over 20 MBA students and over 40 undergraduate students in independent study projects. In addition, throughout his career, Dr. McEvoy has enhanced his teaching with a number of publications related to best practices in education. Thus, he was an active producer of scholarship on teaching and learning, which not only directly impacted his own students, but also served to elevate UConn's status by sharing these best practices with colleagues around the world through publications and presentations on a range of topics including different forms of summative assessment, innovative learning strategies, and ethics. All of these teaching activities demonstrate how he has gone above and beyond expectations in teaching for our faculty.

In terms of service, Dr. McEvoy's engagement in service activities, particularly at UConn Stamford, has contributed to the climate of the university. In Stamford, he served on a number of committees, including the Stamford faculty council, and advised three different clubs at UConn Stamford. He also frequently engaged with the wider Stamford community beyond UConn, for instance with his many articles related to marketing in the *Stamford Advocate*. He also served on a number of campus-wide committees, including the General Education Oversight Committee and the Provost Committee for the Future of Journal Subscriptions. He also served the wider profession by serving on editorial boards for multiple business journals.

ATTACHMENT 12

University of Connecticut Office of the Provost

Sabbatical Leave Recommendations Requiring Board of Trustees Approval

December 6, 2023 - Board of Trustees Meeting

SABBATICAL MODIFICATION REQUESTS

| NAME | TITLE | DEPARTMENT | SCHOOL/COLLEGE | PAY | PERIOD |
|-------------------------|-----------------------------|----------------------------|---------------------------|-------------------|----------------------------|
| Alkadry, Mohamad | Department Head & Professor | Public Policy | Liberal Arts and Sciences | Full Change to | Spring 2025 Spring 2027 |
| Atkinson-Palombo, Carol | Professor | Geography | Liberal Arts and Sciences | Full Change to | Spring 2024 Spring 2025 |
| O'Neill, Rachel | Director & Dist. Professor | Systems Genomics Institute | | Full Change to | Spring 2024 Spring 2028 |
| Ward, Jonathan | Department Head & Professor | Marine Sciences | Liberal Arts and Sciences | Full Change to | Spring 2024 Fall 2027 |

SABBATICAL LEAVE REQUESTS

| NAME | TITLE | DEPARTMENT | SCHOOL/COLLEGE | PAY | PERIOD |
|---------------------------|----------------------|------------------------|---------------------------|------------|---------------|
| Heller, Nina | Professor | | Social Work | Full | Spring 2024 |
| Blatt, Joel | Assoc. Professor | History | Liberal Arts and Sciences | Full | Fall 2024 |
| Brennan-Marquez, Kiel | Director & Professor | | Law | Full | Fall 2024 |
| Coppola, Marie | Professor | Psychological Sciences | Liberal Arts and Sciences | Full | Fall 2024 |
| De Perio Wittman, Jessica | Director & Professor | | Law | Full | Fall 2024 |
| Dutta, Niloy | Professor | Physics | Liberal Arts and Sciences | Full | Spring 2025 |
| Hartman, Gideon | Assoc. Professor | Anthropology | Liberal Arts and Sciences | Half | AY 2024-2025 |
| Munro, Natalie | Professor | Anthropology | Liberal Arts and Sciences | Half | AY 2024-2025 |

| | | | | | |
|------------------------|------------------|----------------------------------|---------------------------|------|-----------|
| Pais, Jeremy | Assoc. Professor | Sociology | Liberal Arts and Sciences | Full | Fall 2024 |
| Pierce, David | Professor | Mechanical Aerospace Mfg Engr | Engineering | Half | CY 2025 |
| Rios, Diana | Assoc Professor | Communication | Liberal Arts and Sciences | Full | Fall 2024 |
| Urios-Aparisi, Eduardo | Assoc. Professor | Lit, Cultures and Languages | Liberal Arts and Sciences | Full | Fall 2024 |
| Wagner, Manuela | Professor | Lit, Cultures and Languages | Liberal Arts and Sciences | Full | Fall 2024 |
| Willen, Sarah | Assoc. Professor | Anthropology | Liberal Arts and Sciences | Full | Fall 2024 |
| Xiao, Ling | Assoc. Professor | Mathematics | Liberal Arts and Sciences | Full | Fall 2024 |

ATTACHMENT 13

December 6, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Bachelor of Science in Economics

RECOMMENDATION:

That the Board of Trustees approve the undergraduate major of Bachelor of Science in Economics in the College of Liberal Arts and Sciences.

BACKGROUND:

The College of Liberal Arts and Sciences currently offers a shared Bachelor of Arts/Bachelor of Science in Economics through the Department of Economics.

Upon approval, the Bachelor of Science degree will be differentiated from the Bachelor of Arts degree by using the Econometrics CIP code, which is more appropriate for the Bachelor of Science curriculum. As CIP codes are used for external reporting (e.g., IPEDs data), the current shared Bachelor of Arts/Bachelor of Science degree structure must be split into separate Bachelor of Arts and Bachelor of Science degrees on our State Office of Higher Education program listings. This “new” degree must be approved to document this process.

The curriculum and other components of the Bachelor of Science in Economics remain unchanged.

ATTACHMENT 14

December 6, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Doctor of Philosophy in Engineering Education in the College of Engineering

RECOMMENDATION:

That the Board of Trustees approve the Doctor of Philosophy in Engineering Education in the College of Engineering

BACKGROUND:

Engineering Education is a growing multi- and trans-disciplinary field of study. As the College of Engineering continues to grow, larger and more competitive grants often have significant education and educational research components. Faculty and students with expertise in these areas make UConn more competitive for these types of awards, in addition to opening new avenues of external funding not typically pursued in UConn Engineering because of lack of expertise. The development of this program follows from the rapid growth in this field at other peer and peer-aspirant universities around the country as well as UConn's hiring of tenure track faculty in Engineering Education.

The Ph.D. in Engineering Education, through its coursework and research programs, provides students with the skills and competencies needed to conduct high quality educational research in the context of engineering programs at both the pre- and post-secondary level. Graduates will be well-qualified to work in academic, industrial, or governmental settings, and excel at identifying, creating, and expanding connections between engineering and the social sciences. Graduates will be able to conduct high-quality educational research and/or assessment and evaluation in a variety of settings, synthesize information from multiple fields to contribute to knowledge generation at the intersection of engineering and the social sciences, and integrate evidence-based practices from engineering education research into formal and informal educational settings. Graduates will be supported to bring socio-cultural and critical perspectives of engineering with a focus on justice, diversity, equity, and inclusivity into work in higher education, K-12 settings, non-profits, or private industry. The Ph.D. program will be administered by the Dean's Office in the College of Engineering and is predicted to enroll and graduate approximately 5-10 students per year.



Request for New Academic Degree Program

General Information

| | |
|------------------------------|--|
| Name of degree program: | Engineering Education |
| Name of School/College | College of Engineering; Neag School of Education |
| Type of Proposal: | New |
| Type of Program: | PhD (Doctor of Philosophy) |
| Anticipated Term Initiation: | Fall 2024 |
| Location: | Storrs |
| Program Payment Type: | Tuition-based |
| CIP Code: | 14.9999 |

Justification for the New Program

Engineering Education is a growing multi- and trans-disciplinary field of study. As the new College of Engineering continues to grow, larger and more competitive grants often have significant education and educational research components. Faculty and students with expertise in these areas make UConn more competitive for these types of awards, in addition to opening new avenues of external funding not typically pursued in UConn Engineering b/c of lack of expertise. The development of this program follows from the rapid growth in this field at other peer and peer aspirant universities around the country, including Purdue, Virginia Tech, Ohio State, North Carolina State, University of Michigan, and University of Florida, as well as UConn's hiring of TT faculty in Engineering Education.

Are there similar programs in CT or elsewhere?

Other Engineering Education Ph.D. programs exist around the country, but none at an R1 in the Northeast.

What are the desired learning outcomes of the program?

Program Educational Outcomes:

1. Graduates will be able to conduct high-quality educational research and/or assessment and evaluation in a variety of settings, including higher education, K-12 settings, non-profits, and private industry.
2. Graduates will be able to synthesize information from multiple fields to contribute to knowledge at the intersection of engineering and the social sciences.
3. Graduates will be able to bring a socio-cultural perspective of engineering work with a focus on DEI to whatever field they choose to work in.
4. Graduates will be able to integrate evidence-based practices from engineering education into educating the next generation of students.

Program Description

The Engineering Education Ph.D. at UConn will be the only Engineering Education graduate program at a Public R1 in the Northeast. The development of this program follows from the rapid growth in this field at other peer and peer aspirant universities around the country,

including Purdue, Virginia Tech, Ohio State, North Carolina State, University of Michigan, and University of Florida. The program is intended to train a new multi- and transdisciplinary workforce that has the capability to work at the intersection of engineering, education, social science, and public policy. After completing the Ph.D. in Engineering Education, graduates will be well positioned to work in academia, K-12 education, R&D, assessment and evaluation, and public policy. A bachelor's degree in engineering or other fields related to engineering education is required. Students must also meet the admission requirements of the Graduate Programs set by the University of Connecticut. The primary target audience for the program are engineering or STEM-aligned undergraduates who are seeking a graduate program grounded in engineering and working at the intersection of engineering and education, social science, neurodiversity, or public policy.

Proposed Graduate Catalogue Copy

The Ph.D. in Engineering Education, through its coursework and research programs, provides students with the skills and competencies needed to conduct high quality educational research in the context of engineering programs at both the pre- and post-secondary level. Graduates will be well-qualified to work in academic, industrial, or governmental settings, and excel at identifying, creating, and expanding connections between engineering and the social sciences. Engineering Education is cross-disciplinary, and students are encouraged to take courses in engineering, curriculum and instruction, educational psychology, and other relevant disciplines with approval from their major advisor. Students may apply up to 6 credits of 3000- or 4000-level courses approved in advance by their Major Advisor toward the Ph.D. as long as those courses were not included on their undergraduate plan of study.

Program Learning Outcomes:

- Graduates will be able to conduct high-quality educational research and/or assessment and evaluation in a variety of settings, including higher education, K-12 settings, non-profits, and private industry.
- Graduates will be able to synthesize information from multiple fields to contribute to knowledge generation at the intersection of engineering and the social sciences.
- Graduates will be supported to bring socio-cultural and critical perspectives of engineering with a focus on justice, diversity, equity, and inclusivity into work in higher education, K-12 settings, non-profits, or private industry.
- Graduates will be able to integrate evidence-based practices from engineering education research into formal and informal educational settings. Ph.D. Requirements: Minimum of 39 credits of graduate coursework including:

Required Core Courses (12 Credits):

- ENGR 5610, ENGR 5620, ENGR 5410, ENGR 5420, ENGR 5430, and one Theories in Education course selected from EDCI 5004, EDCI 5008, EDCI 5810, EDCI 5885, EDCI 6094, EPSY 5510, EPSY 5530, or EPSY 6550. Practicum (3 credits)
- CE/ENVE 6920 Seminar (up to 3 Credits)
- ENGR 6901 Required Educational Research Methods Courses (12 Credits):
- EDCI 6000, EPSY 5605, EPSY 5607, and one Research Methods Elective selected from EDCI 6005, EDCI 6860, EDLR 6052, EPSY 5195, EPSY 5602, EPSY 5610, EPSY 5613, EPSY 5621, EPSY

5641, EPSY 5643, EPSY 6469, EPSY 6611, EPSY 6615, EPSY 6619, EPSY 6621, EPSY 6623, EPSY 6636, EPSY 6637, EPSY 6651, EPSY 6655, or EDCI 6601 Engineering Education Concentration (9 Credits): Depending upon their background and career plans, students may choose from an existing concentration, or, with the approval of their Major Advisor, select coursework with a coherent theme that supports their research or career goals. • Engineering Field Specialization: 9 credits in discipline-based courses (e.g. CHEG, ME, ECE) at the 5000-level or higher chosen in consultation with the Major advisor. • Advanced Methods Specialization: 9 additional credits beyond the required educational methods courses focused on advanced educational research methods. Students may select from EDCI 6005, EDCI 6860, EDLR 6052, EPSY 5195, EPSY 5602, EPSY 5610, EPSY 5613, EPSY 5621, EPSY 5641, EPSY 5643, EPSY 6469, EPSY 6611, EPSY 6615, EPSY 6619, EPSY 6621, EPSY 6623, EPSY 6636, EPSY 6637, EPSY 6651, EPSY 6655, or EDCI 6601 or other courses in consultation with their Major Advisor. • Theories in Education/Learning Science Specialization: 9 credits focused on interdisciplinarity, theories of knowledge, or epistemology. Students may select from Theories in Education list, or other courses in consultation with their Major Advisor. (EDCI 5004, EDCI 5008, EDCI 5810, EDCI 5885, EDCI 6094, EPSY 5510, EPSY 5530, EPSY 6550). • Engineering and Human Rights: 9 credits approved by the Major Advisor in consultation with EHRI faculty. • Individualized Concentration: 9 credits as approved by the Major Advisor. The Ph.D. in Engineering Education does not have a foreign language requirement. Ph.D. students must maintain a cumulative GPA of at least 3.0 across all coursework. For students entering with a master's degree, up to 15 credits of previous graduate coursework may be counted toward the Ph.D. All Ph.D. students must also complete at least 15 credits of GRAD 6950. All full-time Ph.D. students must enroll in the one-credit seminar course, ENGR 6901, at least three times during their degree, for a total of 3 credits. Qualifying Exam and Dissertation: Ph.D. students must pass a qualifying examination as administered by faculty affiliated with the Engineering Education graduate program. Ph.D. candidates must prepare and orally defend a dissertation proposal, as well as prepare and publicly defend the Ph.D. dissertation. The dissertation research must generate at least three works that are publishable in a peer-reviewed journal or peer-reviewed conference proceeding appropriate to the field, and at least one of these should be a first-author publication. For multiple author manuscripts, the manuscript must be accompanied by a brief explanation of the student's role in the manuscript. Exceptions can be made for non-first author manuscripts in which the student has contributed heavily with approval by the Major Advisor. At least one of these works must be published or accepted by the time of a student's defense, and the others either in review or in the final stages of preparation for submission. This program is offered by the College of Engineering.

Faculty Involvement

Daniel D. Burkey, Chemical & Biomolecular Engineering

Desen Ozkan, Chemical & Biomolecular Engineering

Fayekah Assanah, Biomedical Engineering

Arash Zaghi, Civil and Environmental Engineering

Alexandra Hain, Civil and Environmental Engineering

Marisa Chrysochoou, Civil and Environmental Engineering
Stephany Santos, Biomedical Engineering and Vergnano Institute for Inclusion

Enrollment and graduate projections

At steady state, we anticipate 5-10 students per year entering and graduating from this program.

Program Evaluation

In general, (1) Retention Rates (2) Graduation rates (3) Time to completion (4) Academic performance will be evaluated as the metrics for the program's success. The success of the program will be evaluated using a plan that consists of a logic model, evaluation questions, and measurable outcomes. A comprehensive evaluation plan consisting mainly of these elements will be followed. The evaluation plan is designed to assess how successful the program is in terms of achieving its main goal, which is to prepare students for varied careers at the intersection of engineering and the social sciences. The overall aim of this evaluation is to determine the success of the program. Logic Model: We propose to use a conceptual model involving various components of the project. Program inputs, training activities, short-term outcomes, and long-term outcomes are proposed as the main components of this program. The connectivity and order of the project elements describe how the project will be administered. For example, elements such as funding, faculty members, research, and courses that are in place will serve as inputs in this logic model. Students' participation in courses, course assessments, research training, lab member interaction, and research writing will be the main activities in this program. A list of short-term and long-term outcomes will serve to measure the program's overall success. Evaluation questions will be based on the listed short-term outcomes, such as interest toward engineering education research related areas. The questionnaire presented in the proposal will be administered to students at three different times – entering stage, mid-stage and exit stage. The data will be analyzed for the short-term and long-term outcome evaluation. The performance difference between entering stage to mid stage, and mid stage to exit stage will determine improvement in short-term outcomes. In addition to the questionnaire, a progress evaluation will also be performed based on research performance, progress in the specified research project, as well as in scientific writing and seminar participation. Student interest towards STEM education areas, enhanced career trajectory, and improved teaching and mentoring skills will be considered measurable outcomes.

Program Administration

The Ph.D. program will be administered by the Dean's Office in the College of Engineering. Faculty wishing to advise students in Engineering Education will be granted core or affiliate faculty status with the Engineering Education program based on their level of involvement. A subset of this affiliate group will be selected as the admissions committee for Engineering Education students, as well as serve as the program-level courses and curriculum group to oversee programmatic changes prior to being sent to the full College Graduate C&C group.

Funding and Financial Resources Needed

Funding for students will come from a direct-admit model for faculty seeking Engineering Education students. Additional graduate student funding will be available through training grants

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and other educationally-focused programmatic grants (for example, the S-STEM program, which included graduate student support to help administer the program). Additionally, existing TA lines in the College of Engineering supporting the first-year engineering program can be used to support Engineering Education Ph.D. students.

Consultation with Other Potentially Affected Units

This program has been discussed extensively with the School of Education, and they have been very supportive and involved in the development of the curriculum as well as providing access to some courses in the School of Education.

Who can apply to this program?

Internal applicants (current UConn students enrolled in another UConn degree or certificate program), External applicants (individuals who are not currently UConn students)

Admission Requirements

Applicants from a broad array of backgrounds will be considered and evaluated holistically. There are no specific requirements other than an earned bachelor's degree in a field relevant to engineering education.

Term(s) to which students will be admitted

Fall, Spring

Program Director Name

Daniel D. Burkey, Chemical & Biomolecular Engineering

ATTACHMENT 15

December 6, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Online Master of Social Work

RECOMMENDATION:

That the Board of Trustees approve the online Master of Social Work in the School of Social Work.

BACKGROUND:

According to employment projection data, there is a demonstrated increased need (5% - 11%) from 2022 to 2032 for child, family, and school social workers, healthcare social workers, and mental health and substance abuse social workers (U.S. Bureau of Labor Statistics, 2023). As evidenced by the in-state shortage of social work professionals and the increasing employment demand over the next ten years in Connecticut, CT Health Horizons was created to respond to address this critical workforce need by working with more than 30 public and private colleges and universities. As one of the grantees of this initiative, the School of Social Work is intentionally focusing on preparing cohorts of students to increase the workforce pipeline for school social workers and bilingual social workers to address the growing mental health needs of children and adolescents of Spanish-speaking families.

The School of Social Work is proposing the development of a fully online Master of Social Work (MSW) degree program, to be launched in fall 2024. The program will be offered in a part- and full-time format with a mix of synchronous and asynchronous classes. This new program is critical to help meet state and national labor force demands for social workers and increase enrollments for the School. The online MSW constitutes a new program modality only. There will be no curricular or degree changes and no new classes. The Council on Social Work Education (CSWE), the accrediting body of social work programs in the United States, has reported that there are 323 accredited MSW programs (as of June 2023), of which 177 offer online programs. Many of those who offer online programs are considered aspirant programs that are ranked in the top 10 U.S. News and World Report.

It is critical that the School of Social Work integrate the part-time and full-time online MSW options into our offerings to remain competitive. Expanding our academic program options will allow more students within the state of Connecticut and other states to access our top-tier MSW education.



Modification of Academic Degree Program

General Information

| | |
|------------------------------|------------------------------|
| Name of degree program: | Master of Social Work |
| Name of School/College | School of Social Work |
| Type of Proposal: | Modification |
| Type of Program: | Master of Social Work |
| Anticipated Term Initiation: | Fall 2024 |
| Old Delivery Mode: | In-person (Hartford) |
| New Delivery Mode: | Online, In-person (Hartford) |
| Program Payment Type: | Tuition-based |
| CIP Code: | 44.0701 |

Justification

The UConn School of Social Work is proposing the development of a fully online MSW degree program. We intend to launch the program in Fall 2024, and the program will be offered in a part- and full-time format with a mix of synchronous and asynchronous classes. We believe this new program is critical to help meet state and national labor force demands for social workers and halt an ongoing decline in application numbers over the last several years. The online MSW constitutes a new program modality. There will be no curricular or degree changes and no new classes. The overwhelming majority of our MSW classes have already been offered in an online synchronous format and we currently have developed ~36% of the curriculum in an asynchronous format. Additional asynchronous classes will be developed before the fall 2024 launch and during the initial year of the program in coordination with CETL. As described below, nationally a majority of MSW programs now offer fully online degree options including our regional competitors and national aspirant peers. We are also seeking a comprehensive tuition model, set to mirror our current in-state tuition level, to present a competitive, appealing option to part-time and full-time students both in and out-of-state.

There remains a high demand for social workers, both nationally and in Connecticut. According to national employment projection data, there is a demonstrated increased need (5% - 11%) from 2022 to 2032 for child, family, and school social workers, healthcare social workers, and mental health and substance abuse social workers (U.S. Bureau of Labor Statistics, 2023). As evidenced by the in-state shortage of social work professionals and the increasing employment demand over the next ten years in Connecticut, CT Health Horizons was created to respond to address this critical workforce need by working with more than 30 public and private colleges and universities. As one of the grantees of this initiative, we are intentionally focusing on preparing cohorts of students to increase the workforce pipeline for school social workers and bilingual social workers to address the growing mental health needs of children and adolescents of Spanish-speaking families.



Enrollment Trends

Despite ongoing workforce needs, there has been a recent decline in our MSW student application numbers which has impacted enrollment. There was a decline of 12 percent in students from 2021 to 2022 and a 10 percent decline between 2022 and 2023.

| Year | MSW Applications | Accepted | Total Year 1 Admitted | Yield | Total MSW Enrollments |
|------|------------------|----------|-----------------------|-------|-----------------------|
| 2019 | 322 | 131 | 41% | 301 | |
| 2020 | 318 | 208 | 65% | 359 | |
| 2021 | 275 | 157 | 57% | 370 | |
| 2022 | 252 | 143 | 57% | 327 | |
| 2023 | 243 | 143 | 59% | 293 | |

While this decrease is not unexpected given national enrollment trends, it is nonetheless deeply concerning. As described in greater detail below, the online MSW is increasingly the degree modality of choice for MSW students nationally. Anecdotally, social work deans generally report a rise in enrollment with the addition of an online degree option. Offering an online part-time and full-time program option will align with market trends. It will also provide greater flexibility for students managing numerous responsibilities while trying to obtain an MSW degree.

State of Online MSW National Landscape

According to the Council on Social Work Education (CSWE), the accrediting body of social work programs in the United States, there are 323 accredited MSW programs (as of June 2023). Of 323 schools, 177 offer online programs. It is now the norm in social work education to offer an online degree, and many of these programs are national in scope. Notably, several highly ranked aspirant peers (in the top 10 US News and World Report for MSW programs), such as the University of Michigan and the University of North Carolina, Chapel Hill, host fully online MSW programs.

Regional MSW Landscape: Connecticut and Neighboring States

Five other universities are offering MSW programs in Connecticut with a potential sixth program offering in the near future. Two of these schools, Sacred Heart University and Southern Connecticut State University, have been offering online programs since 2018 and 2023, respectively. In addition, nine regional competitors located in New York and five institutions located in Massachusetts offer online programs. Eight of these universities and colleges are ranked as the best graduate schools in the top 21 for social work by the U.S. News and World Report (2022).

Information about applicants admitted to the School of Social Work, but who chose another MSW program, is also illustrative. A clearinghouse data report (October 2023, See Appendix A) reflecting the past five years of applicants, demonstrates the top three universities our admitted students chose to attend were Southern Connecticut State University (SCSU), Fordham



University, and University of Saint Joseph (USJ). As noted, SCSU has an online option since 2023 (and previously offered hybrid options) and Fordham University has one of the largest online MSW programs in the country.

Based on this landscape, it is critical that the School of Social Work integrate the part-time and full-time online MSW options into our offerings to remain competitive. Expanding our academic program options will allow more students within the state of Connecticut and other states to access our top-tier MSW education.

Format and Curriculum

As noted, we are requesting the addition of an online modality for our current MSW degree and are not proposing any changes to our degree, curriculum, or adding any new courses. The online option will be offered in a part- and full-time format with a mix of synchronous and asynchronous classes. A mix of synchronous and asynchronous classes will build off our current offerings for a timely launch in fall 2024, allow us to grow our asynchronous offerings if they prove popular with students and faculty, and align our curriculum with emerging student demand and preference. This mixed modality will allow students greater flexibility to meet their field placement hours, work, and other obligations with less of a demand to include extra time for commuting.

To start, we will only offer our most popular MSW concentration in Individuals, Groups, and Families Practice (IGFP) in the online program. Our present enrollment is comprised of 85% of IGFP students. This is typical of social work programs nationally. As the online program develops, we may offer other concentrations as well.

Please note students in the online program will not be eligible to take in-person classes. The program is fully online with the exception of the field education/clinical practicum component (described later in this document). MSW students in our on-campus program will continue to have access to online classes (as they do now) when space is available.

Timely Course Development/Build Out

In fall 2024, we will launch the program as a mix of synchronous and asynchronous classes. We currently offer ~40% (6 out of 14 classes) of the IGFP curriculum in an asynchronous format. In AY 23-24 and AY 24-25 we will build out the remaining IGFP classes to enhance our asynchronous capacity.

We have an example of our two-year, full-time online curriculum pacing and plan for asynchronous course development. This includes a detailed plan for timely course development to ensure that all courses are developed and available for full-time students who will move through the curriculum most rapidly. As noted, a part-time option will also be available.



Learning Goals/Assessment

We do not anticipate any changes to our learning goals or assessment processes in the additional asynchronous classes. Our assessment processes are currently standard across our asynchronous and in-person courses and are guided by our accreditation body, the Council on Social Work Education.

Staffing

An online launch team has been assembled to manage the start and early implementation of the online program. Under the direction of the Dean, the Director of Strategic Programming is leading the process in conjunction with the MSW Director and the Associate Dean of Academic Affairs. Once an online program is launched, an APIR faculty member will be hired to serve as the Director of the program and an advisor to the online students. In addition (and as discussed below) the field education staff will assist with student field placements as appropriate. Staff in our Office of Student Affairs and Services (OSAS) will assist with student enrollment and early advising as they matriculate. Our communication and OSAS team, in conjunction with CETL and university marketing, will assist with recruitment and marketing.

Marketing and Admissions

Our admissions standards and review processes will remain the same as this proposal only represents a change in program modality. We will work with CETL and our internal recruitment and marketing team to market the program. We are in the process of a small reorganization in OSAS to better resource recruitment/marketing efforts and now have a dedicated lead staff person in this area. We have also invested in a targeted social media campaign for this recruitment season.

Tuition

We will be seeking a comprehensive tuition model, based on our current resident tuition and fees, moving forward for the MSW. This will allow us to be competitive with other online programs and to attract out-of-state students (now numbering only 7 in the MSW program). We also seek to develop an affordable and competitively priced part-time option, as online MSW program enrollments are typically majority part-time. Below please find the UConn tuition and competitor tuition. Please note that private university tuition is listed at the sticker price and a discount is typically offered.

MSW Programs Per Year Per credit

UConn School of Social Work \$19,958 \$1,047
Southern CT State OL \$14,268 \$461



Sacred Heart OL \$26, 122 \$840
Fordham University OL \$32,395 \$1,045

Field Education/Clinical Placements

Of the total 60 credits required for graduation for the MSW degree, 18 are completed through an in-person field education practicum experience. This will remain true in the online MSW program and students will be placed in their geographical area. The MSW program will use a similar clinical placement and field education model as it employs in its current in-person program and develop additional out-of-state placement sites as needed. It is typical for online programs to develop out-of-state placements virtually. UConn's current SARA (State Authorization Reciprocity Agreement) authorizes out-of-state clinical placements. We anticipate only a small number of out-of-state students during the program's early initial launch. Importantly, social work licensure requirements currently vary by state, and we will place appropriate disclosure language on our website and admissions letters.

Accreditation Body

Our accreditation body, the Council on Social Work Education, requires authorization from the institution's president/chancellor before completing their approval process. CSWE's approval consists of a substantive change proposal to be reviewed and approved for the addition of an online modality/program. To be clear, this is a modification process and does not constitute an accreditation process. The anticipated timeline for approval by CSWE is three to six months. We will submit our materials to CSWE as soon as we have Presidential authorization as this is required in advance of their review. As there are few substantive modifications and none impact our compliance with accreditation standards, we do not anticipate any concerns.

Implementation Timeline

Our aim is to launch the online program in Fall 2024. Given our declining application and enrollment numbers, we believe it is critical for the School of Social Work to move quickly with the implementation of an online MSW program. This is possible given the school's experience with online coursework. We plan to begin recruitment and course development in Spring 2024 for a fall launch once we have received approvals from the university and our accreditation body.

Proposed Graduate Catalogue Copy

The School of Social Work offers two graduate degrees: A Master of Social Work (MSW) and a Doctor of Philosophy in Social Work (PhD).

MSW Degree: Our MSW program prepares students who are passionate about helping others to promote social, racial, and economic justice, and to advocate for improved social policies and services. MSW students gain foundational and specialized knowledge from a wide range of

innovative courses. A minimum of 60 credits are required for the degree, 42 of which are obtained in courses and 18 of which are in the form of field education. Courses and fieldwork are taken concurrently through an integrated sequence of foundation and advanced content. Students must complete their MSW degree with a specialized advanced concentration in one of the following areas, Community Organizing (CORG), Individuals, Groups, and Families (IGFP), or Policy Practice (POPR).

Courses are offered in a variety of modalities, from online to in-person, with flexibility in scheduling and time completion for all three concentrations. Our fully online program, classes available in synchronous and asynchronous formats, is available to students in the Individual, Groups, and Families concentration. Students accepted into the fully online program are required to take all classes online and have access to reliable internet to access all course material online to complete the program requirements. Field education requirements will remain in person for online students. Agencies will be identified by the Field Education Department in the student's geographic area.

Master of Social Work Degree Requirements

Foundation courses: BASC 5300, 5333, 5350, 5362, 5390, 5391; FED 5301, 5302, 5351, 5352.

Community Organizing Concentration Requirements: CORG 5300, 5301, 5353, 5354, 5370; POPR 5310, 5312; FED 5310, 5311; RSCH 5341 or 5342; six credits of social work electives.*

Individuals, Groups, and Families Concentration Requirements: IGFP 5301, 5302, 5303, 5353, 5354; IGFP 5342, 5343, 5345, or 5346; FED 5310, 5311; RSCH 5341 or 5342; nine credits of social work electives.*

Policy Practice Concentration Requirements: POPR 5300, 5301, 5302, 5310; 5212; FED 5310, 5311; RSCH 5341 or 5342; six credits of social work electives.*

MSW students can consider enrolling in a graduate elective course outside of the School of Social Work with the appropriate permissions.*

MSW Advanced Standing. Advanced Standing is a study option for students who want to accelerate their education and career as a social worker. To qualify, the student must have received a Bachelor of Social Work (BSW) degree within the last six years from a program accredited by the Council on Social Work Education. Advanced standing students complete 35 credits as full-time (summer session and fall and spring semester) or part-time (summer session, fall, spring, and fall semester). The program begins in mid-July when a total of five credits are completed. After completion of the summer courses, students complete their field education and field seminar as part of the advanced year curriculum with the concurrent coursework. Based on how a student chooses to complete their program, they will take the research and elective courses in the appropriate semesters. This program is not an option for the fully online program.

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Community Organizing Concentration Advanced Standing Requirements. BASC 5301; CORG 5300, 5340. CORG 5301, 5353, 5354, 5370; POPR 5310, 5312; FED 5310, 5311; RSCH 5341 or 5342; six credits of electives.*

Individuals, Groups, and Families Concentration Advanced Standing Requirements. BASC 5301; IGFP 5301, 5340; IGFP 5302, 5303, 5353, 5354; one of IGFP 5342, 5343, 5345, or 5346; FED 5310, 5311; RSCH 5341 or 5342; nine credits of electives.*

Policy Practice Concentration Advanced Standing Requirements. BASC 5301; POPR 5300, 5340. POPR 5301, 5302, 5310, 5312, 5353, 5354; FED 5310, 5311; RSCH 5341 or 5342; six credits of electives.*

MSW students can consider enrolling in a graduate elective course outside of the School of Social Work with the appropriate permissions.*

Advanced Standing Courses Waived: BASC 5300, 5333, 5350, 5362, 5390, 5391; FED 5301, 5302, 5351, 5352.

Bachelor of Social Work Exemption. Students who received a Bachelor of Social Work degree (BSW) accredited by the Council on Social Work Education within six years from the date of application will be automatically exempt from up to six courses if a grade of “B” or better was earned and course content is equivalent. MSW students who do not apply or are not accepted to advanced standing will be considered for BSW exemptions.

Joint Program with Yale Divinity School

In conjunction with the Yale University Divinity School in New Haven, Connecticut, the School of Social Work offers a program in which students may earn the MSW degree from UConn and the M.Div. degree from Yale in four years instead of the five years required when these programs are taken separately. Yale University will accept up to nine elective credits earned in UConn’s MSW program. Students in the joint program will have their MSW elective credits waived (six credits for CO and POPR students and nine credits for IGFP students), thereby reducing the required MSW credits from 60 to 54 for CORG and POPR students and from 60 to 51 for IGFP students. Students should consult with the School of Social Work Joint Degree liaison to discuss the approval of their Yale elective coursework. Students must be enrolled concurrently in both schools and earn both degrees simultaneously to benefit from this arrangement.

Doctor of Philosophy Requirements

The course of study for the Doctor of Philosophy (Ph.D.) in Social Work consists of 56 graduate credits. Eleven required courses totaling 35 credits provide the students with competency in advanced research methods and statistics, and social science theories. The remaining courses

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include two elective courses totaling six credits in related disciplines and 15 credits of dissertation research.

Doctor of Philosophy Required Courses: SSW 6410, 6411, 6412, 6413, 6414, 6415, 6420, 6425, 6435, 6445, 6460.

The programs are offered by the School of Social Work

ATTACHMENT 16

December 6, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Graduate Certificate in Diversity Science

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in Diversity Science in the College of Liberal Arts and Sciences.

BACKGROUND:

The Graduate Certificate in Diversity Science will allow the Department of Psychological Sciences to highlight expertise in the area of diversity science. As we attempt to build both a diverse and a diversity-oriented field, it is imperative that students feel incentivized to develop their knowledge in the area of diversity science. After completing this valuable training, students will then be able to leverage that expertise in their future professional endeavors with an explicit acknowledgement of their credentials through completion of this certificate. Students who complete this certificate will develop an understanding of and expertise in diversity science as it relates to the field of psychology. The certificate will prepare students with literacy and the needed skills to discuss and consider diversity in their own research and throughout their academic and professional journey.

The certificate program would only be open to internal applicants, meaning students who are already enrolled in another UConn degree program. It anticipates graduating 5-10 students per year.

Request for New Academic Certificate Program

General Information

| | |
|--------------------------------|---|
| Name of degree program: | Graduate Certificate in Diversity Science |
| Name of sponsoring Department: | Department of Psychological Sciences |
| Name of School/College | College of Liberal Arts & Sciences |
| Type of Proposal: | New |
| Type of Program: | Graduate Certificate |
| Anticipated Term Initiation: | Fall 2024 |
| Location: | Storrs |
| Program Payment Type: | Tuition-based |
| CIP Code: | 42.2799 |

Justification for the New Program

This certificate will allow the department of psychological sciences to highlight our expertise in the area of diversity science. As we attempt to build both a diverse and a diversity-oriented field, it is imperative that our students feel incentivized to develop their knowledge in the area of diversity science. After completing this valuable training, students will then be able to leverage that expertise in their future professional endeavors with an explicit acknowledgement of their credentials through completion of this certificate.

Are there similar programs in CT or elsewhere?

Yes, there are diversity science certificates in other graduate psychology programs. By offering this certificate, the University of Connecticut can both catch up with other institutions' offerings (e.g., give students a parallel opportunity to have their expertise in the area of diversity science acknowledged upon graduation) as well as highlight the unique strengths of the University of Connecticut in this research area, which will help our certificate and graduate program stand out among others.

What are the desired learning outcomes of the program?

Students who complete this certificate will develop an understanding of and expertise in diversity science as it relates to the field of psychology. The certificate will prepare students with literacy and the needed skills to discuss and consider diversity in their own research and throughout their academic and professional journey.

Program Description

The certificate program will require a total of 12 credits. Students must take one required course (Diversity Science in Psychology; 3 credits). Additionally, students must complete another 9 credits by either taking 3 eligible elective courses or by completing 2 eligible elective courses and completing 1 relevant research project. Students will have discretion over whether they select the 3 elective courses or the 2 elective courses + 1 relevant research project pathway. This certificate is designed for current graduate students who are already enrolled at the University of

UCONN

Connecticut and classes may count both towards completion of this certificate and completion of their other graduate program requirements.

Proposed Graduate Catalogue Copy

The Diversity Science Certificate is offered by the Department of Psychological Sciences and aims to facilitate student learning and engagement with diversity science in the field of psychology and beyond. After completing this certificate, students will be prepared to engage with an increasingly diverse society, apply a critical equity-minded lens to their own research, and to discuss and consider social dynamics related to diversity as they progress in academia and beyond. Plans of study for the certificate, including substituting electives and whether or not to complete a research project for the certificate, must be approved in advance by the certificate director. Students must complete 12 credits. Required Course (3 Credits): PSYC 5170 Elective Requirements (9 Credits): PSYC 6782 • PSYC 6750 • PSYC 6771 • PSYC 5441 • PSYC 5445/COGS 5140 • Alternatively, in place of one elective, students may take PSYC 5800 and complete an independent research project related to diversity science.

Faculty Involvement

Current University of Connecticut faculty in the department of psychological sciences.

Enrollment and graduate projections

The program anticipates graduating 5-10 students per year.

Program Evaluation

The program will be evaluated by surveying students about their experience. All students in the psychology department complete exit interviews upon graduation-- Those who complete this certificate will be asked about the program's effectiveness, its benefits from their point of view, and any suggestions they might have during those existing interview sessions.

Program Administration

This program involves existing faculty teaching courses that are already offered through the department of psychological sciences. Additional administration will take place primarily through an existing faculty member serving as a certificate director (currently Alexandra Garr-Schultz) to review students' plans of studies and written submissions for program completion after all requirements have been fulfilled.

Funding and Financial Resources Needed

No additional funding or financial resources are requested.

Who can apply to this program?

Internal applicants (current UConn students enrolled in another UConn degree or certificate program)

Admission Requirements

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Students must be currently enrolled in a graduate program within the Department of Psychological Sciences and in good standing. Graduate students in other departments who are in good academic standing must contact the certificate director to apply for admission into the certificate, should they wish to complete the requirements.

Term(s) to which students will be admitted

Fall, Spring

Program Director Name

Alexandra Garr-Schultz, Assistant Professor, Psychological Sciences

ATTACHMENT 17

December 6, 2023

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric 
President

Dr. Anne D'Alleva 
Provost and Executive Vice President for Academic Affairs

Dr. Pamir Alpay 
Vice President for Research, Innovation and Entrepreneurship

RE: University of Connecticut Strategic Plan: 2024 – 2034

RECOMMENDATION:

That the Board of Trustees adopt the University of Connecticut Strategic Plan: 2024 – 2034.

BACKGROUND:

The 2024 – 2034 strategic plan positions UConn to build on our recent history of excellence in academics, research, and outreach to continuously improve in service to our students and our communities.

In preparing this plan, we engaged more than 10,000 people: students, faculty, staff, alumni, industry collaborators, friends of the University, and many others. We collected feedback through surveys, forums, listening sessions, and a self-study led by a steering committee, working groups, and an implementation team. We listened carefully to refine our vision, values, goals, and priority areas of focus, and we will continue to engage our community to ensure successful plan implementation.

At its core, this plan centers students in our actions as an institution, signaling that when a student chooses to come to UConn, they will have countless opportunities to connect, learn, and develop.

The strategic plan also promotes investment in our research enterprise to achieve the next level of excellence as an RI institution, leveraging the transformative work our faculty perform and produce across disciplines.

In addition to capturing our goals and aspirations, this plan prioritizes fiscal responsibility and stewardship of resources, including increasing efficiencies and improving opportunities for internal and external collaboration.

Students First,
UConn Always.
Huskies Forever.

UNIVERSITY OF CONNECTICUT'S 2024-2034 STRATEGIC PLAN

UConn is a great university.

This strategic plan positions UConn to build on our history of excellence in academics, research, and outreach to continuously improve in service to our students and our communities. Recognizing that our people are our greatest strength, we leveraged the expertise and commitment of our extensive community to learn what we can do better and what our priorities should be.

In preparing this plan, we engaged more than 10,000 people: students, faculty, staff, alumni, industry collaborators, friends of the University, and many others. We collected feedback through surveys, forums, listening sessions and self-study led by our steering committee, working groups, and implementation team. We listened carefully to refine our vision, values, goals, and priority areas of focus. We will continue to engage our community to ensure successful plan implementation.

At its core, this plan centers students in our actions as an institution, signaling that when a student chooses to come to UConn, they will have countless opportunities to connect, learn, and develop. In executing this plan, we will strengthen holistic student success for our students in many ways, including providing increased connections with faculty and staff who can mentor and support them, positioning them for a successful path toward graduation and beyond. We will expand student opportunities to explore research with our world-class faculty, broaden engagement in experiential learning that supports career success, and increase support for student participation in co-curricular activities, including any of our 700 student clubs. Once they graduate, this plan seeks more ways to help students stay connected as Huskies, engaging with them during their pursuit of life and career goals.

This strategic plan promotes investment in our research enterprise to achieve the next level of excellence as an R1 institution. Our faculty perform transformative research and creative work across disciplines, including the health and biological sciences, physical sciences, engineering and emerging technologies, social sciences, humanities, fine arts, and many others.

Our faculty work together to confront our world's grand challenges, such as climate change, which is highlighted in this plan. Building on our strengths, we will recruit top-tier faculty to lead transformative research efforts and recruit promising graduate students both nationally and internationally. Infrastructure will be built and maintained to support their capacity to conduct, translate, and disseminate groundbreaking research, promoting an environment of innovation and entrepreneurship in support of economic development, including reinforcing the State's leadership in emerging technologies and health sciences.

In addition to capturing our goals and aspirations, this plan prioritizes fiscal responsibility and stewardship of resources, including increasing efficiencies and improving opportunities for collaboration inside and outside of the university.

To serve our students, foster innovation, and uphold academic excellence, we prioritize supporting our faculty and staff, celebrating their achievements, and enabling their growth.

We are New England's leading public land-, sea-, and space-grant research institution powered by robust academics, a world-class athletics program, and a vibrant, service-oriented community. With campuses in Avery Point, Farmington, Hartford, Stamford, Storrs, Waterbury and West Hartford, our footprint extends across Connecticut. Our students come from every town in the State, every state in the nation, and over 200 different countries, all contributing to our vibrant and diverse community.

This 10-year plan is a great source of pride for me, from the way our students, faculty, staff, alumni, and partners rallied to participate in its creation, to what you see on the following pages. Thank you to the many who joined in the process. UConn is a great university and working together, we will reach new heights.

Students First, UConn Always. Huskies Forever.

PRESIDENT RADENKA MARIC

**#1 Among
National Public
Research
Universities for
Time to Degree**

**14 Schools
& Colleges**

**Top 10 Most
Sustainable
Universities**

**\$6.9B Impact
on Statewide
Economic
Output**

**23 National
Championships**

**80+ Research
Centers**

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IMPLEMENTATION MEMBERS**

The Process

Over the course of our nine-month planning process, more than 10,000 individuals participated, including about 25% of UConn's faculty, staff, and students. A Strategic Planning Steering Committee, composed of 25 faculty, staff, students, and Foundation members, was responsible for ensuring an inclusive, transparent process. This group also took the lead in the development of the vision, values, and three goals of this plan.

Three Working Groups and an Implementation team, composed of 38 faculty and staff members, helped shape the six initiatives and priority actions, considering input that came in many forms, from forums to surveys and individual feedback.

The Steering Committee co-chairs and the Executive Director of Strategic Planning, working closely with the President, shepherded the process, consulting with multiple stakeholders along the way.

2023 Timeline

MARCH

STEERING
COMMITTEE
COMMENCES

SEPTEMBER

SURVEYS SENT TO
STAKEHOLDERS
PUBLIC FORUMS
HELD

EARLY-NOVEMBER

STEERING COMMITTEE &
PRESIDENT REVIEW
INITIATIVES
PUBLIC FORUMS HELD
VIRTUALLY

MID-NOVEMBER

WORKING GROUPS
INCORPORATE FEEDBACK
UPDATED INITIATIVES
SHARED WITH STEERING
COMMITTEE &
PRESIDENT

AUGUST

WORKING
GROUPS &
IMPLEMENTATION
TEAM
COMMENCE

OCTOBER

WORKING GROUPS
DRAFT INITIATIVES
BASED ON FEEDBACK

**BEGIN CRAFTING
UNIVERSITY-WIDE
ANNUAL
IMPLEMENTATION
PROCESS**

Mission

As part of this strategic planning process, UConn reflected on its current mission and determined it still stands strong.

The University of Connecticut is dedicated to excellence demonstrated through national and international recognition. As Connecticut's public research university, through freedom of academic inquiry and expression, we create and disseminate knowledge by means of scholarly and creative achievements, graduate and professional education, and outreach. Through our focus on teaching and learning, the University helps every student grow intellectually and become a contributing member of the state, national, and world communities. Through research, teaching, service, and outreach, we embrace diversity and cultivate leadership, integrity, and engaged citizenship in our students, faculty, staff, and alumni. As our state's flagship public university, and as a land and sea grant institution, we promote the health and well-being of Connecticut's citizens through enhancing the social, economic, cultural, and natural environments of the state and beyond.

**NATIONALLY PROMINENT,
GLOBALLY IMPACTFUL,
LAND-GRANT PUBLIC
UNIVERSITY**

Strategic planning sharpened our collective sense of the identity UConn aspires for and the values we want to embody in the coming years. We seek impact on multiple levels, positioning ourselves to be among the Northeast's foremost public universities.

We strive to serve as a model for 21st century land-grant universities, navigating the complexities inherent in our founding while advancing equity and public impact through teaching, research, and service for the people of Connecticut and its five Tribal Nations.

UConn Land Acknowledgement Statement

The land on which we gather is the territory of the, Eastern Pequot, Golden Hill Paugussett, Lenape, Mashantucket Pequot, Mohegan, Nipmuc and Schaghticoke peoples, who have stewarded this land throughout the generations. We thank them for their strength and resilience in protecting this land, and aspire to uphold our responsibilities according to their example.

Values

Our values ground us and remind us of what we center in all that we do, so that we hold fast to what's most important.

ACADEMIC DISTINCTION

We strive for the highest standards in education, research and scholarship, and encourage new discoveries that inspire humanity.

HOLISTIC LEARNING

We provide our students with a rigorous education alongside opportunities to build competencies in emotional intelligence, creative thinking, innovation, entrepreneurship, financial literacy and other life skills.

INCLUSIVE COMMUNITY

We embrace the diversity of all people for their unique lived experiences and create a culture of belonging, integrity, kindness, justice, and wellness.

LOCAL VITALITY

We engage Connecticut communities to foster a more equitable, healthy, economically vibrant, entrepreneurial and sustainable future.

GLOBAL IMPACT

We generate solutions to pressing global challenges, including climate change, human rights, and health disparities.

Three Strategic Goals

PROMOTING HOLISTIC STUDENT SUCCESS

This plan re-envisioned how we support all of our students - undergraduate and graduate - to achieve their goals. It centers the whole needs of students across all that we do, from within our teaching and learning, to across our academic supports and our co-curricular and enrichment opportunities. Our intent is to ensure a fulfilling higher education experience toward the goal of graduation while also positioning students for academic, career, and life success once they leave us.

EXPANDING RESEARCH IMPACT

This plan asserts UConn's research preeminence among U.S. public universities in advancing discovery, cutting-edge solutions, and innovation that transforms knowledge, lives, and communities. It outlines key investment areas that build the capacity of our research enterprise, including the recruitment and retention of world-class researchers and scholars at the vanguard of their fields.

POWERING A THRIVING CONNECTICUT

As Connecticut's public flagship university, this plan will deepen UConn's partnerships in service of our state and its communities. It recognizes the breadth of our impact via education, research, and engagement and outreach while also naming targeted areas of future focus that position Connecticut as a leader in our nation and world in health and wellness, economic development, and environmental sustainability.

Six Cross-Cutting Initiatives

**Student Success
Journey**

**Excellence in
Research,
Innovation and
Engagement**

**Wellness
of People
and Planet**

**Seven World-
Class Campuses,
One Flagship
University**

**Husky Pride
& Resilience**

**A Stronger,
More Inclusive
University**

Student Success Journey

Students entrust us to help them achieve greater success in their lives. It is our goal to provide all students with an excellent education, empower them to achieve personal and professional fulfillment, and support their ability to positively contribute to their communities.

This initiative identifies strategies to facilitate an enriching student learning experience and timely and equitable academic progression for all students - undergraduate and graduate - from when they first arrive until when they graduate. It recognizes our critical role in preparing all students to become leaders, innovators, resilient professionals, and global citizens, providing them with teaching, learning, and enrichment experiences that distinguish them among higher education graduates.

PRIORITY ACTIONS INCLUDE:

- Ensure first-year and continued student engagement in high impact co-curricular and enrichment opportunities across campuses.
- Strengthen students' ability to financially navigate undergraduate and graduate school at UConn and develop financial literacy skills.
- Provide culturally competent, sustained advising, helping students to successfully navigate their academic and career pathways, including between undergraduate and graduate programs.
- Strengthen life and career readiness competencies in academic and co-curricular activities.
- Strengthen learning through investing in excellent teaching, experiential learning, academic support systems, and high-quality mentorship to enhance student performance through their programs of study.

Excellence in Research, Innovation and Engagement

UConn's greatness is interconnected with its contributions beyond our campuses. Our research and engagement activities fuel knowledge and discovery, transform entrepreneurship and industry, accelerate economic growth and innovation across sectors, and change lives.

Through this initiative we will make strategic investments that define a next generation of research and engagement across all disciplines - from the arts and humanities to STEM programs - for the benefit of humanity. In partnership with industry and the state, we will explore ways to strengthen alignment with Connecticut's economic development and workforce needs, including cultivating an active entrepreneurial environment.

Positioning UConn as a world-class research institution that catalyzes innovation and creativity in our state, nation and world through research training, discovery, and translation is a core area of focus. This includes making strategic investments in the talent and infrastructure needed to be recognized as among the nation's top public universities for research.

PRIORITY ACTIONS INCLUDE:

- Prioritize hiring and retention of top-talent faculty conducting cutting edge research and scholarship in areas of existing or emerging strength at the university.
- Invest in research infrastructure and systems development to enable greater collaboration, student engagement, data-informed resource allocation and accountability.
- Translate and disseminate UConn's impactful research that improves the human experience and contributes to philanthropy, innovation, and entrepreneurship.
- Expand support for entrepreneurial activities (startup formation, IP creation) across all disciplines (arts, humanities, STEM, etc.).
- Ensure academic offerings, research and experiential learning at UConn that fuels economic development and educates the future workforce in CT, including reinforcing the State's leadership in emerging technologies and health sciences.

Wellness of People and Planet

At UConn, we recognize that the well-being of people and the health of our planet are inseparable. Our commitment to a brighter future means fostering the physical, mental, and environmental wellness of our students, faculty, staff, and the world at large.

This initiative amplifies our actions on both health and sustainability fronts. Our work begins here at UConn, elevating our efforts to address the health and wellbeing of the campus community alongside decreasing our carbon footprint. Our focus then extends from local communities to the globe, innovating collaborative approaches that improve health equity and wellness, and support the transition to clean energy.

PRIORITY ACTIONS INCLUDE:

- Improve mental health supports for students, faculty and staff, promoting caring and healthy campus environments.
- Engage with local communities in research and policy development to address health disparities, food insecurity, and wellness.
- Address UConn's carbon footprint through student-engaged climate action plan implementation that articulates academic offerings supporting sustainability.
- Strengthen our leadership in sustainability through partnerships with established and startup companies, including expanding our impact through public-private partnerships such as our Future Climate Venture Studio.
- Serve as a key partner in state, national and international efforts to meet sustainability standards.

7 World-Class Campuses, 1 Flagship University

During our strategic planning process, many students, faculty, and staff reiterated that the presence of UConn campuses throughout the state is a strength. As Connecticut's flagship university, they expressed the desire for UConn to operate more holistically and efficiently as a university while increasing support for our multiple and unique campuses.

This initiative focuses on balancing campus-specific and university-wide needs to enable stand out programs across campuses, while ensuring integrated university systems and processes. The goal: no matter the campus, faculty, staff, and students share in a consistent UConn experience.

PRIORITY ACTIONS INCLUDE:

- Offer signature regional academic and research programs that are destinations within UConn while also facilitating synergistic academic, research, and outreach programs between campuses.
- Examine the relevance of interdisciplinary and online education, artificial intelligence, and entrepreneurship across disciplines so that our offerings remain competitive nationally and internationally.
- Deliver equitable undergraduate and graduate student support across campuses including mental health services, student advising, and career services and assist in facilitating housing, transportation, and dining options.
- Increase operational efficiencies, reduce redundant systems and processes, and create borderless information technology systems that enable campus collaboration and advance analytics and data integration to better serve students.
- Develop efficient and shared facilities that enable 21st century teaching and research.

Husky Pride and Resilience

UConn pride is everywhere. We consistently rank among the top universities and are home to renowned academic programs and faculty and staff. Our over 280,000 alumni stay connected, giving back in multiple ways, from donations to mentoring our students. Our excellent athletics program has amassed 23 national championships since 1981, giving us many opportunities to rally around the university and reaffirm that being a Husky is for life.

This initiative builds on our excellence in key areas that leverage our school spirit toward increased resilience in support of our institutional priorities.

PRIORITY ACTIONS INCLUDE:

- Celebrate faculty, staff, and student contributions to teaching, research and service.
- Amplify the impact our nationally recognized athletics program has on our school pride and visibility.
- Leverage the comprehensive campaign to foster alumni engagement and philanthropic support for institutional priorities.
- Build our alumni community and continue to engage and support them as they move forward in their lives and careers.
- Identify and pursue new revenue opportunities, including industry partnerships and joint ventures that expand technology commercialization and startup creation.

A Stronger, More Inclusive University

Our faculty, staff, and students consistently reaffirmed our commitment to DEIJ during this strategic planning process. We believe UConn can set the standard across higher education for how to embrace these principles and infuse them across everything we do. Especially in these post-pandemic years, we can model how to cultivate a healthy, inclusive environment while supporting individuals to achieve their aspirations.

This initiative balances efforts to strengthen community and a sense of belonging at UConn, alongside targeted efforts to increase access to higher education for diverse learners of all ages and enable equitable career progression and growth for all UConn faculty and staff.

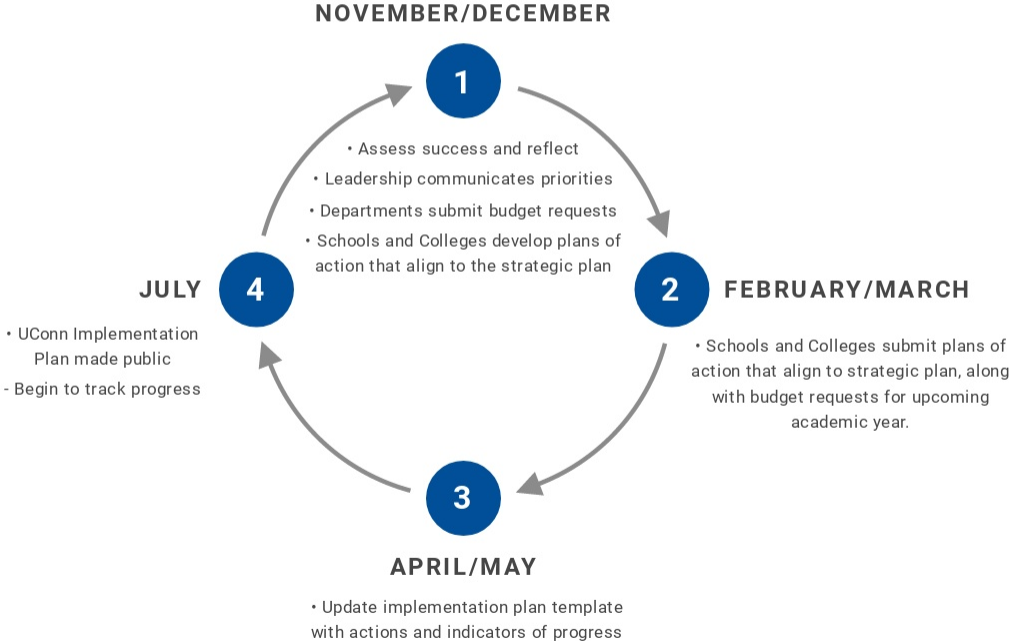
PRIORITY ACTIONS INCLUDE:

- Expand opportunities for early college experiences and lifelong learning to empower a diverse range of learners.
- Strengthen pipeline programs to provide equitable access to national caliber education for all of Connecticut's students.
- Cultivate an environment that promotes belonging and inclusion across cultures, identities, and abilities, and empowers each individual to feel connected to the Husky community.
- Recruit diverse faculty and staff and strengthen strategies that increase their retention.
- Support career progression and professional growth for staff, faculty, teaching and research alike, across all campuses.

Implementation

Each year units across UConn will participate in a strategic plan implementation process whereby they define their contributions to moving this plan forward. This process will build on existing annual planning processes at UConn and be aligned with resource allocation decision-making.

While the annual action planning process may be adapted over time to optimize engagement and effectiveness, the envisioned process is outlined in the following diagram.



Key Performance Indicators (KPIs)

Prior to this planning process, our President identified four university-wide key performance indicators (KPIs) for UConn to strive for over the next 5-10 years. During year one of implementation, we will identify additional KPIs that represent the breadth of actions across initiatives. KPIs will help us assess progress related to the collective activities of this plan and be tracked and shared annually via a strategic plan dashboard.

President's KPIs

- **90% 6-YEAR GRADUATION RATE**
- **\$500M IN RESEARCH FUNDING**
- **\$1B ENDOWMENT FUNDING**
- **CARBON NEUTRAL ORGANIZATION**

Steering Committee Members

ANNE D'ALLEVA (CO-CHAIR)

Provost and Executive Vice President for Academic Affairs

PAMIR ALPAY (CO-CHAIR)

Vice President for Research, Innovation & Entrepreneurship

LAURA BURTON

Department Head, Educational Leadership, Neag School of Education

SANDY CHAFOULEAS

Board of Trustees Distinguished Professor, Neag School of Education

INDRAJEET CHAUBEY

Dean, College of Agriculture, Health and Natural Resources

MO COTTON KELLY

UConn Foundation Chief Operating Officer and Senior Vice President for Stakeholder Engagement

LAURA CRUICKSHANK

Associate Vice President, Master Planner and Chief Architect

FANY HANNON

Interim Dean of Students

OFER HAREL

Interim Dean, College of Liberal Arts and Sciences

JONATHAN HEIDEN

President of Undergraduate Student Government

FUMIKO HOEFT

Campus Dean, Chief Administrative Officer, Waterbury

ANDREA KEILTY

Chief of Staff, UConn Health

GLADIS KERSAINT

Vice Provost for Academic Affairs

GEORGE KUCHEL

Chief of Geriatric Medicine, Director UConn Center on Aging

TRICIA LEAHEY

Director of InCHIP

MICHAEL LYNCH

BOT Distinguished Professor of Philosophy, Provost Professor of the Humanities

EBONI NELSON

Dean, School of Law

MARK OVERMYER-VELÁZQUEZ

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College of Engineering Associate Dean for Research and Graduate Education

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Lynn and Ray Neag Endowed Chair for Talent Development

DAVID SOUDER

School of Business Senior Associate Dean for Faculty and Research

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Vice President and Chief Diversity Officer

DAN WEINER

Vice President for Global Affairs

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STANLEY NOLAN
Interim Associate Vice President, UConn
Facilities Operations


ATTACHMENT 18



December 6, 2023

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

Anne D'Alleva 
Provost and Executive Vice President for Academic Affairs

RE: Fiscal Year 2025 Student Fees for the University of Connecticut, Storrs, and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve increases to Housing and Dining rates and changes to select Academic Program Fees for Fiscal Year 2025, and select fee reductions for part-time students effective immediately, as detailed in the attachments.

RESOLUTION:

“Be it resolved that the University’s Student Fees shall be set according to the attached Rate Schedule.”

BACKGROUND:

Tuition

On December 11, 2019, the Board of Trustees approved a five-year tuition plan for Fiscal Years 2021 – 2025, applying to all undergraduate and graduate tuition-based programs, excluding the School of Law. The approved increase for Fiscal Year 2025 was set at \$678 for in-state and out-of-state students.

Institutional Fees

Institutional Fees include fees such as Housing and Dining, specific fees (e.g., Infrastructure Maintenance Fee, Transit Fee, etc.), and other fees such as late fees, escrow fees, etc.

Housing and Dining rate changes are driven by increased costs associated with maintaining existing programming and program investment, off-campus housing competition, competitor school rates, and student demand. The proposed increase will align rates with the off-campus housing market and inflation, keeping the program pricing competitive. New revenues will also fund capital investments in the University’s housing stock.

Housing: The attached rate schedule outlines a rate increase of 2.75% or 5.00%, specific to certain housing types. The standard housing (double rate 1) increases by \$105 per semester or \$210 per academic year. For higher-demand housing options, rate increases are set to increase by 5.00%. In addition, the new South Campus Residence Hall will come online in FY25 and will be charged at rates 5 and 6 to align with comparable offerings. All Stamford housing rates will increase at 5.00%

Dining: All meal plan options will increase by 2.75% in FY25. For the most common plan, this results in \$87 per semester or \$174 per academic year increase.

There are no proposed increases to other institutional fees.

Academic Program Fees

Academic Program fees include specific program or course fees and are applied to all students within those specific programs or courses.

School of Business

The School of Business professional program enrollments (primarily international students) have suffered due to COVID. At the same time, the cost of delivering programs has increased. A \$50/credit fee increase in the following programs will not affect enrollment and will help the School of Business continue providing high-quality teaching and services to our students.

Recommendation: To approve a fee increase from \$1,100/credit to \$1,150/credit (4.55%).

- MS Human Resources Management
- Advanced Business Certificate in Health Care Analytics
- Advanced Business Certificate in Health Care Finance and Insurance
- Advanced Business Certificate in Human Resources Management
- Advanced Business Certificate in Information Technology Audit
- Advanced Business Certificate in Digital Marketing Strategy
- Certificate in Corporate and Regulatory Compliance
- Certificate in Global Risk Management
- Long-term Health Care Management Certificate
- Part-time MBA
- Online MBA, MBA

Recommendation: To approve a fee increase from \$1,150/credit to \$1,200/credit (4.35%).

- MS in Business Analytics & Project Management
- Advanced Business Certificate in Business Analytics
- Advanced Business Certificate in Project Management
- Advanced Business Certificate in Supply Chain Analytics

Recommendation: To approve a fee increase from \$1,025/credit to \$1,075/credit (4.88%).

- MS in Accounting
- Accounting Fundamentals Certificate
- Advanced Business Certificate in Accounting Analytics

School of Social Work

Beginning Fall 2024, the School of Social Work will offer a fully online Master of Social Work (MSW) degree program. The new program is critical to help meet state and national labor force demands for social workers and halt the MSW program's ongoing decline in application numbers over the last several years. The fee is \$880/credit, keeping the program competitive with other schools while providing the resources needed to offer a quality online program.

Recommendation: To approve a rate of \$880/credit.

Neag School of Education

The University of Connecticut Administrator Preparation Program (UCAPP) is designed to prepare qualified and capable school leaders who promote equity and excellence in schools throughout Connecticut. Since COVID, enrollment has declined, and competitive pressures have proven a challenge, with state competitors offering lower-cost options. Under this proposed rate and fee reduction, the program will be competitive with peers and will offer opportunities to partner with school districts throughout the state to increase enrollment. In most cases, students are not on the Storrs campus; classes are held at schools throughout the state, justifying the elimination of fees.

Recommendation: To approve a per credit rate reduction of \$77 from \$1,047 to \$970 per credit. In addition, students will no longer be charged institutional fees.

Other

All students enrolled in Undergraduate and Graduate programs with tuition-based fee structures have a flat mandatory fee rate. This results in some students paying more in fees than tuition. The proposal will charge students taking four or fewer credits a rate reduction of 50% from the associated campus rate for the General University Fee, Student Health and Wellness Fee, and Infrastructure Maintenance Fee.

Non-Degree students are being charged the same flat mandatory fee as full-time students even though they generally do not have the option to enroll in a full-time course load and are not on campus enough to access the fee-based student services. This proposal changes the fee structure to the regional campus rate for these students.

UNIVERSITY OF CONNECTICUT
Undergraduate Schedule of Full-time Tuition and Common Fees

| | FY24 | | | FY25 Proposed | | |
|--------------------------------|-----------------|---------------------|--------------------|-----------------|---------------------|--------------------|
| | <u>Resident</u> | <u>Non-Resident</u> | <u>NE Regional</u> | <u>Resident</u> | <u>Non-Resident</u> | <u>NE Regional</u> |
| STORRS | | | | | | |
| Tuition | \$ 16,332 | \$ 39,000 | \$ 25,350 | \$ 17,010 | \$ 39,678 | \$ 26,028 |
| General University Fee | 1,570 | 1,570 | 1,570 | 1,570 | 1,570 | 1,570 |
| Student Health Services | 776 | 776 | 776 | 776 | 776 | 776 |
| Student Recreation Center Fee | 500 | 500 | 500 | 500 | 500 | 500 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Connecticut Daily Campus Fee | 20 | 20 | 20 | 20 | 20 | 20 |
| Student Government Fee | 98 | 98 | 98 | 98 | 98 | 98 |
| WHUS Fee | 18 | 18 | 18 | 18 | 18 | 18 |
| UConn TV | 10 | 10 | 10 | 10 | 10 | 10 |
| Student Union Fee | 46 | 46 | 46 | 46 | 46 | 46 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Transit Fee | 194 | 194 | 194 | 194 | 194 | 194 |
| Total, Commuting Student | \$ 20,366 | \$ 43,034 | \$ 29,384 | \$ 21,044 | \$ 43,712 | \$ 30,062 |
| Room Fee (Double) | 7,640 | 7,640 | 7,640 | 7,850 | 7,850 | 7,850 |
| Board Fee (Value) | 6,356 | 6,356 | 6,356 | 6,530 | 6,530 | 6,531 |
| Total, Dormitory Student | \$ 34,362 | \$ 57,030 | \$ 43,380 | \$ 35,424 | \$ 58,092 | \$ 44,443 |
| EVERY POINT | | | | | | |
| Tuition | \$ 16,332 | \$ 39,000 | \$ 25,350 | \$ 17,010 | \$ 39,678 | \$ 26,028 |
| General University Fee | 124 | 124 | 124 | 124 | 124 | 124 |
| Student Health Services | 80 | 80 | 80 | 80 | 80 | 80 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Student Government | 70 | 70 | 70 | 70 | 70 | 70 |
| Transit Fee | 54 | 54 | 54 | 54 | 54 | 54 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Total | \$ 17,462 | \$ 40,130 | \$ 26,480 | \$ 18,140 | \$ 40,808 | \$ 27,158 |
| HARTFORD | | | | | | |
| Tuition | \$ 16,332 | \$ 39,000 | \$ 25,350 | \$ 17,010 | \$ 39,678 | \$ 26,028 |
| General University Fee | 124 | 124 | 124 | 124 | 124 | 124 |
| Student Health Services | 80 | 80 | 80 | 80 | 80 | 80 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Student Government | 60 | 60 | 60 | 60 | 60 | 60 |
| Transit Fee | 54 | 54 | 54 | 54 | 54 | 54 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Total | \$ 17,452 | \$ 40,120 | \$ 26,470 | \$ 18,130 | \$ 40,798 | \$ 27,148 |

UNIVERSITY OF CONNECTICUT
Undergraduate Schedule of Full-time Tuition and Common Fees

| | FY24 | | | FY25 Proposed | | |
|--------------------------------|-----------------|---------------------|--------------------|-----------------|---------------------|--------------------|
| | <u>Resident</u> | <u>Non-Resident</u> | <u>NE Regional</u> | <u>Resident</u> | <u>Non-Resident</u> | <u>NE Regional</u> |
| STAMFORD | | | | | | |
| Tuition | \$ 16,332 | \$ 39,000 | \$ 25,350 | \$ 17,010 | \$ 39,678 | \$ 26,028 |
| General University Fee | 124 | 124 | 124 | 124 | 124 | 124 |
| Student Health Services | 80 | 80 | 80 | 80 | 80 | 80 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Student Government | 80 | 80 | 80 | 80 | 80 | 80 |
| Transit Fee | 54 | 54 | 54 | 54 | 54 | 54 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Total, Commuting Student | 17,472 | 40,140 | 26,490 | 18,150 | 40,818 | 27,168 |
| Room Fee (Stamford Rate 1) | 11,142 | 11,142 | 11,142 | 11,698 | 11,698 | 11,698 |
| Total, Dormitory Student | \$ 28,614 | \$ 51,282 | \$ 37,632 | \$ 29,848 | \$ 52,516 | \$ 38,866 |
| WATERBURY | | | | | | |
| Tuition | \$ 16,332 | \$ 39,000 | \$ 25,350 | \$ 17,010 | \$ 39,678 | \$ 26,028 |
| General University Fee | 124 | 124 | 124 | 124 | 124 | 124 |
| Student Health Services | 80 | 80 | 80 | 80 | 80 | 80 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Student Government | 70 | 70 | 70 | 70 | 70 | 70 |
| Transit Fee | 54 | 54 | 54 | 54 | 54 | 54 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Total | \$ 17,462 | \$ 40,130 | \$ 26,480 | \$ 18,140 | \$ 40,808 | \$ 27,158 |
| PHARMACY (Pharm. D.) | | | | | | |
| Tuition | \$ 29,164 | \$ 57,336 | \$ 47,802 | \$ 29,842 | \$ 58,014 | \$ 48,480 |
| General University Fee | 1,570 | 1,570 | 1,570 | 1,570 | 1,570 | 1,570 |
| Student Health Services | 776 | 776 | 776 | 776 | 776 | 776 |
| Student Recreation Center Fee | 500 | 500 | 500 | 500 | 500 | 500 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Connecticut Daily Campus Fee | 20 | 20 | 20 | 20 | 20 | 20 |
| Student Government Fee | 98 | 98 | 98 | 98 | 98 | 98 |
| WHUS Fee | 18 | 18 | 18 | 18 | 18 | 18 |
| UConn TV | 10 | 10 | 10 | 10 | 10 | 10 |
| Student Union Fee | 46 | 46 | 46 | 46 | 46 | 46 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Transit Fee | 194 | 194 | 194 | 194 | 194 | 194 |
| Total, Commuting Student | \$ 33,198 | \$ 61,370 | \$ 51,836 | \$ 33,876 | \$ 62,048 | \$ 52,514 |
| Room Fee (Double) | 7,640 | 7,640 | 7,640 | 7,850 | 7,850 | 7,850 |
| Board Fee (Value) | 6,356 | 6,356 | 6,356 | 6,530 | 6,530 | 6,531 |
| Total, Dormitory Student | \$ 47,194 | \$ 75,366 | \$ 65,832 | \$ 48,256 | \$ 76,428 | \$ 66,895 |

UNIVERSITY OF CONNECTICUT
Graduate Schedule of Full-time Tuition and Common Fees

| | FY24 | | | FY25 Proposed | | |
|--------------------------------------|-----------------|---------------------|--------------------|-----------------|---------------------|--------------------|
| | <u>Resident</u> | <u>Non-Resident</u> | <u>NE Regional</u> | <u>Resident</u> | <u>Non-Resident</u> | <u>NE Regional</u> |
| STORRS | | | | | | |
| Tuition | \$ 18,834 | \$ 40,746 | \$ 29,730 | \$ 19,512 | \$ 41,424 | \$ 30,408 |
| General University Fee | 1,072 | 1,072 | 1,072 | 1,072 | 1,072 | 1,072 |
| Student Health Services | 776 | 776 | 776 | 776 | 776 | 776 |
| Student Recreation Center Fee | 400 | 400 | 400 | 400 | 400 | 400 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Student Activity Fee | 32 | 32 | 32 | 32 | 32 | 32 |
| Graduate Matriculation Fee | 84 | 84 | 84 | 84 | 84 | 84 |
| Transit Fee | 194 | 194 | 194 | 194 | 194 | 194 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Total, Commuting Student | \$ 22,194 | \$ 44,106 | \$ 33,090 | \$ 22,872 | \$ 44,784 | \$ 33,768 |
| Room Fee (Rate 4) | 9,362 | 9,362 | 9,362 | 9,830 | 9,830 | 9,830 |
| Board Fee (Value) | 6,356 | 6,356 | 6,356 | 6,530 | 6,530 | 6,530 |
| Total, Dormitory Student | \$ 37,912 | \$ 59,824 | \$ 48,808 | \$ 39,232 | \$ 61,144 | \$ 50,128 |
| STORRS-DPT (PHYSICAL THERAPY) | | | | | | |
| Tuition | \$ 24,292 | \$ 46,222 | \$ 35,176 | \$ 24,970 | \$ 46,900 | \$ 35,854 |
| General University Fee | 1,072 | 1,072 | 1,072 | 1,072 | 1,072 | 1,072 |
| Student Health Services | 776 | 776 | 776 | 776 | 776 | 776 |
| Student Recreation Center Fee | 400 | 400 | 400 | 400 | 400 | 400 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Student Activity Fee | 32 | 32 | 32 | 32 | 32 | 32 |
| Graduate Matriculation Fee | 84 | 84 | 84 | 84 | 84 | 84 |
| Transit Fee | 194 | 194 | 194 | 194 | 194 | 194 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Total, Commuting Student | \$ 27,652 | \$ 49,582 | \$ 38,536 | \$ 28,330 | \$ 50,260 | \$ 39,214 |
| Room Fee (Rate 4) | 9,362 | 9,362 | 9,362 | 9,830 | 9,830 | 9,830 |
| Board Fee (Value) | 6,356 | 6,356 | 6,356 | 6,530 | 6,530 | 6,530 |
| Total, Dormitory Student | \$ 43,370 | \$ 65,300 | \$ 54,254 | \$ 44,690 | \$ 66,620 | \$ 55,574 |
| LAW SCHOOL-Day | | | | | | |
| Tuition | \$ 30,354 | \$ 61,396 | \$ 51,786 | \$ 30,354 | \$ 61,396 | \$ 51,786 |
| General University Fee | 124 | 124 | 124 | 124 | 124 | 124 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Student Activity Fee | 164 | 164 | 164 | 164 | 164 | 164 |
| Transit Fee | 64 | 64 | 64 | 64 | 64 | 64 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Total | \$ 31,508 | \$ 62,550 | \$ 52,940 | \$ 31,508 | \$ 62,550 | \$ 52,940 |
| SOCIAL WORK | | | | | | |
| Tuition | \$ 18,834 | \$ 40,746 | \$ 29,730 | \$ 19,512 | \$ 41,424 | \$ 30,408 |
| General University Fee | 124 | 124 | 124 | 124 | 124 | 124 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Graduate Matriculation Fee | 84 | 84 | 84 | 84 | 84 | 84 |
| Student Activity Fee | 50 | 50 | 50 | 50 | 50 | 50 |
| Transit Fee | 64 | 64 | 64 | 64 | 64 | 64 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Total | \$ 19,958 | \$ 41,870 | \$ 30,854 | \$ 20,636 | \$ 42,548 | \$ 31,532 |

UNIVERSITY OF CONNECTICUT
Graduate Schedule of Full-time Tuition and Common Fees

| | FY24 | | | FY25 Proposed | | |
|--------------------------------|-----------|-----------|-----------|---------------|-----------|-----------|
| STAMFORD Ph. D. | | | | | | |
| Tuition | \$ 22,334 | \$ 40,746 | \$ 35,852 | \$ 23,012 | \$ 41,424 | \$ 36,530 |
| General University Fee | 124 | 124 | 124 | 124 | 124 | 124 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Graduate Matriculation Fee | 84 | 84 | 84 | 84 | 84 | 84 |
| Transit Fee | 64 | 64 | 64 | 64 | 64 | 64 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Total | \$ 23,408 | \$ 41,820 | \$ 36,926 | \$ 24,086 | \$ 42,498 | \$ 37,604 |
| LAW SCHOOL-Evening | | | | | | |
| Tuition | \$ 22,160 | \$ 44,180 | \$ 37,440 | \$ 22,160 | \$ 44,180 | \$ 37,440 |
| General University Fee | 124 | 124 | 124 | 124 | 124 | 124 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Student Activity Fee | 164 | 164 | 164 | 164 | 164 | 164 |
| Transit Fee | 64 | 64 | 64 | 64 | 64 | 64 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Total | \$ 23,314 | \$ 45,334 | \$ 38,594 | \$ 23,314 | \$ 45,334 | \$ 38,594 |
| LAW SCHOOL-SJD | | | | | | |
| Tuition | \$ 10,538 | \$ 18,902 | \$ 17,108 | \$ 10,538 | \$ 18,902 | \$ 17,108 |
| General University Fee | 124 | 124 | 124 | 124 | 124 | 124 |
| Infrastructure Maintenance Fee | 618 | 618 | 618 | 618 | 618 | 618 |
| Student Activity Fee | 164 | 164 | 164 | 164 | 164 | 164 |
| Transit Fee | 64 | 64 | 64 | 64 | 64 | 64 |
| Technology Fee | 184 | 184 | 184 | 184 | 184 | 184 |
| Total | \$ 11,692 | \$ 20,056 | \$ 18,262 | \$ 11,692 | \$ 20,056 | \$ 18,262 |

UNIVERSITY OF CONNECTICUT
Tuition Rates Per Credit

| Credits | Undergraduate | | | Graduate / Social Work | | | Law - Day Division | | | Law - Evening Division | | |
|-----------------------|---------------|-----------|--------|------------------------|-----------|--------|--------------------|-----------|--------|------------------------|-----------|--------|
| | Resident | Non-Resid | NE Reg | Resident | Non-Resid | NE Reg | Resident | Non-Resid | NE Reg | Resident | Non-Resid | NE Reg |
| <u>2023-24</u> | | | | | | | | | | | | |
| 1 | 681 | 1,625 | 1,057 | 1,047 | 2,264 | 1,652 | 1,265 | 2,559 | 2,158 | 1,059 | 2,142 | 1,807 |
| 2 | 1,362 | 3,250 | 2,114 | 2,094 | 4,528 | 3,304 | 2,530 | 5,118 | 4,316 | 2,118 | 4,284 | 3,614 |
| 3 | 2,043 | 4,875 | 3,171 | 3,141 | 6,792 | 4,956 | 3,795 | 7,677 | 6,474 | 3,177 | 6,426 | 5,421 |
| 4 | 2,724 | 6,500 | 4,228 | 4,188 | 9,056 | 6,608 | 5,060 | 10,236 | 8,632 | 4,236 | 8,568 | 7,228 |
| 5 | 3,405 | 8,125 | 5,285 | 5,235 | 11,320 | 8,260 | 6,325 | 12,795 | 10,790 | 5,295 | 10,710 | 9,035 |
| 6 | 4,086 | 9,750 | 6,342 | 6,282 | 13,584 | 9,912 | 7,590 | 15,354 | 12,948 | 6,354 | 12,852 | 10,842 |
| 7 | 4,767 | 11,375 | 7,399 | 7,329 | 15,848 | 11,564 | 8,855 | 17,913 | 15,106 | 7,413 | 14,994 | 12,649 |
| 8 | 5,448 | 13,000 | 8,456 | 8,376 | 18,112 | 13,216 | 10,120 | 20,472 | 17,264 | 8,472 | 17,136 | 14,456 |
| 9 | 6,129 | 14,625 | 9,513 | 9,417 | 20,373 | 14,865 | 11,385 | 23,031 | 19,422 | 9,531 | 19,278 | 16,263 |
| 10 | 6,810 | 16,250 | 10,570 | | | | 12,650 | 25,590 | 21,580 | 10,590 | 21,420 | 18,070 |
| 11 | 7,491 | 17,875 | 11,627 | | | | 13,915 | 28,149 | 23,738 | | | |
| 12 | 8,166 | 19,500 | 12,675 | | | | 15,177 | 30,698 | 25,893 | | | |
| annual - full time | 16,332 | 39,000 | 25,350 | 18,834 | 40,746 | 29,730 | 30,354 | 61,396 | 51,786 | 21,180 | 42,840 | 36,140 |
| <u>2024-25</u> | | | | | | | | | | | | |
| 1 | 709 | 1,654 | 1,085 | 1,084 | 2,302 | 1,690 | 1,265 | 2,559 | 2,158 | 1,059 | 2,142 | 1,807 |
| 2 | 1,418 | 3,308 | 2,170 | 2,168 | 4,604 | 3,380 | 2,530 | 5,118 | 4,316 | 2,118 | 4,284 | 3,614 |
| 3 | 2,127 | 4,962 | 3,255 | 3,252 | 6,906 | 5,070 | 3,795 | 7,677 | 6,474 | 3,177 | 6,426 | 5,421 |
| 4 | 2,836 | 6,616 | 4,340 | 4,336 | 9,208 | 6,760 | 5,060 | 10,236 | 8,632 | 4,236 | 8,568 | 7,228 |
| 5 | 3,545 | 8,270 | 5,425 | 5,420 | 11,510 | 8,450 | 6,325 | 12,795 | 10,790 | 5,295 | 10,710 | 9,035 |
| 6 | 4,254 | 9,924 | 6,510 | 6,504 | 13,812 | 10,140 | 7,590 | 15,354 | 12,948 | 6,354 | 12,852 | 10,842 |
| 7 | 4,963 | 11,578 | 7,595 | 7,588 | 16,114 | 11,830 | 8,855 | 17,913 | 15,106 | 7,413 | 14,994 | 12,649 |
| 8 | 5,672 | 13,232 | 8,680 | 8,672 | 18,416 | 13,520 | 10,120 | 20,472 | 17,264 | 8,472 | 17,136 | 14,456 |
| 9 | 6,381 | 14,886 | 9,765 | 9,756 | 20,712 | 15,204 | 11,385 | 23,031 | 19,422 | 9,531 | 19,278 | 16,263 |
| 10 | 7,090 | 16,540 | 10,850 | | | | 12,650 | 25,590 | 21,580 | 10,590 | 21,420 | 18,070 |
| 11 | 7,799 | 18,194 | 11,935 | | | | 13,915 | 28,149 | 23,738 | | | |
| 12 | 8,505 | 19,839 | 13,014 | | | | 15,177 | 30,698 | 25,893 | | | |
| annual - full time | 17,010 | 39,678 | 26,028 | 19,512 | 41,424 | 30,408 | 30,354 | 61,396 | 51,786 | 21,180 | 42,840 | 36,140 |

**UNIVERSITY OF CONNECTICUT
Tuition Rates Per Credit**

| Credits | Stamford Ph.D | | | Pharmacy (Pharm.D.) | | | Physical Therapy (DPT) | | | SJD Law | | |
|-----------------------|---------------|-----------|--------|---------------------|-----------|--------|------------------------|-----------|--------|----------|-----------|--------|
| | Resident | Non-Resid | NE Reg | Resident | Non-Resid | NE Reg | Resident | Non-Resid | NE Reg | Resident | Non-Resid | NE Reg |
| <u>2023-24</u> | | | | | | | | | | | | |
| 1 | 1,241 | 2,264 | 1,992 | 1,621 | 3,186 | 2,657 | 1,350 | 2,568 | 1,955 | 586 | 1,051 | 951 |
| 2 | 2,482 | 4,528 | 3,984 | 3,242 | 6,372 | 5,314 | 2,700 | 5,136 | 3,910 | 1,172 | 2,102 | 1,902 |
| 3 | 3,723 | 6,792 | 5,976 | 4,863 | 9,558 | 7,971 | 4,050 | 7,704 | 5,865 | 1,758 | 3,153 | 2,853 |
| 4 | 4,964 | 9,056 | 7,968 | 6,484 | 12,744 | 10,628 | 5,400 | 10,272 | 7,820 | 2,344 | 4,204 | 3,804 |
| 5 | 6,205 | 11,320 | 9,960 | 8,105 | 15,930 | 13,285 | 6,750 | 12,840 | 9,775 | 2,930 | 5,255 | 4,755 |
| 6 | 7,446 | 13,584 | 11,952 | 9,726 | 19,116 | 15,942 | 8,100 | 15,408 | 11,730 | 3,516 | 6,306 | 5,706 |
| 7 | 8,687 | 15,848 | 13,944 | 11,347 | 22,302 | 18,599 | 9,450 | 17,976 | 13,685 | 4,102 | 7,357 | 6,657 |
| 8 | 9,928 | 18,112 | 15,936 | 12,968 | 25,488 | 21,256 | 10,800 | 20,544 | 15,640 | 4,688 | 8,408 | 7,608 |
| 9 | 11,167 | 20,373 | 17,926 | 14,582 | 28,668 | 23,901 | 12,146 | 23,111 | 17,588 | 5,269 | 9,451 | 8,554 |
| 10 | | | | | | | | | | | | |
| 11 | | | | | | | | | | | | |
| 12 | | | | | | | | | | | | |
| annual - full time | 22,334 | 40,746 | 35,852 | 29,164 | 57,336 | 47,802 | 24,292 | 46,222 | 35,176 | 10,538 | 18,902 | 17,108 |
| <u>2024-25</u> | | | | | | | | | | | | |
| 1 | 1,279 | 2,302 | 2,030 | 1,658 | 3,223 | 2,694 | 1,388 | 2,606 | 1,992 | 586 | 1,051 | 951 |
| 2 | 2,558 | 4,604 | 4,060 | 3,316 | 6,446 | 5,388 | 2,776 | 5,212 | 3,984 | 1,172 | 2,102 | 1,902 |
| 3 | 3,837 | 6,906 | 6,090 | 4,974 | 9,669 | 8,082 | 4,164 | 7,818 | 5,976 | 1,758 | 3,153 | 2,853 |
| 4 | 5,116 | 9,208 | 8,120 | 6,632 | 12,892 | 10,776 | 5,552 | 10,424 | 7,968 | 2,344 | 4,204 | 3,804 |
| 5 | 6,395 | 11,510 | 10,150 | 8,290 | 16,115 | 13,470 | 6,940 | 13,030 | 9,960 | 2,930 | 5,255 | 4,755 |
| 6 | 7,674 | 13,812 | 12,180 | 9,948 | 19,338 | 16,164 | 8,328 | 15,636 | 11,952 | 3,516 | 6,306 | 5,706 |
| 7 | 8,953 | 16,114 | 14,210 | 11,606 | 22,561 | 18,858 | 9,716 | 18,242 | 13,944 | 4,102 | 7,357 | 6,657 |
| 8 | 10,232 | 18,416 | 16,240 | 13,264 | 25,784 | 21,552 | 11,104 | 20,848 | 15,936 | 4,688 | 8,408 | 7,608 |
| 9 | 11,506 | 20,712 | 18,265 | 14,921 | 29,007 | 24,240 | 12,485 | 23,450 | 17,927 | 5,269 | 9,451 | 8,554 |
| 10 | | | | | | | | | | | | |
| 11 | | | | | | | | | | | | |
| 12 | | | | | | | | | | | | |
| annual - full time | 23,012 | 41,424 | 36,530 | 29,842 | 58,014 | 48,480 | 24,970 | 46,900 | 35,854 | 10,538 | 18,902 | 17,108 |

**UNIVERSITY OF CONNECTICUT
UNIVERSITY OF CONNECTICUT
Fee Charges**

| Per Semester | | | | | | | | | | | | | | | |
|--------------------------------|-----|------------------------|-----|-----|---------------------|----|--------------------------------------|-----|-------------------------|----|---------------------------------------|----------------|----|----|-----------------------------------|
| Infrastructure Maintenance Fee | | General University Fee | | | | | Student Rec Center Fee (Storrs Only) | | Student Health Services | | | Technology Fee | | | |
| Credits | | | | | Off-Campus Grad (a) | | | | | | Student Health Services (Grad Storrs) | | | | Summer / Intercession /Non-degree |
| | | | | | | | | | | | | | | | |
| 2023-24 | | | | | | | | | | | | | | | |
| 1 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 2 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 3 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 4 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 5 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 6 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 7 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 8 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 9 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 10 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 11 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 12 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 13 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |

- (a) The General University Fees for SSW, MPA HFTD, Law Day/Eve, Full Time MBA as well as for the other regional campuses are not prorated per credit.
- (b) Law School summer and winter charges per credit are based on the evening division tuition plus prorated General University Fee.
- (c) Executive MBA program at \$90,000 AY24

| | | | | | | | | | | | | | | | |
|----------------|-----|-----|-----|-----|----|----|-----|-----|-----|----|-----|----|----|----|--|
| 2024-25 | | | | | | | | | | | | | | | |
| 1 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 2 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 3 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 4 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 5 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 6 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 7 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 8 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 9 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 10 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 11 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 12 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |
| 13 | 309 | 309 | 785 | 536 | 62 | 62 | 250 | 200 | 388 | 40 | 388 | 92 | 92 | 30 | |

- (a) The General University Fees for SSW, MPA HFTD, Law Day/Eve, Full Time MBA as well as for the other regional campuses are not prorated per credit.
- (b) Law School summer and winter charges per credit are based on the evening division tuition plus prorated General University Fee.
- (c) Executive MBA program at \$90,000 AY25

Undergraduate and Graduate students taking four or fewer credits pay 50% of the associated campus rate for the General University Fee, Student Health and Wellness Fee, and Infrastructure Maintenance Fee.

Non-Degree students pay the regional campus rate for mandatory fees

**UNIVERSITY OF CONNECTICUT
Fee Charges By Credit**

| Per Credit | | | |
|------------|-------------------------------|---|----------------------|
| Online Fee | Storrs Non-Degree Fall/Spring | Summer, Intersession and Winter Session | June and Winter Term |

| Credits | Grad and Non-degree, & all students in intersessions and summer sessions | Undergrad Non-Degree and BGS, BPS | Grad | Undergrad | Grad | Law (b) |
|----------------|--|-----------------------------------|-------|-----------|--------|---------|
| 2023-24 | | | | | | |
| 1 | 25 | 681 | 1,047 | 681 | 1,047 | 1,112 |
| 2 | 25 | 1,362 | 2,094 | 1,362 | 2,094 | 2,224 |
| 3 | 25 | 2,043 | 3,141 | 2,043 | 3,141 | 3,336 |
| 4 | 25 | 2,724 | 4,188 | 2,724 | 4,188 | 4,448 |
| 5 | 25 | 3,405 | 5,235 | 3,405 | 5,235 | 5,560 |
| 6 | 25 | 4,086 | 6,282 | 4,086 | 6,282 | 6,672 |
| 7 | 25 | 4,767 | 7,329 | 4,767 | 7,329 | 7,784 |
| 8 | 25 | 5,448 | 8,376 | 5,448 | 8,376 | 8,896 |
| 9 | 25 | 6,129 | 9,417 | 6,129 | 9,423 | 10,008 |
| 10 | 25 | 6,810 | | 6,810 | 10,470 | 11,120 |
| 11 | 25 | 7,491 | | 7,491 | 11,517 | 12,232 |
| 12 | 25 | 8,166 | | 8,172 | 12,564 | 13,344 |
| 13 | 25 | | | 8,853 | 13,611 | 14,456 |
| 2024-25 | | | | | | |
| 1 | 25 | 709 | 1,084 | 709 | 1,084 | 1,112 |
| 2 | 25 | 1,418 | 2,168 | 1,418 | 2,168 | 2,224 |
| 3 | 25 | 2,127 | 3,252 | 2,127 | 3,252 | 3,336 |
| 4 | 25 | 2,836 | 4,336 | 2,836 | 4,336 | 4,448 |
| 5 | 25 | 3,545 | 5,420 | 3,545 | 5,420 | 5,560 |
| 6 | 25 | 4,254 | 6,504 | 4,254 | 6,504 | 6,672 |
| 7 | 25 | 4,963 | 7,588 | 4,963 | 7,588 | 7,784 |
| 8 | 25 | 5,672 | 8,672 | 5,672 | 8,672 | 8,896 |
| 9 | 25 | 6,381 | 9,756 | 6,381 | 9,423 | 10,008 |
| 10 | 25 | 7,090 | | 7,090 | 10,840 | 11,120 |
| 11 | 25 | 7,799 | | 7,799 | 11,924 | 12,232 |
| 12 | 25 | 8,505 | | 8,508 | 13,008 | 13,344 |
| 13 | 25 | | | 9,217 | 14,092 | 14,456 |

**UNIVERSITY OF CONNECTICUT
Program Fee Charges By Credit**

| Credits | Master of Engineering | Master in Data Science | Nursing - Doctorate in Nursing (PM/DNP) | Nursing - Nurse Practitioner (AGPCNP/AGACN P/FNP/NNP) | Nursing - Certificate (HPE,HN,PM,HI) | Nursing - Certificate Entry in Nursing BS (CEIN_BS) | Social Work Extension | Social Work MS Online | Neag - UCAPP | Survey Research, MPA Fellows, Nonprofit Management and Leadership & Public Management | Online Graduate Certificates and Programs, GIS and Post Secondary Disability Cert |
|----------------|-----------------------|------------------------|---|---|--------------------------------------|---|-----------------------|-----------------------|--------------|---|---|
| 2023-24 | | | | | | | | | | | |
| 1 | 1,300 | 1,325 | 925 | 925 | 925 | 867 | 1,047 | | 1,047 | 1,000 | 925 |
| 2 | 2,600 | 2,650 | 1,850 | 1,850 | 1,850 | 1,734 | 2,094 | | 2,094 | 2,000 | 1,850 |
| 3 | 3,900 | 3,975 | 2,775 | 2,775 | 2,775 | 2,601 | 3,141 | | 3,141 | 3,000 | 2,775 |
| 4 | 5,200 | 5,300 | 3,700 | 3,700 | 3,700 | 3,468 | 4,188 | | 4,188 | 4,000 | 3,700 |
| 5 | 6,500 | 6,625 | 4,625 | 4,625 | 4,625 | 4,335 | 5,235 | | 5,235 | 5,000 | 4,625 |
| 6 | 7,800 | 7,950 | 5,550 | 5,550 | 5,550 | 5,202 | 6,282 | | 6,282 | 6,000 | 5,550 |
| 7 | 9,100 | 9,275 | 6,475 | 6,475 | 6,475 | 6,069 | 7,329 | | 7,329 | 7,000 | 6,475 |
| 8 | 10,400 | 10,600 | 7,400 | 7,400 | 7,400 | 6,936 | 8,376 | | 8,376 | 8,000 | 7,400 |
| 9 | 11,700 | 11,925 | 8,325 | 8,325 | 8,325 | 7,803 | 9,423 | | 9,423 | 9,000 | 8,325 |
| 10 | 13,000 | 13,250 | 9,250 | 9,250 | 9,250 | 8,670 | 10,470 | | 10,470 | 10,000 | 9,250 |
| 11 | 14,300 | 14,575 | 10,175 | 10,175 | 10,175 | 9,537 | 11,517 | | 11,517 | 11,000 | 10,175 |
| 12 | 15,600 | 15,900 | 11,100 | 11,100 | 11,100 | 10,404 | 12,564 | | 12,564 | 12,000 | 11,100 |
| 13 | 16,900 | 17,225 | 12,025 | 12,025 | 12,025 | 11,271 | 13,611 | | 13,611 | 13,000 | 12,025 |
| 2024-25 | | | | | | | | | | | |
| 1 | 1,300 | 1,325 | 925 | 925 | 925 | 867 | 1,084 | 880 | 970 | 1,000 | 925 |
| 2 | 2,600 | 2,650 | 1,850 | 1,850 | 1,850 | 1,734 | 2,168 | 1,760 | 1,940 | 2,000 | 1,850 |
| 3 | 3,900 | 3,975 | 2,775 | 2,775 | 2,775 | 2,601 | 3,252 | 2,640 | 2,910 | 3,000 | 2,775 |
| 4 | 5,200 | 5,300 | 3,700 | 3,700 | 3,700 | 3,468 | 4,336 | 3,520 | 3,880 | 4,000 | 3,700 |
| 5 | 6,500 | 6,625 | 4,625 | 4,625 | 4,625 | 4,335 | 5,420 | 4,400 | 4,850 | 5,000 | 4,625 |
| 6 | 7,800 | 7,950 | 5,550 | 5,550 | 5,550 | 5,202 | 6,504 | 5,280 | 5,820 | 6,000 | 5,550 |
| 7 | 9,100 | 9,275 | 6,475 | 6,475 | 6,475 | 6,069 | 7,588 | 6,160 | 6,790 | 7,000 | 6,475 |
| 8 | 10,400 | 10,600 | 7,400 | 7,400 | 7,400 | 6,936 | 8,672 | 7,040 | 7,760 | 8,000 | 7,400 |
| 9 | 11,700 | 11,925 | 8,325 | 8,325 | 8,325 | 7,803 | 9,756 | 7,920 | 8,730 | 9,000 | 8,325 |
| 10 | 13,000 | 13,250 | 9,250 | 9,250 | 9,250 | 8,670 | 10,840 | 8,800 | 9,700 | 10,000 | 9,250 |
| 11 | 14,300 | 14,575 | 10,175 | 10,175 | 10,175 | 9,537 | 11,924 | 9,680 | 10,670 | 11,000 | 10,175 |
| 12 | 15,600 | 15,900 | 11,100 | 11,100 | 11,100 | 10,404 | 13,008 | 10,560 | 11,640 | 12,000 | 11,100 |
| 13 | 16,900 | 17,225 | 12,025 | 12,025 | 12,025 | 11,271 | 14,092 | 11,440 | 12,610 | 13,000 | 12,025 |

**UNIVERSITY OF CONNECTICUT
Program Fee Charges By Credit**

| Credits | Business MSFRM / FINTECH per credit | Business (PTMBA) / (MSHRM) per credit | Business MSBAPM, ABCBA and ABCPM per credit | Business MSA per credit | Business Accounting Certificate Program (ACP) | Business Fee-Based Programs (Other) Non ACP- Per Credit | Music Performer's Certificate | LL.M. INSURANCE LAW | LL.M. U.S. Legal LAW, Executive LLM, LLM-Energy & Environmental Law & LLM-Human Rights & Social Justice, LLM in Governance, Risk Management and Compliance |
|----------------|-------------------------------------|---------------------------------------|---|-------------------------|---|---|-------------------------------|---------------------|--|
| 2023-24 | | | | | | | | | |
| 1 | 1,500 | 1,100 | 1,150 | 1,025 | 1,025 | 1,100 | 925 | 1,326 | 1,326 |
| 2 | 3,000 | 2,200 | 2,300 | 2,050 | 2,050 | 2,200 | 1,850 | 2,652 | 2,652 |
| 3 | 4,500 | 3,300 | 3,450 | 3,075 | 3,075 | 3,300 | 2,775 | 3,978 | 3,978 |
| 4 | 6,000 | 4,400 | 4,600 | 4,100 | 4,100 | 4,400 | 3,700 | 5,304 | 5,304 |
| 5 | 7,500 | 5,500 | 5,750 | 5,125 | 5,125 | 5,500 | 4,625 | 6,630 | 6,630 |
| 6 | 9,000 | 6,600 | 6,900 | 6,150 | 6,150 | 6,600 | 5,550 | 7,956 | 7,956 |
| 7 | 10,500 | 7,700 | 8,050 | 7,175 | 7,175 | 7,700 | 6,475 | 9,282 | 9,282 |
| 8 | 12,000 | 8,800 | 9,200 | 8,200 | 8,200 | 8,800 | 7,400 | 10,608 | 10,608 |
| 9 | 13,500 | 9,900 | 10,350 | 9,225 | 9,225 | 9,900 | 8,325 | 11,934 | 11,934 |
| 10 | 15,000 | 11,000 | 11,500 | 10,250 | 10,250 | 11,000 | 9,250 | 13,260 | 13,260 |
| 11 | 16,500 | 12,100 | 12,650 | 11,275 | 11,275 | 12,100 | 10,175 | 14,586 | 14,586 |
| 12 | 18,000 | 13,200 | 13,800 | 12,300 | 12,300 | 13,200 | 11,100 | 15,912 | 15,912 |
| 13 | 19,500 | 14,300 | 14,950 | 13,325 | 13,325 | 14,300 | 12,025 | 17,238 | 17,238 |
| 2024-25 | | | | | | | | | |
| 1 | 1,500 | 1,150 | 1,200 | 1,075 | 1,025 | 1,100 | 925 | 1,326 | 1,326 |
| 2 | 3,000 | 2,300 | 2,400 | 2,150 | 2,050 | 2,200 | 1,850 | 2,652 | 2,652 |
| 3 | 4,500 | 3,450 | 3,600 | 3,225 | 3,075 | 3,300 | 2,775 | 3,978 | 3,978 |
| 4 | 6,000 | 4,600 | 4,800 | 4,300 | 4,100 | 4,400 | 3,700 | 5,304 | 5,304 |
| 5 | 7,500 | 5,750 | 6,000 | 5,375 | 5,125 | 5,500 | 4,625 | 6,630 | 6,630 |
| 6 | 9,000 | 6,900 | 7,200 | 6,450 | 6,150 | 6,600 | 5,550 | 7,956 | 7,956 |
| 7 | 10,500 | 8,050 | 8,400 | 7,525 | 7,175 | 7,700 | 6,475 | 9,282 | 9,282 |
| 8 | 12,000 | 9,200 | 9,600 | 8,600 | 8,200 | 8,800 | 7,400 | 10,608 | 10,608 |
| 9 | 13,500 | 10,350 | 10,800 | 9,675 | 9,225 | 9,900 | 8,325 | 11,934 | 11,934 |
| 10 | 15,000 | 11,500 | 12,000 | 10,750 | 10,250 | 11,000 | 9,250 | 13,260 | 13,260 |
| 11 | 16,500 | 12,650 | 13,200 | 11,825 | 11,275 | 12,100 | 10,175 | 14,586 | 14,586 |
| 12 | 18,000 | 13,800 | 14,400 | 12,900 | 12,300 | 13,200 | 11,100 | 15,912 | 15,912 |
| 13 | 19,500 | 14,950 | 15,600 | 13,975 | 13,325 | 14,300 | 12,025 | 17,238 | 17,238 |

Note: The University also offers an Executive MBA program at \$90,000

UNIVERSITY OF CONNECTICUT
Fee Summary - Non-mandatory Tier II

| | FY24 | FY25 | \$ Change | % Change |
|--|----------|----------|-----------|----------|
| Acceptance Fees | | | | |
| Enrollment Deposit | \$ 400 | \$ 400 | \$ - | 0.0% |
| Law (day and evening) | \$ 250 | \$ 250 | \$ - | 0.0% |
| Law LLM | \$ 500 | \$ 500 | \$ - | 0.0% |
| Law SJD | \$ 500 | \$ 500 | \$ - | 0.0% |
| Executive MBA | \$ 1,800 | \$ 1,800 | \$ - | 0.0% |
| Full-time MBA | \$ 500 | \$ 500 | \$ - | 0.0% |
| Business BAPM | \$ 500 | \$ 500 | \$ - | 0.0% |
| Business MDFRM | \$ 500 | \$ 500 | \$ - | 0.0% |
| Certification in Risk Management | \$ 500 | \$ 500 | \$ - | 0.0% |
| Business MS HR Management | \$ 500 | \$ 500 | \$ - | 0.0% |
| Business ABC HR Management | \$ 100 | \$ 100 | \$ - | 0.0% |
| Social Work (MS) | \$ 60 | \$ 60 | \$ - | 0.0% |
| Doctor of Physical Therapy | \$ 1,000 | \$ 1,000 | \$ - | 0.0% |
| Nursing (MS) | \$ 1,000 | \$ 1,000 | \$ - | 0.0% |
| Nursing (MD/DMP) | \$ 1,000 | \$ 1,000 | \$ - | 0.0% |
| Nursing (DNP) | \$ 1,000 | \$ 1,000 | \$ - | 0.0% |
| Nursing (PhD) | \$ 1,000 | \$ 1,000 | \$ - | 0.0% |
| Nursing (CEIN) | \$ 1,000 | \$ 1,000 | \$ - | 0.0% |
| Activity Fees | | | | |
| Storrs Graduate | \$ 16 | \$ 16 | \$ - | 0.0% |
| Law (day and evening) | \$ 82 | \$ 82 | \$ - | 0.0% |
| Law LLM | \$ 82 | \$ 82 | \$ - | 0.0% |
| Social Work | \$ 25 | \$ 25 | \$ - | 0.0% |
| Summer Session (undergrad/graduate) | \$ 16 | \$ 16 | \$ - | 0.0% |
| Application Fees | | | | |
| Storrs Undergraduate | \$ 80 | \$ 80 | \$ - | 0.0% |
| Regionals Undergraduate | \$ 80 | \$ 80 | \$ - | 0.0% |
| Law (day and evening) | \$ 60 | \$ 60 | \$ - | 0.0% |
| Law SJD | \$ 100 | \$ 100 | \$ - | 0.0% |
| Law LLM | \$ 75 | \$ 75 | \$ - | 0.0% |
| Social Work | \$ 55 | \$ 55 | \$ - | 0.0% |
| BGS | \$ 95 | \$ 95 | \$ - | 0.0% |
| Graduate | \$ 75 | \$ 75 | \$ - | 0.0% |
| Study Abroad | \$ 25 | \$ 25 | \$ - | 0.0% |
| Bad Check Charge | \$ 30 | \$ 30 | \$ - | 0.0% |
| Continuous Registration Fees | | | | |
| Storrs Campus & Law Students | \$ 400 | \$ 400 | \$ - | 0.0% |
| Regional Campus Students | \$ 100 | \$ 100 | \$ - | 0.0% |
| Course Credit by Exam - Storrs | \$ 10 | \$ 10 | \$ - | 0.0% |
| German Section Admin Charge (summer) | \$ 350 | \$ 350 | \$ - | 0.0% |
| ID Card Replacement | \$ 30 | \$ 30 | \$ - | 0.0% |
| Late Fees | | | | |
| Storrs Undergrad | \$ 150 | \$ 150 | \$ - | 0.0% |
| Storrs Graduate, MBA | \$ 150 | \$ 150 | \$ - | 0.0% |
| Law | \$ 150 | \$ 150 | \$ - | 0.0% |
| Social Work Step | \$ 150 | \$ 150 | \$ - | 0.0% |
| Social Work | \$ 150 | \$ 150 | \$ - | 0.0% |
| Materials Fees - Majors (per semester) | | | | |
| Post-Baccalaureate Certificate in Pre-Medicine / Dentistry | \$ 1,500 | \$ 1,500 | \$ - | 0.0% |
| Parking Decal (Temporary) Storrs, MBA | \$ 2 | \$ 2 | \$ - | 0.0% |
| Parking Decal (Replacement) Storrs, MBA | \$ 10 | \$ 10 | \$ - | 0.0% |

UNIVERSITY OF CONNECTICUT
Fee Summary - Non-mandatory Tier II

| | FY24 | FY25 | \$ Change | % Change |
|--|--------------|--------------|-----------|----------|
| Parking Fees - Commuters | | | | |
| Storrs | \$72 - \$188 | \$72 - \$188 | \$ - | 0.0% |
| Regional Campuses | | | | |
| Law School | | | | |
| Garages | \$ 440 | \$ 440 | \$ - | 0.0% |
| Parking Fees | | | | |
| Graduate Assistants - Storrs | \$72 - \$188 | \$72 - \$188 | \$ - | 0.0% |
| Residents | \$72 - \$188 | \$72 - \$188 | \$ - | 0.0% |
| Summer | \$ 10 | \$ 10 | \$ - | 0.0% |
| 'C' Lot Storage/Remote | \$ 48 | \$ 48 | \$ - | 0.0% |
| Ratcliffe Hicks Degree Process (One-time) | \$ 300 | \$ 300 | \$ - | 0.0% |
| Reinstatement Fees | | | | |
| Storrs - Graduate & MBA | \$ 65 | \$ 65 | \$ - | 0.0% |
| Law | \$ 10 | \$ 10 | \$ - | 0.0% |
| Senior Citizen Audit Fee - Credit Ext. | \$ 15 | \$ 15 | \$ - | 0.0% |
| Social Work Enrollment Fee Step Program | \$ 45 | \$ 45 | \$ - | 0.0% |
| Study Abroad - All | \$ 475 | \$ 475 | \$ - | 0.0% |
| Summer Enrollment Fees | | | | |
| Degree | \$ 45 | \$ 45 | \$ - | 0.0% |
| Non-Degree | \$ 65 | \$ 65 | \$ - | 0.0% |
| VISA Compliance Fee | \$ 700 | \$ 700 | \$ - | 0.0% |
| Barnes and Noble First Day | \$ 285 | \$ 285 | \$ - | 0.0% |

University of Connecticut
Summary of Recommended adjustments to Housing and Dining Rates

| Storrs Campus Rates | | | | |
|----------------------------|---|-------------|----------------------|------------------------|
| Rate | Room Type | FY24 | FY25 Proposed | Dollar Increase |
| Rate 1 | Regular Double | \$7,640 | \$7,850 | \$210 |
| Rate 1 | Triple Traditional Residence Hall | \$7,640 | \$7,850 | |
| Rate 1 | Quad Four Person Room | \$7,640 | \$7,850 | |
| Rate 1 | Northwood Apartments (Double in 2 Bedroom/3 Person) | \$7,640 | \$7,850 | |
| Rate 2 | Werth Hall Double | \$7,836 | \$8,228 | \$392 |
| Rate 3 | Busby/Garrigus Suites Triples | \$8,398 | \$8,628 | \$230 |
| Rate 4 | Busby/Garrigus/South Suites Double | \$9,362 | \$9,830 | \$468 |
| Rate 4 | Husky Village | \$9,362 | \$9,830 | |
| Rate 4 | Northwood Apartments (Single in 2 Bed/2 Person) | \$9,362 | \$9,830 | |
| Rate 4 | Regular Single | \$9,362 | \$9,830 | |
| Rate 4 | Shippee C Section | \$9,362 | \$9,830 | |
| Rate 5 | Werth Hall Single | \$9,508 | \$9,984 | \$476 |
| Rate 5 | New South Campus Single (New) | - | \$9,984 | |
| Rate 6 | Busby/Garrigus/South Suites Single | \$9,978 | \$10,476 | \$498 |
| Rate 6 | Charter Oak/Hilltop Apartments 2 Bedroom/4 Person | \$9,978 | \$10,476 | |
| Rate 6 | Hilltop Apartments Double Efficiency | \$9,978 | \$10,476 | |
| Rate 6 | New South Campus (Single, Semi Suite Single, Full Suite Double) | - | \$10,476 | |
| Rate 7 | Charter Oak/Hilltop Apartments 4 Bedroom/4 Person | \$12,872 | \$13,226 | \$354 |
| Rate 8 | Charter Oak/Hilltop Apartments – 2 Bedroom/2 Person (new) | \$14,916 | \$15,662 | \$746 |

| Stamford Campus Rates - Board not required | | | | |
|---|--------------------------|-------------|----------------------|------------------------|
| Rate | Room Type | FY24 | FY25 Proposed | Dollar Increase |
| Stamford Rate 1 | Standard Shared Bedroom | \$11,142 | \$11,698 | \$556 |
| Stamford Rate 2 | Premium Shared Bedroom | \$13,514 | \$14,188 | \$674 |
| Stamford Rate 3 | Penthouse Shared Bedroom | \$14,114 | \$14,818 | \$704 |

| Storrs Campus Dining Rates | | | | |
|-----------------------------------|------------------|-------------|----------------------|------------------------|
| Rate | Plan Type | FY24 | FY25 Proposed | Dollar Increase |
| Rate 1 | Ultimate | \$6,658 | \$6,840 | \$182 |
| Rate 2 | Value | \$6,356 | \$6,530 | \$174 |
| Rate 3 | Custom | \$6,026 | \$6,192 | \$166 |



**2024-25 Academic Year Fee Proposal
Board of Trustees – December 6, 2023**

FY25 Housing and Dining

Considerations when increasing fees:

- **Student Affordability** - Ensure increases are modest and in line with financial aid funding.
- **Costs** - Commodity costs continue to rise, including utilities and food costs. Rate increases are needed to partially offset these rising expenses.
- **Rental Market Inflation** - Rates are developed to ensure UConn housing is competitive with off-campus options.
- **Demand** - Rate changes are, in part, based on student demand for specific rate types.

FY25 Housing and Dining

UConn rates are amongst the lowest of its competitors while student demand is greater for higher rate type options

| Competitor Schools (FY24 RATES) | | | |
|--|-----------------|-----------------|--------------------|
| | Housing | Dining | Housing and Dining |
| Northeastern University | \$ 12,070 | \$ 8,810 | \$ 20,880 |
| Boston University | \$ 11,600 | \$ 6,510 | \$ 18,110 |
| Syracuse University | \$ 11,308 | \$ 7,136 | \$ 18,444 |
| Boston College | \$ 10,470 | \$ 6,200 | \$ 16,670 |
| Quinnipiac University* | - | - | \$ 16,270 |
| University of Maryland-College Park | \$ 9,228 | \$ 6,188 | \$ 15,416 |
| University of Delaware | \$ 8,568 | \$ 6,270 | \$ 14,838 |
| University of Massachusetts-Amherst | \$ 8,686 | \$ 7,282 | \$ 15,968 |
| University of Connecticut | \$ 7,640 | \$ 6,356 | \$ 13,996 |
| University of Vermont | \$ 8,786 | \$ 4,568 | \$ 13,354 |
| Pennsylvania State University-Main Cam | \$ 7,614 | \$ 5,416 | \$ 13,030 |

| Student Demand by Rate Type** | | | |
|-------------------------------|-----------------|----------------|---------|
| Rate | Student Request | Available Beds | Excess |
| No Specific Request | 1,104 | | |
| Rate 1 (lowest) | 2,507 | 6,828 | 4,321 |
| Rate 2 | 1,732 | 633 | (1,099) |
| Rate 3 | 1,130 | 1,242 | 112 |
| Rate 4 | 1,944 | 1,598 | (346) |
| Rate 5 | 784 | 89 | (695) |
| Rate 6 | 1,299 | 574 | (725) |
| Rate 7 | 533 | 992 | 459 |
| Rate 8 (highest) | 1,105 | 182 | (923) |
| | 12,138 | 12,138 | |

*Quinnipiac does not report separate room and board rates

**Fall 2023 Student Request for Housing

Competitors include those institutions that share the most cross-admits with the University of Connecticut.

| <u>Inflation - Ending September 2023</u> | | Inflation continues to put pressure on the University's operating budget |
|--|------|--|
| Housing Inflation | 5.6% | |
| CPI | 3.7% | |
| Food and Beverage | 3.7% | |
| Energy Commodities | 2.2% | |



FY25 Proposed Housing and Dining Rates

Summary of Proposed Housing Rates

Storrs Campus Rates

| Rate | Housing Type | FY24 | FY25 Proposed | Percent Increase | Dollar Increase |
|--------|---|----------|---------------|------------------|-----------------|
| Rate 1 | Regular Double | \$7,640 | \$7,850 | 2.75% | \$210 |
| Rate 1 | Triple Traditional Residence Hall | \$7,640 | \$7,850 | | |
| Rate 1 | Quad Four Person Room | \$7,640 | \$7,850 | | |
| Rate 1 | Northwood Apartments (Double in 2 Bedroom/3 Person) | \$7,640 | \$7,850 | 5.00% | \$468 |
| Rate 2 | Werth Hall Double | \$7,836 | \$8,228 | | |
| Rate 3 | Busby/Garrigus Suites Triples | \$8,398 | \$8,628 | | |
| Rate 4 | Busby/Garrigus/South Suites Double | \$9,362 | \$9,830 | 5.00% | \$476 |
| Rate 4 | Husky Village | \$9,362 | \$9,830 | | |
| Rate 4 | Northwood Apartments (Single in 2 Bed/2 Person) | \$9,362 | \$9,830 | | |
| Rate 4 | Regular Single | \$9,362 | \$9,830 | | |
| Rate 4 | Shippee C Section | \$9,362 | \$9,830 | 5.00% | \$476 |
| Rate 5 | Werth Hall Single | \$9,508 | \$9,984 | | |
| Rate 5 | New South Campus (Double, Semi Suite Double) | - | \$9,984 | - | - |
| Rate 6 | Busby/Garrigus/South Suites Single | \$9,978 | \$10,476 | 5.00% | \$498 |
| Rate 6 | Charter Oak/Hilltop Apartments 2 Bedroom/4 Person | \$9,978 | \$10,476 | | |
| Rate 6 | Hilltop Apartments Double Efficiency | \$9,978 | \$10,476 | | |
| Rate 6 | New South Campus (Single, Semi Suite Single, Full Suite Double) | - | \$10,476 | - | - |
| Rate 7 | Charter Oak/Hilltop Apartments 4 Bedroom/4 Person | \$12,872 | \$13,226 | 2.75% | \$354 |
| Rate 8 | Charter Oak/Hilltop Apartments – 2 Bedroom/2 Person (new) | \$14,916 | \$15,662 | 5.00% | \$746 |

Stamford Campus Rates

| Rate | Housing Type | FY24 | FY25 Proposed | Percent Increase | Dollar Increase |
|----------|--------------------------|----------|---------------|------------------|-----------------|
| S Rate 1 | Standard Shared Bedroom | \$11,142 | \$11,698 | 5.00% | \$556 |
| S Rate 2 | Premium Shared Bedroom | \$13,514 | \$14,188 | 5.00% | \$674 |
| S Rate 3 | Penthouse Shared Bedroom | \$14,114 | \$14,818 | 5.00% | \$704 |

Summary

- Proposed rate changes are 2.75% for lower demand units and 5.00% for higher demand units in Storrs and across all Stamford rates.
- New South Campus rates 5 and 6.
- Dining rates will increase by 2.75% at the Storrs campus.
- New revenues will be reinvested in the housing program's capital improvement plan.

Summary of Proposed Dining Rates

| Rate | Plan Type | FY24 | FY25 Proposed | Percent Increase | Dollar Increase |
|--------|-----------|---------|---------------|------------------|-----------------|
| Rate 1 | Ultimate | \$6,658 | \$6,840 | 2.75% | \$182 |
| Rate 2 | Value | \$6,356 | \$6,530 | 2.75% | \$174 |
| Rate 3 | Custom | \$6,026 | \$6,192 | 2.75% | \$166 |



Proposed Cost of Attendance (in-state)

FY25 marks the final year of the university's five-year tuition plan, reflecting a \$678 increase over FY24. There are no proposed increases to mandatory fees in FY25.

| Cost of Attendance - Storrs Undergraduate | | | | |
|---|------------------|------------------|-----------------|--------------|
| | FY24 | FY25 | \$ Change | % Change |
| Tuition | \$ 16,332 | \$ 17,010 | \$ 678 | 4.15% |
| General University Fee | 1,570 | 1,570 | - | - |
| Student Health Services | 776 | 776 | - | - |
| Student Recreation Center Fee | 500 | 500 | - | - |
| Infrastructure Maintenance Fee | 618 | 618 | - | - |
| Technology Fee | 184 | 184 | - | - |
| Transit Fee | 194 | 194 | - | - |
| Student Group Fees | 192 | 192 | - | - |
| Total, Commuting Student | \$ 20,366 | \$ 21,044 | \$ 678 | 3.33% |
| Housing (Rate 1) | 7,640 | 7,850 | 210 | 2.75% |
| Dining (Value Plan) | 6,356 | 6,530 | 174 | 2.75% |
| Total, Dormitory Student | \$ 34,362 | \$ 35,424 | \$ 1,062 | 3.09% |

| Cost of Attendance - Storrs Graduate | | | | |
|--------------------------------------|------------------|------------------|-----------------|--------------|
| | FY24 | FY25 | \$ Change | % Change |
| Tuition | \$ 18,834 | \$ 19,512 | \$ 678 | 3.60% |
| General University Fee | 1,072 | 1,072 | - | - |
| Student Health Services | 776 | 776 | - | - |
| Student Recreation Center Fee | 400 | 400 | - | - |
| Infrastructure Maintenance Fee | 618 | 618 | - | - |
| Student Activity Fee | 32 | 32 | - | - |
| Graduate Matriculation Fee | 84 | 84 | - | - |
| Transit Fee | 194 | 194 | - | - |
| Technology Fee | 184 | 184 | - | - |
| Total, Commuting Student | \$ 22,194 | \$ 22,872 | \$ 678 | 3.05% |
| Housing (Rate 4) | 9,362 | 9,830 | 468 | 5.00% |
| Dining (Value) | 6,356 | 6,530 | 174 | 2.75% |
| Total, Dormitory Student | \$ 37,912 | \$ 39,232 | \$ 1,320 | 3.48% |

| Stamford Undergraduate | | | | |
|---------------------------------|------------------|------------------|-----------------|--------------|
| | FY24 | FY25 | \$ Change | % Change |
| Tuition | \$ 16,332 | \$ 17,010 | \$ 678 | 4.15% |
| General University Fee | 124 | 124 | - | - |
| Student Health Services | 80 | 80 | - | - |
| Infrastructure Maintenance Fee | 618 | 618 | - | - |
| Student Government | 80 | 80 | - | - |
| Transit Fee | 54 | 54 | - | - |
| Technology Fee | 184 | 184 | - | - |
| Total, Commuting Student | \$ 17,472 | \$ 18,150 | \$ 678 | 3.88% |
| Housing (Stamford Rate 1) | 11,142 | 11,698 | 556 | 5.00% |
| Total, Dormitory Student | \$ 28,614 | \$ 29,848 | \$ 1,234 | 4.31% |

| Other Regionals Undergraduate | | | | |
|---------------------------------|------------------|------------------|---------------|--------------|
| | FY24 | FY25 | \$ Change | % Change |
| Tuition | \$ 16,332 | \$ 17,010 | \$ 678 | 4.15% |
| General University Fee | 124 | 124 | - | - |
| Student Health Services | 80 | 80 | - | - |
| Infrastructure Maintenance Fee | 618 | 618 | - | - |
| Student Government* | 70 | 70 | - | - |
| Transit Fee | 54 | 54 | - | - |
| Technology Fee | 184 | 184 | - | - |
| Total, Commuting Student | \$ 17,462 | \$ 18,140 | \$ 678 | 3.88% |

*Hartford Student Government Fee is \$60

Proposed Cost of Attendance (out-of-state)

FY25 marks the final year of the university's five-year tuition plan, reflecting a \$678 increase over FY24. There are no proposed increases to mandatory fees in FY25.

| Cost of Attendance - Storrs Undergraduate | | | | |
|---|------------------|------------------|-----------------|--------------|
| | FY24 | FY25 | \$ Change | % Change |
| Tuition | \$ 39,000 | \$ 39,678 | \$ 678 | 1.74% |
| General University Fee | 1,570 | 1,570 | - | - |
| Student Health Services | 776 | 776 | - | - |
| Student Recreation Center Fee | 500 | 500 | - | - |
| Infrastructure Maintenance Fee | 618 | 618 | - | - |
| Technology Fee | 184 | 184 | - | - |
| Transit Fee | 194 | 194 | - | - |
| Student Group Fees | 192 | 192 | - | - |
| Total, Commuting Student | \$ 43,034 | \$ 43,712 | \$ 678 | 1.58% |
| Housing (Rate 1) | 7,640 | 7,850 | 210 | 2.75% |
| Dining (Value Plan) | 6,356 | 6,530 | 174 | 2.75% |
| Total, Dormitory Student | \$ 57,030 | \$ 58,092 | \$ 1,062 | 1.86% |

| Cost of Attendance - Storrs Graduate | | | | |
|--------------------------------------|------------------|------------------|-----------------|--------------|
| | FY24 | FY25 | \$ Change | % Change |
| Tuition | \$ 40,746 | \$ 41,424 | \$ 678 | 1.66% |
| General University Fee | 1,072 | 1,072 | - | - |
| Student Health Services | 776 | 776 | - | - |
| Student Recreation Center Fee | 400 | 400 | - | - |
| Infrastructure Maintenance Fee | 618 | 618 | - | - |
| Student Activity Fee | 32 | 32 | - | - |
| Graduate Matriculation Fee | 84 | 84 | - | - |
| Transit Fee | 194 | 194 | - | - |
| Technology Fee | 184 | 184 | - | - |
| Total, Commuting Student | \$ 44,106 | \$ 44,784 | \$ 678 | 1.54% |
| Housing (Rate 4) | 9,362 | 9,830 | 468 | 5.00% |
| Dining (Value) | 6,356 | 6,530 | 174 | 2.75% |
| Total, Dormitory Student | \$ 59,824 | \$ 61,144 | \$ 1,320 | 2.21% |

| Stamford Undergraduate | | | | |
|---------------------------------|------------------|------------------|-----------------|--------------|
| | FY24 | FY25 | \$ Change | % Change |
| Tuition | \$ 39,000 | \$ 39,678 | \$ 678 | 1.74% |
| General University Fee | 124 | 124 | - | - |
| Student Health Services | 80 | 80 | - | - |
| Infrastructure Maintenance Fee | 618 | 618 | - | - |
| Student Government | 80 | 80 | - | - |
| Transit Fee | 54 | 54 | - | - |
| Technology Fee | 184 | 184 | - | - |
| Total, Commuting Student | \$ 40,140 | \$ 40,818 | \$ 678 | 1.69% |
| Housing (Stamford Rate 1) | 11,142 | 11,698 | 556 | 5.00% |
| Total, Dormitory Student | \$ 51,282 | \$ 52,516 | \$ 1,234 | 2.41% |

| Other Regionals Undergraduate | | | | |
|---------------------------------|------------------|------------------|---------------|--------------|
| | FY24 | FY25 | \$ Change | % Change |
| Tuition | \$ 39,000 | \$ 39,678 | \$ 678 | 1.74% |
| General University Fee | 124 | 124 | - | - |
| Student Health Services | 80 | 80 | - | - |
| Infrastructure Maintenance Fee | 618 | 618 | - | - |
| Student Government* | 70 | 70 | - | - |
| Transit Fee | 54 | 54 | - | - |
| Technology Fee | 184 | 184 | - | - |
| Total, Commuting Student | \$ 40,130 | \$ 40,808 | \$ 678 | 1.69% |

*Hartford Student Government Fee is \$60

INFORMATIONAL ITEMS

**University of Connecticut Department of Human Resources
Hires Processed from September 30, 2023 to October 31, 2023
Presented to the Board of Trustees for Information on Professional Employees**

| NAME | TITLE | DEPARTMENT | DATE |
|--------------------------------|--------------------------------|-------------------------------|-------------|
| Bies,Carina S | Publicity/Marketing Coord | Jorgensen Performing Arts Ctr | 10/6/2023 |
| Bowling,Elizabeth Jane | Student Services Prog Coord | Student Health and Wellness | 10/20/2023 |
| Branagan,Ashley | Student Services Prog Assist 2 | Athletics | 10/6/2023 |
| Brown,Lamont | Graphic Designer | Athletics Marketing | 10/20/2023 |
| Burland,Julie P. | Asst Research Professor | Kinesiology | 10/20/2023 |
| Candelaria,Margo Aris | Assoc Research Professor | Innovations Institute | 10/6/2023 |
| Carlson,Benjamin Santosham | Postdoctoral Research Assoc | Eversource Energy Center | 10/6/2023 |
| Celona,Elizabeth | Research Asst 1 | Innovations Institute | 10/6/2023 |
| Chambers,Elizabeth Ann Marie | Research Asst 3 | Innovations Institute | 10/6/2023 |
| Chistyakova,Marina Chistyakova | Research Assoc 1 | Psychological Sciences | 10/6/2023 |
| Chiurillo,Mona Anne | Ad Practice Registered Nurse | Student Health and Wellness | 10/6/2023 |
| Clement-Lam,Siu Yin | Asst Research Professor | Psychological Sciences | 10/20/2023 |
| Cox,Erin Elizabeth | Admin Program Support 4 | Athletics Compliance Office | 10/20/2023 |
| Craig,Tara | Admin Program Support 1 | Pathobiology | 10/20/2023 |
| Cusson,Kyle | Specialist IA | Athletics Strength Center | 10/6/2023 |
| Davidson,Matthew G | Computer Support Specialist 1 | CLAS Information Technology | 10/20/2023 |
| DeVito,Thomas John | Procurement Specialist 1 | University Business Services | 10/6/2023 |
| Farrell,Jill Lynn | Research Scientist | Innovations Institute | 10/6/2023 |
| Geissler,Cole Jared | Laboratory Assistant | Ecology and Evolutionary Bio | 10/6/2023 |
| Gill,Renee Elizabeth | Student Services Prog Coord | Student Health and Wellness | 10/6/2023 |
| Hoey-Bailey,Elizabeth Coakley | Admin Program Support 3 | Innovations Institute | 10/6/2023 |
| Holland,Ryan Nicholas | Financial Assistant 1 | Student Activities | 10/20/2023 |
| Huhn,Frederick William | Dining Serv Area Asst Manager | Dining Services | 10/20/2023 |
| Hutchison,Heather | Research Assoc 1 | Innovations Institute | 10/6/2023 |
| Isiminger,Aaron Crispulo | Research Asst 2 | Innovations Institute | 10/6/2023 |

**University of Connecticut Department of Human Resources
Hires Processed from September 30, 2023 to October 31, 2023
Presented to the Board of Trustees for Information on Professional Employees**

| NAME | TITLE | DEPARTMENT | DATE |
|-------------------------------|--------------------------------|--------------------------------|-------------|
| Jin,Ailan | Research Asst 2 | Social Work Instruct and Rsrch | 10/20/2023 |
| Kranc,Albert | Visiting Instructor - LAW | Law Instruction and Research | 10/20/2023 |
| Li,Yi | Postdoctoral Research Assoc | Materials Science Institute | 10/20/2023 |
| Liang,Xinwen | Research Asst 2 | Social Work Instruct and Rsrch | 11/3/2023 |
| Martinez-Cortes,Jessica Janet | Student Services Prog Assist 1 | Lodewick Visitors Center | 10/20/2023 |
| McNamara,Nicholas Allen | Systems Administrator 2 | Network Engr and Design | 10/6/2023 |
| Mihaly,Amanda Maria | Research Assoc 1 | Social Work Instruct and Rsrch | 10/6/2023 |
| Morel,Kathryn Alice | Student Services Prog Assist 2 | One Stop Student Services | 9/22/2023 |
| Shaw,Jessica Sue Turner | Postdoctoral Research Assoc | Marine Sciences | 10/6/2023 |
| Soucy,Michael Norman | Facilities Professional | CLAS Facility Services | 10/6/2023 |
| Sweeney,Kate | Asst Extension Professor | Innovations Institute | 10/6/2023 |
| Tiamiyu,Bashir Bolaji | Postdoctoral Research Assoc | Plant Sci and Landscape Arch | 10/20/2023 |
| Tremblay,Cassandra | Nurse | Student Health and Wellness | 10/20/2023 |
| Urban,Christine M. | Admin Program Support 2 | Student Health and Wellness | 10/20/2023 |
| Vannatta,Rachel Lee | Research Assoc 1 | Innovations Institute | 10/6/2023 |
| Vassilopoulos,Margaret | Financial Assistant 2 | CLAS Grant Management | 10/6/2023 |
| Williams,David | Contract Specialist 2 | University Business Services | 10/20/2023 |
| Wilson,Steven Mark | Extension Instructor 11 Mo | Finance | 10/6/2023 |
| Xiang,Chong | Postdoctoral Research Assoc | Materials Science Institute | 11/3/2023 |
| Yang, Ji Hyun | Postdoctoral Research Assoc | Materials Science Institute | 10/4/2023 |
| Zavez,Katherine Michelle | Postdoctoral Research Assoc | Statistics | 10/20/2023 |

University of Connecticut Department of Human Resources
Separations Processed from September 30, 2023 to October 31, 2023
Presented to the Board of Trustees for Information on Professional Employees

| NAME | TITLE | SEPARATION REASON | DEPARTMENT | DATE |
|------------------------------|--------------------------------|--------------------------|--------------------------------|-------------|
| Aguero Barrantes,Pablo | Postdoctoral Research Assoc | Separation | CT Transportation Institute | 10/3/2023 |
| Alyeldin,Sameh | Envir Health and Safety Spec 2 | Separation | Environmental Hlth and Safety | 10/6/2023 |
| Analoui,Mostafa | Research Professor | Separation | Biomedical Engineering | 9/30/2023 |
| Banks Jr,Johnny | Academic Advisor 1 | Separation | Avery Point Campus | 9/30/2023 |
| Byron,Alexander | Financial Assistant 2 | Separation | CLAS Grant Management | 9/23/2023 |
| Christensen,Sarah E | Ed Program Assistant 1 | Separation | Economics | 10/3/2023 |
| Fausett-Fuhrman,Elizabeth L | Ed Program Administrator | Separation | International Studies Assoc | 10/3/2023 |
| Johnson,Maureen Geralyn | Asst Clinical Professor - LAW | Separation | Law Instruction and Research | 8/23/2023 |
| Los Jr,Christopher M | Financial Assistant 2 | Separation | CLAS Business Center | 10/3/2023 |
| McAlhany,Joseph | Asst Professor | Separation | History | 8/23/2023 |
| Medina,Kaylea Amber | Ed Program Coordinator | Separation | Nursing | 10/19/2023 |
| Mozdzierz,Hannah | Dining Serv Area Asst Manager | Separation | Dining Services | 9/4/2023 |
| Saunders,DeNee Kathleen | Ed Program Assistant 2 | Separation | Law | 10/14/2023 |
| Smith,Andre Raphel | Asst Professor | Separation | Music | 8/23/2023 |
| Szarek,Phoebe Elizabeth | Postdoctoral Research Assoc | Separation | Mechanical Engineering | 9/25/2023 |
| Williams,Jamie | Financial Assistant 2 | Separation | Agriculture,Health,Natural Rcs | 10/6/2023 |
| Willis-Berry,Antonio Markkel | U Staff Professional 2 | Separation | Residential Life | 10/20/2023 |

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 11/20/23
Presented to the Board of Trustees for Information on Professional Employees

| NAME | TITLE | DEPARTMENT | DATES | | REASON FOR LEAVE | PAY |
|----------------------|-----------------|------------------------------|------------|------------|------------------|--------|
| | | | START | END | | STATUS |
| Ting-An Lin | Asst Professor | Philosophy | 8/23/2023 | 8/22/2024 | Personal | Unpaid |
| Coble, Denis | Assoc Professor | Allied Health Sciences | 8/23/2023 | 11/1/2023 | Medical | Unpaid |
| Theodore, Rachel | Assoc Professor | Speech, Lang and Hearing Sci | 9/24/2023 | 9/23/2024 | Personal | Unpaid |
| Shringarpure, Bhakti | Assoc Professor | English | 10/25/2023 | 5/21/2024 | Personal | Unpaid |
| Rathbun, Molly | Specialist IA | Athletics WI Softball | 9/18/2023 | 10/29/2023 | Bonding | Unpaid |

COMMITTEE AGENDAS

AGENDA

Meeting of the
BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE
November 28, 2023, at 10:00 a.m.
University of Connecticut

Meeting held by Telephone

Public Call In Number:

(415) 655-0002 US Toll

Access Code: 2634 442 5551

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **10:00 a.m.**

1. Public Participation*

*Individuals who wish to speak during the Public Participation portion of the Tuesday, November 28, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, November 27) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

TAB

- | | |
|--|---|
| 2. Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of September 19, 2023, as circulated | 1 |
| 3. Construction Assurance Office Report – November 2023 ➤ Presenter: Angelo Quaresima, Associate Vice President and Chief Audit Executive | 2 |
| 4. Project Updates ~ Storrs Based Programs (Facilities Operations) ➤ Presenter: Stanley Nolan, Interim Associate Vice President for Facilities Operations | 3 |
| 5. Project Updates ~ Storrs Based Programs (UPDC) ➤ Presenter: Laura Cruickshank, Associate Vice President for University Planning, Design and Construction | 4 |
| 6. UConn Health Updates, Facilities Development and Operations ➤ Presenter: George Karsanow, Associate Vice President for UConn Health Campus Planning, Design & Construction | 5 |

7. Projects Reviewed by BGE and to be presented to Financial Affairs on 12/06/23:

| <u>STORRS BASED PROGRAMS</u> | <u>Phase</u> | <u>Budget</u> | <u>Tab</u> |
|--|------------------|---------------|------------|
| Energy Savings Performance Contract Phase 2 | Planning | \$500,000 | 6 |
| Investment Grade Energy Audit | | | |
| Innovation Partnership Building (IPB) Renovations for the Center for Clean Energy Engineering (C2E2) | Final | \$5,400,000 | 7 |
| Jones Annex Renovation | Revised Final | \$4,940,000 | 8 |
| North and South Parking Garages Restoration, Phase 4 | Revised Final | \$4,000,000 | 9 |
| Werth Residence Tower High Humidity Mitigation | Revised Planning | \$925,000 | 10 |
| Field House – Old Rec Center Renovation | Revised Design | \$8,500,000 | 11 |
| <u>UCONN HEALTH</u> | | | |
| Anatomic Pathology & Autopsy Renovation | Final | \$1,175,000 | 12 |
| TB-121 Blood Bank Relocation | Revised Final | \$1,075,000 | 13 |
| Central Sterile Washer & Sterilizer Replacement | Revised Final | \$TBD | 14 |

INFORMATION ITEMS:

- 8. Audit of UCONN 2000 Projects – Information Only 15
- 9. Summary of Individual Change Orders Greater Than 3% of Project Cost (Storrs based projects) 16
- 10. Status of Code Correction Projects 17
 - Construction Management Oversight Committee Quarterly Code Correction Status Report – Code Exception Report
 - Quarterly Construction Status Report, Period Ending September 30, 2023 [UConn Quarterly Construction Status Report 09302023web.pdf](#)
- 11. Construction Projects Status Report [Construction Status Report to the BOT \(uconn.edu\)](#)
- 12. 2024 BGE Meeting Schedule (Updated) 18
- 13. Other Business
- 14. Executive Session (*As Needed*)
- 15. Adjournment

AGENDA

Meeting of the
CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE
November 28, 2023, at 10:00 a.m.
University of Connecticut

Meeting held by Telephone

Public Call In Number:
(415) 655-0002 US Toll
Access Code: 2634 442 2551

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **10:00 a.m.**

1. Public Participation*

*Individuals who wish to speak during the Public Participation portion of the Tuesday, November 28, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, November 27) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2. Construction Management Oversight Committee Membership

3. Executive Session (*As Needed*)

4. Adjournment

SPECIAL TELEPHONE MEETING
UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES
HONORS AND AWARDS COMMITTEE

AGENDA

Meeting held by Telephone
Public Call In Number:
(415) 655-0002 US Toll
Access Code: 2633 251 1797

November 27, 2023

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **3:30 p.m.**

1. Public Participation (limited to agenda items)*

* Individuals who wish to speak during the Public Participation portion of the Monday, November 27, meeting must do so 24 hours in advance of the meeting's start time (i.e., 3:30 p.m. on Friday, November 24) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2. Minutes of the Special Meeting of March 27, 2023

3. Executive Session

4. Honorary Degree Nominees

5. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES

STUDENT LIFE COMMITTEE

November 29, 2023, 4:00 p.m.

AGENDA

University of Connecticut
Hartford Campus
Room 216
10 Prospect Street
Hartford, Connecticut

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

1. Call to Order
2. Public Participation*
* Individuals who wish to speak during the Public Participation portion of the Wednesday, November 29, meeting must do so in person and sign up 24 hours in advance of the meeting's start time (i.e., 4:00 p.m. on Tuesday, November 28) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via BoardCommittees@uconn.edu, and all comments will be transmitted to the Committee.
3. Minutes from the September 20, 2023, meeting
4. Off-Campus Housing Position Update
5. Housing Prioritization Policy Update
6. Sexual Assault Taskforce Report Update
7. Stamford Meal Swipes Program Overview
8. Husky Harvest and Food Insecurity Update
9. Briefing on Student Engagement and Support During Times of Crisis
10. Other Business
11. Executive Session (as needed)
12. Adjournment

Academic Affairs

TELEPHONE MEETING

Board of Trustees
ACADEMIC AFFAIRS COMMITTEE

University of Connecticut

December 5, 2023

8:30 a.m.

AGENDA

Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 2633 798 5807

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **8:30 a.m.**

1. Public Participation*

*Individuals who wish to speak during the Public Participation portion of the Tuesday, December 5, meeting must do so 24 hours in advance of the meeting's start time (i.e., 8:30 a.m. on Monday, December 4) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

ACTION ITEMS

2. Minutes from the October 24, 2023, Meeting (Attachment 1)
3. Designation of Emeritus Status (Attachment 2)
4. Sabbatical Leave Recommendations (Attachment 3)
5. Bachelor of Science in Economics (Attachment 4)
6. Doctor of Philosophy in Engineering Education (Attachment 5)
7. Online Master of Social Work (Attachment 6)
8. Graduate Certificate in Diversity Science (Attachment 7)

INFORMATIONAL ITEMS

9. Academic Program Inventory

(Attachment A)

10. Executive Session (as needed)

11. Other Business

12. Adjournment

ATTACHMENT A

December 5, 2023

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Academic Program Inventory

BACKGROUND:

The Office of Higher Education maintains an inventory of approved academic programs offered by public and independent colleges and universities in Connecticut. Those listings are available to the general public through the Office's web site and provide the most accurate up-to-date information about programs of study in our state.

The information on the Inventory of Approved Academic programs is an important resource and is used to convey educational information to a broad range of constituencies, both in Connecticut and across the country. Additionally, in order for veterans to receive their earned educational benefits, they must be enrolled in a program that is accredited.

The following non-substantive changes and updates are provided to the Board for informational purposes.

- Change of name, undergraduate major, *from Geosciences to Earth Sciences*
- Elimination of MBA dual degree programs – *internal catalog change only; no elimination of actual degrees*

Financial Affairs

AGENDA

Meeting of the
FINANCIAL AFFAIRS COMMITTEE
December 5, 2023, at 10:00 a.m.
University of Connecticut

Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 2632 692 7678

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **10:00 a.m.**

1) Public Participation*

*Individuals who wish to speak during the Public Participation portion of the Tuesday, December 5, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, December 4) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

ACTION AND PRESENTATION ITEMS:

TAB

- | | |
|--|---|
| 2) Minutes of the October 24, 2023, Meeting | 1 |
| 3) Contracts and Agreements for Approval | 2 |
| 4) Fiscal Year 2025 Student Fees for the University of Connecticut, Storrs and Regional Campuses | 3 |

CAPITAL PROJECT BUDGETS FOR APPROVAL:

| <u>STORRS BASED PROGRAMS</u> | | <u>Phase</u> | <u>Budget</u> | |
|---|---------------------|--------------|---------------|--|
| 5) Energy Savings Performance Contract Phase 2 Investment Grade Energy Audit | Planning | \$500,000 | 4 | |
| 6) Innovation Partnership Building (IPB) Renovations for the Center for Clean Energy Engineering (C2E2) | Final | \$5,400,000 | 5 | |
| 7) Jones Annex Renovation | Revised Final | \$4,940,000 | 6 | |
| 8) North and South Parking Garages Restoration, Phase 4 | Revised Final | \$4,000,000 | 7 | |
| 9) Werth Residence Tower High Humidity Mitigation | Revised Planning | \$925,000 | 8 | |
| 10) Field House – Old Rec Center Renovation | Revised Design | \$8,500,000 | 9 | |

UCONN HEALTH

| | | | |
|---|---------------|-------------|----|
| 11) Anatomic Pathology & Autopsy Renovation | Final | \$1,175,000 | 10 |
| 12) TB-121 Blood Bank Relocation | Revised Final | \$1,075,000 | 11 |
| 13) Central Sterile Washer & Sterilizer Replacement | Revised Final | \$6,340,000 | 12 |

INFORMATION ITEMS:

14) Contracts and Agreements for Information 13

15) Construction Project Status Report

<https://bpir.uconn.edu/wp-content/uploads/sites/3452/2023/12/Construction-Status-Report-12.6.23.pdf>

16) Other Business

17) Executive Session (*as needed*)

18) Adjournment

ATTACHMENT A

**CONTRACT AGREEMENTS
FOR INFORMATION
SEPTEMBER 29, 2021**

PROCUREMENT - NEW* FOR INFORMATION

*The Administration is seeking approval to enter into contracts based on the material terms and conditions identified below, subject to final legal review.

FIXED FEE ARCHITECT SERVICES - DESIGN ARCHITECT

| No. | Contractor | Contract No. | Approval Amount | Term | Fund Source | Program Director | Purpose | CT Based | S/MBE |
|-----|------------------------------|--------------|-----------------|---------------------|-------------|---|---|----------|-------|
| 1 | Amenta Emma Architects, P.C. | 300260 | \$3,705,420 | 10/11/23-Completion | UCONN 2000 | Joseph Thompson, Associate Vice President and Chief Procurement Officer | Provide design services to construct a new school of nursing located on the Storrs Campus. Project No. 300260 New School of Nursing. (Design Budget approved by the BOT on 10/25/23 - \$5,400,000) | X | X |

IT HARDWARE, SOFTWARE & SOFTWARE LICENSES

| No. | Contractor | Contract No. | Approval Amount | Term | Fund Source | Program Director | Purpose | CT Based | S/MBE |
|-----|----------------------------|--------------|-----------------|-------------------|-----------------|--|---|----------|-------|
| 2 | SailPoint Technologies Inc | GL171400595 | 814,660 | 07/01/23-06/30/26 | Operating Funds | Michael Mundrane, Vice President and Chief Information Officer | Centralized control enterprise system for managing identity security. Agreement is for three years to provide SailPoint Identity Security Cloud Suites. Sourced through General Letter 71 (GL71). | | |

IT PROFESSIONAL SERVICES

| No. | Contractor | Contract No. | Approval Amount | Term | Fund Source | Program Director | Purpose | CT Based | S/MBE |
|-----|---------------------|---------------|-----------------|-------------------|-----------------|--|---|----------|-------|
| 3 | KC Installation LLC | SSAG152945956 | \$900,000 | 07/01/23-06/30/26 | Operating Funds | Michael Mundrane, Vice President and Chief Information Officer | Daily management and maintenance as well as higher level troubleshooting and engineering support for the University's Western Electric 5ESS telephone switch. Agreement is a three year term. KC Installation (KCI) is the only firm with an active business unit available for on-site maintenance and support services, and provides this service for several telecom service providers still operating 5ESS switches, including Century Link, Verizon, Windstream, AT&T, and Nokia (current holder of 5ESS intellectual property). | | |

PROCUREMENT - AMENDMENTS* FOR INFORMATION

CONSTRUCTION SERVICES - CONSTRUCTION MANAGER

| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/23 | Expenditures FY23 | Expenditures FY22 | Purpose | CT Based | S/MBE |
|-----|------------------------|--------------|--|-------------------|-------------|---|-----------------------------------|-------------------|-------------------|--|----------|-------|
| 4 | O & G Industries, Inc. | 300241 | \$44,845,300 [Contract Value Previously \$29,081,561; Total New Contract Value \$73,926,861 | 11/10/22-01/23/26 | UCONN 2000 | Joseph Thompson, Associate Vice President and Chief Procurement Officer | \$73,926,861 | \$357,368 | \$0 | Construction Manager to provide construction services for the South Campus Infrastructure project located on the Storrs Campus. Contract value increase requested to support ongoing project. Increase contract value \$44,845,300, for total new contract value of \$73,926,821. Contract term remains the same. (Revised Final Budget approved by the BOT on 09/27/23 - \$89,500,000) . Contract increase is requested to amend GMP for Package No. 2. | | X |

**CONTRACT AGREEMENTS
FOR INFORMATION
SEPTEMBER 29, 2021**

| GLASS AND GLAZING SERVICES | | | | | | | | | | | | |
|---|----------------------------|--------------|--|--------------------|-----------------|--|-----------------------------------|-------------------|-------------------|---|----------|-------|
| No. | Contractor | Contract No. | Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/23 | Expenditures FY23 | Expenditures FY22 | Purpose | CT Based | S/MBE |
| 5 | Chase Glass Co., Inc | LM101520 | \$400,000 [Contract Value Previously \$500,000; Total New Contract Value \$900,000] | 02/01/21 - 1/31/26 | Operating Funds | Stanley Nolan, Interim AVP Facilities Operations & Building Services | \$420,404 | \$155,197 | \$191,566 | Vendor provides glass and glazing maintenance, installation and repair services to meet a wide variety of needs at all University campus locations. Amend to increase contract value \$400,000, for a new contract value of \$900,000. Increase request is to cover the continued maintenance, installation repairs to glass and glazing for the remainder of the contract terms. This University contract is the result of a public solicitation. Zero extensions remain. | X | X |
| IT HARDWARE, SOFTWARE & SOFTWARE LICENSES | | | | | | | | | | | | |
| No. | Contractor | Contract No. | Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/23 | Expenditures FY23 | Expenditures FY22 | Purpose | CT Based | S/MBE |
| 6 | Interfolio Inc. | SSAG10667502 | \$799,595 [Contract Value Previously \$200,404; Total New Contract Value \$999,999] | 08/19/22-10/31/26 | Operating Funds | Reka Wrynn, AVP for Budget, Planning and Institutional Research | \$738,566 | \$98,375 | \$0 | Review, Promotion & Tenure (RPT) module purchased in 2022 is being modified to add Faculty Activity Reporting (FAR) module. This module collects all activities including accreditation, institutional research, and CV generation of faculty members. Amend to increase contract value by \$799,595, for total new contract value of \$999,999. Amend to extend for two additional years. Two extensions of one year each remain. Contract increase request will cover the additional FAR module. | | |
| 7 | Teamworks Innovations Inc. | AG5169079 | \$58,648 [Contract Value Previously \$493,963; Total New Contract Value \$552,611] | 05/26/17-06/30/26 | Operating Funds | David Benedict, Director of Athletics | \$457,217 | \$21,062 | \$19,917 | NCAA compliance, nutritional tracking and planning, and recruitment management. Amend to increase contract value by \$58,648 for total new contract value of \$552,611. Contract term remains the same. Contract increase is requested to add two additional modules to the Teamworks portfolio, NoteMeal Kitchen - for nutrition planning for Men's and Women's Basketball Teams, and Whistle which will send out mass emails to potential football recruits. | | |

**CONTRACT AGREEMENTS
FOR INFORMATION
SEPTEMBER 29, 2021**

| ON-CALL CONSULTANT SERVICES - CIVIL AND STRUCTURAL ENGINEERING | | | | | | | | | | | CT Based | S/MBE |
|---|---|---------------------|---------------------|-------------------|------------------|---|-----------------------------------|-------------------|-------------------|---|----------|-------|
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/23 | Expenditures FY23 | Expenditures FY22 | Purpose | | |
| 8 | Barton & Loguidice, LLC (formerly known as Anchor Engineering Services, Inc.) | 012.1-4-NV-043021 | No Value | 05/01/18-04/30/24 | Multiple Sources | Joseph Thompson, Associate Vice President and Chief Procurement Officer | \$599,434 | \$154,409 | \$144,486 | On-Call Consultant Civil and Engineering services to be used at all UConn campuses to provide project support as needed. This "No Value" contract is being submitted for informational purposes to present spend to date. This agreement is used for Project F0500056 - North and South Parking Garage Restoration. Zero extensions remain. | | X |
| ON-CALL CONSULTANT SERVICES - GENERAL ARCHITECTURAL SERVICES | | | | | | | | | | | CT Based | S/MBE |
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/23 | Expenditures FY23 | Expenditures FY22 | Purpose | | |
| 9 | The S/L/A/M Collaborative, Inc. | 009.2-19-NV-043024 | No Value | 05/01/21-04/30/24 | Multiple Sources | Joseph Thompson, Associate Vice President and Chief Procurement Officer | \$631,540 | \$126,340 | \$23,000 | On-Call Consultant General Architectural services to be used at all UConn campuses to provide project support as needed. This "No Value" contract is being submitted for informational purposes to report spend to date for exceeding \$500,000. Zero extensions remain. | | X |
| ON-CALL CONSULTANT SERVICES - PROJECT MANAGEMENT OVERSIGHT SERVICES (PMO) | | | | | | | | | | | CT Based | S/MBE |
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/23 | Expenditures FY23 | Expenditures FY22 | Purpose | | |
| 10 | AECOM Technical Services, Inc. | 013-PMO-1-NV-043024 | No Value | 06/28/21-04/30/24 | Multiple Sources | Joseph Thompson, Associate Vice President and Chief Procurement Officer | \$737,120 | \$332,860 | \$219,045 | On-Call consultant General Architectural services for all campuses on an as needed basis. This "No Value "contract is being presented for informational purposes to report spend to date. Zero extensions remain. | | |
| ON-CALL TRADE SERVICES - SET-ASIDE ELECTRICAL | | | | | | | | | | | CT Based | S/MBE |
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/23 | Expenditures FY23 | Expenditures FY22 | Purpose | | |
| 11 | Collier Electrical Corporation | OC.ELEC2021.SBE-2 | No Value | 01/01/21-12/31/25 | Multiple Sources | Joseph Thompson, Associate Vice President and Chief Procurement Officer | \$510,626 | \$255,287 | \$93,759 | On-Call Set-Aside Electrical Trade services for all campuses on an as needed basis. This "No Value" contract is being presented for informational purposes to report spend to date for exceeding \$500,000. Zero extensions remain. | X | X |

**CONTRACT AGREEMENTS
FOR INFORMATION
SEPTEMBER 29, 2021**

| STANDARD FIXED-FEE ARCHITECTS' CONTRACTS | | | | | | | | | | | CT Based | S/MBE |
|--|------------------------------------|---------------|--|---------------------|-------------|---|-----------------------------------|-------------------|-------------------|---|----------|-------|
| No. | Contractor | Contract No. | New Approval Amount | Term | Fund Source | Program Director | Total Expenditures as of 11/01/23 | Expenditures FY23 | Expenditures FY22 | Purpose | | |
| 12 | Asplundh Engineering Services, LLC | 300136 | \$24,980 (Contract Value Previously \$1,097,739; Total New Contract Value \$1,122,719) | 06/06/22-12/31/25 | UCONN 2000 | Joseph Thompson, Associate Vice President and Chief Procurement Officer | \$1,116,719 | \$196,672 | \$0 | Provide additional design services for Project No. 300136 Eversource Second Electrical Feed located at the Storrs Campus. Amend to increase contract value \$24,980, for total new contract value of \$1,122,719. Contract term remains the same. (Final Budget Phase - approved by the BOT for Project 300136 - 09/27/23 - \$15,000,000). Contract increase is requested to allow for additional field investigations and design services. | | |
| 13 | JCJ Architecture, P.C. | 300133 | \$170,661 [Contract Value Previously \$2,693,215; Total New Contract Value \$2,863,876] | 09/09/20-Completion | UCONN 2000 | Joseph Thompson, Associate Vice President and Chief Procurement Officer | \$2,863,876 | \$365,334 | \$712,876 | Provide additional design services for Project 300133 UConn Hockey Arena located at the Storrs Campus. Amend to increase contract value \$170,661, for total new contract value of \$2,693,215. Contract term remains the same. (Final Budget Phase - approved by the BOT for Project 300133 - 04/28/21 - \$70,000,000). Contract increase is requested to allow for additional construction administration and additional design services. | | |
| 14 | Payette Associates, Inc. | 300050/901802 | \$5,390 [Contract Value Previously \$18,608,117; Total New Contract Value \$18,613,507] | 01/01/21-12/31/23 | UCONN 2000 | Joseph Thompson, Associate Vice President and Chief Procurement Officer | \$15,951,493 | \$1,698,798 | \$2,489,205 | Provide additional design services for Project 901802/300050 New STEM Research Center - Science 1 located at the Storrs Campus. Amend to increase contract value \$5,390, for total new contract value of \$18,613,507. Contract term remains the same. (Final Budget Phase - approved by the BOT for Project 901802 - 04/29/20- \$220,000,000, Project 300050 - 04/29/20 - \$56,000,000). Contract increase is requested to allow for additional design services. | | |
| 15 | Sasaki Associates, Inc. | 300234 | \$2,993,521 (Contract Value Previously \$4,101,120; Total New Contract Value \$7,094,641) | 01/13/22-07/31/25 | UCONN 2000 | Joseph Thompson, Associate Vice President and Chief Procurement Officer | \$7,094,641 | \$2,879,135 | \$588,415 | Provide additional design services for Project 300234 - Res Life Mansfield apartments redevelopment located at the Storrs Campus. Amend to increase contract value \$2,993,521, for total new contract value of \$7,094,641. Contract term remains the same. (Final Budget Phase - approved by the BOT on 06/29/22 - \$12,000,000). Contract increase is requested to allow for additional design service as the architect of record for a construction manager at risk project delivery. | | |

ATTACHMENT B

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -December 6, 2023

| Project Name by Construction Phase | Project # | Current BOT Approved Budget | Expenditures & Encumbrances (As of 11/20/23) | Budget Phase | Budgeted Funding Source(s) | BOT Budget Approval Date(s) | Estimated Completion Date | Estimated Construction % Complete |
|---|-------------------|-----------------------------|--|--|---|--|---------------------------|-----------------------------------|
| Planning Budget Phase | | | | | | | | |
| Energy Services Performance Contract | FO502072 | \$500,000 | | P | University Funds | 12/06/23-pending | | |
| Von Der Mehden Recital Hall Roof Restoration | FO501881 | \$1,010,000 | \$42,305 | P | University Funds | 10/25/23 | | |
| Revised Planning Budget Phase | | | | | | | | |
| Werth Residence Hall High Humidity Mitigation | 300251 | \$925,000 | \$363,706 | RP | University Funds | 12/06/23-pending | | |
| Design Budget Phase | | | | | | | | |
| School of Nursing Building | 300260 | \$5,400,000 | \$395,862 | P-\$2M D-\$5.4M | UCONN 2000 Bond Funds | 06/28/23 10/25/23 | | |
| Revised Design Budget Phase | | | | | | | | |
| Field House- Old Rec Center Renovation | 300209 | \$8,500,000 | \$2,742,189 | RP-\$3M D-\$4.5M RD-\$8.5M | UCONN 2000 Bond Funds University Funds | 06/29/22 06/28/23 12/06/23-pending | | |
| Mirror Lake Improvements | 300174 | \$4,000,000 | \$2,992,748 | D-\$1.6M RD-\$2.6M RD- \$4.0M | UCONN 2000 Bond Funds | 10/27/21 03/30/22 04/19/23 | | |
| Final Budget Phase | | | | | | | | |
| Academic and Research Facilities - STEM Research Center | 901802 | \$220,000,000 | \$172,348,529 | P-\$1M RP-\$5M RP-\$15M D-\$15M F-\$220M | UCONN 2000 Bond Funds | 11/13/13 06/29/16 09/27/17 06/26/19 04/29/20 | Spring 2024 | 99% |
| Avery Point Seawall Exigent Improvements | 300253 | \$3,063,069 | \$2,532,315 | F | UCONN 2000 Bond Funds | 12/07/22 | January 2024 | 95% |
| Branford House Exterior Repairs, Phases 1 & 2 | FO500106 | \$800,000 | \$786,824 | F | University Funds | 02/22/23 | January 2024 | 70% |
| C2E2 To IPB Office & Lab Renovation | 300264/ TL2547 | \$5,400,000 | \$898,940 | P-\$1.4M F-\$5.4M | UCONN 2000 Bond Funds | 10/25/23 12/06/23-pending | | |
| Dining Hall Facilities Ventilation Upgrades | FO500010 | \$892,700 | \$381,946 | F | University Funds | 02/21/18 | August 2024 | 25% |
| Electric Vehicle Charging Infrastructure & Service Upgrades | FO502039 | \$957,200 | \$0 | F | University Funds | 09/27/23 | November 2024 | 0% |
| Eversource Second Electrical Feed | 300136 | \$15,000,000 | \$1,814,344 | D-\$3M F-\$15M | UCONN 2000 Bond Funds | 12/08/21 09/27/23 | Fall 2026 | 0% |
| Fenton River Well Field and Road Replacement | 901653 | \$1,700,000 | \$1,540,197 | F | UCONN 2000 Bond Funds | 04/19/23 | January 2024 | 95% |
| Freitas Renovation | 300252 | \$2,500,000 | \$878,713 | F | Gift Funds | 04/19/23 | Fall 2023 Summer 2024 | Phase 1: 99% Phase 2: 0% |
| Gampel Pavilion Court Replacement | 300255 | \$688,480 | \$626,901 | F | University Funds | 12/07/22 | January 2024 | 99% |
| Gilbert Road Site Preparation | 300235 | \$6,600,000 | \$3,245,765 | RD-\$1.25M F-\$6.6M | UCONN 2000 Bond Funds | 06/29/22 | Winter 2024 | Phase 1: 88% Phase 2: 0% |

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -December 6, 2023

| Project Name by Construction Phase | Project # | Current BOT Approved Budget | Expenditures & Encumbrances (As of 11/20/23) | Budget Phase | Budgeted Funding Source(s) | BOT Budget Approval Date(s) | Estimated Completion Date | Estimated Construction % Complete |
|---|-------------------|-----------------------------|--|--|---|--|---|---|
| Final Budget Phase - continued | | | | | | | | |
| Greer Field House Indoor Track Resurfacing | FO500159 | \$807,092 | \$758,810 | F | University Funds | 12/07/22 | January 2024 | 95% |
| Hydrogen Fuel Dispenser | FO502031 | \$835,500 | \$0 | F | University Funds | 09/27/23 | November 2024 | 0% |
| Jones Annex Renovation | 300203/ TL2540 | \$4,940,000 | \$850,677 | D-\$940k F-\$4.94M | UCONN 2000 Bond Funds | 09/28/22 12/06/23-pending | | |
| Kinesiology Bldg. Human Anatomy Learning Laboratory Renovation | 300188 | \$753,500 | \$517,055 | F | UCONN 2000 Bond Funds | 12/09/20 | January 2024 | 99% |
| Northwest Quad: Science 1-Site Improvements | 300050 | \$56,000,000 | \$52,577,986 | RP-\$1M D-\$7.5M F-\$56M | UCONN 2000 Bond Funds | 02/21/18 06/27/18 04/29/20 | January 2024 | 99% |
| Residential Life Facilities: South Campus Residence Hall | 300200 | \$215,000,000 | \$154,781,724 | P-\$800k D-\$6.5M F-\$215M | UCONN 2000 Bond Funds | 12/08/21 12/09/20 08/03/22 | Fall 2024 | 60% |
| Stamford Abutting Property Remediation | 300149 | \$2,500,000 | \$1,973,472 | F | UCONN 2000 Bond Funds | 04/24/19 | Winter 2024 | 99% |
| UConn Hockey Arena | 300133 | \$70,000,000 | \$67,186,487 | RP- \$0.85M D-\$2.85M RD-\$4.6M F-\$70M | University Funds Revenue Bonds Gift Funds | 08/14/19 01/29/20 09/03/20 04/28/21 | January 2024 | 98% |
| White Building Roof and Drainage System Replacement | FO500127 | \$945,800 | \$938,488 | F | University Funds | 06/29/22 | July 2023 January 2024 | Phase 1 - 100% Phase 2 - 95% |
| Wilbur Cross Cupola Repair | FO500161 | \$932,000 | \$846,900 | F | University Funds | 10/25/23 | August 2024 | 0% |
| Wired Access Layer Infrastructure Refresh - Phase III | Various | \$4,000,000 | \$3,942,041 | F | UCONN 2000 Bond Funds | 09/29/21 | January 2024 | 99% |
| Wired Access Layer Infrastructure Refresh - Phase IV | Various | \$2,000,000 | \$1,835,010 | F | UCONN 2000 Bond Funds | 06/29/22 | March 2024 | 78% |
| Wired Access Layer Infrastructure - Cabling Phase V | Various | \$2,000,000 | \$342,864 | F | UCONN 2000 Bond Funds | 06/28/23 | September 2024 | 20% |
| Revised Final Phase | | | | | | | | |
| Academic & Research Facilities - Gant Building Renovations - STEM | 901803 | \$268,000,000 | \$153,354,400 | P-\$1M RP-\$5M D-\$15M F-\$85M RF-\$170M | UCONN 2000 Bond Funds University Funds | 11/13/13 09/30/15 08/10/16 02/22/17 06/26/19 | TBD | MOB-100% Phase 1-100% Phase 2-100% Phase 3-0% |
| Andover Infrastructure and Software Upgrade- Phase I, II, III | FO500073 | \$3,855,439 | \$3,480,051 | F-\$2.6M F-\$3.346M RF-\$3.48M FR-3.48M | University Funds | 09/30/20 02/24/21 04/27/22 06/28/23 10/25/23 | COMPLETE COMPLETE COMPLETE July 2024 | Phase - 1 100% Phase - 2 100% Phase -3 100% Phase - 4 0% |
| Boiler Plant Equipment Replacement and Utility Tunnel Connection | 300151 | \$43,000,000 | \$38,607,207 | RP-\$1.25M D-\$2.3M F-\$40M RF-\$43M | UCONN 2000 Bond Funds | 04/24/19 08/14/19 02/26/20 06/28/23 | Winter 2024 | 93% |

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -December 6, 2023

| Project Name by Construction Phase | Project # | Current BOT Approved Budget | Expenditures & Encumbrances (As of 11/20/23) | Budget Phase | Budgeted Funding Source(s) | BOT Budget Approval Date(s) | Estimated Completion Date | Estimated Construction % Complete |
|--|-----------|-----------------------------|--|-------------------------|----------------------------|-----------------------------|---------------------------|-----------------------------------|
| Revised Final Budget Phase - continued | | | | | | | | |
| North & South Parking Garages Restoration, Phase I & II | FO500056 | \$4,000,000 | \$2,838,476 | F-\$1.0M | University Funds | 10/28/20 | July 2023 | Phase 1- 100% |
| | | | | RF-\$2.0M | | 06/30/21 | January 2024 | Phase 2- 98% |
| | | | | RF\$3.0M | | 12/07/22 | January 2024 | Phase 3-95% |
| | | | | RF-\$4.0M | | 12/06/23-pending | TBD | Phase 4 - 0% |
| North Eagleville Road and Discovery Drive Intersection Improvements | 300169 | \$3,000,000 | \$2,222,455 | D-\$750k | UCONN 2000 Bond Funds | 09/30/20 | Winter 2024 | 15% |
| | | | | F-\$800k | | 10/27/21 | | |
| | | | | RF-\$2.0M | | 04/27/22 | | |
| South Campus Infrastructure-Phase I, II, III | 300241 | \$89,500,000 | \$80,136,360 | RP-\$1.25M | UCONN 2000 Bond Funds | 03/30/22 | Winter 2026 | 12% |
| | | | | D-\$1.750M | | 06/29/22 | | |
| | | | | RD- \$4.5M | | 09/28/22 | | |
| | | | | F-\$11.0M | | 12/07/22 | | |
| | | | | RF-\$44M | | 04/19/23 | | |
| | | | | RF-\$82M | | 06/28/23 | | |
| RF-\$89.5M | 09/27/23 | | | | | | | |
| Storrs LED (SLED) Lighting Upgrade | FO500025 | \$7,850,000 | \$7,821,380 | F-\$5.35M RF-\$7.85M | University Funds | 12/09/20 | January 2024 | 95% |
| UCONN 2000 Code Remediation: Stamford Downtown Relocation | 201523 | \$22,000,000 | \$19,606,242 | P-\$2M | University Funds | 10/28/15 | Jan. 2021 | Phase 1: 100% |
| | | | | RP-\$2.5M | UCONN 2000 Bond Funds | 10/23/19 | January 2024 | Phase 2: 98% |
| | | | | F-\$4M | | 02/26/20 | | |
| | | | | RF-\$22M | | 02/24/21 | | |
| Recently Completed Projects ⁽¹⁾ | | | | | | | | |
| Atwater Laboratory Emergency Power Installation | FO500058 | \$682,700 | \$674,851 | F-\$535k | University funds | 04/28/21 | Complete | 100% |
| | | | | RF-\$603k | | 10/27/21 | | |
| | | | | RF-\$682k | | 06/29/22 | | |
| B4 Steam Vault and Line Exigent Repair | 300247 | \$7,700,000 | \$6,940,833 | F-\$5.5M | UCONN 2000 Bond Funds | 03/30/22 | Complete | 100% |
| | | | | RF-\$7.7M | University Funds | 06/29/22 | | |
| Chemistry Building Roof Snow Guard Replacement | FO500093 | \$916,000 | \$730,275 | F | University Funds | 09/30/20 | Complete | 100% |
| George J. Sherman Family Sports Complex Turf Replacement | FO500156 | \$1,640,997 | \$1,464,950 | F | University Funds | 06/26/22 | Complete | 100% |
| Main Campus SubStation Switchgear Relay Replacement AET 5P-14G SCADA | FO500090 | \$640,000 | \$640,000 | F-\$565k | University Funds | 02/26/20 | Complete | 100% |
| | | | | RF-\$592k | | 04/27/22 | | |
| | | | | RF-\$640k | | 06/29/22 | | |
| Public Safety Building Improvements | 201703 | \$7,750,000 | \$7,442,918 | F-\$4.55M | UCONN 2000 Bond Funds | 03/27/19 | Complete | 100% |
| | | | | RF-\$7.0M | University Funds | 12/09/20 | | |
| | | | | RF-\$7.45M | | 09/29/21 | | |
| | | | | RF-\$7.75M | | 06/29/22 | | |
| Residential Life Facilities: Mansfield Apartments Redevelopment - Part 1 | 300234 | \$12,000,000 | \$10,505,128 | P-\$850k | UCONN 2000 Bond Funds | 09/29/21 | Complete | Demo: 100% |
| | | | | D-\$4.6M | | 03/30/22 | | |
| | | | | F-\$12.0M | | 06/29/22 | | |
| School of Engineering Backfill Renovations | TL2405 | \$1,771,410 | \$1,710,353 | F | University Funds | 06/29/22 | Complete | 100% |
| | | | | | | | | |
| | | | | | | | | |
| Supplemental Utility Plant | 300025 | \$67,000,000 | \$63,138,179 | P-\$5M | UCONN 2000 Bond Funds | 09/27/17 | Complete | 100% |
| | | | | D -\$5M | | 06/26/19 | | |
| | | | | F-\$67M | | 02/26/20 | | |

CONSTRUCTION PROJECTS STATUS REPORT
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| Project Name by Construction Phase | Project # | Current BOT Approved Budget | Expenditures & Encumbrances (As of 11/20/23) | Budget Phase | Budgeted Funding Source(s) | BOT Budget Approval Date(s) | Estimated Completion Date | Estimated Construction % Complete |
|---|-----------|-----------------------------|--|------------------------------------|---|---|---------------------------|-----------------------------------|
| Planning Budget Phase | | | | | | | | |
| UCH - Connecticut Tower (CT) 7th Floor Med-Surg/Observation Unit Renovation | 23-027 | \$2,500,000 | \$470,294 | P | UConn Health Capital Funds | (06/12/23)* 06/28/23 | | |
| UCH - Hybrid OR#2 Fit-Out | 23-035 | \$7,100,000 | \$0 | P | UConn Health Capital Funds | (06/12/23)* 06/28/23 | | |
| UCH - Interventional Radiology Equipment Replacement & Renovation | 20-001 | \$4,700,000 | \$14,643 | P | UConn Health Capital Funds | (06/12/23)* 06/28/23 | | |
| UCH-Tomotherapy Unit Replacement | 20-058 | \$5,220,000 | \$207,899 | P-\$5.22M | UConn Health Capital Funds | (06/12/23)* 04/19/23 | | |
| UCH-University Tower (UT) 7th Floor Pharmacy Fit-Out | 23-024 | \$10,250,000 | \$114,308 | P | UCONN 2000 Bond Funds UConn Health Capital Funds | (09/11/23)* 09/27/23 | | |
| Design Budget Phase | | | | | | | | |
| UCH - Cryo Electron Microscope Installation | 22-055 | \$960,000 | \$154,030 | P-\$960k D-\$960k | UConn Health Research IDC Capital | (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 | | |
| UCH - Musculoskeletal Institute Rehabilitation Expansion | 23-029 | \$640,000 | \$90,180 | P-\$640k D-\$640k | UConn Health Capital Funds | (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 | | |
| UCH - Outpatient Pavilion 3rd Floor Backfill | 22-060 | \$3,300,000 | \$246,100 | P-\$3.3M D-\$3.3M | UConn Health Capital Funds | (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 | | |
| UCH - Outpatient Pavilion X-Ray & Blood Draw Relocation | 22-046 | \$850,000 | \$110,580 | P-\$650k D-\$850k | UConn Health Capital Funds | (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 | | |
| Final Budget Phase | | | | | | | | |
| UCH - Anatomic Pathology & Autopsy Renovation | 21-059 | \$1,175,000 | \$79,821 | F-\$1.175M | UConn Health Capital Funds | (03/13/23)* 12/06/2023 - pending | | |
| UCH - Building D & Building N Roof Replacement | 22-601.02 | \$2,500,000 | \$2,169,165 | P-\$1.1M D-\$2.565M F-\$2.5M | UCONN 2000 Bond Funds | (12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (06/12/23)* 06/28/23 | January 2024 | 60% |
| UCH - Building E & Building K Roof Replacement | 22-601.01 | \$2,160,000 | \$1,091,463 | P-\$630K D-\$2.16M F-\$2.16M | UCONN 2000 Bond Funds | (12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (06/12/23)* 06/28/23 | January 2024 | 90% |

CONSTRUCTION PROJECTS STATUS REPORT
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|--|-----------|-----------------------------|--|--------------------------------------|--|---|---------------------------|-----------------------------------|
| Final Budget Phase - continued | | | | | | | | |
| UCH - Cadaver Lab Renovation & Air Handling Unit Replacement | 23-601.12 | \$2,960,000 | \$79,250 | D-\$1.8M F-\$2.96 | UCONN 2000 Bond Funds | (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 | August 2024 | 0% |
| UCH - Canzonetti (F) Building Wound Care Center Renovation | 22-019 | \$1,225,000 | \$0 | D-\$850k F-\$1.225M | UConn Health Capital Funds Restorix Health Inc Design & Construction Allowance | (06/13/22)* 06/29/22 (06/12/23)* 06/28/23 | March 2024 | 10% |
| UCH - Cardio Catheterization (Cath) & Electro Physiology (EP) Lap Renovation | 22-017 | \$6,430,000 | \$5,352,668 | P-\$3.7M D-\$6.166M F-\$6.430M | UConn Health Capital Funds | (06/13/22)* 06/29/22 (12/05/22)* 12/07/22 | December 2024 | 10% |
| UCH -Cell & Genome Science Building Data Center Cooling Upgrades | 23-601.07 | \$840,000 | \$757,824 | D-\$650k F-\$840k | UCONN 2000 Bond Funds | (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 | July 2024 | 0% |
| UCH-CGSB & ARB Autoclave and Washer Replacement | 22-012 | \$1,200,000 | \$1,103,274 | F-\$1.2M | UConn Health Research IDC Capital | (12/05/22)* 12/07/22 | January 2024 | 95% |
| UCH-Fluoroscopy Equipment Replacement and Renovation | 21-009 | \$745,000 | \$65,290 | F | UConn Health Capital Funds | (09/11/23)* 09/27/23 | June 2024 | 0% |
| UCH-HIM Workplace Improvements | 21-019 | \$763,000 | \$643,101 | F | UCONN 2000 Bond Funds | (03/13/23)* 02/22/23 | January 2024 | 99% |
| UCH-Main Building (L) Lab Renovations - 2nd Floor | 22-013 | \$10,200,000 | \$4,860,667 | P-\$7.8M D-\$8.972M F-\$10.2M | UCONN 2000 Bond Funds UConn Health Research IDC Capital UCH School of Medicine Operating Funds UConn Health Capital Funds | (12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (12/05/22)* 12/07/22 | May 2024 | 45% |
| UCH - 16 Munson Road Emergency Lighting & Egress Upgrades | 21-010 | \$1,900,000 | \$38,500 | D-\$935k F-\$1.9M | UCONN 2000 Bond Funds UConn Health Capital Funds | (12/06/21)* 12/08/21 (09/11/23)* 09/27/23 | July 2024 | 0% |
| UCH - Muscular Skeletal Institute Chiller Replacement | 23-601.20 | \$570,000 | \$116,378 | F | UCONN 2000 Bond Funds | (09/11/23)* 09/27/23 | February 2024 | 5% |
| UCH - New England Sickle Cell Institute Renovation | 21-063 | \$4,865,000 | \$142,150 | P-\$3M D-\$4.865M F-\$4.865M | UCONN 2000 Bond Funds UConn Health Capital Funds | (06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 | August 2024 | 0% |
| UCH - Replace Chilled Water Pump CHWP#4 | 21-018 | \$642,000 | \$295,090 | F | UCONN 2000 Bond Funds UConn Health Capital Funds | (12/06/21)* 12/08/21 | January 2024 | 90% |
| UCH-Transitional Nursery Renovation | 21-016 | \$1,800,000 | \$775,528 | D-\$1.8M F-\$1.8M | UConn Health Capital Funds | (03/13/23)* 02/22/23 (06/12/23)* 06/28/23 | April 2024 | 5% |

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|--|-----------|-----------------------------|--|---|---|--|---------------------------|-----------------------------------|
| Revised Final Phase | | | | | | | | |
| UCH - 5 Munson Road Clinical Fit-Out | 22-042 | \$9,344,000 | \$5,345,538 | F-\$8.4M RF-\$9.3M | UConn Health Capital Funds Landlord Tenant Fit-out Allowance | (06/13/22)* 06/29/22 (09/11/23)* 09/27/23 | January 2024 | 90% |
| UCH-16 Munson Road Parking Lot Paving | 22-601.03 | \$2,075,000 | \$1,578,923 | P-\$1.16M D-\$1.445M F-\$1.93M RF-\$1.61M RF-\$2.1M | UCONN 2000 Bond Funds | (12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (09/12/22)* 09/28/22 (09/12/22)* 09/28/22 (03/13/23)* 02/22/23 (09/11/23)* 09/27/23 | January 2024 | 99% |
| UCH-836 Hopmeadow Street, Simsbury Clinical Practice Relocation | 21-036 | \$4,729,000 | \$4,288,349 | P-\$3.875M D-\$3.875M F-\$4.297M RF-\$47.3 | UConn Health Capital Funds Landlord Tenant Fit Out Allowance | (09/20/21)* 09/29/21 (12/06/21)* 12/08/21 (06/13/22)* 04/27/22 (09/11/23)* 09/27/23 | January 2024 | 99% |
| UCH - Central Sterile Washer & Sterilizer Replacement | 21-034 | \$2,835,000 | \$1,349,843 | P-\$1.9M D-\$3.985M F-\$4.97M RF-\$2.835M | UCONN 2000 Bond Funds UConn Health Capital Funds | (06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/13/23)* 12/06/2023 - pending | August 2024 | 0% |
| UCH - Psychiatry Seclusion Suite & Nurse Station Security Renovation | 21-050 | \$1,197,000 | \$859,110 | D-\$790k F-\$1.042M RF-\$1.197M | UConn Health Capital Funds | (06/13/22)* 06/29/22 (12/05/22)* 12/07/22 | February 2024 | 65% |
| UCH - Replace Building F & H Hot Water Tanks | 19-603.11 | \$845,000 | \$422,600 | F-547k RF-\$845k | UCONN 2000 Bond Funds | (12/06/21)* 12/08/21 (09/12/22)* 09/28/22 | January 2024 | 70% |
| UCH - TB-121 Blood Bank Relocation | 23-010 | \$1,075,000 | \$43,000 | F-\$765k RF-\$880k RF-\$1.075M | UConn Health Capital Funds CIRP | (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/13/23)* 12/06/2023 - pending | May 2024 | 0% |

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|---|-----------|--------------------------------|--|--------------|----------------------------|--------------------------------|------------------------------|---|
| Recently Completed Projects ⁽¹⁾ P = Planning Budget RP = Revised Planning Budget D = Design Budget RD = Revised Design Budget F = Final Budget RF = Revised Final Budget <i>* UConn Health Board of Directors Reviewed and Recommended for Board of Trustees Approval</i> ⁽¹⁾ Completed projects assume "Completed, Commissioned and Occupied". Projects continue to be included in this report for 1 year after completion to accommodate initial financial closeout adjustments. | | | | | | | | |

Joint Audit & Compliance

Audit of UConn 2000 Projects

Informational Only

The attached audit report is being provided pursuant to Section 10a-109aa of the Connecticut General Statutes (CGS). The Auditors of Public Accounts (aka State Auditors) completed their audit of UConn for fiscal years ended June 30, 2019, 2020, and 2021 in accordance with the provisions of CGS Section 2-90.

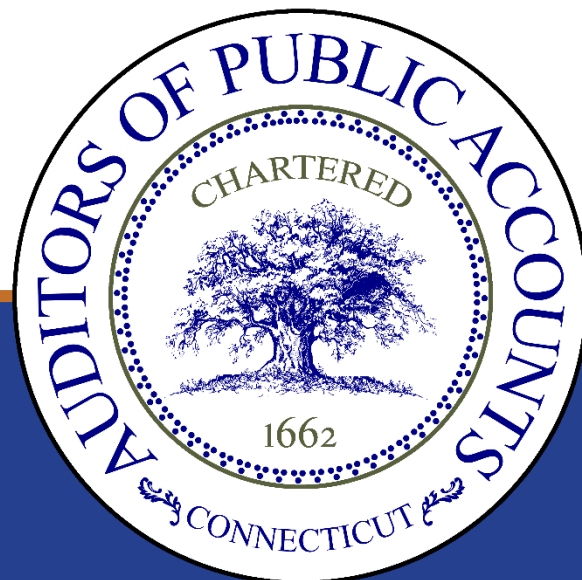
The audit report findings specific to UConn 2000 funded projects are highlighted in the following table:

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|----------------|--|--------------------|
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| 11 | Construction Expenditure Authorization | 18 |
| 17 | Guaranteed Maximum Price | 27 |
| 22 | Awarding of Contracts | 33 |

AUDITORS' REPORT

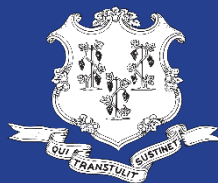
University of Connecticut

FISCAL YEARS ENDED JUNE 30, 2019, 2020, AND 2021



STATE OF CONNECTICUT
Auditors of Public Accounts

JOHN C. GERAGOSIAN
State Auditor



CLARK J. CHAPIN
State Auditor

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STATE OF CONNECTICUT



AUDITORS OF PUBLIC ACCOUNTS

JOHN C. GERAGOSIAN

STATE CAPITOL
210 CAPITOL AVENUE
HARTFORD, CONNECTICUT 06106-1559

CLARK J. CHAPIN

August 15, 2023

INTRODUCTION

We are pleased to submit this audit of the University of Connecticut for the fiscal years ended June 30, 2019, 2020, and 2021 in accordance with the provisions of Section 2-90 of the Connecticut General Statutes. Our audit identified internal control deficiencies; instances of noncompliance with laws, regulations, and policies; and a need for improvement in practices and procedures that warrant management's attention.

The Auditors of Public Accounts wish to express our appreciation for the courtesies and cooperation extended to our representatives by the personnel of the University of Connecticut during the course of our examination.

The Auditors of Public Accounts also would like to acknowledge the auditors who contributed to this report:

Lisa Drzewiecki
Walter Felgate
Jason Grauer
John Harrison
Austin Holden
Aileen Jiang
Ann Phung

A handwritten signature in black ink that reads "John D. Harrison".

John D. Harrison
Principal Auditor

Approved:

A handwritten signature in black ink that reads "John C. Geragosian".

John C. Geragosian
State Auditor

A handwritten signature in black ink that reads "Clark J. Chapin".

Clark J. Chapin
State Auditor

STATE AUDITORS' FINDINGS AND RECOMMENDATIONS

Our examination of the records of the University of Connecticut disclosed the following 22 findings and recommendations, of which 18 have been repeated from the previous audit:

Finding 1

Public Solicitation for Projects Exceeding \$500,000

Criteria

Section 10a-109n(c)(2)(A) of the General Statutes requires the university to publicly solicit for services on construction projects estimated to cost more than five hundred thousand dollars. In addition, the university is required to post notice on its website and the state contracting portal so interested contractors can submit a project proposal or bid.

Condition

We reviewed six contracts, totaling \$20,370,583, that required competitive solicitation. The university did not competitively solicit one \$943,506 contract, securing the vendor utilizing a sole source procurement. After further review of this project, we identified a seventh \$2,189,000 contract secured utilizing a sole source procurement. The university bypassed the competitive solicitation process, awarding \$3,133,406 to a single vendor via sole source procurement.

We also reviewed five construction manager at risk (CMR) projects that required competitive solicitation. One of the five projects was not competitively solicited and was awarded to a CMR already engaged on another project. The university incurred \$4,055,745 in expenditures on the project, of which, approximately \$130,000 related to the construction management portion of the work.

Context

The tested contracts were judgmentally selected from a population of 40 purchase orders, totaling \$80,615,142.

During the audited period, there were nine active CMR projects totaling approximately \$170 million in expenditures. We judgmentally selected five CMR projects for review and examined a purchase order associated with each project. At the time of our review, the expenditures associated with the purchase orders totaled \$76,512,542.

Effect The university did not comply with Section 10a-109n of the General Statutes. Furthermore, potential vendors were denied the opportunity to bid on the project. As a result, the university's ability to obtain the most competitive price and qualified vendor may have been diminished.

Cause The university felt that it did not have to publicly solicit for services under the circumstances.

Prior Audit Finding This audit finding has been previously reported in the last audit report covering fiscal years ended June 30, 2016 through 2018.

Recommendation The University of Connecticut should comply with Section 10a-109n(c)(2)(A) of the General Statutes and publicly solicit projects with costs estimated to exceed \$500,000.

Agency Response "The University is in agreement that projects with costs estimated to exceed \$500,00 should generally be publicly bid, and believes that it has followed these requirements dutifully, however a state agency also has the statutory authority to sole source procurement when certain circumstances are met. Therefore, the university disagrees with this finding.

This finding identifies two different solicitations in question; one for the procurement of design services under a professional services contract and the other in regard to a Construction Manager at Risk contract. The university has broad statutory authority to procure professional services, and the professional services contract in question was awarded on a sole source basis, in a manner consistent with the University's policies and procedures established for same. The sole source award was due to unique circumstances whereby a developer commenced the design under a development agreement and the university was ultimately required to continue the design of the project under a direct contract with the design professional midway through the design process in order to maintain the schedule and avoid the loss of design fees already spent. The justification for a sole source award for the design services was documented and reviewed by the University Administration and the Board of Trustee's Buildings Grounds and Environmental Committee in June and September 2020 and the justification was accepted.

The CMR contract that was questioned in this finding relates to two projects which are companion projects as described in the response to a similar 2016 audit finding. All the trade work was competitively bid for this project in compliance with C.G.S Section 10a-109n(c)(2)(A), and the auditors have only questioned the construction management oversight cost as being at issue as work not bid. In some instances, due to the proximity and inter-related project elements relative to the larger project, decisions are made to assign the management of the enabling or companion project to

one construction manager. The potential assignment of these management services was identified in the Request for Proposal wherein it stated that the bidding included the construction oversight of "site improvements, utility infrastructure and site amenities.

The university is committed to full compliance with all state requirements and will continuously strive to ensure our practices support our compliance obligations."

Auditors' Concluding Comments

We agree that a sole source procurement is appropriate when the project meets certain conditions. In this instance, we do not believe the project met the requisite conditions. Therefore, it was inappropriate for the university to use the sole source procurement.

Regarding the competitive solicitation of the construction manager at risk projects, based on our review of the supporting documentation, we believe the two projects were distinctly separate enough to require public solicitation.

Finding 2 **Sabbatical Leave Program**

Criteria

UConn's bylaws state that sabbatical leave is for a period of one year (two semesters). Leave may be taken for a full period (one year) at half pay or for up to half the period at full pay.

UConn's bylaws require that employees return to active service at the university for at least one year upon completion of a sabbatical. Those employees who do not fulfill this requirement must return amounts paid to them during the leave in accordance with UConn's Sabbatical Leave Request Form. The bylaws also require employees to provide a written summary of the work done during the leave to the officer who approved the leave.

Condition

Our review of 15 employees who participated in the university's sabbatical leave program identified the following exceptions:

- Two faculty members were granted a full period (one year) of sabbatical leave at full pay, with their pay totaling \$711,027 and \$197,298, respectively. The university overpaid the employees approximately \$355,514 and \$98,649, respectively.
- Four faculty members did not return to active service for a minimum of one year following their sabbatical leave. The university paid \$289,569 to these employees during their

sabbatical leaves. The four employees never repaid the university, which did not seek repayment.

| | |
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| Context | The university granted sabbatical leave to 327 employees and paid \$10,736,386 for the program during the audited period. We judgmentally selected 15 employees for testing. |
| Effect | UConn incurred unnecessary costs not permitted by its bylaws. |
| Cause | The university granted sabbatical leave inconsistent with its bylaws. The lack of effective and enforceable procedures contributed to the university's inability to recoup funds when faculty members did not complete one year of active service following their sabbaticals. |
| Prior Audit Finding | This finding has been previously reported in the last audit report covering the fiscal years ended June 30, 2016 through 2018. |
| Recommendation | The University of Connecticut should adhere to its bylaws when administering the sabbatical leave program and maintain written documentation of any deviation from its formal policy. |
| Agency Response | "The University agrees with this recommendation and will develop a refreshed and appropriate approval process." |

Finding 3

Excessive Compensation

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| Criteria | Compensation should be commensurate with work performed. When a managerial employee moves to a position that requires less time and effort, the agency should reduce compensation to a level appropriate to their new job duties. |
| Condition | We reviewed 20 employees who stepped down from management positions during the audited period. Each employee moved from a 12-month management position to a 9-month base salary faculty position. Of the 20 employees, we found four instances in which the university increased the employees' monthly compensation rate after changing positions. The 9-month base salary increases ranged from \$5,433 to \$25,875 for the four employees. We were not able to obtain documentation to justify the increased compensation rates. |
| Context | Twenty employees stepped down from their 12-month managerial positions to a 9, 10, or 11-month faculty position during the audited |

period. Their average managerial salary was \$268,901, which was reduced to an average of \$204,526 after moving to faculty positions.

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| Effect | The university could be incurring unnecessary costs. |
| Cause | The university did not have adequate controls in place to ensure that it reduced the employees' compensation to levels commensurate with their new positions. |
| Prior Audit Finding | This finding has been previously reported in the last two audit reports covering the fiscal years ended June 30, 2014 through 2018. |
| Recommendation | The University of Connecticut should compensate employees who step down from management at a level consistent with their new positions. If higher compensation rates are warranted, the university should document the appropriateness of the new salaries. |
| Agency Response | "The University agrees that employees should generally be paid consistent with their positions and in those circumstances where there is deviation, the reasons for any such deviation are typically documented in their appointment letters." |

Finding 4

Compensatory Time

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| Criteria | <p>Per the University of Connecticut Professional Employees Association (UCPEA) collective bargaining contract, Article 18.2, when an exempt employee is required by a supervisor to work extraordinary hours, the supervisor may (1) allow the employee to reduce work hours by an equivalent amount within the same pay period or (2) award compensatory time off to be used in a subsequent pay period. The first supervisor outside the bargaining unit (or designee) shall provide the employee with written confirmation of the requirement to work extraordinary hours, specifying the reason for the requirement, and identifying the anticipated reduction in schedule to account for the extraordinary hours worked. In the event that a reduced schedule within the same pay period is not possible or practical, the supervisor may instead provide the employee written authorization to accrue compensatory time for future use.</p> <p>Article 18.3 states that employees shall make every effort to request the utilization of accrued compensatory time, and supervisors are encouraged to approve these requests when business needs permit. If an individual employee's accumulation exceeds a balance</p> |
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of 140 hours, management may opt to pay for the time over 100 hours up to a maximum of 40 hours at a time.

UConn's Compensatory Time Procedures for UCPEA Employees in Exempt Positions states that a standard approval form (Compensatory Time Accrual Request Form) is necessary when the employee is required to work extraordinary hours. Employees must complete the form prior to the commencement of the extraordinary hours, specifying the reason and indicating prior supervisory approval.

Condition

Our review of 15 employees earning a total of 9,848 hours of compensatory time disclosed that UConn did not properly approve compensatory time for nine employees. There was no documentation on file to indicate management approved the extraordinary 3,337 hours of compensatory time for five of the nine employees. For the remaining four employees, the compensatory time accrual request forms were on file, but the employees accrued 798 in unapproved compensatory leave hours.

Context

Our audit universe consisted of 1,129 employees who accrued 94,418 hours of compensatory time during the audited period. We judgmentally selected and reviewed 15 employees who accrued 9,848 hours of compensatory time, or ten percent of the total accrued compensatory time.

Effect

The university did not comply with the compensatory time provisions of the UCPEA contract. In the absence of adequate oversight and written managerial preapproval of compensatory time, there is a greater risk for impropriety and loss.

Cause

The university did not have adequate procedures in place to ensure that it followed the established compensatory time policies.

Prior Audit Finding

This finding has been previously reported in the last audit report covering the fiscal years ended June 30, 2016 through 2018.

Recommendation

The University of Connecticut should strengthen controls to ensure compliance with the compensatory time provisions set forth in the University of Connecticut Professional Employees Association contract.

Agency Response

"The University of Connecticut agrees that continuing to provide communication, guidance and training should strengthen the effort to ensure compliance with compensatory time provisions in the UCPEA contract. The University consistently provides documented guidance to management concerning when employees are entitled to earn compensatory time, the requirement for prior approval, recording and utilization of compensatory time. In addition, this

guidance is posted on the Human Resources website with other guidance specifically targeted to managers and is communicated to departments using various communication efforts. This guidance and accompanying forms were updated in FY21 and specifically highlighted the requirements for pre-approval.

The current collective bargaining agreement between the University and UCPEA, approved by the General Assembly in April 2022, resulted in changes to the compensatory time provisions pertaining to the earning, recording, use and payout of compensatory time. Updated guidance concerning these changes will be communicated."

Finding 5

Separation Payments

Criteria

Under UConn's Separation Policy for Unclassified Board of Trustees Exempt Managers and Confidential Employees, management and confidential employees who are involuntarily separated from UConn for reasons unrelated to their job performance, such as lay off, position elimination, or management reorganization, may be eligible for separation benefits. To receive separation benefits, the employee must execute a separation agreement and general release in a form acceptable to the university. At UConn's discretion, it may offer advance written notice of the effective date of separation, a lump sum payment of salary in lieu of notice, or a combination of the two. Under the same policy, the university established the maximum allowable limit for combination of written notice and lump sum payments.

Unless the relationship with an employee has deteriorated to the point that the employee's continued presence on site would be a detriment, offering notice is the fiscally prudent alternative. If management determines payment in lieu of notice is the judicious alternative due to security and/or other risk concerns, it is good business practice for management to document its consideration of the applicable risk factors and clearly describe the basis for its conclusion.

Effective October 1, 2018, section 4-40b of the Connecticut General Statutes prohibits a state agency from making a payment in excess of \$50,000 for the purposes of avoiding costs associated with potential litigation unless the payment is made pursuant to a settlement agreement entered into by the Attorney General on behalf of the state agency or by authorization of the Governor.

Condition

During a test of employees on leave with pay, we reviewed ten payments of salary in lieu of notice to managerial employees and identified the following exceptions:

- Seven employees were separated involuntarily for reasons unrelated to their job performance. UConn did not provide written documentation supporting management's decision to pay the employees in lieu of notice. Based on the paid leave periods and employee pay rates, UConn paid the seven employees \$408,853.
- Two employees were paid out more than the maximum allowable amount based on their years of service per UConn's Separation Policy for Managers and Confidential Employees. In total, the university overpaid the two employees \$27,767.
- The university paid two employees more than \$50,000 to avoid litigation cost without obtaining approval from the Office of Attorney General (OAG). One employee was paid \$134,240, an \$84,240 overpayment. The second employee, per a signed separation agreement, was placed on paid leave for two months immediately prior to separation and was paid \$20,192, the equivalent of two months' salary. By signing the separation agreement, the employee waived the right to contest the termination. However, subsequent to separation, the former employee retained a lawyer and threatened to sue for wrongful termination. In response, UConn issued a second separation agreement granting the employee an additional \$52,033 lump sum payment, equal to five months of salary. In total, the university overpaid the two employees \$136,273.

Context

We reviewed all ten employees who received \$616,840 in separation payments during the audited period.

Effect

The separation payments may not have been a prudent use of the university's resources, and UConn lost the opportunity to benefit from the employees' services.

Cause

Management's judgement and discretion played a significant role in the decision to permit payment in lieu of notice. In addition, the university's policy did not require management to document its consideration of risk factors and basis for concluding that payment in lieu of notice was a more prudent decision than giving notice. Furthermore, the university stated it did not consider requiring such documentation to be an acceptable employee relations practice.

Prior Audit Finding

This finding has been previously reported in two prior audit reports covering the fiscal years ended June 30, 2014 through 2018.

Recommendation

The University of Connecticut should provide notice instead of separation payments in instances of involuntary separation unrelated to job performance. However, if management determines payment in lieu of notice is the prudent alternative due to security and/or other risk concerns, it should prepare written documentation of its consideration of the applicable risk factors and clearly describe the basis for its conclusion.

The university should obtain Office of the Attorney General approval for settlement agreements of more than \$50,000 in accordance with Section 4-40b of the General Statutes.

Agency Response

"The University generally agrees with this finding. UConn's Separation Policy for Unclassified Board of Trustees Exempt Managers and Confidential Employees explicitly provides for notice, or payment in lieu of notice. As recognized, for business reasons, such as security concerns and other risk management issues, per university policy management has the discretion to make payment in lieu of notice.

The University agrees that it must comply with Section 4-40b of the general statutes."

Finding 6 Timesheet Approval

Criteria

Sound business practice dictates that employees should not approve the timesheets of their direct supervisors.

Condition

Our review of 11 employees who approved timesheets noted two employees who repeatedly approved their direct supervisors' timesheets.

Context

A total of 1,265 employees, or 25% of UConn's full and part-time faculty and staff, approved timesheets in Core-CT in fiscal year 2021. We judgmentally selected eleven employees who approved timesheets for testing.

Effect

The lack of supervisory approval decreased assurance that the employees provided services during the pay period.

Cause

There was a weakness in controls related to the supervisory review of employee timesheets.

Prior Audit Finding

This finding has been previously reported in the last audit report covering the fiscal years ended June 30, 2016 through 2018.

Recommendation

The University of Connecticut should improve internal controls over timesheet approval.

Agency Response

"As stated in our FY 16-17-18 audit report response, we agree that the best practice is for supervisors to take direct responsibility for the review and approval of their employees' timesheets. Although we have made significant progress across the university, we continue to engage in a methodical, area-by-area approach in reviewing time approval structures and aligning approval workflow. This includes refinement and removal of approval access where not appropriate, with specific focus on direct supervisory approval and upward delegation. We recognize that this approach is both time and resource-intensive, but it provides business continuity with respect to biweekly time and labor and payroll responsibilities; allows for the documentation of the timesheet approval process; and creates an opportunity to educate departments on best practices. We anticipate completing our review and approval realignment functions during FY23."

Finding 7 Financial System Access Controls

Background

The University of Connecticut uses the Quali Financial System (KFS), an automated information system, to maintain its accounting records.

Criteria

Sound internal controls over information systems require that information system access granted to employees be promptly terminated upon separation from the university.

Condition

Our review of 67 employees with KFS access, who separated from university employment during the audited period, disclosed seven instances in which UConn did not promptly terminate their KFS user accounts upon separation. In the instances noted, user access was maintained 39 days to 304 days after the employee separated from UConn.

Context

As of February 2021, the university had 1,732 active KFS user accounts. We reviewed all separated employees from the Core-CT Employee Changes Report that were also included on the university's Active KFS User List.

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| Effect | Unnecessary or inappropriate access to information systems could increase the risk of financial data system errors or fraud. |
| Cause | The Information Technology Services (ITS) department, which is responsible for disabling KFS user access, was not consistently notified when employees separated from the university. However, the university implemented measures in July of 2020, which reduced the number of separated employees whose access was not promptly terminated. |
| Prior Audit Finding | This finding has been previously reported in the last two audit reports covering the fiscal years ended June 30, 2014 through 2018. |
| Recommendation | The University of Connecticut should promptly deactivate information system access upon an employee's separation from employment. The university should periodically review information system access privileges to determine whether access is still appropriate. |
| Agency Response | "Management agrees with this finding. The additional measures put in place in 2020 resulted in a significant reduction in the number of accounts remaining active in Quali Financials after separation. However, there are still unique scenarios whereby student employees and staff retain affiliations resulting in those accounts not being flagged for removal. We have therefore engaged with our Identity Management and Human Resource partners to develop additional checks which will result in the remediation of this finding by December 2022." |

Finding 8

University Housing Policy

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| Criteria | <p>The University of Connecticut provides and manages short and long-term housing options for the recruitment and retention of faculty, staff, visiting scholars, and other university guests. The UConn housing policy provides guidance for this type of rental arrangement. The policy states that the Provost's Office, with the help of the Office of Residential Life, receives housing applications and prioritizes them based on application date and length of stay.</p> <p>Sound business practices dictate that rental agreements should be in writing and signed by an authorized UConn representative and the tenant.</p> |
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Condition

During our review of eight rental units, which encompassed 22 university tenants, 27 distinct rental periods and 19 housing request forms (applications), we identified the following conditions:

- We could not determine the housing request form (application) receipt dates and awarding processes, as the university lacked a tracking system.
- One tenant did not file a housing request form (application).
- Three housing request forms (applications) did not have the required department head's approval signatures.

Context

During the audited period, the university offered between 21 to 41 rental units. We judgmentally selected six university houses and two apartments for testing.

Effect

Without a system monitoring receipt dates of housing request forms (applications), the university could not affirm it fairly and transparently managed rental properties and awarded them on a first come first served basis.

Cause

The university did not follow established housing policies and procedures. Processing request forms without the required approval signatures contributed to bypassing the university's internal controls.

Prior Audit Finding

This finding has been previously reported in the prior audit report covering the fiscal years ended June 30, 2016 through 2018.

Recommendation

The University of Connecticut should follow its established housing policies and procedures to ensure all rental arrangements are documented and in compliance with existing laws and regulations.

Agency Response

"The University agrees with the recommendation and has implemented corrective action. Improvements have been made to the business processes to ensure compliance with policies and procedures. The following actions have been taken:

- Housing request forms are submitted and tracked through a shared Facilities Operations (FO) email box and overseen by the Residential Rental Property Administrator. Requests are electronically filed. As such, housing options are prioritized based on application date.
- An internal review was conducted in FY22 of all current tenants to ensure that both housing request forms were completed, and license agreements were executed with required signatures. In May 2022, the university signature authority policy was revised. As such, updated delegation

and approval procedures have been implemented into the license agreements.

- A link on the Rental Properties website remains to inform guests of potential tax implications. A statement has been added to the Housing Request form directing departments paying on behalf of tenant to complete the Supplemental Information Request for Tax Compliance Form found on the tax website.
- At the initiation of the pandemic in early 2020, the university rental program needs declined dramatically. FO remained responsive to all rental inquiries, engaged in a market analysis through a licensed appraiser for all properties to reassess the current rental rates based on market trends, and monitored revenue and expenses to avoid further reduction in university income.
- The future of the Residential Rental Program continues to be reevaluated. The number of units leased from the Oaks on the Square has been reduced from 20 to ten with the intent to phase out these units entirely by the end of the agreement term 7/31/23. Discussions of sales of houses have begun for off-campus properties. Remaining houses are intended for long-term occupancy not to exceed a year."

Finding 9

Service Organization Control Reports

Criteria

Service organization control (SOC) reports are used to gain assurance over outsourced operations. SOC 1 reports focus on internal control over financial reporting. SOC 2 and SOC 3 reports focus on compliance or operational controls relevant to security, availability, confidentiality, processing integrity, and privacy. An effective way of managing the risk of utilizing service organizations is by obtaining and reviewing the appropriate SOC reports. Documentation of the review process should include follow-up action taken in response to any reported deficiencies.

Condition

During the audited period, the university lacked a centralized process to effectively track the collection and review of SOC reports.

Context

The university outsources several integral business activities to service organizations.

Effect

Failure to obtain and review SOC reports reduces UConn's assurance that proper safeguards are in place at prospective and

current service organizations. Consequently, transactions processed and data maintained by service organizations may put UConn at a greater risk.

Cause UConn has not assigned specific responsibility for acquiring and reviewing SOC reports.

Prior Audit Finding This finding has been previously reported in the last two audit reports covering the fiscal years ended June 30, 2014 and 2018.

Recommendation The University of Connecticut should continue to develop a process to monitor and obtain assurance over external vendors by obtaining and reviewing their service organization control reports.

Agency Response “The University of Connecticut agrees with the recommendation to evaluate risk on an ongoing basis. We are happy to report that in August 2021 we implemented a robust automated Vendor Risk Management (VRM) process and platform to manage the SOC2 and standardized vendor assessment surveys.

During new vendor setup, this process evaluates vendor responses to standardized security questions and gathers relevant documents that include SOC2 reports for review and action. UConn’s Information Security reviews and evaluates these responses to ensure proper protection and risk mitigation.

To further improve the management of risk, UConn is currently in the process of evaluating software solutions to provide real time risk analysis of critical, highly sensitive applications.”

Finding 10 Employee Tuition Waivers

Criteria UConn offers employee, spousal, and dependent child tuition waivers and reimbursement to certain eligible personnel. The employee tuition waiver requires employees taking classes during regular work hours to complete a Temporary Flexible Schedule form, which their supervisor must approve. The form is completed and approved to document that there are no conflicts in an employee’s schedule.

Condition During our review of 15 individuals who received a tuition reimbursement or waiver, we noted that nine employees took classes during regular work hours. Of those nine employees, five did not complete a Temporary Flexible Work Schedule form.

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| Context | UConn provided tuition waivers to approximately 130 employees per semester and spent approximately \$1.2 million a year on tuition waivers for employees. We judgmentally selected 15 employees who received tuition waivers for testing. |
| Effect | <p>UConn did not comply with its employee tuition waiver policies, which weakened internal controls over these waivers.</p> <p>Missing Temporary Flexible Work Schedules forms increase the risk an employee will be inappropriately compensated for time spent in class.</p> |
| Cause | A lack of administrative oversight over employee tuition waivers resulted in this condition. |
| Prior Audit Finding | This finding has been previously reported in the prior audit report covering the fiscal years ended June 30, 2016 through 2018. |
| Recommendation | The University of Connecticut should strengthen internal controls to ensure employees with tuition waivers who attend classes during regularly scheduled work hours complete the required Temporary Flexible Work Schedule form and ensure their work and class schedules do not conflict. |
| Agency Response | "The university agrees with this recommendation. Managers of employees and employees with tuition waivers who attend classes during regularly scheduled work hours must ensure that a Temporary Flexible Work Schedule is completed and that their work and class schedules do not conflict. The university will continue to train and communicate this guidance to departments." |

Finding 11 Construction Expenditure Authorization

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| Criteria | Proper internal controls require that management review and approve all purchase orders and any subsequent amendments prior to the commencement of construction services. |
| Condition | Our review of 92 invoices, totaling \$22,355,050, disclosed nine instances, totaling \$115,832, in which the university did not obtain proper approvals prior to the commencement of services. |
| Context | During the audited period, there were 452 invoices, totaling \$103,894,949, associated with the construction projects we reviewed. We judgmentally selected 92 invoices for testing. |

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| Effect | Failure to provide proper approvals prior to the commencement of services lessens the assurance that they are consistent with UConn's expectations. |
| Cause | In certain instances, it can be difficult to obtain proper approvals prior to the commencement of services. |
| Prior Audit Finding | This finding has been previously reported in the last audit report covering fiscal years ended June 30, 2016 through 2018. |
| Recommendation | The University of Connecticut should strengthen internal controls to ensure it approves purchase orders and associated amendments prior to the commencement of construction services. |
| Agency Response | <p>"While the University of Connecticut generally agrees with this recommendation, the university believes that adequate project management and contractual controls are in place to authorize work before it is undertaken in most cases. Our response therefore is effectively the same as in 2019. Practically, not all change requests can be processed before work proceeds and stopping or delaying the start of change order work can be extremely detrimental and create large liabilities to projects in certain instances. The university believes that the appropriate balance between "good faith" project management and cost risk has been attained.</p> <p>All of the instances noted in this finding involve continuing work on active projects under an on-call or stand-alone project contract. The university's contracts are clear that any work performed prior to written authorization is at that party's sole risk and that the university is not liable for any expense until a written authorization is received for same. The university has the unilateral ability to accept or reject proposed costs even if the work was commenced.</p> <p>The university already has robust preauthorization of work processes in place. These processes include Construction Change Directives (CCD) for construction work and Architectural Services - Notice to Proceed (AS-NTP) for professional services and increase the likelihood that work will be authorized in advance of being commenced. Nonetheless, there are instances in which preauthorization is not possible, for example, in cases where life safety or unforeseen conditions are encountered in the field. In those instances, proceeding with necessary work is ultimately beneficial to the project and the university, avoids schedule delays and/or limits unnecessary expenses and risk due to delayed work.</p> <p>The university is committed to full compliance with all state requirements and will continuously strive to ensure our practices support our compliance obligations."</p> |

Finding 12

On-Call Professional Services Program

Background

The Capital Projects and Facilities Procurement (CPFP) department has an on-call professional services program in which prequalified firms perform work under specific categories of professional services. Per the university's policy, there are two ways to select a firm under this program.

The first is to solicit the entire pool of firms in a particular services category using a solicitation process. The assignment is awarded to the firm with the lowest cost.

The second is to assign a firm through the equalization rotational process. CPFP reviews the requirements of the task with the initiating department, including the estimated design budget, and assigns the next available firm using established guidelines.

Criteria

The CPFP policy to monitor and assign firms based on the equalization rotational process includes a review of the following criteria when selecting a professional firm:

- a) The number and value of previous task orders under the firm's contract within the relevant subcategory
- b) The size and complexity of the related assignment
- c) The firm's ability and resources to complete the assignment in a timely manner
- d) Impact of other current assignments by the firm
- e) Any other criteria deemed to be in the university's best interests

If the university selects a firm based on the equalization rotational process, the estimated design budget cannot exceed \$500,000.

When using the equalization process, it is good business practice to formally document the decision-making process and rationale for contractor selection.

Condition

We reviewed 14 on-call professional services program contracts, totaling \$5,320,839, and noted 11 contract files, totaling \$4,582,888, lacking the requisite documentation to support that the university adhered to the equalization rotational process when selecting the professional firm.

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| Context | There were approximately \$71 million on-call professional service program contracts initiated during the audited period. We judgmentally selected ten on-call service vendors and 14 associated contracts for testing. |
| Effect | The university could not demonstrate that it followed the established on-call professional services program policy. This lessens the assurance that UConn rotated awards in a rational manner in the absence of a competitive environment. |
| Cause | The university failed to formally document the criteria used to assign professional firms from the on-call professional services program. |
| Prior Audit Finding | This finding has been previously reported in the last audit report covering the fiscal years ended 2016 through 2018. |
| Recommendation | The University of Connecticut should adhere to its on-call professional services program policy when selecting a contractor and formally document its criteria when assigning a contractor from the on-call professional services program under the equalization rotational process. |
| Agency Response | <p>“The University of Connecticut agrees that the university should adhere to the on-call professional services program policy when selecting a contractor. There have been times when the communication between CFPF and the end-user department is through email or verbal conversations. The university updated the on-call policies and procedures in the Capital Projects and Facilities Procurement Policies and Procedures Manual in September 2019 for selecting appropriate professional service firms using the rotational equalization method. The university has also developed procedures to better document which of the manual’s criteria was used to assign firms from the On-Call Professional Services program.</p> <p>The university is committed to full compliance with all state requirements and will continuously strive to ensure our practices support our compliance obligations.”</p> |

Finding 13 Lack of Conflict-of-Interest Policy

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| Criteria | It is good business practice to require all contracted construction project managers to sign a conflict-of-interest form disclosing actual and potential conflicts of interest. |
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| Condition | The university does not require contractors or consultants to complete conflict of interest disclosure forms. |
| Context | The university negotiated a 10-year contract with a company for project management services. The University, Planning, Design & Construction department estimates there are approximately 20 of the company's consultants working in its department. The consultants may be responsible for managing and developing budgets, verifying payments to contractors, evaluating pricing, and validating change orders. |
| Effect | Failing to disclose potential conflicts of interest increases the risk that UConn will not detect individuals with conflicts while they are working at the university. Individuals with an undisclosed conflict may make bias or compromised decisions unbeknownst to the university. |
| Cause | The university believes its current policies and procedures meet the state's requirements related to the avoidance of conflicts of interest. |
| Prior Audit Finding | This finding has been previously reported in the last audit report covering the fiscal years ended 2016 through 2018. |
| Recommendation | The University of Connecticut should implement policies and procedures to ensure that contractors and consultants disclose potential conflicts of interest. |
| Agency Response | <p>"The university continues to disagree with this finding and maintains that the recommendation's "policies and procedures to ensure that contractors and consultants disclose potential conflicts of interest" are in place. The university's current policies and procedures meet the State of Connecticut's requirements related to the avoidance of conflicts of interest. University employees are educated regarding their ethical obligations as State of Connecticut employees and are expected to advise the university when a conflict or potential conflict arises.</p> <p>The university incorporates State of Connecticut statutes and Office of State Ethics provisions within its agreements and contracting processes. As such, and upon execution, vendors/contractors and their employees supporting such contractual services (which include the consultants referenced in the condition of this finding) are required to inform the university of any potential conflicts of interest. There are no state statutes or provisions that require employees of vendors/contractors to individually complete conflict of interest disclosure forms.</p> <p>The university is committed to full compliance with all state requirements and will continuously strive to ensure our practices support our compliance obligations."</p> |

Auditors' Concluding Comments

We believe contractors and consultants assigned to work at the university for an extended period would benefit from the same formal ethics training as employees, which requires the disclosure of actual and potential conflicts of interest.

Finding 14

Conflict-of-Interest Disclosure

Background

The university uses special payroll for certain short term, part-time, or temporary professional staffing needs associated with its programs and activities. The initial temporary appointments should not exceed six months, but it can be renewed. In general, appointees to the special payroll do not receive benefits.

Criteria

UConn's Employment and Contracting for Services of Relatives policy requires employees, when confronted with an employee decision or action involving a relative, to complete a conflict-of-interest disclosure form. The employee submits the form to the supervisor, who forwards it to the appropriate senior manager for approval. The purpose of the senior manager's review and signature is to ensure that a senior manager outside of the immediate hiring process is aware and approves of the arrangement.

Condition

Our review of 20 special payroll employees, who have relatives working for UConn, disclosed the following exceptions:

- Two employees did not file the required conflict of interest disclosure forms.
- Of the 18 filed conflict of interest disclosure forms, three were missing a senior manager's signature.
- Of the 15 conflict of interest disclosure forms containing a senior manager's signature, one was approved by a senior manager who was also the hiring manager. University policy requires a senior manager outside of the immediate hiring situation to review and sign the conflict-of-interest disclosure form.

Context

During the audited period, there were 151 special payroll employees with relatives working for UConn. We judgmentally selected 20 employees for testing.

Effect

Controls over conflict-of-interest disclosures were weakened. When conflict of interest forms are not filed or filed without senior

management's review and approval, potential conflicts may go undetected.

Cause

Hiring administrators within individual departments are responsible for asking special payroll candidates if they have relatives working at UConn. However, due to the university's decentralized hiring processes, if hiring administrators do not indicate there is a conflict of interest in the Special Payroll Authorization Request system, human resources may not be aware of the relationship.

Some UConn personnel utilized a different conflict of interest form than specified in the policy. The UConn form did not call for senior management review, as the policy requires.

Prior Audit Finding

This finding has been previously reported in the prior audit report covering the fiscal years ended June 30, 2016 through 2018.

Recommendation

The University of Connecticut should follow its policy on Employment and Contracting for Services of Relatives to ensure that any employment actions are reviewed for possible conflicts of interest. A senior manager outside the immediate hiring situation should approve the conflict-of-interest form, as required by the policy.

Agency Response

"The university agrees with this recommendation. The finding exceptions have been corrected and the two missing forms have since been collected from these employees. Additional training and communication has occurred which is intended to prevent, in good faith, this human error in continuing."

Finding 15 Software Inventory

Criteria

In accordance with Chapter 7 of the State Property Control Manual, each state agency must establish a software inventory to track and control all software media and license agreements. The agency must produce an annual software inventory report and conduct an annual physical inventory of the software library.

Condition

Our review disclosed that the university does not have an inventory to track and control its software media and license agreements. The university was unable to provide a complete software inventory report for the audited period.

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| Context | As of June 30, 2021, UConn had a \$13,283,297 net capitalized software book value. |
| Effect | The lack of a software inventory reduces the university's ability to adequately monitor, control, and track software use and ownership. |
| Cause | The university does not have adequate internal controls over licensed software. The university's decentralized nature allows individual departments to purchase software, making it difficult to properly monitor and track its software inventory. |
| Prior Audit Finding | This finding has been previously reported in the last audit report covering the fiscal years ended 2016 through 2018. |
| Recommendation | The University of Connecticut should strengthen internal controls to ensure it maintains software inventory records and reports them in accordance with the State Property Control Manual. |
| Agency Response | "The University of Connecticut agrees with the recommendation to comply with the software inventory requirements contained in the State Property Control Manual. UConn has put together a task force that is working toward the goal of identifying an effective software inventory control system to meet the requirements of the manual, while also taking into consideration the academic community. UConn Procurement in coordination with UConn's Information Technology Services (ITS) has formalized guidelines for software and cloud services acquisition and renewals for all system and software acquisitions of all dollar values. All software purchases are coded to a Quali Financial System object code to easily identify and account for software purchases. UConn's ITS manages and tracks enterprise software licenses associated with the university's mission critical information systems also known as the "crown jewels." |

Finding 16

Food Service Employees

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| Background | Several large dining halls, operated by UConn's Department of Dining Services of the Division of Student Affairs, provide dining services to UConn students. The approximately 440 food service operations employees at UConn are generally referred to as dining services employees to distinguish them from other UConn employees. However, the Department of Dining Services is a unit of the university and the state. Accordingly, the employees of UConn's food service operation are employed by the state. |
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| Criteria | <p>Section 3-25 of the General Statutes authorizes constituent units of the state system of higher education to pay certain claims directly rather than through the Comptroller, but it specifically excludes payroll.</p> <p>Section 10a-108 of the General Statutes grants UConn’s board of trustees the authority to employ the faculty and other personnel needed to operate the university and fix their compensation. However, this authority does not cover employees in state classified service. The work performed by UConn’s dining services employees is consistent with work in state classified service.</p> |
| Condition | <p>UConn is paying approximately 384 full-time dining services employees directly rather than through the Office of the State Comptroller.</p> <p>UConn’s dining services employees are excluded from participating in the state employees retirement system and limited to participating in the Department of Dining Services Purchase Pension Plan or the University of Connecticut Department of Dining Services 403(b) Retirement Plan.</p> |
| Context | <p>UConn had approximately 5,062 full and part-time faculty and staff as of June 30, 2021.</p> |
| Effect | <p>Internal controls over payroll disbursements may be weakened. Dining services employees cannot participate in the state employees retirement system.</p> |
| Cause | <p>UConn did not seek clear statutory authority to compensate its dining services employees in this manner.</p> |
| Prior Audit Finding | <p>This finding has been previously reported in the last five audit reports covering the fiscal years ended June 30, 2008 through 2018.</p> |
| Recommendation | <p>The University of Connecticut should seek clear statutory authority for the direct payment of wages to its dining services employees and their participation in separate retirement plans.</p> |
| Agency Response | <p>“In response to the Auditors’ concerns, a workgroup was formed to review the issue and make recommendations to university administration. Review and consideration of the issue is ongoing.”</p> |

Finding 17

Guaranteed Maximum Price

| | |
|----------------------------|---|
| Criteria | <p>Section 10a-109n(c)(9) of the General Statutes requires that a construction manager at-risk contract include the maximum guaranteed price for the cost of construction, which must be determined no later than when the university receives and approves the contractor bids. Furthermore, it mandates, that, except for site preparation and demolition, construction cannot begin prior to the establishment of the maximum guaranteed price.</p> <p>To mitigate the university's risk associated with construction manager at-risk (CMR) construction projects and the related costs, the guaranteed maximum price (GMP) should be established at the project's outset for all phases of a construction project.</p> |
| Condition | <p>We reviewed five CMR projects, and in two instances, the GMP set by the university did not reflect the entire construction project. The university instead established the GMP by construction phase.</p> <ul style="list-style-type: none">• The university established an initial GMP of approximately \$280,000 for the first project, well below the budgeted cost of the construction project. As the project progressed, the university amended the GMP four times, with a current estimated \$128 million GMP for a project with an anticipated \$240 - \$260 million budget.• The university established an initial GMP of approximately \$3.4 million for a second project, which was well below the budgeted cost of the construction project. As the project progressed, the university amended the GMP five times, with a current estimated \$31.5 million GMP for the \$25 million budgeted project. |
| Context | <p>During the audited period, there were nine active CMR projects totaling approximately \$170 million. We judgmentally selected five CMR projects for testing.</p> |
| Effect | <p>The university may be exposed to unnecessary risk and cost overruns.</p> |
| Cause | <p>The university established the GMP by phase.</p> |
| Prior Audit Finding | <p>This finding has not been previously reported.</p> |
| Recommendation | <p>The University of Connecticut should establish a guaranteed maximum price consistent with the scope and cost of the entire</p> |

construction project and attempt to limit amendments to the guaranteed maximum price.

Agency Response

“The University disagrees with this finding and this recommendation. For large projects anticipated to be constructed over many years, it is both advisable and reasonable to proceed in phases. As in the specific case reviewed in this finding, each phase was contracted under a Guaranteed Maximum Price amendment and each met statutory requirements for procurement. We disagree that the effect of phasing projects increases the risks to the state, and in fact, believe that not phasing large multi-year projects would pose additional risk, create inflated GMPs and ultimately be more costly. Additionally, proceeding in phases allowed the university to incorporate lessons learned from earlier phases of the work.

The university is committed to full compliance with all state requirements and will continuously strive to ensure our practices support our compliance obligations.”

Auditors’ Concluding Comments

Failing to establish a guaranteed maximum price consistent with the cost of the entire construction project and continually amending the GMP throughout the project negates the purpose and benefit of establishing a GMP, which is to mitigate the university’s risk of cost overruns.

Finding 18
Working Excessive Consecutive Days

Background

UConn’s Central Utility Plant and Cogeneration Facility in Storrs is the primary source of steam, chilled water, and electricity for the campus. The facility requires staffing 24-hours per day, seven days a week.

Criteria

Sound staffing practices call for procedures to monitor and limit employees’ consecutive workdays to prevent adverse effects on employee safety and work quality.

Condition

We reviewed ten facility operations employees who earned \$1,269,974 in overtime during the audited period and noted that five employees worked between 17 and 65 consecutive days. All five employees were power plant operators in the Utility Plant Operations Department.

Context

The university paid \$18,323,817 in overtime to 1,692 employees during the audited period. We judgmentally selected ten employees for review, which represented 0.6% of the number of

employees earning overtime and accounted for 7% of the university's overtime expenditures.

Effect Excessive consecutive workdays may interfere with work quality and employee safety.

Cause The university indicated that the facility has been significantly understaffed due to below market-rate salaries, a nationwide shortage of qualified applicants, and the retirement of long-term staff. This resulted in additional overtime hours to meet operational needs.

Prior Audit Finding This finding has not been previously reported.

Recommendation The University of Connecticut should implement policies and procedures limiting the number of consecutive workdays to ensure employee safety and quality of work in its Utility Plant Operations Department.

Agency Response "The university agrees fully that the quality of work, hours worked, and employee safety at these facilities are paramount. The university has worked diligently for years with the State of Connecticut Department of Administrative Services (DAS) and the Office of Labor Relations (OLR) to address pay and classification in order to be able to recruit and retain qualified individuals to staff these facilities. The operations cited are the University's Central Utility Plant and Cogeneration Facility in Storrs and the Water Reclamation Facility which are continuous operations 24/7/365. The university has brought in outside contractors to address staffing where appropriate. While some gains have been made, the university will continue to work with the state to address these issues in a very tight and competitive labor market."

Finding 19

Reporting Requirements

Criteria The university is required to comply with numerous reporting requirements set forth by the Connecticut General Statutes. An adequate system of internal controls should include a method for management to track and monitor the submission of mandated reports or propose legislative changes to eliminate obsolete or duplicate reporting requirements.

Condition The university was unable to locate four of 43 reports required by statute, including the following:

- The report of new programs and program changes required by Sections 10a-35a(b) and 10a-104(a)(5) for fiscal years 2018-2019 and 2020-2021
- The biennial fundraising report required by Section 10a-104(a)(9) for fiscal year 2019-2020
- The biennial plan for increase of full-time faculty required by Section 10a-154c for fiscal year 2019-2020

Context During the audited period, the university was required to produce 43 statutorily mandated reports.

Effect Intended recipients are not receiving or not promptly receiving required information.

Cause Some of the reporting requirements appear unclear or have become obsolete. The remaining reporting requirements may have been overlooked due to staff turnover.

Prior Audit Finding This finding has not been previously reported.

Recommendation The University of Connecticut should comply with its statutory reporting requirements or propose legislative changes to eliminate obsolete or duplicate reporting requirements.

Agency Response “The university agrees with the recommendation. Effective July 1, 2021, CT General Statute Section 10a-34h establishes the requirement of a database which impacts the reporting of new programs and program changes required by Sections 10a-35a(b) and 10a-104(a)(5). Reporting requirements for Credential Engine, which will be provided into the Veoci system utilized by The Office of Higher Education (OHE) to manage data between individual institutions of higher education and to provide data for Credential Engine, mean that there is now a detailed requirement for reporting for all programs, which will all be reported through Veoci. We will work to clarify the single reporting requirement.

The UConn Foundation submits a report annually pursuant to CT General Statutes section 4-37f(9). We believe 10a-104 (a) (9) is obsolete. OHE used to have a role in the state funded endowment matching grant programs. While they still do have some statutory authority in this area, the state endowment matching programs have not been funded in over a decade. Additionally, the Board of Regents statutes – which were more recently updated (circa 2011) due to the merger of CSU and CCCs --have no such requirement likely due to the recognition of OHE’s diminished role in this area and the lack of state funding for state matching grants. We are

including a removal of the reporting requirement under 10a-104 (a) (9) as part of our legislative session planning.

It appears that the report required under 10a-154c is covered under the Faculty Hiring Plan report submitted pursuant to 10a-104c. We are including a removal of the reporting requirement under 10a-154c as part of our legislative session planning so that the duplicate reporting requirement is eliminated.”

Finding 20

Asset Management

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|----------------------------|--|
| Criteria | The university's Capital Equipment Tagging and Physical Inventory Policy requires that all capital equipment with a value greater than \$5,000 be tagged with a university barcode at the time of receipt. |
| Condition | Our review of 26 capital equipment purchases during the fiscal years ended June 30, 2020 and 2021 disclosed that six pieces of equipment, totaling \$172,776, were tagged and assigned asset numbers 139 to 399 days after payment. The university occasionally needs to test new equipment prior to payment, to ensure it works as intended. As such, we utilized the payment date rather than the receipt date when assessing the timeliness of asset tagging. |
| Context | Capital equipment expenditures totaled approximately \$22 million and \$13 million for the fiscal years ended June 30, 2020 and 2021, respectively. We randomly selected 26 capital equipment purchases for testing. |
| Effect | Delayed tagging of capital equipment increases the risk of loss, theft, and inaccurate reporting. |
| Cause | The decentralization of equipment tagging and the pandemic remote work environment attributed to the delayed tagging of capital assets. |
| Prior Audit Finding | This finding has not been previously reported. |
| Recommendation | The University of Connecticut should strengthen internal controls to ensure that it promptly tags newly purchased capital equipment and adds it to its inventory system. |
| Agency Response | “The University of Connecticut agrees that the pandemic attributed to some untimely tagging of capital equipment. Within two months after the pandemic started, the Inventory Control staff were |

approved to come back on campus to continue tagging new equipment and inventory existing equipment. There were some locations on the main campus and at the regional campuses where the Inventory Control staff were not able to enter sites due to COVID reasons. Inventory Control maintains an Access data base for equipment purchases that have not been tagged. This data base is constantly monitored to ensure that capital equipment is eventually tagged and added to the inventory system. The tagging of the equipment is a centralized process within the controller's operations. Inventory Control is now able to enter all sites on main campus and at the regional campuses and have resumed their timely tagging of capital equipment."

Finding 21

Conflict of Interest – Board of Trustees

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|----------------------------|--|
| Criteria | It is good business practice to require that all university board of trustee members disclose actual, potential, or perceived conflicts of interest. |
| Condition | The board of trustees does not require its members to disclose actual, potential, or perceived conflicts of interest. During our review, we identified a board member who did not disclose the appearance of a conflict of interest. After review, it was determined an actual conflict of interest did not exist. |
| Context | The board of trustees has 21 members, 12 appointed by the Governor, two elected by alumni, and two elected by students. The board also has five ex-officio members, including the Governor; the chair of the UConn Health Board of Directors; and the commissioners of Agriculture, Economic and Community Development, and Education. |
| Effect | Failing to disclose potential conflicts of interest increases the risk that the board will not detect members' actual, potential, or perceived conflicts during their board service. Failing to disclose the appearance of a conflict of interest may negatively impact the board's public trust. |
| Cause | The board of trustees lacks a member conflict of interest policy. |
| Prior Audit Finding | This finding has not been previously reported. |

Recommendation

The University of Connecticut Board of Trustees should implement conflict of interest policies and procedures to ensure its members disclose actual, potential, or perceived conflicts.

Agency Response

"The university agrees with the recommendation. All appointed Board of Trustee members are subject to the State Code of Ethics and provided with a copy of the Guide to the State Code of Ethics. Although not technically subject to the State Code of Ethics, the elected student and alumni representatives to the board are asked to follow the same guidelines.

The university's administration will draft a separate conflict of interest policy that the board can consider. The draft policy will be submitted to the Joint Audit & Compliance Committee of the Board of Trustees for review. Upon its recommendation, it will be sent to the full board for its consideration and vote."

Finding 22

Awarding of Contracts

Criteria

In accordance with Section 10a-109n(c)(3) of the General Statutes, the university shall not award any construction contract, including any total cost basis contract, after public letting, except to the responsible qualified contractor submitting the lowest bid or proposal in compliance with the requirements of the solicitation document.

Condition

We reviewed six contract awards, totaling \$20,370,583, and noted that the university did not award one \$5,473,239 contract to the lowest responsible qualified bidder. During the award process, the university evaluated bids by considering the base bid and five alternate services, which could be included in the contract at the university's discretion. The university selected the lowest bidder after removing one of the five alternate service numbers but added the service back via a change order after awarding the contract. If the service was included in the initial award process, the university should have selected a different vendor.

Context

We judgmentally selected our tested contracts from a population of 40 purchase orders totaling \$80,615,142.

Effect

The university incurs additional costs when it does not award a contract to the lowest responsible qualified bidder.

| | |
|--------------------------------------|---|
| Cause | The university did not appear to consider the full scope of the project and improperly excluded an alternate service when evaluating contractor bids. |
| Prior Audit Finding | This finding has not been previously reported. |
| Recommendation | The University of Connecticut should select construction contractors in accordance with the procurement requirements in Section 10a-109n of the General Statutes. |
| Agency Response | <p>“The university agrees with this recommendation but disagrees with this finding. The university awarded the project to the lowest qualified bidder based on the available information at the time of the bid and as outlined in the award process in the Request for Proposal. The subsequent decision to undertake the alternate work five months after the commencement of the contract, neither serves to reverse the award decision nor has any relevancy.</p> <p>The university is committed to full compliance with all state requirements and will continuously strive to ensure our practices support our compliance obligations.”</p> |
| Auditors’ Concluding Comments | Had the full scope of the project been properly considered at the time of the award, based on the available information at the time of the bid, a different vendor would have been selected as the lowest qualified bidder. |

STATUS OF PRIOR AUDIT RECOMMENDATIONS

Our [prior audit report](#) on the University of Connecticut contained 28 recommendations. Ten have been implemented or otherwise resolved and 18 have been repeated or restated with modifications during the current audit.

| Prior Recommendation | Current Status |
|---|--|
| <p>The University of Connecticut should comply with Section 10a-109n(c)(2)(A) of the General Statutes and publicly solicit projects with costs estimated to exceed \$500,000, by posting them on the university's website and Department of Administrative Services State Contracting Portal.</p> | <p>REPEATED Modified Form</p> <p>Recommendation 1</p> |
| <p>The University of Connecticut should adhere to its policies and publicly solicit design projects with costs greater than \$500,000.</p> | <p>REPEATED Modified Form</p> <p>Recommendation 1</p> |
| <p>The University of Connecticut should establish the scope and costs of construction projects to ensure that their actual costs are reasonable and consistent with a properly developed original budget.</p> | <p>REPEATED Modified Form</p> <p>Recommendation 17</p> |
| <p>The University of Connecticut should adhere to its bylaws when administering the sabbatical leave program, document any deviation from its formal policy in writing, and vet sabbatical leave via an appropriate approval process.</p> | <p>REPEATED Modified Form</p> <p>Recommendation 2</p> |
| <p>The University of Connecticut should compensate employees who step down from management positions at a level consistent with their new position. If a higher compensation rate is warranted, the university should document the appropriateness of the new salary.</p> | <p>REPEATED</p> <p>Recommendation 3</p> |
| <p>The University of Connecticut should strengthen control procedures to ensure compliance with the compensatory time provisions set forth in the University of Connecticut Professional Employees Association contract.</p> | <p>REPEATED</p> <p>Recommendation 4</p> |

| <p style="text-align: center;">Prior Recommendation</p> | <p style="text-align: center;">Current Status</p> |
|---|--|
| <p>The University of Connecticut should provide notice instead of separation payments in instances of involuntary separation unrelated to job performance. However, if, due to security and/or other risk concerns, management determines that payment in lieu of notice is the prudent alternative, it should prepare written documentation of its consideration of the applicable risk factors and clearly describe the basis for its conclusion. The university should not make separation payments to employees who were terminated for poor job performance.</p> | <p style="text-align: center;">REPEATED</p> <p style="text-align: center;">Recommendation 5</p> |
| <p>The University of Connecticut should follow all applicable policies, procedures, and contracts when making vacation leave payouts upon an employee's separation.</p> | <p style="text-align: center;">RESOLVED</p> |
| <p>The University of Connecticut should review each user's Core-CT access and, if appropriate, adjust the level of employee access to improve the segregation of duties between the payroll and human resources functions. The university should submit a CO-1092 form with appropriate justification for all employees with dual access.</p> | <p style="text-align: center;">RESOLVED</p> |
| <p>The University of Connecticut should improve internal controls over timesheet approval.</p> | <p style="text-align: center;">REPEATED</p> <p style="text-align: center;">Recommendation 6</p> |
| <p>The University of Connecticut should not rehire its retired employees for more than three 120-day periods, in accordance with university policy. The university should ensure that compensation for rehired retirees is consistent with its policy.</p> | <p style="text-align: center;">RESOLVED</p> |
| <p>The University of Connecticut should ensure that supervisors review employee timesheets properly prior to approval, and the Payroll Department should verify that valid time reporting codes were used. The university should perform periodic reviews of employees who charged holiday time on non-holidays to ensure they accurately reported their time.</p> | <p style="text-align: center;">RESOLVED</p> |
| <p>The University of Connecticut should institute procedures to ensure the carryover of vacation leave is monitored and approved in accordance with university policy.</p> | <p style="text-align: center;">RESOLVED</p> |
| <p>The University of Connecticut should follow its established policies and remove data center access when it is no longer required. The university should conduct periodic reviews of all users with data center access to ensure they still require access.</p> | <p style="text-align: center;">RESOLVED</p> |

| <p style="text-align: center;">Prior Recommendation</p> | <p style="text-align: center;">Current Status</p> |
|---|--|
| <p>The University of Connecticut should promptly deactivate information system access upon an employee’s separation from employment. The university should periodically review information system access privileges to determine whether access is still appropriate.</p> | <p style="text-align: center;">REPEATED</p> <p style="text-align: center;">Recommendation 7</p> |
| <p>The University of Connecticut should follow its established housing policies and procedures to ensure all rental arrangements are documented and in compliance with existing laws and regulations.</p> | <p style="text-align: center;">REPEATED Modified Form</p> <p style="text-align: center;">Recommendation 8</p> |
| <p>The University of Connecticut should continue to develop a process to monitor and obtain assurance over external vendors by obtaining and reviewing their service organization control reports.</p> | <p style="text-align: center;">REPEATED</p> <p style="text-align: center;">Recommendation 9</p> |
| <p>The University of Connecticut should require that purchasing card logs be approved by the cardholder’s supervisor.</p> | <p style="text-align: center;">RESOLVED</p> |
| <p>The University of Connecticut should obtain ethics certifications in a manner consistent with Section 4-252 of the General Statutes.</p> | <p style="text-align: center;">RESOLVED</p> |
| <p>The University of Connecticut should ensure that employees with tuition waivers who attend classes during regularly scheduled work hours complete the required Temporary Flexible Work Schedule form and ensure that their work and class schedules do not conflict.</p> | <p style="text-align: center;">REPEATED</p> <p style="text-align: center;">Recommendation 10</p> |
| <p>The University of Connecticut should strengthen internal controls to ensure it approves change order requests prior to the commencement of services.</p> | <p style="text-align: center;">REPEATED Modified Form</p> <p style="text-align: center;">Recommendation 11</p> |
| <p>The University of Connecticut should adhere to its on-call professional services program policy when selecting a contractor. The university should formally document its criteria when it assigns a contractor from the on-call professional services program under the equalization rotational process.</p> | <p style="text-align: center;">REPEATED</p> <p style="text-align: center;">Recommendation 12</p> |
| <p>The University of Connecticut should implement policies and procedures to ensure that contractors and consultants disclose potential conflicts of interest.</p> | <p style="text-align: center;">REPEATED</p> <p style="text-align: center;">Recommendation 13</p> |

| <p style="text-align: center;">Prior Recommendation</p> | <p style="text-align: center;">Current Status</p> |
|---|---|
| <p>The University of Connecticut should consider price as a criterion when evaluating bids during the competitive procurement process. The university also should document its consideration and evaluation of costs associated with subcontractors hired to perform a significant amount of the work on a contract.</p> | <p style="text-align: center;">RESOLVED</p> |
| <p>The University of Connecticut should follow its policy on Employment and Contracting for Services of Relatives to ensure that any employment actions are reviewed for possible conflicts of interest. A senior manager outside the immediate hiring situation should approve the conflict of interest form, as required by the policy.</p> | <p style="text-align: center;">REPEATED</p> <p style="text-align: center;">Recommendation 14</p> |
| <p>The University of Connecticut should strengthen internal controls to ensure it maintains software inventory records and reports them in accordance with the State Property Control Manual.</p> | <p style="text-align: center;">REPEATED</p> <p style="text-align: center;">Recommendation 15</p> |
| <p>The University of Connecticut should comply with Section 10a-109bb(a) of the General Statutes to ensure that committee members with the requisite professional experience review UConn 2000 projects.</p> | <p style="text-align: center;">RESOLVED</p> |
| <p>The University of Connecticut should seek clear statutory authority for the direct payment of wages to its dining services employees and their participation in separate retirement plans.</p> | <p style="text-align: center;">REPEATED</p> <p style="text-align: center;">Recommendation 16</p> |

OBJECTIVES, SCOPE, AND METHODOLOGY

We have audited certain operations of the University of Connecticut (UConn) in fulfillment of our duties under Section 2-90 of the Connecticut General Statutes. The scope of our audit included, but was not necessarily limited to, the fiscal years ended June 30, 2019, 2020, and 2021. The objectives of our audit were to evaluate the university's:

1. Internal controls over significant management and financial functions;
2. Compliance with policies and procedures internal to the university or promulgated by other state agencies, as well as certain legal provisions; and
3. Effectiveness, economy, and efficiency of certain management practices and operations, including certain financial transactions.

Our methodology included reviewing written policies and procedures, financial records, minutes of meetings, and other pertinent documents; interviewing various personnel of UConn, as well as certain external parties; and testing selected transactions. Our testing was not designed to project to a population unless specifically stated. We obtained an understanding of internal controls that we deemed significant within the context of the audit objectives and assessed whether such controls have been properly designed and placed in operation. We tested certain of those controls to obtain evidence regarding the effectiveness of their design and operation. We also obtained an understanding of legal provisions that are significant within the context of the audit objectives, and we assessed the risk that illegal acts, including fraud, and violations of contracts, grant agreements, or other legal provisions could occur. Based on that risk assessment, we designed and performed procedures to provide reasonable assurance of detecting instances of noncompliance significant to those provisions.

We conducted this performance audit in accordance with generally accepted government auditing standards. Those standards require that we plan and perform our audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

The accompanying Financial Information is presented for informational purposes. This information was obtained from various available sources, including but not limited to, the university's management and the state's information systems, and was not subjected to the procedures applied in our audit of the university. For the areas audited, we identified:

1. Deficiencies in internal controls;
2. Apparent noncompliance with laws, regulations, internal policies and procedures; and
3. A need for improvement in management practices and procedures that we deemed to be reportable.

The State Auditors' Findings and Recommendations section of this report presents any findings arising from our audit of the University of Connecticut.

ABOUT THE AGENCY

Overview

[The University of Connecticut](#), a constituent unit of the state system of higher education, operates generally under the provisions of Title 10a, Chapter 185b, Part III, of the General Statutes. UConn is governed by the Board of Trustees of the University of Connecticut, consisting of 21 members appointed or elected under the provisions of Section 10a-103 of the General Statutes. The board makes rules for the government of the university and determines the general policies of the university pursuant to duties set forth in Section 10a-104 of the General Statutes. The members of the board as of June 30, 2021, were:

Ex officio members:

Ned Lamont, Governor
Bryan P. Hurlburt, Commissioner of Agriculture
David Lehman, Commissioner of Economic and Community Development
Charlene M. Russell-Tucker, Acting Commissioner of Education
Sanford Cloud, Jr., Chairperson of UConn Health's Board of Directors

Appointed by the Governor:

Daniel D. Toscano, Darien, Chairman - effective August 2019
Thomas D. Ritter, Hartford, Interim Chairman from April 2019 to August 2019
Andrea Dennis-LaVigne, Simsbury, Vice-Chair and Secretary
Andy F. Bessette, West Hartford
Mark L. Boxer, Glastonbury
Charles F. Bunnell, Waterford
Shari G. Cantor, West Hartford
Marilda L. Gandara, Hartford
Rebecca Lobo, Granby
Kevin J. O'Connor, Greenwich
Philip E. Rubin, Fairfield

Elected by alumni:

Jeanine A. Gouin, Durham
Brian K. Pollard, Middletown

Elected by students:

Justin Fang, Storrs
Ethan Werstler, Storrs

Other members who served during the audited period include the following:

Diane R. Wentzell, Former Commissioner of Education
Miguel Cardona, Former Commissioner of Education
Denis J. Nayden, Stamford
Thomas Kruger, Cos Cob
Richard T. Carbray, Jr., Rocky Hill
Samuel Surowitz, Storrs

Renukanandan Tumu, Storrs

Pursuant to Section 10a-108 of the General Statutes, the board of trustees appoints a university president to be the chief executive and administrative officer of the university. Susan Herbst served as the president of the university during the audited period until July 2019. Thomas Katsouleas served as the president of the university from August 2019 through June 2021.

UConn's main campus is located in Storrs, Connecticut. The university maintains additional facilities and carries out programs at locations across the state. These facilities and programs include:

Avery Point:

Undergraduate and Graduate Programs
Connecticut Sea Grant College Program

Farmington:

UConn Health

Greater Hartford:

Undergraduate and Graduate Programs
School of Law
School of Social Work
Graduate Business Learning Center

Stamford:

Undergraduate and Graduate Programs
Connecticut Information Technology Institute

Waterbury:

Undergraduate and Graduate Programs

Operations of the UConn Health Center are examined and reported upon separately by the Auditors of Public Accounts.

Autonomy

Statutes governing the state's constituent institutions of higher education provide UConn notable autonomy and flexibility. This independence is most notable with respect to procurement. Institutions of higher education may, under Section 10a-151b of the General Statutes, purchase equipment, supplies and contractual services, execute personal services agreements or lease personal property without the approval of the Comptroller, the Secretary of the Office of Policy and Management, or the Commissioner of the Department of Administrative Services. Personal services agreements are not subject to the restrictions codified under Sections 4-212 through 4-219 of the General Statutes. As a compensating measure, personal services agreements executed by institutions of higher education must satisfy the same requirements generally applicable to other procurement actions.

Under Section 3-25 of the General Statutes, higher education institutions may, subject to the approval of the Comptroller, pay most non-payroll expenditures (those funded from the proceeds of state bond issues being an exception) directly instead of through the Comptroller. UConn issues checks that are drawn on a zero-balance checking account controlled by the State Treasurer. Under the approved

procedures, funds are advanced from the university's operating fund (a civil list fund) to a Treasurer's cash management account. These advances are recorded as higher education operating expenses on the Comptroller's records. The Treasurer transfers funds from the cash management account to UConn's zero-balance direct disbursement checking account as needed to satisfy checks that have cleared.

UConn makes all payments through the zero-balance checking account, except for certain transactions involving student receipts. UConn's operating fund is reimbursed on a daily basis for payments made on behalf of UConn's non-civil list funds (UConn 2000 bond proceeds and UConn's special local fund). The University of Connecticut Research Foundation Fund reimburses the operating fund on a monthly basis. The reimbursements are posted to the operating fund by crediting higher education operating expenses.

Although Section 3-25 clearly states "payments for payroll...shall be made solely by the Treasurer..." UConn pays the majority of its food service employees directly. This arrangement is discussed in more detail in the State Auditors' Findings and Recommendations section of this report.

UConn also has a significant degree of autonomy with respect to personnel matters. Section 10a-108 of the General Statutes grants the board of trustees the authority to employ the faculty and other personnel needed to operate and maintain the institutions under its jurisdiction and establish the terms and conditions of employment. Section 10a-154b allows institutions of higher education to establish positions and approve the filling of vacancies within available funds.

UConn 2000

Public Act 95-230, known as The University of Connecticut 2000 Act, authorized a massive infrastructure improvement program to be managed by UConn. Subsection (c) of Section 7 of the act, codified as Section 10a-109g(c) of the General Statutes, provided that the securities issued to fund this program are to be issued as general obligations of UConn. However, the act committed the state to fund the debt service on these securities, both principal and interest, almost entirely from General Fund resources. Per subsection (c) of Section 5 of the act, codified as Section 10a-109e(c) of the General Statutes, "As part of the contract of the state with the holders of the securities secured by the state debt service commitment and pursuant to section 21 of this act, appropriation of all amounts of the state debt service commitment is hereby made out of the resources of the general fund and the treasurer shall pay such amount in each fiscal year, to the paying agent on the securities secured by the state debt service commitment or otherwise as the treasurer shall provide."

These securities, to the extent that related debt service is funded from the state debt service commitment, are considered for the bond limitation established by Section 3-21 of the General Statutes. However, they are not considered to be a state bond issue as referred to in Section 3-25 of the General Statutes. Therefore, UConn can make payments related to the program directly, rather than through the Comptroller.

UConn 2000 Authorizations

As of June 30, 2021, the General Assembly authorized \$4,619,300,000 in projects under the UConn 2000 enabling legislation. The estimated costs do not represent spending caps at the project level or in the aggregate.

| Authorizing Legislation | Authorized Amount | Cumulative Estimated Costs | Cumulative Funding | | |
|-------------------------|-------------------|----------------------------|--------------------|----------------------------|-------------|
| | | | UConn Bonds | State Bonds ^[a] | Other |
| PA 95-230 | 1,250,000,000 | 1,250,000,000 | 962,000,000 | 18,000,000 | 270,000,000 |
| PA 02-3 | 1,348,400,000 | 2,598,400,000 | 2,262,000,000 | 18,000,000 | 318,400,000 |
| PA 10-104 | 207,000,000 | 2,805,400,000 | 2,469,000,000 | 18,000,000 | 318,400,000 |
| PA 11-75 | 262,900,000 | 3,068,300,000 | 2,731,900,000 | 18,000,000 | 318,400,000 |
| PA 13-233 | 1,551,000,000 | 4,619,300,000 | 4,282,900,000 | 18,000,000 | 318,400,000 |

^[a] Under Section 5 (b) of Public Act 95-230, the funding for UConn 2000 included \$18,000,000 in state general obligation bonds authorized under Section 1 of Public Act 95-270 and \$962,000,000 in UConn bonds authorized under Section 4(a) of Public Act 95-230.

The legislature authorized additional funding through the issuance of state general obligation bonds. These bonds are obligations of the state and are not included as debt in the UConn financial statements. Several projects were funded in this manner. The most significant was the approval of up to \$169,500,000 for the development of a technology park at the university, under Section 92 of Public Act 11-57, as amended by Section 30 of Public Act 14-98.

Public Act 17-2 extended the UConn 2000 program by three years, from 2024 to 2027. It also deferred \$185.8 million in bonds currently authorized under the program for fiscal years 2018 to 2023 to fiscal years 2024 to 2027 and adjusted the program's annual bond caps.

Public Act 20-1 revised the amounts of bonds secured by the state debt service commitment that UConn could issue in years 2020 to 2027. However, the act did not change the aggregate amount of bonds secured by the state debt service commitment that could be issued.

Significant Legislation:

The following notable legislative changes took effect during or around the audited period:

- **Public Act 18-137**, effective October 1, 2018, limits state agencies from paying a departing employee more than \$50,000 as part of a non-disparagement agreement or to avoid litigation costs. The act allows such a payment if it is made under a settlement agreement the Attorney General enters on the agency's behalf or if the Attorney General recommends and the Governor authorizes it to settle a disputed claim by or against the state.
- **Public Act 19-154**, effective July 1, 2019, made several changes to entrepreneurship and economic development at UConn. The changes include requirements to: a) develop a new recruitment plan for research faculty, b) foster a culture of innovation and entrepreneurship among students, and c) solicit input from the CTNext Board of Directors and the Higher Education Entrepreneurship in developing a plan to reform UConn's technology transfer program.
- **Public Act 21-111** authorized the issuance of \$41,600,000 in State General Obligation Bonds over fiscal years 2022 through 2026 for the university to commence a research faculty recruitment and hiring program. This program is expected to support economic development in the state through

faculty research and promote core sectors of the state's economy by accelerating the pace of applied research and development.

Enrollment Statistics

Statistics compiled by the University of Connecticut's Office of Institutional Research and Effectiveness present the following enrollment totals during the audited period and prior fiscal year:

| Student Status | Fall 2017 | Fall 2018 | Fall 2019 | Fall 2020 |
|---|------------------|------------------|------------------|------------------|
| Undergraduates | 23,845 | 23,978 | 23,900 | 24,371 |
| Graduates | 7,098 | 7,004 | 7,097 | 6,928 |
| Professional (School of Law and Doctor of Pharmacy) | 647 | 664 | 690 | 724 |
| Medical - Students | 411 | 425 | 444 | 449 |
| Dental - Students | 181 | 186 | 202 | 197 |
| Total Enrollment | 32,182 | 32,257 | 32,333 | 32,669 |

Financial Information

Under the provisions of Section 10a-105(a) of the General Statutes, tuition is set by the board of trustees. The following summary presents annual tuition charges during the audited period and prior fiscal year.

| Student Status | 2017 - 2018 | | | 2018 - 2019 | | |
|-----------------------|--------------------|---------------------|-----------------|--------------------|---------------------|-----------------|
| | In-State | Out-of-State | Regional | In-State | Out-of-State | Regional |
| Undergraduates | \$11,998 | \$34,016 | \$20,416 | \$12,848 | \$35,216 | \$21,566 |
| Graduates | \$14,500 | \$35,812 | \$24,796 | \$15,350 | \$36,962 | \$25,946 |
| School of Law | \$28,554 | \$58,996 | \$49,386 | \$29,404 | \$60,146 | \$50,536 |
| Student Status | 2019 - 2020 | | | 2020 - 2021 | | |
| | In-State | Out-of-State | Regional | In-State | Out-of-State | Regional |
| Undergraduates | \$13,798 | \$36,466 | \$22,816 | \$14,406 | \$37,074 | \$23,424 |
| Graduates | \$16,300 | \$38,212 | \$27,196 | \$16,908 | \$38,820 | \$27,804 |
| School of Law | \$30,354 | \$61,396 | \$51,786 | \$30,354 | \$61,396 | \$51,786 |

During the audited period, the State Comptroller accounted for UConn operations in:

- General Fund appropriation accounts
- The University of Connecticut Operating Fund
- The University of Connecticut Research Foundation Fund
- Accounts established in other funds for appropriations financed primarily with bond proceeds

UConn maintains additional accounts that are not reflected in the state's civil list financial system. The most significant relate to the UConn 2000 infrastructure improvement program. They are used to account for the proceeds of UConn 2000 bonds and related expenditures.

UConn also maintains a special local fund, used to account for various locally administered balances and activities. Governor William A. O’Neill authorized the fund under Section 4-31a of the General Statutes in 1987 to encompass existing local funds that had traditionally been controlled by UConn.

UConn’s financial statements are prepared in accordance with all relevant Governmental Accounting Standards Board (GASB) pronouncements. UConn utilizes the proprietary fund method of accounting, whereby revenue and expenses are recognized on the accrual basis.

UConn’s financial statements are adjusted as necessary and incorporated into the state’s Annual Comprehensive Financial Report. The financial balances and activity of the university are combined with those of UConn Health, including the John Dempsey Hospital, and included as a proprietary fund.

UConn employment grew slightly during the audited period. UConn reported 4,857, 4,969, 5,032, and 5,099 full and part-time faculty and staff (excluding adjunct faculty and other special payroll employees, graduate assistants, dining services employees and student labor) as of the 2017, 2018, 2019, and 2020 fall semesters, respectively.

Operating Revenues

Operating revenues consist of student tuition and fees, grants and contracts (federal, state and local, and nongovernmental), auxiliary enterprises revenue, and other sources of revenue that generally have the characteristics of exchange transactions.

Operating revenues, as presented in UConn’s audited financial statements for the audited period and previous fiscal year, are as follows:

| (\$ in thousands) | 2017 - 2018 | 2018 - 2019 | 2019 - 2020 | 2020 - 2021 |
|--------------------------------|--------------------|--------------------|--------------------|--------------------|
| Tuition and Fees | \$ 386,921 | \$ 396,780 | \$ 422,519 | \$ 397,237 |
| Grants and Contracts | 144,388 | 163,129 | 166,922 | 183,923 |
| Auxiliary Enterprises Revenue | 210,990 | 211,036 | 169,016 | 73,577 |
| Other Sources | 37,717 | 52,460 | 47,648 | 52,298 |
| Total Operating Revenue | \$ 780,016 | \$ 823,405 | \$ 806,105 | \$ 707,035 |

Operating revenues totaled \$823 million, \$806 million, and \$707 million during the fiscal years ended June 30, 2019, 2020, and 2021, respectively, compared to \$780 million during the fiscal year ended June 30, 2018. These revenues increased \$43 million (6%) in fiscal year 2018-2019, decreased \$17 million (2%) in fiscal year 2020 and decreased \$99 million (12%) in fiscal year 2020-2021.

The growth in operating revenues during the fiscal year ended June 30, 2019 was primarily due to an additional \$18.7 million in federal and nongovernmental grants and/or contracts, after being offset by a \$1.5 million decrease in state and local grants. Other sources of revenue increased by \$14.8 million, since UConn changed its accounting method to report certain payments from UConn Health as operating revenues under other sources beginning in fiscal year 2018-2019. Prior to this change, UConn reported the payments as a reduction to operating expenses. Tuition revenue increased by \$10 million, which stemmed mainly from planned rate increases.

The decrease in fiscal year 2019-2020 operating revenues was largely caused by the University's closure and suspension of housing, dining services, and athletic events due to health and safety measures related to the COVID-19 pandemic. The University refunded \$33.6 million of housing and dining fees to students when the campuses closed in March of 2020. Other sources of revenue decreased by \$4.8 million due to pandemic-related restrictions on programs such as education abroad, orientation, and other educational fees for services. The decreases were partially offset by an increase of \$26 million in tuition and fee

revenue, which was primarily due to increases to the tuition rate and student enrollment. Federal grants and contracts revenue also increased by approximately \$4 million.

Operating revenues declined the most in fiscal year 2020-2021, as the University continued to implement COVID-19 mitigation strategies. The university reduced student housing capacity to approximately 40 percent, which decreased student housing revenues. A lower student population, combined with other measures to ensure student and staff safety, also negatively impacted dining services, sporting events, and parking revenues. Tuition and fee revenue decreased by \$25 million, mainly attributed to a decrease in international student enrollment. The decline in operating revenues was partially offset by a \$17 million increase in federal grant revenues from the Departments of Defense, Health and Human Services, and Transportation.

Operating Expenses

Operating expenses result from payments made for services to achieve the university's mission of instruction, research, and public service. These expenses include employee compensation and benefits, supplies and other expenses, utilities, depreciation and amortization, and scholarships/fellowships.

Operating expenses, as presented in UConn's audited financial statements for the audited period and previous fiscal year, are as follows:

| (\$ in thousands) | 2017 - 2018 | 2018 - 2019 | 2019 - 2020 | 2020 - 2021 |
|---------------------------------|---------------------|---------------------|---------------------|---------------------|
| Salaries and Wages | \$ 569,359 | \$ 569,872 | \$ 602,873 | \$ 617,225 |
| Fringe Benefits | 338,545 | 417,689 | 597,737 | 685,126 |
| Supplies and Other Expenses | 264,456 | 279,602 | 257,977 | 226,404 |
| Utilities | 19,655 | 21,063 | 20,167 | 17,295 |
| Depreciation and Amortization | 108,185 | 119,346 | 117,870 | 122,695 |
| Scholarships and Fellowships | 8,870 | 11,409 | 23,367 | 28,866 |
| Total Operating Expenses | \$ 1,309,070 | \$ 1,418,981 | \$ 1,619,991 | \$ 1,697,611 |

Operating expenses totaled \$1,419 million, \$1,620 million, and \$1,698 million for the fiscal years ended June 30, 2019, 2020, and 2021, respectively, compared to \$1,309 million for the fiscal year ended June 30, 2018. These expenses increased \$110 million (8%), \$201 million (14%), and \$78 million (5%) respectively, in fiscal years 2019, 2020, and 2021.

Operating expenses increased by \$110 million in fiscal year 2018-2019 with a majority of the increase coming from fringe benefits. The \$79 million increase in fringe benefits was primarily caused by one-time benefit changes related to the SEBAC 2017 agreement that reduced expenses in fiscal year 2017-2018. The \$15 million increase in supplies and other expenses was driven mainly by an accounting change for services provided to UConn Health, and increases in software licensing fees, consulting services, and electronic library purchases. The increase in depreciation was a result of completed construction projects (the Technology Quadrant Innovation Partnership Building, the Engineering and Science Building, and the Hartford Relocation Acquisition and Renovation) being depreciated for a full year.

Operating expenses increased by \$201 million in fiscal year 2019-2020. The \$33 million increase in salaries and wages was a result of a collective bargaining agreement rate increase combined with a slight addition of full-time employees. The \$180 million increase in fringe benefits relates to the accounting for actuarial assumption changes related to other postemployment benefits (OPEB) and increases in UConn's share of the state's net pension and OPEB liabilities. Scholarships and fellowships increased \$12 million due to increased tuition, student financial aid, and the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) funding provided to students.

Operating expenses grew by \$78 million in fiscal year 2020-2021. The increase was due, in large part, to an increase in fringe benefits caused by accounting adjustments required to recognize UConn's share of the state's unfunded pension and OPEB liabilities. Salary expenses grew by \$14 million, a result of the collective bargaining agreement salary increases, which was partially offset by managerial furloughs and a reduction in student labor expenses. The decrease in Supplies and Other Expenses came primarily from the reduction of auxiliary enterprise activities, pandemic travel restrictions, and other savings.

Non-Operating Revenues and Expenses

Non-operating revenues and expenses are those not attributable to the sale, exchange, or purchase of goods and services supporting the operations of the university. Non-operating revenues include items such as appropriations from the State of Connecticut for general operations, the state's debt service commitment for interest, federal and state financial aid, noncapital gifts, investment income, and interest income.

Non-operating revenues and expenses, as presented in UConn's financial statements for the audited period and the prior fiscal year, are as follows:

| (\$ in thousands) | 2017 - 2018 | 2018 - 2019 | 2019 - 2020 | 2020 - 2021 |
|--|-------------------|-------------------|-------------------|-------------------|
| State Appropriation | \$ 342,987 | \$ 356,898 | \$ 376,866 | \$ 397,910 |
| State Debt Service Commitment for Interest | 70,740 | 77,333 | 78,963 | 74,170 |
| Federal and State Financial Aid | 37,986 | 42,222 | 64,549 | 115,892 |
| Gifts and Investment Income | 25,791 | 40,142 | 29,671 | 25,509 |
| Interest and Other Expenses | (68,671) | (72,060) | (72,807) | (63,523) |
| Net Non-Operating Revenues | \$ 408,833 | \$ 444,535 | \$ 477,242 | \$ 549,958 |

Net non-operating revenues totaled \$445 million, \$477 million, and \$550 million during the fiscal years ended June 30, 2019, 2020, and 2021, respectively, compared to \$409 million during the fiscal year ended June 30, 2018. These revenues increased \$36 million (9%) in fiscal year 2018-2019, \$33 million (7%) in fiscal year 2019-2020, and \$73 million (15%) in fiscal year 2020-2021.

The \$36 million growth in net non-operating revenues for the fiscal year ended June 30, 2019 was primarily due to an increase in state appropriations and gifts and investment income revenues. The increase in state appropriated revenue was caused by a higher reimbursement for fringe benefits associated with the 567 employees that transferred from the Alternate Retirement Plan to the State Employees' Retirement System, in accordance with the State Employees' Bargaining Agent Coalition grievance award. Gifts and investment income grew, as the UConn Foundation increased its reimbursement of eligible expenses and investment income.

Net non-operating revenues increased \$33 million in fiscal year 2019-2020. Revenue from state appropriations increased due to collective bargaining contract salary increases and related fringe benefit reimbursements. The majority of the increase in federal and state financial aid was derived from emergency funding provided by the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) and the Higher Education Emergency Relief Fund. Gifts and investment income partially offset the increase in net non-operating revenues, as requests associated with the UConn Foundation's reimbursement of eligible expenses and investment income decreased.

Net non-operating revenues increased \$73 million in fiscal year 2020-2021. The increase primarily came from state appropriations and federal and state financial aid. The increase in state appropriations was caused by collective bargaining contract salary increases and related fringe benefit reimbursements. The growth in federal and state financial aid was due to additional COVID-19 funding under the Coronavirus Relief Fund, the Coronavirus Response and Relief Supplemental Appropriations Act, and the Governor's

Emergency Education Relief Fund. The university also reduced its interest expense by refunding bonds in fiscal year 2020-2021.

Other Changes in Net Position

Other changes in net position are comprised primarily of the state's debt service commitment for principal and capital grants and gifts.

Other changes in net position, as presented in UConn's audited financial statements for the audited period and previous fiscal year, are as follows:

| (\$ in thousands) | 2017 - 2018 | 2018 - 2019 | 2019 - 2020 | 2020 - 2021 |
|---|--------------------|--------------------|--------------------|--------------------|
| State Debt Service Commitment for Principal | \$ 187,269 | \$ 154,405 | \$ - | \$ 140,295 |
| Capital Grants and Gifts | 5,099 | 3,906 | 2,276 | 11,640 |
| Additions to Permanent Endowments | 338 | 171 | 171 | 164 |
| Athletic Conference Exit/Entrance Fee | - | - | (16,436) | (3,500) |
| Transfer to Affiliate | - | - | - | (2,000) |
| Other Changes in Net Position | \$ 192,706 | \$ 158,482 | \$ (13,989) | \$ 146,599 |

Other changes in net position totaled \$158 million, (\$14) million, and \$147 million during the fiscal years ended June 30, 2019, 2020, and 2021, respectively, compared to \$193 million during the fiscal year ended June 30, 2018. Other changes in net position decreased \$34 million (18%) in fiscal year 2018-2019, decreased again by \$172 million (109%) in fiscal year 2020, and increased by \$161 million (1148%) in fiscal year 2020-2021.

Typically, the other changes in net position balance are primarily comprised of the state's debt service commitment for principal for the issuance of general obligation bonds under the UConn 2000 Infrastructure Program (UConn 2000). The state committed to pay an annual amount of debt service on securities issued as general obligations of the university. UConn did not issue general obligation bonds in fiscal year 2019-2020, which caused a significant decrease in the balance. In addition, UConn paid a \$16.4 million exit fee in fiscal year 2019-2020 when leaving the American Athletic Conference.

Changes in capital grants and gifts reflect changes in the UConn Foundation's gifts for various capital improvement projects. In fiscal year 2020-2021, the increase of \$9.4 million was due to gifts associated with the Athletics District Development Project, which was partially offset by a reduction in gifts received for smaller construction projects when compared to prior years. UConn also paid a \$3.5 million entrance fee when joining the Big East Conference and transferred \$2 million to UConn Health to upgrade its Nuclear Magnetic Resonance Facility in fiscal year 2020-2021.

Net Position

Net position includes investments in capital assets net of liabilities, restricted funds, and unrestricted funds. Net position, as presented in UConn's financial statements for the audited period and prior fiscal year, is presented below:

| (\$ in thousands) | 2017 - 2018 | 2018 - 2019 | 2019 - 2020 | 2020 - 2021 |
|--|------------------|------------------|---------------------|---------------------|
| Net Investment in Capital Assets | \$ 1,682,317 | \$ 1,681,657 | \$ 1,763,712 | \$ 1,820,249 |
| Restricted Nonexpendable | 15,044 | 15,005 | 15,132 | 14,164 |
| Restricted Expendable: | | | | |
| Research, Instruction, Scholarships, Others | 32,273 | 21,716 | 16,582 | 25,824 |
| Loans | 2,566 | 2,608 | 2,180 | 1,981 |
| Capital Projects | 134,453 | 176,785 | 48,870 | 78,961 |
| Debt Service | 0 | 0 | 11 | 0 |
| Unrestricted | (1,786,425) | (1,798,911) | (2,098,260) | (2,486,971) |
| Total Net Position | \$ 80,228 | \$ 98,860 | \$ (251,773) | \$ (545,792) |

UConn's net position balance totaled \$99 million, (\$252) million, and (\$546) million as of June 30, 2019, 2020, and 2021, respectively, compared to \$80 million as of the fiscal year ended June 30, 2018. Net position increased \$19 million (23%) in fiscal year 2019 and decreased \$351 million (355%) and \$294 million (117%) in fiscal years 2020 and 2021, respectively.

In fiscal year 2018-2019, the restricted expendable category increased by \$32 million mainly due to higher amounts of unspent general obligation bonds at year-end and the sale of the West Hartford campus. Unrestricted net position decreased \$12.5 million due to higher pension and other post-retirement benefits.

In fiscal year 2019-2020, net investment in capital assets grew by \$82 million due to a \$70 million net increase in capital assets combined with a \$12 million decrease in capital-related debt. Capital projects under the restricted expendable category decreased \$128 million, due in large part to UConn spending down \$125 million in general obligation bond funds designated for UConn 2000 projects. Unrestricted net position decreased \$299 million, which was primarily the result of pension and other post-retirement benefit adjustments.

In fiscal year 2020-2021, net investment in capital assets grew by \$57 million due to an \$85 million net increase in capital assets, which was partially offset by a \$28 million net increase in capital-related debt. The restricted expendable category increased by approximately \$39 million, \$30 million of which related to capital projects derived from new funding received from general obligation bonds designated for UConn 2000 projects. The other \$9 million related to research, instruction, scholarship, and other. The \$388 million decrease in unrestricted net position was largely caused by adjustments to pension and other post-retirement benefits.

Related Entities

UConn did not hold significant endowment and similar fund balances during the audited period, as it has been the university's longstanding practice to deposit donations with the University of Connecticut Foundation, Inc. (UConn Foundation) or the University of Connecticut Law School Foundation, Inc. (Law School Foundation). The Law School Foundation was dissolved as of June 30, 2017, and all remaining assets, including endowed funds, restricted non-endowed funds, and other investment funds, were distributed to the UConn Foundation to be managed in accordance with all donor restrictions and for the

sole benefit of the University's Law School. The UConn Foundation provides support for UConn and the UConn Health Center. Its financial statements reflect balances and transactions associated with both entities. A summary of the UConn Foundation's assets, liabilities, net position, revenue and support, and expenses, as per the audited financial statements, follows:

| (\$ in thousands) | University of Connecticut Foundation | | | |
|---------------------|---|--------------------|--------------------|--------------------|
| | 2017 - 2018 (Restated*) | 2018 - 2019 | 2019 - 2020 | 2020 - 2021 |
| Assets | \$ 575,449 | \$ 597,610 | \$ 617,492 | \$ 769,782 |
| Liabilities | 41,019 | 47,566 | 40,993 | 39,032 |
| Net Position | 534,430 | 550,044 | 576,499 | 730,750 |
| Revenue and Support | 109,727 | 75,008 | 75,586 | 210,568 |
| Expenses | 50,873 | 59,393 | 49,130 | 56,317 |

* It is the Foundation's policy to reclassify, where appropriate, prior year financial statements to conform to the current year presentation, and as such, with the implementation of ASU 2016 -14 in fiscal year 2018-2019, the fiscal year 2017-2018 financial statements were restated.